

Apex Clearing
One Dallas Center
350 North St Paul, Ste 1300
Dallas, TX 75201

SRINIVASA REDDY AKITI
513 S DODSON RD, APT S4
ROGERS, AR 72758-7320

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
(888) 828-0618

IMPORTANT YEAR END MESSAGES

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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Apex Clearing One Dallas Center 350 North St Paul, Ste 1300 Dallas, TX 75201 Customer Service: 214-765-1009 Payer's Federal ID No: 13-2967453 IN ACCOUNT WITH WEBULL FINANCIAL LLC (888) 828-0618	Account 5NJ89357	Form 1099 Composite	2022
	SRINIVASA REDDY AKITI 513 S DODSON RD, APT S4 ROGERS, AR 72758-7320 RECIPIENT'S ID No: XXX-XX-3427	Statement Date: 02/09/2023 <input type="checkbox"/> Corrected	

FATCA filing Requirement

Tax Reporting Statement

Dividends and Distributions	2022 Form1099DIV	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b)	0.00	
1b- Qualified Dividends	0.00	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d)	0.00	
2b- Unrecaptured Section 1250 Gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 ordinary dividends	0.00	
2f- Section 897 capital gain	0.00	
3- Nondividend Distributions	0.00	
4- Federal Income Tax Withheld	0.00	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign Tax Paid	0.00	
8- Foreign Country or U.S. Possessions		
9- Cash Liquidation Distribution	0.00	
10- Noncash Liquidation Distributions	0.00	
12- Exempt-Interest Dividends	0.00	
13- Specified Private Activity Bond Interest Dividends	0.00	

Interest Income	2022 Form1099INT	OMB No. 1545-0112
1- Interest Income	0.00	
2- Early Withdrawal Penalty	0.00	
3- Interest on US Savings Bonds & Treasury Obligations	0.00	
4- Federal Income Tax Withheld	0.00	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

Miscellaneous Information	2022 Form1099MISC	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
4- Federal Income Tax Withheld	0.00	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS		
	2022 Form1099B	OMB No. 1545-0715
8- Profit or (loss) realized in 2022 on closed contracts	0.00	
9- Unrealized profit or (loss) on open contracts - 12/31/2021	0.00	
10- Unrealized profit or (loss) on open contracts - 12/31/2022	0.00	
11- Aggregate profit or (loss) on contracts	0.00	
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>		

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Dividends may be reclassified for tax purposes after the original Form 1099 is sent in certain cases where information from issuers is received late. This may require us to provide you an amended tax form.

C - Corrected

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Tax Summary

2022

5NJ89357

02/09/2023

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	111,282.55	120,566.34	0.00	1,205.62	-8,078.17
Short-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Short-term	111,282.55	120,566.34	0.00	1,205.62	-8,078.17
Long-term transactions for covered tax lots	0.00	0.00	0.00	0.00	0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term	0.00	0.00	0.00	0.00	0.00

Original Issue Discount

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount - Covered Positions	0.00
Acquisition Premium - Covered Positions	0.00
Bond Premium - Covered Positions	0.00
Tax-Exempt OID - Covered Positions	0.00
Tax Exempt OID - Specified Private Activity Bonds - Covered Positions	0.00
Investment Expenses	0.00

Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the Form1099-OID section of this document.

FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	0.00
1099-DIV Total:	0.00
1099-INT Total:	0.00
1099-MISC Total:	0.00
1099-OID Total:	0.00

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

02/09/2023

OMB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B - Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes", cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as "Box 2 - Ordinary." In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as "Box 3 - Collectibles Box Checked." Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as "Box 7 - Loss Disallowed Box Checked." Disposition of a QOF investment is noted in the Additional Notes column as "Box 3 - QOF."

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement []

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL GME 05/20/22	100	GAMESTOP CORP CUSIP: *8PJCJQ4 Symbol: GME---220520C00100000					
2022-05-18	1.000000	339.93	2022-05-18	465.05	0.00	-125.12	
CALL SQ 03/04/22	165	BLOCK INC CUSIP: *8F61174 Symbol: SQ----220304C00165000					
2022-02-22	1.000000	9.93	2022-02-17	29.05	0.00	-19.12	
PUT QQQ 05/20/22	280	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SLNSN7 Symbol: QQQ---220520P00280000					
2022-05-20	10.000000	279.48	2022-05-20	520.49	0.00	-241.01	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL XPEV 05/20/22	25 XPENG INC ADR CLASS A CUSIP: *8SKYSH8 Symbol: XPEV--220520C00025000						
2022-05-18	5.000000	269.73	2022-05-18	245.25	0.00	24.48	
CALL FB 04/14/22	225 META PLATFORMS INC CL A CUSIP: *8KRMPX7 Symbol: FB----220414C00225000						
2022-04-13	1.000000	9.93	2022-04-08	380.05	0.00	-370.12	
CALL TWTR 05/20/22	40 TWITTER INC CUSIP: *8PHPFT1 Symbol: TWTR--220520C00040000						
2022-05-20	10.000000	9.46	2022-05-19	170.49	0.00	-161.03	
***CYREN LTD SHS NEW CUSIP: M26895132 Symbol: CYRN							
2022-04-13	1000.000000	2,529.85	2022-04-13	2,630.00	0.00	-100.15	
PUT TWTR 05/20/22	38 TWITTER INC CUSIP: *8PHPFS8 Symbol: TWTR--220520P00038000						
2022-05-19	5.000000	619.72	2022-05-19	715.25	0.00	-95.53	
PUT TGT 05/20/22	170 TARGET CORP CUSIP: *8SLCMF3 Symbol: TGT---220520P00170000						
2022-05-18	1.000000	1,199.91	2022-05-18	850.05	0.00	349.86	
CALL QQQ 04/08/22	353 INVESCO QQQ TRUST SERIES 1 CUSIP: *8NLFQW8 Symbol: QQQ---220408C00353000						
2022-04-08	6.000000	125.69	2022-04-08	548.30	0.00	-422.61	
CALL BABA 04/22/22	95 ALIBABA GROUP HOLDING LIMITED CUSIP: *8NRBRY6 Symbol: BABA--220422C00095000						
2022-04-20	1.000000	97.93	2022-04-19	147.05	0.00	-49.12	
CALL NFLX 04/22/22	400 NETFLIX INC CUSIP: *8NTWCB6 Symbol: NFLX--220422C00400000						
2022-04-19	1.000000	314.93	2022-04-19	340.05	0.00	-25.12	
CALL PLUG 04/22/22	30 PLUG POWER INC CUSIP: *8PBDHH6 Symbol: PLUG--220422C00030000						
2022-04-19	10.000000	179.48	2022-04-19	130.49	0.00	48.99	
CALL SPY 04/22/22	435 STANDARD & POORS DEPOSITORY CUSIP: *8PFQMN4 Symbol: SPY---220422C00435000						
2022-04-22	10.000000	409.48	2022-04-22	760.49	0.00	-351.01	
PUT FB 04/22/22	190 META PLATFORMS INC CL A CUSIP: *8NRNKH6 Symbol: FB----220422P00190000						
2022-04-21	1.000000	414.93	2022-04-21	276.05	0.00	138.88	
CALL PYPL 04/22/22	110 PAYPAL HOLDINGS INC CUSIP: *8PBGJV7 Symbol: PYPL--220422C00110000						
2022-04-19	10.000000	359.48	2022-04-19	400.49	0.00	-41.01	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 04/22/22	445	STANDARD & POORS DEPOSITORY CUSIP: *8PFQWS2 Symbol: SPY---220422P00445000					
2022-04-21	6.000000	1,493.69	2022-04-21	510.30	0.00	983.39	
CALL QQQ 04/06/22	365	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NQTSM8 Symbol: QQQ---220406C00365000					
2022-04-05	2.000000	265.88	2022-04-05	381.10	67.11 D	-115.22	
2022-04-06	1.000000	0.00	2022-04-05	187.16	0.00	-187.16	
Security Totals:		265.88		568.26		-302.38	
CALL AXP 04/22/22	185	AMERICAN EXPRESS COMPANY CUSIP: *8NRBPK3 Symbol: AXP---220422C00185000					
2022-04-22	4.000000	35.78	2022-04-22	384.20	0.00	-348.42	
CALL PLTR 04/22/22	13	PALANTIR TECHNOLOGIES INC CL A CUSIP: *8PBDFG1 Symbol: PLTR--220422C00013000					
2022-04-19	10.000000	289.48	2022-04-19	130.49	0.00	158.99	
PUT SPY 04/22/22	440	STANDARD & POORS DEPOSITORY CUSIP: *8PFQMT3 Symbol: SPY---220422P00440000					
2022-04-21	4.000000	487.78	2022-04-21	560.20	0.00	-72.42	
CALL CHPT 04/22/22	16	CHARGEPOINT HOLDINGS INC CUSIP: *8NRFZJ0 Symbol: CHPT--220422C00016000					
2022-04-19	5.000000	314.73	2022-04-19	180.25	0.00	134.48	
CALL FB 04/22/22	190	META PLATFORMS INC CL A CUSIP: *8NRNHK3 Symbol: FB----220422C00190000					
2022-04-22	1.000000	0.00	2022-04-22	170.05	0.00	-170.05	
CALL QQQ 04/22/22	332	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PLWRV7 Symbol: QQQ---220422C00332000					
2022-04-22	10.000000	269.48	2022-04-22	490.49	0.00	-221.01	
CALL AAPL 04/29/22	170	APPLE INC CUSIP: *8SPPVY7 Symbol: AAPL--220429C00170000					
2022-04-19	2.000000	481.88	2022-04-19	470.10	0.00	11.78	
CALL AAPL 04/29/22	175	APPLE INC CUSIP: *8SPPVY9 Symbol: AAPL--220429C00175000					
2022-04-28	100.000000	1,294.85	2022-04-28	1,404.91	0.00	-110.06	
CALL FB 04/29/22	205	META PLATFORMS INC CL A CUSIP: *8SPSJS3 Symbol: FB----220429C00205000					
2022-04-28	2.000000	417.88	2022-04-28	440.10	0.00	-22.22	
CALL BA 04/29/22	185	BOEING CO CUSIP: *8SPQH9 Symbol: BA----220429C00185000					
2022-04-25	1.000000	132.93	2022-04-21	381.05	0.00	-248.12	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT FB 04/29/22	150 META PLATFORMS INC CL A CUSIP: *8SPSJQ2 Symbol: FB----220429P00150000						
2022-04-27	1.000000	413.93	2022-04-27	244.05	0.00	169.88	
CALL AAPL 04/29/22	165 APPLE INC CUSIP: *8SPPVY5 Symbol: AAPL--220429C00165000						
2022-04-26	3.000000	419.83	Various	935.15	210.11 D	-515.32	
2022-04-29	1.000000	77.93	2022-04-29	318.16	0.00	-240.23	
Security Totals:		497.76		1,253.31		-755.55	
CALL SPY 04/29/22	450 STANDARD & POORS DEPOSITORY CUSIP: *8SPXDT6 Symbol: SPY---220429C00450000						
2022-04-21	1.000000	162.93	2022-04-21	218.05	0.00	-55.12	
CALL SPY 04/29/22	422 STANDARD & POORS DEPOSITORY CUSIP: *8SPXDN7 Symbol: SPY---220429C00422000						
2022-04-29	10.000000	329.47	2022-04-29	600.49	0.00	-271.02	
CALL TSLA 04/22/22	1100 TESLA INC CUSIP: *8PBJ53 Symbol: TSLA--220422C01100000						
2022-04-21	1.000000	1,004.93	2022-04-20	635.05	0.00	369.88	
2022-04-22	1.000000	0.00	2022-04-21	134.05	0.00	-134.05	
Security Totals:		1,004.93		769.10		235.83	
PUT NFLX 04/22/22	290 NETFLIX INC CUSIP: *8PLVXX6 Symbol: NFLX--220422P00290000						
2022-04-19	1.000000	310.93	2022-04-18	335.05	0.00	-24.12	
CALL HOOD 04/29/22	12 ROBINHOOD MARKET INC CUSIP: *8SPTBT9 Symbol: HOOD--220429C00012000						
2022-04-20	4.000000	167.78	2022-04-19	232.20	0.00	-64.42	
CALL LCID 04/29/22	22 LUCID GROUP INC CUSIP: *8SPTVV1 Symbol: LCID--220429C00022000						
2022-04-20	4.000000	231.78	2022-04-19	344.20	0.00	-112.42	
CALL SOFI 04/29/22	7 SOFI TECHNOLOGIES INC CUSIP: *8SPWXM5 Symbol: SOFI--220429C00007000						
2022-04-29	10.000000	9.46	2022-04-29	20.49	0.00	-11.03	
CALL QQQ 04/29/22	322 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SPWJF7 Symbol: QQQ---220429C00322000						
2022-04-29	10.000000	269.48	2022-04-29	540.49	0.00	-271.01	
CALL SOFI 04/29/22	8 SOFI TECHNOLOGIES INC CUSIP: *8SPWXM9 Symbol: SOFI--220429C00008000						
2022-04-20	10.000000	89.48	2022-04-19	160.49	0.00	-71.01	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SE 04/22/22	95 SEA LTD CUSIP: *8PDKYK8 Symbol: SE----	239.88	2022-04-22	304.10	0.00	-64.22	
2022-04-22	2.000000	239.88	2022-04-22	304.10	0.00	-64.22	
CALL QQQ 04/29/22	325 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SPWJG5 Symbol: QQQ---	379.48	2022-04-29	640.49	0.00	-261.01	
2022-04-29	10.000000	379.48	2022-04-29	640.49	0.00	-261.01	
CALL QQQ 04/29/22	317.50 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SPWJD7 Symbol: QQQ---	279.48	2022-04-29	680.49	0.00	-401.01	
2022-04-29	10.000000	279.48	2022-04-29	680.49	0.00	-401.01	
CALL QQQ 04/20/22	345 INVESCO QQQ TRUST SERIES 1 CUSIP: *8BZRXM7 Symbol: QQQ---	749.83	2022-04-19	378.15	0.00	371.68	
2022-04-19	3.000000	749.83	2022-04-18	378.15	0.00	371.68	
2022-04-20	2.000000	71.88	2022-04-20	208.10	0.00	-136.22	
Security Totals:		821.71		586.25		235.46	
CALL NVDA 04/29/22	200 NVIDIA CORPORATION CUSIP: *8SPVVQ6 Symbol: NVDA--	0.00	2022-04-29	201.05	0.00	-201.05	
2022-04-29	1.000000	0.00	2022-04-29	201.05	0.00	-201.05	
PUT FB 04/29/22	140 META PLATFORMS INC CL A CUSIP: *8SQKJM1 Symbol: FB----	501.88	2022-04-27	292.10	0.00	209.78	
2022-04-27	2.000000	501.88	2022-04-27	292.10	0.00	209.78	
CALL QQQ 04/22/22	334 INVESCO QQQ TRUST SERIES 1 CUSIP: *8PBHKL7 Symbol: QQQ---	461.83	2022-04-22	465.15	0.00	-3.32	
2022-04-22	3.000000	461.83	2022-04-22	465.15	0.00	-3.32	
PUT FB 04/29/22	145 META PLATFORMS INC CL A CUSIP: *8SQKJM3 Symbol: FB----	641.87	2022-04-27	400.10	0.00	241.77	
2022-04-27	2.000000	641.87	2022-04-27	400.10	0.00	241.77	
2022-04-28	3.000000	2.83	2022-04-27	600.15	0.00	-597.32	
Security Totals:		644.70		1,000.25		-355.55	
CALL TWTR 04/22/22	50 TWITTER INC CUSIP: *8PJHFS2 Symbol: TWTR--	239.88	2022-04-19	362.10	0.00	-122.22	
2022-04-19	2.000000	239.88	2022-04-18	362.10	0.00	-122.22	
PUT BABA 04/29/22	100 ALIBABA GROUP HOLDING LIMITED CUSIP: *8SPQPJ6 Symbol: BABA--	82.93	2022-04-29	93.05	0.00	-10.12	
2022-04-29	1.000000	82.93	2022-04-29	93.05	0.00	-10.12	
CALL TWTR 04/29/22	50 TWITTER INC CUSIP: *8SPXTN9 Symbol: TWTR--	530.83	2022-04-21	540.15	0.00	-9.32	
2022-04-21	3.000000	530.83	2022-04-20	540.15	0.00	-9.32	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL MSFT 04/29/22	300	MICROSOFT CORP CUSIP: *8SPVKK1 Symbol: MSFT--220429C00300000					
2022-04-26	15.000000	1,649.22	2022-04-26	1,815.74	0.00	-166.52	
PUT SPY 04/20/22	441	STANDARD & POORS DEPOSITORY CUSIP: *8CVMHL3 Symbol: SPY---220420P00441000					
2022-04-20	20.000000	499.01	2022-04-20	600.98	0.00	-101.97	
CALL MSFT 04/29/22	280	MICROSOFT CORP CUSIP: *8SPVKJ3 Symbol: MSFT--220429C00280000					
2022-04-26	1.000000	549.93	2022-04-22	675.05	0.00	-125.12	
CALL ABNB 05/06/22	170	AIRBNB INC CUSIP: *8LGZMC4 Symbol: ABNB--220506C00170000					
2022-05-03	10.000000	1,299.48	2022-05-03	1,470.49	0.00	-171.01	
PUT AMD 05/06/22	95	ADVANCED MICRO DEVICES INC CUSIP: *8LHBTC9 Symbol: AMD---220506P00095000					
2022-05-04	1.000000	359.93	2022-05-04	370.05	0.00	-10.12	
PUT SPY 05/06/22	400	STANDARD & POORS DEPOSITORY CUSIP: *8NSMKR2 Symbol: SPY---220506P00400000					
2022-05-05	1.000000	79.93	2022-05-05	51.05	0.00	28.88	
PUT QQQ 05/04/22	310	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PNZYS8 Symbol: QQQ---220504P00310000					
2022-05-04	16.000000	383.17	2022-05-04	755.79	0.00	-372.62	
PUT SPY 04/20/22	437	STANDARD & POORS DEPOSITORY CUSIP: *8CVMHH8 Symbol: SPY---220420P00437000					
2022-04-19	10.000000	389.48	2022-04-19	440.49	0.00	-51.01	
CALL MARA 04/22/22	22	MARATHON DIGITAL HOLDINGS INC CUSIP: *8NSCSM9 Symbol: MARA--220422C00022000					
2022-04-19	3.000000	194.83	2022-04-19	231.15	0.00	-36.32	
EXPION360 INC COMMON STOCK CUSIP: 30218B100 Symbol: XPON							
2022-04-13	100.000000	489.98	2022-04-13	512.99	0.00	-23.01	
CALL AMD 05/06/22	105	ADVANCED MICRO DEVICES INC CUSIP: *8LHBTQ8 Symbol: AMD---220506C00105000					
2022-05-03	35.000000	1,853.19	2022-05-03	2,046.72	0.00	-193.53	
CALL SPY 04/25/22	428	STANDARD & POORS DEPOSITORY CUSIP: *8NSMJX1 Symbol: SPY---220425C00428000					
2022-04-25	11.000000	252.42	2022-04-25	452.54	0.00	-200.12	
PUT QQQ 05/04/22	315	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PNZYT0 Symbol: QQQ---220504P00315000					
2022-05-04	1.000000	272.93	2022-05-04	191.05	0.00	81.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT ETSY 05/06/22	80 ETSY INC CUSIP: *8NVPWD3 Symbol: ETSY--220506P00080000						
2022-05-04	2.000000	215.87	2022-05-04	376.10	0.00	-160.23	
CALL TWTR 04/22/22	47 TWITTER INC CUSIP: *8SPCFT8 Symbol: TWTR--220422C00047000						
2022-04-21	2.000000	225.88	2022-04-20	254.10	0.00	-28.22	
CALL TWTR 04/22/22	48 TWITTER INC CUSIP: *8SPCFV0 Symbol: TWTR--220422C00048000						
2022-04-21	5.000000	304.73	2022-04-21	360.25	44.42 D	-55.52	
2022-04-22	4.000000	243.78	2022-04-21	336.62	0.00	-92.84	
Security Totals:		548.51		696.87		-148.36	
PUT TWTR 04/08/22	45.50 TWITTER INC CUSIP: *8SPCFQ5 Symbol: TWTR--220408P00045500						
2022-04-07	1.000000	50.93	2022-04-04	126.05	0.00	-75.12	
CALL AMD 05/06/22	95 ADVANCED MICRO DEVICES INC CUSIP: *8LHBTC8 Symbol: AMD---220506C00095000						
2022-05-04	1.000000	191.93	2022-05-04	185.05	0.00	6.88	
CALL OPEN 05/06/22	6.50 OPENDOOR TECHNOLOGIES INC CUSIP: *8NPYSJ2 Symbol: OPEN--220506C00006500						
2022-05-06	1.000000	74.93	2022-05-06	31.05	0.00	43.88	
CALL TSLA 05/13/22	800 TESLA INC CUSIP: *8PPKRH2 Symbol: TSLA--220513C00800000						
2022-05-13	5.000000	739.69	2022-05-13	1,551.48	266.23 D	-811.79	
CALL TWTR 04/29/22	54 TWITTER INC CUSIP: *8SPGTX6 Symbol: TWTR--220429C00054000						
2022-04-26	1.000000	4.93	2022-04-25	102.05	0.00	-97.12	
CALL UPST 05/13/22	100 UPSTART HOLDINGS INC CUSIP: *8SQHHR9 Symbol: UPST--220513C00100000						
2022-05-09	1.000000	434.93	2022-05-09	590.05	0.00	-155.12	
CALL NEGG 05/06/22	8 NEWEGG COMMERCE INC CUSIP: *8NFDCP6 Symbol: NEGG--220506C00008000						
2022-05-04	5.000000	159.73	2022-05-04	220.25	0.00	-60.52	
CALL UPST 04/22/22	90 UPSTART HOLDINGS INC CUSIP: *8PLYFJ8 Symbol: UPST--220422C00090000						
2022-04-19	1.000000	235.93	2022-04-13	380.05	144.12 D	-144.12	
2022-04-20	1.000000	84.93	2022-04-19	373.17	0.00	-288.24	
Security Totals:		320.86		753.22		-432.36	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TWTR 04/29/22	48 TWITTER INC CUSIP: *8SPGTW6 Symbol: TWTR--220429C00048000						
2022-04-22	1.000000	374.93	2022-04-21	270.05	0.00	104.88	
CALL AMD 04/29/22	95 ADVANCED MICRO DEVICES INC CUSIP: *8SPQDP7 Symbol: AMD---220429C00095000						
2022-04-25	3.000000	350.82	2022-04-21	372.15	0.00	-21.33	
CALL AMC 05/13/22	15 AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8SPPPF4 Symbol: AMC---220513C00015000						
2022-05-12	3.000000	47.82	2022-05-12	168.15	120.33 D	-120.33	
2022-05-13	20.000000	58.97	2022-05-13	381.31	0.00	-322.34	
Security Totals:		106.79		549.46		-442.67	
CALL DWAC 04/29/22	50 DIGITAL WORLD ACQUISITION CORP CUSIP: *8SPRYF5 Symbol: DWAC--220429C00050000						
2022-04-27	2.000000	363.88	2022-04-27	318.10	0.00	45.78	
CALL NVDA 04/22/22	205 NVIDIA CORPORATION CUSIP: *8NWLBD7 Symbol: NVDA--220422C00205000						
2022-04-22	2.000000	311.88	2022-04-22	356.10	0.00	-44.22	
CALL DWAC 04/29/22	48 DIGITAL WORLD ACQUISITION CORP CUSIP: *8SPDLW8 Symbol: DWAC--220429C00048000						
2022-04-27	1.000000	212.93	2022-04-27	283.05	0.00	-70.12	
CALL AMD 05/27/22	95 ADVANCED MICRO DEVICES INC CUSIP: *8SPHMK9 Symbol: AMD---220527C00095000						
2022-05-25	1.000000	85.93	2022-05-25	115.05	0.00	-29.12	
CALL HOOD 04/29/22	11.50 ROBINHOOD MARKET INC CUSIP: *8SPTBT7 Symbol: HOOD--220429C00011500						
2022-04-28	20.000000	456.95	2022-04-28	320.98	0.00	135.97	
CALL TWTR 04/22/22	48.50 TWITTER INC CUSIP: *8SRRXJ9 Symbol: TWTR--220422C00048500						
2022-04-19	2.000000	247.88	2022-04-19	332.10	0.00	-84.22	
PUT FB 04/22/22	197.50 META PLATFORMS INC CL A CUSIP: *8SRQM2 Symbol: FB---220422P00197500						
2022-04-21	1.000000	589.93	2022-04-21	395.05	0.00	194.88	
CALL QQQ 04/18/22	338 INVESCO QQQ TRUST SERIES 1 CUSIP: *8BZRNS0 Symbol: QQQ---220418C00338000						
2022-04-18	10.000000	2,264.47	2022-04-18	520.49	0.00	1,743.98	
CALL AMZN 04/29/22	3000 AMAZON.COM INC CUSIP: *8SPQGS7 Symbol: AMZN--220429C03000000						
2022-04-28	1.000000	3,809.92	2022-04-28	2,675.05	0.00	1,134.87	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT BYND 04/29/22	39 BEYOND MEAT INC CUSIP: *8SPQYZ2 Symbol: BYND--220429P00039000						
2022-04-27	1.000000	169.93	2022-04-27	188.05	0.00	-18.12	
CALL SOFI 05/13/22	6 SOFI TECHNOLOGIES INC CUSIP: *8SQGGD7 Symbol: SOFI--220513C00006000						
2022-05-10	10.000000	179.46	2022-05-10	260.49	0.00	-81.03	
CALL NVDA 04/29/22	202.50 NVIDIA CORPORATION CUSIP: *8KLJLR9 Symbol: NVDA--220429C00202500						
2022-04-29	1.000000	38.93	2022-04-29	114.05	0.00	-75.12	
CALL TSLA 05/06/22	885 TESLA INC CUSIP: *8PKTHP8 Symbol: TSLA--220506C00885000						
2022-05-06	1.000000	419.93	2022-05-06	586.05	0.00	-166.12	
CALL DWAC 04/22/22	43 DIGITAL WORLD ACQUISITION CORP CUSIP: *8SPDLT2 Symbol: DWAC--220422C00043000						
2022-04-22	10.000000	519.48	2022-04-22	810.49	0.00	-291.01	
CALL AMC 05/27/22	14 AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8SPHLY1 Symbol: AMC---220527C00014000						
2022-05-27	40.000000	907.92	2022-05-27	572.97	11.01 D	334.95	
CALL TRLY 05/20/22	5.50 TILRAY BRANDS INC CUSIP: *8GCQGC2 Symbol: TRLY--220520C00005500						
2022-05-16	40.000000	357.92	2022-05-16	441.97	0.00	-84.05	
CALL QQQ 04/25/22	330 INVESCO QQQ TRUST SERIES 1 CUSIP: *8LKRBN8 Symbol: QQQ---220425C00330000						
2022-04-25	10.000000	439.46	2022-04-25	800.49	0.00	-361.03	
CALL BIDU 04/29/22	130 BAIDU INC CUSIP: *8SPQSG3 Symbol: BIDU--220429C00130000						
2022-04-29	2.000000	149.88	2022-04-29	196.10	0.00	-46.22	
CALL SHOP 05/06/22	600 SHOPIFY INC CUSIP: *8PKSVW0 Symbol: SHOP--220506C00600000						
2022-05-03	1.000000	155.93	2022-05-03	244.05	0.00	-88.12	
CALL BYND 04/29/22	42.50 BEYOND MEAT INC CUSIP: *8SRQFK9 Symbol: BYND--220429C00042500						
2022-04-27	1.000000	429.93	2022-04-27	177.05	0.00	252.88	
PUT QQQ 04/27/22	317 INVESCO QQQ TRUST SERIES 1 CUSIP: *8NTNFT1 Symbol: QQQ---220427P00317000						
2022-04-27	10.000000	479.48	2022-04-27	630.49	0.00	-151.01	
CALL NFLX 04/22/22	220 NETFLIX INC CUSIP: *8PLVXW1 Symbol: NFLX--220422C00220000						
2022-04-21	1.000000	304.93	2022-04-21	390.05	0.00	-85.12	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NFLX 04/22/22	250	NETFLIX INC CUSIP: *8PLVXW7 Symbol: NFLX--220422C00250000					
2022-04-20	1.000000	206.93	2022-04-20	270.05	0.00	-63.12	
CALL BABA 05/13/22	87	ALIBABA GROUP HOLDING LIMITED CUSIP: *8PPMZZ8 Symbol: BABA--220513C00087000					
2022-05-13	1.000000	71.93	2022-05-13	65.05	0.00	6.88	
PUT QQQ 06/03/22	305	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PQKQ9 Symbol: QQQ---220603P00305000					
2022-06-03	5.000000	249.73	2022-06-03	330.25	0.00	-80.52	
CALL PDD 05/27/22	45	PINDUODUO INC ADS CUSIP: *8SQNBM6 Symbol: PDD---220527C00045000					
2022-05-27	10.000000	179.48	2022-05-27	360.50	0.00	-181.02	
CALL NVDA 05/27/22	190	NVIDIA CORPORATION CUSIP: *8SRGDY9 Symbol: NVDA--220527C00190000					
2022-05-25	2.000000	259.88	2022-05-25	318.10	0.00	-58.22	
CALL NVDA 04/29/22	197.50	NVIDIA CORPORATION CUSIP: *8KLJF4 Symbol: NVDA--220429C00197500					
2022-04-25	1.000000	574.93	2022-04-25	700.05	0.00	-125.12	
CALL SE 04/29/22	88	SEA LTD CUSIP: *8NTSPQ6 Symbol: SE---220429C00088000					
2022-04-27	1.000000	154.93	2022-04-27	250.05	0.00	-95.12	
CALL QQQ 05/02/22	320	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PKSPQ8 Symbol: QQQ---220502C00320000					
2022-05-02	9.000000	8.50	2022-05-02	333.45	0.00	-324.95	
CALL QQQ 04/25/22	328	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PMYBM9 Symbol: QQQ---220425C00328000					
2022-04-25	5.000000	164.73	2022-04-25	335.25	0.00	-170.52	
CALL SPY 05/31/22	430	STANDARD & POORS DEPOSITORY CUSIP: *8PNPFY6 Symbol: SPY---220531C00430000					
2022-05-10	1.000000	168.93	2022-05-09	221.05	0.00	-52.12	
CALL SPY 05/09/22	430	STANDARD & POORS DEPOSITORY CUSIP: *8PPJSW6 Symbol: SPY---220509C00430000					
2022-05-05	20.000000	958.97	2022-05-05	2,345.99	0.00	-1,387.02	
CALL QQQ 04/29/22	323	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SPWJG1 Symbol: QQQ---220429C00323000					
2022-04-29	2.000000	165.88	2022-04-29	240.10	0.00	-74.22	
PUT SOFI 05/13/22	5	SOFI TECHNOLOGIES INC CUSIP: *8SQGGD4 Symbol: SOFI--220513P00005000					
2022-05-10	3.000000	50.84	2022-05-10	99.15	0.00	-48.31	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NVDA 05/27/22	180	NVIDIA CORPORATION CUSIP: *8SRGDY5 Symbol: NVDA--220527C00180000					
2022-05-24	1.000000	171.93	2022-05-23	369.05	197.12 D	-197.12	
2022-05-25	1.000000	389.93	2022-05-25	507.17	0.00	-117.24	
Security Totals:		561.86		876.22		-314.36	
CALL QQQ 04/27/22	323	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NTNFW0 Symbol: QQQ---220427C00323000					
2022-04-27	3.000000	299.83	2022-04-27	315.15	0.00	-15.32	
PUT QQQ 05/02/22	309	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NTNJJN5 Symbol: QQQ---220502P00309000					
2022-05-02	10.000000	529.47	2022-05-02	550.49	0.00	-21.02	
CALL AMD 05/13/22	86	ADVANCED MICRO DEVICES INC CUSIP: *8PRTZK4 Symbol: AMD---220513C00086000					
2022-05-12	2.000000	269.87	2022-05-12	318.10	0.00	-48.23	
CALL AFRM 04/29/22	30	AFFIRM HOLDINGS INC CL A CUSIP: *8SPQBJ5 Symbol: AFRM--220429C00030000					
2022-04-27	4.000000	331.77	2022-04-27	356.20	0.00	-24.43	
CALL LCID 05/20/22	18.50	LUCID GROUP INC CUSIP: *8F59287 Symbol: LCID--220520C00018500					
2022-05-18	5.000000	264.71	2022-05-18	230.25	0.00	34.46	
PUT QQQ 05/23/22	285	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NTNLY0 Symbol: QQQ---220523P00285000					
2022-05-19	3.000000	635.82	2022-05-19	696.15	0.00	-60.33	
CALL QQQ 05/06/22	322	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PKSQH9 Symbol: QQQ---220506C00322000					
2022-05-05	2.000000	151.88	2022-05-05	322.10	0.00	-170.22	
CALL QQQ 05/06/22	318	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PKSQF4 Symbol: QQQ---220506C00318000					
2022-05-06	11.000000	461.43	2022-05-06	471.54	0.00	-10.11	
PUT QQQ 05/04/22	311	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PMLBZ2 Symbol: QQQ---220504P00311000					
2022-05-04	10.000000	299.48	2022-05-04	520.49	0.00	-221.01	
CALL AMC 05/13/22	16.50	AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8PRTY1 Symbol: AMC---220513C00016500					
2022-05-12	12.000000	107.37	2022-05-12	718.59	0.00	-611.22	
CALL AMD 05/13/22	86.50	ADVANCED MICRO DEVICES INC CUSIP: *8PRTZK6 Symbol: AMD---220513C00086500					
2022-05-12	1.000000	268.93	2022-05-12	183.05	0.00	85.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL CVNA 04/29/22	75	CARVANA COMPANY CUSIP: *8SPRNL5 Symbol: CVNA--220429C00075000					
2022-04-27	1.000000	149.93	2022-04-27	430.05	0.00	-280.12	
CALL SPOT 04/29/22	105	SPOTIFY TECHNOLOGY S A CUSIP: *8SPXBG3 Symbol: SPOT--220429C00105000					
2022-04-27	1.000000	94.93	2022-04-27	277.05	0.00	-182.12	
CALL QQQ 05/02/22	316	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SRRNB5 Symbol: QQQ---220502C00316000					
2022-05-02	2.000000	611.87	2022-05-02	315.10	0.00	296.77	
CALL BIDU 04/29/22	129	BAIDU INC CUSIP: *8CXMVZ2 Symbol: BIDU--220429C00129000					
2022-04-29	10.000000	189.48	2022-04-29	440.49	0.00	-251.01	
PUT U 05/13/22	40	UNITY SOFTWARE INC CUSIP: *8PMHT4 Symbol: U----220513P00040000					
2022-05-10	1.000000	234.93	2022-05-10	110.05	0.00	124.88	
CALL AMC 05/13/22	12	AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8PNRCP7 Symbol: AMC---220513C00012000					
2022-05-12	10.000000	1,519.46	2022-05-12	380.49	0.00	1,138.97	
CALL NFLX 05/20/22	192.50	NETFLIX INC CUSIP: *8PQHQC5 Symbol: NFLX--220520C00192500					
2022-05-16	1.000000	446.92	2022-05-16	560.05	0.00	-113.13	
CALL QQQ 05/11/22	300	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SPJKC7 Symbol: QQQ---220511C00300000					
2022-05-11	20.000000	198.97	2022-05-11	400.98	0.00	-202.01	
CALL SOFI 05/13/22	5.50	SOFI TECHNOLOGIES INC CUSIP: *8SQGGD5 Symbol: SOFI--220513C00005500					
2022-05-11	10.000000	229.48	2022-05-11	250.49	0.00	-21.01	
CALL NVDA 05/13/22	177.50	NVIDIA CORPORATION CUSIP: *8SQSSD8 Symbol: NVDA--220513C00177500					
2022-05-11	1.000000	314.93	2022-05-11	523.05	0.00	-208.12	
CALL QQQ 05/02/22	313	INVESCO QQQ TRUST SERIES 1 CUSIP: *8NTNJQ5 Symbol: QQQ---220502C00313000					
2022-05-02	10.000000	379.47	2022-05-02	552.49	0.00	-173.02	
CALL TSLA 05/13/22	840	TESLA INC CUSIP: *8PPKRN6 Symbol: TSLA--220513C00840000					
2022-05-11	1.000000	629.93	2022-05-11	840.05	0.00	-210.12	
CALL AMC 05/13/22	12.50	AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8PRTYT7 Symbol: AMC---220513C00012500					
2022-05-13	10.000000	188.47	2022-05-13	160.49	0.00	27.98	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL PYPL 05/13/22	80	PAYPAL HOLDINGS INC CUSIP: *8SQFSJ3 Symbol: PYPL--220513C00080000					
2022-05-13	20.000000	558.93	2022-05-13	420.98	0.00	137.95	
PUT QQQ 06/10/22	290	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SQVWN4 Symbol: QQQ---220610P00290000					
2022-06-10	1.000000	224.93	2022-06-09	34.05	0.00	190.88	
PUT QQQ 06/10/22	285	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SQVWN7 Symbol: QQQ---220610P00285000					
2022-06-10	3.000000	14.83	2022-06-10	141.15	0.00	-126.32	
CALL AMC 05/20/22	13.50	AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8CTGYX5 Symbol: AMC---220520C00013500					
2022-05-17	10.000000	349.47	2022-05-17	390.49	0.00	-41.02	
CALL AMC 06/10/22	15	AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8PNQVB2 Symbol: AMC---220610C00015000					
2022-06-08	3.000000	107.83	2022-06-08	126.15	0.00	-18.32	
CALL AAPL 05/20/22	147	APPLE INC CUSIP: *8PMPN7 Symbol: AAPL--220520C00147000					
2022-05-18	1.000000	152.93	2022-05-18	182.05	0.00	-29.12	
PUT QQQ 06/10/22	304	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SSDNM7 Symbol: QQQ---220610P00304000					
2022-06-09	4.000000	1,459.74	2022-06-09	728.20	0.00	731.54	
CALL SPY 05/25/22	400	STANDARD & POORS DEPOSITORY CUSIP: *8NTXMF7 Symbol: SPY---220525C00400000					
2022-05-25	3.000000	200.83	2022-05-25	246.15	0.00	-45.32	
PUT QQQ 05/18/22	296	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SQSZN9 Symbol: QQQ---220518P00296000					
2022-05-17	10.000000	499.46	2022-05-17	750.49	0.00	-251.03	
CALL DKNG 05/06/22	14	DRAFTKINGS INC CL A CUSIP: *8SRCZH8 Symbol: DKNG--220506C00014000					
2022-05-06	1.000000	29.93	2022-05-06	37.05	0.00	-7.12	
CALL AMZN 05/27/22	2350	AMAZON.COM INC CUSIP: *8SRDKV8 Symbol: AMZN--220527C02350000					
2022-05-27	3.000000	44.82	2022-05-27	599.15	0.00	-554.33	
CALL COST 05/27/22	480	COSTCO WHOLESALE CORPORATION CUSIP: *8SRDXR7 Symbol: COST--220527C00480000					
2022-05-27	1.000000	4.93	2022-05-27	209.05	0.00	-204.12	
CALL AMC 06/24/22	15	AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8SRHLF1 Symbol: AMC---220624C00015000					
2022-06-22	10.000000	99.48	2022-06-22	130.50	0.00	-31.02	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT QQQ 06/24/22	290	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8SRNKM0 Symbol: QQQ---220624P00290000				
2022-06-24	10.000000	419.48	2022-06-24	520.50	0.00	-101.02	
CALL NFLX 05/13/22	182.50	NETFLIX INC	CUSIP: *8PRQZQ4 Symbol: NFLX--220513C00182500				
2022-05-13	1.000000	208.93	2022-05-13	143.05	0.00	65.88	
CALL AFRM 05/13/22	24	AFFIRM HOLDINGS INC CL A	CUSIP: *8PRTT0 Symbol: AFRM--220513C00024000				
2022-05-13	10.000000	519.47	2022-05-13	490.50	0.00	28.97	
PUT UPST 05/13/22	52	UPSTART HOLDINGS INC	CUSIP: *8SRBHZ8 Symbol: UPST--220513P00052000				
2022-05-10	2.000000	3,009.87	2022-05-09	200.10	0.00	2,809.77	
PUT KSS 05/20/22	40	KOHL'S CORP	CUSIP: *8CVHYL2 Symbol: KSS---220520P00040000				
2022-05-18	1.000000	192.93	2022-05-18	193.05	0.00	-0.12	
CALL QQQ 05/25/22	290	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8PJBHQ6 Symbol: QQQ---220525C00290000				
2022-05-24	15.000000	1,919.17	2022-05-24	2,040.74	0.00	-121.57	
CALL AAPL 05/13/22	144	APPLE INC	CUSIP: *8PMTTY0 Symbol: AAPL--220513C00144000				
2022-05-12	1.000000	111.93	2022-05-12	177.05	0.00	-65.12	
CALL CRM 06/03/22	190	SALESFORCE INC	CUSIP: *8PPXJH3 Symbol: CRM---220603C00190000				
2022-05-31	10.000000	396.40	2022-05-31	500.49	0.00	-104.09	
CALL ABNB 05/13/22	125	AIRBNB INC	CUSIP: *8SPPGX2 Symbol: ABNB--220513C00125000				
2022-05-11	1.000000	202.93	2022-05-11	261.05	0.00	-58.12	
CALL QQQ 05/16/22	303	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8SQZZH7 Symbol: QQQ---220516C00303000				
2022-05-16	10.000000	509.47	2022-05-16	630.49	0.00	-121.02	
CALL QQQ 05/31/22	314	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8SQZKJ9 Symbol: QQQ---220531C00314000				
2022-05-31	10.000000	109.48	2022-05-31	340.49	0.00	-231.01	
CALL TSLA 06/24/22	800	TESLA INC	CUSIP: *8SRPNH3 Symbol: TSLA--220624C00800000				
2022-06-21	1.000000	299.93	2022-06-21	142.05	0.00	157.88	
CALL TSLA 05/13/22	775	TESLA INC	CUSIP: *8SSF DG2 Symbol: TSLA--220513C00775000				
2022-05-13	1.000000	466.92	2022-05-13	593.05	0.00	-126.13	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AMC 06/10/22	13.50	AMC ENTERTAINMENT HOLDINGS INC	CUSIP: *8PNQTZ4 Symbol: AMC---220610C00013500				
2022-06-08	10.000000	689.47	2022-06-07	330.49	0.00	358.98	
CALL AFRM 05/13/22	20	AFFIRM HOLDINGS INC CL A	CUSIP: *8PRTR3 Symbol: AFRM--220513C00020000				
2022-05-12	10.000000	1,099.48	2022-05-12	580.49	0.00	518.99	
CALL TTD 05/13/22	43.50	TRADE DESK INC CLASS A	CUSIP: *8SFDW8 Symbol: TTD---220513C00043500				
2022-05-11	1.000000	158.93	2022-05-11	201.05	0.00	-42.12	
CALL QQQ 05/23/22	295	INVESCO QQQ TRUST SERIES 1	CUSIP: *8NTNLY3 Symbol: QQQ---220523C00295000				
2022-05-23	10.000000	169.47	2022-05-23	250.49	0.00	-81.02	
CALL AFRM 05/13/22	16	AFFIRM HOLDINGS INC CL A	CUSIP: *8PLYSS4 Symbol: AFRM--220513C00016000				
2022-05-12	1.000000	260.93	2022-05-12	141.05	0.00	119.88	
PUT QQQ 06/15/22	280	INVESCO QQQ TRUST SERIES 1	CUSIP: *8SRWQV6 Symbol: QQQ---220615P00280000				
2022-06-15	1.000000	84.93	2022-06-15	110.05	0.00	-25.12	
CALL COIN 05/13/22	45	COINBASE GLOBAL INC	CUSIP: *8SRXVY8 Symbol: COIN--220513C00045000				
2022-05-12	1.000000	419.93	2022-05-12	294.05	0.00	125.88	
CALL UPST 05/13/22	31	UPSTART HOLDINGS INC	CUSIP: *8SRZFP6 Symbol: UPST--220513C00031000				
2022-05-11	2.000000	397.87	2022-05-11	353.10	0.00	44.77	
CALL QQQ 06/10/22	292	INVESCO QQQ TRUST SERIES 1	CUSIP: *8SSDNL6 Symbol: QQQ---220610C00292000				
2022-06-10	2.000000	73.88	2022-06-10	122.10	0.00	-48.22	
CALL QQQ 05/25/22	292	INVESCO QQQ TRUST SERIES 1	CUSIP: *8SSDMY2 Symbol: QQQ---220525C00292000				
2022-05-25	1.000000	33.93	2022-05-25	113.05	0.00	-79.12	
PUT QQQ 06/15/22	283	INVESCO QQQ TRUST SERIES 1	CUSIP: *8SRWQW2 Symbol: QQQ---220615P00283000				
2022-06-15	1.000000	164.93	2022-06-15	109.05	0.00	55.88	
PUT QQQ 06/15/22	275	INVESCO QQQ TRUST SERIES 1	CUSIP: *8SSXVV1 Symbol: QQQ---220615P00275000				
2022-06-15	1.000000	59.93	2022-06-15	124.05	0.00	-64.12	
CALL BABA 06/24/22	115	ALIBABA GROUP HOLDING LIMITED	CUSIP: *8SRHVT1 Symbol: BABA--220624C00115000				
2022-06-21	1.000000	46.93	2022-06-17	241.05	0.00	-194.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT QQQ 06/24/22	278	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8SRWRF2 Symbol: QQQ---220624P00278000				
2022-06-22	3.000000	664.81	Various	648.15	0.00	16.66	
CALL TWTR 05/13/22	41.50	TWITTER INC	CUSIP: *8SSFGB8 Symbol: TWTR--220513C00041500				
2022-05-13	10.000000	399.46	2022-05-13	400.49	0.00	-1.03	
CALL AMC 07/01/22	15	AMC ENTERTAINMENT HOLDINGS INC	CUSIP: *8SSQGT0 Symbol: AMC---220701C00015000				
2022-06-27	10.000000	259.48	2022-06-27	300.49	0.00	-41.01	
PUT QQQ 06/15/22	270	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8SSXVT1 Symbol: QQQ---220615P00270000				
2022-06-15	3.000000	125.82	2022-06-15	165.15	0.00	-39.33	
CALL AMC 05/27/22	13.50	AMC ENTERTAINMENT HOLDINGS INC	CUSIP: *8STFMP8 Symbol: AMC---220527C00013500				
2022-05-26	10.000000	369.48	2022-05-26	440.49	0.00	-71.01	
CALL AMC 05/27/22	12.50	AMC ENTERTAINMENT HOLDINGS INC	CUSIP: *8STFMP6 Symbol: AMC---220527C00012500				
2022-05-25	50.000000	1,339.41	2022-05-25	902.46	0.00	436.95	
CALL SIGA 06/17/22	12.05	SIGA TECHNOLOGIES INC ADJ CASH DISTRIBUTION	CUSIP: *8SVHJH2 Symbol: SIGA--220617C00012050				
2022-05-20	3.000000	674.80	2022-05-20	585.15	0.00	89.65	
2022-05-27	1.000000	329.93	2022-05-27	165.05	0.00	164.88	
Security Totals:		1,004.73		750.20		254.53	
CALL SNOW 05/27/22	175	SNOWFLAKE INC CLASS A	CUSIP: *8SRGPL0 Symbol: SNOW--220527C00175000				
2022-05-23	1.000000	209.93	2022-05-23	263.05	0.00	-53.12	
CALL AMZN 06/03/22	2465	AMAZON.COM INC	CUSIP: *8SRHVM9 Symbol: #8SRHVM9				
2022-06-03	1.000000	599.92	2022-06-03	700.05	0.00	-100.13	
CALL QQQ 06/17/22	278	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8SRWRD3 Symbol: QQQ---220617C00278000				
2022-06-17	10.000000	49.48	2022-06-17	340.49	0.00	-291.01	
CALL SIGA 05/20/22	12.05	SIGA TECHNOLOGIES INC ADJ CASH DISTRIBUTION	CUSIP: *8SVHJG2 Symbol: SIGA--220520C00012050				
2022-05-20	10.000000	249.48	2022-05-20	200.49	0.00	48.99	
CALL BABA 06/10/22	101	ALIBABA GROUP HOLDING LIMITED	CUSIP: *8SRBQF1 Symbol: BABA--220610C00101000				
2022-06-07	1.000000	364.93	2022-06-06	212.05	0.00	152.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL QQQ 06/15/22	282	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8SRWQV9 Symbol: QQQ---220615C00282000				
2022-06-15	1.000000	272.93	2022-06-15	261.05	0.00	11.88	
CALL QQQ 06/15/22	280	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8SRWQV5 Symbol: QQQ---220615C00280000				
2022-06-14	1.000000	199.93	2022-06-14	268.05	0.00	-68.12	
CALL AMC 06/17/22	13.50	AMC ENTERTAINMENT HOLDINGS INC	CUSIP: *8KNKFK1 Symbol: AMC---220617C00013500				
2022-06-17	20.000000	39.48	2022-06-17	152.98	0.00	-113.50	
CALL SIGA 06/17/22	15	SIGA TECHNOLOGIES INC	CUSIP: *8LJNTJ7 Symbol: SIGA--220617C00015000				
2022-05-31	3.000000	347.83	2022-05-31	420.15	0.00	-72.32	
PUT QQQ 06/13/22	295	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8LJKWW1 Symbol: QQQ---220613P00295000				
2022-06-10	2.000000	1,349.85	2022-06-09	342.10	0.00	1,007.75	
PUT SNOW 05/27/22	128	SNOWFLAKE INC CLASS A	CUSIP: *8SSYMK9 Symbol: SNOW--220527P00128000				
2022-05-27	1.000000	279.93	2022-05-26	290.05	0.00	-10.12	
CALL QQQ 05/31/22	313	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8LLJQC8 Symbol: QQQ---220531C00313000				
2022-05-31	10.000000	199.44	2022-05-31	260.49	0.00	-61.05	
CALL QQQ 06/01/22	309	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8KLHTR5 Symbol: QQQ---220601C00309000				
2022-06-01	10.000000	169.48	2022-06-01	390.50	0.00	-221.02	
CALL SNOW 05/27/22	121	SNOWFLAKE INC CLASS A	CUSIP: *8KLLTZ8 Symbol: SNOW--220527C00121000				
2022-05-26	1.000000	339.93	2022-05-26	380.05	0.00	-40.12	
PUT QQQ 06/27/22	291	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8KMDTP7 Symbol: QQQ---220627P00291000				
2022-06-27	3.000000	41.83	2022-06-27	123.15	0.00	-81.32	
PUT QQQ 06/29/22	275	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8KMDWZ3 Symbol: QQQ---220629P00275000				
2022-06-29	10.000000	0.00	2022-06-29	240.49	0.00	-240.49	
PUT QQQ 06/13/22	298	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8KMDPM2 Symbol: QQQ---220613P00298000				
2022-06-09	1.000000	299.93	2022-06-09	198.05	0.00	101.88	
PUT QQQ 06/08/22	307	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8PQQDW7 Symbol: QQQ---220608P00307000				
2022-06-08	3.000000	209.83	2022-06-08	123.15	0.00	86.68	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL FDX 06/24/22	250 FEDEX CORP CUSIP: *8SRKRB1 Symbol: FDX---220624C00250000						
2022-06-23	13.000000	402.31	2022-06-23	1,215.65	0.00	-813.34	
CALL QQQ 06/08/22	313 INVESCO QQQ TRUST SERIES 1 CUSIP: *8PQQDX4 Symbol: QQQ---220608C00313000						
2022-06-08	5.000000	159.73	2022-06-08	320.25	0.00	-160.52	
PUT XOM 06/10/22	100 EXXON MOBIL CORP CUSIP: *8SQYDP2 Symbol: XOM---220610P00100000						
2022-06-10	5.000000	99.70	2022-06-10	170.25	0.00	-70.55	
CALL SHOP 06/24/22	350 SHOPIFY INC CUSIP: *8SSYJH4 Symbol: SHOP--220624C00350000						
2022-06-21	1.000000	439.92	2022-06-21	530.05	0.00	-90.13	
CALL FUTU 06/10/22	46.50 FUTU HOLDINGS LTD ADS CUSIP: *8PRSLP3 Symbol: FUTU--220610C00046500						
2022-06-08	1.000000	114.93	2022-06-07	124.05	0.00	-9.12	
CALL AMZN 06/10/22	123 AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *8STYXN9 Symbol: AMZN--220610C00123000						
2022-06-07	1.000000	171.93	2022-06-07	203.05	0.00	-31.12	
CALL QQQ 06/15/22	285 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SRWQW5 Symbol: QQQ---220615C00285000						
2022-06-15	1.000000	81.93	2022-06-15	109.05	0.00	-27.12	
CALL BABA 06/17/22	104 ALIBABA GROUP HOLDING LIMITED CUSIP: *8PQWZJ4 Symbol: BABA--220617C00104000						
2022-06-14	1.000000	267.93	2022-06-14	288.05	0.00	-20.12	
CALL META 06/24/22	170 META PLATFORMS INC CL A CUSIP: *8PSMLT6 Symbol: META--220624C00170000						
2022-06-21	1.000000	131.93	2022-06-21	165.05	0.00	-33.12	
CALL BITO 06/17/22	15.50 PROSHARES BITCOIN STRATEGY ETF CUSIP: *8KPGMX9 Symbol: BITO--220617C00015500						
2022-06-14	4.000000	75.78	2022-06-13	236.20	0.00	-160.42	
CALL QQQ 06/17/22	273 INVESCO QQQ TRUST SERIES 1 CUSIP: *8LJKXJ8 Symbol: QQQ---220617C00273000						
2022-06-16	1.000000	200.93	2022-06-16	209.05	0.00	-8.12	
CALL QQQ 06/13/22	281 INVESCO QQQ TRUST SERIES 1 CUSIP: *8LJKVT4 Symbol: QQQ---220613C00281000						
2022-06-13	1.000000	124.93	2022-06-13	96.05	0.00	28.88	
CALL META 07/01/22	160 META PLATFORMS INC CL A CUSIP: *8PSMMV2 Symbol: META--220701C00160000						
2022-07-01	1.000000	17.93	2022-07-01	75.05	0.00	-57.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL QQQ 06/21/22	283	INVESTCO QQQ TRUST SERIES 1	CUSIP: *8STKGD8 Symbol: QQQ---220621C00283000				
2022-06-21	10.000000	29.48	2022-06-21	260.49	0.00	-231.01	
CALL META 06/24/22	160	META PLATFORMS INC CL A	CUSIP: *8PSMLR9 Symbol: META--220624C00160000				
2022-06-22	1.000000	294.93	2022-06-21	332.05	0.00	-37.12	
CALL NFLX 07/22/22	250	NETFLIX INC	CUSIP: *8SSMSD3 Symbol: NFLX--220722C00250000				
2022-07-20	1.000000	9.93	2022-07-19	122.05	0.00	-112.12	
CALL GGPI 06/24/22	17.50	GORES GUGGENHEIM INC	CUSIP: *8STDXL6 Symbol: #8STDXL6				
2022-06-23	20.000000	158.97	2022-06-22	200.98	0.00	-42.01	
***POLESTAR AUTOMOTIVE HOLDING UK PLC CLASS A ADS			CUSIP: 731105201 Symbol: PSNY				
2022-07-01	10.000000	89.28	2022-05-26	104.30	0.00	-15.02	
CALL PSNY 07/01/22	12.50	POLESTAR AUTOMTVE HLDNG UK PLC	CUSIP: *8NHNPJ6 Symbol: PSNY--220701C00012500				
2022-07-01	2.000000	0.00	2022-06-22	105.10	0.00	-105.10	
BLACKBOXSTOCKS INC COMMON STOCK			CUSIP: 09229E204 Symbol: BLBX				
2022-04-04	380.000000	1,075.34	2022-03-31	1,254.00	0.00	-178.66	
CERBERUS CYBER SENTINEL CORPORATION COMMON STOCK			CUSIP: 15672X102 Symbol: CISO				
2022-03-24	113.000000	870.14	2022-03-22	875.75	0.00	-5.61	
DIGITAL WORLD ACQUISITION CORP CLASS A COMMON STOCK			CUSIP: 25400Q105 Symbol: DWAC				
2022-03-07	6.000000	536.38	2022-02-25	551.31	0.00	-14.93	
E2OPEN PARENT HOLDINGS INC CLASS A COMMON STOCK			CUSIP: 29788T103 Symbol: ETWO				
2022-01-31	6.000000	53.56	2021-09-01	74.76	0.00	-21.20	
FISKER INC CLASS A COMMON STOCK			CUSIP: 33813J106 Symbol: FSR				
2022-04-05	156.000000	2,238.56	2022-03-31	2,056.74	0.00	181.82	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
GORES GUGGENHEIM INC CLASS A COMMON STOCK CUSIP: 38286Q107 Symbol: #G030295							
2022-03-07	100.000000	1,103.98	2022-03-03	1,131.00	27.02 D	-27.02	
2022-03-22	78.000000	882.16	2022-03-03	882.18	0.02 D	-0.02	
2022-04-08	200.000000	2,361.95	2022-03-25	2,309.04	0.00	52.91	
2022-04-11	327.000000	3,907.59	Various	3,982.93	100.02 D	-75.34	
2022-04-25	250.000000	2,749.95	2022-04-13	3,084.60	0.00	-334.65	
Security Totals:		11,005.63		11,389.75		-384.12	
KLX ENERGY SVCS HLDGS INC COMMON STOCK CUSIP: 48253L205 Symbol: KLXE							
2022-04-18	100.000000	627.98	2022-04-18	639.00	0.00	-11.02	
LUCID GROUP INC COMMON STOCK CUSIP: 549498103 Symbol: LCID							
2022-02-28	17.000000	440.11	2022-02-25	438.94	0.00	1.17	
***NIO INC AMERICAN DEPOSITARY SHARES ECH RPRSNTNG ONE CL A ORD SHARE CUSIP: 62914V106 Symbol: NIO							
2022-03-31	59.000000	1,247.83	Various	1,321.58	0.00	-73.75	
SYPRIS SOLUTIONS INC CUSIP: 871655106 Symbol: SYPR							
2022-03-09	403.000000	1,027.63	2022-03-07	1,108.25	0.00	-80.62	
SPIRIT AIRLINES INC COMMON STOCK CUSIP: 848577102 Symbol: SAVE							
2022-04-08	10.000000	265.28	2022-04-05	269.20	0.00	-3.92	
SOFI TECHNOLOGIES INC COMMON STOCK CUSIP: 83406F102 Symbol: SOFI							
2022-03-24	166.000000	1,560.37	2022-03-10	1,565.38	0.00	-5.01	
TWITTER INC CUSIP: 90184L102 Symbol: #T018836							
2022-04-06	20.000000	1,011.98	2022-04-05	1,033.20	0.00	-21.22	
TILRAY BRANDS INC CLASS 2 COMMON STOCK CUSIP: 88688T100 Symbol: TLRV							
2022-04-01	212.000000	1,662.04	2022-03-24	1,564.55	0.00	97.49	
PUT QQQ 06/17/22 275 INVESCO QQQ TRUST SERIES 1 CUSIP: *8CVYZH3 Symbol: QQQ---220617P00275000							
2022-06-15	2.000000	357.88	2022-06-15	621.10	0.00	-263.22	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5NJ89357

(continued)

02/09/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

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1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 09/21/22 375 STANDARD & POORS DEPOSITORY CUSIP: *8BTPBN7 Symbol: SPY---220921P00375000							
2022-09-21	1.000000	0.00	2022-09-21	35.05	0.00	-35.05	
CALL BABA 06/17/22 110 ALIBABA GROUP HOLDING LIMITED CUSIP: *8578493 Symbol: BABA--220617C00110000							
2022-06-17	1.000000	0.00	2022-06-17	149.05	0.00	-149.05	
PUT AMC 05/20/22 15 AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8PKBBD6 Symbol: AMC---220520P00015000							
2022-05-17	5.000000	1,199.71	2022-05-17	1,290.25	18.11 D	-90.54	
2022-05-18	1.000000	183.93	2022-05-18	219.16	0.00	-35.23	
Security Totals:		1,383.64		1,509.41		-125.77	
Totals:		111,282.55		120,566.34		-9,283.79	

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Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2022 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Future developments. For the latest information about developments related to forms and instructions, such as legislation enacted after they were published, go to www.irs.gov/forms-instructions.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Box 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

Boxes 14-16. State income tax withheld reporting boxes.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

1099-B Instructions to Recipients

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

- Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.
- Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).
- Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.
- Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.
- Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.
- Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.
- Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.
- Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.
- Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**
- Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2022.
- Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2021.
- Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2022. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2022 in 2023.
- Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2022 Form 6781.
- Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.
- Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.
- Boxes 14–16.** Show state(s)/local income tax information.

1099-MISC Instructions to Recipients

Amounts shown may be subject to self-employment (SE) tax. Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the appropriate line of their tax returns.

Form 1099-MISC incorrect? If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your information correctly.

- Box 1.** Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.
- Box 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.
- Box 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).
- Box 4.** Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.
- Box 5.** Shows the amount paid to you as a fishing boat crew member by the operator, who considers you to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.
- Box 6.** For individuals, report on Schedule C (Form 1040).
- Box 7.** If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).
- Box 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).
- Box 9.** Report this amount on Schedule F (Form 1040).
- Box 10.** Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.
- Box 11.** Shows the amount of cash you received for the sale of fish if you are in the trade or business of catching fish.
- Box 12.** May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A plus any earnings on current and prior year deferrals.
- Box 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.
- Box 14.** Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.
- Box 15.** Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.
- Boxes 16–18.** Show state or local income tax withheld from the payments.

1099-OID Instructions to Recipients

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, you generally must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

- Box 1.** Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.
- Box 2.** Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.
- Box 3.** Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you.

For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR). If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and is issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Boxes 12-14. State income tax withheld reporting.