Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Dileep Potru 1031 W Ashmore Landing Loop Centerton, AR 72719 Master Account Number for Import: 661491977 Document ID for Import: 1R7BG8M1RRK

Enclosed is your 2023 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

661491977 - Robinhood Securities LLC 661491977C - Robinhood Crypto LLC

**New for 2023 Tax Year** - 1099 tax forms for Robinhood Markets Inc. will be displayed using an aggregated format based on key tax lot criteria. We have done this to reduce the size of your overall tax package, as well as to help make your tax documents easier to use. This new format still provides you with all the information you need to file your taxes.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2023 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

**Filing Your Taxes** - If filing through tax service providers, such as TurboTax or H&R Block, please utilize the Master Account Number and Document ID listed above. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

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Robinhood Markets Inc. as agent for Robinhood Securities LLC		nformation nt 661491977	Statement Date:	02/12/2024	2023
85 Willow Road I Menlo Park, CA 94025	Dileep Potru 1031 W Ashmore Landir Centerton, AR 72719	ng Loop			·
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XXX	-XX-6312			
11 - [] FATCA filing requirement (see instructions)	Summary	Information		13 - [ ] FATCA filing requirer	nent (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2023 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2023 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	65.69	2- Royalties			0.00
1b- Qualified dividends	51.97	3- Other income			0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax	withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments	in lieu of dividends or	rinterest	0.00
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00	SECTION 1256 CO	NTRACTS	2023 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00	8- Profit or (loss) realiz	ed in 2023 on closed (	contracte	0.00
2f- Section 897 capital gain	0.00	9- Unrealized profit or			0.00
3- Nondividend distributions 4- Federal income tax withheld	1.26 <b>0.00</b>	10- Unrealized profit or			0.00
5- Section 199A dividends	13.50	11- Aggregate profit or (			0.00
6- Investment expenses	0.00		,		
8- Foreign country or US possession: See detail 7- Foreign tax pa				ons appear summarized bel	ow and are
9- Cash liquidation distributions	0.00		nt sections of this doc		
10- Noncash liquidation distributions	0.00	* This is important tax information			
12- Exempt-interest dividends (includes line 13)	0.00	If you are required to file a retu			
13- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and	the IRS determines	that it has not been repor	ted.

## SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	6,229.04	7,249.68	0.00	408.18	-612.46
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	6,229.04	7,249.68	0.00	408.18	-612.46
Long	D (basis reported to the IRS)	113.50	779.58	0.00	283.02	-383.06
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	113.50	779.58	0.00	283.02	-383.06
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	6,342.54	8,029.26	0.00	691.20	-995.52
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

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## Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

2023

## **Summary Information** (continued)

02/12/2024

Account 661491977

#### **INTEREST INCOME** 2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
<ol><li>9- Specified private activity bond interest (AMT)</li></ol>	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
<ol><li>Bond premium on Treasury obligations (covered lots)</li></ol>	0.00
<ol><li>Bond premium on tax-exempt bonds (categorized below)</li></ol>	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Taxable accrued measury interest paid	
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nongualified interest paid	0.00
Tax-exempt accrued nongualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00
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### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

## **ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year Acquisition premium (covered lots)	0.00 0.00
Acquisition premium (covered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

## **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	1.88
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

## Robinhood Markets Inc. as agent for Robinhood Securities LLC

# Proceeds from Broker and Barter Exchange Transactions

**2023 1099-B**\* OMB No. 1545-0715

02/12/2024

Account 661491977

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MZN 04/21/2023 PUT \$50	0.00 / CUSIP: / Symbol:						
04/21/23	5.000	0.00	Various	23.00		-23.00	Total of 5 transactions
BBY 01/27/2023 CALL \$5	5.50 / CUSIP: / Symbol:						
01/26/23	2.000	3.98	Various	166.00		-162.02	Total of 2 transactions
BBY 01/27/2023 CALL \$6	6.00 / CUSIP: / Symbol:						
01/13/23	1.000	100.98	01/12/23	75.00		25.98	Option sale to close-call <sup>25</sup>
BBY 02/10/2023 PUT \$0.	50 / CUSIP: / Symbol:						
01/31/23	1.000	2.98	01/11/23	7.00		-4.02	Option sale to close-put <sup>25</sup>
02/10/23	1.000	0.00	01/11/23	7.00		-7.00	Option expiration- long put
	Security total:	2.98		14.00		-11.02	
BBY 02/24/2023 CALL \$5	5.00 / CUSIP: / Symbol:						
02/07/23	1.000	44.98	01/31/23	35.00		9.98	Option sale to close-call <sup>25</sup>

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## **Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

## (continued)

02/12/2024

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BBBY 02/24/2023 CALL \$5.50	/ CUSIP: / Symbol:						
01/31/23	1.000	26.98	01/23/23	27.00		-0.02	Option sale to close-call <sup>25</sup>
BBBY 05/19/2023 CALL \$4.50	/ CUSIP: / Symbol:						
04/18/23	1.000	1.98	03/31/23	28.02		-26.04	Option sale to close-call <sup>25</sup>
04/18/23	1.000	1.98	02/14/23	23.00	21.02 W	0.00	Option sale to close-call <sup>25</sup>
	Security total:	3.96		51.02	21.02 W	-26.04	
F 02/17/2023 CALL \$14.85 / C	,						
02/17/23	1.000	0.00	02/02/23	13.00		-13.00	Option expiration- long call
F 07/21/2023 CALL \$13.00 / C	USIP: / Symbol:						
07/05/23	1.000	239.98	06/13/23	142.00		97.98	Option sale to close-call <sup>25</sup>
F 08/11/2023 CALL \$14.50 / C	USIP: / Symbol:						
07/10/23	1.000	83.98	07/06/23	90.00		-6.02	Option sale to close-call <sup>25</sup>
F 08/18/2023 CALL \$15.00 / C	USIP: / Symbol:						
08/18/23	6.000	0.00	Various	100.00		-100.00	Total of 6 transactions
F 09/15/2023 CALL \$14.00 / C	USIP: / Symbol:						
08/18/23	1.000	2.98	08/02/23	23.00		-20.02	Option sale to close-call <sup>25</sup>
F 11/17/2023 CALL \$17.00 / C	USIP: / Symbol:						
07/26/23	1.000	17.98	07/24/23	21.00		-3.02	Option sale to close-call <sup>25</sup>
F 11/24/2023 CALL \$12.00 / C	USIP: / Symbol:						
11/24/23	3.000	0.00	Various	32.09		-32.09	Total of 3 transactions
FTCH 09/08/2023 CALL \$3.50	/ CUSIP: / Symbol:						
09/08/23	1.000	0.00	08/24/23	3.00		-3.00	Option expiration- long call
FTCH 09/29/2023 CALL \$3.00	/ CUSIP: / Symbol:						
09/05/23	1.000	12.98	08/21/23	29.00		-16.02	Option sale to close-call <sup>25</sup>

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## **Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

## (continued)

02/12/2024

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

# "Gain or loss (-)" is NOT reported to the IRS.

1c- Date	1d- Proceeds &	41. D-11.	<b>1 0 - - - - - - -</b>	1f- Accrued mkt disc (D) &	Gain or loss(-) &		
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
LCID 09/15/2023 CALL \$8.0	00 / CUSIP: / Symbol:						
08/21/23	1.000	7.98	08/07/23	27.00	19.02 W	0.00	Option sale to close-call 25
09/15/23	1.000	0.00	08/18/23	27.02		-27.02	Option expiration- long call
	Security total:	7.98		54.02	19.02 W	-27.02	
MSFT 07/21/2023 PUT \$120	0.00 / CUSIP: / Symbol:						
07/21/23	4.000	0.00	Various	55.00		-55.00	Total of 4 transactions
NIO 09/22/2023 CALL \$11.0	00 / CUSIP: / Symbol:						
08/22/23	1.000	92.98	08/21/23	89.00		3.98	Option sale to close-call 25
NIO 09/22/2023 CALL \$11.5	50 / CUSIP: / Symbol:						
09/14/23	1.000	6.98	08/22/23	77.00		-70.02	Option sale to close-call 25
NIO 09/29/2023 CALL \$14.0	00 / CUSIP: / Symbol:						
09/08/23	1.000	4.98	08/14/23	74.00	69.02 W	0.00	Option sale to close-call 25
09/12/23	1.000	3.98	08/22/23	102.02		-98.04	Option sale to close-call 25
	Security total:	8.96		176.02	69.02 W	-98.04	
NIO 10/27/2023 CALL \$9.50	) / CUSIP: / Symbol:						
10/16/23	1.000	7.98	09/19/23	41.00		-33.02	Option sale to close-call <sup>25</sup>
NKLA 08/18/2023 CALL \$2.0	00 / CUSIP: / Symbol:						
07/18/23	1.000	81.98	07/13/23	63.00		18.98	Option sale to close-call 25
NKLA 08/25/2023 CALL \$2.	50 / CUSIP: / Symbol:						
08/01/23	1.000	86.98	07/14/23	96.00	9.02 W	0.00	Option sale to close-call 25
08/02/23	1.000	76.98	07/14/23	56.02		20.96	Option sale to close-call 25
	Security total:	163.96		152.02	9.02 W	20.96	
NKLA 09/01/2023 CALL \$3.	50 / CUSIP: / Symbol:						
08/07/23	1.000	10.98	08/01/23	69.00		-58.02	Option sale to close-call <sup>25</sup>
NKLA 10/20/2023 CALL \$5.0	00 / CUSIP: / Symbol:						
08/09/23	1.000	11.98	08/07/23	14.00		-2.02	Option sale to close-call 25
QQQ 06/16/2023 PUT \$150	.00 / CUSIP: / Symbol:						
06/16/23	4.000	0.00	Various	32.00		-32.00	Total of 4 transactions

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2023 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	( )	Additional information
SLA 08/18/2023 PUT	\$35.00 / CUSIP: / Symbol:						
05/08/23	1.000	3.98	04/10/23	16.02		-12.04	Option sale to close-put <sup>25</sup>
05/08/23	1.000	3.98	03/21/23	11.00	7.02 W	0.00	Option sale to close-put <sup>25</sup>
	Security total:	7.96		27.02	7.02 W	-12.04	
OM 01/19/2024 CALL	. \$175.00 / CUSIP: / Symbol:						
04/05/23	1.000	44.98	03/30/23	26.00		18.98	Option sale to close-call 25
CTIVISION BLIZZAR	D, INC. COMM ON STOCK / CL	JSIP: 00507V109 / Syn	nbol:				
10/13/23	0.232	22.04	Various	21.00		1.04	Total of 3 transactions
ADVANCED MICRO D	EVICES, INC. C OMMON STO	CK / CUSIP: 00790310	7 / Symbol:				
01/19/23	1.481	100.80	Various	100.00		0.80	Total of 2 transactions
ALPHABET INC. CLAS	SS A COMMON S TOCK / CUSI	P: 02079K305 / Symbo	ol:				
01/19/23	1.000	92.50	10/27/22	90.91		1.59	Sale <sup>25</sup>
MAZON.COM, INC. C	COMMON STOCK / CUSIP: 023	135106 / Symbol:					
02/13/23	4.129	404.02	Various	411.89		-7.87	Total of 11 transactions
03/01/23	2.164	200.00	Various	198.49		1.51	Total of 4 transactions
	Security total:	604.02		610.38		-6.36	
PPLE INC. COMMON	N STOCK / CUSIP: 037833100 /	Symbol:					
01/19/23	1.791	240.47	Various	267.56		-27.09	Total of 3 transactions
01/19/23	0.069	9.24	07/12/22	10.00	0.08 W	-0.68	Sale <sup>25</sup>
	Security total:	249.71		277.56	0.08 W	-27.77	
RM HOLDINGS PLC	AMERICAN DEPO SITARY SH	ARES / CUSIP: 04206	8205 / Symbol:	:			
10/18/23	1.965	100.86	Various	130.00		-29.14	Total of 5 transactions
BED BATH & BEYONE	NINC COMMON S TOCK / CUS	IP: 075896100 / Symb	ol:				
01/11/23	51.560	129.14	Various	113.12		16.02	Total of 3 transactions
01/11/23	121.802	300.83	Various	316.69	15.86 W	0.00	Total of 4 transactions
01/12/23	163.242	672.52	Various	477.33		195.19	Total of 5 transactions
01/13/23	28.766	141.23	Various	112.84		28.39	Total of 6 transactions
01/13/23	50.000	245.50	01/12/23	261.50	12.53 W	-3.47	Sale <sup>25</sup>
01/20/23	20.000	69.10	Various	89.15	15.72 W	-4.33	Total of 2 transactions

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Account 661491977

2023 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BED BATH & BEYON	ID INC COMMON S TOCK / CU	SIP: 075896100 / Symb	ol: (cont'd)				
04/05/23	54.434	18.59	Various	185.34	166.75 W	0.00	Total of 12 transactions
04/28/23	29.000	3.50	Various	118.30		-114.80	Total of 7 transactions
04/28/23	6.000	0.72	Various	37.20	36.48 W	0.00	Total of 2 transactions
05/02/23	36.000	2.49	Various	55.10		-52.61	Total of 7 transactions
	Security total:	1,583.62		1,766.57	247.34 W	64.39	
OCA-COLA COMPA	ANY / CUSIP: 191216100 / Symb	pol:					
01/19/23	1.000	60.56	09/21/22	60.45		0.11	Sale <sup>25</sup>
BAY INC. COMMON	N STOCK / CUSIP: 278642103 /	Symbol:					
01/19/23	1.000	45.14	11/02/22	38.44		6.70	Sale <sup>25</sup>
EXELA TECHNOLOG	GIES, INC. COMMO N STOCK /	CUSIP: 30162V706 / Sy	/mbol:				
01/27/23	45.345	3.06	Various	40.25		-37.19	Total of 9 transactions
01/27/23	21.331	1.45	Various	35.02	33.57 W	0.00	Total of 7 transactions
	Security total:	4.51		75.27	33.57 W	-37.19	
IETA PLATFORMS,	INC. CLASS A C OMMON STO	CK / CUSIP: 30303M10	2 / Symbol:				
01/19/23	2.000	269.79	Various	190.94		78.85	Total of 2 transactions
ORD MOTOR COM	PANY / CUSIP: 345370860 / Syr	mbol:					
01/19/23	1.000	12.11	10/07/22	12.36		-0.25	Sale <sup>25</sup>
01/19/23	0.618	7.48	10/07/22	7.64	0.02 W	-0.14	Sale <sup>25</sup>
	Security total:	19.59		20.00	0.02 W	-0.39	
NTEL CORPORATIC	ON COMMON STOCK / CUSIP: 4	458140100 / Symbol:					
01/19/23	7.023	199.60	Various	210.74		-11.14	Total of 7 transactions
01/19/23	2.000	56.85	Various	72.07	0.27 W	-14.95	Total of 2 transactions
	Security total:	256.45		282.81	0.27 W	-26.09	
UCID GROUP, INC.	COMMON STOCK / CUSIP: 54	9498103 / Symbol:					
12/26/23	23.452	101.55	Various	132.70		-31.15	Total of 11 transactions
ATTERPORT, INC.	CLASS A COMMO N STOCK /	CUSIP: 577096100 / Sy	mbol:				
01/19/23	8.666	25.73	Various	46.56		-20.83	Total of 6 transactions

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

2023 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol

Ta- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	( )	Additional information
MICROSOFT CORPOR	RATION COMMON S TOCK / C	USIP: 594918104 / Syi	nbol:				
01/19/23	0.218	50.43	11/01/22	50.00		0.43	Sale <sup>25</sup>
01/19/23	0.375	86.83	07/08/22	100.00	0.15 W	-13.02	Sale <sup>25</sup>
	Security total:	137.26		150.00	0.15 W	-12.59	
MICROBOT MEDICAL	INC. COMMON S TOCK / CUS	IP: 59503A204 / Symb	ol:				
06/13/23	4.000	10.64	05/22/23	9.40		1.24	Sale <sup>25</sup>
NVIDIA CORPORATIC	N COMMON STOC K / CUSIP:	67066G104 / Symbol:					
01/19/23	1.674	284.50	Various	208.00		76.50	Total of 5 transactions
09/08/23	0.674	307.26	Various	260.00		47.26	Total of 7 transactions
	Security total:	591.76		468.00		123.76	
180 LIFE SCIENCES C	CORP. COMMON STOCK / CUS	SIP: 68236V203 / Symb	ol:				
12/28/23	1.000	0.20	01/25/23	4.97		-4.77	Sale <sup>25</sup>
REALTY INCOME CO	RPORATION / CUSIP: 7561091	04 / Symbol:					
01/19/23	1.157	76.32	Various	73.84		2.48	Total of 2 transactions
01/19/23	0.013	0.88	08/16/22	1.00	0.12 W	0.00	Sale <sup>25</sup>
	Security total:	77.20		74.84	0.12 W	2.48	
ROKU, INC. CLASS A	COMMON STOC K / CUSIP: 77	7543R102 / Symbol:					
01/19/23	1.000	49.45	11/03/22	44.70		4.75	Sale <sup>25</sup>
01/19/23	1.000	49.45	11/02/22	58.80	0.19 W	-9.16	Sale <sup>25</sup>
	Security total:	98.90		103.50	0.19 W	-4.41	
,	CUSIP: 79466L302 / Symbol:	004 55	Mariana	050.07		54.00	
01/19/23	1.395	201.55	Various	256.37		-54.82	Total of 4 transactions
	A SUBORDINA TE VOTING SI		,				
01/19/23	1.000	38.64	11/02/22	33.55		5.09	Sale <sup>25</sup>
SNDL INC. COMMON	SHARES / CUSIP: 83307B101	/ Symbol:					
01/19/23	2.000	4.41	01/21/22	9.49		-5.08	Sale <sup>25</sup>
STARBUCKS CORPO	RATION COMMON S TOCK / C	USIP: 855244109 / Sy	mbol:				
01/19/23	1.000	104.61	08/03/22	84.57		20.04	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions (continued)

2023 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
STRONGHOLD DIGITAL	MINING, INC . CLASS A CO	MMON STOCK / CUS	P: 86337R103	/ Symbol:			
01/19/23 01/19/23	22.000 0.036 Security total:	12.76 0.02 <b>12.78</b>	Various 04/25/22	23.38 0.14 <b>23.52</b>	 0.12 W <b>0.12 W</b>	-10.62 0.00 <b>-10.62</b>	Total of 6 transactions Sale <sup>25</sup>
TATA MOTORS LIMITED	/ CUSIP: 876568502 / Symb	ool:					
01/19/23	1.394	33.77	Various	35.35		-1.58	Total of 2 transactions
TESLA, INC. COMMON S	STOCK / CUSIP: 88160R101	/ Symbol:					
01/19/23	1.442	181.61	Various	173.00		8.61	Total of 4 transactions
VICI PROPERTIES INC.	COMMON ST OCK / CUSIP:	925652109 / Symbol:					
01/19/23 01/19/23	1.000 4.000 <b>Security total:</b>	32.96 131.84 <b>164.80</b>	Various 08/25/22	31.38 136.70 <b>168.08</b>	 1.22 W <b>1.22 W</b>	1.58 -3.64 <b>-2.06</b>	Total of 2 transactions Sale <sup>25</sup>
WAYFAIR INC. / CUSIP:	94419L101 / Symbol:						
01/19/23	1.000	38.67	11/02/22	36.44		2.23	Sale <sup>25</sup>
GORILLA TECHNOLOGY	GROUP INC. ORDINARY S	HARES / CUSIP: G40	00K100 / Symb	ool:			
12/28/23 Totals :	12.880	6.89 <b>6,229.04</b>	Various	55.82 <b>7,249.68</b>	 408.18 W	-48.93 <b>-612.46</b>	Total of 7 transactions

### LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property	/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
02/13/23	0.061	5.98	10/29/21	10.00		-4.02	Sale <sup>25</sup>

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2023 1099-B\* OMB No. 1545-0715

# LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/C	CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
EXELA TECHNOLOGIES, IN	C. COMMO N STOCK / CU	JSIP: 30162V706 / Syn	nbol:				
01/27/23 01/27/23	8.655 8.255	0.60 0.57	Various Various	247.59 242.87	 242.30 W	-246.99 0.00	Total of 15 transactions Total of 14 transactions
	Security total:	1.17		490.46	242.30 W	-246.99	
GLOBALSTAR, INC. / CUSIP 08/04/23	0.084	0.10	12/13/21	0.10		0.00	Sale <sup>25</sup>
LUCID GROUP, INC. COMMO	ON STOCK / CUSIP: 5494	98103 / Symbol:					
12/26/23	3.536	15.31	Various	84.24		-68.93	Total of 4 transactions
MATTERPORT, INC. CLASS	A COMMO N STOCK / CL	JSIP: 577096100 / Sym	nbol:				
01/19/23	1.437	4.28	Various	30.00		-25.72	Total of 4 transactions
NOVABAY PHARMACEUTIC	ALS, INC. / CUSIP: 66987	P300 / Symbol:					
06/06/23	1.000	0.61	08/12/21	0.63		-0.02	Sale 25
VIDIA CORPORATION COM	MMON STOC K / CUSIP: 6	7066G104 / Symbol:					
01/19/23 09/08/23	0.035 0.006	5.90 2.68	12/21/21 01/19/23	10.00 1.70	0.70 W 	-3.40 0.98	Sale <sup>25</sup> Sale <sup>25</sup>
	Security total:	8.58		11.70	0.70 W	-2.42	
SALESFORCE, INC. / CUSIP	: 79466L302 / Symbol:						
01/19/23	0.477	68.87	Various	130.00	40.02 W	-21.11	Total of 3 transactions
SNDL INC. COMMON SHARE	ES / CUSIP: 83307B101 / 3	Symbol:					
01/19/23	3.900	8.60	Various	22.45		-13.85	Total of 3 transactions
Totals :		113.50		779.58	283.02 W	-383.06	

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## Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

2023

# **Detail for Dividends and Distributions**

Account 661491977

02/12/2024

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, Section 897 dividends and capital gains, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. The IRS has indicated that boxes 2e and 2f are not applicable to recipients that are U.S. individuals. You should consult your tax advisor for further information.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AT&T INC.	00206R102		05/01/23	1.56	Qualified dividend	
			08/01/23	2.82	Qualified dividend	
			11/01/23	3.22	Qualified dividend	
				7.60	Total Dividends & distributions	
ACTIVISION BLIZZARD, INC. COMM ON STOCK	00507V109		08/17/23	0.01	Qualified dividend	
APPLE INC. COMMON STOCK	037833100		05/18/23	0.02	Qualified dividend	
			08/17/23	0.15	Qualified dividend	
			11/16/23	0.15	Qualified dividend	
				0.32	Total Dividends & distributions	
CHEVRON CORPORATION	166764100		06/12/23	0.01	Qualified dividend	
			09/11/23	0.01	Qualified dividend	
			12/11/23	0.10	Qualified dividend	
				0.12	Total Dividends & distributions	
COCA-COLA COMPANY	191216100		04/03/23	0.01	Qualified dividend	
			07/03/23	0.15	Qualified dividend	
			10/02/23	0.23	Qualified dividend	
			12/15/23	0.23	Qualified dividend	
				0.62	Total Dividends & distributions	
DELTA AIR LINES, INC.	247361702		08/07/23	0.10	Qualified dividend	
			11/02/23	0.10	Qualified dividend	
				0.20	Total Dividends & distributions	

# Robinhood Markets Inc. as agent for Robinhood Securities LLC

2023

# **Detail for Dividends and Distributions**

## (continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
DOLLAR GENERAL CORP.	256677105		07/25/23	0.40	Qualified dividend	
			10/24/23	0.40	Qualified dividend	
				0.80	Total Dividends & distributions	
EBAY INC. COMMON STOCK	278642103		03/24/23	0.01	Qualified dividend	
			06/16/23	0.01	Qualified dividend	
			09/15/23	0.01	Qualified dividend	
			12/15/23	0.01	Qualified dividend	
				0.04	Total Dividends & distributions	
EXXON MOBIL CORPORATION	30231G102		06/09/23	0.08	Qualified dividend	
			09/11/23	0.08	Qualified dividend	
			12/11/23	0.30	Qualified dividend	
				0.46	Total Dividends & distributions	
FORD MOTOR COMPANY	345370860		03/01/23	0.05	Qualified dividend	
			03/01/23	0.01	Qualified dividend	
			06/01/23	2.50	Qualified dividend	
			09/01/23	3.99	Qualified dividend	
			12/01/23	3.99	Qualified dividend	
				10.54	Total Dividends & distributions	
INTEL CORPORATION COMMON STOCK	458140100		03/01/23	0.01	Qualified dividend	
			06/01/23	0.18	Qualified dividend	
			09/01/23	0.55	Qualified dividend	
			12/01/23	0.55	Qualified dividend	
				1.29	Total Dividends & distributions	
INVESCO S&P 500 HIGH DIVIDEND LOW	46138E362		01/27/23	0.34	Qualified dividend	03
VOLATILITY ETF			01/27/23	0.08	Section 199A dividend	03
			01/27/23	0.01	Nonqualified dividend	03
			02/24/23	0.35	Qualified dividend	03
			02/24/23	0.08	Section 199A dividend	03
			02/24/23	0.01	Nonqualified dividend	03
			03/24/23	0.40	Qualified dividend	03
			03/24/23	0.09	Section 199A dividend	03
			03/24/23	0.02	Nonqualified dividend	03
			04/28/23	0.40	Qualified dividend	03
			04/28/23	0.10	Section 199A dividend	03
			04/28/23	0.02	Nonqualified dividend	03

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Robinhood Markets Inc. as agent for Robinhood Securities LLC	Da	tail for	Dividondo a	and Distribut		Account 661491977		
	Detail for Dividends and Distributions							
2023			(continue	ed)		02/12/2024		
Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes		
NVESCO S&P 500 HIGH DIVIDEND LOW			05/26/23	0.41	Qualified dividend	03		
OLATILITY ETF (cont'd)			05/26/23	0.10	Section 199A dividend	03		
			05/26/23	0.02	Nonqualified dividend	03		
			06/23/23	0.42	Qualified dividend	03		
			06/23/23	0.10	Section 199A dividend	03		
			06/23/23	0.02	Nongualified dividend	03		
			07/28/23	0.43	Qualified dividend	03		
			07/28/23	0.10	Section 199A dividend	03		
			07/28/23	0.02	Nonqualified dividend	03		
			08/25/23	0.44	Qualified dividend	03		
			08/25/23	0.11	Section 199A dividend	03		
			08/25/23	0.02	Nongualified dividend	03		
			09/22/23	0.49	Qualified dividend	03		
			09/22/23	0.11	Section 199A dividend	03		
			09/22/23	0.02	Nongualified dividend	03		
			10/27/23	0.49	Qualified dividend	03		
			10/27/23	0.11	Section 199A dividend	03		
			10/27/23	0.02	Nongualified dividend	03		
			11/24/23	0.44	Qualified dividend	03		
			11/24/23	0.11	Section 199A dividend	03		
			11/24/23	0.02	Nongualified dividend	03		
			12/22/23	0.46	Qualified dividend	03		
			12/22/23	0.11	Section 199A dividend	03		
			12/22/23	0.02	Nongualified dividend	03		
				6.49	Total Dividends & distributions			
HARES CORE HIGH DIVIDEND ETF	46429B663		03/29/23	2.64	Qualified dividend			
			06/13/23	2.01	Qualified dividend			
			10/02/23	2.73	Qualified dividend			
			12/27/23	2.47	Qualified dividend			
				9.85	Total Dividends & distributions	 3		
OUNDHILL BALL METAVERSE ETF	53656F417		12/29/23	0.04	Qualified dividend			
CDONALD'S CORPORATION	580135101		03/15/23	0.01	Qualified dividend			
			06/20/23	0.01	Qualified dividend			
			09/18/23	0.01	Qualified dividend			
			12/15/23	0.01	Qualified dividend			
				0.17	Total Dividends & distributions	 6		
				0.11		-		

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## Robinhood Markets Inc. as agent for Robinhood Securities LLC

2023

# **Detail for Dividends and Distributions**

## (continued)

02/12/2024

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
MICROSOFT CORPORATION COMMON S	594918104		09/14/23	0.10	Qualified dividend	
TOCK			12/14/23	0.11	Qualified dividend	
				0.21	Total Dividends & distributions	
NOKIA CORPORATION	654902204		08/08/23	0.08	Qualified dividend	
			08/08/23	-0.03	Foreign tax withheld-FI	
			11/07/23	0.08	Qualified dividend	
			11/07/23	-0.03	Foreign tax withheld-FI	
				0.16	Total Dividends & distributions	
				-0.06	Total Foreign tax withheld	
NVIDIA CORPORATION COMMON STOC K	67066G104		06/30/23	0.01	Qualified dividend	
			09/28/23	0.03	Qualified dividend	
			12/28/23	0.01	Qualified dividend	
				0.05	Total Dividends & distributions	
REALTY INCOME CORPORATION	756109104		01/13/23	0.27	Section 199A dividend	03
			01/13/23	0.02	Nondividend distribution	03
			04/14/23	0.38	Section 199A dividend	03
			04/14/23	0.03	Nondividend distribution	03
			05/15/23	0.38	Section 199A dividend	03
			05/15/23	0.03	Nondividend distribution	03
			06/15/23	0.38	Section 199A dividend	03
			06/15/23	0.03	Nondividend distribution	03
			07/14/23	0.38	Section 199A dividend	03
			07/14/23	0.03	Nondividend distribution	03
			08/15/23	0.78	Section 199A dividend	03
			08/15/23	0.06	Nondividend distribution	03
			09/15/23	0.78	Section 199A dividend	03
			09/15/23	0.06	Nondividend distribution	03
			10/13/23	0.78	Section 199A dividend	03
			10/13/23	0.06	Nondividend distribution	03
			11/15/23	0.78	Section 199A dividend	03
			11/15/23	0.06	Nondividend distribution	03
			12/15/23	0.78	Section 199A dividend	03
			12/15/23	0.06	Nondividend distribution	03
				6.13	Total Dividends & distributions	

Account 661491977

#### Robinhood Markets Inc. as agent for **Robinhood Securities LLC Detail for Dividends and Distributions** 2023 02/12/2024 (continued) Security description CUSIP and/or symbol Date Transaction type State Amount STARBUCKS CORPORATION COMMON S 855244109 02/24/23 0.01 Qualified dividend

TOCK 05/26/23 0.01 Qualified dividend 08/25/23 1.09 Qualified dividend 11/24/23 1.17 Qualified dividend 2.28 **Total Dividends & distributions** VANGUARD TOTAL STOCK MARKET ET F 922908769 03/28/23 0.04 Qualified dividend 06/28/23 0.04 Qualified dividend 09/26/23 0.04 Qualified dividend 12/27/23 0.05 Qualified dividend 0.17 **Total Dividends & distributions** VICI PROPERTIES INC. COMMON ST OCK 925652109 01/05/23 1.37 Section 199A dividend 03 01/05/23 0.03 Nondividend distribution 03 01/05/23 0.02 Qualified dividend 03 04/06/23 1.71 Section 199A dividend 03 04/06/23 0.03 Qualified dividend 03 04/06/23 0.03 Nondividend distribution 03 07/06/23 1.71 Section 199A dividend 03 07/06/23 0.03 Qualified dividend 03 07/06/23 0.03 Nondividend distribution 03 10/05/23 1.82 Section 199A dividend 03 10/05/23 Qualified dividend 03 0.03 10/05/23 0.03 Nondividend distribution 03 6.84 **Total Dividends & distributions** WILLIAMS COMPANIES INC. 969457100 03/27/23 2.11 Qualified dividend 03 03/27/23 0.13 Nondividend distribution 03 06/26/23 3.25 Qualified dividend 03 06/26/23 0.19 Nondividend distribution 03 09/25/23 3.25 Qualified dividend 03 09/25/23 0.19 Nondividend distribution 03 12/26/23 3.25 Qualified dividend 03 12/26/23 0.19 Nondividend distribution 03 12.56 **Total Dividends & distributions** 66.95 **Total Dividends & distributions** -0.06 **Total Foreign tax withheld** 

Account 661491977

Notes

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# Robinhood Markets Inc. as agent for Robinhood Securities LLC

2023

# **Other Receipts and Reconciliations**

Account 661491977

02/12/2024

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
VICI PROPERTIES INC. COMMON ST OCK	925652109	01/04/24	1.88	Deferred income payment	03,24
		=	1.88	Total Deferred income payment	

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# Robinhood Markets Inc. as agent for Robinhood Securities LLC

# **Mutual Fund and UIT Supplemental Information**

Account 661491977

02/12/2024

The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

## VANGUARD TOTAL STOCK MARKET ET F / 922908769

### PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

### Fed Source Total

2023

**0.06%** (A detailed breakdown is shown below when available)

Agency	%	Agency	%	Agency	%
U.S. Treasury	0.02	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.04	Student Loan	0.00	Other Dir. Fed	0.00

Account 661491977

02/12/2024

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

	Dividends						
Country	Security Description	CUSIP	Nonqualified	Qualified	Interest	Total Income	Tax
FI	NOKIA CORPORATION	654902204	0.00	0.16	0.00	0.16	-0.06
Total FINLAND			0.00	0.16	0.00	0.16	-0.06
Grand Total	Total Foreign Source Incor Qualified Foreign Source Ir Foreign Tax			0.16 0.16 -0.06			

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Markets Inc. as agent for Robinhood Securities LLC 2023	Non-Reportable Actions				Account 6614919 02/12/2024	)77
Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ACTIVISION BLIZZARD, INC. COMM ON STOCK	00507V109	10/13/23	-0.23	0.00	Merger	
F 02/17/2023 CALL \$14.85	F 02/17/23 C 14.850	02/10/23	1.00	0.00	Merger	

02/10/23

-1.00

0.00 Merger

F 02/17/23 C 15.500

F 02/17/2023 CALL \$15.50

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# Robinhood Markets Inc. as agent for Robinhood Securities LLC

## 2023 End Notes for Account 661491977

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- Although distributions by mutual funds or REITs are ordinarily reported in the year of record date (2023), the payer will not determine the tax character of this distribution until the conclusion of 2024. It will be reported on your tax statement for that year.
- 25 Tax lot closed on a first in first out basis.

### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

### Keep tax documents for your records.

#### **1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return. Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a. Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

### Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 12. See the Instructions for Form 6251. Lines 14-16. State income tax withheld reporting Lines.

Lines 14-16. State income tax withheid reporting Line

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040). Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 109-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to <u>www.irs.gov/FreeFile</u> to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC		Information nt 661491977C	Statement Date:	02/12/2024	2023
85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700	Dileep Potru 1031 W Ashmore Landii Centerton, AR 72719	ng Loop			·
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XXX	-XX-6312			
11 - [] FATCA filing requirement (see instructions)	Summary	/ Information		13 - [ ] FATCA filing requirer	ment (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2023 1099-D	<b>W*</b> OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2023 1099-MISC*	OMB No. 1545-011
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties			0.00
1b- Qualified dividends	0.00	3- Other income			1.99
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax	withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments	s in lieu of dividends of	r interest	0.00
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00	SECTION 1256 CO	NTRACTS	2023 1099-B*	OMB No. 1545-071
2e- Section 897 ordinary dividends	0.00	8- Profit or (loss) realiz	ed in 2023 on closed	contracts	0.00
2f- Section 897 capital gain	0.00	9- Unrealized profit or			0.00
3- Nondividend distributions 4- Federal income tax withheld	0.00 <b>0.00</b>	10- Unrealized profit or			0.00
5- Section 199A dividends	0.00	11- Aggregate profit or	\ <i>/</i> /		0.00
6- Investment expenses	0.00				
8- Foreign country or US possession: 7- Foreign tax paid:	0.00			ons appear summarized bel	ow and are
9- Cash liquidation distributions	0.00		ent sections of this doc		
10- Noncash liquidation distributions	0.00	* This is important tax information			
12- Exempt-interest dividends (includes line 13)	0.00	If you are required to file a return, a negligence penalty or other sanction may be imposed o			
13- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and	the IRS determines	that it has not been repor	tea.

## SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	371.50	361.69	0.00	0.00	9.81
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	371.50	361.69	0.00	0.00	9.81
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	33.67	96.07	0.00	0.00	-62.40
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	33.67	96.07	0.00	0.00	-62.40
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	405.17	457.76	0.00	0.00	-52.59
Withholding		Amount				
Federal income tax withheld		0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

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# **Summary Information**

OMB No. 1545-0112

2023

(continued)

02/12/2024

Account 661491977C

#### **INTEREST INCOME** 2023 1099-INT

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
<ol><li>Bond premium on tax-exempt bonds (categorized below)</li></ol>	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nongualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nongualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

## STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

## **ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

## **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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## Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

# **Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715 02/12/2024

Account 661491977C

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12. Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms. 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not gualify as short- or long-term capital transactions. Similarly, lots noted as "3 - IXI Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - IXI Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

## SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Avalanche / CUSIP: AVAX	USD / Symbol:						
01/19/23	0.086	1.37	01/06/23	0.99		0.38	Sale <sup>25</sup>
Dogecoin / CUSIP: DOGEU	JSD / Symbol:						
01/18/23	592.090	47.70	Various	46.67		1.03	Total of 5 transactions
Ethereum / CUSIP: ETHUS	SD / Symbol:						
01/18/23	0.21256	322.43	Various	314.03		8.40	Total of 4 transactions
Totals :		371.50		361.69		9.81	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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Robinhood Markets Inc. as agent for         Account         661491977C								
Robinhood Crypto LLC	Proceeds from Broker and Barter Exchange Transactions		ons					
2023 1099-B* OMB No. 1545-0715	(contin	ed)	02/12/2024					
LONG TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)								
Report on Form 8949, Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)								
Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.								
a- Description of property/CUSIP/Symbol								
1c- Date	1d- Proceeds &	Accrued mkt disc (D) &	Gain or loss(-) &					

IC- Date		Iu-IIOceeus a	_	Accided link disc (D) &	Gain 01 1035(-) &	
sold or		6- Reported Date	Cost or	Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
Dogecoin / CUSIP: DOGE	EUSD / Symbol:					
01/18/23	417.860	33.67 Various	96.07		-62.40	Total of 7 transactions
Totals :		33.67	96.07		-62.40	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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# Robinhood Markets Inc. as agent for Robinhood Crypto LLC 2023

# **Detail for Miscellaneous Information**

Account 661491977C

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Avalanche	AVAXUSD	01/06/23	0.99	Other income	
USD Coin	USDCUSD	02/14/23	1.00	Other income	
		_	1.99	Total Miscellaneous income	

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

# 2023 End Notes for Account 661491977C

25 Tax lot closed on a first in first out basis.

### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number**. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or 0 (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040). Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required. to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 109-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to <u>www.irs.gov/FreeFile</u> to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Shows backup withholding or withholding on Indian gaming profits.

Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Line 13. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Lines 16-18. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099/MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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