Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Jaikumar Kochi rammohan 8809 Rodeo Dr 213 Irving, TX 75063

Master Account Number for Import: 884457565 **Document ID for Import:**KT1QE32278N

Enclosed is your 2023 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

884457565 - Robinhood Securities LLC 884457565C - Robinhood Crypto LLC

**New for 2023 Tax Year** - 1099 tax forms for Robinhood Markets Inc. will be displayed using an aggregated format based on key tax lot criteria. We have done this to reduce the size of your overall tax package, as well as to help make your tax documents easier to use. This new format still provides you with all the information you need to file your taxes.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2023 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

**Filing Your Taxes** - If filing through tax service providers, such as TurboTax or H&R Block, please utilize the Master Account Number and Document ID listed above. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**Corrected Forms 1099 -** Where applicable, Corrected Forms 1099 will be accompanied by a new summary page, highlighting the differences from the previous version of Form 1099 you received. This page will allow you to see the sections and amounts that are changing on your form, without the need to review the entire document. However, the individual changes will still be annotated with a "C" in the Corrected Form 1099.



Page 1 of 20

Robinhood Markets Inc. as agent for		Account 8	384457565
Robinhood Securities LLC	Summary of Changes from Previous to Current Statement 2023		
2023		03/25/2024	AMENDED
			_

Statement Date	02/12/2024	03/25/2024	
Recipient's ID No.	XXX-XX-8546	XXX-XX-8546	Change
SALES TRANSACTIONS 2023 1099-B			_
Proceeds, gains losses and adjustments			
Short Term Gain/Loss - A (basis reported to the IRS)			
Cost Basis	350,254.76	350,568.70	313.94
Wash Sale Loss Disallowed	41,209.86	41,592.79	382.93
Net Gain or Loss(-)	10,192.34	10,261.33	68.99

Statement Date: 03/25/2024 AMENDED

85 Willow Road Menlo Park, CA 94025

Customer Service: 650-940-2700

# Tax Information Account 884457565

Jaikumar Kochi rammohan 8809 Rodeo Dr 213 Irving, TX 75063

RECIPIENT'S TIN: XXX-XX-8546

# PAYER'S TIN: 46-4364776

Summar	y Information	13 - [ ] FATCA filing requiren	nent (see instructions)
OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2023 1099-MISC*	OMB No. 1545-0115
53.91	2- Royalties		0.00
52.45	3- Other income		0.00
0.00	4- Federal income tax withheld		0.00
0.00	8- Substitute payments in lieu of dividends or i	nterest	0.00
0.00			
0.00	SECTION 1256 CONTRACTS	2023 1099-B*	OMB No. 1545-0715
	O Destit on (loss) realized in 2002 on aloud or		0.00
0.00			0.00
0.00			0.00
0.00		s-12/31/2023	0.00
0.00	11- Aggregate profit or (loss) on contracts		0.00
0.00	If applicable proceeds from sale transaction	s appear summarized bole	ow and are
0.22			ow and are
0.00	•		Camilaa
0.00			
0.00			
0.00	you it this income is taxable and the IRS determines the	nat it nas not been report	iea.
	OMB No. 1545-0110  53.91  52.45  0.00	53.91 52.45 3- Other income 4- Federal income tax withheld 0.00 8- Substitute payments in lieu of dividends or income 0.00 0.00 0.00 0.00 0.00 8- Profit or (loss) realized in 2023 on closed or income 0.00 9- Unrealized profit or (loss) on open contracts 0.00 10- Unrealized profit or (loss) on open contracts 11- Aggregate profit or (loss) on contracts 0.00 0.00 11- Aggregate profit or (loss) on contracts 0.00 0.00 15- Aggregate profit or (loss) on contracts 0.00 0.00 0.00 0.00 15- This is important tax information and is being furnish 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	MISCELLANEOUS INFORMATION 2023 1099-MISC*  53.91 52.45 0.00 4- Federal income tax withheld 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.

#### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B, and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational numbers

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	319,237.24	350,568.70	0.00	41,592.79	10,261.33 C
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	319,237.24	350,568.70	0.00	41,592.79	10,261.33
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	319,237.24	350,568.70	0.00	41,592.79	10,261.33
Withholding		Amount				
Federal income	tax withheld	0.00				

Account 884457565

# **Summary Information**

(continued) 03/25/2024 AMENDED

INTEREST INCOME

2023

2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid Tax-exempt accrued interest paid (AMT) Taxable accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid (AMT) Nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest (AMT) Interest shortfall on contingent payment debt Bond premium- Non Treasury obligations (noncovered lots) Bond premium- Treasury obligations (noncovered lots)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0
Interest shortfall on contingent payment debt	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots) Bond premium- Tax-exempt obligations (AMT, noncovered lots) Market discount (noncovered lots)	0.00 0.00 0.00

#### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

#### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

#### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

### **Proceeds from Broker and Barter Exchange Transactions**

Account 884457565

**2023 1099-B\*** OMB No. 1545-0715

03/25/2024 AMENDED

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AT&T INC. / CUSIP: 002	206R102 / Symbol:						
08/30/23 09/13/23	30.000 1.000 <b>Security total:</b>	442.04 14.46 <b>456.50</b>	Various 08/25/23	430.40 14.05 <b>444.45</b>	 	11.64 0.41 <b>12.05</b>	Total of 6 transactions Sale <sup>25</sup>
ADVANCED MICRO DE	EVICES, INC. C OMMON STO		7 / Symbol:				
01/23/23 02/01/23 05/09/23 05/10/23	7.000 1.000 1.000 5.000	528.48 82.00 95.50 482.00	Various 02/01/23 04/05/23 04/05/23	453.13 78.00 92.25 461.25	  	75.35 4.00 3.25 20.75	Total of 5 transactions Sale <sup>25</sup> Sale <sup>25</sup> Sale <sup>25</sup>
05/15/23 05/16/23 05/17/23 07/31/23 07/31/23 08/07/23	20.000 30.000 3.000 1.000 10.000 33.000	1,935.98 3,062.96 310.95 113.80 1,137.98 3,910.59	Various Various Various 05/12/23 Various Various	1,831.30 2,701.51 264.50 94.80 1,187.32 3,701.76	   49.34 W	104.68 361.45 46.45 19.00 0.00 208.83	Total of 7 transactions Total of 12 transactions Total of 2 transactions Sale <sup>25</sup> Total of 4 transactions Total of 21 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

### **Proceeds from Broker and Barter Exchange Transactions**

Account 884457565

2023 1099-B\* OMB No. 1545-0715

(continued)

03/25/2024 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 6- Reported 1b- Date disposed Quantity (G)ross or (N)et other basis disallowed (W) also not reported (Z) Additional information acquired ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd) Sale 25 08/07/23 2.000 237.20 06/20/23 0.00 238.00 0.80 W 08/08/23 23.000 2,601.05 2,534.23 66.82 Total of 11 transactions Various 08/08/23 19.000 2,148.69 Various 2,216.98 68.29 W 0.00 Total of 6 transactions 08/15/23 4.000 444.39 439.36 5.03 Total of 4 transactions Various 08/15/23 3,223.83 113.10 W 0.00 28.000 3,110.73 Various Total of 19 transactions 08/23/23 9.000 984.58 959.85 24.73 Total of 8 transactions Various 08/23/23 21.000 2,297.38 Various 2,399.23 101.85 W 0.00 Total of 18 transactions 63.51 08/24/23 14.000 1.562.38 Various 1.498.87 Total of 9 transactions Sale 25 08/24/23 1.000 111.60 08/18/23 113.36 1.76 W 0.00 09/01/23 52.000 5.639.12 5.425.48 213.64 Total of 18 transactions Various 09/12/23 5.000 530.54 523.13 7.41 Total of 4 transactions Various 09/12/23 26.000 2,760.60 Various 2,813.20 52.60 W 0.00 Total of 22 transactions Sale 25 09/13/23 1.000 106.85 09/12/23 105.35 1.50 10/04/23 51.000 5,315.39 Various 5,097.59 217.80 Total of 27 transactions Sale 25 0.00 10/04/23 1.000 104.20 09/15/23 104.97 0.77 W 10/06/23 6.000 640.79 Various 600.77 40.02 Total of 2 transactions Sale 25 11/08/23 1.000 112.66 10/11/23 108.60 4.06 Total of 14 transactions 11/09/23 15.000 1,725.63 Various 1,491.73 233.90 ... Sale 25 11/14/23 1.000 120.01 10/31/23 94.30 25.71 11/28/23 5.000 605.24 Various 603.06 2.18 Total of 5 transactions Total of 2 transactions 11/28/23 5.000 605.25 606.00 0.75 W 0.00 Various 11/30/23 1.000 120.02 -1.13Sale 25 11/17/23 121.15 Security total: 43.544.54 42.184.86 389.26 W 1,748.94 ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: 06/14/23 16.000 1,416.08 Various 1,294.22 121.86 Total of 12 transactions 06/14/23 10.000 877.29 Various 890.39 13.10 W 0.00 Total of 5 transactions 06/23/23 83.000 7,064.88 Various 7,039.36 25.52 Total of 5 transactions 06/23/23 7.000 595.84 Various 617.55 18.87 W -2.84Total of 2 transactions 22.32 07/10/23 5.900 542.22 519.90 Total of 2 transactions Various 166.86 Security total: 10.496.31 10.361.42 31.97 W

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 884457565

9.32

856.48

18.28

-1.73

16.55

211.81

98.97 W

Total of 2 transactions

Total of 3 transactions

Total of 12 transactions

Sale 25

2023 **1099-B\*** OMB No. 1545-0715

1a- Description of property/CUSIP/Symbol

12/13/23

11/24/23

11/28/23

10/06/23

(continued)

03/25/2024 AMENDED

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

2.000

1.000

2.000

19.000

CROWDSTRIKE HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 22788C105 / Symbol:

Security total:

Security total:

APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:

1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 6- Reported 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 1b- Date disposed Quantity (G)ross or (N)et other basis disallowed (W) also not reported (Z) Additional information acquired ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol: Sale 25 01/23/23 2.000 201.66 12/23/22 21.50 180.16 03/15/23 4.000 380.00 366.05 13.95 Total of 3 transactions Various 11/09/23 Sale 25 1.000 133.69 10/31/23 126.00 7.69 Security total: 715.35 672.21 43.14 ... AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: 01/23/23 10.000 971.97 1.031.64 0.00 Total of 2 transactions Various 59.67 W 01/26/23 13.434 1,329.92 Various 1,222.23 107.69 Total of 11 transactions 01/26/23 6.566 650.03 671.57 21.54 W 0.00 Total of 4 transactions Various 01/30/23 10.000 1,004.97 Various 918.88 86.09 Total of 10 transactions 01/31/23 5.000 487.42 27.58 Total of 3 transactions 515.00 Various 02/01/23 5.000 544.53 Various 485.63 58.90 Total of 2 transactions 03/06/23 14.934 1,435.13 1,442.19 -7.06 Total of 14 transactions Various 0.00 Sale 25 03/06/23 0.066 6.35 01/25/23 6.38 0.03 W Sale 25 04/05/23 0.066 6.75 02/24/23 6.16 0.59 ... 08/15/23 13.000 1,793.14 Various 1,685.43 107.71 Total of 9 transactions 08/15/23 2.000 276.00 279.44 3.44 W 0.00 Total of 2 transactions Various 09/11/23 10.000 1,402.40 1,327.99 74.41 Total of 3 transactions Various 09/12/23 3.000 426.60 398.94 27.66 Total of 3 transactions Various ... Sale 25 1.000 140.90 135.00 5.90 09/13/23 09/06/23 10/27/23 5.000 639.40 653.69 14.29 W 0.00 Total of 4 transactions Various 10/31/23 5.000 662.49 Various 635.47 27.02 Total of 4 transactions 11/06/23 22.000 3.080.66 Various 2.787.51 293.15 Total of 14 transactions 11/24/23 5.000 732.59 695.07 37.52 Total of 5 transactions

Various

Various

10/23/23

Various

Various

299.62

191.04

379.97

571.01

3.313.67

15.918.45

290.30

172.76

381.70

554.46

3.101.86

15,160.94

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## **Proceeds from Broker and Barter Exchange Transactions**

Account 884457565

**2023 1099-B\*** OMB No. 1545-0715

(continued)

03/25/2024 AMENDED

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THE WALT DISNEY CO	MPANY / CUSIP: 254687106	/ Symbol:					
06/16/23	4.000	363.71	Various	356.66		7.05	Total of 3 transactions
06/16/23	6.000	545.58	Various	568.26	22.68 W	0.00	Total of 6 transactions
07/31/23	39.000	3,399.37	Various	3,405.02		-5.65	Total of 15 transactions
07/31/23	1.000	87.16	05/30/23	93.32	6.16 W	0.00	Sale <sup>25</sup>
	Security total:	4,395.82		4,423.26	28.84 W	1.40	
THE GOODYEAR TIRE	& RUBBER COM PANY COM	MON STOCK / CUSIP	382550101 /	Symbol:			
03/27/23	50.000	514.99	Various	505.40		9.59	Total of 6 transactions
03/28/23	4.000	41.50	03/24/23	39.80		1.70	Sale <sup>25</sup>
05/08/23	5.000	57.00	Various	51.29		5.71	Total of 3 transactions
	Security total:	613.49		596.49		17.00	
GOPRO, INC. CLASS A	COMMON STO CK / CUSIP:	38268T103 / Symbol:					
03/06/23	152.000	813.17	Various	781.80		31.37	Total of 4 transactions
09/13/23	50.000	174.00	Various	177.01	3.01 W	0.00	Total of 10 transactions
09/14/23	25.000	88.25	Various	89.30	1.05 W	0.00	Total of 7 transactions
09/18/23	99.000	352.41	Various	347.91		4.50	Total of 24 transactions
09/18/23	321.000	1,142.72	Various	1,190.15	47.43 W	0.00	Total of 50 transactions
10/10/23	108.000	321.14	Various	315.64		5.50	Total of 22 transactions
10/10/23	296.000	880.33	Various	946.82	66.11 W	-0.38	Total of 78 transactions
10/12/23	1.000	2.90	10/09/23	2.86		0.04	Sale <sup>25</sup>
11/14/23	63.000	216.71	Various	175.18		41.53	Total of 15 transactions
	Security total:	3,991.63		4,026.67	117.60 W	82.56	
HILLEVAX, INC. COMM	ION STOCK / CUSIP: 43157M	1102 / Symbol:					
09/12/23	16.000	206.58	Various	201.96		4.62	Total of 6 transactions
09/12/23	31.000	396.12	Various	419.10	16.34 W	-6.64	Total of 6 transactions
09/13/23	1.000	13.03	09/06/23	13.23		-0.20	Sale <sup>25</sup>
	Security total:	615.73		634.29	16.34 W	-2.22	
INTEL CORPORATION	COMMON STOCK / CUSIP: 4	458140100 / Symbol:					
06/12/23	20.000	663.99	Various	590.46		73.53	Total of 10 transactions
07/10/23	4.000	129.50	Various	118.97		10.53	Total of 2 transactions
08/15/23	15.000	530.00	Various	501.05		28.95	Total of 6 transactions
08/30/23	58.000	1,977.77	Various	1,883.60		94.17	Total of 5 transactions

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

## **Proceeds from Broker and Barter Exchange Transactions**

Account 884457565

**2023 1099-B\*** OMB No. 1545-0715

(continued)

03/25/2024 AMENDED

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pr	operty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTEL CORPORATION	ON COMMON STOCK / CUSIP: 4	58140100 / Symbol: (c	cont'd)		` '		
09/05/23	25.000	928.74	Various	807.75	•••	120.99	Total of 4 transactions
09/12/23	40.000	1,565.98	Various	1,367.88	···	198.10	Total of 10 transactions
09/13/23	1.000	38.76	09/06/23	36.46		2.30	Sale <sup>25</sup>
10/06/23	25.000	901.75	Various	858.88		42.87	Total of 13 transactions
	Security total:	6,736.49		6,165.05		571.44	
INTERNATIONAL BU	JSINESS MACHINE S CORPORA	ATION / CUSIP: 45920	0101 / Symbol	l:			
03/16/23	5.000	622.49	Various	667.50	45.01 W	0.00	Total of 4 transactions
03/20/23	6.000	752.37	Various	834.27	81.90 W	0.00	Total of 6 transactions
03/24/23	6.000	749.99	Various	849.86		-99.87	Total of 6 transactions
	Security total:	2,124.85		2,351.63	126.91 W	-99.87	
LANTHEUS HOLDIN	GS, INC. COMMON STOCK / CU	JSIP: 516544103 / Sym	bol:				
03/27/23	3.000	243.60	Various	244.80	1.20 W	0.00	Total of 2 transactions
03/28/23	18.000	1,464.58	Various	1,448.42		16.16	Total of 6 transactions
03/28/23	24.000	1,955.38	Various	1,959.30	3.92 W	0.00	Total of 6 transactions
05/12/23	12.000	1,206.49	Various	989.80		216.69	Total of 7 transactions
06/15/23	6.000	522.00	Various	509.20		12.80	Total of 4 transactions
06/15/23	17.000	1,478.98	Various	1,534.15	55.17 W	0.00	Total of 7 transactions
07/10/23	51.000	4,248.35	Various	4,196.81		51.54	Total of 5 transactions
07/10/23	48.000	3,998.67	Various	4,272.29	273.62 W	0.00	Total of 27 transactions
08/22/23	15.000	1,009.93	Various	1,305.22	295.29 W	0.00	Total of 12 transactions
08/23/23	8.000	541.90	08/18/23	528.00		13.90	Sale <sup>25</sup>
08/23/23	17.000	1,151.58	Various	1,442.05	290.47 W	0.00	Total of 16 transactions
08/31/23	12.000	820.90	Various	798.64		22.26	Total of 5 transactions
08/31/23	17.000	1,162.97	Various	1,424.08	261.11 W	0.00	Total of 16 transactions
09/11/23	1.000	67.27	08/23/23	66.69		0.58	Sale <sup>25</sup>
09/11/23	3.000	201.81	Various	264.03	62.22 W	0.00	Total of 3 transactions
09/26/23	23.000	1,540.98	Various	1,434.90		106.08	Total of 6 transactions
09/26/23	14.000	938.00	Various	1,127.29	189.29 W	0.00	Total of 13 transactions
10/17/23	23.000	1,660.71	Various	1,592.77		67.94	Total of 10 transactions
10/17/23	15.000	1,083.28	Various	1,246.41	163.13 W	0.00	Total of 14 transactions
11/15/23	30.000	2,053.48	Various	1,990.27		63.21	Total of 15 transactions
11/15/23	5.000	342.25	Various	399.12	56.87 W	0.00	Total of 5 transactions

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## **Proceeds from Broker and Barter Exchange Transactions**

Account 884457565

2023 **1099-B\*** OMB No. 1545-0715

(continued)

03/25/2024 AMENDED

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol							
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &		
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)		
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information	
LANTHEUS HOLDING	S, INC. COMMON STOCK / CUS	SIP: 516544103 / Sym	bol: (cont'd)					
11/20/23	4.000	276.00	Various	291.89	15.89 W	0.00	Total of 4 transactions	
12/18/23	1.000	59.75	11/09/23	77.90	18.15 W	0.00	Sale <sup>25</sup>	
	Security total:	28,028.86		29,144.03	1,686.33 W	571.16		
LITHIUM AMERICAS (	CORP. COMMON SHARES / CU	SIP: 53680Q207 / Syr	nbol:					
03/06/23	44.500	1,086.68	Various	1,012.69	···	73.99	Total of 12 transactions	
03/06/23	2.000	48.84	Various	49.70	0.86 W	0.00	Total of 2 transactions	
03/28/23	44.900	987.79	Various	907.15		80.64	Total of 10 transactions	
03/28/23	5.100	112.20	Various	115.73	3.53 W	0.00	Total of 4 transactions	
04/05/23	1.100	21.75	Various	23.39	1.64 W	0.00	Total of 3 transactions	
05/08/23	14.900	308.43	04/17/23	304.70		3.73	Sale <sup>25</sup>	
05/08/23	5.100	105.57	Various	108.10	2.53 W	0.00	Total of 7 transactions	
06/13/23	22.000	466.40	Various	438.60		27.80	Total of 10 transactions	
06/13/23	1.000	21.20	Various	21.58	0.38 W	0.00	Total of 2 transactions	
09/11/23	48.000	916.79	Various	775.92		140.87	Total of 2 transactions	
09/11/23	2.000	38.20	Various	43.03	4.83 W	0.00	Total of 4 transactions	
09/12/23	60.000	1,137.28	Various	987.96		149.32	Total of 8 transactions	
09/13/23	1.000	19.30	09/07/23	17.90		1.40	Sale <sup>25</sup>	
09/28/23	35.000	598.40	Various	688.58	90.18 W	0.00	Total of 9 transactions	
	Security total:	5,868.83		5,495.03	103.95 W	477.75		
LITHIUM AMERICAS (	CORP. / CUSIP: 53681J103 / Syr	mbol:						
11/02/23	250.000	1,749.94	Various	2,692.18	942.24 W	0.00	Total of 37 transactions	С
11/08/23	500.000	3,749.90	Various	6,116.05	2,366.15 W	0.00	Total of 47 transactions	С
11/14/23	1,496.000	11,152.15	Various	20,664.12	9,511.97 W	0.00	Total of 189 transactions	C C C
12/08/23	250.000	1,684.94	Various	4,087.71	2,402.77 W	0.00	Total of 55 transactions	С
12/14/23	541.000	3,635.43	Various	7,487.52	3,852.09 W	0.00	Total of 78 transactions	С
	Security total:	21,972.36		41,047.58	19,075.22 W	0.00		
LITHIUM AMERICAS (	ARGENTINA) C ORP. / CUSIP: (	53681K100 / Symbol:						
10/06/23	167.000	1,095.46	Various	981.22		114.24	Total of 23 transactions	С
10/06/23	25.000	164.00	Various	187.68	23.68 W	0.00	Total of 11 transactions	С
11/06/23	300.000	1,801.94	Various	2,544.77	742.83 W	0.00	Total of 52 transactions	С
11/20/23	121.000	741.70	Various	1,169.13	427.43 W	0.00	Total of 25 transactions	C C
12/08/23	100.000	579.98	Various	940.77	360.79 W	0.00	Total of 26 transactions	С

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### **Proceeds from Broker and Barter Exchange Transactions**

Account 884457565

2023 1099-B\* OMB No. 1545-0715

(continued)

03/25/2024 AMENDED

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & 1f- Accrued mkt disc (D) & Gain or loss(-) & 1e- Cost or 1q- Wash sale loss 7- Loss not allowed (X) sold or 6- Reported 1b- Date disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information LITHIUM AMERICAS (ARGENTINA) C ORP. / CUSIP: 53681K100 / Symbol: 12/13/23 49.000 285.17 13.13 Various 272.04 Total of 2 transactions 12/13/23 С 151.000 880.79 Various 1,316.35 435.56 W 0.00 Total of 37 transactions 12/14/23 395.000 2.352.14 2.240.34 111.80 Total of 21 transactions С Various С 12/14/23 371.000 2,230.62 3,397.00 1,166.38 W 0.00 Total of 127 transactions Various Sale 25 С 12/18/23 1.000 6.28 12/12/23 6.13 0.15 12/18/23 94.000 590.30 164.33 W 0.00 Total of 32 transactions С Various 754.63 Sale 25 12/22/23 1.000 6.35 12/12/23 6.65 0.30 W 0.00 C 239.32 10,734.73 13,816.71 3,321.30 W Security total: NIKE, INC. / CUSIP: 654106103 / Symbol: 06/16/23 684.59 632.75 51.84 Total of 2 transactions 6.000 Various Sale 25 2.48 06/23/23 1.000 107.78 06/05/23 105.30 2.90 Sale 25 06/27/23 1.000 112.90 06/20/23 110.00 905.27 848.05 57.22 Security total: NOVO INTEGRATED SCIENCES. INC. COMMON STOCK / CUSIP: 67011T201 / Symbol: 10/05/23 1.530.000 741.36 Various 584.37 156.99 Total of 6 transactions 10/25/23 5,744.000 1.841.06 Various 1,694.26 146.80 Total of 48 transactions 10/25/23 4.256.000 1.363.47 Various 1.753.76 390.29 W 0.00 Total of 66 transactions 11/02/23 2,000.000 520.70 Various 707.11 186.41 W 0.00 Total of 65 transactions 11/03/23 6,297.000 2,095.99 Various 1,628.78 467.21 Total of 50 transactions 11/03/23 703.000 233.98 265.06 31.08 W 0.00 Total of 24 transactions Various Security total: 6,796.56 6,633.34 607.78 W 771.00 NOVO INTEGRATED SCIENCES, INC. COMMON STOCK / CUSIP: 67011T300 / Symbol: 11/15/23 250.000 439.96 660.18 220.22 W 0.00 Total of 8 transactions Various NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol: 09/26/23 12.000 5.087.94 Various 4,999.98 87.96 Total of 11 transactions 09/26/23 2.967.99 7.000 Various 3,026.90 58.91 W 0.00 Total of 7 transactions 09/28/23 8.000 3.431.97 Various 3.390.07 41.90 Total of 7 transactions 09/28/23 4.000 1.715.98 Various 1,726.68 10.70 W 0.00 Total of 4 transactions 10/05/23 4.000 1.757.95 Various 1.721.19 36.76 Total of 4 transactions ... 11/09/23 8.000 3,802.48 Various 3,359.27 443.21 Total of 8 transactions 12/11/23 5.000 2.379.98 Various 2,478.02 98.04 W 0.00 Total of 2 transactions

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## **Proceeds from Broker and Barter Exchange Transactions**

Account 884457565

**2023 1099-B\*** OMB No. 1545-0715

(continued)

03/25/2024 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVIDIA CORPORATIOI	N COMMON STOC K / CUSIP	: 67066G104 / Symbol:	(cont'd)				
12/13/23	1.000	476.99	11/22/23	512.89		-35.90	Sale <sup>25</sup>
12/13/23	7.000	3,338.97	Various	3,485.16	94.04 W	-52.15	Total of 2 transactions
	Security total:	24,960.25		24,700.16	261.69 W	521.78	
PFIZER INC. / CUSIP: 7	717081103 / Symbol:						
10/02/23	25.000	839.99	Various	848.65	8.66 W	0.00	Total of 5 transactions
10/16/23	60.000	2,011.76	Various	1,969.37		42.39	Total of 17 transactions
10/16/23	15.000	502.95	Various	511.20	8.25 W	0.00	Total of 8 transactions
11/21/23	3.000	90.75	Various	90.64		0.11	Total of 2 transactions
11/21/23	57.000	1,724.22	Various	1,827.22	103.00 W	0.00	Total of 23 transactions
	Security total:	5,169.67		5,247.08	119.91 W	42.50	
SNAP INC. / CUSIP: 83	•			•			
01/23/23	1.000	10.31	12/21/22	8.64		1.67	Sale <sup>25</sup>
03/07/23	15.000	187.50	Various	149.29		38.21	Total of 3 transactions
03/09/23	22.000	243.98	Various	215.84		28.14	Total of 2 transactions
03/27/23	26.000	304.20	Various	296.27	***	7.93	Total of 3 transactions
04/13/23	19.000	207.29	04/05/23	197.60	***	9.69	Sale <sup>25</sup>
05/24/23	50.000	482.50	Various	528.82	46.32 W	0.00	Total of 2 transactions
05/25/23	22.000	218.89	Various	210.65		8.24	Total of 2 transactions
05/25/23	78.000	776.09	Various	822.41	46.32 W	0.00	Total of 10 transactions
06/05/23	102.000	1,084.25	Various	931.54		152.71	Total of 25 transactions
06/26/23	1.000	11.22	05/16/23	8.50		2.72	Sale <sup>25</sup>
08/29/23	27.000	260.53	Various	248.49		12.04	Total of 4 transactions
08/29/23	83.000	799.45	Various	856.27	56.82 W	0.00	Total of 14 transactions
09/12/23	94.000	896.44	Various	874.39		22.05	Total of 17 transactions
09/12/23	109.000	1,038.88	Various	1,090.14	50.38 W	-0.88	Total of 28 transactions
09/13/23	10.000	95.60	Various	107.06		-11.46	Total of 6 transactions
09/27/23	20.000	170.01	Various	169.86	•••	0.15	Total of 6 transactions
	Security total:	6,787.14		6,715.77	199.84 W	271.21	
SUNNOVA ENERGY IN	ITERNATIONAL I NC. / CUSIF	P: 86745K104 / Symbol:					
10/10/23	339.000	3,323.58	Various	3,021.82	•••	301.76	Total of 25 transactions
10/10/23	66.000	646.76	Various	671.78	25.02 W	0.00	Total of 7 transactions
11/06/23	70.000	746.08	Various	694.02	•••	52.06	Total of 18 transactions

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# **Proceeds from Broker and Barter Exchange Transactions**

Account 884457565

**2023 1099-B\*** OMB No. 1545-0715

(continued)

03/25/2024 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 1 "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNNOVA ENERGY II	NTERNATIONAL I NC. / CUSIP	: 86745K104 / Symbol:	(cont'd)				
11/14/23	70.000	707.68	Various	677.45		30.23	Total of 7 transactions
12/14/23	109.000	1,367.15	Various	1,106.27		260.88	Total of 12 transactions
	Security total:	6,791.25		6,171.34	25.02 W	644.93	
TARGA RESOURCES	CORP. / CUSIP: 87612G101 / 3	Symbol:					
03/27/23	21.000	1,448.98	Various	1,444.17	···	4.81	Total of 8 transactions
03/27/23	9.000	621.00	Various	636.52	15.52 W	0.00	Total of 3 transactions
03/28/23	2.000	141.96	03/24/23	135.60	•••	6.36	Sale <sup>25</sup>
03/30/23	1.000	70.07	03/24/23	67.80		2.27	Sale <sup>25</sup>
	Security total:	2,282.01		2,284.09	15.52 W	13.44	
TESLA, INC. COMMO	N STOCK / CUSIP: 88160R101	/ Symbol:					
01/17/23	9.000	1,141.29	Various	1,829.78	688.49 W	0.00	Total of 11 transactions
01/23/23	8.000	1,126.58	Various	1,387.23	260.65 W	0.00	Total of 10 transactions
01/26/23	1.768	282.06	Various	280.31		1.75	Total of 2 transactions
01/26/23	3.232	515.42	Various	530.47	15.05 W	0.00	Total of 4 transactions
01/27/23	2.000	330.00	Various	313.27		16.73	Total of 3 transactions
01/30/23	2.232	398.87	Various	339.50	•••	59.37	Total of 3 transactions
01/30/23	2.768	494.85	Various	652.53	157.68 W	0.00	Total of 4 transactions
02/01/23	6.553	1,179.52	Various	1,014.65	•••	164.87	Total of 14 transactions
)2/01/23	3.447	620.43	Various	682.99	62.56 W	0.00	Total of 7 transactions
02/14/23	14.928	3,149.67	Various	2,345.81	•••	803.86	Total of 31 transactions
02/14/23	0.072	15.25	01/26/23	15.30	0.05 W	0.00	Sale <sup>25</sup>
03/20/23	10.000	1,825.46	Various	1,763.02		62.44	Total of 7 transactions
03/20/23	16.606	3,033.02	Various	3,221.29	188.27 W	0.00	Total of 14 transactions
03/22/23	6.000	1,193.09	Various	1,281.79	88.70 W	0.00	Total of 7 transactions
03/30/23	6.000	1,171.99	Various	1,228.77	56.78 W	0.00	Total of 8 transactions
03/31/23	1.000	201.71	Various	199.73		1.98	Total of 2 transactions
05/24/23	15.000	2,737.50	Various	2,958.78	221.28 W	0.00	Total of 16 transactions
06/13/23	30.000	7,674.92	Various	5,366.78		2,308.14	Total of 16 transactions
06/14/23	5.000	1,285.99	Various	945.33		340.66	Total of 9 transactions
06/20/23	2.000	541.08	Various	451.96		89.12	Total of 2 transactions
06/26/23	9.000	2,220.70	Various	2,323.74	103.04 W	0.00	Total of 9 transactions
06/28/23	2.000	510.13	06/26/23	500.00		10.13	Sale <sup>25</sup>
06/28/23	5.000	1,275.31	Various	1,321.99	46.68 W	0.00	Total of 5 transactions

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## **Proceeds from Broker and Barter Exchange Transactions**

Account 884457565

2023 **1099-B\*** OMB No. 1545-0715

(continued)

03/25/2024 AMENDED

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of p	roperty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
TESLA, INC. COMM	ION STOCK / CUSIP: 88160R101 /	Symbol: (cont'd)					
07/20/23	1.000	266.14	06/26/23	250.00		16.14	Sale <sup>25</sup>
07/20/23	19.000	4,982.09	Various	5,209.20	227.11 W	0.00	Total of 18 transactions
08/18/23	48.000	10,271.91	Various	13,718.32	3,446.41 W	0.00	Total of 44 transactions
08/22/23	21.000	4,957.63	Various	6,598.22	1,640.59 W	0.00	Total of 22 transactions
08/23/23	12.000	2,848.62	Various	3,656.96	808.34 W	0.00	Total of 13 transactions
08/30/23	29.000	7,321.18	Various	9,041.39	1,720.21 W	0.00	Total of 30 transactions
08/31/23	18.000	4,669.18	Various	5,632.20	963.02 W	0.00	Total of 19 transactions
09/01/23	1.000	253.05	09/01/23	329.18	76.13 W	0.00	Sale <sup>25</sup>
09/11/23	8.000	2,111.88	Various	2,500.29	388.41 W	0.00	Total of 8 transactions
09/12/23	1.000	272.00	09/08/23	319.42	47.42 W	0.00	Sale <sup>25</sup>
10/04/23	1.000	261.00	09/21/23	256.22		4.78	Sale <sup>25</sup>
10/04/23	19.000	4,958.96	Various	5,885.18	926.22 W	0.00	Total of 20 transactions
10/06/23	1.000	257.18	09/27/23	240.63		16.55	Sale <sup>25</sup>
10/06/23	6.000	1,537.88	Various	1,880.28	342.40 W	0.00	Total of 6 transactions
10/11/23	6.000	1,587.59	Various	1,841.35	253.76 W	0.00	Total of 6 transactions
10/30/23	5.000	978.17	Various	1,424.07	445.90 W	0.00	Total of 6 transactions
11/06/23	10.000	2,169.98	Various	2,521.32	351.34 W	0.00	Total of 10 transactions
11/13/23	3.000	674.99	Various	683.98		-8.99	Total of 3 transactions
11/13/23	15.000	3,374.97	Various	4,107.72	732.75 W	0.00	Total of 16 transactions
11/15/23	1.000	239.48	11/10/23	238.44		1.04	Sale <sup>25</sup>
11/22/23	2.000	464.09	Various	558.53		-94.44	Total of 2 transactions
11/24/23	5.000	1,165.49	Various	1,397.71		-232.22	Total of 5 transactions
11/28/23	11.000	2,590.66	Various	3,150.75		-560.09	Total of 12 transactions
12/08/23	1.000	244.12	11/27/23	257.56	13.44 W	0.00	Sale <sup>25</sup>
	Security total:	91,383.08		102,653.94	14,272.68 W	3,001.82	
VERIZON COMMUN	NICATIONS / CUSIP: 92343V104 / S	Symbol:					
06/27/23	9.000	325.80	Various	313.12		12.68	Total of 3 transactions
08/15/23	46.000	1,536.37	Various	1,514.49		21.88	Total of 7 transactions
08/15/23	11.000	367.40	Various	379.65	12.25 W	0.00	Total of 7 transactions
08/23/23	6.000	199.15	Various	207.78		-8.63	Total of 2 transactions
09/12/23	4.000	136.00	Various	135.30	•••	0.70	Total of 4 transactions
09/13/23	1.000	34.14	08/18/23	33.81	•••	0.33	Sale <sup>25</sup>
	Security total:	2,598.86		2,584.15	12.25 W	26.96	
		,		,			

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

## **Proceeds from Broker and Barter Exchange Transactions**

Account 884457565

**2023 1099-B\*** OMB No. 1545-0715

(continued)

03/25/2024 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prop	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROYALTY PHARMA PI	C CLASS A ORD INARY SHA	RES / CUSIP: G7709C	104 / Symbol:				
03/27/23 04/28/23 04/28/23	25.000 1.000 4.000	937.49 35.40 142.88	Various 03/23/23 Various	873.31 34.60 144.91	  2.03 W	64.18 0.80 0.00	Total of 6 transactions Sale <sup>25</sup> Total of 4 transactions
05/08/23	1.000	36.00	04/18/23	35.93		0.07	Sale <sup>25</sup>
05/08/23 07/11/23	9.000 41.000	324.00 1,250.90	Various Various	325.85 1,377.00	1.85 W 126.10 W	0.00 0.00	Total of 3 transactions Total of 14 transactions
08/10/23 08/24/23	2.000 55.000	61.50 1,631.27	Various Various	73.86 1,784.95	12.36 W 153.68 W	0.00 0.00	Total of 2 transactions Total of 17 transactions
08/29/23 08/29/23	21.000 34.000	643.64 1,042.08	Various Various	633.11 1,162.39	120.31 W	10.53 0.00	Total of 13 transactions Total of 16 transactions
10/03/23 10/10/23	45.000 45.000	1,214.99 1,221.13	Various Various	1,393.56 1,354.14	178.57 W	0.00 -133.01	Total of 19 transactions Total of 40 transactions
10/10/23	60.577 Security total:	1,644.04 <b>10,185.32</b>	Various	1,917.18 <b>11,110.79</b>	266.29 W <b>861.19 W</b>	-6.85 <b>-64.28</b>	Total of 45 transactions
STELLANTIS N.V. / CU	SIP: N82405106 / Symbol:						
03/06/23	1.000	18.67	01/23/23	15.28		3.39	Sale <sup>25</sup>
03/29/23 06/30/23	10.000 28.000	177.09 490.56	Various Various	167.77 454.00		9.32 36.56	Total of 5 transactions Total of 12 transactions
09/12/23 09/13/23	7.000 1.000	133.85 19.08	Various 09/05/23	123.82 18.00	 	10.03 1.08	Total of 4 transactions Sale <sup>25</sup>
Totals :	Security total:	839.25 319,237.24		778.87 350,568.70	 41,592.79 W	60.38 10,261.33	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Securities LLC

#### **Detail for Dividends and Distributions**

Account 884457565

**2023** 03/25/2024 AMENDED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, Section 897 dividends and capital gains, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries, The IRS has indicated that boxes 2e and 2f are not applicable to recipients that are U.S. individuals. You should consult your tax advisor for further information.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		11/16/23	0.24	Qualified dividend	
INTEL CORPORATION COMMON STOCK	458140100		06/01/23	0.38	Qualified dividend	
			09/01/23	2.13	Qualified dividend	
				2.51	Total Dividends & distributions	
INTERNATIONAL BUSINESS MACHINE S CORPORATION	459200101		03/10/23	4.95	Qualified dividend	
NVIDIA CORPORATION COMMON STOC K	67066G104		12/28/23	0.72	Qualified dividend	
PFIZER INC.	717081103		12/04/23	23.78	Qualified dividend	
VERIZON COMMUNICATIONS	92343V104		08/01/23	0.65	Qualified dividend	
ROYALTY PHARMA PLC CLASS A ORD	G7709Q104		06/15/23	3.60	Qualified dividend	
INARY SHARES			09/15/23	16.00	Qualified dividend	
				19.60	Total Dividends & distributions	
STELLANTIS N.V.	N82405106		05/04/23	1.46	Nonqualified dividend	
			05/04/23	-0.22	Foreign tax withheld-NL	
				1.46	Total Dividends & distributions	
				-0.22	Total Foreign tax withheld	
				53.91	Total Dividends & distributions	
				-0.22	Total Foreign tax withheld	

2023

# **Foreign Income and Taxes Summary**

03/25/2024 AMENDED

Account 884457565

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country. designation of "RIC."

	Dividends						
Country	Security Description	CUSIP	Nonqualified	Qualified	Interest	Total Income	Tax
NL	STELLANTIS N.V.	N82405106	1.46	0.00	0.00	1.46	-0.22
Total NETHERL	ANDS		1.46	0.00	0.00	1.46	-0.22
<b>Grand Total</b>	Total Foreign Source Ir			1.46			
	Qualified Foreign Source	ce Income**		0.00			
	Foreign Tax			-0.22			

<sup>\*</sup> Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

<sup>\*\*</sup> Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

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Robinhood Markets Inc. as agent for		Account	884457565
Robinhood Securities LLC	Non-Reportable Actions		
2023		03/25/202	24 AMENDED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
LITHIUM AMERICAS CORP. COMMON SHARES	53680Q207	10/03/23	-192.00	0.00	Merger	
LITHIUM AMERICAS CORP.	53681J103	10/03/23	192.00	0.00	Merger	
LITHIUM AMERICAS (ARGENTINA) C ORP.	53681K100	10/04/23	192.00	0.00	Merger	

Robinhood Markets Inc. as agent for Robinhood Securities LLC

## **2023 End Notes for Account 884457565**

25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpaver identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS

Account number. May show an account or other unique number the payer assigned to distinguish your account

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 for how to determine this amount and where to report

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of LISRPI

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a. Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

#### Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 12. See the Instructions for Form 6251.

Lines 14-16. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts. "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub, 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1a. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b. 1e. 1f. 1g. and 2 may be blank. Generally, a noncovered security means; stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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0.00

0.00

13- Specified private activity bond interest dividends (AMT)

85 Willow Rd

Menlo Park, CA 94025

Customer Service: 650-940-2700

#### **Tax Information** Account 884457565C

Jaikumar Kochi rammohan 8809 Rodeo Dr 213 Irving, TX 75063

RECIPIENT'S TIN: XXX-XX-8546

# PAYER'S TIN: 46-4364776

11 - [ ] FATCA filing requirement (see instructions)		Summary ini	ormation	13 - [ ] FATCA filling requirer	nent (see instructions)
DIVIDENDS AND DISTRIBUTIONS	2023 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2023 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b,	5, 2e)	0.00	2- Royalties		0.00

14 10ta 01aman anna (monaco moo 12, 0, 20)	0.00	=
1b- Qualified dividends	0.00	3- Other income
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of div
2c- Section 1202 gain	0.00	, ,
2d- Collectibles (28%) gain	0.00	SECTION 1256 CONTRACTS
2e- Section 897 ordinary dividends	0.00	
2f- Section 897 capital gain	0.00	8- Profit or (loss) realized in 2023 of
3- Nondividend distributions	0.00	9- Unrealized profit or (loss) on ope

3- Nondividend distributions 4- Federal income tax withheld 0.00 5- Section 199A dividends 0.00 6- Investment expenses 0.00

8- Foreign country or US possession: 7- Foreign tax paid: 0.00 9- Cash liquidation distributions 0.00 10- Noncash liquidation distributions 0.00 12- Exempt-interest dividends (includes line 13) 0.00

8- Substitute payments in lieu of dividends	0.00	
SECTION 1256 CONTRACTS	2023 1099-B*	OMB No. 1545-0715
8- Profit or (loss) realized in 2023 on close 9- Unrealized profit or (loss) on open cont		0.00 0.00
10- Unrealized profit or (loss) on open cont 11- Aggregate profit or (loss) on contracts		0.00 0.00 0.00

Statement Date: 02/12/2024

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

#### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

0.00

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	24,849.55	24,205.97	0.00	0.00	643.58
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	24,849.55	24,205.97	0.00	0.00	643.58
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	24,849.55	24,205.97	0.00	0.00	643.58
Withholding		Amount				
Federal income	tax withheld	0.00				

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Robinhood Markets Inc. as agent for Account 884457565C **Robinhood Crypto LLC Summary Information** 2023 (continued) 02/12/2024

INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

<ul><li>1- Interest income (not included in line 3)</li><li>2- Early withdrawal penalty</li><li>3- Interest on US Savings Bonds &amp; Treasury obligations</li></ul>	0.00 0.00 0.00
4- Federal income tax withheld	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)  14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement []	0.00

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid Tax-exempt accrued interest paid (AMT) Taxable accrued nonqualified interest paid	0.00 0.00 0.00 0.00 0.00
Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid (AMT)	0.00 0.00
Nonqualified interest  Tax-exempt nonqualified interest  Tax-exempt nonqualified interest  AMT	0.00 0.00
Tax-exempt nonqualified interest (AMT) Interest shortfall on contingent payment debt Bond premium- Non Treasury obligations (noncovered lots)	0.00 0.00 0.00
Bond premium- Treasury obligations (noncovered lots)  Bond premium- Treasury obligations (noncovered lots)  Bond premium- Tax-exempt obligations (noncovered lots)	0.00 0.00 0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots) Market discount (noncovered lots)	0.00 0.00

#### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

#### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year Acquisition premium (covered lots) Acquisition premium (noncovered lots)	0.00 0.00 0.00
Original issue discount on Treasury obligations Acquisition premium, Treasury obligations (covered lots)	0.00 0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

#### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

Other Descipts & Descriptions Destroyable distributions

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

### **Proceeds from Broker and Barter Exchange Transactions**

02/12/2024

Account 884457565C

**2023 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

#### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)
"Date acquired." "Cost or other basis." "Accrued market discount." "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol 1c- Date 1d- Proceeds & Accrued mkt disc (D) & Gain or loss(-) & sold or 6- Reported Date Cost or Wash sale loss 7- Loss not allowed (X) disposed Quantity (G)ross or (N)et acquired other basis disallowed (W) also not reported (Z) Additional information Cardano / CUSIP: ADAUSD / Symbol: 03/09/23 775.610 243.63 275.01 -31.38Total of 15 transactions Various Bitcoin Cash / CUSIP: BCHUSD / Symbol: 09/12/23 16.281397 3,240.21 3,148.63 91.58 Total of 17 transactions Various 09/13/23 201.44 09/11/23 182.84 18.60 Sale 25 1.000 3.441.65 110.18 Security total: 3.331.47 Bitcoin / CUSIP: BTCUSD / Symbol: 03/09/23 0.001535 33.11 Various 35.01 -1.90Total of 4 transactions 07/20/23 0.005522 164.38 Various 160.01 4.37 Total of 6 transactions 197.49 195.02 2.47 Security total:

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

## **Proceeds from Broker and Barter Exchange Transactions**

02/12/2024

Account 884457565C

**2023 1099-B\*** OMB No. 1545-0715

(continued)

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)
Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)
"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Dogecoin / CUSIP: DOG	EUSD / Symbol:						
01/04/23	2,456.760	176.71	Various	185.02	•••	-8.31	Total of 14 transactions
03/09/23	22,692.370	1,615.71	Various	1,644.82	•••	-29.11	Total of 12 transactions
04/03/23	728.690	69.24	Various	61.58	•••	7.66	Total of 4 transactions
04/14/23	360.320	32.10	Various	30.00		2.10	Total of 2 transactions
07/20/23	13,712.010	974.34	Various	906.75		67.59	Total of 28 transactions
09/21/23	4,236.540	260.37	Various	270.74	···	-10.37	Total of 12 transactions
	Security total:	3,128.47		3,098.91		29.56	
Ethereum Classic / CUSI	P: ETCUSD / Symbol:						
01/04/23	32.399727	581.39	Various	518.77		62.62	Total of 20 transactions
01/05/23	3.000	54.79	Various	57.46		-2.67	Total of 2 transactions
01/25/23	1.100	22.90	Various	23.00		-0.10	Total of 2 transactions
03/18/23	78.295572	1,646.55	Various	1,627.58		18.97	Total of 27 transactions
03/23/23	60.000	1,260.44	Various	1,217.35		43.09	Total of 12 transactions
03/29/23	45.000	932.89	Various	892.21		40.68	Total of 7 transactions
04/04/23	54.000	1,143.04	Various	1,086.33		56.71	Total of 14 transactions
06/27/23	271.633344	5,104.28	Various	4,852.03		252.25	Total of 67 transactions
06/28/23	50.000	878.00	Various	889.98		-11.98	Total of 2 transactions
06/30/23	29.000	562.12	Various	514.25		47.87	Total of 8 transactions
07/10/23	5.000	94.08	Various	101.37		-7.29	Total of 2 transactions
07/20/23	23.085187	430.00	Various	444.35		-14.35	Total of 10 transactions
09/14/23	82.325425	1,251.57	Various	1,249.66		1.91	Total of 21 transactions
09/18/23	32.000001	508.99	Various	490.32		18.67	Total of 5 transactions
09/29/23	26.000	410.15	Various	388.16	•••	21.99	Total of 2 transactions
	Security total:	14,881.19		14,352.82		528.37	
Ethereum / CUSIP: ETH	JSD / Symbol:						
03/09/23	0.018615	28.39	Various	30.02	•••	-1.63	Total of 4 transactions
04/14/23	0.042805	90.25	Various	76.01	•••	14.24	Total of 4 transactions
07/21/23	0.405653	764.93	Various	775.15	···	-10.22	Total of 17 transactions
	Security total:	883.57		881.18		2.39	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for **Robinhood Crypto LLC** 

# **Proceeds from Broker and Barter Exchange Transactions**

Account 884457565C

2023 **1099-B\*** OMB No. 1545-0715

(continued)

02/12/2024

# SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5) Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Polygon / CUSIP: MA	TICUSD / Symbol:						
03/23/23	256.8876	288.11	Various	295.05		-6.94	Total of 6 transactions
04/14/23	138.9753	163.52	Various	152.03	···	11.49	Total of 6 transactions
	Security total:	451.63		447.08		4.55	
Shiba Inu / CUSIP: Sl	HIBUSD / Symbol:						
01/03/23	50,671,583.000	407.45	Various	443.75		-36.30	Total of 27 transactions
07/21/23	153,730,951.000	1,214.47	Various	1,180.73		33.74	Total of 22 transactions
	Security total:	1,621.92		1,624.48		-2.56	
Totals :		24,849.55		24,205.97		643.58	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

## **2023 End Notes for Account 884457565C**

25 Tax lot closed on a first in first out basis.

#### Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number**. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

#### Instructions for Recipient

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked. box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 10 may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to <a href="www.irs.gov/FreeFile">www.irs.gov/FreeFile</a> to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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