

Consolidated IRS Form 1099

Recipient Information

Name	JAY A SHETH
Address	25846 241st Cir Se Maple Valley, WA 98038-4723
Tax ID Number	XXX-XX-0669
FATCA Filing Requirement	No
IB Account ID	U10483282

Payer Information

Name	Interactive Brokers LLC
Address	1 Pickwick Plaza Greenwich, CT 06830
Phone Number	1 (877) 442-2757
Website	http://www.interactivebrokers.com/help
Federal ID Number	13-3863700

2023 1099-INT Interest Income OMB No. 1545-0112

Box	Description	Amount
1	Interest income	0.00
2	Early withdrawal penalty	0.00
3	Interest on U.S. savings bonds and Treasury obligations	0.00
4	Federal income tax withheld	0.00
5	Investment expenses	0.00
6	Foreign tax paid	0.00
7	Foreign country or U.S. possession	
8	Tax-exempt interest	0.00
9	Specified private activity bond interest	0.00
10	Market discount	0.00
11	Bond premium	0.00
12	Bond premium on Treasury obligations	0.00
13	Bond premium on tax-exempt bond	0.00

2023 1099-DIV Dividends and Distributions OMB No. 1545-0110

Box	Description	Amount
1a	Total ordinary dividends	0.16
1b	Qualified dividends	0.16
2a	Total capital gain distributions	0.00
2b	Unrecap. Sec. 1250 gain	0.00
2e	Section 897 ordinary dividends	0.00
2f	Section 897 capital gain	0.00
3	Non-dividend distributions	0.00
4	Federal income tax withheld	0.00
5	Section 199A Dividends	0.00
6	Investment expenses	0.00
7	Foreign tax paid	0.00
8	Foreign country or U.S. possession	
9	Cash liquidation distributions	0.00
10	Non-cash liquidation distributions	0.00
12	Exempt-interest dividends	0.00
13	Specified private activity bond interest dividends	0.00

2023 1099-OID Original Issue Discount OMB No. 1545-0117

Box	Description	Amount
1	Original issue discount for 2023	0.00
2	Other periodic interest	0.00
3	Early withdrawal penalty	0.00
4	Federal income tax withheld	0.00
5	Market discount	0.00
6	Acquisition premium	0.00
8	Original issue discount on U.S. Treasury obligations	0.00
9	Investment expense	0.00
10	Bond premium	0.00
11	Tax-exempt OID	0.00

2023 1099-MISC Miscellaneous Income OMB No. 1545-0115

Box	Description	Amount
1	Rents	0.00
2	Royalties	0.00
3	Other income	134.50
4	Federal income tax withheld	0.00
8	Substitute payments in lieu of dividends or interest	0.48

2023 1099-NEC Nonemployee Compensation OMB No. 1545-0116

Box	Description	Amount
1	Non-employee compensation	0.00
4	Federal income tax withheld	0.00

Consolidated IRS Form 1099 (continued)

Covered Securities: Short-Term - Summary

2023 1099-B		Proceeds From Broker and Barter Exchange Transactions	OMB No: 1545-0715
Box	Description	Amount	
	Applicable check box on Form 8949	A	
1a	Description of property	Various	
1b	Date acquired	Various	
1c	Date sold or disposed	Various	
1d	Proceeds (gross proceeds less commissions & options premium)	50,943.46	
1e	Cost or other basis	54,768.83	
1f	Accrued market discount	0.00	
1g	Wash sale loss disallowed	317.08	
2	Type of gain or loss	Short-term	
3	Proceeds from Collectibles (C) or QOF (Q)	See details	
4	Federal income tax withheld	0.00	
5	Noncovered security	No	
12	Basis reported to IRS	Yes	

Covered Securities: Long-Term - Summary

2023 1099-B		Proceeds From Broker and Barter Exchange Transactions	OMB No: 1545-0715
Box	Description	Amount	
	Applicable check box on Form 8949	D	
1a	Description of property		
1b	Date acquired		
1c	Date sold or disposed		
1d	Proceeds (gross proceeds less commissions & options premium)	0.00	
1e	Cost or other basis	0.00	
1f	Accrued market discount	0.00	
1g	Wash sale loss disallowed	0.00	
2	Type of gain or loss	Long-term	
3	Proceeds from Collectibles (C) or QOF (Q)	See details	
4	Federal income tax withheld	0.00	
5	Noncovered security	No	
12	Basis reported to IRS	Yes	

Noncovered Securities - Summary

2023 1099-B		Proceeds From Broker and Barter Exchange Transactions	OMB No: 1545-0715
Box	Description	Amount	
	Applicable check box on Form 8949	X	
1a	Description of property		
1c	Date sold or disposed		
1d	Proceeds (gross proceeds less commissions & options premium)	0.00	
2	Type of gain or loss	Not determined	
3	Proceeds from Collectibles (C) or QOF (Q)	See details	
4	Federal income tax withheld	0.00	
5	Noncovered security	Yes	
12	Basis reported to IRS	No	

Regulated Future Contracts and Options (Section 1256 Transactions)

2023 1099-B		Proceeds From Broker and Barter Exchange Transactions	OMB No: 1545-0715
Box	Description	Amount	
8	Profit or (loss) realized in 2023 on closed contracts	-84.72	
9	Unrealized profit or (loss) on open contracts - 12/31/2022	0.00	
10	Unrealized profit or (loss) on open contracts - 12/31/2023	0.00	
11	Aggregate profit or (loss) on contracts	-84.72	

Covered Securities with Short-Term Capital Gains/Losses

2023 1099-B Proceeds From Broker and Barter Exchange Transactions

Description of Property (Box 1a)	CUSIP/ISIN	Symbol	Quantity	8949 Box	Transaction Description	Date Sold (Box 1c)	Date Acquired (Box 1b)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Proceeds from C/Q
AAPL 13JAN23 134 C		AAPL 230113C00134000	1	A	Sale	01/09/2023	01/09/2023	181.50	180.50	0.00	0.00	
AAPL 20JAN23 135 C		AAPL 230120C00135000	1	A	Sale	01/13/2023	01/13/2023	169.47	112.84	0.00	0.00	
AAPL 20JAN23 135 P		AAPL 230120P00135000	1	A	Sale	01/19/2023	01/19/2023	111.48	161.50	0.00	0.00	
AMD 10FEB23 87 C		AMD 230210C00087000	3	A	Sale	02/06/2023	02/06/2023	374.45	438.54	0.00	0.00	
AMD 13JAN23 68 C		AMD 230113C00068000	1	A	Sale	01/10/2023	01/10/2023	143.96	179.47	0.00	0.00	
AMZN 03FEB23 103 C		AMZN 230203C00103000	2	A	Sale	01/31/2023	01/31/2023	832.41	831.36	0.00	0.00	
AMZN 03FEB23 115 C		AMZN 230203C00115000	1	A	Sale	02/02/2023	02/02/2023	324.14	316.53	0.00	0.00	
AMZN 03MAR23 93 P		AMZN 230303P00093000	2	A	Sale	03/01/2023	02/28/2023	229.62	264.36	0.00	0.00	
AMZN 10FEB23 104 C		AMZN 230210C00104000	3	A	Sale	02/06/2023	02/06/2023	431.42	533.10	0.00	0.00	
AMZN 10FEB23 105 C		AMZN 230210C00105000	3	A	Sale	02/06/2023	02/06/2023	422.45	549.39	0.00	0.00	
AMZN 10FEB23 97 C		AMZN 230210C00097000	5	A	Sale	02/10/2023	02/10/2023	519.10	797.50	0.00	0.00	
AMZN 10MAR23 94 C		AMZN 230310C00094000	2	A	Sale	03/06/2023	03/03/2023	594.99	382.36	0.00	0.00	
AMZN 10MAR23 95 C		AMZN 230310C00095000	3	A	Sale	03/03/2023	03/03/2023	491.45	333.54	0.00	0.00	
AMZN 10MAR23 95 C		AMZN 230310C00095000	2	A	Sale	03/06/2023	03/03/2023	468.59	333.53	0.00	0.00	
Subtotal AMZN 230310C00095000								960.04	667.07	0.00	0.00	
AMZN 13JAN23 87 P		AMZN 230113P00087000	1	A	Sale	01/10/2023	01/10/2023	119.50	155.47	0.00	0.00	
AMZN 13JAN23 88 C		AMZN 230113C00088000	1	A	Sale	01/10/2023	01/10/2023	266.49	251.53	0.00	0.00	
AMZN 13JAN23 89 C		AMZN 230113C00089000	2	A	Sale	01/09/2023	01/09/2023	369.42	446.30	0.00	0.00	
AMZN 13JAN23 93 C		AMZN 230113C00093000	1	A	Sale	01/13/2023	01/13/2023	194.15	210.52	0.00	0.00	
AMZN 13JAN23 93 C		AMZN 230113C00093000	1	A	Sale	01/13/2023	01/13/2023	194.15	211.84	0.00	17.68	
Subtotal AMZN 230113C00093000								388.30	422.36	0.00	17.68	
AMZN 13JAN23 94 C		AMZN 230113C00094000	1	A	Sale	01/13/2023	01/13/2023	124.16	127.79	0.00	0.00	
AMZN 17FEB23 100 C		AMZN 230217C00100000	3	A	Sale	02/10/2023	02/10/2023	377.45	540.54	0.00	0.00	
AMZN 17FEB23 99 C		AMZN 230217C00099000	6	A	Sale	02/16/2023	02/16/2023	1,093.77	1,219.07	0.00	0.00	
AMZN 17MAR23 100 C		AMZN 230317C00100000	4	A	Sale	03/17/2023	03/17/2023	63.27	352.72	0.00	0.00	
AMZN 17MAR23 95 C		AMZN 230317C00095000	2	A	Sale	03/15/2023	03/15/2023	337.66	288.36	0.00	0.00	
AMZN 17MAR23 95 C		AMZN 230317C00095000	2	A	Sale	03/17/2023	03/15/2023	698.59	288.36	0.00	0.00	

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Covered Securities with Short-Term Capital Gains/Losses

2023 1099-B Proceeds From Broker and Barter Exchange Transactions

Description of Property (Box 1a)	CUSIP/ISIN	Symbol	Quantity	8949 Box	Transaction Description	Date Sold (Box 1c)	Date Acquired (Box 1b)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Proceeds from C/Q
Subtotal AMZN 230317C00095000								1,036.25	576.72	0.00	0.00	
AMZN 27JAN23 95 C		AMZN 230127C00095000	1	A	Sale	01/19/2023	01/19/2023	176.48	195.84	0.00	0.00	
AMZN 27JAN23 96 P		AMZN 230127P00096000	2	A	Sale	01/24/2023	01/24/2023	251.65	289.38	0.00	0.00	
AMZN 27JAN23 97 C		AMZN 230127C00097000	5	A	Sale	01/26/2023	01/25/2023	1,265.81	387.49	0.00	0.00	
AMZN 27JAN23 98 C		AMZN 230127C00098000	4	A	Sale	01/24/2023	01/24/2023	429.23	656.66	0.00	0.00	
BA 10FEB23 212.5 C		BA 230210C00212500	4	A	Sale	02/10/2023	02/10/2023	249.19	616.72	0.00	0.00	
BA 10FEB23 215 P		BA 230210P00215000	2	A	Sale	02/10/2023	02/10/2023	678.98	565.40	0.00	0.00	
BA 13JAN23 205 C		BA 230113C00205000	1	A	Sale	01/10/2023	01/10/2023	373.95	410.84	0.00	0.00	
BA 17FEB23 217.5 C		BA 230217C00217500	4	A	Sale	02/10/2023	02/10/2023	919.25	880.72	0.00	0.00	
BA 20JAN23 207.5 C		BA 230120C00207500	1	A	Sale	01/19/2023	01/19/2023	134.96	165.54	0.00	0.00	
BA 20JAN23 222.5 C		BA 230120C00222500	1	A	Sale	01/13/2023	01/13/2023	72.48	109.04	0.00	0.00	
BA 27JAN23 212.5 C		BA 230127C00212500	1	A	Sale	01/20/2023	01/19/2023	280.95	408.88	0.00	0.00	
BA 27JAN23 212.5 C		BA 230127C00212500	1	A	Sale	01/20/2023	01/19/2023	263.47	330.84	0.00	67.36	
Subtotal BA 230127C00212500								544.42	739.72	0.00	67.36	
BA 27JAN23 215 C		BA 230127C00215000	1	A	Sale	01/24/2023	01/24/2023	219.96	261.52	0.00	0.00	
BA 27JAN23 220 C		BA 230127C00220000	2	A	Sale	01/24/2023	01/23/2023	239.01	242.34	0.00	0.00	
BABA 13JAN23 110 P		BABA 230113P00110000	1	A	Sale	01/10/2023	01/10/2023	167.96	221.04	0.00	0.00	
BABA 13JAN23 114 C		BABA 230113C00114000	2	A	Sale	01/10/2023	01/10/2023	609.00	560.07	0.00	0.00	
DDOG 13JAN23 64 P		DDOG 230113P00064000	1	A	Sale	01/10/2023	01/10/2023	186.16	223.04	0.00	0.00	
DDOG 20JAN23 71 C		DDOG 230120C00071000	2	A	Sale	01/17/2023	01/17/2023	398.10	378.34	0.00	0.00	
META 10FEB23 190 C		META 230210C00190000	4	A	Sale	02/07/2023	02/07/2023	1,367.33	987.08	0.00	0.00	
META 13JAN23 133 C		META 230113C00133000	1	A	Sale	01/09/2023	01/09/2023	243.49	253.52	0.00	0.00	
META 13JAN23 134 C		META 230113C00134000	1	A	Sale	01/09/2023	01/09/2023	203.47	211.53	0.00	8.05	
META 13JAN23 134 C		META 230113C00134000	1	A	Sale	01/11/2023	01/11/2023	215.47	249.58	0.00	0.00	
Subtotal META 230113C00134000								418.94	461.11	0.00	8.05	
META 13JAN23 135 C		META 230113C00135000	1	A	Sale	01/11/2023	01/11/2023	172.47	178.52	0.00	0.00	
META 20JAN23 136 C		META 230120C00136000	2	A	Sale	01/20/2023	01/20/2023	483.01	277.54	0.00	0.00	
META 27JAN23 141 C		META 230127C00141000	1	A	Sale	01/23/2023	01/23/2023	242.49	228.53	0.00	0.00	
ROBINHOOD MARKETS INC - A	770700102	HOOD	300	A	Sale	01/04/2023	12/02/2022	2,477.90	2,868.53	0.00	0.00	
ROKU 03FEB23 56 C		ROKU 230203C00056000	2	A	Sale	01/31/2023	01/31/2023	531.63	465.38	0.00	0.00	
ROKU 03MAR23 65 C		ROKU 230303C00065000	3	A	Sale	03/01/2023	03/01/2023	311.45	318.54	0.00	0.00	
ROKU 10FEB23 56 C		ROKU 230210C00056000	3	A	Sale	02/10/2023	02/10/2023	26.42	378.10	0.00	0.00	

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Description of Property (Box 1a)	CUSIP/ISIN	Symbol	Quantity	8949 Box	Transaction Description	Date Sold (Box 1c)	Date Acquired (Box 1b)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Proceeds from C/Q
ROKU 13JAN23 45 C		ROKU 230113C00045000	2	A	Sale	01/09/2023	01/09/2023	375.64	430.98	0.00	0.00	
ROKU 13JAN23 46 C		ROKU 230113C00046000	1	A	Sale	01/10/2023	01/10/2023	178.96	194.53	0.00	0.00	
ROKU 13JAN23 48 C		ROKU 230113C00048000	1	A	Sale	01/11/2023	01/11/2023	154.47	162.04	0.00	0.00	
ROKU 13JAN23 48.5 C		ROKU 230113C00048500	1	A	Sale	01/11/2023	01/11/2023	133.50	163.57	0.00	0.00	
ROKU 13JAN23 48.5 C		ROKU 230113C00048500	1	A	Sale	01/11/2023	01/11/2023	142.48	152.53	0.00	10.05	
Subtotal ROKU 230113C00048500								275.98	316.10	0.00	10.05	
ROKU 20JAN23 49 P		ROKU 230120P00049000	1	A	Sale	01/19/2023	01/19/2023	91.96	107.52	0.00	0.00	
ROKU 24FEB23 62 P		ROKU 230224P00062000	3	A	Sale	02/24/2023	02/24/2023	71.60	168.54	0.00	0.00	
SMILEDIRECTCLUB INC	83192H106	SDC	50	A	Sale	01/04/2023	11/16/2022	19.28	41.17	0.00	0.00	
SMILEDIRECTCLUB INC	83192H106	SDC	200	A	Sale	01/04/2023	11/17/2022	77.13	150.76	0.00	0.00	
Subtotal SDC								96.41	191.93	0.00	0.00	
SPY 02MAR23 397 C		SPY 230302C00397000	3	A	Sale	03/01/2023	03/01/2023	314.51	312.57	0.00	0.00	
SPY 07FEB23 412 C		SPY 230207C00412000	3	A	Sale	02/06/2023	02/06/2023	432.92	501.39	0.00	0.00	
SPY 07FEB23 413 C		SPY 230207C00413000	4	A	Sale	02/06/2023	02/06/2023	499.94	528.64	0.00	0.00	
SPY 08FEB23 412 C		SPY 230208C00412000	3	A	Sale	02/06/2023	02/06/2023	641.95	699.48	0.00	0.00	
SPY 08FEB23 413 C		SPY 230208C00413000	6	A	Sale	02/06/2023	02/06/2023	941.91	1,083.23	0.00	0.00	
SPY 08MAR23 410 C		SPY 230308C00410000	7	A	Sale	03/06/2023	03/06/2023	1,070.91	1,015.07	0.00	0.00	
SPY 10FEB23 407 C		SPY 230210C00407000	5	A	Sale	02/10/2023	02/10/2023	379.64	700.05	0.00	0.00	
SPY 10FEB23 416 C		SPY 230210C00416000	3	A	Sale	02/08/2023	02/08/2023	551.50	590.07	0.00	0.00	
SPY 11JAN23 392 C		SPY 230111C00392000	2	A	Sale	01/09/2023	01/09/2023	621.68	443.18	0.00	0.00	
SPY 11JAN23 394 C		SPY 230111C00394000	1	A	Sale	01/09/2023	01/09/2023	213.64	171.35	0.00	0.00	
SPY 11JAN23 394 C		SPY 230111C00394000	1	A	Sale	01/11/2023	01/11/2023	38.47	91.22	0.00	0.00	
SPY 11JAN23 394 C		SPY 230111C00394000	1	A	Sale	01/11/2023	01/11/2023	46.97	69.84	0.00	22.87	
Subtotal SPY 230111C00394000								299.08	332.41	0.00	22.87	
SPY 13JAN23 385 P		SPY 230113P00385000	1	A	Sale	01/10/2023	01/10/2023	297.64	370.03	0.00	0.00	
SPY 13JAN23 386 P		SPY 230113P00386000	1	A	Sale	01/10/2023	01/10/2023	342.95	411.03	0.00	0.00	
SPY 13JAN23 394 C		SPY 230113C00394000	1	A	Sale	01/11/2023	01/11/2023	429.64	391.35	0.00	0.00	
SPY 13JAN23 397 C		SPY 230113C00397000	1	A	Sale	01/11/2023	01/11/2023	323.64	311.50	0.00	0.00	
SPY 15MAR23 385 P		SPY 230315P00385000	4	A	Sale	03/10/2023	03/10/2023	1,385.22	1,252.76	0.00	0.00	
SPY 17JAN23 391 P		SPY 230117P00391000	1	A	Sale	01/13/2023	01/13/2023	113.65	118.35	0.00	0.00	
SPY 17JAN23 392 P		SPY 230117P00392000	1	A	Sale	01/13/2023	01/13/2023	138.59	170.84	0.00	0.00	
SPY 17JAN23 396 C		SPY 230117C00396000	2	A	Sale	01/13/2023	01/13/2023	663.98	310.00	0.00	0.00	
SPY 17JAN23 400 C		SPY 230117C00400000	1	A	Sale	01/11/2023	01/11/2023	242.96	226.35	0.00	0.00	
SPY 19JAN23 400 C		SPY 230119C00400000	1	A	Sale	01/17/2023	01/17/2023	222.64	190.04	0.00	0.00	
SPY 20JAN23 390 C		SPY 230120C00390000	2	A	Sale	01/20/2023	01/20/2023	301.99	217.38	0.00	0.00	
SPY 21FEB23 413 C		SPY 230221C00413000	5	A	Sale	02/15/2023	02/15/2023	1,466.51	1,205.80	0.00	0.00	
SPY 22FEB23 400 C		SPY 230222C00400000	3	A	Sale	02/22/2023	02/22/2023	0.00	477.03	0.00	0.00	
SPY 23FEB23 400 P		SPY 230223P00400000	2	A	Sale	02/21/2023	02/21/2023	469.61	434.32	0.00	0.00	
SPY 23JAN23 386 P		SPY 230123P00386000	1	A	Sale	01/19/2023	01/19/2023	150.97	194.03	0.00	0.00	

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SPY 23JAN23 387 P		SPY 230123P00387000	1	A	Sale	01/19/2023	01/19/2023	218.49	225.50	0.00	0.00	
SPY 23JAN23 401 C		SPY 230123C00401000	2	A	Sale	01/18/2023	01/17/2023	40.64	451.70	0.00	0.00	
SPY 24FEB23 394 P		SPY 230224P00394000	4	A	Sale	02/24/2023	02/24/2023	359.23	486.00	0.00	0.00	
SPY 25JAN23 397 P		SPY 230125P00397000	1	A	Sale	01/24/2023	01/24/2023	69.96	179.35	0.00	0.00	
SPY 25JAN23 398 C		SPY 230125C00398000	2	A	Sale	01/23/2023	01/23/2023	449.95	359.69	0.00	0.00	
SPY 25JAN23 398 P		SPY 230125P00398000	2	A	Sale	01/24/2023	01/24/2023	187.01	387.38	0.00	0.00	
SPY 27FEB23 393 P		SPY 230227P00393000	1	A	Sale	02/24/2023	02/24/2023	154.64	198.05	0.00	0.00	
SPY 27FEB23 399 P		SPY 230227P00399000	3	A	Sale	02/21/2023	02/21/2023	1,073.49	833.10	0.00	0.00	
SQ 13JAN23 72 C		SQ 230113C00072000	1	A	Sale	01/11/2023	01/11/2023	155.96	164.53	0.00	0.00	
TSLA 03FEB23 195 C		TSLA 230203C00195000	2	A	Sale	02/02/2023	02/02/2023	951.92	661.40	0.00	0.00	
TSLA 10FEB23 205 C		TSLA 230210C00205000	4	A	Sale	02/08/2023	02/08/2023	1,321.56	1,184.72	0.00	0.00	
TSLA 10MAR23 177.5 P		TSLA 230310P00177500	3	A	Sale	03/08/2023	03/08/2023	659.42	675.39	0.00	0.00	
TSLA 10MAR23 180 P		TSLA 230310P00180000	4	A	Sale	03/08/2023	03/08/2023	1,257.98	1,166.76	0.00	0.00	
TSLA 13JAN23 121 C		TSLA 230113C00121000	1	A	Sale	01/13/2023	01/13/2023	36.59	84.84	0.00	0.00	
TSLA 13JAN23 124 C		TSLA 230113C00124000	1	A	Sale	01/11/2023	01/11/2023	244.52	242.04	0.00	0.00	
TSLA 13JAN23 125 C		TSLA 230113C00125000	1	A	Sale	01/11/2023	01/11/2023	188.16	371.42	0.00	0.00	
TSLA 13JAN23 125 C		TSLA 230113C00125000	1	A	Sale	01/11/2023	01/11/2023	183.96	272.84	0.00	88.88	
Subtotal TSLA 230113C00125000								372.12	644.26	0.00	88.88	
TSLA 17FEB23 210 C		TSLA 230217C00210000	1	A	Sale	02/14/2023	02/14/2023	358.46	197.54	0.00	0.00	
TSLA 17MAR23 190 C		TSLA 230317C00190000	2	A	Sale	03/15/2023	03/14/2023	95.00	311.40	0.00	0.00	
TSLA 17MAR23 190 C		TSLA 230317C00190000	2	A	Sale	03/17/2023	03/14/2023	0.00	311.40	0.00	0.00	
Subtotal TSLA 230317C00190000								95.00	622.80	0.00	0.00	
TSLA 18AUG23 265 C		TSLA 230818C00265000	1	A	Sale	08/18/2023	06/21/2023	0.00	2,567.05	0.00	0.00	
TSLA 20JAN23 127 P		TSLA 230120P00127000	1	A	Sale	01/20/2023	01/20/2023	49.31	233.23	0.00	0.00	
TSLA 20JAN23 127 P		TSLA 230120P00127000	2	A	Sale	01/20/2023	01/20/2023	98.62	284.53	0.00	102.19	
Subtotal TSLA 230120P00127000								147.93	517.76	0.00	102.19	
TSLA 20JAN23 130 C		TSLA 230120C00130000	1	A	Sale	01/17/2023	01/17/2023	350.95	269.04	0.00	0.00	
TSLA 20JAN23 130 C		TSLA 230120C00130000	3	A	Sale	01/20/2023	01/20/2023	615.90	392.07	0.00	0.00	
Subtotal TSLA 230120C00130000								966.85	661.11	0.00	0.00	
TSLA 24FEB23 215 C		TSLA 230224C00215000	2	A	Sale	02/21/2023	02/21/2023	588.60	912.38	0.00	0.00	
TSLA 24MAR23 192.5 C		TSLA 230324C00192500	3	A	Sale	03/21/2023	03/17/2023	1,528.48	917.07	0.00	0.00	

IMPORTANT TAX INFORMATION: This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Please consult the "Instructions for Recipients" as some amounts require review before reporting on your income tax return.

Covered Securities with Short-Term Capital Gains/Losses

2023 1099-B Proceeds From Broker and Barter Exchange Transactions

Description of Property (Box 1a)	CUSIP/ISIN	Symbol	Quantity	8949 Box	Transaction Description	Date Sold (Box 1c)	Date Acquired (Box 1b)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Proceeds from C/Q
TSLA 24MAR23 202.5 C		TSLA 230324C00202500	4	A	Sale	03/24/2023	03/22/2023	0.00	1,371.54	0.00	0.00	
TSLA 27JAN23 139 C		TSLA 230127C00139000	1	A	Sale	01/23/2023	01/23/2023	588.52	523.04	0.00	0.00	
TSLA 27JAN23 145 C		TSLA 230127C00145000	1	A	Sale	01/26/2023	01/25/2023	1,404.13	510.84	0.00	0.00	
TSLA 27JAN23 146 C		TSLA 230127C00146000	1	A	Sale	01/24/2023	01/24/2023	518.47	570.84	0.00	0.00	
TSLA 27JAN23 148 C		TSLA 230127C00148000	1	A	Sale	01/26/2023	01/25/2023	1,319.13	566.04	0.00	0.00	
Total								50,943.46	54,768.83	0.00	317.08	

* Transaction details from each of your 2023 securities trades are individually reported to the IRS (less commissions).

Other Useful Information

Description	Total
Margin Interest Paid	0.00
Payment in Lieu Paid	0.00
Borrow Fees Paid	0.00
Advisory Fees Paid	0.00
Other Fees Paid	0.00

* This tax information is not being furnished to the Internal Revenue Service. It is being provided to you as it may assist you in your tax return preparation.

Instructions for Recipients of Consolidated IRS Form 1099: Provides detailed instructions for customers regarding each 1099 issued, and what each line represents.

The amounts listed on Forms 1099-DIV, 1099-INT, 1099-OID, 1099-MISC, 1099-NEC, and 1099-B represent interest, dividends, miscellaneous income, gross proceeds payments and/or gain or loss made or credited to your account during the calendar year, as well as any original issue discount (OID) accrued during the calendar year.

If you are an investor in a limited partnership, information concerning your distributive share of the partnership's taxable income or loss (Form 1065, Schedule K-1) will not appear on the Tax Reporting Statement. Such information will be sent to you directly from the limited partnership for inclusion in your income tax return.

Recipient's Identification Number - For your protection, this form shows only the last four digits of your social security number (SSN), employer identification number (EIN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

FATCA filing requirement - If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Nominees - If your Federal Taxpayer Identification Number is shown on this form and includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV, 1099-INT, 1099-OID, 1099-MISC, 1099-NEC, or 1099-B as applicable with the IRS, for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV, 1099-INT, 1099-OID, 1099-MISC, 1099-NEC or 1099-B to each owner. A husband or wife is not required to file a nominee return to show amounts to the other. See the instructions for Forms 1099, 1098, 5498, and W-2G.

Foreign Tax Paid - You may be able to claim this foreign tax as a deduction or a credit on Form 1040. See Form 1040 instructions.

Backup Withholding - Persons not furnishing their taxpayer identification number to the payer become subject to backup withholding on certain payments, including dividends, interest and gross proceeds from dispositions of securities at a rate of 24%. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

1099-INT - Interest Income

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer may report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did not notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2023 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 and 1040-SR to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single class REMIC. This amount is included in box 1. This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest, paid to you during the calendar year by the payer. Report this amount on line 8b of Form 1040 and 1040-SR. This amount may be subject to backup withholding. See box 4. See the instructions above for a covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election, in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the instructions for Form 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the US treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in this box is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in this box is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099int.

1099-OID - Original Issue Discount

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation protected securities. See Pub. 550, Investment Income and Expenses, for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired with acquisition premium, your payer may report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

Box 1. Shows the OID on the obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.

Instructions for Recipients of Consolidated IRS Form 1099 (continued)

1099-OID - Original Issue Discount (continued)

Box 2. Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.

Box 3. Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Form 1040 and 1040-SR to see where to take the deduction.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN), or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. For a taxable covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a taxable covered security, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the instructions for Form 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR).

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 11. For a tax-exempt obligation that is a covered security, shows the OID for the part of the year it was owned by the record holder.

Future developments. For the latest information about developments related to Form 1099-OID and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099oid.

1099-DIV - Dividends and Distributions

Details of your dividend payments can be found in your Dividend Report.

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See Form 1040/1040-SR instructions for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040/1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). Report the amounts shown in box 2a on Schedule D (Form 1040), line 13. But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Form 1040/1040-SR instructions.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040)

Boxes 2e and 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Box 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN), or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 6. Shows your share of expenses of a non-publicly offered regulated investment company, generally a non-publicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the instructions for Form 1040 and 1040-SR.

Box 8. This box should be left blank if a regulated investment company reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 12. Shows exempt-interest dividends from a mutual fund or other regulated investment company paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See box 4.

Box 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 11. See the instructions for Form 6251.

Future developments. For the latest information about the developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099div.

1099-MISC - Miscellaneous Income

Details of your payments in lieu of dividends can be found in your Dividend Report.

In addition to the Form 1099-MISC we provide, each royalty trust is required to provide their shareholders with detailed information regarding items of trust income and deductions, which will help shareholders in preparing their tax return. Generally, shareholders report this information on Schedule E of Form 1040. Because the information reported to shareholders by the royalty trust includes the information we report to you on Form 1099-MISC, you should be careful not to report this income on your tax return twice. The royalty trusts generally make this tax information available in March, and we will forward it to you upon receipt. Accordingly, you should be aware of your tax filing deadline and determine if an extension of time to file will be necessary.

Amounts shown may be subject to self-employment (SE) tax. If your net income from self-employment is \$400 or more, you must file a return and compute your SE tax on Schedule SE (Form 1040). See Pub. 334 for more information. If no income or social security and Medicare taxes were withheld and you are still receiving these payments, see Form 1040-ES. Individuals must report these amounts as explained in the box 7 instructions on this page. Corporations, fiduciaries, or partnerships must report the amounts on the proper line of their tax returns.

Instructions for Recipients of Consolidated IRS Form 1099 (continued)

1099-MISC - Miscellaneous Income (continued)

Form 1099-MISC incorrect? If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your income correctly.

Box 1. Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.

Box 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Box 3. Generally, report this amount on the "Other income" line of Form 1040 and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Box 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099misc.

1099-NEC - Nonemployee Compensation

Box 1. Shows nonemployee compensation. If the amount in this box is self-employment (SE) income, report it on Schedule C or F (Form 1040) if a sole proprietor, or on Form 1065 and Schedule K-1 (Form 1065) if a partnership, and the recipient/partner completes Schedule SE (Form 1040).

Note: If you are receiving payments on which no income, social security, and Medicare taxes are withheld, you should make estimated tax payments. See Form 1040-ES (or Form 1040-ES (NR)). Individuals must report these amounts as explained in these box 1 instructions. Corporations, fiduciaries, and partnerships must report these amounts on the appropriate line of their tax returns.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Future developments. For the latest information about developments related to Form 1099-NEC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099NEC.

1099-B - Proceeds from Broker and Barter Exchange Transactions

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

We provide 1099B information in three summary sections. Section one reports proceeds and cost basis information for transactions of covered securities with a short-term gain or loss. Section two reports information for transactions of covered securities with a long-term gain or loss. Section three reports transaction of non-covered securities including index options without determination of holding period. Covered securities include stock (or ADRs) purchased or received as a result of a corporate action after January 1, 2011. Mutual funds purchased after January 1, 2012 and options and simple debt instruments purchased after January 1, 2014. All other securities, including those purchased before these dates, are considered noncovered.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the Instructions for Schedule D.

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a non-compensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a non-compensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the amount of non-contingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. Indicates if proceeds are from Collectibles or QOF. See details for more information.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 12. If checked, the basis in box 1e has been reported to the IRS and one or more of the check boxes in box 2 must be checked. If box 3 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If the Ordinary check box in box 2 is checked, an adjustment may be required.

Regulated Futures Contracts and Options (Section 1256 Transactions)

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2023.

Box 9. Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2022.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2023. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2023 in 2024.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2023 Form 6781.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099b.