

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	Tax Information Account 454980322	Statement Date: 02/01/2024 Document ID: 7524 R9B 595S	2023
PAYER'S TIN: 47-0533629	JAYAKKUMAR THIRUNAVUKKARASU 2034 TAYLOR MARIE TRL KATY, TX 77494-4561 RECIPIENT'S TIN: XXX-XX-1278		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2023 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 20.81</p> <p>1b- Qualified dividends 19.46</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>12- Exempt-interest dividends (includes line 13) 0.00</p> <p>13- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2023 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2023 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2023 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2022 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2023 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
--	---

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	117,484.55	121,784.82	0.00	5,720.38	1,420.11
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	117,484.55	121,784.82	0.00	5,720.38	1,420.11
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	117,484.55	121,784.82	0.00	5,720.38	1,420.11
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 454980322

Summary Information

2023

(continued)

02/01/2024

INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	6.32	
2- Early withdrawal penalty	0.00	
3- Interest on US Savings Bonds & Treasury obligations	0.00	
4- Federal income tax withheld	0.00	
5- Investment expenses	0.00	
7- Foreign country or US possession:	0.00	6- Foreign tax paid:
8- Tax-exempt interest (includes line 9)	0.00	
9- Specified private activity bond interest (AMT)	0.00	
10- Market discount (covered lots)	0.00	
11- Bond premium (covered lots)	0.00	
12- Bond premium on Treasury obligations (covered lots)	0.00	
13- Bond premium on tax-exempt bonds (categorized below)	0.00	
<i>Tax-exempt obligations (covered lots)</i>	0.00	
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00	
14- Tax-exempt and tax credit bond CUSIP number		See detail
FATCA filing requirement []		

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

02/01/2024

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHABET INC COM CL A / CUSIP: 02079K305 / Symbol: GOOGL							
02/02/23	10.000	1,071.11	Various	962.18	...	108.93	Total of 3 transactions
02/02/23	10.000	1,063.78	Various	1,110.57	31.84 W	-14.95	Sale
	Security total:	2,134.89		2,072.75	31.84 W	93.98	
AMAZON COM INC COM / CUSIP: 023135106 / Symbol: AMZN							
02/02/23	25.000	2,819.18	Various	2,507.76	...	311.42	Total of 4 transactions
02/02/23	25.000	2,803.69	Various	2,739.74	232.26 W	296.21	Sale
	Security total:	5,622.87		5,247.50	232.26 W	607.63	
APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL							
02/02/23	15.000	2,249.28	Various	2,083.80	...	165.48	Total of 2 transactions
DIAMONDBACK ENERGY INC COM / CUSIP: 25278X109 / Symbol: FANG							
01/09/23	10.000	1,418.77	11/28/22	1,430.00	...	-11.23	Sale
DIREXION SHARES ETF TRUST DLY SCOND 3XBU ETF / CUSIP: 25459W458 / Symbol: SOXL							
01/23/23	40.000	572.78	Various	353.47	...	219.31	Sale
DIREXION SHARES ETF TRUST DIREXION DLY GLD MIN IDX 2X / CUSIP: 25460G781 / Symbol: NUGT							
02/02/23	22.000	905.24	Various	543.00	...	362.24	Total of 2 transactions
EXELA TECHNOLOGIES INC 1:200 R/S 5/15/23 30162V805 / CUSIP: 30162V706 / Symbol:							
01/18/23	1,000.000	81.85	01/11/23	81.70	...	0.15	Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
EXXON MOBIL CORPORATION COM / CUSIP: 30231G102 / Symbol: XOM							
11/10/23	5.000	518.61	11/07/23	521.50	2.89 W	0.00	Sale
11/14/23	5.000	521.95	Various	522.75	0.86 W	0.06	Sale
	Security total:	1,040.56		1,044.25	3.75 W	0.06	
META PLATFORMS INC COM CL A / CUSIP: 30303M102 / Symbol: META							
02/01/23	4.000	613.39	10/26/22	527.93	...	85.46	Sale
02/02/23	1.000	190.94	10/26/22	131.98	...	58.96	Sale
	Security total:	804.33		659.91	...	144.42	
FAZE HOLDINGS INC COM / CUSIP: 31423J102 / Symbol: FAZE							
02/02/23	501.000	520.96	02/02/23	611.33	...	-90.37	Sale
02/02/23	1,000.000	1,079.83	02/02/23	1,170.00	50.16 W	-40.01	Sale
	Security total:	1,600.79		1,781.33	50.16 W	-130.38	
GINKGO BIOWORKS HOLDINGS INC COM CL A / CUSIP: 37611X100 / Symbol: DNA							
02/02/23	900.000	1,961.15	Various	1,816.00	...	145.15	Total of 2 transactions
HAWAIIAN ELECTRIC INDUSTRIES COM / CUSIP: 419870100 / Symbol: HE							
10/31/23	10.000	134.10	08/14/23	205.90	...	-71.80	Sale
MICROMOBILITY.COM INC 1:50 R/S 3/31/23 42309B402 / CUSIP: 42309B204 / Symbol: HLBZ							
01/31/23	100.000	22.99	01/30/23	34.99	...	-12.00	Sale
01/31/23	100.000	23.55	01/27/23	28.80	5.25 W	0.00	Sale
	Security total:	46.54		63.79	5.25 W	-12.00	
INSPIRE MEDICAL SYSTEMS INC COM / CUSIP: 457730109 / Symbol: INSP							
11/14/23	10.000	1,334.43	Various	1,499.56	165.13 W	0.00	Total of 2 transactions
JP MORGAN CHASE & CO COM / CUSIP: 46625H100 / Symbol: JPM							
01/23/23	5.000	686.43	09/30/22	525.00	...	161.43	Sale
LEMONADE INC COM / CUSIP: 52567D107 / Symbol: LMND							
01/26/23	10.000	153.20	01/24/23	155.00	...	-1.80	Sale
LUCID GROUP INC COM / CUSIP: 549498103 / Symbol: LCID							
10/31/23	100.000	413.00	10/20/23	431.00	...	-18.00	Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORP COM / CUSIP: 594918104 / Symbol: MSFT							
01/23/23	7.000	1,709.15	Various	1,714.72	...	-5.57	Sale
NVIDIA CORP COM / CUSIP: 67066G104 / Symbol: NVDA							
01/23/23	10.000	1,890.00	Various	1,267.03	...	622.97	Sale
SALESFORCE INC COM / CUSIP: 79466L302 / Symbol: CRM							
01/03/23	10.000	1,336.77	12/01/22	1,465.00	...	-128.23	Sale
TESLA INC COM / CUSIP: 88160R101 / Symbol: TSLA							
01/25/23	20.000	2,999.93	Various	4,008.37	834.71 W	-173.73	Sale
01/26/23	20.000	3,193.68	Various	3,095.56	...	98.12	Total of 2 transactions
02/02/23	1.000	189.10	01/26/23	187.00	...	2.10	Sale
11/02/23	10.000	2,179.98	10/20/23	2,174.28	...	5.70	Sale
11/02/23	10.000	2,149.98	10/19/23	2,177.85	27.87 W	0.00	Sale
11/03/23	25.000	5,633.20	Various	5,162.04	...	471.16	Sale
11/07/23	19.000	4,236.02	Various	3,844.88	...	391.14	Sale
11/14/23	30.000	7,116.56	Various	6,472.12	...	644.44	Sale
12/05/23	20.000	4,849.96	Various	4,395.06	...	454.90	Sale
12/13/23	10.000	2,391.09	11/08/23	2,180.00	...	211.09	Sale
12/14/23	20.000	4,981.36	11/16/23	4,711.95	...	269.41	Sale
12/19/23	20.000	5,088.46	Various	4,689.34	...	399.12	Total of 2 transactions
	Security total:	45,009.32		43,098.45	862.58 W	2,773.45	
UDEMY INC COM / CUSIP: 902685106 / Symbol: UDMY							
01/26/23	10.000	111.20	01/24/23	110.00	...	1.20	Sale
UPSTART HLDGS INC COM / CUSIP: 91680M107 / Symbol: UPST							
12/14/23	9.000	402.10	Various	396.00	...	6.10	Total of 2 transactions
VISA INC COM CL A / CUSIP: 92826C839 / Symbol: V							
01/23/23	5.000	1,126.48	09/30/22	895.00	...	231.48	Sale
AMERICAN AIRLINES GROUP INC AAL Nov 17 2023 12.0 Call / CUSIP: / Symbol: AAL 11/17/23 C 12.000							
11/06/23	5.000	76.69	11/06/23	93.30	...	-16.61	Option sale
ADVANCE AUTO PARTS INC AAP Jun 30 2023 70.0 Call / CUSIP: / Symbol: AAP 06/30/23 C 70.000							
06/05/23	1.000	192.34	06/02/23	230.66	...	-38.32	Option sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCE AUTO PARTS INC AAP Aug 18 2023 75.0 Call / CUSIP: / Symbol: AAP 08/18/23 C 75.000							
06/02/23	1.000	259.34	06/02/23	250.66	...	8.68	Option sale
APPLE INC AAPL Aug 11 2023 195.0 Call / CUSIP: / Symbol: AAPL 08/11/23 C 195.000							
08/11/23	1.000	0.00	08/03/23	255.66	...	-255.66	Option expiration
APPLE INC AAPL Nov 10 2023 177.5 Call / CUSIP: / Symbol: AAPL 11/10/23 C 177.500							
11/02/23	1.000	292.34	10/31/23	95.66	...	196.68	Option sale
APPLE INC AAPL Mar 03 2023 138.0 Put / CUSIP: / Symbol: AAPL 03/03/23 P 138.000							
02/03/23	1.000	69.34	02/02/23	144.66	75.32 W	0.00	Option sale
02/06/23	1.000	75.34	02/03/23	145.98	...	-70.64	Option sale
	Security total:	144.68		290.64	75.32 W	-70.64	
APPLE INC AAPL Nov 10 2023 170.0 Put / CUSIP: / Symbol: AAPL 11/10/23 P 170.000							
11/03/23	1.000	61.34	11/03/23	90.66	...	-29.32	Option sale
APPLE INC AAPL Nov 10 2023 175.0 Put / CUSIP: / Symbol: AAPL 11/10/23 P 175.000							
11/06/23	1.000	54.34	11/06/23	238.98	...	-184.64	Option sale
11/06/23	1.000	49.34	11/03/23	220.66	171.32 W	0.00	Option sale
	Security total:	103.68		459.64	171.32 W	-184.64	
ABBVIE INC ABBV Feb 17 2023 155.0 Call / CUSIP: / Symbol: ABBV 02/17/23 C 155.000							
02/09/23	1.000	69.34	02/09/23	80.66	...	-11.32	Option sale
ABBVIE INC ABBV Mar 03 2023 150.0 Call / CUSIP: / Symbol: ABBV 03/03/23 C 150.000							
02/09/23	1.000	349.33	02/07/23	180.66	...	168.67	Option sale
ABBVIE INC ABBV Aug 18 2023 150.0 Call / CUSIP: / Symbol: ABBV 08/18/23 C 150.000							
05/30/23	2.000	198.68	Various	171.32	...	27.36	Total of 2 transactions
06/02/23	1.000	74.34	05/30/23	85.66	...	-11.32	Option sale
	Security total:	273.02		256.98	...	16.04	
AIRBNB INC ABNB Apr 21 2023 90.0 Put / CUSIP: / Symbol: ABNB 04/21/23 P 90.000							
02/10/23	2.000	477.66	Various	397.32	...	80.34	Total of 2 transactions
AIRBNB INC ABNB Feb 17 2023 110.0 Put / CUSIP: / Symbol: ABNB 02/17/23 P 110.000							
02/03/23	1.000	229.33	02/02/23	253.66	...	-24.33	Option sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC ACB Mar 17 2023 1.0 Call / CUSIP: / Symbol: ACB 03/17/23 C 1.000							
01/12/23	3.000	37.01	01/10/23	31.98	...	5.03	Option sale
01/18/23	1.000	15.34	01/10/23	10.66	...	4.68	Option sale
01/20/23	1.000	10.34	01/10/23	10.66	...	-0.32	Option sale
	Security total:	62.69		53.30	...	9.39	
AFFIRM HLDGS INC AFRM Feb 17 2023 20.0 Call / CUSIP: / Symbol: AFRM 02/17/23 C 20.000							
02/07/23	1.000	89.34	02/07/23	140.98	...	-51.64	Option sale
02/07/23	1.000	85.34	02/07/23	100.66	15.32 W	0.00	Option sale
	Security total:	174.68		241.64	15.32 W	-51.64	
AFFIRM HLDGS INC AFRM Dec 29 2023 50.0 Put / CUSIP: / Symbol: AFRM 12/29/23 P 50.000							
12/29/23	1.000	91.34	12/28/23	78.66	...	12.68	Option sale
AFFIRM HLDGS INC AFRM Jan 05 2024 39.0 Put / CUSIP: / Symbol: AFRM 01/05/24 P 39.000							
12/11/23	1.000	273.34	12/11/23	210.66	...	62.68	Option sale
C3.AI INC AI Jun 02 2023 20.0 Call / CUSIP: / Symbol: AI 06/02/23 C 20.000							
05/10/23	1.000	224.34	05/09/23	195.66	...	28.68	Option sale
C3.AI INC AI Jun 02 2023 43.0 Call / CUSIP: / Symbol: AI 06/02/23 C 43.000							
06/02/23	1.000	0.00	05/31/23	320.66	...	-320.66	Option expiration
C3.AI INC AI Jun 09 2023 40.0 Call / CUSIP: / Symbol: AI 06/09/23 C 40.000							
05/31/23	1.000	524.34	05/31/23	563.66	...	-39.32	Option sale
C3.AI INC AI Jun 30 2023 30.0 Call / CUSIP: / Symbol: AI 06/30/23 C 30.000							
05/26/23	1.000	549.34	05/25/23	350.66	...	198.68	Option sale
C3.AI INC AI Jun 30 2023 35.0 Call / CUSIP: / Symbol: AI 06/30/23 C 35.000							
06/02/23	1.000	296.34	06/02/23	290.66	...	5.68	Option sale
C3.AI INC AI Jul 21 2023 40.0 Call / CUSIP: / Symbol: AI 07/21/23 C 40.000							
07/17/23	1.000	95.34	07/14/23	95.66	...	-0.32	Option sale
C3.AI INC AI Aug 04 2023 40.0 Call / CUSIP: / Symbol: AI 08/04/23 C 40.000							
07/14/23	1.000	279.34	07/14/23	300.66	...	-21.32	Option sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMC ENTERTAINMENT HOLDINGS INC AMC Mar 17 2023 10.0 Call / CUSIP: / Symbol: AMC 03/17/23 C 10.000							
02/03/23	1.000	59.34	02/03/23	60.66	...	-1.32	Option sale
02/06/23	1.000	68.34	02/03/23	60.66	...	7.68	Option sale
	Security total:	127.68		121.32	...	6.36	
AMC ENTERTAINMENT HOLDINGS INC AMC May 19 2023 6.0 Call / CUSIP: / Symbol: AMC 05/19/23 C 6.000							
05/08/23	3.000	79.01	05/05/23	82.98	...	-3.97	Option sale
AMC ENTERTAINMENT HOLDINGS INC AMC Jun 16 2023 10.0 Call / CUSIP: / Symbol: AMC 06/16/23 C 10.000							
02/02/23	3.000	198.02	Various	190.98	...	7.04	Total of 2 transactions
AMC ENTERTAINMENT HOLDINGS INC AMC Dec 15 2023 7.0 Call / CUSIP: / Symbol: AMC 12/15/23 C 7.000							
07/14/23	5.000	186.69	07/13/23	178.30	...	8.39	Option sale
07/18/23	5.000	166.69	07/14/23	229.91	...	-63.22	Option sale
07/18/23	5.000	141.69	07/13/23	178.30	36.61 W	0.00	Option sale
	Security total:	495.07		586.51	36.61 W	-54.83	
ADVANCED MICRO DEVICES INC AMD Jul 28 2023 125.0 Call / CUSIP: / Symbol: AMD 07/28/23 C 125.000							
07/18/23	1.000	131.34	07/18/23	150.66	...	-19.32	Option sale
AMAZON COM INC AMZN Jan 27 2023 98.0 Call / CUSIP: / Symbol: AMZN 01/27/23 C 98.000							
01/25/23	1.000	31.34	01/23/23	170.66	...	-139.32	Option sale
AMAZON COM INC AMZN Mar 03 2023 110.0 Call / CUSIP: / Symbol: AMZN 03/03/23 C 110.000							
02/09/23	1.000	81.34	02/09/23	85.66	...	-4.32	Option sale
AMAZON COM INC AMZN Mar 03 2023 120.0 Call / CUSIP: / Symbol: AMZN 03/03/23 C 120.000							
02/02/23	2.000	733.66	Various	193.32	...	540.34	Total of 2 transactions
AMAZON COM INC AMZN Mar 03 2023 145.0 Call / CUSIP: / Symbol: AMZN 03/03/23 C 145.000							
02/02/23	1.000	50.34	02/02/23	65.66	15.32 W	0.00	Option sale
02/03/23	1.000	4.34	02/02/23	121.30	...	-116.96	Option sale
02/03/23	1.000	5.34	02/02/23	70.98	65.64 W	0.00	Option sale
	Security total:	60.02		257.94	80.96 W	-116.96	
AMAZON COM INC AMZN Mar 10 2023 110.0 Call / CUSIP: / Symbol: AMZN 03/10/23 C 110.000							
02/27/23	2.000	10.68	02/15/23	137.32	...	-126.64	Option sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON COM INC AMZN Mar 17 2023	100.0	Call / CUSIP: / Symbol: AMZN 03/17/23 C	100.000				
03/07/23	2.000	129.68	Various	101.32	...	28.36	Total of 2 transactions
AMAZON COM INC AMZN Mar 17 2023	110.0	Call / CUSIP: / Symbol: AMZN 03/17/23 C	110.000				
01/27/23	1.000	263.33	01/23/23	209.66	...	53.67	Option sale
02/08/23	2.000	342.67	02/07/23	441.32	98.65 W	0.00	Option sale
02/09/23	3.000	564.01	Various	662.63	...	-98.62	Total of 2 transactions
	Security total:	1,170.01		1,313.61	98.65 W	-44.95	
AMAZON COM INC AMZN Aug 04 2023	134.0	Call / CUSIP: / Symbol: AMZN 08/04/23 C	134.000				
08/03/23	1.000	232.34	07/31/23	400.66	...	-168.32	Option sale
AMAZON COM INC AMZN Dec 29 2023	155.0	Call / CUSIP: / Symbol: AMZN 12/29/23 C	155.000				
12/20/23	1.000	190.34	12/19/23	210.66	20.32 W	0.00	Option sale
12/27/23	1.000	89.34	12/21/23	185.98	...	-96.64	Option sale
	Security total:	279.68		396.64	20.32 W	-96.64	
AMAZON COM INC AMZN May 05 2023	100.0	Put / CUSIP: / Symbol: AMZN 05/05/23 P	100.000				
04/27/23	1.000	125.34	04/27/23	113.66	...	11.68	Option sale
AMAZON COM INC AMZN May 12 2023	105.0	Put / CUSIP: / Symbol: AMZN 05/12/23 P	105.000				
05/01/23	1.000	299.34	04/27/23	305.66	...	-6.32	Option sale
AMAZON COM INC AMZN Aug 11 2023	125.0	Put / CUSIP: / Symbol: AMZN 08/11/23 P	125.000				
08/11/23	1.000	0.00	08/03/23	300.66	...	-300.66	Option expiration
AMAZON COM INC AMZN Jan 05 2024	150.0	Call / CUSIP: / Symbol: AMZN 01/05/24 C	150.000				
12/13/23	1.000	295.34	12/12/23	240.66	...	54.68	Option sale
ARISTA NETWORKS INC ANET Jun 16 2023	135.0	Call / CUSIP: / Symbol: ANET 06/16/23 C	135.000				
05/04/23	1.000	749.33	05/03/23	620.66	...	128.67	Option sale
BROADCOM INC AVGO Dec 15 2023	1110.0	Put / CUSIP: / Symbol: AVGO 12/15/23 P	1110.000				
12/15/23	1.000	149.34	12/15/23	150.66	...	-1.32	Option sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BROADCOM INC AVGO Dec 15 2023 1120.0 Put / CUSIP: / Symbol: AVGO 12/15/23 P 1120.000							
12/15/23	1.000	309.34	12/15/23	296.32	...	13.02	Option sale
12/15/23	1.000	0.00	12/15/23	210.66	210.66 W	0.00	Option expiration
	Security total:	309.34		506.98	210.66 W	13.02	
BOEING CO BA May 19 2023 215.0 Call / CUSIP: / Symbol: BA 05/19/23 C 215.000							
03/03/23	1.000	1,119.33	03/02/23	1,035.66	...	83.67	Option sale
BOEING CO BA Dec 22 2023 255.0 Put / CUSIP: / Symbol: BA 12/22/23 P 255.000							
12/18/23	1.000	102.34	12/15/23	83.66	...	18.68	Option sale
ALIBABA GROUP HOLDING LTD BABA Jun 16 2023 95.0 Call / CUSIP: / Symbol: BABA 06/16/23 C 95.000							
05/09/23	1.000	142.34	05/08/23	155.66	...	-13.32	Option sale
ALIBABA GROUP HOLDING LTD BABA Jul 28 2023 96.0 Call / CUSIP: / Symbol: BABA 07/28/23 C 96.000							
07/26/23	1.000	187.34	07/26/23	168.66	...	18.68	Option sale
ALIBABA GROUP HOLDING LTD BABA Dec 01 2023 77.0 Put / CUSIP: / Symbol: BABA 12/01/23 P 77.000							
11/28/23	1.000	109.34	11/27/23	100.66	...	8.68	Option sale
ALIBABA GROUP HOLDING LTD BABA Feb 10 2023 105.0 Call / CUSIP: / Symbol: BABA 02/10/23 C 105.000							
02/08/23	1.000	199.34	02/08/23	165.66	...	33.68	Option sale
ALIBABA GROUP HOLDING LTD BABA Feb 17 2023 110.0 Put / CUSIP: / Symbol: BABA 02/17/23 P 110.000							
02/09/23	1.000	419.33	02/09/23	400.66	...	18.67	Option sale
BP BP Feb 17 2023 32.5 Put / CUSIP: / Symbol: BP 02/17/23 P 32.500							
02/07/23	2.000	4.68	02/06/23	53.32	...	-48.64	Option sale
BP BP Feb 17 2023 33.0 Put / CUSIP: / Symbol: BP 02/17/23 P 33.000							
02/06/23	1.000	34.34	02/06/23	40.66	...	-6.32	Option sale
BIT DIGITAL INC BTBT Nov 17 2023 8.0 Call / CUSIP: / Symbol: BTBT 11/17/23 C 8.000							
07/14/23	2.000	78.68	07/13/23	81.32	...	-2.64	Option sale
07/19/23	3.000	73.01	07/13/23	121.98	...	-48.97	Option sale
	Security total:	151.69		203.30	...	-51.61	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHEGG INC CHGG Mar 17 2023 22.5 Call / CUSIP: / Symbol: CHGG 03/17/23 C 22.500							
02/07/23	1.000	4.34	02/06/23	160.66	...	-156.32	Option sale
CHARGEPOINT HOLDINGS INC CHPT Aug 04 2023 10.0 Call / CUSIP: / Symbol: CHPT 08/04/23 C 10.000							
07/19/23	4.000	69.35	07/19/23	98.64	...	-29.29	Option sale
COINBASE GLOBAL INC COIN Feb 10 2023 64.0 Call / CUSIP: / Symbol: COIN 02/10/23 C 64.000							
02/01/23	1.000	279.33	02/01/23	205.66	...	73.67	Option sale
COINBASE GLOBAL INC COIN May 19 2023 60.0 Call / CUSIP: / Symbol: COIN 05/19/23 C 60.000							
05/05/23	2.000	492.68	05/04/23	301.32	...	191.36	Option sale
COINBASE GLOBAL INC COIN May 19 2023 65.0 Call / CUSIP: / Symbol: COIN 05/19/23 C 65.000							
05/09/23	1.000	124.34	05/08/23	140.66	...	-16.32	Option sale
COINBASE GLOBAL INC COIN Jul 21 2023 90.0 Call / CUSIP: / Symbol: COIN 07/21/23 C 90.000							
05/09/23	1.000	199.34	05/08/23	188.66	...	10.68	Option sale
CARVANA CO CVNA Jan 27 2023 8.0 Call / CUSIP: / Symbol: CVNA 01/27/23 C 8.000							
01/23/23	2.000	68.68	01/23/23	79.32	...	-10.64	Option sale
CARVANA CO CVNA Mar 03 2023 20.0 Call / CUSIP: / Symbol: CVNA 03/03/23 C 20.000							
02/09/23	1.000	189.34	02/08/23	277.66	...	-88.32	Option sale
CARVANA CO CVNA Mar 31 2023 10.0 Call / CUSIP: / Symbol: CVNA 03/31/23 C 10.000							
03/23/23	2.000	108.68	Various	105.32	...	3.36	Total of 2 transactions
CARVANA CO CVNA May 19 2023 10.0 Call / CUSIP: / Symbol: CVNA 05/19/23 C 10.000							
05/04/23	10.000	253.38	05/03/23	306.60	...	-53.22	Option sale
CARVANA CO CVNA Jun 09 2023 12.0 Call / CUSIP: / Symbol: CVNA 06/09/23 C 12.000							
05/22/23	1.000	107.34	05/22/23	90.66	...	16.68	Option sale
CARVANA CO CVNA Mar 17 2023 15.0 Put / CUSIP: / Symbol: CVNA 03/17/23 P 15.000							
02/03/23	1.000	499.33	02/02/23	410.66	...	88.67	Option sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CARVANA CO CVNA Jul 21 2023 45.0 Put / CUSIP: / Symbol: CVNA 07/21/23 P 45.000							
07/20/23	1.000	79.34	07/20/23	74.98	...	4.36	Option sale
07/20/23	1.000	79.34	07/20/23	80.66	1.32 W	0.00	Option sale
	Security total:	158.68		155.64	1.32 W	4.36	
CARVANA CO CVNA Jul 28 2023 46.0 Put / CUSIP: / Symbol: CVNA 07/28/23 P 46.000							
07/20/23	1.000	349.34	07/20/23	250.66	...	98.68	Option sale
DATADOG INC DDOG Nov 10 2023 90.0 Call / CUSIP: / Symbol: DDOG 11/10/23 C 90.000							
11/06/23	1.000	158.34	11/03/23	169.66	...	-11.32	Option sale
WALT DISNEY COMPANY (THE) DIS Nov 10 2023 90.0 Call / CUSIP: / Symbol: DIS 11/10/23 C 90.000							
11/07/23	1.000	74.34	11/03/23	95.66	...	-21.32	Option sale
WALT DISNEY COMPANY (THE) DIS Nov 17 2023 80.0 Put / CUSIP: / Symbol: DIS 11/17/23 P 80.000							
11/17/23	1.000	0.00	11/08/23	110.66	...	-110.66	Option expiration
DOCUSIGN INC DOCU Mar 17 2023 60.0 Call / CUSIP: / Symbol: DOCU 03/17/23 C 60.000							
01/20/23	1.000	449.33	01/20/23	350.66	...	98.67	Option sale
DOCUSIGN INC DOCU Jun 30 2023 57.0 Call / CUSIP: / Symbol: DOCU 06/30/23 C 57.000							
06/27/23	2.000	2.68	Various	135.32	...	-132.64	Option sale
ENOVIX CORP ENVX Aug 18 2023 25.0 Call / CUSIP: / Symbol: ENVX 08/18/23 C 25.000							
08/18/23	4.000	0.00	07/28/23	114.64	...	-114.64	Option expiration
FOOT LOCKER INC FL Sep 15 2023 16.0 Call / CUSIP: / Symbol: FL 09/15/23 C 16.000							
08/23/23	1.000	104.34	08/23/23	110.66	...	-6.32	Option sale
FIRST REPUBLIC BANK SAN FRANCI FRC Mar 31 2023 16.0 Call / CUSIP: / Symbol: FRC 03/31/23 C 16.000							
03/24/23	1.000	194.34	03/22/23	370.66	176.32 W	0.00	Option sale
03/27/23	1.000	234.34	03/24/23	303.30	...	-68.96	Option sale
03/27/23	1.000	229.34	03/23/23	376.98	147.64 W	0.00	Option sale
	Security total:	658.02		1,050.94	323.96 W	-68.96	
FIRST REPUBLIC BANK SAN FRANCI FRC Mar 31 2023 17.5 Call / CUSIP: / Symbol: FRC 03/31/23 C 17.500							
03/22/23	1.000	389.34	03/22/23	400.66	...	-11.32	Option sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FIRST SOLAR INC FSLR Jun 02 2023 212.5 Call / CUSIP: / Symbol: FSLR 06/02/23 C 212.500							
05/24/23	2.000	478.68	Various	812.64	333.96 W	0.00	Total of 2 transactions
05/26/23	1.000	149.34	05/23/23	278.30	128.96 W	0.00	Option sale
05/30/23	1.000	149.34	05/26/23	175.94	...	-26.60	Option sale
05/30/23	1.000	149.34	05/26/23	239.62	90.28 W	0.00	Option sale
	Security total:	926.70		1,506.50	553.20 W	-26.60	
FIRST SOLAR INC FSLR Jun 09 2023 220.0 Call / CUSIP: / Symbol: FSLR 06/09/23 C 220.000							
06/01/23	1.000	92.34	05/30/23	98.66	...	-6.32	Option sale
GAMESTOP CORPORATION GME Apr 21 2023 5.0 Put / CUSIP: / Symbol: GME 04/21/23 P 5.000							
04/21/23	100.000	0.00	03/15/23	465.99	...	-465.99	Option expiration
ALPHABET INC GOOGL Feb 10 2023 95.0 Put / CUSIP: / Symbol: GOOGL 02/10/23 P 95.000							
02/10/23	1.000	69.34	02/10/23	65.66	...	3.68	Option sale
ALPHABET INC GOOGL Feb 24 2023 100.0 Call / CUSIP: / Symbol: GOOGL 02/24/23 C 100.000							
02/15/23	1.000	76.34	02/15/23	88.66	...	-12.32	Option sale
ALPHABET INC GOOGL Mar 03 2023 100.0 Call / CUSIP: / Symbol: GOOGL 03/03/23 C 100.000							
02/09/23	3.000	503.02	Various	608.63	...	-105.61	Total of 3 transactions
02/09/23	2.000	340.67	02/09/23	413.32	72.65 W	0.00	Option sale
	Security total:	843.69		1,021.95	72.65 W	-105.61	
ALPHABET INC GOOGL Mar 03 2023 130.0 Call / CUSIP: / Symbol: GOOGL 03/03/23 C 130.000							
02/03/23	1.000	15.34	02/02/23	91.98	...	-76.64	Option sale
02/03/23	1.000	15.34	02/02/23	54.66	39.32 W	0.00	Option sale
	Security total:	30.68		146.64	39.32 W	-76.64	
ALPHABET INC GOOGL Apr 21 2023 105.0 Call / CUSIP: / Symbol: GOOGL 04/21/23 C 105.000							
02/13/23	1.000	217.34	02/13/23	233.66	...	-16.32	Option sale
ALPHABET INC GOOGL Apr 21 2023 110.0 Call / CUSIP: / Symbol: GOOGL 04/21/23 C 110.000							
02/27/23	1.000	32.34	02/15/23	134.66	...	-102.32	Option sale
ALPHABET INC GOOGL Apr 21 2023 120.0 Call / CUSIP: / Symbol: GOOGL 04/21/23 C 120.000							
02/02/23	5.000	1,046.67	Various	288.30	...	758.37	Total of 3 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALPHABET INC GOOGL May 05 2023 100.0 Put / CUSIP: / Symbol: GOOGL 05/05/23 P 100.000							
05/02/23	1.000	23.34	04/25/23	164.66	...	-141.32	Option sale
ROBINHOOD MARKETS INC HOOD Mar 03 2023 12.0 Call / CUSIP: / Symbol: HOOD 03/03/23 C 12.000							
02/09/23	5.000	99.69	Various	113.30	...	-13.61	Total of 2 transactions
HUT 8 CORP HUT Oct 20 2023 5.5 Call / CUSIP: / Symbol: HUT 10/20/23 C 5.500							
07/13/23	5.000	306.69	07/13/23	278.30	...	28.39	Option sale
07/14/23	5.000	296.69	07/13/23	278.30	...	18.39	Option sale
	Security total:	603.38		556.60	...	46.78	
INSPIRE MEDICAL SYSTEMS INC INSP Nov 17 2023 200.0 Call / CUSIP: / Symbol: INSP 11/17/23 C 200.000							
11/07/23	1.000	199.34	11/03/23	325.66	...	-126.32	Option sale
INSPIRE MEDICAL SYSTEMS INC INSP Nov 17 2023 125.0 Put / CUSIP: / Symbol: INSP 11/17/23 P 125.000							
11/08/23	1.000	189.34	11/07/23	135.66	...	53.68	Option sale
IQIYI INC IQ Mar 17 2023 10.0 Call / CUSIP: / Symbol: IQ 03/17/23 C 10.000							
02/02/23	5.000	247.69	Various	168.30	...	79.39	Total of 2 transactions
LUCID GROUP INC LCID Aug 04 2023 7.5 Call / CUSIP: / Symbol: LCID 08/04/23 C 7.500							
07/26/23	4.000	81.35	07/26/23	95.93	...	-14.58	Option sale
07/26/23	5.000	96.69	07/26/23	103.30	5.29 W	-1.32	Option sale
	Security total:	178.04		199.23	5.29 W	-15.90	
LYFT INC LYFT Jul 21 2023 10.0 Call / CUSIP: / Symbol: LYFT 07/21/23 C 10.000							
05/18/23	10.000	293.38	05/17/23	316.60	...	-23.22	Option sale
MARATHON DIGITAL HOLDINGS INC MARA Mar 17 2023 15.0 Call / CUSIP: / Symbol: MARA 03/17/23 C 15.000							
02/02/23	5.000	213.69	Various	213.30	...	0.39	Total of 2 transactions
MARATHON DIGITAL HOLDINGS INC MARA May 19 2023 13.0 Call / CUSIP: / Symbol: MARA 05/19/23 C 13.000							
05/05/23	10.000	333.38	05/04/23	376.60	...	-43.22	Option sale
META PLATFORMS INC META Nov 03 2023 325.0 Call / CUSIP: / Symbol: META 11/03/23 C 325.000							
10/27/23	1.000	39.34	10/25/23	750.66	711.32 W	0.00	Option sale
10/30/23	1.000	53.34	10/25/23	1,254.98	...	-1,201.64	Option sale
	Security total:	92.68		2,005.64	711.32 W	-1,201.64	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
META PLATFORMS INC META Nov 03 2023 337.5 Call / CUSIP: / Symbol: META 11/03/23 C 337.500							
10/25/23	1.000	399.34	10/25/23	400.66	...	-1.32	Option sale
META PLATFORMS INC META Aug 04 2023 270.0 Put / CUSIP: / Symbol: META 08/04/23 P 270.000							
08/04/23	1.000	0.00	07/26/23	350.66	...	-350.66	Option expiration
MICROSOFT CORP MSFT Jan 27 2023 250.0 Call / CUSIP: / Symbol: MSFT 01/27/23 C 250.000							
01/24/23	1.000	294.33	01/24/23	299.66	...	-5.33	Option sale
MICROSOFT CORP MSFT Jan 27 2023 230.0 Put / CUSIP: / Symbol: MSFT 01/27/23 P 230.000							
01/25/23	1.000	149.34	01/24/23	192.66	...	-43.32	Option sale
MICROSOFT CORP MSFT May 05 2023 265.0 Put / CUSIP: / Symbol: MSFT 05/05/23 P 265.000							
05/05/23	1.000	0.00	04/25/23	280.66	...	-280.66	Option expiration
MATTERPORT INC MTTR Apr 21 2023 3.0 Call / CUSIP: / Symbol: MTTR 04/21/23 C 3.000							
01/24/23	1.000	49.34	01/23/23	54.66	...	-5.32	Option sale
01/26/23	1.000	64.34	01/23/23	54.66	...	9.68	Option sale
	Security total:	113.68		109.32	...	4.36	
MULLEN AUTOMOTIVE INC MULN Jan 20 2023 1.0 Call / CUSIP: / Symbol: MULN 01/20/23 C 1.000							
01/20/23	2.000	0.00	07/06/22	99.32	...	-99.32	Option expiration
MICROVISION INC WASHINGTON MVIS Sep 15 2023 7.0 Call / CUSIP: / Symbol: MVIS 09/15/23 C 7.000							
08/01/23	25.000	310.44	Various	366.50	...	-56.06	Total of 2 transactions
NIO INC NIO Mar 03 2023 14.0 Call / CUSIP: / Symbol: NIO 03/03/23 C 14.000							
02/02/23	3.000	109.01	02/01/23	136.98	...	-27.97	Option sale
NIO INC NIO Mar 03 2023 11.0 Call / CUSIP: / Symbol: NIO 03/03/23 C 11.000							
02/27/23	2.000	22.68	02/15/23	81.32	...	-58.64	Option sale
NIO INC NIO Mar 17 2023 15.0 Call / CUSIP: / Symbol: NIO 03/17/23 C 15.000							
02/09/23	3.000	58.01	02/03/23	97.98	...	-39.97	Option sale
02/10/23	2.000	26.68	02/03/23	65.32	...	-38.64	Option sale
	Security total:	84.69		163.30	...	-78.61	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC NIO Jul 21 2023 10.0 Call / CUSIP: / Symbol: NIO 07/21/23 C 10.000							
06/13/23	3.000	123.02	Various	97.98	...	25.04	Total of 2 transactions
NIO INC NIO Aug 04 2023 13.0 Call / CUSIP: / Symbol: NIO 08/04/23 C 13.000							
07/26/23	3.000	226.02	Various	128.98	...	97.04	Total of 2 transactions
NIO INC NIO Aug 04 2023 14.0 Call / CUSIP: / Symbol: NIO 08/04/23 C 14.000							
07/26/23	2.000	78.68	07/26/23	88.96	...	-10.28	Option sale
07/26/23	2.000	89.68	Various	101.32	11.64 W	0.00	Total of 2 transactions
	Security total:	168.36		190.28	11.64 W	-10.28	
NIO INC NIO Oct 20 2023 15.0 Call / CUSIP: / Symbol: NIO 10/20/23 C 15.000							
07/24/23	2.000	102.68	07/24/23	111.32	...	-8.64	Option sale
NIO INC NIO Feb 16 2024 11.0 Call / CUSIP: / Symbol: NIO 02/16/24 C 11.000							
12/22/23	5.000	146.69	12/19/23	208.30	...	-61.61	Option sale
12/26/23	5.000	241.69	12/19/23	208.30	...	33.39	Option sale
	Security total:	388.38		416.60	...	-28.22	
NIKE INC NKE Sep 15 2023 99.0 Call / CUSIP: / Symbol: NKE 09/15/23 C 99.000							
08/23/23	1.000	227.34	08/23/23	210.66	...	16.68	Option sale
NIKOLA CORPORATION NKLA Aug 18 2023 3.0 Call / CUSIP: / Symbol: NKLA 08/18/23 C 3.000							
07/18/23	5.000	249.69	Various	228.30	...	21.39	Total of 2 transactions
DIREXION SHARES ETF TRUST NUGT Jul 28 2023 38.0 Call / CUSIP: / Symbol: NUGT 07/28/23 C 38.000							
07/26/23	1.000	69.34	07/24/23	90.66	...	-21.32	Option sale
DIREXION SHARES ETF TRUST NUGT Aug 04 2023 35.5 Call / CUSIP: / Symbol: NUGT 08/04/23 C 35.500							
07/07/23	1.000	143.34	07/06/23	99.66	...	43.68	Option sale
DIREXION SHARES ETF TRUST NUGT Aug 04 2023 40.0 Call / CUSIP: / Symbol: NUGT 08/04/23 C 40.000							
07/24/23	1.000	59.34	07/24/23	69.66	...	-10.32	Option sale
NVIDIA CORP NVDA Jun 02 2023 325.0 Call / CUSIP: / Symbol: NVDA 06/02/23 C 325.000							
05/24/23	1.000	574.34	05/24/23	460.66	...	113.68	Option sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVIDIA CORP NVDA Sep 01 2023 550.0 Call / CUSIP: / Symbol: NVDA 09/01/23 C 550.000							
08/24/23	1.000	128.34	08/23/23	460.66	...	-332.32	Option sale
NVIDIA CORP NVDA Sep 22 2023 470.0 Call / CUSIP: / Symbol: NVDA 09/22/23 C 470.000							
09/14/23	1.000	622.34	09/13/23	500.66	...	121.68	Option sale
09/19/23	2.000	44.68	Various	633.32	...	-588.64	Option sale
	Security total:	667.02		1,133.98	...	-466.96	
NVIDIA CORP NVDA Feb 24 2023 200.0 Put / CUSIP: / Symbol: NVDA 02/24/23 P 200.000							
02/22/23	1.000	416.33	02/22/23	360.66	...	55.67	Option sale
NVIDIA CORP NVDA Feb 24 2023 230.0 Put / CUSIP: / Symbol: NVDA 02/24/23 P 230.000							
02/23/23	1.000	179.34	02/23/23	175.66	...	3.68	Option sale
NVIDIA CORP NVDA Mar 03 2023 190.0 Put / CUSIP: / Symbol: NVDA 03/03/23 P 190.000							
03/01/23	1.000	2.34	02/22/23	300.66	...	-298.32	Option sale
NVIDIA CORP NVDA Mar 03 2023 225.0 Put / CUSIP: / Symbol: NVDA 03/03/23 P 225.000							
03/01/23	1.000	199.34	03/01/23	168.66	...	30.68	Option sale
NVIDIA CORP NVDA Jul 21 2023 450.0 Put / CUSIP: / Symbol: NVDA 07/21/23 P 450.000							
07/14/23	1.000	449.34	07/14/23	345.66	...	103.68	Option sale
NVIDIA CORP NVDA Dec 01 2023 425.0 Put / CUSIP: / Symbol: NVDA 12/01/23 P 425.000							
11/22/23	1.000	52.34	11/21/23	152.66	...	-100.32	Option sale
OPENDOOR TECHNOLOGIES INC OPEN Jan 19 2024 3.0 Call / CUSIP: / Symbol: OPEN 01/19/24 C 3.000							
02/02/23	2.000	222.68	Various	139.32	...	83.36	Total of 2 transactions
02/09/23	1.000	77.34	02/03/23	120.66	...	-43.32	Option sale
	Security total:	300.02		259.98	...	40.04	
PFIZER INC PFE May 12 2023 42.0 Call / CUSIP: / Symbol: PFE 05/12/23 C 42.000							
04/20/23	4.000	85.35	04/20/23	98.64	...	-13.29	Option sale
INVESCO QQQ TRUST QQQ Feb 10 2023 300.0 Put / CUSIP: / Symbol: QQQ 02/10/23 P 300.000							
02/09/23	1.000	129.34	02/09/23	145.66	...	-16.32	Option sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INVESCO QQQ TRUST QQQ Mar 17 2023 290.0 Put / CUSIP: / Symbol: QQQ 03/17/23 P 290.000							
03/15/23	1.000	169.34	03/15/23	155.66	...	13.68	Option sale
INVESCO QQQ TRUST QQQ Mar 24 2023 280.0 Put / CUSIP: / Symbol: QQQ 03/24/23 P 280.000							
03/15/23	1.000	204.34	03/15/23	180.66	...	23.68	Option sale
03/17/23	1.000	49.34	03/15/23	170.66	121.32 W	0.00	Option sale
03/24/23	2.000	0.00	03/16/23	236.64	...	-236.64	Option expiration
	Security total:	253.68		587.96	121.32 W	-212.96	
INVESCO QQQ TRUST QQQ Apr 20 2023 318.0 Put / CUSIP: / Symbol: QQQ 04/20/23 P 318.000							
04/19/23	1.000	84.34	04/19/23	80.66	...	3.68	Option sale
INVESCO QQQ TRUST QQQ May 03 2023 320.0 Put / CUSIP: / Symbol: QQQ 05/03/23 P 320.000							
05/03/23	1.000	177.34	05/03/23	177.66	...	-0.32	Option sale
INVESCO QQQ TRUST QQQ Jun 20 2023 340.0 Put / CUSIP: / Symbol: QQQ 06/20/23 P 340.000							
06/06/23	2.000	180.68	06/06/23	163.32	...	17.36	Option sale
QUANTUMSCAPE CORP QS Sep 15 2023 10.0 Call / CUSIP: / Symbol: QS 09/15/23 C 10.000							
07/24/23	1.000	99.34	07/21/23	95.66	...	3.68	Option sale
RIOT PLATFORMS INC RIOT Jul 07 2023 12.5 Call / CUSIP: / Symbol: RIOT 07/07/23 C 12.500							
07/03/23	1.000	44.34	06/29/23	57.66	...	-13.32	Option sale
RIOT PLATFORMS INC RIOT Aug 04 2023 17.0 Call / CUSIP: / Symbol: RIOT 08/04/23 C 17.000							
07/10/23	1.000	113.34	07/07/23	111.66	...	1.68	Option sale
RIVIAN AUTOMOTIVE INC RIVN Apr 21 2023 35.0 Call / CUSIP: / Symbol: RIVN 04/21/23 C 35.000							
02/02/23	2.000	107.68	Various	71.32	...	36.36	Total of 2 transactions
RIVIAN AUTOMOTIVE INC RIVN Aug 04 2023 30.0 Call / CUSIP: / Symbol: RIVN 08/04/23 C 30.000							
07/26/23	3.000	158.02	Various	134.98	...	23.04	Total of 2 transactions
RIVIAN AUTOMOTIVE INC RIVN Sep 01 2023 40.0 Call / CUSIP: / Symbol: RIVN 09/01/23 C 40.000							
07/25/23	2.000	78.68	07/24/23	87.32	...	-8.64	Option sale
RIVIAN AUTOMOTIVE INC RIVN Mar 10 2023 15.0 Put / CUSIP: / Symbol: RIVN 03/10/23 P 15.000							
03/09/23	2.000	70.68	03/08/23	93.32	...	-22.64	Option sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROKU INC ROKU Sep 22 2023 80.0 Call / CUSIP: / Symbol: ROKU 09/22/23 C 80.000							
09/14/23	2.000	379.68	Various	336.32	...	43.36	Total of 2 transactions
RAYTHEON TECH CORP RTX Mar 17 2023 100.0 Call / CUSIP: / Symbol: RTX 03/17/23 C 100.000							
02/10/23	1.000	232.33	02/10/23	235.66	...	-3.33	Option sale
STARBUCKS CORP SBUX Jun 30 2023 100.0 Call / CUSIP: / Symbol: SBUX 06/30/23 C 100.000							
06/02/23	1.000	184.34	06/01/23	130.66	...	53.68	Option sale
STARBUCKS CORP SBUX Feb 17 2023 103.0 Put / CUSIP: / Symbol: SBUX 02/17/23 P 103.000							
02/14/23	2.000	28.68	02/10/23	99.32	...	-70.64	Option sale
SCHWAB (CHARLES) CORP SCHW Jun 16 2023 60.0 Call / CUSIP: / Symbol: SCHW 06/16/23 C 60.000							
05/05/23	20.000	706.76	Various	733.20	26.44 W	0.00	Total of 2 transactions
05/08/23	20.000	406.75	05/05/23	839.64	432.89 W	0.00	Option sale
05/15/23	20.000	426.75	Various	962.54	...	-535.79	Option sale
05/15/23	20.000	386.75	Various	1,026.09	639.34 W	0.00	Option sale
	Security total:	1,927.01		3,561.47	1,098.67 W	-535.79	
SCHWAB (CHARLES) CORP SCHW Jun 30 2023 57.0 Call / CUSIP: / Symbol: SCHW 06/30/23 C 57.000							
06/27/23	3.000	4.01	Various	178.98	...	-174.97	Option sale
SOLAREEDGE TECHNOLOGIES INC SEDG Dec 08 2023 80.0 Call / CUSIP: / Symbol: SEDG 12/08/23 C 80.000							
11/28/23	1.000	189.34	11/28/23	190.66	...	-1.32	Option sale
SHOPIFY INC SHOP Jul 07 2023 70.0 Call / CUSIP: / Symbol: SHOP 07/07/23 C 70.000							
05/26/23	1.000	72.34	05/26/23	75.66	...	-3.32	Option sale
SILVERGATE CAPITAL CORPORATION SI May 19 2023 1.5 Call / CUSIP: / Symbol: SI 05/19/23 C 1.500							
05/05/23	10.000	113.38	05/05/23	136.60	...	-23.22	Option sale
SNAP INC SNAP Feb 03 2023 10.5 Call / CUSIP: / Symbol: SNAP 02/03/23 C 10.500							
02/01/23	1.000	24.34	01/30/23	125.66	...	-101.32	Option sale
SPDR S&P500 ETF TRUST SPY Jan 20 2023 370.0 Put / CUSIP: / Symbol: SPY 01/20/23 P 370.000							
01/11/23	1.000	33.34	01/11/23	35.66	...	-2.32	Option sale
01/20/23	1.000	0.00	01/11/23	35.66	...	-35.66	Option expiration
	Security total:	33.34		71.32	...	-37.98	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPDR S&P500 ETF TRUST SPY Apr 19 2023 413.0 Put / CUSIP: / Symbol: SPY 04/19/23 P 413.000							
04/18/23	1.000	102.34	04/18/23	100.66	...	1.68	Option sale
SPDR S&P500 ETF TRUST SPY Jun 02 2023 427.0 Put / CUSIP: / Symbol: SPY 06/02/23 P 427.000							
06/02/23	5.000	130.70	Various	108.30	...	22.40	Total of 3 transactions
SPDR S&P500 ETF TRUST SPY Jun 05 2023 427.0 Put / CUSIP: / Symbol: SPY 06/05/23 P 427.000							
06/02/23	1.000	70.34	06/02/23	75.66	...	-5.32	Option sale
SPDR S&P500 ETF TRUST SPY Nov 06 2023 433.0 Put / CUSIP: / Symbol: SPY 11/06/23 P 433.000							
11/03/23	1.000	60.34	11/03/23	160.66	100.32 W	0.00	Option sale
11/06/23	1.000	9.34	11/06/23	245.62	...	-236.28	Option sale
11/06/23	2.000	58.68	Various	454.28	395.60 W	0.00	Total of 2 transactions
	Security total:	128.36		860.56	495.92 W	-236.28	
BLOCK INC SQ Nov 10 2023 45.5 Call / CUSIP: / Symbol: SQ 11/10/23 C 45.500							
11/03/23	1.000	499.34	11/02/23	205.66	...	293.68	Option sale
PROSHARES TRUST SQQQ Mar 17 2023 40.0 Call / CUSIP: / Symbol: SQQQ 03/17/23 C 40.000							
02/06/23	3.000	665.01	Various	475.98	...	189.03	Total of 2 transactions
TILRAY BRANDS INC TLRV Sep 15 2023 2.0 Call / CUSIP: / Symbol: TLRV 09/15/23 C 2.000							
07/20/23	4.000	77.35	07/19/23	90.64	...	-13.29	Option sale
TESLA INC TSLA Feb 17 2023 217.5 Call / CUSIP: / Symbol: TSLA 02/17/23 C 217.500							
02/16/23	1.000	319.33	02/16/23	220.66	...	98.67	Option sale
TESLA INC TSLA Mar 10 2023 250.0 Call / CUSIP: / Symbol: TSLA 03/10/23 C 250.000							
02/09/23	1.000	514.33	02/08/23	380.66	...	133.67	Option sale
02/21/23	1.000	199.34	02/15/23	420.66	221.32 W	0.00	Option sale
02/27/23	1.000	81.34	02/21/23	438.98	...	-357.64	Option sale
	Security total:	795.01		1,240.30	221.32 W	-223.97	
TESLA INC TSLA Mar 17 2023 200.0 Call / CUSIP: / Symbol: TSLA 03/17/23 C 200.000							
03/07/23	1.000	434.34	03/07/23	390.66	...	43.68	Option sale
TESLA INC TSLA Apr 21 2023 200.0 Call / CUSIP: / Symbol: TSLA 04/21/23 C 200.000							
04/13/23	1.000	319.34	04/13/23	270.66	...	48.68	Option sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TESLA INC TSLA Aug 04 2023 300.0 Call / CUSIP: / Symbol: TSLA 08/04/23 C 300.000 07/25/23	1.000	131.34	07/24/23	130.66	...	0.68	Option sale
TESLA INC TSLA Dec 22 2023 260.0 Call / CUSIP: / Symbol: TSLA 12/22/23 C 260.000 12/19/23	1.000	259.34	12/18/23	205.66	...	53.68	Option sale
TESLA INC TSLA Dec 29 2023 262.5 Call / CUSIP: / Symbol: TSLA 12/29/23 C 262.500 12/28/23	1.000	227.34	12/28/23	215.66	...	11.68	Option sale
TESLA INC TSLA Dec 29 2023 275.0 Call / CUSIP: / Symbol: TSLA 12/29/23 C 275.000 12/05/23	1.000	170.34	12/01/23	190.66	...	-20.32	Option sale
TESLA INC TSLA Feb 17 2023 190.0 Put / CUSIP: / Symbol: TSLA 02/17/23 P 190.000 02/10/23	2.000	928.66	Various	647.32	...	281.34	Total of 2 transactions
TESLA INC TSLA Mar 10 2023 170.0 Put / CUSIP: / Symbol: TSLA 03/10/23 P 170.000 03/10/23	2.000	40.68	Various	111.32	...	-70.64	Total of 2 transactions
TESLA INC TSLA Mar 10 2023 175.0 Put / CUSIP: / Symbol: TSLA 03/10/23 P 175.000 03/09/23	1.000	213.34	03/09/23	190.66	...	22.68	Option sale
TESLA INC TSLA Mar 17 2023 165.0 Put / CUSIP: / Symbol: TSLA 03/17/23 P 165.000 03/13/23	1.000	469.34	03/10/23	280.66	...	188.68	Option sale
TESLA INC TSLA Mar 17 2023 170.0 Put / CUSIP: / Symbol: TSLA 03/17/23 P 170.000 03/14/23	1.000	84.34	03/14/23	84.66	...	-0.32	Option sale
TESLA INC TSLA Mar 17 2023 175.0 Put / CUSIP: / Symbol: TSLA 03/17/23 P 175.000 03/14/23	1.000	182.34	03/14/23	170.66	...	11.68	Option sale
TESLA INC TSLA Mar 24 2023 170.0 Put / CUSIP: / Symbol: TSLA 03/24/23 P 170.000 03/06/23	1.000	237.34	03/03/23	210.66	...	26.68	Option sale
TESLA INC TSLA Mar 24 2023 180.0 Put / CUSIP: / Symbol: TSLA 03/24/23 P 180.000 03/21/23	1.000	129.34	03/21/23	120.66	...	8.68	Option sale
TESLA INC TSLA Mar 24 2023 185.0 Put / CUSIP: / Symbol: TSLA 03/24/23 P 185.000 03/22/23	1.000	104.34	03/21/23	130.66	...	-26.32	Option sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TESLA INC TSLA Apr 28 2023 175.0 Put / CUSIP: / Symbol: TSLA 04/28/23 P 175.000							
04/20/23	1.000	1,099.33	04/19/23	500.66	...	598.67	Option sale
TESLA INC TSLA Jun 16 2023 100.0 Put / CUSIP: / Symbol: TSLA 06/16/23 P 100.000							
03/15/23	1.000	169.34	03/14/23	145.66	...	23.68	Option sale
TESLA INC TSLA Jul 28 2023 240.0 Put / CUSIP: / Symbol: TSLA 07/28/23 P 240.000							
07/18/23	2.000	222.68	07/17/23	201.32	...	21.36	Option sale
07/20/23	1.000	89.34	07/17/23	100.66	...	-11.32	Option sale
Security total:		312.02		301.98	...	10.04	
TESLA INC TSLA Jul 28 2023 250.0 Put / CUSIP: / Symbol: TSLA 07/28/23 P 250.000							
07/20/23	1.000	164.34	07/20/23	148.66	...	15.68	Option sale
TESLA INC TSLA Sep 29 2023 235.0 Put / CUSIP: / Symbol: TSLA 09/29/23 P 235.000							
09/29/23	1.000	0.00	09/27/23	215.66	...	-215.66	Option expiration
ROYAL CARIBBEAN GROUP COM / CUSIP: V7780T103 / Symbol: RCL							
01/23/23	10.000	630.89	09/30/22	383.00	...	247.89	Sale
WELLS FARGO & COMPANY WFC Mar 17 2023 39.5 Put / CUSIP: / Symbol: WFC 03/17/23 P 39.500							
03/13/23	1.000	160.34	03/13/23	150.66	...	9.68	Option sale
WALMART INC WMT Dec 29 2023 160.0 Call / CUSIP: / Symbol: WMT 12/29/23 C 160.000							
11/20/23	1.000	102.34	11/20/23	106.66	4.32 W	0.00	Option sale
11/27/23	1.000	94.34	11/21/23	94.98	...	-0.64	Option sale
Security total:		196.68		201.64	4.32 W	-0.64	
WW INTERNATIONAL INC WW Aug 04 2023 10.0 Call / CUSIP: / Symbol: WW 08/04/23 C 10.000							
07/10/23	1.000	43.34	07/07/23	45.66	...	-2.32	Option sale
07/12/23	1.000	34.34	07/07/23	45.66	...	-11.32	Option sale
Security total:		77.68		91.32	...	-13.64	
SELECT SECTOR SPDR TRUST XLF Aug 11 2023 34.5 Put / CUSIP: / Symbol: XLF 08/11/23 P 34.500							
08/08/23	5.000	31.69	08/08/23	83.30	...	-51.61	Option sale
EXXON MOBIL CORPORATION XOM Feb 03 2023 110.0 Put / CUSIP: / Symbol: XOM 02/03/23 P 110.000							
01/31/23	2.000	218.68	Various	191.32	...	27.36	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/01/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZIONS BANCORPORATION N A ZION Jun 30 2023 06/30/23							
30.0 Call / CUSIP: / Symbol: ZION 06/30/23 C 30.000							
06/30/23	2.000	0.00	Various	141.32	...	-141.32	Option expiration
Totals :		117,484.55		121,784.82	5,720.38 W	1,420.11	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454980322

Detail for Dividends and Distributions

2023

02/01/2024

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and its tax character is determined by the issuer.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC COM	037833100 AAPL		11/16/23	1.92	Qualified dividend	
DIREXION SHARES ETF TRUST DIREXION DLY GLD MIN IDX 2X	25460G781 NUGT		12/29/23	1.94	Qualified dividend	03
			12/29/23	1.35	Nonqualified dividend	03
				3.29	Total Dividends & distributions	
EXXON MOBIL CORPORATION COM	30231G102 XOM		12/11/23	4.75	Qualified dividend	
HAWAIIAN ELECTRIC INDUSTRIES COM	419870100 HE		09/08/23	3.60	Qualified dividend	
JP MORGAN CHASE & CO COM	46625H100 JPM		01/31/23	5.00	Qualified dividend	
MICROSOFT CORP COM	594918104 MSFT		12/14/23	2.25	Qualified dividend	
				20.81	Total Dividends & distributions	

TD Ameritrade Clearing, Inc.

Account 454980322

Detail for Interest Income**2023**

02/01/2024

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Credited		01/31/23	0.74	Credit interest	
		02/28/23	0.91	Credit interest	
		03/31/23	0.26	Credit interest	
		04/28/23	0.42	Credit interest	
		05/31/23	0.33	Credit interest	
		06/30/23	0.43	Credit interest	
		07/31/23	0.35	Credit interest	
		08/31/23	0.11	Credit interest	
		09/29/23	0.12	Credit interest	
		10/31/23	0.55	Credit interest	
		11/30/23	0.45	Credit interest	
		12/29/23	1.65	Credit interest	
			6.32	Total Interest	
			6.32	Total Interest	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 12. See the Instructions for Form 6251.

Lines 14-16. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a

constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show

Instructions for Recipient

the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.