



Phanindra prasad Thota  
1950 Eldridge Parkway, Apt 3214  
Houston, TX 77077

**Master Account Number for Import:**  
137872982  
**Document ID for Import:**  
X6WKN6PE4YU

**Enclosed is your 2023 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:**

137872982 - Robinhood Securities LLC

**New for 2023 Tax Year** - 1099 tax forms for Robinhood Markets Inc. will be displayed using an aggregated format based on key tax lot criteria. We have done this to reduce the size of your overall tax package, as well as to help make your tax documents easier to use. This new format still provides you with all the information you need to file your taxes.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2023 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

**Filing Your Taxes** - If filing through tax service providers, such as TurboTax or H&R Block, please utilize the Master Account Number and Document ID listed above. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

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<b>Robinhood Markets Inc. as agent for Robinhood Securities LLC</b> 85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700  PAYER'S TIN: 46-4364776	<b>Tax Information</b> <b>Account 137872982</b>  Phanindra prasad Thota 1950 Eldridge Parkway, Apt 3214 Houston, TX 77077  RECIPIENT'S TIN: XXX-XX-9951	Statement Date: 02/02/2024	2023
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**Summary Information** 11 - [ ] FATCA filing requirement (see instructions) 13 - [ ] FATCA filing requirement (see instructions)

DIVIDENDS AND DISTRIBUTIONS	2023 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2023 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00		2- Royalties	0.00	
1b- Qualified dividends	0.00		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00				
2e- Section 897 ordinary dividends	0.00				
2f- Section 897 capital gain	0.00				
3- Nondividend distributions	0.00				
<b>4- Federal income tax withheld</b>	<b>0.00</b>				
5- Section 199A dividends	0.00				
6- Investment expenses	0.00				
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
12- Exempt-interest dividends (includes line 13)	0.00				
13- Specified private activity bond interest dividends (AMT)	0.00				

SECTION 1256 CONTRACTS	2023 1099-B*	OMB No. 1545-0715
8- Profit or (loss) realized in 2023 on closed contracts	0.00	
9- Unrealized profit or (loss) on open contracts-12/31/2022	0.00	
10- Unrealized profit or (loss) on open contracts-12/31/2023	0.00	
11- Aggregate profit or (loss) on contracts	0.00	

*If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.*

**\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	154,654.89	170,300.81	0.00	4,654.81	-10,991.11
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>154,654.89</b>	<b>170,300.81</b>	<b>0.00</b>	<b>4,654.81</b>	<b>-10,991.11</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>154,654.89</b>	<b>170,300.81</b>	<b>0.00</b>	<b>4,654.81</b>	<b>-10,991.11</b>

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 137872982

## Summary Information

2023

(continued)

02/02/2024

### INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement [ ]	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	5.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 137872982

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

02/02/2024

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

##### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AAPL 07/21/2023 CALL \$195.00 / CUSIP: / Symbol: 07/21/23	1.000	70.98	07/20/23	68.00	...	2.98	Option sale to close-call <sup>25</sup>
AAPL 07/28/2023 CALL \$200.00 / CUSIP: / Symbol: 07/20/23	2.000	91.98	Various	199.00	...	-107.02	Total of 2 transactions
AAPL 08/04/2023 CALL \$185.00 / CUSIP: / Symbol: 08/04/23	2.000	269.98	08/04/23	230.00	...	39.98	Option sale to close-call <sup>25</sup>
AAPL 08/25/2023 CALL \$180.00 / CUSIP: / Symbol: 08/23/23	5.000	509.98	Various	380.00	...	129.98	Total of 2 transactions
AAPL 09/15/2023 CALL \$180.00 / CUSIP: / Symbol: 09/15/23	2.000	0.00	09/12/23	232.00	...	-232.00	Option expiration- long call
AI 08/04/2023 CALL \$42.00 / CUSIP: / Symbol: 07/31/23	1.000	99.98	07/27/23	155.00	...	-55.02	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/02/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 07/07/2023 CALL \$110.00 / CUSIP: / Symbol: 06/27/23	1.000	264.98	06/27/23	250.00	...	14.98	Option sale to close-call <sup>25</sup>
AMD 07/07/2023 CALL \$112.00 / CUSIP: / Symbol: 07/06/23	1.000	134.98	07/06/23	110.00	...	24.98	Option sale to close-call <sup>25</sup>
AMD 07/14/2023 CALL \$115.00 / CUSIP: / Symbol: 07/13/23	1.000	109.98	07/13/23	102.00	...	7.98	Option sale to close-call <sup>25</sup>
AMD 07/14/2023 CALL \$116.00 / CUSIP: / Symbol: 07/13/23	10.000	789.97	07/13/23	740.00	...	49.97	Option sale to close-call <sup>25</sup>
AMD 07/21/2023 CALL \$117.00 / CUSIP: / Symbol: 07/17/23	9.000	2,217.96	Various	2,061.00	...	156.96	Total of 6 transactions
07/17/23	1.000	242.00	07/17/23	245.00	3.00 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>2,459.96</b>		<b>2,306.00</b>	<b>3.00 W</b>	<b>156.96</b>	
AMD 07/21/2023 CALL \$118.00 / CUSIP: / Symbol: 07/18/23	2.000	279.98	Various	407.00	...	-127.02	Total of 2 transactions
AMD 07/21/2023 CALL \$122.00 / CUSIP: / Symbol: 07/19/23	5.000	199.96	Various	835.00	...	-635.04	Total of 2 transactions
AMD 08/04/2023 CALL \$113.00 / CUSIP: / Symbol: 08/03/23	30.000	5,459.87	Various	5,070.00	...	389.87	Total of 2 transactions
AMD 08/04/2023 CALL \$117.00 / CUSIP: / Symbol: 08/04/23	12.000	23.96	Various	230.00	...	-206.04	Total of 2 transactions
AMD 08/11/2023 CALL \$113.00 / CUSIP: / Symbol: 08/09/23	1.000	69.98	08/08/23	216.00	...	-146.02	Option sale to close-call <sup>25</sup>
AMD 08/11/2023 CALL \$117.00 / CUSIP: / Symbol: 08/08/23	50.000	3,649.84	Various	3,100.00	...	549.84	Total of 6 transactions
AMD 09/08/2023 CALL \$109.00 / CUSIP: / Symbol: 09/06/23	1.000	199.98	09/05/23	138.00	...	61.98	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/02/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 05/26/2023 CALL \$120.00 / CUSIP: / Symbol: 05/26/23	1.000	74.98	05/26/23	56.00	...	18.98	Option sale to close-call <sup>25</sup>
AMZN 06/09/2023 CALL \$125.00 / CUSIP: / Symbol: 06/07/23	1.000	60.98	06/07/23	128.00	...	-67.02	Option sale to close-call <sup>25</sup>
AMZN 06/30/2023 CALL \$129.00 / CUSIP: / Symbol: 06/27/23	1.000	169.98	06/27/23	146.00	...	23.98	Option sale to close-call <sup>25</sup>
AMZN 06/30/2023 CALL \$130.00 / CUSIP: / Symbol: 06/23/23	1.000	264.98	06/23/23	243.00	...	21.98	Option sale to close-call <sup>25</sup>
AMZN 06/30/2023 CALL \$131.00 / CUSIP: / Symbol: 06/28/23	2.000	255.96	Various	220.00	...	35.96	Total of 2 transactions
AMZN 06/30/2023 CALL \$135.00 / CUSIP: / Symbol: 06/23/23	1.000	69.98	06/23/23	83.00	...	-13.02	Option sale to close-call <sup>25</sup>
AMZN 07/07/2023 CALL \$128.00 / CUSIP: / Symbol: 07/06/23	10.000	1,289.94	Various	1,120.00	...	169.94	Total of 7 transactions
AMZN 07/07/2023 CALL \$129.00 / CUSIP: / Symbol: 07/07/23	1.000	89.98	07/06/23	72.00	...	17.98	Option sale to close-call <sup>25</sup>
AMZN 07/07/2023 CALL \$131.00 / CUSIP: / Symbol: 07/03/23	2.000	345.98	Various	307.00	...	38.98	Total of 2 transactions
AMZN 07/14/2023 CALL \$130.00 / CUSIP: / Symbol: 07/10/23	38.000	3,153.88	Various	2,971.00	...	182.88	Total of 10 transactions
AMZN 07/28/2023 CALL \$128.00 / CUSIP: / Symbol: 07/26/23	5.000	779.96	Various	675.00	...	104.96	Total of 2 transactions
AMZN 07/28/2023 CALL \$129.00 / CUSIP: / Symbol: 07/26/23	2.000	263.98	Various	236.00	...	27.98	Total of 2 transactions
AMZN 08/04/2023 CALL \$141.00 / CUSIP: / Symbol: 08/04/23	27.000	4,543.87	Various	2,610.00	...	1,933.87	Total of 5 transactions

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Robinhood Markets Inc. as agent for  
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Account 137872982

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/02/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 08/11/2023 CALL \$143.00 / CUSIP: / Symbol:							
08/07/23	5.000	359.96	Various	695.00	...	-335.04	Total of 2 transactions
AMZN 08/18/2023 CALL \$136.00 / CUSIP: / Symbol:							
08/17/23	5.000	149.98	08/17/23	470.00	...	-320.02	Option sale to close-call <sup>25</sup>
AMZN 08/18/2023 CALL \$140.00 / CUSIP: / Symbol:							
08/02/23	1.000	189.98	07/20/23	310.00	...	-120.02	Option sale to close-call <sup>25</sup>
AMZN 09/01/2023 CALL \$138.00 / CUSIP: / Symbol:							
08/31/23	2.000	147.98	08/31/23	126.00	...	21.98	Option sale to close-call <sup>25</sup>
AVGO 07/14/2023 CALL \$900.00 / CUSIP: / Symbol:							
07/13/23	2.000	374.96	Various	585.02	210.06 W	0.00	Total of 2 transactions
07/14/23	1.000	299.98	07/14/23	205.06	...	94.92	Option sale to close-call <sup>25</sup>
07/14/23	1.000	209.98	07/14/23	225.04	15.06 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>884.92</b>		<b>1,015.12</b>	<b>225.12 W</b>	<b>94.92</b>	
AVGO 07/21/2023 CALL \$905.00 / CUSIP: / Symbol:							
07/21/23	1.000	89.98	07/21/23	250.00	...	-160.02	Option sale to close-call <sup>25</sup>
AVGO 07/21/2023 CALL \$910.00 / CUSIP: / Symbol:							
07/18/23	1.000	829.98	07/18/23	711.00	...	118.98	Option sale to close-call <sup>25</sup>
07/19/23	1.000	549.98	07/19/23	460.00	...	89.98	Option sale to close-call <sup>25</sup>
07/20/23	4.000	519.98	Various	1,190.00	670.02 W	0.00	Total of 3 transactions
07/21/23	9.000	3,129.92	Various	2,360.05	...	769.87	Total of 5 transactions
07/21/23	2.000	759.97	Various	880.01	120.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>5,789.83</b>		<b>5,601.06</b>	<b>790.06 W</b>	<b>978.83</b>	
AVGO 07/21/2023 CALL \$915.00 / CUSIP: / Symbol:							
07/21/23	1.000	239.98	07/21/23	217.00	...	22.98	Option sale to close-call <sup>25</sup>
AVGO 07/21/2023 CALL \$920.00 / CUSIP: / Symbol:							
07/18/23	1.000	649.98	07/18/23	580.00	...	69.98	Option sale to close-call <sup>25</sup>
AVGO 07/28/2023 CALL \$900.00 / CUSIP: / Symbol:							
07/28/23	1.000	199.98	07/28/23	340.00	...	-140.02	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 137872982

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/02/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVGO 07/28/2023 CALL \$920.00 / CUSIP: / Symbol:							
07/27/23	1.000	99.98	07/26/23	245.00	...	-145.02	Option sale to close-call <sup>25</sup>
AVGO 07/28/2023 CALL \$950.00 / CUSIP: / Symbol:							
07/25/23	1.000	139.98	07/25/23	195.00	...	-55.02	Option sale to close-call <sup>25</sup>
AVGO 08/04/2023 CALL \$900.00 / CUSIP: / Symbol:							
08/03/23	1.000	409.98	08/03/23	317.00	...	92.98	Option sale to close-call <sup>25</sup>
AVGO 08/04/2023 CALL \$930.00 / CUSIP: / Symbol:							
08/01/23	1.000	359.98	08/01/23	240.00	...	119.98	Option sale to close-call <sup>25</sup>
AVGO 08/04/2023 CALL \$950.00 / CUSIP: / Symbol:							
08/01/23	10.000	1,264.93	Various	1,150.00	...	114.93	Total of 3 transactions
08/02/23	1.000	39.98	08/02/23	100.00	...	-60.02	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,304.91</b>		<b>1,250.00</b>	...	<b>54.91</b>	
AVGO 09/08/2023 CALL \$900.00 / CUSIP: / Symbol:							
09/08/23	1.000	0.00	09/06/23	100.00	...	-100.00	Option expiration- long call
BA 06/02/2023 CALL \$207.50 / CUSIP: / Symbol:							
05/30/23	1.000	149.98	05/30/23	204.00	...	-54.02	Option sale to close-call <sup>25</sup>
BA 07/14/2023 CALL \$217.50 / CUSIP: / Symbol:							
07/07/23	2.000	439.98	Various	388.00	...	51.98	Total of 2 transactions
BA 07/28/2023 CALL \$220.00 / CUSIP: / Symbol:							
07/25/23	1.000	172.98	07/25/23	156.00	...	16.98	Option sale to close-call <sup>25</sup>
BABA 07/21/2023 CALL \$92.00 / CUSIP: / Symbol:							
07/10/23	1.000	285.98	07/10/23	206.00	...	79.98	Option sale to close-call <sup>25</sup>
07/18/23	5.000	824.98	07/18/23	715.00	...	109.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,110.96</b>		<b>921.00</b>	...	<b>189.96</b>	
BABA 07/21/2023 CALL \$93.00 / CUSIP: / Symbol:							
07/17/23	1.000	181.98	07/17/23	154.00	...	27.98	Option sale to close-call <sup>25</sup>

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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/02/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 07/28/2023 CALL \$100.00 / CUSIP: / Symbol:							
07/25/23	1.000	94.98	07/24/23	90.00	...	4.98	Option sale to close-call <sup>25</sup>
07/26/23	1.000	70.98	07/26/23	57.00	...	13.98	Option sale to close-call <sup>25</sup>
07/28/23	32.000	2,337.84	Various	2,108.14	...	229.70	Total of 11 transactions
07/28/23	20.000	1,479.86	Various	1,596.06	116.20 W	0.00	Total of 11 transactions
	<b>Security total:</b>	<b>3,983.66</b>		<b>3,851.20</b>	<b>116.20 W</b>	<b>248.66</b>	
BABA 07/28/2023 CALL \$96.00 / CUSIP: / Symbol:							
07/25/23	1.000	160.98	07/25/23	145.00	...	15.98	Option sale to close-call <sup>25</sup>
BABA 07/28/2023 CALL \$97.00 / CUSIP: / Symbol:							
07/26/23	5.000	774.96	Various	685.00	...	89.96	Total of 2 transactions
07/27/23	40.000	3,994.82	Various	3,489.01	...	505.81	Total of 7 transactions
07/27/23	3.000	275.99	07/27/23	285.00	9.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>5,045.77</b>		<b>4,459.01</b>	<b>9.01 W</b>	<b>595.77</b>	
BABA 07/28/2023 CALL \$98.00 / CUSIP: / Symbol:							
07/26/23	2.000	247.98	Various	224.00	...	23.98	Total of 2 transactions
BABA 07/28/2023 CALL \$99.00 / CUSIP: / Symbol:							
07/28/23	10.000	669.97	07/28/23	440.00	...	229.97	Option sale to close-call <sup>25</sup>
BABA 09/01/2023 CALL \$115.00 / CUSIP: / Symbol:							
08/09/23	1.000	50.98	08/02/23	91.00	...	-40.02	Option sale to close-call <sup>25</sup>
BABA 09/08/2023 CALL \$96.00 / CUSIP: / Symbol:							
09/08/23	1.000	0.00	09/05/23	118.00	...	-118.00	Option expiration- long call
CAT 08/04/2023 CALL \$280.00 / CUSIP: / Symbol:							
08/01/23	3.000	455.98	08/01/23	315.00	...	140.98	Option sale to close-call <sup>25</sup>
CAT 08/04/2023 CALL \$290.00 / CUSIP: / Symbol:							
08/03/23	25.000	1,224.90	Various	1,175.00	...	49.90	Total of 7 transactions

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Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/02/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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CAT 08/04/2023 CALL \$295.00 / CUSIP: / Symbol:							
08/02/23	9.000	386.96	Various	1,245.00	...	-858.04	Total of 3 transactions
08/02/23	1.000	43.00	08/02/23	127.00	84.00 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>429.96</b>		<b>1,372.00</b>	<b>84.00 W</b>	<b>-858.04</b>	
CAT 08/11/2023 CALL \$285.00 / CUSIP: / Symbol:							
08/11/23	1.000	84.98	08/11/23	119.00	...	-34.02	Option sale to close-call <sup>25</sup>
CAT 08/18/2023 CALL \$285.00 / CUSIP: / Symbol:							
08/16/23	20.000	2,039.93	Various	1,940.00	...	99.93	Total of 4 transactions
CAT 09/08/2023 CALL \$290.00 / CUSIP: / Symbol:							
09/05/23	1.000	30.98	09/05/23	133.00	...	-102.02	Option sale to close-call <sup>25</sup>
COIN 06/30/2023 CALL \$72.00 / CUSIP: / Symbol:							
06/29/23	1.000	154.98	06/29/23	128.00	...	26.98	Option sale to close-call <sup>25</sup>
COIN 07/07/2023 CALL \$71.00 / CUSIP: / Symbol:							
06/28/23	1.000	334.98	06/28/23	310.00	...	24.98	Option sale to close-call <sup>25</sup>
COIN 07/14/2023 CALL \$104.00 / CUSIP: / Symbol:							
07/13/23	1.000	234.98	07/13/23	200.00	...	34.98	Option sale to close-call <sup>25</sup>
COIN 07/14/2023 CALL \$110.00 / CUSIP: / Symbol:							
07/14/23	2.000	522.96	Various	418.00	...	104.96	Total of 2 transactions
COIN 07/14/2023 CALL \$112.00 / CUSIP: / Symbol:							
07/14/23	5.000	1,719.95	Various	1,390.00	...	329.95	Total of 2 transactions
COIN 07/14/2023 CALL \$113.00 / CUSIP: / Symbol:							
07/14/23	1.000	379.98	07/14/23	325.00	...	54.98	Option sale to close-call <sup>25</sup>
COIN 07/14/2023 CALL \$86.00 / CUSIP: / Symbol:							
07/13/23	1.000	129.98	07/13/23	173.00	...	-43.02	Option sale to close-call <sup>25</sup>
COIN 07/14/2023 CALL \$87.00 / CUSIP: / Symbol:							
07/13/23	1.000	90.98	07/13/23	177.00	...	-86.02	Option sale to close-call <sup>25</sup>

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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

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02/02/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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COIN 07/21/2023 CALL \$108.00 / CUSIP: / Symbol:							
07/18/23	1.000	464.98	07/18/23	330.00	...	134.98	Option sale to close-call <sup>25</sup>
COIN 07/21/2023 CALL \$110.00 / CUSIP: / Symbol:							
07/17/23	1.000	439.98	07/17/23	370.00	...	69.98	Option sale to close-call <sup>25</sup>
07/18/23	1.000	359.98	07/18/23	309.00	...	50.98	Option sale to close-call <sup>25</sup>
07/19/23	1.000	261.98	07/19/23	223.00	...	38.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,061.94</b>		<b>902.00</b>	...	<b>159.94</b>	
COIN 07/28/2023 CALL \$100.00 / CUSIP: / Symbol:							
07/24/23	2.000	529.98	07/24/23	448.00	...	81.98	Option sale to close-call <sup>25</sup>
COIN 07/28/2023 CALL \$101.00 / CUSIP: / Symbol:							
07/26/23	10.000	2,499.96	Various	2,300.00	...	199.96	Total of 2 transactions
07/28/23	1.000	6.98	07/27/23	188.00	...	-181.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,506.94</b>		<b>2,488.00</b>	...	<b>18.94</b>	
COIN 07/28/2023 CALL \$102.00 / CUSIP: / Symbol:							
07/25/23	3.000	1,053.94	Various	840.00	...	213.94	Total of 3 transactions
COIN 07/28/2023 CALL \$96.00 / CUSIP: / Symbol:							
07/28/23	1.000	111.98	07/28/23	85.00	...	26.98	Option sale to close-call <sup>25</sup>
COIN 08/11/2023 CALL \$82.00 / CUSIP: / Symbol:							
08/11/23	2.000	163.96	Various	130.00	...	33.96	Total of 2 transactions
COIN 08/11/2023 CALL \$90.00 / CUSIP: / Symbol:							
08/08/23	10.000	1,899.94	Various	1,881.00	...	18.94	Total of 4 transactions
COIN 08/18/2023 CALL \$83.00 / CUSIP: / Symbol:							
08/16/23	2.000	303.98	08/15/23	450.00	...	-146.02	Option sale to close-call <sup>25</sup>
COIN 09/01/2023 CALL \$85.00 / CUSIP: / Symbol:							
08/30/23	1.000	309.98	08/30/23	186.00	...	123.98	Option sale to close-call <sup>25</sup>
CRWD 07/21/2023 CALL \$155.00 / CUSIP: / Symbol:							
07/17/23	1.000	314.98	07/17/23	294.00	...	20.98	Option sale to close-call <sup>25</sup>

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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

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02/02/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CRWD 08/25/2023 CALL \$155.00 / CUSIP: / Symbol:							
08/21/23	1.000	251.98	08/21/23	210.00	...	41.98	Option sale to close-call <sup>25</sup>
CVNA 08/04/2023 CALL \$50.00 / CUSIP: / Symbol:							
07/28/23	3.000	317.98	07/28/23	240.00	...	77.98	Option sale to close-call <sup>25</sup>
CVNA 08/11/2023 CALL \$43.00 / CUSIP: / Symbol:							
08/10/23	20.000	2,704.90	Various	2,000.00	...	704.90	Total of 3 transactions
CVNA 08/11/2023 CALL \$46.00 / CUSIP: / Symbol:							
08/09/23	19.000	1,272.92	Various	2,053.00	...	-780.08	Total of 4 transactions
08/09/23	1.000	67.00	08/09/23	106.00	39.00 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,339.92</b>		<b>2,159.00</b>	<b>39.00 W</b>	<b>-780.08</b>	
CVNA 09/01/2023 CALL \$45.00 / CUSIP: / Symbol:							
08/28/23	2.000	394.96	Various	265.00	...	129.96	Total of 2 transactions
DIS 07/21/2023 CALL \$90.00 / CUSIP: / Symbol:							
07/14/23	1.000	68.98	07/14/23	106.00	...	-37.02	Option sale to close-call <sup>25</sup>
FSLR 07/14/2023 CALL \$190.00 / CUSIP: / Symbol:							
07/10/23	2.000	629.98	Various	567.00	...	62.98	Total of 2 transactions
FSLR 07/14/2023 CALL \$195.00 / CUSIP: / Symbol:							
07/12/23	1.000	219.98	07/12/23	170.00	...	49.98	Option sale to close-call <sup>25</sup>
GOOGL 06/09/2023 CALL \$128.00 / CUSIP: / Symbol:							
06/06/23	10.000	969.95	Various	900.00	...	69.95	Total of 3 transactions
GOOGL 06/16/2023 CALL \$130.00 / CUSIP: / Symbol:							
06/05/23	10.000	1,192.95	Various	1,170.00	...	22.95	Total of 2 transactions
GOOGL 06/23/2023 CALL \$125.00 / CUSIP: / Symbol:							
06/16/23	1.000	116.98	06/16/23	169.00	...	-52.02	Option sale to close-call <sup>25</sup>
GOOGL 06/30/2023 CALL \$122.00 / CUSIP: / Symbol:							
06/26/23	5.000	639.98	Various	610.00	...	29.98	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

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02/02/2024

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GOOGL 06/30/2023 CALL \$125.00 / CUSIP: / Symbol: 06/27/23	1.000	5.98	06/26/23	21.00	...	-15.02	Option sale to close-call <sup>25</sup>
GOOGL 07/07/2023 CALL \$122.00 / CUSIP: / Symbol: 07/05/23	2.000	205.98	07/05/23	180.00	...	25.98	Option sale to close-call <sup>25</sup>
GOOGL 07/14/2023 CALL \$121.00 / CUSIP: / Symbol: 07/13/23	5.000	399.98	07/13/23	325.00	...	74.98	Option sale to close-call <sup>25</sup>
GOOGL 07/14/2023 CALL \$122.00 / CUSIP: / Symbol: 07/13/23	2.000	101.98	Various	88.00	...	13.98	Total of 2 transactions
GOOGL 07/14/2023 CALL \$126.00 / CUSIP: / Symbol: 07/14/23	1.000	2.98	07/14/23	61.00	...	-58.02	Option sale to close-call <sup>25</sup>
GOOGL 07/28/2023 CALL \$125.00 / CUSIP: / Symbol: 07/24/23	1.000	268.98	07/24/23	257.00	...	11.98	Option sale to close-call <sup>25</sup>
GOOGL 07/28/2023 CALL \$130.00 / CUSIP: / Symbol: 07/26/23	10.000	1,324.96	Various	1,040.00	...	284.96	Total of 6 transactions
GOOGL 07/28/2023 CALL \$132.00 / CUSIP: / Symbol: 07/27/23	30.000	2,789.90	Various	2,560.04	...	229.86	Total of 4 transactions
07/27/23	10.000	929.96	07/27/23	1,000.00	70.04 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>3,719.86</b>		<b>3,560.04</b>	<b>70.04 W</b>	<b>229.86</b>	
GOOGL 09/01/2023 CALL \$137.00 / CUSIP: / Symbol: 08/31/23	1.000	85.98	08/31/23	78.00	...	7.98	Option sale to close-call <sup>25</sup>
GS 06/16/2023 CALL \$345.00 / CUSIP: / Symbol: 06/13/23	1.000	329.98	06/13/23	270.00	...	59.98	Option sale to close-call <sup>25</sup>
GS 07/28/2023 CALL \$360.00 / CUSIP: / Symbol: 07/27/23	2.000	341.98	07/27/23	270.00	...	71.98	Option sale to close-call <sup>25</sup>
GS 08/04/2023 CALL \$360.00 / CUSIP: / Symbol: 07/31/23	1.000	194.98	07/28/23	135.00	...	59.98	Option sale to close-call <sup>25</sup>

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02/02/2024

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HD 06/09/2023 CALL \$300.00 / CUSIP: / Symbol:							
06/06/23	1.000	71.98	06/06/23	69.00	...	2.98	Option sale to close-call <sup>25</sup>
HD 08/25/2023 CALL \$325.00 / CUSIP: / Symbol:							
08/22/23	1.000	329.98	08/22/23	262.00	...	67.98	Option sale to close-call <sup>25</sup>
IWM 08/25/2023 CALL \$186.00 / CUSIP: / Symbol:							
08/25/23	1.000	0.98	08/25/23	23.00	...	-22.02	Option sale to close-call <sup>25</sup>
LRCX 07/14/2023 CALL \$650.00 / CUSIP: / Symbol:							
07/13/23	1.000	199.98	07/13/23	148.00	...	51.98	Option sale to close-call <sup>25</sup>
LULU 09/08/2023 CALL \$410.00 / CUSIP: / Symbol:							
09/05/23	1.000	99.98	09/05/23	191.00	...	-91.02	Option sale to close-call <sup>25</sup>
MCD 07/28/2023 CALL \$297.50 / CUSIP: / Symbol:							
07/28/23	1.000	87.98	07/28/23	25.00	...	62.98	Option sale to close-call <sup>25</sup>
META 07/21/2023 CALL \$300.00 / CUSIP: / Symbol:							
07/21/23	4.000	239.98	Various	408.00	...	-168.02	Total of 3 transactions
META 09/01/2023 CALL \$302.50 / CUSIP: / Symbol:							
08/31/23	1.000	104.98	08/30/23	84.00	...	20.98	Option sale to close-call <sup>25</sup>
MSFT 07/14/2023 CALL \$342.50 / CUSIP: / Symbol:							
07/12/23	10.000	2,049.96	Various	1,955.00	...	94.96	Total of 3 transactions
MSFT 07/21/2023 CALL \$347.50 / CUSIP: / Symbol:							
07/21/23	10.000	399.88	Various	630.00	...	-230.12	Total of 6 transactions
MSFT 07/21/2023 CALL \$352.50 / CUSIP: / Symbol:							
07/20/23	1.000	149.98	07/20/23	202.00	...	-52.02	Option sale to close-call <sup>25</sup>
MSFT 07/21/2023 CALL \$357.50 / CUSIP: / Symbol:							
07/19/23	1.000	280.98	07/19/23	254.00	...	26.98	Option sale to close-call <sup>25</sup>
MSFT 07/21/2023 CALL \$365.00 / CUSIP: / Symbol:							
07/19/23	20.000	1,599.93	Various	4,860.00	...	-3,260.07	Total of 3 transactions

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Robinhood Markets Inc. as agent for  
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Account 137872982

### Proceeds from Broker and Barter Exchange Transactions

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#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 07/21/2023 CALL \$370.00 / CUSIP: / Symbol: 07/18/23	1.000	324.98	07/18/23	293.00	...	31.98	Option sale to close-call <sup>25</sup>
MSFT 07/28/2023 CALL \$337.50 / CUSIP: / Symbol: 07/28/23	20.000	2,499.93	07/28/23	1,900.00	...	599.93	Option sale to close-call <sup>25</sup>
MSFT 07/28/2023 CALL \$340.00 / CUSIP: / Symbol: 07/28/23	20.000	799.94	Various	1,260.00	...	-460.06	Total of 2 transactions
NFLX 06/09/2023 CALL \$410.00 / CUSIP: / Symbol: 06/08/23	1.000	225.98	06/08/23	225.00	...	0.98	Option sale to close-call <sup>25</sup>
NFLX 06/30/2023 CALL \$435.00 / CUSIP: / Symbol: 06/28/23	1.000	299.98	06/28/23	270.00	...	29.98	Option sale to close-call <sup>25</sup>
NFLX 07/07/2023 CALL \$450.00 / CUSIP: / Symbol: 07/05/23	1.000	530.98	07/05/23	495.00	...	35.98	Option sale to close-call <sup>25</sup>
NFLX 07/07/2023 CALL \$460.00 / CUSIP: / Symbol: 07/07/23	1.000	3.98	07/05/23	223.00	...	-219.02	Option sale to close-call <sup>25</sup>
NFLX 07/14/2023 CALL \$450.00 / CUSIP: / Symbol: 07/12/23	3.000	849.97	Various	744.01	...	105.96	Total of 3 transactions
07/12/23	1.000	274.99	07/12/23	300.00	25.01 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,124.96</b>		<b>1,044.01</b>	<b>25.01 W</b>	<b>105.96</b>	
NFLX 07/28/2023 CALL \$440.00 / CUSIP: / Symbol: 07/24/23	1.000	249.98	07/24/23	248.00	...	1.98	Option sale to close-call <sup>25</sup>
NFLX 08/11/2023 CALL \$427.50 / CUSIP: / Symbol: 08/11/23	1.000	264.98	08/11/23	199.00	...	65.98	Option sale to close-call <sup>25</sup>
NFLX 08/11/2023 CALL \$450.00 / CUSIP: / Symbol: 08/07/23	20.000	4,613.89	Various	4,480.00	...	133.89	Total of 2 transactions
08/08/23	3.000	539.98	Various	1,240.04	...	-700.06	Total of 3 transactions
08/08/23	2.000	359.96	Various	640.00	280.04 W	0.00	Total of 2 transactions
<b>Security total:</b>		<b>5,513.83</b>		<b>6,360.04</b>	<b>280.04 W</b>	<b>-566.17</b>	

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Proceeds from Broker and Barter Exchange Transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 08/25/2023 CALL \$420.00 / CUSIP: / Symbol: 08/21/23	1.000	99.98	08/21/23	206.00	...	-106.02	Option sale to close-call <sup>25</sup>
NFLX 09/01/2023 CALL \$442.50 / CUSIP: / Symbol: 09/01/23	1.000	249.98	09/01/23	128.00	...	121.98	Option sale to close-call <sup>25</sup>
NOW 07/28/2023 CALL \$580.00 / CUSIP: / Symbol: 07/27/23	1.000	99.98	07/27/23	225.00	...	-125.02	Option sale to close-call <sup>25</sup>
NVDA 05/26/2023 CALL \$385.00 / CUSIP: / Symbol: 05/26/23	6.000	1,049.98	Various	966.00	...	83.98	Total of 5 transactions
NVDA 06/30/2023 CALL \$415.00 / CUSIP: / Symbol: 06/28/23	1.000	429.98	06/28/23	360.00	...	69.98	Option sale to close-call <sup>25</sup>
NVDA 06/30/2023 CALL \$420.00 / CUSIP: / Symbol: 06/28/23	1.000	269.98	06/28/23	400.00	130.02 W	0.00	Option sale to close-call <sup>25</sup>
06/29/23	2.000	587.98	Various	683.02	...	-95.04	Total of 2 transactions
<b>Security total:</b>		<b>857.96</b>		<b>1,083.02</b>	<b>130.02 W</b>	<b>-95.04</b>	
NVDA 07/07/2023 CALL \$430.00 / CUSIP: / Symbol: 06/30/23	1.000	529.98	06/30/23	480.00	...	49.98	Option sale to close-call <sup>25</sup>
NVDA 07/14/2023 CALL \$455.00 / CUSIP: / Symbol: 07/13/23	1.000	219.98	07/13/23	177.00	...	42.98	Option sale to close-call <sup>25</sup>
07/14/23	1.000	40.98	07/14/23	127.00	...	-86.02	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>260.96</b>		<b>304.00</b>	<b>...</b>	<b>-43.04</b>	
NVDA 07/14/2023 CALL \$460.00 / CUSIP: / Symbol: 07/14/23	2.000	329.98	07/14/23	268.00	...	61.98	Option sale to close-call <sup>25</sup>
NVDA 07/14/2023 CALL \$465.00 / CUSIP: / Symbol: 07/14/23	1.000	49.98	07/14/23	133.00	...	-83.02	Option sale to close-call <sup>25</sup>
NVDA 07/14/2023 CALL \$475.00 / CUSIP: / Symbol: 07/14/23	1.000	164.98	07/14/23	125.00	...	39.98	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Proceeds from Broker and Barter Exchange Transactions  
 (continued)

Account 137872982  
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**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 07/21/2023 CALL \$447.50 / CUSIP: / Symbol:							
07/21/23	1.000	258.98	07/21/23	220.00	...	38.98	Option sale to close-call <sup>25</sup>
NVDA 07/21/2023 CALL \$450.00 / CUSIP: / Symbol:							
07/21/23	5.000	1,249.98	07/21/23	1,115.00	...	134.98	Option sale to close-call <sup>25</sup>
NVDA 07/21/2023 CALL \$480.00 / CUSIP: / Symbol:							
07/18/23	1.000	299.98	07/18/23	380.00	80.02 W	0.00	Option sale to close-call <sup>25</sup>
07/20/23	10.000	2,159.96	Various	1,920.02	...	239.94	Total of 2 transactions
	<b>Security total:</b>	<b>2,459.94</b>		<b>2,300.02</b>	<b>80.02 W</b>	<b>239.94</b>	
NVDA 07/28/2023 CALL \$470.00 / CUSIP: / Symbol:							
07/26/23	1.000	279.98	07/26/23	239.00	...	40.98	Option sale to close-call <sup>25</sup>
07/28/23	1.000	209.98	07/28/23	164.00	...	45.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>489.96</b>		<b>403.00</b>	...	<b>86.96</b>	
NVDA 08/18/2023 CALL \$450.00 / CUSIP: / Symbol:							
08/16/23	1.000	229.98	08/16/23	317.00	...	-87.02	Option sale to close-call <sup>25</sup>
NVDA 08/18/2023 CALL \$460.00 / CUSIP: / Symbol:							
08/15/23	2.000	879.96	Various	730.00	...	149.96	Total of 2 transactions
08/16/23	1.000	244.98	08/16/23	212.00	...	32.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,124.94</b>		<b>942.00</b>	...	<b>182.94</b>	
NVDA 08/18/2023 CALL \$470.00 / CUSIP: / Symbol:							
08/15/23	10.000	2,287.94	Various	2,230.00	...	57.94	Total of 4 transactions
NVDA 08/25/2023 CALL \$467.50 / CUSIP: / Symbol:							
08/25/23	1.000	494.98	08/25/23	330.00	...	164.98	Option sale to close-call <sup>25</sup>
NVDA 08/25/2023 CALL \$500.00 / CUSIP: / Symbol:							
08/24/23	1.000	259.98	08/24/23	400.00	...	-140.02	Option sale to close-call <sup>25</sup>
NVDA 09/01/2023 CALL \$490.00 / CUSIP: / Symbol:							
09/01/23	1.000	99.98	09/01/23	193.00	...	-93.02	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

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02/02/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 09/01/2023 CALL \$500.00 / CUSIP: / Symbol:							
08/31/23	1.000	249.98	08/31/23	244.00	...	5.98	Option sale to close-call <sup>25</sup>
09/01/23	1.000	41.98	09/01/23	143.00	...	-101.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>291.96</b>		<b>387.00</b>	...	<b>-95.04</b>	
QCOM 06/23/2023 CALL \$116.00 / CUSIP: / Symbol:							
06/22/23	1.000	104.98	06/22/23	77.00	...	27.98	Option sale to close-call <sup>25</sup>
QCOM 08/04/2023 CALL \$116.00 / CUSIP: / Symbol:							
08/03/23	10.000	1,719.94	Various	1,460.00	...	259.94	Total of 2 transactions
QCOM 08/11/2023 PUT \$117.00 / CUSIP: / Symbol:							
08/10/23	1.000	107.98	08/10/23	75.00	...	32.98	Option sale to close-put <sup>25</sup>
QQQ 08/02/2023 CALL \$375.00 / CUSIP: / Symbol:							
08/02/23	10.000	949.97	08/02/23	710.00	...	239.97	Option sale to close-call <sup>25</sup>
QQQ 08/02/2023 CALL \$376.00 / CUSIP: / Symbol:							
08/02/23	5.000	349.98	08/02/23	480.00	...	-130.02	Option sale to close-call <sup>25</sup>
QQQ 08/02/2023 CALL \$377.00 / CUSIP: / Symbol:							
08/02/23	60.000	6,649.80	Various	5,730.02	...	919.78	Total of 3 transactions
08/02/23	5.000	479.98	08/02/23	530.00	50.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>7,129.78</b>		<b>6,260.02</b>	<b>50.02 W</b>	<b>919.78</b>	
QQQ 08/04/2023 CALL \$380.00 / CUSIP: / Symbol:							
08/02/23	2.000	149.98	08/02/23	298.00	...	-148.02	Option sale to close-call <sup>25</sup>
ROKU 07/28/2023 CALL \$85.00 / CUSIP: / Symbol:							
07/28/23	1.000	84.98	07/28/23	119.00	...	-34.02	Option sale to close-call <sup>25</sup>
ROKU 08/04/2023 CALL \$100.00 / CUSIP: / Symbol:							
08/01/23	11.000	2,014.92	Various	1,818.00	...	196.92	Total of 3 transactions
ROKU 08/04/2023 CALL \$91.00 / CUSIP: / Symbol:							
08/03/23	10.000	849.97	08/03/23	1,370.00	...	-520.03	Option sale to close-call <sup>25</sup>

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ROKU 08/04/2023 CALL \$98.00 / CUSIP: / Symbol:							
07/31/23	1.000	259.98	07/31/23	260.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
08/01/23	1.000	261.98	08/01/23	228.02	...	33.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>521.96</b>		<b>488.02</b>	<b>0.02 W</b>	<b>33.96</b>	
ROKU 08/18/2023 CALL \$81.00 / CUSIP: / Symbol:							
08/17/23	3.000	68.98	08/17/23	390.00	...	-321.02	Option sale to close-call <sup>25</sup>
ROKU 08/25/2023 CALL \$85.00 / CUSIP: / Symbol:							
08/14/23	1.000	203.98	08/10/23	164.00	...	39.98	Option sale to close-call <sup>25</sup>
SHOP 06/09/2023 CALL \$62.00 / CUSIP: / Symbol:							
06/06/23	1.000	173.98	06/06/23	131.00	...	42.98	Option sale to close-call <sup>25</sup>
SHOP 06/16/2023 CALL \$68.00 / CUSIP: / Symbol:							
06/14/23	5.000	364.98	Various	350.00	...	14.98	Total of 2 transactions
SHOP 06/30/2023 CALL \$66.00 / CUSIP: / Symbol:							
06/28/23	1.000	85.98	06/28/23	79.00	...	6.98	Option sale to close-call <sup>25</sup>
SHOP 07/14/2023 CALL \$70.00 / CUSIP: / Symbol:							
07/13/23	22.000	1,990.87	Various	1,745.00	...	245.87	Total of 8 transactions
SHOP 09/01/2023 CALL \$67.00 / CUSIP: / Symbol:							
09/01/23	1.000	82.98	09/01/23	57.00	...	25.98	Option sale to close-call <sup>25</sup>
SMCI 08/11/2023 CALL \$300.00 / CUSIP: / Symbol:							
08/09/23	12.000	719.96	Various	3,980.00	...	-3,260.04	Total of 4 transactions
SMCI 09/01/2023 CALL \$280.00 / CUSIP: / Symbol:							
08/28/23	1.000	119.98	08/28/23	225.00	105.02 W	0.00	Option sale to close-call <sup>25</sup>
08/30/23	1.000	199.98	08/30/23	285.02	...	-85.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>319.96</b>		<b>510.02</b>	<b>105.02 W</b>	<b>-85.04</b>	
SMCI 09/01/2023 CALL \$290.00 / CUSIP: / Symbol:							
08/29/23	1.000	99.98	08/29/23	200.00	...	-100.02	Option sale to close-call <sup>25</sup>

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SNOW 06/16/2023 CALL \$200.00 / CUSIP: / Symbol: 06/07/23	10.000	209.95	Various	1,150.00	...	-940.05	Total of 2 transactions
SNOW 06/23/2023 CALL \$190.00 / CUSIP: / Symbol: 06/15/23	1.000	374.98	06/15/23	305.00	...	69.98	Option sale to close-call <sup>25</sup>
SNOW 06/23/2023 CALL \$192.50 / CUSIP: / Symbol: 06/20/23	1.000	99.98	06/20/23	210.00	...	-110.02	Option sale to close-call <sup>25</sup>
SNOW 06/23/2023 CALL \$200.00 / CUSIP: / Symbol: 06/16/23	1.000	99.98	06/16/23	230.00	...	-130.02	Option sale to close-call <sup>25</sup>
SNOW 06/30/2023 CALL \$190.00 / CUSIP: / Symbol: 06/28/23	1.000	119.98	06/28/23	300.00	...	-180.02	Option sale to close-call <sup>25</sup>
SNOW 07/07/2023 CALL \$200.00 / CUSIP: / Symbol: 06/23/23	1.000	209.98	06/23/23	185.00	...	24.98	Option sale to close-call <sup>25</sup>
SNOW 07/14/2023 CALL \$190.00 / CUSIP: / Symbol: 07/06/23	1.000	59.98	07/05/23	95.00	...	-35.02	Option sale to close-call <sup>25</sup>
SNOW 07/21/2023 CALL \$180.00 / CUSIP: / Symbol: 07/21/23	1.000	172.98	07/21/23	135.00	...	37.98	Option sale to close-call <sup>25</sup>
SNOW 07/21/2023 CALL \$190.00 / CUSIP: / Symbol: 07/17/23	1.000	253.98	07/17/23	221.00	...	32.98	Option sale to close-call <sup>25</sup>
07/18/23	1.000	149.98	07/18/23	245.00	...	-95.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>403.96</b>		<b>466.00</b>	...	<b>-62.04</b>	
SNOW 07/28/2023 CALL \$190.00 / CUSIP: / Symbol: 07/25/23	1.000	134.98	07/24/23	124.00	...	10.98	Option sale to close-call <sup>25</sup>
SPOT 06/23/2023 CALL \$160.00 / CUSIP: / Symbol: 06/21/23	5.000	297.96	Various	900.00	...	-602.04	Total of 2 transactions
SPY 05/26/2023 CALL \$419.00 / CUSIP: / Symbol: 05/26/23	1.000	95.98	05/26/23	79.00	...	16.98	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 137872982

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/02/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 06/16/2023 CALL \$435.00 / CUSIP: / Symbol: 06/14/23	1.000	329.98	06/14/23	187.00	...	142.98	Option sale to close-call <sup>25</sup>
SPY 06/16/2023 CALL \$440.00 / CUSIP: / Symbol: 06/14/23	1.000	110.98	06/13/23	104.00	...	6.98	Option sale to close-call <sup>25</sup>
SPY 06/16/2023 PUT \$431.00 / CUSIP: / Symbol: 06/14/23	1.000	106.98	06/13/23	110.00	...	-3.02	Option sale to close-put <sup>25</sup>
SPY 06/20/2023 CALL \$442.00 / CUSIP: / Symbol: 06/20/23	1.000	1.98	06/16/23	165.00	...	-163.02	Option sale to close-call <sup>25</sup>
SPY 06/22/2023 CALL \$437.00 / CUSIP: / Symbol: 06/21/23	2.000	225.98	06/21/23	208.00	...	17.98	Option sale to close-call <sup>25</sup>
SPY 06/28/2023 CALL \$433.00 / CUSIP: / Symbol: 06/27/23	5.000	674.98	Various	620.00	...	54.98	Total of 2 transactions
SPY 06/29/2023 CALL \$437.00 / CUSIP: / Symbol: 06/28/23	1.000	109.98	06/28/23	100.00	...	9.98	Option sale to close-call <sup>25</sup>
SPY 06/30/2023 CALL \$433.00 / CUSIP: / Symbol: 06/27/23	1.000	231.98	06/27/23	212.00	...	19.98	Option sale to close-call <sup>25</sup>
SPY 06/30/2023 CALL \$437.00 / CUSIP: / Symbol: 06/29/23	1.000	158.98	06/29/23	143.00	...	15.98	Option sale to close-call <sup>25</sup>
SPY 06/30/2023 CALL \$438.00 / CUSIP: / Symbol: 06/29/23	1.000	136.98	06/29/23	126.00	...	10.98	Option sale to close-call <sup>25</sup>
SPY 07/07/2023 CALL \$440.00 / CUSIP: / Symbol: 07/07/23	1.000	67.98	07/07/23	61.00	...	6.98	Option sale to close-call <sup>25</sup>
SPY 07/07/2023 CALL \$441.00 / CUSIP: / Symbol: 07/07/23	1.000	8.98	07/07/23	43.00	...	-34.02	Option sale to close-call <sup>25</sup>
SPY 07/07/2023 CALL \$442.00 / CUSIP: / Symbol: 07/07/23	1.000	0.98	07/07/23	32.00	...	-31.02	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/02/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 07/10/2023 CALL \$439.00 / CUSIP: / Symbol: 07/10/23	1.000	54.98	07/10/23	45.00	...	9.98	Option sale to close-call <sup>25</sup>
SPY 07/10/2023 CALL \$440.00 / CUSIP: / Symbol: 07/07/23	2.000	119.98	07/07/23	162.00	...	-42.02	Option sale to close-call <sup>25</sup>
SPY 07/10/2023 CALL \$441.00 / CUSIP: / Symbol: 07/07/23	5.000	479.98	Various	440.00	...	39.98	Total of 5 transactions
SPY 07/13/2023 CALL \$448.00 / CUSIP: / Symbol: 07/13/23	1.000	79.98	07/13/23	70.00	...	9.98	Option sale to close-call <sup>25</sup>
SPY 07/21/2023 CALL \$453.00 / CUSIP: / Symbol: 07/21/23	1.000	148.98	07/20/23	87.00	...	61.98	Option sale to close-call <sup>25</sup>
SPY 07/21/2023 CALL \$455.00 / CUSIP: / Symbol: 07/20/23	10.000	629.96	Various	1,370.00	...	-740.04	Total of 2 transactions
SPY 07/21/2023 CALL \$456.00 / CUSIP: / Symbol: 07/19/23	1.000	159.98	07/19/23	156.00	...	3.98	Option sale to close-call <sup>25</sup>
SPY 07/21/2023 CALL \$460.00 / CUSIP: / Symbol: 07/19/23	70.000	1,889.81	07/19/23	1,820.00	...	69.81	Option sale to close-call <sup>25</sup>
SPY 08/02/2023 CALL \$450.00 / CUSIP: / Symbol: 08/02/23	1.000	29.98	08/02/23	65.00	...	-35.02	Option sale to close-call <sup>25</sup>
SPY 08/09/2023 CALL \$448.00 / CUSIP: / Symbol: 08/09/23	8.000	285.95	Various	224.00	...	61.95	Total of 2 transactions
SPY 08/09/2023 CALL \$449.00 / CUSIP: / Symbol: 08/09/23	2.000	59.98	Various	164.00	...	-104.02	Total of 2 transactions
SPY 08/10/2023 CALL \$446.00 / CUSIP: / Symbol: 08/10/23	1.000	69.98	08/10/23	94.00	...	-24.02	Option sale to close-call <sup>25</sup>
SPY 08/15/2023 CALL \$445.00 / CUSIP: / Symbol: 08/15/23	1.000	27.98	08/15/23	80.00	...	-52.02	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/02/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 08/17/2023 CALL \$438.00 / CUSIP: / Symbol: 08/17/23	40.000	199.89	08/17/23	2,400.00	...	-2,200.11	Option sale to close-call <sup>25</sup>
SPY 08/22/2023 CALL \$440.00 / CUSIP: / Symbol: 08/22/23	1.000	70.98	08/22/23	117.00	...	-46.02	Option sale to close-call <sup>25</sup>
TGT 08/11/2023 CALL \$130.00 / CUSIP: / Symbol: 08/11/23	2.000	241.98	08/11/23	170.00	...	71.98	Option sale to close-call <sup>25</sup>
TGT 08/18/2023 CALL \$136.00 / CUSIP: / Symbol: 08/16/23	2.000	119.98	08/16/23	286.00	...	-166.02	Option sale to close-call <sup>25</sup>
TSLA 05/26/2023 CALL \$195.00 / CUSIP: / Symbol: 05/26/23	1.000	103.98	05/26/23	82.00	...	21.98	Option sale to close-call <sup>25</sup>
TSLA 06/02/2023 CALL \$210.00 / CUSIP: / Symbol: 05/30/23	1.000	149.98	05/30/23	215.00	65.02 W	0.00	Option sale to close-call <sup>25</sup>
06/01/23	10.000	1,049.97	Various	965.02	...	84.95	Total of 2 transactions
<b>Security total:</b>		<b>1,199.95</b>		<b>1,180.02</b>	<b>65.02 W</b>	<b>84.95</b>	
TSLA 06/23/2023 CALL \$275.00 / CUSIP: / Symbol: 06/22/23	8.000	439.97	Various	400.00	...	39.97	Total of 5 transactions
TSLA 06/23/2023 CALL \$300.00 / CUSIP: / Symbol: 06/15/23	1.000	109.98	06/14/23	138.00	...	-28.02	Option sale to close-call <sup>25</sup>
TSLA 06/30/2023 CALL \$260.00 / CUSIP: / Symbol: 06/28/23	1.000	304.98	06/28/23	280.00	...	24.98	Option sale to close-call <sup>25</sup>
06/29/23	2.000	579.98	Various	484.00	...	95.98	Total of 2 transactions
<b>Security total:</b>		<b>884.96</b>		<b>764.00</b>	<b>...</b>	<b>120.96</b>	
TSLA 07/07/2023 CALL \$277.50 / CUSIP: / Symbol: 07/07/23	1.000	151.98	07/07/23	126.00	...	25.98	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 137872982

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/02/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 07/07/2023 CALL \$280.00 / CUSIP: / Symbol:							
07/05/23	1.000	469.98	07/05/23	440.00	...	29.98	Option sale to close-call <sup>25</sup>
07/06/23	1.000	199.99	07/06/23	315.00	...	-115.01	Option sale to close-call <sup>25</sup>
07/06/23	1.000	199.99	07/06/23	345.00	145.01 W	0.00	Option sale to close-call <sup>25</sup>
07/07/23	1.000	49.98	07/07/23	184.01	...	-134.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>919.94</b>		<b>1,284.01</b>	<b>145.01 W</b>	<b>-219.06</b>	
TSLA 07/07/2023 CALL \$285.00 / CUSIP: / Symbol:							
07/05/23	1.000	276.98	07/05/23	270.00	...	6.98	Option sale to close-call <sup>25</sup>
TSLA 07/07/2023 CALL \$290.00 / CUSIP: / Symbol:							
07/03/23	1.000	409.98	07/03/23	380.00	...	29.98	Option sale to close-call <sup>25</sup>
TSLA 07/14/2023 CALL \$280.00 / CUSIP: / Symbol:							
07/14/23	3.000	284.96	Various	376.00	...	-91.04	Total of 3 transactions
TSLA 07/14/2023 CALL \$282.50 / CUSIP: / Symbol:							
07/14/23	1.000	159.98	07/14/23	118.00	...	41.98	Option sale to close-call <sup>25</sup>
TSLA 07/14/2023 CALL \$300.00 / CUSIP: / Symbol:							
07/07/23	2.000	365.98	Various	332.00	...	33.98	Total of 2 transactions
TSLA 07/21/2023 CALL \$260.00 / CUSIP: / Symbol:							
07/21/23	1.000	234.98	07/21/23	187.00	...	47.98	Option sale to close-call <sup>25</sup>
TSLA 07/21/2023 CALL \$265.00 / CUSIP: / Symbol:							
07/21/23	2.000	146.96	Various	157.00	...	-10.04	Total of 2 transactions
TSLA 07/21/2023 CALL \$275.00 / CUSIP: / Symbol:							
07/20/23	1.000	199.98	07/20/23	360.00	...	-160.02	Option sale to close-call <sup>25</sup>
TSLA 07/21/2023 CALL \$300.00 / CUSIP: / Symbol:							
07/20/23	10.000	299.97	07/20/23	620.00	...	-320.03	Option sale to close-call <sup>25</sup>
TSLA 07/21/2023 PUT \$262.50 / CUSIP: / Symbol:							
07/21/23	1.000	52.98	07/21/23	66.00	...	-13.02	Option sale to close-put <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 137872982

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/02/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 07/28/2023 CALL \$275.00 / CUSIP: / Symbol:							
07/25/23	10.000	2,319.96	Various	2,296.02	...	23.94	Total of 2 transactions
07/25/23	1.000	229.98	07/25/23	276.00	46.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,549.94</b>		<b>2,572.02</b>	<b>46.02 W</b>	<b>23.94</b>	
TSLA 08/04/2023 CALL \$265.00 / CUSIP: / Symbol:							
08/04/23	52.000	1,039.86	Various	5,737.09	...	-4,697.23	Total of 13 transactions
08/04/23	28.000	1,159.91	Various	3,500.07	2,340.16 W	0.00	Total of 11 transactions
	<b>Security total:</b>	<b>2,199.77</b>		<b>9,237.16</b>	<b>2,340.16 W</b>	<b>-4,697.23</b>	
TSLA 08/04/2023 PUT \$250.00 / CUSIP: / Symbol:							
08/03/23	2.000	249.96	Various	426.00	...	-176.04	Total of 2 transactions
TSLA 08/11/2023 CALL \$242.50 / CUSIP: / Symbol:							
08/11/23	5.000	549.98	08/11/23	470.00	...	79.98	Option sale to close-call <sup>25</sup>
TSLA 08/18/2023 CALL \$215.00 / CUSIP: / Symbol:							
08/18/23	3.000	710.98	08/18/23	450.00	...	260.98	Option sale to close-call <sup>25</sup>
TSLA 08/18/2023 CALL \$217.50 / CUSIP: / Symbol:							
08/18/23	1.000	136.98	08/18/23	90.00	...	46.98	Option sale to close-call <sup>25</sup>
TSLA 08/18/2023 CALL \$235.00 / CUSIP: / Symbol:							
08/16/23	2.000	479.98	08/16/23	420.00	...	59.98	Option sale to close-call <sup>25</sup>
TSLA 08/18/2023 CALL \$242.50 / CUSIP: / Symbol:							
08/14/23	1.000	314.98	08/14/23	271.00	...	43.98	Option sale to close-call <sup>25</sup>
TSLA 08/25/2023 CALL \$240.00 / CUSIP: / Symbol:							
08/24/23	1.000	99.98	08/24/23	262.00	...	-162.02	Option sale to close-call <sup>25</sup>
TSLA 08/25/2023 CALL \$245.00 / CUSIP: / Symbol:							
08/23/23	2.000	129.98	08/22/23	526.00	...	-396.02	Option sale to close-call <sup>25</sup>
TSLA 09/01/2023 CALL \$250.00 / CUSIP: / Symbol:							
08/29/23	1.000	151.98	08/29/23	127.00	...	24.98	Option sale to close-call <sup>25</sup>

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Account 137872982

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/02/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 09/01/2023 CALL \$255.00 / CUSIP: / Symbol:							
09/01/23	1.000	99.98	09/01/23	126.00	...	-26.02	Option sale to close-call <sup>25</sup>
UPST 07/28/2023 CALL \$70.00 / CUSIP: / Symbol:							
07/26/23	3.000	388.96	Various	348.00	...	40.96	Total of 2 transactions
UPST 08/04/2023 CALL \$72.00 / CUSIP: / Symbol:							
08/02/23	2.000	529.98	08/02/23	440.00	...	89.98	Option sale to close-call <sup>25</sup>
XOM 08/04/2023 CALL \$107.00 / CUSIP: / Symbol:							
08/02/23	1.000	19.98	08/01/23	124.02	...	-104.04	Option sale to close-call <sup>25</sup>
08/02/23	1.000	19.98	08/01/23	72.00	52.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>39.96</b>		<b>196.02</b>	<b>52.02 W</b>	<b>-104.04</b>	
XOM 08/04/2023 CALL \$108.00 / CUSIP: / Symbol:							
08/01/23	2.000	61.98	Various	158.00	...	-96.02	Total of 2 transactions
<b>Totals :</b>		<b>154,654.89</b>		<b>170,300.81</b>	<b>4,654.81 W</b>	<b>-10,991.11</b>	

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## Fees and Expenses

Account 137872982

2023

02/02/2024

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Gold Subscription fee	GLDSUBFEE	07/11/23	-5.00	Subscription expense	
			<b>-5.00</b>	<b>Total Subscription expense</b>	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

**Instructions for Recipient**

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.