



Rajini kanth Shanmugam
1216 Horsetail Drive
Little Elm, TX 75068

Master Account Number for Import:

126264209

Document ID for Import:

J98E3253595

Enclosed is your 2023 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

126264209 - Robinhood Securities LLC

126264209C - Robinhood Crypto LLC

New for 2023 Tax Year - 1099 tax forms for Robinhood Markets Inc. will be displayed using an aggregated format based on key tax lot criteria. We have done this to reduce the size of your overall tax package, as well as to help make your tax documents easier to use. This new format still provides you with all the information you need to file your taxes.

Robinhood Retirements Accounts - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2023 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

Filing Your Taxes - If filing through tax service providers, such as TurboTax or H&R Block, please utilize the Master Account Number and Document ID listed above. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

Corrected Forms 1099 - Where applicable, Corrected Forms 1099 will be accompanied by a new summary page, highlighting the differences from the previous version of Form 1099 you received. This page will allow you to see the sections and amounts that are changing on your form, without the need to review the entire document. However, the individual changes will still be annotated with a "C" in the Corrected Form 1099.

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC
2023

Summary of Changes from Previous to Current Statement 2023

Account 126264209

03/11/2024 AMENDED

Statement Date	02/12/2024	03/11/2024	
Recipient's ID No.	XXX-XX-8472	XXX-XX-8472	Change
<u>DIVIDENDS AND DISTRIBUTIONS</u> 2023 1099-DIV*			
1b- Qualified dividends	719.55	39.30	-680.25
<u>SALES TRANSACTIONS</u> 2023 1099-B			
<i>Proceeds, gains losses and adjustments</i>			
Short Term Gain/Loss - A (basis reported to the IRS)			
Cost Basis	66,439.39	66,435.87	-3.52
Net Gain or Loss(-)	8,684.21	8,687.73	3.52

Robinhood Markets Inc. as agent for Robinhood Securities LLC 85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700 PAYER'S TIN: 46-4364776	Tax Information Account 126264209	Statement Date: 03/11/2024 AMENDED	2023
	Rajini kanth Shanmugam 1216 Horsetail Drive Little Elm, TX 75068 RECIPIENT'S TIN: XXX-XX-8472		

11 - [] FATCA filing requirement (see instructions) 13 - [] FATCA filing requirement (see instructions)

DIVIDENDS AND DISTRIBUTIONS 2023 1099-DIV* OMB No. 1545-0110	MISCELLANEOUS INFORMATION 2023 1099-MISC* OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e) 832.80 C 1b- Qualified dividends 39.30 C 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.09 2b- Unrecaptured Section 1250 gain 0.00 2c- Section 1202 gain 0.00 2d- Collectibles (28%) gain 0.00 2e- Section 897 ordinary dividends 0.00 2f- Section 897 capital gain 0.00 3- Nondividend distributions 4.08 4- Federal income tax withheld 0.00 5- Section 199A dividends 104.72 6- Investment expenses 0.00 8- Foreign country or US possession: 7- Foreign tax paid: 0.00 9- Cash liquidation distributions 0.00 10- Noncash liquidation distributions 0.00 12- Exempt-interest dividends (includes line 13) 0.00 13- Specified private activity bond interest dividends (AMT) 0.00	2- Royalties 0.00 3- Other income 0.00 4- Federal income tax withheld 0.00 8- Substitute payments in lieu of dividends or interest 0.00 SECTION 1256 CONTRACTS 2023 1099-B* OMB No. 1545-0715 8- Profit or (loss) realized in 2023 on closed contracts 0.00 9- Unrealized profit or (loss) on open contracts-12/31/2022 0.00 10- Unrealized profit or (loss) on open contracts-12/31/2023 0.00 11- Aggregate profit or (loss) on contracts 0.00 <i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	73,221.41	66,435.87	0.00	1,902.19	8,687.73 C
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	73,221.41	66,435.87	0.00	1,902.19	8,687.73
Long	D (basis reported to the IRS)	13,592.73	29,611.43	0.00	8,657.64	-7,361.06
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	13,592.73	29,611.43	0.00	8,657.64	-7,361.06
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	86,814.14	96,047.30	0.00	10,559.83	1,326.67

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Robinhood Markets Inc. as agent for
 Robinhood Securities LLC
 2023

Summary Information
 (continued)

Account 126264209

03/11/2024 AMENDED

INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	4.80
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 126264209

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

03/11/2024 AMENDED

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
01/11/23	10.000	46.42	01/06/23	38.90	...	7.52	Sale ²⁵
02/02/23	5.000	30.50	01/31/23	25.33	...	5.17	Sale ²⁵
02/06/23	5.000	33.50	02/06/23	32.50	...	1.00	Sale ²⁵
03/28/23	10.000	45.40	03/14/23	45.20	...	0.20	Sale ²⁵
07/21/23	50.000	345.50	Various	273.89	...	71.61	Total of 7 transactions
	Security total:	501.32		415.82	...	85.50	
AMTD DIGITAL INC. AMERICAN DEP OSITARY SHARES (EVERY FIVE OF WHICH REPRESENT TWO CLASS A OR / CUSIP: 00180N101 / Symbol:							
08/22/23	75.000	555.01	Various	523.92	...	31.09	Total of 3 transactions
08/22/23	10.000	69.15	08/22/23	82.40	13.25 W	0.00	Sale ²⁵
	Security total:	624.16		606.32	13.25 W	31.09	
A.P. MOLLER-MAERSK A/S UNSPONS ORED AMERICAN DEPOSITORY RECEI PT (DENMARK) / CUSIP: 00202F102 / Symbol:							
12/28/23	25.000	221.50	12/15/23	215.00	...	6.50	Sale ²⁵

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 126264209

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

03/11/2024 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AKARI THERAPEUTICS PLC ADS / CUSIP: 00972G207 / Symbol:							
08/17/23	72.500	224.38	Various	296.87	...	-72.49	Total of 8 transactions
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
02/02/23	7.000	752.47	Various	624.39	...	128.08	Total of 5 transactions
02/02/23	3.000	322.50	Various	359.63	37.13 W	0.00	Total of 3 transactions
04/27/23	11.000	1,286.59	Various	1,134.21	...	152.38	Total of 9 transactions
04/27/23	1.000	117.80	02/03/23	130.29	12.49 W	0.00	Sale ²⁵
05/16/23	4.000	453.08	Various	458.95	...	-5.87	Total of 4 transactions
07/14/23	1.000	135.63	06/26/23	127.98	...	7.65	Sale ²⁵
	Security total:	3,068.07		2,835.45	49.62 W	282.24	
APA CORPORATION COMMON STOCK / CUSIP: 03743Q108 / Symbol:							
08/03/23	25.000	1,034.82	Various	952.30	...	82.52	Total of 10 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
08/03/23	1.000	188.38	08/03/23	191.42	...	-3.04	Sale ²⁵
ARROWHEAD PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 04280A100 / Symbol:							
01/31/23	10.000	349.29	Various	335.82	...	13.47	Total of 3 transactions
01/31/23	10.000	349.29	01/20/23	352.00	1.36 W	-1.35	Sale ²⁵
04/17/23	7.000	218.83	Various	200.60	...	18.23	Total of 4 transactions
04/17/23	3.000	93.78	02/06/23	100.99	7.21 W	0.00	Sale ²⁵
	Security total:	1,011.19		989.41	8.57 W	30.35	
ASANA, INC. CLASS A COMMON STOCK / CUSIP: 04342Y104 / Symbol:							
07/31/23	30.000	736.50	Various	669.03	...	67.47	Total of 2 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
01/26/23	3.000	636.31	Various	627.37	...	8.94	Total of 2 transactions
01/26/23	2.000	424.21	01/24/23	425.60	1.39 W	0.00	Sale ²⁵
02/01/23	1.000	218.61	01/30/23	208.81	...	9.80	Sale ²⁵
02/01/23	4.000	858.14	Various	862.75	4.61 W	0.00	Total of 2 transactions
02/14/23	5.000	1,095.90	Various	1,076.89	...	19.01	Total of 3 transactions
06/12/23	2.000	443.09	02/15/23	432.98	...	10.11	Sale ²⁵
	Security total:	3,676.26		3,634.40	6.00 W	47.86	

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 126264209

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

03/11/2024 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BROADMARK REALTY CAPITAL INC. COMMON STOCK / CUSIP: 11135B100 / Symbol:							
03/09/23	25.000	124.63	Various	107.36	...	17.27	Total of 4 transactions
C3.AI, INC. / CUSIP: 12468P104 / Symbol:							
03/06/23	4.000	117.45	03/03/23	113.52	...	3.93	Sale ²⁵
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
01/11/23	20.000	196.70	Various	163.28	...	33.42	Total of 2 transactions
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
01/23/23	8.000	297.12	Various	265.59	...	31.53	Total of 2 transactions
01/23/23	2.000	74.28	09/29/22	86.48	12.20 W	0.00	Sale ²⁵
01/24/23	8.000	234.64	Various	224.63	...	10.01	Total of 2 transactions
01/24/23	2.000	58.66	01/24/23	67.76	9.10 W	0.00	Sale ²⁵
01/30/23	2.000	55.83	01/25/23	51.71	...	4.12	Sale ²⁵
01/30/23	2.000	55.83	01/25/23	63.82	7.99 W	0.00	Sale ²⁵
02/02/23	2.000	64.60	02/01/23	65.45	0.85 W	0.00	Sale ²⁵
12/15/23	30.000	912.15	Various	706.22	...	205.93	Total of 8 transactions
12/15/23	3.000	91.21	02/08/23	93.72	2.51 W	0.00	Sale ²⁵
	Security total:	1,844.32		1,625.38	32.65 W	251.59	
CHEVRON CORPORATION / CUSIP: 166764100 / Symbol:							
12/28/23	2.000	300.44	Various	349.17	...	-48.73	Total of 2 transactions
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
12/05/23	25.000	91.74	Various	83.10	...	8.64	Total of 2 transactions
EPAM SYSTEMS, INC. / CUSIP: 29414B104 / Symbol:							
06/14/23	1.000	221.20	06/12/23	220.23	...	0.97	Sale ²⁵
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
03/03/23	1.000	112.82	01/24/23	111.17	...	1.65	Sale ²⁵
FIRST REP BK SAN FRANCISCO CAL IF NEW COM / CUSIP: 33616C100 / Symbol:							
03/21/23	4.000	66.85	Various	60.86	...	5.99	Total of 2 transactions
04/25/23	2.000	16.26	Various	33.34	...	-17.08	Total of 2 transactions
	Security total:	83.11		94.20	...	-11.09	

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 126264209

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

03/11/2024 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
02/06/23	2.000	47.56	02/03/23	45.10	...	2.46	Sale ²⁵
GUARDION HEALTH SCIENCES, INC. COMMON STOCK / CUSIP: 40145Q500 / Symbol:							
03/28/23	5.000	39.25	03/28/23	34.50	...	4.75	Sale ²⁵
IRSA INVERSIONES Y REPRESENTACIONES S.A. GLOBAL DEPOSITARY SHARES / CUSIP: 450047204 / Symbol:							
06/16/23	15.000	106.28	Various	101.75	...	4.53	Total of 6 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V205 / Symbol:							
08/25/23	11.200	43.49	Various	177.94	...	-134.45	Total of 5 transactions
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
02/03/23	10.000	118.12	01/27/23	127.30	...	-9.18	Sale ²⁵
LUMEN TECHNOLOGIES, INC. / CUSIP: 550241103 / Symbol:							
02/07/23	5.000	21.20	Various	25.54	...	-4.34	Total of 2 transactions
MARATHON DIGITAL HOLDINGS, INC. COMMON STOCK / CUSIP: 565788106 / Symbol:							
01/11/23	40.000	233.67	Various	166.00	...	67.67	Total of 7 transactions
01/12/23	10.000	56.01	01/11/23	53.27	...	2.74	Sale ²⁵
01/23/23	10.000	81.00	01/20/23	75.30	...	5.70	Sale ²⁵
01/24/23	10.000	86.20	01/23/23	83.60	...	2.60	Sale ²⁵
04/14/23	75.000	852.73	Various	575.94	...	276.79	Total of 9 transactions
07/03/23	50.000	745.20	Various	537.30	...	207.90	Total of 9 transactions
07/14/23	50.000	973.99	Various	873.60	...	100.39	Total of 5 transactions
12/28/23	60.000	1,682.07	Various	982.46	...	699.61	Total of 11 transactions
	Security total:	4,710.87		3,347.47	...	1,363.40	
MARKER THERAPEUTICS, INC. COMMON STOCK / CUSIP: 57055L206 / Symbol:							
02/06/23	5.000	13.10	02/03/23	13.75	...	-0.65	Sale ²⁵
MARQETA, INC. CLASS A COMMON STOCK / CUSIP: 57142B104 / Symbol:							
02/02/23	25.000	183.38	Various	167.10	...	16.28	Total of 2 transactions
MISSFRESH LTD AMERICAN DEPOSITARY SHARES - SPONSORED / CUSIP: 60500F204 / Symbol:							
05/01/23	25.000	24.00	04/28/23	30.88	...	-6.88	Sale ²⁵

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 126264209

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

03/11/2024 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEKTAR THERAPEUTICS COMMON STO CK / CUSIP: 640268108 / Symbol:							
02/06/23	5.000	15.30	Various	15.41	...	-0.11	Total of 2 transactions
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
01/13/23	3.000	1,004.97	Various	1,294.52	289.55 W	0.00	Total of 2 transactions
01/24/23	3.000	1,087.32	Various	775.24	...	312.08	Total of 3 transactions
02/01/23	2.000	726.15	02/01/23	729.44	...	-3.29	Sale ²⁵
02/01/23	1.000	363.07	01/26/23	401.73	38.66 W	0.00	Sale ²⁵
02/16/23	1.000	360.80	02/10/23	391.79	...	-30.99	Sale ²⁵
	Security total:	3,542.31		3,592.72	328.21 W	277.80	
RITHM CAPITAL CORP. / CUSIP: 64828T201 / Symbol:							
08/18/23	15.000	144.60	Various	131.47	...	13.13	Total of 4 transactions
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
02/03/23	6.000	1,262.97	Various	993.66	...	269.31	Total of 5 transactions
02/03/23	4.000	841.98	Various	991.27	149.29 W	0.00	Total of 3 transactions
02/09/23	1.000	222.26	02/07/23	219.70	...	2.56	Sale ²⁵
02/09/23	4.000	889.04	Various	1,024.33	135.29 W	0.00	Total of 4 transactions
02/14/23	1.000	223.96	02/10/23	262.15	38.19 W	0.00	Sale ²⁵
02/23/23	4.000	947.11	Various	1,021.30	...	-74.19	Total of 4 transactions
	Security total:	4,387.32		4,512.41	322.77 W	197.68	
OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol:							
01/11/23	2.000	128.48	12/28/22	124.37	...	4.11	Sale ²⁵
08/04/23	7.000	447.41	Various	438.13	...	9.28	Total of 4 transactions
	Security total:	575.89		562.50	...	13.39	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
08/18/23	200.000	88.60	Various	216.12	127.52 W	0.00	Total of 7 transactions
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347Y839 / Symbol:							
01/03/23	10.000	69.40	01/03/23	68.50	...	0.90	Sale ²⁵
01/03/23	40.000	277.59	Various	284.12	6.53 W	0.00	Total of 3 transactions
01/04/23	25.000	166.38	01/04/23	175.55	9.17 W	0.00	Sale ²⁵
01/05/23	10.000	66.55	Various	66.20	...	0.35	Total of 2 transactions
02/21/23	100.000	543.06	Various	512.28	...	30.78	Total of 8 transactions

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 126264209

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

03/11/2024 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347Y839 / Symbol: (cont'd)							
02/21/23	60.000	330.12	Various	383.55	53.43 W	0.00	Total of 8 transactions
02/24/23	25.000	140.00	Various	134.00	...	6.00	Total of 2 transactions
02/24/23	25.000	140.00	Various	159.83	19.83 W	0.00	Total of 4 transactions
03/10/23	33.000	204.37	Various	166.67	...	37.70	Total of 4 transactions
03/10/23	10.000	61.93	Various	66.19	4.26 W	0.00	Total of 2 transactions
03/13/23	5.000	35.27	03/10/23	32.86	...	2.41	Sale ²⁵
03/15/23	15.000	106.37	Various	97.30	...	9.07	Total of 5 transactions
	Security total:	2,141.04		2,147.05	93.22 W	87.21	
QUHUO LIMITED AMERICAN DEPOSIT ORY SHARES / CUSIP: 74841Q209 / Symbol:							
05/01/23	100.000	380.18	Various	311.00	...	69.18	Total of 2 transactions
05/05/23	80.000	154.39	Various	287.94	...	-133.55	Total of 6 transactions
	Security total:	534.57		598.94	...	-64.37	
READY CAPITAL CORPORATION / CUSIP: 75574U101 / Symbol:							
08/11/23	10.808	119.34	Various	105.84	...	13.50	Total of 3 transactions
11/09/23	1.000	9.85	03/14/23	9.67	...	0.18	Sale ²⁵
	Security total:	129.19		115.51	...	13.68	
RIOT PLATFORMS, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
01/11/23	50.000	280.08	Various	209.34	...	70.74	Total of 8 transactions
01/12/23	10.000	53.92	01/11/23	53.70	...	0.22	Sale ²⁵
01/23/23	10.000	62.30	01/20/23	58.20	...	4.10	Sale ²⁵
03/15/23	45.000	303.75	Various	280.04	...	23.71	Total of 5 transactions
03/15/23	25.000	168.74	02/01/23	182.50	13.76 W	0.00	Sale ²⁵
03/20/23	8.000	68.00	Various	61.95	...	6.05	Total of 2 transactions
03/23/23	5.000	46.25	03/21/23	48.40	2.15 W	0.00	Sale ²⁵
04/14/23	18.000	236.88	Various	195.05	...	41.83	Total of 8 transactions
04/14/23	7.000	92.12	Various	93.27	1.15 W	0.00	Total of 2 transactions
07/14/23	50.000	1,013.00	Various	615.71	...	397.29	Total of 12 transactions
12/28/23	25.000	438.58	Various	365.38	...	73.20	Total of 5 transactions
12/28/23	40.000	701.73	Various	754.30	52.57 W	0.00	Total of 7 transactions
	Security total:	3,465.35		2,917.84	69.63 W	617.14	

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 126264209

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

03/11/2024 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
09/11/23	2.000	47.62	08/24/23	41.00	...	6.62	Sale ²⁵
SNAP INC. / CUSIP: 83304A106 / Symbol:							
02/01/23	25.000	250.00	Various	245.00	...	5.00	Total of 2 transactions
SOS LIMITED AMERICAN DEPOSITAR Y SHARES, EACH REPRESENTING TE N (10) CLASS A ORDINARY SHARES / CUSIP: 83587W205 / Symbol:							
08/21/23	100.000	638.98	Various	578.82	...	60.16	Total of 2 transactions
08/22/23	10.000	68.20	08/22/23	83.75	...	-15.55	Sale ²⁵
08/22/23	50.000	347.50	08/21/23	415.00	13.50 W	-54.00	Sale ²⁵
	Security total:	1,054.68		1,077.57	13.50 W	-9.39	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
02/03/23	10.000	1,931.71	Various	1,318.63	...	613.08	Total of 10 transactions
02/03/23	5.000	965.85	Various	1,423.13	457.28 W	0.00	Total of 5 transactions
02/09/23	3.000	618.27	Various	835.89	...	-217.62	Total of 3 transactions
02/09/23	2.000	412.18	Various	600.06	187.88 W	0.00	Total of 2 transactions
02/16/23	1.000	215.44	02/10/23	287.00	...	-71.56	Sale ²⁵
03/31/23	1.000	205.77	02/17/23	296.55	90.78 W	0.00	Sale ²⁵
04/12/23	1.000	188.06	04/06/23	275.56	...	-87.50	Sale ²⁵
06/20/23	1.000	265.55	06/14/23	261.30	...	4.25	Sale ²⁵
07/03/23	1.000	277.87	06/30/23	261.73	...	16.14	Sale ²⁵
09/11/23	1.000	272.49	08/23/23	243.54	...	28.95	Sale ²⁵
	Security total:	5,353.19		5,803.39	735.94 W	285.74	
TREVI THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 89532M101 / Symbol:							
02/06/23	5.000	13.50	02/03/23	14.25	...	-0.75	Sale ²⁵
UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol:							
06/23/23	80.000	2,470.36	Various	1,590.05	...	880.31	Total of 14 transactions
06/23/23	10.000	308.80	Various	339.95	31.15 W	0.00	Total of 2 transactions
07/03/23	85.000	3,215.92	Various	1,540.21	...	1,675.71	Total of 14 transactions
07/11/23	5.000	210.34	07/10/23	203.05	...	7.29	Sale ²⁵
07/14/23	25.000	1,199.48	Various	1,112.45	...	87.03	Total of 2 transactions
	Security total:	7,404.90		4,785.71	31.15 W	2,650.34	

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Robinhood Markets Inc. as agent for
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Account 126264209

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

03/11/2024 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VIKING THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 92686J106 / Symbol:							
01/13/23	100.000	899.96	Various	889.41	...	10.55	Total of 9 transactions
02/02/23	26.000	235.89	Various	224.62	...	11.27	Total of 5 transactions
02/02/23	5.000	45.36	01/19/23	46.05	0.69 W	0.00	Sale ²⁵
02/09/23	20.000	200.90	Various	190.91	...	9.99	Total of 6 transactions
03/15/23	25.000	272.00	Various	265.42	...	6.58	Total of 3 transactions
03/28/23	15.000	198.00	Various	163.53	...	34.47	Total of 5 transactions
03/28/23	5.000	66.00	03/28/23	68.70	2.70 W	0.00	Sale ²⁵
03/29/23	10.000	159.60	Various	151.03	...	8.57	Total of 3 transactions
04/20/23	35.000	738.49	Various	690.57	...	47.92	Total of 7 transactions
06/14/23	1.000	23.20	04/20/23	21.19	...	2.01	Sale ²⁵
12/28/23	46.000	876.85	Various	809.05	...	67.80	Total of 14 transactions
12/28/23	4.000	76.25	04/20/23	82.35	3.05 W	-3.05	Sale ²⁵
	Security total:	3,792.50		3,602.83	6.44 W	196.11	
WESTERN ALLIANCE BANCORPORATIO N / CUSIP: 957638109 / Symbol:							
03/21/23	5.000	168.05	03/15/23	161.35	...	6.70	Sale ²⁵
03/21/23	5.000	168.05	03/15/23	170.66	0.52 W	-2.09	Sale ²⁵
03/28/23	1.000	34.25	03/21/23	34.69	...	-0.44	Sale ²⁵
	Security total:	370.35		366.70	0.52 W	4.17	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
12/22/23	50.000	18.63	Various	89.64	...	-71.01	Total of 2 transactions
XTANT MEDICAL HOLDINGS, INC. / CUSIP: 98420P308 / Symbol:							
08/15/23	300.000	350.95	Various	203.47	...	147.48	Total of 4 transactions
HITEK GLOBAL INC. CLASS A ORDINARY SHARE / CUSIP: G45139105 / Symbol:							
07/20/23	300.000	1,764.87	Various	1,710.00	...	54.87	Total of 3 transactions
07/31/23	50.000	506.99	Various	393.00	...	113.99	Total of 2 transactions
08/11/23	200.000	2,592.94	Various	2,260.00	...	332.94	Total of 3 transactions
08/17/23	275.000	4,826.92	Various	4,007.54	...	819.38	Total of 12 transactions
08/18/23	50.000	1,199.99	Various	988.38	...	211.61	Total of 2 transactions
08/22/23	130.000	3,580.35	Various	3,384.45	...	195.90	Total of 4 transactions
08/23/23	10.000	320.20	08/23/23	361.30	41.10 W	0.00	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

03/11/2024 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HITEK GLOBAL INC. CLASS A ORDINARY SHARE / CUSIP: G45139105 / Symbol: (cont'd)							
08/25/23	5.000	153.95	Various	198.15	...	-44.20	Total of 2 transactions
08/25/23	10.000	307.90	08/23/23	381.55	22.10 W	-51.55	Sale ²⁵
	Security total:	15,254.11		13,684.37	63.20 W	1,632.94	
HUADI INTERNATIONAL GROUP CO., LTD. ORDINARY SHARES / CUSIP: G4645E105 / Symbol:							
04/28/23	10.000	94.60	04/28/23	61.40	...	33.20	Sale ²⁵
05/01/23	10.000	56.22	Various	98.14	...	-41.92	Total of 2 transactions
	Security total:	150.82		159.54	...	-8.72	
MYOVANT SCIENCES LTD. / CUSIP: G637AM102 / Symbol:							
02/09/23	5.000	134.55	01/23/23	134.48	...	0.07	Sale ²⁵
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
02/07/23	35.000	605.94	Various	551.62	...	54.32	Total of 7 transactions
STONECO LTD. CLASS A COMMON SHARES / CUSIP: G85158106 / Symbol:							
11/14/23	135.000	1,718.51	Various	1,330.25	...	388.26	Total of 17 transactions
YS BIOPHARMA CO., LTD. ORDINARY SHARES / CUSIP: G9845F109 / Symbol:							
03/31/23	10.000	21.10	03/29/23	26.00	...	-4.90	Sale ²⁵
TOP FINANCIAL GROUP LIMITED ORDINARY SHARES / CUSIP: G989A6102 / Symbol:							
04/27/23	2.000	430.62	04/27/23	375.50	...	55.12	Sale ²⁵
04/28/23	2.000	450.80	04/28/23	345.84	...	104.96	Sale ²⁵
05/08/23	5.000	55.11	05/05/23	53.44	...	1.67	Sale ²⁵
08/18/23	100.000	649.98	Various	645.90	...	4.08	Total of 4 transactions
	Security total:	1,586.51		1,420.68	...	165.83	
SCISPARC LTD. ORDINARY SHARES / CUSIP: M82618105 / Symbol:							
05/08/23	30.000	18.34	05/08/23	20.40	...	-2.06	Sale ²⁵
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
01/11/23	5.000	295.70	Various	261.26	...	34.44	Total of 4 transactions
02/02/23	1.000	69.10	01/25/23	62.98	...	6.12	Sale ²⁵
	Security total:	364.80		324.24	...	40.56	
Totals :		73,221.41		66,435.87	1,902.19 W	8,687.73	

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Account 126264209

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

03/11/2024 AMENDED

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AKARI THERAPEUTICS PLC ADS / CUSIP: 00972G207 / Symbol:							
08/17/23	27.500	85.11	Various	960.03	...	-874.92	Total of 32 transactions
AYRO, INC. COMMON STOCK / CUSIP: 054748207 / Symbol:							
09/21/23	38.000	96.52	Various	1,431.12	...	-1,334.60	Total of 22 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
01/05/23	1.000	203.21	12/27/22	202.66	...	0.55	Sale ²⁵
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
12/15/23	2.000	60.81	Various	62.99	2.18 W	0.00	Total of 2 transactions
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
12/05/23	110.000	403.70	Various	1,129.66	...	-725.96	Total of 17 transactions
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
11/28/23	300.000	121.88	Various	1,485.44	...	-1,363.56	Total of 30 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V205 / Symbol:							
08/25/23	4.800	18.67	Various	933.06	...	-914.39	Total of 29 transactions
MATTERPORT, INC. CLASS A COMMON STOCK / CUSIP: 577096100 / Symbol:							
12/22/23	125.000	353.73	Various	1,208.70	...	-854.97	Total of 17 transactions
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
01/24/23	2.000	724.88	Various	823.13	98.25 W	0.00	Total of 2 transactions
02/01/23	2.000	726.15	Various	827.69	101.54 W	0.00	Total of 2 transactions
04/03/23	2.000	686.33	Various	766.98	...	-80.65	Total of 2 transactions
	Security total:	2,137.36		2,417.80	199.79 W	-80.65	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
08/18/23	300.000	132.93	Various	2,224.07	2,091.14 W	0.00	Total of 18 transactions
UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol:							
05/25/23	15.000	350.25	Various	1,686.90	1,336.65 W	0.00	Total of 7 transactions
06/23/23	10.000	308.80	Various	616.67	307.87 W	0.00	Total of 4 transactions
07/03/23	15.000	567.53	Various	1,538.30	970.77 W	0.00	Total of 7 transactions
07/11/23	5.000	210.35	Various	206.71	...	3.64	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 126264209

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

03/11/2024 AMENDED

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol: (cont'd)							
07/11/23	20.000	841.39	Various	1,792.68	951.29 W	0.00	Total of 7 transactions
07/14/23	25.000	1,199.50	Various	2,338.19	1,138.69 W	0.00	Total of 11 transactions
07/17/23	10.000	506.93	Various	1,056.33	549.40 W	0.00	Total of 6 transactions
07/19/23	5.000	291.89	07/17/23	273.00	...	18.89	Sale ²⁵
07/19/23	20.000	1,167.59	Various	2,147.99	980.40 W	0.00	Total of 11 transactions
	Security total:	5,444.23		11,656.77	6,235.07 W	22.53	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
12/22/23	175.000	65.13	Various	1,310.85	...	-1,245.72	Total of 31 transactions
WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
01/11/23	9.000	875.94	Various	806.29	...	69.65	Total of 8 transactions
01/11/23	1.000	97.33	12/08/22	99.20	1.87 W	0.00	Sale ²⁵
02/02/23	1.000	101.30	01/25/23	99.53	...	1.77	Sale ²⁵
	Security total:	1,074.57		1,005.02	1.87 W	71.42	
XTANT MEDICAL HOLDINGS, INC. / CUSIP: 98420P308 / Symbol:							
08/11/23	100.000	120.54	Various	333.51	...	-212.97	Total of 12 transactions
08/15/23	600.000	701.84	Various	547.98	...	153.86	Total of 16 transactions
	Security total:	822.38		881.49	...	-59.11	
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
02/07/23	10.000	173.12	Various	183.29	...	-10.17	Total of 2 transactions
02/07/23	10.000	173.11	Various	257.43	84.32 W	0.00	Total of 6 transactions
06/09/23	10.000	170.72	Various	253.15	...	-82.43	Total of 6 transactions
	Security total:	516.95		693.87	84.32 W	-92.60	
STONECO LTD. CLASS A COMMON SH ARES / CUSIP: G85158106 / Symbol:							
08/03/23	30.000	414.08	Various	532.84	...	-118.76	Total of 5 transactions
08/03/23	10.000	138.03	11/18/21	211.10	36.53 W	-36.54	Sale ²⁵
08/15/23	50.000	675.99	Various	602.64	...	73.35	Total of 9 transactions
11/14/23	60.000	763.80	Various	563.99	...	199.81	Total of 9 transactions
11/14/23	5.000	63.65	07/19/23	97.33	6.74 W	-26.94	Sale ²⁵
	Security total:	2,055.55		2,007.90	43.27 W	90.92	
Totals :		13,592.73		29,611.43	8,657.64 W	-7,361.06	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**Robinhood Markets Inc. as agent for
Robinhood Securities LLC**
2023

Account 126264209

Detail for Dividends and Distributions

03/11/2024 AMENDED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, Section 897 dividends and capital gains, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries, The IRS has indicated that boxes 2e and 2f are not applicable to recipients that are U.S. individuals. You should consult your tax advisor for further information.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ANNALY CAPITAL MANAGEMENT. INC .	035710839		04/28/23	12.91	Section 199A dividend	03
			04/28/23	0.09	Qualified dividend	03
			07/31/23	16.14	Section 199A dividend	03
			07/31/23	0.11	Qualified dividend	03
			10/31/23	16.14	Section 199A dividend	03
			10/31/23	0.11	Qualified dividend	03
			01/31/24	16.14	Section 199A dividend	03
			01/31/24	0.11	Qualified dividend	03
			61.75	Total Dividends & distributions		
APA CORPORATION COMMON STOCK	03743Q108		05/22/23	2.50	Qualified dividend	
			08/22/23	6.25	Qualified dividend	
				8.75	Total Dividends & distributions	
BROADMARK REALTY CAPITAL INC. COMMON STOCK	11135B100		03/15/23	0.88	Nondividend distribution	03
			04/14/23	0.88	Nondividend distribution	03
			05/15/23	0.88	Nondividend distribution	03
			05/30/23	0.88	Nondividend distribution	03
				3.52	Total Dividends & distributions	
CHEVRON CORPORATION	166764100		03/10/23	3.02	Qualified dividend	
			06/12/23	3.02	Qualified dividend	
			09/11/23	3.02	Qualified dividend	
			12/11/23	3.02	Qualified dividend	
				12.08	Total Dividends & distributions	
EXXON MOBIL CORPORATION	30231G102		03/10/23	0.91	Qualified dividend	

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 126264209

Detail for Dividends and Distributions

2023

(continued)

03/11/2024 AMENDED

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
IRSA INVERSIONES Y REPRESENTACIONES S.A. GLOBAL DEPOSITARY SHARES	450047204		06/12/23	8.53	Nonqualified dividend	
INVESTCORP CREDIT MANAGEMENT B DC, INC. COMMON STOCK	46090R104		03/30/23	150.00	Nonqualified dividend	03 C
			07/07/23	180.00	Nonqualified dividend	03 C
			11/02/23	172.50	Nonqualified dividend	03 C
			01/08/24	177.75	Nonqualified dividend	03 C
				680.25	Total Dividends & distributions	
RITHM CAPITAL CORP.	64828T201		04/28/23	2.50	Section 199A dividend	03
			07/28/23	3.75	Section 199A dividend	03
				6.25	Total Dividends & distributions	
NVIDIA CORPORATION COMMON STOCK	67066G104		09/28/23	0.20	Qualified dividend	
			12/28/23	0.20	Qualified dividend	
				0.40	Total Dividends & distributions	
OCCIDENTAL PETROLEUM CORPORATION	674599105		04/17/23	1.26	Qualified dividend	
			07/14/23	1.26	Qualified dividend	
				2.52	Total Dividends & distributions	
READY CAPITAL CORPORATION	75574U101		07/31/23	1.07	Section 199A dividend	03
			07/31/23	0.46	Nondividend distribution	03
			07/31/23	0.07	Long-term capital gain	03
			07/31/23	0.05	Qualified dividend	03
			10/31/23	0.23	Section 199A dividend	03
			10/31/23	0.10	Nondividend distribution	03
			10/31/23	0.02	Long-term capital gain	03
			10/31/23	0.01	Qualified dividend	03
				2.01	Total Dividends & distributions	
TWO HARBORS INVESTMENT CORP.	90187B804		04/28/23	11.03	Section 199A dividend	03
			04/28/23	3.97	Qualified dividend	03
			07/28/23	11.58	Section 199A dividend	03
			07/28/23	4.17	Qualified dividend	03
			10/27/23	13.23	Section 199A dividend	03
			10/27/23	4.77	Qualified dividend	03
				48.75	Total Dividends & distributions	
WYNN RESORTS, LIMITED COMMON STOCK	983134107		11/30/23	1.25	Qualified dividend	

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
2023

Detail for Dividends and Distributions
(continued)

Account 126264209

03/11/2024 AMENDED

836.97 Total Dividends & distributions

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 126264209

Foreign Income and Taxes Summary

2023

03/11/2024 AMENDED

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
AR	IRSA INVERSIONES Y REPRESENTACIONES S.A. GLOBAL DEPOSITARY SHARES	450047204	8.53	0.00		0.00	8.53	0.00
Total ARGENTINA			8.53	0.00		0.00	8.53	0.00
CA	INTEREST PAYMENT		0.00	0.00		0.45	0.45	0.00
Total CANADA			0.00	0.00		0.45	0.45	0.00
UK	INTEREST PAYMENT		0.00	0.00		0.01	0.01	0.00
Total UNITED KINGDOM			0.00	0.00		0.01	0.01	0.00
Grand Total	Total Foreign Source Income*					8.99		
	Qualified Foreign Source Income**					0.00		
	Foreign Tax					0.00		

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
2023

Non-Reportable Actions

Account 126264209

03/11/2024 AMENDED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AMC ENTERTAINMENT HOLDINGS, IN C.	00165C302	08/28/23	2.00	0.00	Stock dividend	
BROADMARK REALTY CAPITAL INC. COMMON STOCK	11135B100	05/31/23	-25.00	0.00	Merger	
READY CAPITAL CORPORATION	75574U101	05/31/23	11.81	0.00	Merger	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 12. See the Instructions for Form 6251.

Lines 14-16. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a

constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show

Instructions for Recipient

the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC 85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700 PAYER'S TIN: 46-4364776	Tax Information Account 126264209C Rajini kanth Shanmugam 1216 Horsetail Drive Little Elm, TX 75068 RECIPIENT'S TIN: XXX-XX-8472	Statement Date: 02/12/2024	2023
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Summary Information 11 - [] FATCA filing requirement (see instructions) 13 - [] FATCA filing requirement (see instructions)

DIVIDENDS AND DISTRIBUTIONS 2023 1099-DIV* OMB No. 1545-0110	MISCELLANEOUS INFORMATION 2023 1099-MISC* OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00 1b- Qualified dividends 0.00 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00 2b- Unrecaptured Section 1250 gain 0.00 2c- Section 1202 gain 0.00 2d- Collectibles (28%) gain 0.00 2e- Section 897 ordinary dividends 0.00 2f- Section 897 capital gain 0.00 3- Nondividend distributions 0.00 4- Federal income tax withheld 0.00 5- Section 199A dividends 0.00 6- Investment expenses 0.00 8- Foreign country or US possession: 0.00 7- Foreign tax paid: 0.00 9- Cash liquidation distributions 0.00 10- Noncash liquidation distributions 0.00 12- Exempt-interest dividends (includes line 13) 0.00 13- Specified private activity bond interest dividends (AMT) 0.00	2- Royalties 0.00 3- Other income 0.99 4- Federal income tax withheld 0.00 8- Substitute payments in lieu of dividends or interest 0.00 SECTION 1256 CONTRACTS 2023 1099-B* OMB No. 1545-0715 8- Profit or (loss) realized in 2023 on closed contracts 0.00 9- Unrealized profit or (loss) on open contracts-12/31/2022 0.00 10- Unrealized profit or (loss) on open contracts-12/31/2023 0.00 11- Aggregate profit or (loss) on contracts 0.00 <i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i> * This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	4,835.39	3,780.04	0.00	0.00	1,055.35
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	4,835.39	3,780.04	0.00	0.00	1,055.35
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	85.37	150.01	0.00	0.00	-64.64
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	85.37	150.01	0.00	0.00	-64.64
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	4,920.76	3,930.05	0.00	0.00	990.71
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Robinhood Markets Inc. as agent for
 Robinhood Crypto LLC
 2023

Summary Information
 (continued)

Account 126264209C
 02/12/2024

INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for
Robinhood Crypto LLC

Account 126264209C

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

02/12/2024

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Avalanche / CUSIP: AVAXUSD / Symbol:							
12/06/23	50.189	1,345.40	Various	837.09	...	508.31	Total of 17 transactions
12/11/23	30.000	1,042.58	Various	880.00	...	162.58	Total of 6 transactions
	Security total:	2,387.98		1,717.09	...	670.89	
Bitcoin / CUSIP: BTCUSD / Symbol:							
01/23/23	0.033087	757.21	Various	700.06	...	57.15	Total of 13 transactions
04/14/23	0.016662	505.16	Various	400.01	...	105.15	Total of 8 transactions
	Security total:	1,262.37		1,100.07	...	162.30	
Ethereum / CUSIP: ETHUSD / Symbol:							
04/14/23	0.529862	1,096.22	Various	775.10	...	321.12	Total of 15 transactions
Polygon / CUSIP: MATICUSD / Symbol:							
06/15/23	149.9978	88.82	Various	187.78	...	-98.96	Total of 4 transactions
Totals :		4,835.39		3,780.04		1,055.35	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Crypto LLC

Account 126264209C

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

LONG TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Bitcoin / CUSIP: BTCUSD / Symbol:							
01/23/23	0.00042051	9.62	11/20/21	25.00	...	-15.38	Sale ²⁵
Ethereum / CUSIP: ETHUSD / Symbol:							
04/14/23	0.036617	75.75	Various	125.01	...	-49.26	Total of 5 transactions
Totals :		85.37		150.01		-64.64	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Crypto LLC

Account 126264209C

Detail for Miscellaneous Information

2023

02/12/2024

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Avalanche	AVAXUSD	01/23/23	0.98	Other income	
US Dollars	USDOLLARS	06/28/23	0.01	Other income	
			0.99	Total Miscellaneous income	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Shows backup withholding or withholding on Indian gaming profits.

Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Line 13. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Lines 16-18. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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