



Deepak Sharma  
7825 Kotz Ct 1121  
Charlotte, NC 28269

**Master Account Number for Import:**  
990180150  
**Document ID for Import:**  
1GCSG41FBK4

**Enclosed is your 2023 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:**

990180150 - Robinhood Securities LLC

**New for 2023 Tax Year** - 1099 tax forms for Robinhood Markets Inc. will be displayed using an aggregated format based on key tax lot criteria. We have done this to reduce the size of your overall tax package, as well as to help make your tax documents easier to use. This new format still provides you with all the information you need to file your taxes.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2023 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

**Filing Your Taxes** - If filing through tax service providers, such as TurboTax or H&R Block, please utilize the Master Account Number and Document ID listed above. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

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<b>Robinhood Markets Inc. as agent for Robinhood Securities LLC</b> 85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700  PAYER'S TIN: 46-4364776	<b>Tax Information</b> <b>Account 990180150</b>  Deepak Sharma 7825 Kotz Ct 1121 Charlotte, NC 28269  RECIPIENT'S TIN: XXX-XX-0007	Statement Date: 02/12/2024	2023
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**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2023 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>12- Exempt-interest dividends (includes line 13)      0.00</p> <p>13- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2023 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2023 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2023 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2022      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2023      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	882,888.13	1,010,383.32	0.00	80,003.93	-47,491.26
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>882,888.13</b>	<b>1,010,383.32</b>	<b>0.00</b>	<b>80,003.93</b>	<b>-47,491.26</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>882,888.13</b>	<b>1,010,383.32</b>	<b>0.00</b>	<b>80,003.93</b>	<b>-47,491.26</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC  
2023

**Summary Information**  
(continued)

Account 990180150  
02/12/2024

**INTEREST INCOME**

2023 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	6.15	
2- Early withdrawal penalty	0.00	
3- Interest on US Savings Bonds & Treasury obligations	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>	
5- Investment expenses	0.00	
7- Foreign country or US possession:	0.00	6- Foreign tax paid:
8- Tax-exempt interest (includes line 9)	0.00	
9- Specified private activity bond interest (AMT)	0.00	
10- Market discount (covered lots)	0.00	
11- Bond premium (covered lots)	0.00	
12- Bond premium on Treasury obligations (covered lots)	0.00	
13- Bond premium on tax-exempt bonds (categorized below)	0.00	
<i>Tax-exempt obligations (covered lots)</i>	0.00	
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00	
14- Tax-exempt and tax credit bond CUSIP number		See detail
FATCA filing requirement [ ]		

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	20.75
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	60.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 990180150

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

02/12/2024

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

##### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AAPL 01/06/2023 CALL \$130.00 / CUSIP: / Symbol:							
01/04/23	4.000	319.98	Various	255.00	...	64.98	Total of 2 transactions
01/05/23	1.000	30.98	01/04/23	73.02	...	-42.04	Option sale to close-call <sup>25</sup>
01/05/23	1.000	30.98	01/04/23	52.00	21.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>381.94</b>		<b>380.02</b>	<b>21.02 W</b>	<b>22.94</b>	
AAPL 01/13/2023 PUT \$133.00 / CUSIP: / Symbol:							
01/10/23	1.000	344.98	01/09/23	274.00	...	70.98	Option sale to close-put <sup>25</sup>
AAPL 02/03/2023 PUT \$149.00 / CUSIP: / Symbol:							
02/03/23	1.000	58.98	02/03/23	114.00	...	-55.02	Option sale to close-put <sup>25</sup>
AAPL 03/03/2023 CALL \$146.00 / CUSIP: / Symbol:							
03/02/23	1.000	39.98	03/02/23	52.00	...	-12.02	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 990180150

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/24/2023 CALL \$160.00 / CUSIP: / Symbol:							
03/20/23	1.000	122.98	03/17/23	78.00	...	44.98	Option sale to close-call <sup>25</sup>
03/21/23	2.000	227.96	Various	194.00	...	33.96	Total of 2 transactions
	<b>Security total:</b>	<b>350.94</b>		<b>272.00</b>	...	<b>78.94</b>	
AAPL 04/14/2023 CALL \$162.50 / CUSIP: / Symbol:							
04/11/23	1.000	110.98	04/10/23	144.00	...	-33.02	Option sale to close-call <sup>25</sup>
AAPL 05/19/2023 CALL \$170.00 / CUSIP: / Symbol:							
05/17/23	3.000	479.98	05/17/23	540.00	...	-60.02	Option sale to close-call <sup>25</sup>
AAPL 06/09/2023 CALL \$180.00 / CUSIP: / Symbol:							
06/07/23	3.000	269.99	Various	313.00	...	-43.01	Total of 2 transactions
06/07/23	2.000	179.99	06/05/23	342.00	162.01 W	0.00	Option sale to close-call <sup>25</sup>
06/08/23	2.000	117.96	Various	348.01	...	-230.05	Total of 2 transactions
	<b>Security total:</b>	<b>567.94</b>		<b>1,003.01</b>	<b>162.01 W</b>	<b>-273.06</b>	
AAPL 06/09/2023 CALL \$182.50 / CUSIP: / Symbol:							
06/06/23	3.000	116.98	Various	414.00	...	-297.02	Total of 3 transactions
AAPL 06/09/2023 PUT \$180.00 / CUSIP: / Symbol:							
06/06/23	1.000	287.98	06/05/23	123.00	...	164.98	Option sale to close-put <sup>25</sup>
AAPL 06/16/2023 PUT \$182.50 / CUSIP: / Symbol:							
06/13/23	2.000	207.98	Various	294.00	...	-86.02	Total of 2 transactions
AAPL 06/23/2023 CALL \$187.50 / CUSIP: / Symbol:							
06/20/23	2.000	163.98	Various	213.00	...	-49.02	Total of 2 transactions
AAPL 06/30/2023 PUT \$190.00 / CUSIP: / Symbol:							
06/28/23	2.000	419.98	Various	517.00	...	-97.02	Total of 2 transactions
AAPL 07/21/2023 CALL \$195.00 / CUSIP: / Symbol:							
07/20/23	10.000	1,139.97	07/19/23	550.00	...	589.97	Option sale to close-call <sup>25</sup>
AAPL 07/28/2023 CALL \$195.00 / CUSIP: / Symbol:							
07/28/23	1.000	53.98	07/27/23	36.00	...	17.98	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/04/2023 CALL \$197.50 / CUSIP: / Symbol:							
08/01/23	1.000	268.98	07/31/23	280.00	...	-11.02	Option sale to close-call <sup>25</sup>
AAPL 08/04/2023 PUT \$192.50 / CUSIP: / Symbol:							
07/31/23	1.000	190.98	07/28/23	185.00	...	5.98	Option sale to close-put <sup>25</sup>
AAPL 08/11/2023 CALL \$182.50 / CUSIP: / Symbol:							
08/08/23	10.000	489.94	Various	656.03	...	-166.09	Total of 5 transactions
08/08/23	3.000	146.97	Various	233.01	86.04 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>636.91</b>		<b>889.04</b>	<b>86.04 W</b>	<b>-166.09</b>	
AAPL 09/08/2023 CALL \$185.00 / CUSIP: / Symbol:							
09/08/23	1.000	0.00	09/06/23	85.00	...	-85.00	Option expiration- long call
AAPL 10/13/2023 CALL \$182.50 / CUSIP: / Symbol:							
10/11/23	5.000	79.98	10/10/23	105.00	...	-25.02	Option sale to close-call <sup>25</sup>
AAPL 10/27/2023 CALL \$172.50 / CUSIP: / Symbol:							
10/25/23	2.000	211.92	10/24/23	322.06	...	-110.14	Option sale to close-call <sup>25</sup>
AAPL 10/27/2023 CALL \$180.00 / CUSIP: / Symbol:							
10/25/23	5.000	14.83	10/24/23	50.15	...	-35.32	Option sale to close-call <sup>25</sup>
ADBE 01/05/2024 CALL \$595.00 / CUSIP: / Symbol:							
12/18/23	2.000	2,799.90	Various	2,040.06	...	759.84	Total of 2 transactions
ADBE 06/23/2023 CALL \$475.00 / CUSIP: / Symbol:							
06/23/23	2.000	701.98	Various	1,317.06	...	-615.08	Total of 2 transactions
06/23/23	5.000	1,690.94	Various	2,685.04	994.10 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>2,392.92</b>		<b>4,002.10</b>	<b>994.10 W</b>	<b>-615.08</b>	
ADBE 06/23/2023 CALL \$480.00 / CUSIP: / Symbol:							
06/22/23	2.000	387.96	Various	1,717.02	...	-1,329.06	Total of 2 transactions
06/22/23	2.000	387.98	Various	1,070.00	682.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>775.94</b>		<b>2,787.02</b>	<b>682.02 W</b>	<b>-1,329.06</b>	
ADBE 06/23/2023 CALL \$490.00 / CUSIP: / Symbol:							
06/21/23	5.000	4,039.95	Various	2,895.00	...	1,144.95	Total of 5 transactions

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 990180150

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADBE 07/21/2023 CALL \$535.00 / CUSIP: / Symbol:							
07/20/23	2.000	193.97	Various	1,135.03	...	-941.06	Total of 2 transactions
07/20/23	3.000	290.97	Various	1,261.01	970.04 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>484.94</b>		<b>2,396.04</b>	<b>970.04 W</b>	<b>-941.06</b>	
ADBE 07/28/2023 CALL \$530.00 / CUSIP: / Symbol:							
07/26/23	2.000	519.98	07/25/23	850.00	...	-330.02	Option sale to close-call <sup>25</sup>
ADBE 08/04/2023 CALL \$535.00 / CUSIP: / Symbol:							
07/25/23	4.000	2,583.96	Various	2,020.00	...	563.96	Total of 2 transactions
ADBE 08/04/2023 PUT \$520.00 / CUSIP: / Symbol:							
07/31/23	1.000	131.98	07/28/23	425.00	...	-293.02	Option sale to close-put <sup>25</sup>
ADBE 08/11/2023 CALL \$545.00 / CUSIP: / Symbol:							
07/25/23	3.000	1,544.94	Various	1,650.00	...	-105.06	Total of 3 transactions
ADBE 10/06/2023 CALL \$510.00 / CUSIP: / Symbol:							
10/04/23	1.000	749.98	10/03/23	450.00	...	299.98	Option sale to close-call <sup>25</sup>
ADBE 10/06/2023 CALL \$515.00 / CUSIP: / Symbol:							
10/06/23	5.000	2,299.97	Various	1,290.00	...	1,009.97	Total of 2 transactions
ADBE 10/13/2023 PUT \$510.00 / CUSIP: / Symbol:							
10/09/23	2.000	959.98	Various	795.00	...	164.98	Total of 2 transactions
ADBE 10/13/2023 PUT \$550.00 / CUSIP: / Symbol:							
10/12/23	1.000	248.98	10/11/23	540.00	...	-291.02	Option sale to close-put <sup>25</sup>
ADBE 10/20/2023 PUT \$540.00 / CUSIP: / Symbol:							
10/17/23	2.000	1,003.96	Various	635.00	...	368.96	Total of 2 transactions
ADBE 10/27/2023 CALL \$530.00 / CUSIP: / Symbol:							
10/25/23	22.000	5,939.24	Various	9,196.66	...	-3,257.42	Total of 8 transactions
ADBE 10/27/2023 CALL \$535.00 / CUSIP: / Symbol:							
10/25/23	5.000	774.83	Various	3,380.15	...	-2,605.32	Total of 4 transactions

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 990180150

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

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02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADBE 10/27/2023 CALL \$542.50 / CUSIP: / Symbol: 10/24/23	1.000	819.95	10/23/23	555.00	...	264.95	Option sale to close-call <sup>25</sup>
ADBE 12/22/2023 CALL \$590.00 / CUSIP: / Symbol: 12/15/23	1.000	1,049.95	12/14/23	830.03	...	219.92	Option sale to close-call <sup>25</sup>
ADBE 12/29/2023 PUT \$595.00 / CUSIP: / Symbol: 12/27/23	1.000	484.95	12/26/23	435.03	...	49.92	Option sale to close-put <sup>25</sup>
ADBE 12/29/2023 PUT \$597.50 / CUSIP: / Symbol: 12/27/23	1.000	579.95	12/21/23	750.03	...	-170.08	Option sale to close-put <sup>25</sup>
AI 06/09/2023 CALL \$38.50 / CUSIP: / Symbol: 06/08/23	2.000	89.96	Various	244.02	...	-154.06	Total of 2 transactions
06/08/23	1.000	44.98	06/07/23	149.00	104.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>134.94</b>		<b>393.02</b>	<b>104.02 W</b>	<b>-154.06</b>	
ALGN 10/27/2023 CALL \$200.00 / CUSIP: / Symbol: 10/27/23	1.000	79.95	10/26/23	65.03	...	14.92	Option sale to close-call <sup>25</sup>
AMZN 06/09/2023 CALL \$125.00 / CUSIP: / Symbol: 06/08/23	2.000	141.98	06/07/23	198.00	...	-56.02	Option sale to close-call <sup>25</sup>
AMZN 06/09/2023 CALL \$127.00 / CUSIP: / Symbol: 06/08/23	1.000	29.98	06/07/23	94.00	...	-64.02	Option sale to close-call <sup>25</sup>
AMZN 06/30/2023 CALL \$131.00 / CUSIP: / Symbol: 06/27/23	2.000	145.96	Various	388.00	...	-242.04	Total of 2 transactions
AMZN 09/29/2023 CALL \$124.00 / CUSIP: / Symbol: 09/29/23	2.000	739.92	Various	240.00	...	499.92	Total of 2 transactions
AMZN 10/06/2023 CALL \$127.00 / CUSIP: / Symbol: 10/06/23	18.000	125.95	Various	974.00	...	-848.05	Total of 4 transactions
AMZN 10/27/2023 CALL \$128.00 / CUSIP: / Symbol: 10/25/23	1.000	294.95	10/24/23	360.03	...	-65.08	Option sale to close-call <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

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**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BA 06/09/2023 CALL \$210.00 / CUSIP: / Symbol: 06/06/23	1.000	157.98	06/05/23	245.00	...	-87.02	Option sale to close-call <sup>25</sup>
BA 06/16/2023 CALL \$217.50 / CUSIP: / Symbol: 06/15/23	1.000	114.98	06/14/23	196.00	...	-81.02	Option sale to close-call <sup>25</sup>
BA 06/16/2023 CALL \$230.00 / CUSIP: / Symbol: 06/13/23	3.000	215.98	06/12/23	294.00	...	-78.02	Option sale to close-call <sup>25</sup>
BA 06/23/2023 CALL \$215.00 / CUSIP: / Symbol: 06/21/23	2.000	281.96	Various	540.00	...	-258.04	Total of 2 transactions
BA 07/21/2023 CALL \$245.00 / CUSIP: / Symbol: 06/13/23	1.000	170.98	06/12/23	158.00	...	12.98	Option sale to close-call <sup>25</sup>
BABA 05/26/2023 CALL \$83.00 / CUSIP: / Symbol: 05/24/23	1.000	82.98	05/24/23	99.00	...	-16.02	Option sale to close-call <sup>25</sup>
BABA 06/16/2023 PUT \$92.00 / CUSIP: / Symbol: 06/16/23	2.000	59.98	06/15/23	222.00	...	-162.02	Option sale to close-put <sup>25</sup>
BABA 06/30/2023 CALL \$85.00 / CUSIP: / Symbol: 06/26/23	2.000	509.96	Various	352.00	...	157.96	Total of 2 transactions
BABA 07/28/2023 CALL \$96.00 / CUSIP: / Symbol: 07/26/23	2.000	245.98	Various	316.00	...	-70.02	Total of 2 transactions
BABA 09/29/2023 CALL \$89.00 / CUSIP: / Symbol: 09/27/23	1.000	33.98	09/26/23	35.00	...	-1.02	Option sale to close-call <sup>25</sup>
COST 03/10/2023 CALL \$512.50 / CUSIP: / Symbol: 03/03/23	1.000	6.98	03/02/23	218.00	...	-211.02	Option sale to close-call <sup>25</sup>
COST 03/10/2023 PUT \$440.00 / CUSIP: / Symbol: 03/03/23	1.000	41.98	03/02/23	67.00	...	-25.02	Option sale to close-put <sup>25</sup>
COST 05/26/2023 CALL \$515.00 / CUSIP: / Symbol: 05/26/23	1.000	4.98	05/25/23	209.00	...	-204.02	Option sale to close-call <sup>25</sup>

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### Proceeds from Broker and Barter Exchange Transactions

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02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COST 12/22/2023 PUT \$670.00 / CUSIP: / Symbol:							
12/19/23	1.000	269.95	12/18/23	617.11	...	-347.16	Option sale to close-put <sup>25</sup>
12/19/23	1.000	277.95	12/18/23	475.03	197.08 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>547.90</b>		<b>1,092.14</b>	<b>197.08 W</b>	<b>-347.16</b>	
CRM 06/16/2023 CALL \$215.00 / CUSIP: / Symbol:							
06/14/23	3.000	179.98	Various	309.00	...	-129.02	Total of 2 transactions
CRM 06/23/2023 CALL \$225.00 / CUSIP: / Symbol:							
06/14/23	5.000	239.98	Various	415.00	...	-175.02	Total of 2 transactions
CRM 07/07/2023 CALL \$230.00 / CUSIP: / Symbol:							
06/14/23	3.000	251.98	06/13/23	333.00	...	-81.02	Option sale to close-call <sup>25</sup>
DOCU 06/09/2023 CALL \$60.00 / CUSIP: / Symbol:							
06/08/23	1.000	252.98	06/07/23	401.00	...	-148.02	Option sale to close-call <sup>25</sup>
FCEL 06/09/2023 CALL \$2.50 / CUSIP: / Symbol:							
06/07/23	8.000	119.97	06/06/23	88.00	...	31.97	Option sale to close-call <sup>25</sup>
FDX 06/16/2023 CALL \$230.00 / CUSIP: / Symbol:							
06/15/23	1.000	226.98	06/14/23	188.00	...	38.98	Option sale to close-call <sup>25</sup>
GME 06/09/2023 CALL \$26.00 / CUSIP: / Symbol:							
06/08/23	1.000	4.98	06/07/23	213.00	...	-208.02	Option sale to close-call <sup>25</sup>
GOOGL 07/28/2023 CALL \$125.00 / CUSIP: / Symbol:							
07/26/23	1.000	569.98	07/25/23	218.00	...	351.98	Option sale to close-call <sup>25</sup>
GOOGL 08/04/2023 CALL \$134.00 / CUSIP: / Symbol:							
08/01/23	1.000	48.98	07/31/23	85.00	...	-36.02	Option sale to close-call <sup>25</sup>
GOOGL 10/27/2023 CALL \$140.00 / CUSIP: / Symbol:							
10/25/23	1.000	0.95	10/24/23	265.03	...	-264.08	Option sale to close-call <sup>25</sup>
GOOGL 10/27/2023 CALL \$141.00 / CUSIP: / Symbol:							
10/27/23	1.000	0.00	10/24/23	273.03	...	-273.03	Option expiration- long call

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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GOOGL 12/29/2023 PUT \$140.00 / CUSIP: / Symbol:							
12/22/23	1.000	101.95	12/21/23	177.03	...	-75.08	Option sale to close-put <sup>25</sup>
HCP 06/16/2023 CALL \$40.00 / CUSIP: / Symbol:							
06/16/23	1.000	0.00	06/07/23	115.00	...	-115.00	Option expiration- long call
META 03/17/2023 PUT \$185.00 / CUSIP: / Symbol:							
03/16/23	1.000	15.98	03/15/23	41.00	...	-25.02	Option sale to close-put <sup>25</sup>
META 06/09/2023 CALL \$272.50 / CUSIP: / Symbol:							
06/06/23	1.000	439.98	06/05/23	345.00	...	94.98	Option sale to close-call <sup>25</sup>
META 06/16/2023 CALL \$272.50 / CUSIP: / Symbol:							
06/14/23	1.000	364.98	06/13/23	380.00	...	-15.02	Option sale to close-call <sup>25</sup>
META 06/16/2023 PUT \$267.50 / CUSIP: / Symbol:							
06/13/23	1.000	154.98	06/12/23	305.00	...	-150.02	Option sale to close-put <sup>25</sup>
META 06/16/2023 PUT \$277.50 / CUSIP: / Symbol:							
06/16/23	2.000	59.97	Various	403.01	...	-343.04	Total of 2 transactions
06/16/23	2.000	59.99	06/15/23	310.00	125.01 W	-125.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>119.96</b>		<b>713.01</b>	<b>125.01 W</b>	<b>-468.04</b>	
META 06/23/2023 CALL \$272.50 / CUSIP: / Symbol:							
06/15/23	2.000	929.98	Various	970.00	...	-40.02	Total of 2 transactions
META 06/23/2023 CALL \$280.00 / CUSIP: / Symbol:							
06/21/23	1.000	464.98	06/20/23	355.00	...	109.98	Option sale to close-call <sup>25</sup>
META 06/23/2023 CALL \$282.50 / CUSIP: / Symbol:							
06/23/23	4.000	579.98	Various	622.00	...	-42.02	Total of 3 transactions
META 06/23/2023 CALL \$285.00 / CUSIP: / Symbol:							
06/22/23	1.000	89.98	06/21/23	258.00	...	-168.02	Option sale to close-call <sup>25</sup>
META 06/23/2023 PUT \$277.50 / CUSIP: / Symbol:							
06/16/23	3.000	689.98	Various	1,180.00	...	-490.02	Total of 3 transactions

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### Proceeds from Broker and Barter Exchange Transactions

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META 06/30/2023 CALL \$282.50 / CUSIP: / Symbol:							
06/22/23	2.000	1,079.98	06/21/23	1,210.00	...	-130.02	Option sale to close-call <sup>25</sup>
META 06/30/2023 CALL \$290.00 / CUSIP: / Symbol:							
06/27/23	4.000	1,091.98	Various	1,655.00	...	-563.02	Total of 3 transactions
META 06/30/2023 PUT \$287.50 / CUSIP: / Symbol:							
06/28/23	2.000	719.98	Various	865.00	...	-145.02	Total of 2 transactions
META 07/07/2023 CALL \$287.50 / CUSIP: / Symbol:							
06/30/23	2.000	699.98	Various	945.00	...	-245.02	Total of 2 transactions
META 07/21/2023 CALL \$307.50 / CUSIP: / Symbol:							
07/18/23	2.000	1,249.98	Various	925.00	...	324.98	Total of 2 transactions
META 07/21/2023 CALL \$312.50 / CUSIP: / Symbol:							
07/17/23	2.000	669.96	Various	1,188.02	...	-518.06	Total of 2 transactions
07/17/23	1.000	334.98	07/14/23	553.00	218.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,004.94</b>		<b>1,741.02</b>	<b>218.02 W</b>	<b>-518.06</b>	
META 08/04/2023 CALL \$320.00 / CUSIP: / Symbol:							
07/28/23	1.000	454.98	07/27/23	425.00	...	29.98	Option sale to close-call <sup>25</sup>
META 08/04/2023 PUT \$325.00 / CUSIP: / Symbol:							
07/31/23	1.000	564.98	07/28/23	560.00	...	4.98	Option sale to close-put <sup>25</sup>
META 08/18/2023 CALL \$315.00 / CUSIP: / Symbol:							
08/14/23	1.000	93.98	08/11/23	114.00	...	-20.02	Option sale to close-call <sup>25</sup>
META 10/06/2023 CALL \$302.50 / CUSIP: / Symbol:							
10/06/23	6.000	2,279.95	Various	1,382.00	...	897.95	Total of 3 transactions
META 10/06/2023 CALL \$307.50 / CUSIP: / Symbol:							
10/04/23	1.000	154.98	10/03/23	177.00	...	-22.02	Option sale to close-call <sup>25</sup>
META 10/06/2023 CALL \$312.50 / CUSIP: / Symbol:							
10/04/23	1.000	66.98	10/03/23	79.00	...	-12.02	Option sale to close-call <sup>25</sup>

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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

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META 10/13/2023 CALL \$330.00 / CUSIP: / Symbol: 10/13/23	1.000	13.98	10/12/23	161.00	...	-147.02	Option sale to close-call <sup>25</sup>
META 10/13/2023 PUT \$300.00 / CUSIP: / Symbol: 10/09/23	2.000	227.98	Various	403.00	...	-175.02	Total of 2 transactions
META 10/20/2023 CALL \$320.00 / CUSIP: / Symbol: 10/17/23	1.000	574.98	10/17/23	443.00	...	131.98	Option sale to close-call <sup>25</sup>
META 10/20/2023 PUT \$315.00 / CUSIP: / Symbol: 10/17/23	1.000	259.98	10/16/23	286.00	...	-26.02	Option sale to close-put <sup>25</sup>
META 10/20/2023 PUT \$317.50 / CUSIP: / Symbol: 10/18/23	1.000	23.98	10/17/23	0.00	...	23.98	Short sale closed- put <sup>25</sup> Option written: 10/16/23
META 10/27/2023 CALL \$317.50 / CUSIP: / Symbol: 10/25/23	1.000	821.95	10/24/23	1,064.03	...	-242.08	Option sale to close-call <sup>25</sup>
META 12/22/2023 CALL \$352.50 / CUSIP: / Symbol: 12/22/23	1.000	459.95	12/21/23	160.03	...	299.92	Option sale to close-call <sup>25</sup>
META 12/22/2023 PUT \$342.50 / CUSIP: / Symbol: 12/19/23	6.000	1,367.79	Various	2,075.18	...	-707.39	Total of 5 transactions
META 12/22/2023 PUT \$350.00 / CUSIP: / Symbol: 12/20/23	1.000	379.95	12/19/23	328.03	...	51.92	Option sale to close-put <sup>25</sup>
META 12/29/2023 PUT \$350.00 / CUSIP: / Symbol: 12/22/23	1.000	329.95	12/21/23	492.11	...	-162.16	Option sale to close-put <sup>25</sup>
12/22/23	1.000	272.95	12/21/23	405.03	132.08 W	0.00	Option sale to close-put <sup>25</sup>
<b>Security total:</b>		<b>602.90</b>		<b>897.14</b>	<b>132.08 W</b>	<b>-162.16</b>	
META 12/29/2023 PUT \$357.50 / CUSIP: / Symbol: 12/27/23	1.000	304.95	12/26/23	490.03	...	-185.08	Option sale to close-put <sup>25</sup>
MSFT 01/06/2023 CALL \$227.50 / CUSIP: / Symbol: 01/05/23	2.000	425.98	Various	572.00	...	-146.02	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

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**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 02/03/2023 CALL \$260.00 / CUSIP: / Symbol: 02/03/23	1.000	143.98	02/03/23	180.00	...	-36.02	Option sale to close-call <sup>25</sup>
MSFT 03/17/2023 CALL \$260.00 / CUSIP: / Symbol: 03/13/23	1.000	159.98	03/13/23	104.00	...	55.98	Option sale to close-call <sup>25</sup>
MSFT 03/17/2023 PUT \$257.50 / CUSIP: / Symbol: 03/16/23	1.000	62.98	03/15/23	114.00	...	-51.02	Option sale to close-put <sup>25</sup>
MSFT 03/24/2023 CALL \$277.50 / CUSIP: / Symbol: 03/21/23	1.000	188.98	03/21/23	234.00	...	-45.02	Option sale to close-call <sup>25</sup>
MSFT 05/19/2023 CALL \$312.50 / CUSIP: / Symbol: 05/17/23	3.000	509.98	05/17/23	444.00	...	65.98	Option sale to close-call <sup>25</sup>
MSFT 05/26/2023 CALL \$315.00 / CUSIP: / Symbol: 05/25/23	2.000	1,299.97	Various	372.00	...	927.97	Total of 2 transactions
MSFT 06/02/2023 CALL \$330.00 / CUSIP: / Symbol: 06/01/23	3.000	275.94	Various	675.00	...	-399.06	Total of 3 transactions
MSFT 06/02/2023 CALL \$332.50 / CUSIP: / Symbol: 06/01/23	9.000	458.97	Various	1,922.00	...	-1,463.03	Total of 6 transactions
MSFT 06/09/2023 CALL \$327.50 / CUSIP: / Symbol: 06/08/23	1.000	101.98	06/07/23	213.00	...	-111.02	Option sale to close-call <sup>25</sup>
MSFT 06/09/2023 CALL \$330.00 / CUSIP: / Symbol: 06/08/23	1.000	56.98	06/07/23	224.00	...	-167.02	Option sale to close-call <sup>25</sup>
MSFT 06/09/2023 CALL \$335.00 / CUSIP: / Symbol: 06/07/23	1.000	132.98	06/06/23	174.00	...	-41.02	Option sale to close-call <sup>25</sup>
MSFT 06/09/2023 CALL \$337.50 / CUSIP: / Symbol: 06/07/23	6.000	269.97	Various	1,337.03	...	-1,067.06	Total of 5 transactions
06/07/23	9.000	404.97	Various	1,511.01	1,106.04 W	0.00	Total of 7 transactions
<b>Security total:</b>		<b>674.94</b>		<b>2,848.04</b>	<b>1,106.04 W</b>	<b>-1,067.06</b>	

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 990180150

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 06/09/2023 PUT \$330.00 / CUSIP: / Symbol: 06/06/23	1.000	92.98	06/05/23	111.00	...	-18.02	Option sale to close-put <sup>25</sup>
MSFT 06/09/2023 PUT \$335.00 / CUSIP: / Symbol: 06/06/23	1.000	359.98	06/05/23	224.00	...	135.98	Option sale to close-put <sup>25</sup>
MSFT 06/16/2023 PUT \$327.50 / CUSIP: / Symbol: 06/13/23	2.000	215.96	Various	550.02	...	-334.06	Total of 2 transactions
06/13/23	1.000	107.98	06/12/23	266.00	158.02 W	0.00	Option sale to close-put <sup>25</sup>
<b>Security total:</b>		<b>323.94</b>		<b>816.02</b>	<b>158.02 W</b>	<b>-334.06</b>	
MSFT 06/16/2023 PUT \$345.00 / CUSIP: / Symbol: 06/16/23	4.000	215.98	Various	696.00	...	-480.02	Total of 2 transactions
MSFT 06/23/2023 CALL \$335.00 / CUSIP: / Symbol: 06/15/23	1.000	634.98	06/14/23	480.00	...	154.98	Option sale to close-call <sup>25</sup>
MSFT 06/23/2023 CALL \$337.50 / CUSIP: / Symbol: 06/15/23	2.000	981.96	Various	850.00	...	131.96	Total of 2 transactions
MSFT 06/23/2023 CALL \$347.50 / CUSIP: / Symbol: 06/20/23	1.000	150.98	06/16/23	425.00	...	-274.02	Option sale to close-call <sup>25</sup>
MSFT 06/23/2023 CALL \$350.00 / CUSIP: / Symbol: 06/20/23	1.000	102.98	06/16/23	410.00	...	-307.02	Option sale to close-call <sup>25</sup>
MSFT 06/23/2023 CALL \$352.50 / CUSIP: / Symbol: 06/20/23	1.000	71.98	06/16/23	310.00	...	-238.02	Option sale to close-call <sup>25</sup>
MSFT 06/30/2023 CALL \$337.50 / CUSIP: / Symbol: 06/26/23	1.000	217.98	06/23/23	340.00	...	-122.02	Option sale to close-call <sup>25</sup>
MSFT 07/07/2023 CALL \$337.50 / CUSIP: / Symbol: 06/30/23	1.000	504.98	06/29/23	357.00	...	147.98	Option sale to close-call <sup>25</sup>
MSFT 07/07/2023 CALL \$342.50 / CUSIP: / Symbol: 07/06/23	1.000	70.98	07/05/23	119.00	...	-48.02	Option sale to close-call <sup>25</sup>

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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 07/21/2023 CALL \$345.00 / CUSIP: / Symbol:							
07/18/23	1.000	384.98	07/17/23	355.00	...	29.98	Option sale to close-call <sup>25</sup>
MSFT 07/21/2023 CALL \$355.00 / CUSIP: / Symbol:							
07/21/23	48.000	1,631.84	Various	13,521.26	...	-11,889.42	Total of 14 transactions
07/21/23	72.000	2,447.73	Various	19,066.21	16,430.47 W	-188.01	Total of 21 transactions
<b>Security total:</b>		<b>4,079.57</b>		<b>32,587.47</b>	<b>16,430.47 W</b>	<b>-12,077.43</b>	
MSFT 07/21/2023 CALL \$357.50 / CUSIP: / Symbol:							
07/20/23	34.000	9,553.82	Various	7,417.04	...	2,136.78	Total of 8 transactions
07/20/23	6.000	1,685.96	Various	1,920.01	234.05 W	0.00	Total of 4 transactions
<b>Security total:</b>		<b>11,239.78</b>		<b>9,337.05</b>	<b>234.05 W</b>	<b>2,136.78</b>	
MSFT 08/04/2023 CALL \$332.50 / CUSIP: / Symbol:							
07/28/23	1.000	564.98	07/27/23	505.00	...	59.98	Option sale to close-call <sup>25</sup>
MSFT 08/04/2023 CALL \$337.50 / CUSIP: / Symbol:							
08/01/23	1.000	217.98	07/31/23	293.00	...	-75.02	Option sale to close-call <sup>25</sup>
MSFT 09/29/2023 CALL \$312.50 / CUSIP: / Symbol:							
09/28/23	1.000	169.98	09/27/23	274.00	104.02 W	0.00	Option sale to close-call <sup>25</sup>
09/29/23	1.000	504.95	09/28/23	242.02	...	262.93	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>674.93</b>		<b>516.02</b>	<b>104.02 W</b>	<b>262.93</b>	
MSFT 09/29/2023 CALL \$325.00 / CUSIP: / Symbol:							
09/26/23	1.000	51.98	09/25/23	110.00	...	-58.02	Option sale to close-call <sup>25</sup>
MSFT 09/29/2023 PUT \$310.00 / CUSIP: / Symbol:							
09/29/23	2.000	13.92	Various	178.00	...	-164.08	Total of 2 transactions
MSFT 10/06/2023 CALL \$315.00 / CUSIP: / Symbol:							
10/04/23	1.000	334.98	10/03/23	228.00	...	106.98	Option sale to close-call <sup>25</sup>
MSFT 10/06/2023 CALL \$317.50 / CUSIP: / Symbol:							
10/06/23	2.000	879.98	10/05/23	414.00	...	465.98	Option sale to close-call <sup>25</sup>
MSFT 10/13/2023 CALL \$330.00 / CUSIP: / Symbol:							
10/11/23	2.000	699.98	10/10/23	464.00	...	235.98	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 990180150

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 10/13/2023 CALL \$332.50 / CUSIP: / Symbol: 10/13/23	1.000	131.98	10/12/23	93.00	...	38.98	Option sale to close-call <sup>25</sup>
MSFT 10/20/2023 CALL \$330.00 / CUSIP: / Symbol: 10/17/23	1.000	424.98	10/17/23	310.00	...	114.98	Option sale to close-call <sup>25</sup>
MSFT 10/20/2023 PUT \$330.00 / CUSIP: / Symbol: 10/17/23	1.000	339.98	10/16/23	209.00	...	130.98	Option sale to close-put <sup>25</sup>
MSFT 10/27/2023 CALL \$337.50 / CUSIP: / Symbol: 10/25/23	2.000	1,399.91	Various	828.06	...	571.85	Total of 2 transactions
MSFT 12/15/2023 CALL \$367.50 / CUSIP: / Symbol: 12/15/23	1.000	215.95	12/14/23	166.03	...	49.92	Option sale to close-call <sup>25</sup>
NFLX 06/09/2023 CALL \$402.50 / CUSIP: / Symbol: 06/06/23	1.000	459.98	06/05/23	728.00	...	-268.02	Option sale to close-call <sup>25</sup>
NFLX 06/09/2023 CALL \$405.00 / CUSIP: / Symbol: 06/07/23	1.000	1,377.97	06/06/23	449.00	...	928.97	Option sale to close-call <sup>25</sup>
06/08/23	9.000	3,459.94	Various	2,745.01	...	714.93	Total of 4 transactions
06/08/23	1.000	389.99	06/07/23	485.00	95.01 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>5,227.90</b>		<b>3,679.01</b>	<b>95.01 W</b>	<b>1,643.90</b>	
NFLX 06/16/2023 CALL \$445.00 / CUSIP: / Symbol: 06/15/23	46.000	23,035.69	Various	20,294.00	...	2,741.69	Total of 10 transactions
NFLX 06/16/2023 PUT \$417.50 / CUSIP: / Symbol: 06/13/23	1.000	215.98	06/12/23	640.00	...	-424.02	Option sale to close-put <sup>25</sup>
NFLX 06/16/2023 PUT \$420.00 / CUSIP: / Symbol: 06/12/23	1.000	879.98	06/09/23	750.00	...	129.98	Option sale to close-put <sup>25</sup>
NFLX 06/16/2023 PUT \$422.50 / CUSIP: / Symbol: 06/13/23	1.000	345.98	06/12/23	780.00	...	-434.02	Option sale to close-put <sup>25</sup>
NFLX 06/16/2023 PUT \$440.00 / CUSIP: / Symbol: 06/14/23	1.000	609.98	06/13/23	900.00	...	-290.02	Option sale to close-put <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NFLX 06/16/2023 PUT \$445.00 / CUSIP: / Symbol:							
06/16/23	1.000	389.98	06/15/23	327.00	...	62.98	Option sale to close-put <sup>25</sup>
NFLX 06/23/2023 CALL \$420.00 / CUSIP: / Symbol:							
06/23/23	6.000	2,759.96	Various	2,260.00	...	499.96	Total of 2 transactions
NFLX 06/23/2023 CALL \$422.50 / CUSIP: / Symbol:							
06/23/23	2.000	583.97	Various	638.01	...	-54.04	Total of 2 transactions
06/23/23	1.000	291.99	06/22/23	310.00	18.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>875.96</b>		<b>948.01</b>	<b>18.01 W</b>	<b>-54.04</b>	
NFLX 06/23/2023 CALL \$427.50 / CUSIP: / Symbol:							
06/22/23	3.000	575.98	06/21/23	1,455.00	...	-879.02	Option sale to close-call <sup>25</sup>
NFLX 06/23/2023 CALL \$435.00 / CUSIP: / Symbol:							
06/21/23	2.000	999.98	Various	1,375.00	...	-375.02	Total of 2 transactions
NFLX 06/23/2023 CALL \$440.00 / CUSIP: / Symbol:							
06/15/23	3.000	3,353.96	Various	3,240.00	...	113.96	Total of 3 transactions
06/15/23	4.000	4,471.94	Various	4,620.00	111.05 W	-37.01	Total of 2 transactions
06/21/23	3.000	959.98	Various	1,652.05	...	-692.07	Total of 2 transactions
	<b>Security total:</b>	<b>8,785.88</b>		<b>9,512.05</b>	<b>111.05 W</b>	<b>-615.12</b>	
NFLX 06/23/2023 CALL \$445.00 / CUSIP: / Symbol:							
06/15/23	2.000	1,869.97	Various	1,610.00	...	259.97	Total of 2 transactions
06/20/23	1.000	477.98	06/16/23	995.00	...	-517.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,347.95</b>		<b>2,605.00</b>	...	<b>-257.05</b>	
NFLX 06/30/2023 CALL \$422.50 / CUSIP: / Symbol:							
06/26/23	2.000	2,239.97	Various	1,438.00	...	801.97	Total of 2 transactions
NFLX 06/30/2023 CALL \$427.50 / CUSIP: / Symbol:							
06/27/23	1.000	225.98	06/26/23	1,069.02	...	-843.04	Option sale to close-call <sup>25</sup>
06/27/23	1.000	225.98	06/26/23	730.00	504.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>451.96</b>		<b>1,799.02</b>	<b>504.02 W</b>	<b>-843.04</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NFLX 07/07/2023 CALL \$442.50 / CUSIP: / Symbol:							
07/06/23	1.000	168.98	07/05/23	826.02	...	-657.04	Option sale to close-call <sup>25</sup>
07/06/23	1.000	168.98	07/05/23	489.00	320.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>337.96</b>		<b>1,315.02</b>	<b>320.02 W</b>	<b>-657.04</b>	
NFLX 07/14/2023 CALL \$435.00 / CUSIP: / Symbol:							
07/10/23	1.000	1,269.97	07/06/23	872.00	...	397.97	Option sale to close-call <sup>25</sup>
NFLX 07/14/2023 CALL \$440.00 / CUSIP: / Symbol:							
07/11/23	2.000	759.98	07/11/23	1,074.00	...	-314.02	Option sale to close-call <sup>25</sup>
NFLX 07/14/2023 CALL \$445.00 / CUSIP: / Symbol:							
07/12/23	3.000	1,319.97	07/12/23	1,143.00	...	176.97	Option sale to close-call <sup>25</sup>
07/14/23	3.000	3,077.94	Various	1,140.00	...	1,937.94	Total of 3 transactions
	<b>Security total:</b>	<b>4,397.91</b>		<b>2,283.00</b>	...	<b>2,114.91</b>	
NFLX 07/21/2023 CALL \$442.50 / CUSIP: / Symbol:							
07/21/23	1.000	123.98	07/20/23	565.00	...	-441.02	Option sale to close-call <sup>25</sup>
NFLX 07/21/2023 CALL \$450.00 / CUSIP: / Symbol:							
07/17/23	1.000	1,844.97	07/14/23	1,875.00	...	-30.03	Option sale to close-call <sup>25</sup>
NFLX 07/21/2023 CALL \$480.00 / CUSIP: / Symbol:							
07/19/23	2.000	4,029.95	Various	3,656.00	...	373.95	Total of 2 transactions
NFLX 07/28/2023 CALL \$427.50 / CUSIP: / Symbol:							
07/26/23	8.000	1,959.96	Various	4,665.02	...	-2,705.06	Total of 8 transactions
07/26/23	2.000	489.98	07/25/23	1,240.00	750.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,449.94</b>		<b>5,905.02</b>	<b>750.02 W</b>	<b>-2,705.06</b>	
NFLX 07/28/2023 CALL \$430.00 / CUSIP: / Symbol:							
07/25/23	1.000	609.98	07/24/23	500.00	...	109.98	Option sale to close-call <sup>25</sup>
NFLX 08/04/2023 CALL \$415.00 / CUSIP: / Symbol:							
07/28/23	1.000	739.98	07/27/23	800.00	...	-60.02	Option sale to close-call <sup>25</sup>
NFLX 08/04/2023 CALL \$437.50 / CUSIP: / Symbol:							
07/25/23	4.000	2,807.96	Various	2,252.00	...	555.96	Total of 3 transactions

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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NFLX 08/04/2023 PUT \$427.50 / CUSIP: / Symbol:							
07/31/23	1.000	454.98	07/28/23	674.00	...	-219.02	Option sale to close-put <sup>25</sup>
NFLX 09/29/2023 CALL \$387.50 / CUSIP: / Symbol:							
09/28/23	1.000	49.98	09/27/23	162.00	...	-112.02	Option sale to close-call <sup>25</sup>
NFLX 10/06/2023 CALL \$375.00 / CUSIP: / Symbol:							
10/06/23	5.000	894.97	Various	1,270.02	...	-375.05	Total of 3 transactions
10/06/23	6.000	1,073.97	Various	1,488.00	300.02 W	-114.01	Total of 2 transactions
	<b>Security total:</b>	<b>1,968.94</b>		<b>2,758.02</b>	<b>300.02 W</b>	<b>-489.06</b>	
NFLX 10/06/2023 CALL \$377.50 / CUSIP: / Symbol:							
10/04/23	11.000	5,374.92	Various	4,463.00	...	911.92	Total of 7 transactions
10/05/23	1.000	68.98	10/04/23	654.02	...	-585.04	Option sale to close-call <sup>25</sup>
10/05/23	1.000	68.98	10/04/23	393.00	324.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>5,512.88</b>		<b>5,510.02</b>	<b>324.02 W</b>	<b>326.88</b>	
NFLX 10/06/2023 CALL \$380.00 / CUSIP: / Symbol:							
10/06/23	5.000	109.98	Various	719.00	...	-609.02	Total of 4 transactions
NFLX 10/13/2023 CALL \$365.00 / CUSIP: / Symbol:							
10/13/23	1.000	18.98	10/12/23	154.00	...	-135.02	Option sale to close-call <sup>25</sup>
NFLX 10/13/2023 CALL \$367.50 / CUSIP: / Symbol:							
10/12/23	1.000	275.98	10/11/23	380.00	...	-104.02	Option sale to close-call <sup>25</sup>
NFLX 10/13/2023 CALL \$375.00 / CUSIP: / Symbol:							
10/11/23	2.000	1,059.98	Various	938.00	...	121.98	Total of 2 transactions
NFLX 10/13/2023 CALL \$385.00 / CUSIP: / Symbol:							
10/11/23	2.000	177.96	Various	490.00	...	-312.04	Total of 2 transactions
NFLX 12/22/2023 CALL \$492.50 / CUSIP: / Symbol:							
12/21/23	1.000	464.95	12/20/23	365.03	...	99.92	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 12/22/2023 PUT \$490.00 / CUSIP: / Symbol:							
12/19/23	2.000	1,161.92	Various	1,399.14	...	-237.22	Total of 2 transactions
12/19/23	1.000	580.95	12/18/23	730.03	149.08 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,742.87</b>		<b>2,129.17</b>	<b>149.08 W</b>	<b>-237.22</b>	
NFLX 12/22/2023 PUT \$492.50 / CUSIP: / Symbol:							
12/20/23	2.000	669.92	12/19/23	918.06	...	-248.14	Option sale to close-put <sup>25</sup>
NFLX 12/29/2023 CALL \$487.50 / CUSIP: / Symbol:							
12/26/23	1.000	726.95	12/22/23	645.03	...	81.92	Option sale to close-call <sup>25</sup>
NFLX 12/29/2023 PUT \$492.50 / CUSIP: / Symbol:							
12/22/23	1.000	999.95	12/21/23	750.03	...	249.92	Option sale to close-put <sup>25</sup>
NVDA 06/23/2023 CALL \$425.00 / CUSIP: / Symbol:							
06/22/23	4.000	3,919.94	Various	2,684.00	...	1,235.94	Total of 2 transactions
06/23/23	19.000	3,862.91	Various	2,835.00	...	1,027.91	Total of 3 transactions
	<b>Security total:</b>	<b>7,782.85</b>		<b>5,519.00</b>	...	<b>2,263.85</b>	
NVDA 06/23/2023 CALL \$430.00 / CUSIP: / Symbol:							
06/21/23	1.000	789.98	06/20/23	722.02	...	67.96	Option sale to close-call <sup>25</sup>
06/21/23	1.000	789.98	06/20/23	817.00	27.02 W	0.00	Option sale to close-call <sup>25</sup>
06/23/23	20.000	1,659.92	Various	7,472.09	...	-5,812.17	Total of 8 transactions
06/23/23	21.000	1,742.91	Various	6,218.00	4,475.09 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>4,982.79</b>		<b>15,229.11</b>	<b>4,502.11 W</b>	<b>-5,744.21</b>	
NVDA 06/23/2023 CALL \$435.00 / CUSIP: / Symbol:							
06/21/23	5.000	2,763.95	Various	2,947.01	...	-183.06	Total of 4 transactions
06/21/23	1.000	552.99	06/20/23	710.00	157.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,316.94</b>		<b>3,657.01</b>	<b>157.01 W</b>	<b>-183.06</b>	
NVDA 06/23/2023 CALL \$450.00 / CUSIP: / Symbol:							
06/20/23	1.000	324.98	06/16/23	535.00	...	-210.02	Option sale to close-call <sup>25</sup>
NVDA 06/30/2023 CALL \$415.00 / CUSIP: / Symbol:							
06/27/23	1.000	603.98	06/26/23	390.00	...	213.98	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 990180150

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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#### 1a- Description of property/CUSIP/Symbol

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NVDA 06/30/2023 CALL \$430.00 / CUSIP: / Symbol:							
06/22/23	1.000	1,266.97	06/21/23	1,101.00	...	165.97	Option sale to close-call <sup>25</sup>
NVDA 06/30/2023 CALL \$435.00 / CUSIP: / Symbol:							
06/22/23	1.000	989.98	06/21/23	1,059.00	...	-69.02	Option sale to close-call <sup>25</sup>
NVDA 06/30/2023 PUT \$415.00 / CUSIP: / Symbol:							
06/28/23	1.000	1,019.98	06/27/23	680.00	...	339.98	Option sale to close-put <sup>25</sup>
NVDA 07/07/2023 CALL \$415.00 / CUSIP: / Symbol:							
06/30/23	1.000	934.98	06/29/23	990.00	...	-55.02	Option sale to close-call <sup>25</sup>
NVDA 07/07/2023 CALL \$425.00 / CUSIP: / Symbol:							
07/05/23	4.000	2,819.95	Various	2,757.00	...	62.95	Total of 4 transactions
NVDA 07/07/2023 CALL \$427.50 / CUSIP: / Symbol:							
07/06/23	1.000	137.98	07/05/23	763.02	...	-625.04	Option sale to close-call <sup>25</sup>
07/06/23	1.000	137.98	07/05/23	466.00	328.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>275.96</b>		<b>1,229.02</b>	<b>328.02 W</b>	<b>-625.04</b>	
NVDA 07/07/2023 PUT \$420.00 / CUSIP: / Symbol:							
06/30/23	2.000	1,509.96	Various	1,725.01	...	-215.05	Total of 2 transactions
06/30/23	1.000	754.99	06/30/23	860.00	105.01 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>2,264.95</b>		<b>2,585.01</b>	<b>105.01 W</b>	<b>-215.05</b>	
NVDA 07/07/2023 PUT \$422.50 / CUSIP: / Symbol:							
06/30/23	3.000	1,814.96	Various	2,095.02	...	-280.06	Total of 2 transactions
06/30/23	1.000	604.98	06/30/23	675.00	70.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>2,419.94</b>		<b>2,770.02</b>	<b>70.02 W</b>	<b>-280.06</b>	
NVDA 07/14/2023 CALL \$430.00 / CUSIP: / Symbol:							
07/11/23	2.000	1,031.96	Various	1,264.00	...	-232.04	Total of 2 transactions
NVDA 07/14/2023 PUT \$435.00 / CUSIP: / Symbol:							
07/12/23	3.000	1,394.94	Various	1,200.00	...	194.94	Total of 3 transactions
NVDA 07/14/2023 PUT \$437.50 / CUSIP: / Symbol:							
07/13/23	1.000	138.98	07/12/23	515.00	...	-376.02	Option sale to close-put <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NVDA 07/21/2023 CALL \$455.00 / CUSIP: / Symbol:							
07/18/23	2.000	2,989.96	Various	2,165.00	...	824.96	Total of 2 transactions
NVDA 07/21/2023 CALL \$460.00 / CUSIP: / Symbol:							
07/17/23	1.000	1,374.97	07/14/23	1,023.00	...	351.97	Option sale to close-call <sup>25</sup>
07/18/23	3.000	3,569.96	Various	2,555.00	...	1,014.96	Total of 2 transactions
	<b>Security total:</b>	<b>4,944.93</b>		<b>3,578.00</b>	...	<b>1,366.93</b>	
NVDA 07/21/2023 CALL \$465.00 / CUSIP: / Symbol:							
07/17/23	2.000	2,239.97	Various	1,553.00	...	686.97	Total of 2 transactions
07/18/23	13.000	12,882.86	Various	10,440.00	...	2,442.86	Total of 6 transactions
07/21/23	2.000	189.96	Various	810.00	...	-620.04	Total of 2 transactions
	<b>Security total:</b>	<b>15,312.79</b>		<b>12,803.00</b>	...	<b>2,509.79</b>	
NVDA 07/21/2023 CALL \$470.00 / CUSIP: / Symbol:							
07/20/23	2.000	949.98	Various	1,561.02	...	-611.04	Total of 2 transactions
07/20/23	1.000	474.98	07/19/23	730.00	255.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,424.96</b>		<b>2,291.02</b>	<b>255.02 W</b>	<b>-611.04</b>	
NVDA 07/21/2023 CALL \$475.00 / CUSIP: / Symbol:							
07/19/23	18.000	14,334.83	Various	13,230.00	...	1,104.83	Total of 5 transactions
NVDA 07/21/2023 CALL \$480.00 / CUSIP: / Symbol:							
07/19/23	1.000	574.98	07/18/23	801.02	...	-226.04	Option sale to close-call <sup>25</sup>
07/19/23	1.000	574.98	07/18/23	691.00	116.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,149.96</b>		<b>1,492.02</b>	<b>116.02 W</b>	<b>-226.04</b>	
NVDA 07/21/2023 PUT \$470.00 / CUSIP: / Symbol:							
07/19/23	1.000	702.98	07/18/23	622.02	...	80.96	Option sale to close-put <sup>25</sup>
07/19/23	1.000	702.98	07/18/23	740.00	37.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,405.96</b>		<b>1,362.02</b>	<b>37.02 W</b>	<b>80.96</b>	
NVDA 07/28/2023 CALL \$465.00 / CUSIP: / Symbol:							
07/26/23	7.000	2,344.96	Various	2,653.00	...	-308.04	Total of 3 transactions
NVDA 08/04/2023 CALL \$452.50 / CUSIP: / Symbol:							
08/03/23	4.000	707.98	Various	1,858.00	...	-1,150.02	Total of 3 transactions

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Robinhood Markets Inc. as agent for  
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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NVDA 08/04/2023 CALL \$467.50 / CUSIP: / Symbol:							
08/01/23	1.000	551.98	07/31/23	805.00	...	-253.02	Option sale to close-call <sup>25</sup>
NVDA 08/11/2023 CALL \$452.50 / CUSIP: / Symbol:							
08/09/23	1.000	183.98	08/08/23	579.00	...	-395.02	Option sale to close-call <sup>25</sup>
NVDA 09/29/2023 CALL \$425.00 / CUSIP: / Symbol:							
09/27/23	1.000	730.98	09/26/23	475.00	...	255.98	Option sale to close-call <sup>25</sup>
09/28/23	2.000	1,193.98	09/27/23	830.00	...	363.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,924.96</b>		<b>1,305.00</b>	...	<b>619.96</b>	
NVDA 09/29/2023 CALL \$430.00 / CUSIP: / Symbol:							
09/27/23	6.000	2,099.97	Various	1,994.00	...	105.97	Total of 4 transactions
NVDA 09/29/2023 PUT \$420.00 / CUSIP: / Symbol:							
09/29/23	6.000	41.80	Various	1,313.17	...	-1,271.37	Total of 4 transactions
09/29/23	5.000	34.83	Various	760.00	725.17 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>76.63</b>		<b>2,073.17</b>	<b>725.17 W</b>	<b>-1,271.37</b>	
NVDA 10/06/2023 CALL \$435.00 / CUSIP: / Symbol:							
10/04/23	4.000	2,799.96	Various	2,380.00	...	419.96	Total of 3 transactions
NVDA 10/06/2023 CALL \$437.50 / CUSIP: / Symbol:							
10/04/23	2.000	1,429.97	Various	1,104.00	...	325.97	Total of 2 transactions
10/05/23	1.000	834.98	10/04/23	500.00	...	334.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,264.95</b>		<b>1,604.00</b>	...	<b>660.95</b>	
NVDA 10/13/2023 CALL \$450.00 / CUSIP: / Symbol:							
10/10/23	3.000	2,834.96	Various	1,820.00	...	1,014.96	Total of 3 transactions
NVDA 10/13/2023 CALL \$465.00 / CUSIP: / Symbol:							
10/12/23	1.000	654.98	10/11/23	489.00	...	165.98	Option sale to close-call <sup>25</sup>
NVDA 10/13/2023 CALL \$470.00 / CUSIP: / Symbol:							
10/12/23	21.000	12,809.84	Various	10,970.00	...	1,839.84	Total of 6 transactions
NVDA 10/13/2023 CALL \$475.00 / CUSIP: / Symbol:							
10/13/23	1.000	56.98	10/12/23	139.00	...	-82.02	Option sale to close-call <sup>25</sup>

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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NVDA 10/13/2023 PUT \$437.50 / CUSIP: / Symbol:							
10/09/23	2.000	909.98	Various	654.00	...	255.98	Total of 2 transactions
NVDA 10/13/2023 PUT \$457.50 / CUSIP: / Symbol:							
10/11/23	1.000	359.98	10/10/23	485.00	...	-125.02	Option sale to close-put <sup>25</sup>
NVDA 10/13/2023 PUT \$460.00 / CUSIP: / Symbol:							
10/11/23	1.000	444.98	10/10/23	511.00	...	-66.02	Option sale to close-put <sup>25</sup>
NVDA 10/13/2023 PUT \$470.00 / CUSIP: / Symbol:							
10/12/23	1.000	515.98	10/11/23	575.00	59.02 W	0.00	Option sale to close-put <sup>25</sup>
10/13/23	1.000	419.98	10/12/23	270.02	...	149.96	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>935.96</b>		<b>845.02</b>	<b>59.02 W</b>	<b>149.96</b>	
NVDA 10/20/2023 CALL \$427.50 / CUSIP: / Symbol:							
10/18/23	23.000	7,704.85	Various	15,375.02	7,670.17 W	0.00	Total of 12 transactions
10/19/23	18.000	8,849.87	Various	8,275.39	...	574.48	Total of 13 transactions
10/19/23	44.000	22,862.61	Various	29,834.81	6,972.20 W	0.00	Total of 36 transactions
10/20/23	3.000	230.98	Various	1,760.15	...	-1,529.17	Total of 3 transactions
	<b>Security total:</b>	<b>39,648.31</b>		<b>55,245.37</b>	<b>14,642.37 W</b>	<b>-954.69</b>	
NVDA 10/20/2023 CALL \$430.00 / CUSIP: / Symbol:							
10/17/23	13.000	18,979.81	Various	14,505.00	...	4,474.81	Total of 4 transactions
NVDA 10/20/2023 CALL \$435.00 / CUSIP: / Symbol:							
10/17/23	5.000	5,599.93	Various	3,895.00	...	1,704.93	Total of 2 transactions
NVDA 10/20/2023 CALL \$437.50 / CUSIP: / Symbol:							
10/17/23	23.000	17,604.80	Various	20,299.21	...	-2,694.41	Total of 13 transactions
10/17/23	22.000	16,505.79	Various	18,914.14	2,408.35 W	0.00	Total of 15 transactions
	<b>Security total:</b>	<b>34,110.59</b>		<b>39,213.35</b>	<b>2,408.35 W</b>	<b>-2,694.41</b>	
NVDA 10/20/2023 CALL \$440.00 / CUSIP: / Symbol:							
10/17/23	79.000	58,804.22	Various	54,309.05	...	4,495.17	Total of 31 transactions
10/17/23	3.000	2,024.95	Various	2,040.00	15.05 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>60,829.17</b>		<b>56,349.05</b>	<b>15.05 W</b>	<b>4,495.17</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NVDA 10/20/2023 CALL \$442.50 / CUSIP: / Symbol:							
10/17/23	10.000	5,749.94	Various	11,121.16	...	-5,371.22	Total of 9 transactions
10/17/23	42.000	20,449.72	Various	36,258.27	15,808.55 W	0.00	Total of 31 transactions
10/18/23	5.000	825.96	Various	4,995.12	...	-4,169.16	Total of 5 transactions
	<b>Security total:</b>	<b>27,025.62</b>		<b>52,374.55</b>	<b>15,808.55 W</b>	<b>-9,540.38</b>	
NVDA 10/20/2023 CALL \$445.00 / CUSIP: / Symbol:							
10/17/23	20.000	14,999.83	Various	13,655.00	...	1,344.83	Total of 9 transactions
NVDA 10/20/2023 CALL \$447.50 / CUSIP: / Symbol:							
10/17/23	76.000	40,774.47	Various	48,580.08	...	-7,805.61	Total of 11 transactions
10/17/23	10.000	6,629.92	Various	6,850.00	220.08 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>47,404.39</b>		<b>55,430.08</b>	<b>220.08 W</b>	<b>-7,805.61</b>	
NVDA 10/27/2023 CALL \$415.00 / CUSIP: / Symbol:							
10/23/23	8.000	5,609.90	Various	9,876.13	4,266.23 W	0.00	Total of 8 transactions
10/26/23	7.000	1,714.75	Various	4,534.70	...	-2,819.95	Total of 6 transactions
10/26/23	6.000	1,469.79	Various	3,874.28	2,404.49 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>8,794.44</b>		<b>18,285.11</b>	<b>6,670.72 W</b>	<b>-2,819.95</b>	
NVDA 10/27/2023 CALL \$417.50 / CUSIP: / Symbol:							
10/26/23	6.000	4,997.76	Various	4,590.18	...	407.58	Total of 3 transactions
NVDA 10/27/2023 CALL \$420.00 / CUSIP: / Symbol:							
10/26/23	8.000	2,599.70	Various	5,126.39	...	-2,526.69	Total of 3 transactions
10/26/23	2.000	649.91	Various	1,385.14	735.23 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>3,249.61</b>		<b>6,511.53</b>	<b>735.23 W</b>	<b>-2,526.69</b>	
NVDA 10/27/2023 CALL \$430.00 / CUSIP: / Symbol:							
10/23/23	1.000	299.98	10/20/23	370.00	...	-70.02	Option sale to close-call <sup>25</sup>
NVDA 10/27/2023 CALL \$432.50 / CUSIP: / Symbol:							
10/25/23	5.000	604.83	Various	2,766.15	...	-2,161.32	Total of 4 transactions
NVDA 12/22/2023 CALL \$485.00 / CUSIP: / Symbol:							
12/21/23	1.000	839.95	12/20/23	520.03	...	319.92	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

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02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NVDA 12/22/2023 PUT \$497.50 / CUSIP: / Symbol:							
12/19/23	1.000	904.95	12/18/23	705.03	...	199.92	Option sale to close-put <sup>25</sup>
NVDA 12/29/2023 PUT \$487.50 / CUSIP: / Symbol:							
12/22/23	2.000	1,445.90	Various	1,790.21	...	-344.31	Total of 2 transactions
12/22/23	2.000	1,279.91	Various	1,630.06	350.15 W	0.00	Total of 2 transactions
<b>Security total:</b>		<b>2,725.81</b>		<b>3,420.27</b>	<b>350.15 W</b>	<b>-344.31</b>	
NVDA 12/29/2023 PUT \$492.50 / CUSIP: / Symbol:							
12/27/23	3.000	1,484.88	Various	1,605.09	...	-120.21	Total of 3 transactions
PLUG 06/30/2023 CALL \$11.00 / CUSIP: / Symbol:							
06/15/23	5.000	329.92	Various	420.00	...	-90.08	Total of 4 transactions
PLUG 07/21/2023 CALL \$12.50 / CUSIP: / Symbol:							
07/17/23	13.000	480.96	Various	636.00	...	-155.04	Total of 4 transactions
PYPL 06/23/2023 CALL \$68.00 / CUSIP: / Symbol:							
06/20/23	1.000	110.98	06/16/23	66.00	...	44.98	Option sale to close-call <sup>25</sup>
RIVN 10/13/2023 CALL \$18.50 / CUSIP: / Symbol:							
10/09/23	5.000	309.96	Various	300.00	...	9.96	Total of 2 transactions
ROKU 01/06/2023 CALL \$39.50 / CUSIP: / Symbol:							
01/03/23	7.000	1,021.91	Various	924.00	...	97.91	Total of 4 transactions
ROKU 01/06/2023 CALL \$40.00 / CUSIP: / Symbol:							
01/03/23	8.000	1,135.95	Various	872.00	...	263.95	Total of 2 transactions
ROKU 01/06/2023 CALL \$43.00 / CUSIP: / Symbol:							
01/06/23	1.000	42.98	01/05/23	69.00	...	-26.02	Option sale to close-call <sup>25</sup>
ROKU 01/06/2023 PUT \$39.50 / CUSIP: / Symbol:							
01/05/23	1.000	11.98	01/04/23	25.00	...	-13.02	Option sale to close-put <sup>25</sup>
ROKU 01/13/2023 PUT \$43.50 / CUSIP: / Symbol:							
01/10/23	1.000	66.98	01/09/23	100.00	...	-33.02	Option sale to close-put <sup>25</sup>

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ROKU 03/17/2023 CALL \$62.00 / CUSIP: / Symbol:							
03/13/23	1.000	95.98	03/13/23	139.00	...	-43.02	Option sale to close-call <sup>25</sup>
ROKU 06/16/2023 CALL \$73.00 / CUSIP: / Symbol:							
06/15/23	1.000	105.98	06/14/23	149.00	...	-43.02	Option sale to close-call <sup>25</sup>
ROKU 06/23/2023 CALL \$64.00 / CUSIP: / Symbol:							
06/23/23	1.000	17.98	06/22/23	120.02	...	-102.04	Option sale to close-call <sup>25</sup>
06/23/23	1.000	15.98	06/22/23	68.00	52.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>33.96</b>		<b>188.02</b>	<b>52.02 W</b>	<b>-102.04</b>	
ROKU 06/23/2023 CALL \$74.00 / CUSIP: / Symbol:							
06/15/23	1.000	179.98	06/14/23	253.00	...	-73.02	Option sale to close-call <sup>25</sup>
ROKU 07/21/2023 CALL \$76.00 / CUSIP: / Symbol:							
07/17/23	1.000	136.98	07/14/23	256.00	119.02 W	0.00	Option sale to close-call <sup>25</sup>
07/19/23	1.000	349.98	07/18/23	324.02	...	25.96	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>486.96</b>		<b>580.02</b>	<b>119.02 W</b>	<b>25.96</b>	
ROKU 12/29/2023 PUT \$91.00 / CUSIP: / Symbol:							
12/22/23	2.000	205.92	Various	453.06	...	-247.14	Total of 2 transactions
SPY 06/27/2023 CALL \$434.00 / CUSIP: / Symbol:							
06/26/23	6.000	533.98	Various	982.00	...	-448.02	Total of 2 transactions
SPY 10/09/2023 PUT \$423.00 / CUSIP: / Symbol:							
10/09/23	10.000	129.97	10/06/23	100.00	...	29.97	Option sale to close-put <sup>25</sup>
SPY 10/09/2023 PUT \$425.00 / CUSIP: / Symbol:							
10/09/23	10.000	399.97	Various	270.00	...	129.97	Total of 4 transactions
SPY 10/17/2023 PUT \$431.00 / CUSIP: / Symbol:							
10/17/23	57.000	2,837.80	Various	1,718.05	...	1,119.75	Total of 14 transactions
10/17/23	3.000	11.95	Various	88.02	76.07 W	0.00	Total of 3 transactions
<b>Security total:</b>		<b>2,849.75</b>		<b>1,806.07</b>	<b>76.07 W</b>	<b>1,119.75</b>	
SPY 10/17/2023 PUT \$432.00 / CUSIP: / Symbol:							
10/18/23	5.000	209.94	Various	0.00	...	209.94	Total of 4 transactions

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Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

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02/12/2024

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SPY 10/18/2023 CALL \$436.00 / CUSIP: / Symbol: 10/17/23	5.000	694.98	10/17/23	410.00	...	284.98	Option sale to close-call <sup>25</sup>
SPY 10/24/2023 CALL \$425.00 / CUSIP: / Symbol: 10/24/23	17.000	1,308.43	Various	846.00	...	462.43	Total of 2 transactions
SPY 10/24/2023 CALL \$428.00 / CUSIP: / Symbol: 10/24/23	10.000	89.67	Various	130.00	...	-40.33	Total of 2 transactions
SPY 10/26/2023 CALL \$424.00 / CUSIP: / Symbol: 10/26/23	5.000	4.83	Various	632.41	...	-627.58	Total of 3 transactions
10/26/23	4.000	3.86	10/25/23	408.12	404.26 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>8.69</b>		<b>1,040.53</b>	<b>404.26 W</b>	<b>-627.58</b>	
TCOM 06/16/2023 CALL \$36.00 / CUSIP: / Symbol: 06/08/23	1.000	70.98	06/07/23	112.00	...	-41.02	Option sale to close-call <sup>25</sup>
TSLA 01/06/2023 CALL \$111.00 / CUSIP: / Symbol: 01/03/23	4.000	1,027.96	Various	1,558.00	...	-530.04	Total of 3 transactions
TSLA 01/06/2023 CALL \$120.00 / CUSIP: / Symbol: 01/05/23	1.000	40.98	01/04/23	73.00	...	-32.02	Option sale to close-call <sup>25</sup>
TSLA 01/06/2023 PUT \$102.00 / CUSIP: / Symbol: 01/05/23	3.000	230.98	Various	206.00	...	24.98	Total of 3 transactions
TSLA 01/06/2023 PUT \$112.00 / CUSIP: / Symbol: 01/05/23	1.000	476.97	01/04/23	296.00	...	180.97	Option sale to close-put <sup>25</sup>
TSLA 01/13/2023 PUT \$121.00 / CUSIP: / Symbol: 01/10/23	1.000	351.98	01/09/23	534.02	...	-182.04	Option sale to close-put <sup>25</sup>
01/10/23	1.000	352.98	01/09/23	490.00	137.02 W	0.00	Option sale to close-put <sup>25</sup>
<b>Security total:</b>		<b>704.96</b>		<b>1,024.02</b>	<b>137.02 W</b>	<b>-182.04</b>	
TSLA 03/03/2023 CALL \$195.00 / CUSIP: / Symbol: 03/02/23	3.000	449.98	Various	490.00	...	-40.02	Total of 3 transactions

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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

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02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TSLA 03/03/2023 CALL \$197.50 / CUSIP: / Symbol: 03/02/23	1.000	184.98	03/02/23	134.00	...	50.98	Option sale to close-call <sup>25</sup>
TSLA 03/10/2023 CALL \$205.00 / CUSIP: / Symbol: 03/07/23	1.000	106.98	03/06/23	232.00	...	-125.02	Option sale to close-call <sup>25</sup>
TSLA 03/17/2023 PUT \$170.00 / CUSIP: / Symbol: 03/15/23	1.000	152.98	03/15/23	115.00	...	37.98	Option sale to close-put <sup>25</sup>
TSLA 03/24/2023 CALL \$195.00 / CUSIP: / Symbol: 03/21/23	1.000	237.98	03/20/23	122.00	...	115.98	Option sale to close-call <sup>25</sup>
TSLA 03/24/2023 CALL \$197.50 / CUSIP: / Symbol: 03/24/23	1.000	6.98	03/23/23	121.00	...	-114.02	Option sale to close-call <sup>25</sup>
TSLA 03/24/2023 PUT \$187.50 / CUSIP: / Symbol: 03/22/23	1.000	103.98	03/21/23	191.00	...	-87.02	Option sale to close-put <sup>25</sup>
TSLA 04/06/2023 CALL \$190.00 / CUSIP: / Symbol: 04/05/23	1.000	79.98	04/05/23	172.00	...	-92.02	Option sale to close-call <sup>25</sup>
TSLA 04/14/2023 CALL \$192.50 / CUSIP: / Symbol: 04/10/23	1.000	157.98	04/10/23	85.00	...	72.98	Option sale to close-call <sup>25</sup>
TSLA 04/14/2023 CALL \$197.50 / CUSIP: / Symbol: 04/13/23	1.000	8.98	04/11/23	102.00	...	-93.02	Option sale to close-call <sup>25</sup>
TSLA 05/19/2023 CALL \$167.50 / CUSIP: / Symbol: 05/17/23	1.000	419.98	05/16/23	310.00	...	109.98	Option sale to close-call <sup>25</sup>
TSLA 05/19/2023 CALL \$172.50 / CUSIP: / Symbol: 05/17/23	2.000	429.98	Various	364.00	...	65.98	Total of 2 transactions
TSLA 05/19/2023 PUT \$172.50 / CUSIP: / Symbol: 05/18/23	2.000	327.98	Various	470.00	...	-142.02	Total of 2 transactions
TSLA 05/26/2023 CALL \$182.50 / CUSIP: / Symbol: 05/24/23	5.000	1,379.94	Various	1,166.00	...	213.94	Total of 4 transactions

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TSLA 05/26/2023 CALL \$185.00 / CUSIP: / Symbol:							
05/26/23	2.000	639.98	Various	248.00	...	391.98	Total of 2 transactions
TSLA 05/26/2023 CALL \$187.50 / CUSIP: / Symbol:							
05/26/23	2.000	395.98	Various	196.04	...	199.94	Total of 2 transactions
05/26/23	2.000	253.96	Various	334.02	80.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>649.94</b>		<b>530.06</b>	<b>80.06 W</b>	<b>199.94</b>	
TSLA 06/02/2023 CALL \$197.50 / CUSIP: / Symbol:							
06/01/23	2.000	1,020.96	Various	734.00	...	286.96	Total of 2 transactions
TSLA 06/02/2023 CALL \$200.00 / CUSIP: / Symbol:							
06/01/23	7.000	3,975.93	Various	1,815.00	...	2,160.93	Total of 6 transactions
TSLA 06/02/2023 CALL \$202.50 / CUSIP: / Symbol:							
06/01/23	23.000	6,696.84	Various	6,475.10	...	221.74	Total of 13 transactions
06/01/23	20.000	4,739.90	Various	5,480.00	740.10 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>11,436.74</b>		<b>11,955.10</b>	<b>740.10 W</b>	<b>221.74</b>	
TSLA 06/02/2023 CALL \$205.00 / CUSIP: / Symbol:							
06/01/23	10.000	1,619.94	Various	3,827.10	...	-2,207.16	Total of 8 transactions
06/01/23	17.000	2,753.90	Various	5,225.10	2,471.20 W	0.00	Total of 11 transactions
	<b>Security total:</b>	<b>4,373.84</b>		<b>9,052.20</b>	<b>2,471.20 W</b>	<b>-2,207.16</b>	
TSLA 06/02/2023 PUT \$177.50 / CUSIP: / Symbol:							
05/30/23	1.000	37.98	05/26/23	59.00	...	-21.02	Option sale to close-put <sup>25</sup>
TSLA 06/09/2023 CALL \$217.50 / CUSIP: / Symbol:							
06/07/23	5.000	5,649.94	Various	1,910.00	...	3,739.94	Total of 3 transactions
TSLA 06/09/2023 CALL \$220.00 / CUSIP: / Symbol:							
06/06/23	2.000	789.98	06/05/23	830.00	...	-40.02	Option sale to close-call <sup>25</sup>
TSLA 06/09/2023 CALL \$225.00 / CUSIP: / Symbol:							
06/08/23	10.000	3,849.85	Various	3,550.00	...	299.85	Total of 8 transactions
TSLA 06/09/2023 CALL \$227.50 / CUSIP: / Symbol:							
06/08/23	21.000	6,509.89	Various	5,935.00	...	574.89	Total of 6 transactions

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TSLA 06/09/2023 PUT \$210.00 / CUSIP: / Symbol: 06/06/23	1.000	282.98	06/05/23	209.00	...	73.98	Option sale to close-put <sup>25</sup>
TSLA 06/09/2023 PUT \$225.00 / CUSIP: / Symbol: 06/09/23	3.000	5.98	Various	232.00	...	-226.02	Total of 2 transactions
TSLA 06/09/2023 PUT \$227.50 / CUSIP: / Symbol: 06/09/23	1.000	2.98	06/08/23	254.00	...	-251.02	Option sale to close-put <sup>25</sup>
TSLA 06/09/2023 PUT \$230.00 / CUSIP: / Symbol: 06/09/23	1.000	5.98	06/08/23	310.00	...	-304.02	Option sale to close-put <sup>25</sup>
TSLA 06/16/2023 CALL \$253.33 / CUSIP: / Symbol: 06/14/23	1.000	779.98	06/13/23	595.00	...	184.98	Option sale to close-call <sup>25</sup>
TSLA 06/16/2023 CALL \$257.50 / CUSIP: / Symbol: 06/15/23	2.000	311.96	Various	850.00	...	-538.04	Total of 2 transactions
TSLA 06/16/2023 CALL \$260.00 / CUSIP: / Symbol: 06/15/23	3.000	455.98	06/14/23	1,200.00	...	-744.02	Option sale to close-call <sup>25</sup>
TSLA 06/16/2023 PUT \$247.50 / CUSIP: / Symbol: 06/13/23	1.000	341.98	06/12/23	620.00	...	-278.02	Option sale to close-put <sup>25</sup>
TSLA 06/16/2023 PUT \$250.00 / CUSIP: / Symbol: 06/13/23	1.000	429.98	06/12/23	679.00	...	-249.02	Option sale to close-put <sup>25</sup>
TSLA 06/16/2023 PUT \$255.00 / CUSIP: / Symbol: 06/16/23	1.000	195.98	06/15/23	538.02	...	-342.04	Option sale to close-put <sup>25</sup>
06/16/23	1.000	195.98	06/15/23	405.00	209.02 W	0.00	Option sale to close-put <sup>25</sup>
<b>Security total:</b>		<b>391.96</b>		<b>943.02</b>	<b>209.02 W</b>	<b>-342.04</b>	
TSLA 06/23/2023 CALL \$255.00 / CUSIP: / Symbol: 06/15/23	4.000	2,539.96	Various	3,670.00	...	-1,130.04	Total of 3 transactions
TSLA 06/23/2023 CALL \$257.50 / CUSIP: / Symbol: 06/23/23	19.000	2,849.92	Various	3,971.00	...	-1,121.08	Total of 2 transactions

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Robinhood Markets Inc. as agent for  
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Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

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02/12/2024

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TSLA 06/23/2023 CALL \$262.50 / CUSIP: / Symbol:							
06/21/23	1.000	1,539.97	06/20/23	675.00	...	864.97	Option sale to close-call <sup>25</sup>
TSLA 06/23/2023 CALL \$267.50 / CUSIP: / Symbol:							
06/22/23	5.000	549.95	Various	3,105.01	...	-2,555.06	Total of 3 transactions
06/22/23	5.000	549.98	06/21/23	2,725.00	1,305.01 W	-870.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,099.93</b>		<b>5,830.01</b>	<b>1,305.01 W</b>	<b>-3,425.07</b>	
TSLA 06/23/2023 PUT \$245.00 / CUSIP: / Symbol:							
06/12/23	1.000	849.98	06/09/23	745.00	...	104.98	Option sale to close-put <sup>25</sup>
TSLA 06/30/2023 CALL \$255.00 / CUSIP: / Symbol:							
06/26/23	1.000	607.98	06/23/23	860.00	...	-252.02	Option sale to close-call <sup>25</sup>
TSLA 06/30/2023 CALL \$275.00 / CUSIP: / Symbol:							
06/22/23	1.000	339.98	06/21/23	937.00	...	-597.02	Option sale to close-call <sup>25</sup>
TSLA 06/30/2023 PUT \$245.00 / CUSIP: / Symbol:							
06/28/23	1.000	247.98	06/27/23	560.00	...	-312.02	Option sale to close-put <sup>25</sup>
TSLA 06/30/2023 PUT \$247.50 / CUSIP: / Symbol:							
06/28/23	1.000	329.98	06/27/23	550.00	...	-220.02	Option sale to close-put <sup>25</sup>
TSLA 06/30/2023 PUT \$250.00 / CUSIP: / Symbol:							
06/28/23	1.000	434.98	06/27/23	590.00	...	-155.02	Option sale to close-put <sup>25</sup>
TSLA 06/30/2023 PUT \$257.50 / CUSIP: / Symbol:							
06/27/23	1.000	1,499.97	06/26/23	705.00	...	794.97	Option sale to close-put <sup>25</sup>
TSLA 07/07/2023 CALL \$260.00 / CUSIP: / Symbol:							
06/30/23	1.000	964.98	06/29/23	915.00	...	49.98	Option sale to close-call <sup>25</sup>
TSLA 07/07/2023 CALL \$280.00 / CUSIP: / Symbol:							
07/06/23	2.000	305.98	Various	758.00	...	-452.02	Total of 2 transactions
TSLA 07/07/2023 PUT \$265.00 / CUSIP: / Symbol:							
06/30/23	3.000	3,014.96	Various	2,895.00	...	119.96	Total of 2 transactions

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TSLA 07/14/2023 CALL \$272.50 / CUSIP: / Symbol:							
07/12/23	2.000	1,139.98	Various	758.00	...	381.98	Total of 2 transactions
TSLA 07/21/2023 CALL \$287.50 / CUSIP: / Symbol:							
07/18/23	1.000	1,159.98	07/17/23	1,080.00	...	79.98	Option sale to close-call <sup>25</sup>
TSLA 07/21/2023 CALL \$290.00 / CUSIP: / Symbol:							
07/19/23	1.000	1,434.97	07/18/23	1,060.00	...	374.97	Option sale to close-call <sup>25</sup>
TSLA 07/21/2023 CALL \$297.50 / CUSIP: / Symbol:							
07/19/23	1.000	1,019.98	07/18/23	935.00	...	84.98	Option sale to close-call <sup>25</sup>
07/20/23	2.000	147.98	Various	1,800.00	...	-1,652.02	Total of 2 transactions
<b>Security total:</b>		<b>1,167.96</b>		<b>2,735.00</b>	...	<b>-1,567.04</b>	
TSLA 07/21/2023 CALL \$340.00 / CUSIP: / Symbol:							
07/10/23	1.000	108.98	07/07/23	163.00	...	-54.02	Option sale to close-call <sup>25</sup>
TSLA 07/28/2023 CALL \$267.50 / CUSIP: / Symbol:							
07/26/23	1.000	309.98	07/25/23	450.00	...	-140.02	Option sale to close-call <sup>25</sup>
TSLA 08/04/2023 CALL \$260.00 / CUSIP: / Symbol:							
07/28/23	1.000	674.98	07/27/23	575.00	...	99.98	Option sale to close-call <sup>25</sup>
TSLA 08/04/2023 CALL \$267.50 / CUSIP: / Symbol:							
08/01/23	1.000	253.98	07/31/23	475.00	...	-221.02	Option sale to close-call <sup>25</sup>
TSLA 08/04/2023 PUT \$267.50 / CUSIP: / Symbol:							
07/31/23	1.000	579.98	07/28/23	630.00	...	-50.02	Option sale to close-put <sup>25</sup>
TSLA 08/11/2023 CALL \$252.50 / CUSIP: / Symbol:							
08/07/23	2.000	723.98	Various	608.00	...	115.98	Total of 2 transactions
TSLA 09/29/2023 CALL \$240.00 / CUSIP: / Symbol:							
09/29/23	1.000	919.95	09/28/23	335.00	...	584.95	Option sale to close-call <sup>25</sup>

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TSLA 09/29/2023 CALL \$245.00 / CUSIP: / Symbol:							
09/27/23	2.000	889.98	09/27/23	740.00	...	149.98	Option sale to close-call <sup>25</sup>
09/27/23	2.000	709.98	09/27/23	770.00	60.02 W	0.00	Option sale to close-call <sup>25</sup>
09/28/23	3.000	740.98	Various	1,255.04	...	-514.06	Total of 3 transactions
09/28/23	4.000	987.98	Various	1,440.02	399.04 W	-53.00	Total of 2 transactions
	<b>Security total:</b>	<b>3,328.92</b>		<b>4,205.06</b>	<b>459.06 W</b>	<b>-417.08</b>	
TSLA 09/29/2023 CALL \$247.50 / CUSIP: / Symbol:							
09/26/23	1.000	439.98	09/25/23	440.00	...	-0.02	Option sale to close-call <sup>25</sup>
TSLA 09/29/2023 PUT \$240.00 / CUSIP: / Symbol:							
09/29/23	5.000	94.79	Various	927.14	...	-832.35	Total of 3 transactions
09/29/23	4.000	75.86	Various	588.00	512.14 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>170.65</b>		<b>1,515.14</b>	<b>512.14 W</b>	<b>-832.35</b>	
TSLA 10/06/2023 CALL \$247.50 / CUSIP: / Symbol:							
10/04/23	2.000	1,079.98	Various	815.00	...	264.98	Total of 2 transactions
TSLA 10/06/2023 CALL \$260.00 / CUSIP: / Symbol:							
10/06/23	5.000	119.98	10/05/23	1,045.00	...	-925.02	Option sale to close-call <sup>25</sup>
TSLA 10/06/2023 CALL \$262.50 / CUSIP: / Symbol:							
10/06/23	5.000	164.98	Various	909.00	...	-744.02	Total of 5 transactions
TSLA 10/06/2023 PUT \$257.50 / CUSIP: / Symbol:							
10/05/23	2.000	515.98	Various	621.00	...	-105.02	Total of 2 transactions
TSLA 10/13/2023 CALL \$255.00 / CUSIP: / Symbol:							
10/10/23	3.000	2,594.94	Various	1,430.00	...	1,164.94	Total of 3 transactions
TSLA 10/13/2023 CALL \$257.50 / CUSIP: / Symbol:							
10/13/23	1.000	93.98	10/12/23	270.00	...	-176.02	Option sale to close-call <sup>25</sup>
TSLA 10/13/2023 CALL \$260.00 / CUSIP: / Symbol:							
10/13/23	1.000	122.98	10/12/23	257.00	...	-134.02	Option sale to close-call <sup>25</sup>

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TSLA 10/13/2023 CALL \$267.50 / CUSIP: / Symbol:							
10/11/23	69.000	17,578.69	Various	18,753.05	...	-1,174.36	Total of 18 transactions
10/11/23	11.000	3,854.95	Various	4,450.04	595.09 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>21,433.64</b>		<b>23,203.09</b>	<b>595.09 W</b>	<b>-1,174.36</b>	
TSLA 10/13/2023 PUT \$250.00 / CUSIP: / Symbol:							
10/09/23	1.000	399.98	10/06/23	219.00	...	180.98	Option sale to close-put <sup>25</sup>
TSLA 10/13/2023 PUT \$260.00 / CUSIP: / Symbol:							
10/11/23	1.000	176.98	10/10/23	170.00	...	6.98	Option sale to close-put <sup>25</sup>
TSLA 10/20/2023 CALL \$222.50 / CUSIP: / Symbol:							
10/20/23	3.000	113.98	Various	753.00	...	-639.02	Total of 2 transactions
TSLA 10/20/2023 CALL \$245.00 / CUSIP: / Symbol:							
10/19/23	1.000	19.98	10/18/23	700.00	...	-680.02	Option sale to close-call <sup>25</sup>
TSLA 10/20/2023 CALL \$250.00 / CUSIP: / Symbol:							
10/17/23	1.000	904.98	10/17/23	675.00	...	229.98	Option sale to close-call <sup>25</sup>
TSLA 10/20/2023 PUT \$242.50 / CUSIP: / Symbol:							
10/17/23	2.000	849.98	Various	683.00	...	166.98	Total of 2 transactions
TSLA 10/27/2023 CALL \$210.00 / CUSIP: / Symbol:							
10/24/23	1.000	1,001.95	10/23/23	310.00	...	691.95	Option sale to close-call <sup>25</sup>
TSLA 10/27/2023 CALL \$212.50 / CUSIP: / Symbol:							
10/23/23	1.000	444.98	10/20/23	725.02	...	-280.04	Option sale to close-call <sup>25</sup>
10/23/23	1.000	444.98	10/20/23	575.00	130.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>889.96</b>		<b>1,300.02</b>	<b>130.02 W</b>	<b>-280.04</b>	
TSLA 10/27/2023 CALL \$217.50 / CUSIP: / Symbol:							
10/25/23	1.000	272.95	10/24/23	383.19	...	-110.24	Option sale to close-call <sup>25</sup>
10/25/23	2.000	545.90	Various	694.14	148.24 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>818.85</b>		<b>1,077.33</b>	<b>148.24 W</b>	<b>-110.24</b>	
TSLA 10/27/2023 CALL \$222.50 / CUSIP: / Symbol:							
10/23/23	1.000	157.98	10/20/23	287.00	...	-129.02	Option sale to close-call <sup>25</sup>

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2023 1099-B\* OMB No. 1545-0715

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TSLA 12/22/2023 CALL \$252.50 / CUSIP: / Symbol: 12/21/23	1.000	349.95	12/20/23	226.03	...	123.92	Option sale to close-call <sup>25</sup>
TSLA 12/22/2023 CALL \$262.50 / CUSIP: / Symbol: 12/18/23	1.000	319.95	12/15/23	221.03	...	98.92	Option sale to close-call <sup>25</sup>
TSLA 12/22/2023 PUT \$255.00 / CUSIP: / Symbol: 12/20/23	1.000	298.95	12/19/23	320.03	...	-21.08	Option sale to close-put <sup>25</sup>
TSLA 12/22/2023 PUT \$257.50 / CUSIP: / Symbol: 12/19/23	1.000	589.95	12/18/23	465.03	...	124.92	Option sale to close-put <sup>25</sup>
TSLA 12/29/2023 CALL \$255.00 / CUSIP: / Symbol: 12/26/23	1.000	439.95	12/22/23	412.03	...	27.92	Option sale to close-call <sup>25</sup>
TSLA 12/29/2023 PUT \$252.50 / CUSIP: / Symbol: 12/22/23	7.000	2,309.75	Various	3,460.21	...	-1,150.46	Total of 5 transactions
TSLA 12/29/2023 PUT \$255.00 / CUSIP: / Symbol: 12/27/23	2.000	223.92	12/26/23	966.20	...	-742.28	Option sale to close-put <sup>25</sup>
12/27/23	2.000	233.92	12/26/23	650.06	416.14 W	0.00	Option sale to close-put <sup>25</sup>
<b>Security total:</b>		<b>457.84</b>		<b>1,616.26</b>	<b>416.14 W</b>	<b>-742.28</b>	
UPST 08/11/2023 CALL \$37.50 / CUSIP: / Symbol: 08/10/23	1.000	34.98	08/09/23	178.00	...	-143.02	Option sale to close-call <sup>25</sup>
V 06/16/2023 PUT \$225.00 / CUSIP: / Symbol: 06/16/23	1.000	24.98	06/15/23	92.00	...	-67.02	Option sale to close-put <sup>25</sup>
V 06/23/2023 CALL \$227.50 / CUSIP: / Symbol: 06/21/23	1.000	77.98	06/20/23	147.00	...	-69.02	Option sale to close-call <sup>25</sup>
V 10/27/2023 CALL \$237.50 / CUSIP: / Symbol: 10/25/23	1.000	167.95	10/24/23	320.03	...	-152.08	Option sale to close-call <sup>25</sup>

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
06/05/23	100.000	459.99	06/02/23	463.00	3.01 W	0.00	Sale <sup>25</sup>
06/12/23	100.000	476.99	06/09/23	470.00	...	6.99	Sale <sup>25</sup>
06/13/23	100.000	482.00	06/12/23	476.74	...	5.26	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,418.98</b>		<b>1,409.74</b>	<b>3.01 W</b>	<b>12.25</b>	
ACTELIS NETWORKS, INC. COMMON STOCK / CUSIP: 00503R409 / Symbol:							
05/31/23	220.000	577.46	05/30/23	590.70	...	-13.24	Sale <sup>25</sup>
AIRSPAN NETWORKS HOLDINGS INC. / CUSIP: 00951K104 / Symbol:							
06/05/23	1,156.000	193.57	Various	250.28	...	-56.71	Total of 10 transactions
06/05/23	1,117.000	187.20	Various	221.88	28.03 W	-6.65	Total of 7 transactions
	<b>Security total:</b>	<b>380.77</b>		<b>472.16</b>	<b>28.03 W</b>	<b>-63.36</b>	
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
05/31/23	50.000	3,967.86	05/30/23	4,013.92	...	-46.06	Sale <sup>25</sup>
ALLY FINANCIAL INC. / CUSIP: 02005N100 / Symbol:							
07/19/23	100.000	2,810.90	07/18/23	2,883.49	...	-72.59	Sale <sup>25</sup>
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
06/16/23	100.000	12,675.16	06/15/23	12,592.00	...	83.16	Sale <sup>25</sup>
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
06/12/23	1,000.000	530.94	06/09/23	534.60	...	-3.66	Sale <sup>25</sup>
AUTOZONE, INC. / CUSIP: 053332102 / Symbol:							
06/20/23	7.000	17,351.81	06/16/23	17,779.93	...	-428.12	Sale <sup>25</sup>
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
06/02/23	500.000	5,180.70	Various	5,033.60	...	147.10	Total of 6 transactions
06/12/23	200.000	2,444.53	Various	2,478.27	...	-33.74	Total of 2 transactions
	<b>Security total:</b>	<b>7,625.23</b>		<b>7,511.87</b>	...	<b>113.36</b>	
BIG LOTS, INC. / CUSIP: 089302103 / Symbol:							
06/12/23	100.000	654.18	Various	714.00	...	-59.82	Total of 2 transactions

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 990180150

## Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLACKLINE, INC. COMMON STOCK / CUSIP: 09239B109 / Symbol:							
05/26/23	30.000	1,497.38	05/25/23	1,533.60	...	-36.22	Sale <sup>25</sup>
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
06/12/23	100.000	605.07	06/09/23	619.95	...	-14.88	Sale <sup>25</sup>
09/29/23	100.000	306.99	09/28/23	304.00	...	2.99	Sale <sup>25</sup>
	<b>Security total:</b>	<b>912.06</b>		<b>923.95</b>	...	<b>-11.89</b>	
CANOPY GROWTH CORPORATION COMMON SHARES / CUSIP: 138035100 / Symbol:							
06/05/23	500.000	410.93	06/02/23	428.90	...	-17.97	Sale <sup>25</sup>
CYXTERA TECHNOLOGIES INC CLASS A COMMON STOCK / CUSIP: 23284C102 / Symbol:							
06/12/23	5,000.000	269.35	Various	343.50	...	-74.15	Total of 7 transactions
DISH NETWORK CORPORATION CLASS A COMMON STOCK / CUSIP: 25470M109 / Symbol:							
05/30/23	400.000	2,704.35	Various	2,738.61	...	-34.26	Total of 2 transactions
DONGFENG MOTOR GROUP CO. LTD UNSPONSORED ADR REPRESENTING SH S H (CHINA) / CUSIP: 257738203 / Symbol:							
06/13/23	100.000	2,456.97	06/12/23	2,419.00	...	37.97	Sale <sup>25</sup>
EPAM SYSTEMS, INC. / CUSIP: 29414B104 / Symbol:							
06/08/23	50.000	10,389.41	06/07/23	10,374.36	...	15.05	Sale <sup>25</sup>
ERASCA, INC. COMMON STOCK / CUSIP: 29479A108 / Symbol:							
06/12/23	100.000	298.99	06/09/23	280.96	...	18.03	Sale <sup>25</sup>
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
10/10/23	400.000	6,571.00	10/09/23	6,450.00	...	121.00	Sale <sup>25</sup>
10/16/23	1,500.000	22,778.89	Various	22,324.70	...	454.19	Total of 8 transactions
10/20/23	1,100.000	16,070.82	10/19/23	16,654.00	530.16 W	-53.02	Sale <sup>25</sup>
10/24/23	1,000.000	14,881.63	10/23/23	14,660.16	...	221.47	Sale <sup>25</sup>
12/21/23	560.000	10,317.83	Various	10,147.56	...	170.27	Total of 2 transactions
	<b>Security total:</b>	<b>70,620.17</b>		<b>70,236.42</b>	<b>530.16 W</b>	<b>913.91</b>	
FIRST HORIZON CORPORATION / CUSIP: 320517105 / Symbol:							
07/19/23	200.000	2,599.94	07/18/23	2,560.00	...	39.94	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 990180150

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FOCUS UNIVERSAL INC. COMMON STOCK / CUSIP: 34417J104 / Symbol:							
05/30/23	100.000	159.15	05/26/23	152.00	...	7.15	Sale <sup>25</sup>
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
05/30/23	100.000	170.99	05/26/23	161.00	...	9.99	Sale <sup>25</sup>
06/05/23	100.000	165.99	06/02/23	164.00	...	1.99	Sale <sup>25</sup>
	<b>Security total:</b>	<b>336.98</b>		<b>325.00</b>	...	<b>11.98</b>	
GOLD RESOURCE CORPORATION / CUSIP: 38068T105 / Symbol:							
05/30/23	200.000	155.90	Various	158.24	...	-2.34	Total of 2 transactions
IHEARTMEDIA, INC. CLASS A COMMON STOCK / CUSIP: 45174J509 / Symbol:							
05/30/23	100.000	239.09	05/26/23	228.00	...	11.09	Sale <sup>25</sup>
JAGUAR HEALTH, INC. COMMON STOCK / CUSIP: 47010C706 / Symbol:							
05/30/23	200.000	135.99	05/26/23	132.86	...	3.13	Sale <sup>25</sup>
06/05/23	1,000.000	582.44	06/02/23	580.00	...	2.44	Sale <sup>25</sup>
	<b>Security total:</b>	<b>718.43</b>		<b>712.86</b>	...	<b>5.57</b>	
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
06/05/23	100.000	658.98	06/02/23	652.00	...	6.98	Sale <sup>25</sup>
METHODE ELECTRONICS / CUSIP: 591520200 / Symbol:							
06/16/23	100.000	3,730.46	Various	3,729.49	...	0.97	Total of 3 transactions
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
06/16/23	200.000	939.96	Various	1,025.76	...	-85.80	Total of 2 transactions
MULLEN AUTOMOTIVE, INC. COMMON STOCK / CUSIP: 62526P208 / Symbol:							
05/30/23	200.000	168.99	05/26/23	169.40	0.41 W	0.00	Sale <sup>25</sup>
06/01/23	1,000.000	735.41	Various	733.41	...	2.00	Total of 5 transactions
06/13/23	1,000.000	356.55	Various	385.60	...	-29.05	Total of 2 transactions
	<b>Security total:</b>	<b>1,260.95</b>		<b>1,288.41</b>	<b>0.41 W</b>	<b>-27.05</b>	
NAVIDEA BIOPHARMACEUTICALS INC COMMON SHARES / CUSIP: 63937X202 / Symbol:							
06/05/23	1,000.000	95.95	06/02/23	94.00	...	1.95	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 990180150

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
05/30/23	100.000	60.00	05/26/23	59.01	...	0.99	Sale <sup>25</sup>
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
10/18/23	60.000	25,850.18	10/17/23	26,367.60	...	-517.42	Sale <sup>25</sup>
PANBELA THERAPEUTICS, INC. COM MON STOCK / CUSIP: 69833W305 / Symbol:							
06/05/23	93.000	576.58	Various	775.02	...	-198.44	Total of 4 transactions
06/05/23	87.000	539.38	Various	627.02	87.64 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,115.96</b>		<b>1,402.04</b>	<b>87.64 W</b>	<b>-198.44</b>	
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
06/20/23	100.000	6,776.65	06/16/23	6,624.00	...	152.65	Sale <sup>25</sup>
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
06/05/23	100.000	880.98	06/02/23	856.00	...	24.98	Sale <sup>25</sup>
06/20/23	200.000	2,083.25	Various	2,186.89	...	-103.64	Total of 2 transactions
10/10/23	800.000	5,143.83	10/09/23	5,086.88	...	56.95	Sale <sup>25</sup>
	<b>Security total:</b>	<b>8,108.06</b>		<b>8,129.77</b>	...	<b>-21.71</b>	
RAIN ONCOLOGY INC. COMMON STOC K / CUSIP: 75082Q105 / Symbol:							
06/05/23	200.000	241.97	06/02/23	254.00	...	-12.03	Sale <sup>25</sup>
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
06/12/23	200.000	2,764.00	Various	2,830.70	...	-66.70	Total of 2 transactions
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
06/16/23	100.000	7,179.93	06/15/23	7,173.14	...	6.79	Sale <sup>25</sup>
SILVERGATE CAPITAL CORPORATION CLASS A COMMON STOCK / CUSIP: 82837P408 / Symbol:							
01/09/23	100.000	1,212.41	01/05/23	1,178.57	...	33.84	Sale <sup>25</sup>
SOUNDHOUND AI, INC CLASS A COM MON STOCK / CUSIP: 836100107 / Symbol:							
05/31/23	100.000	306.99	05/30/23	321.50	...	-14.51	Sale <sup>25</sup>
TERRAN ORBITAL CORPORATION / CUSIP: 88105P103 / Symbol:							
05/30/23	100.000	122.49	05/26/23	119.79	...	2.70	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 990180150

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TILRAY BRANDS, INC. COMMON STO CK / CUSIP: 88688T100 / Symbol:							
05/25/23	100.000	239.13	05/24/23	239.00	...	0.13	Sale <sup>25</sup>
05/30/23	100.000	187.46	05/26/23	189.53	...	-2.07	Sale <sup>25</sup>
	<b>Security total:</b>	<b>426.59</b>		<b>428.53</b>	...	<b>-1.94</b>	
STELLANTIS N.V. / CUSIP: N82405106 / Symbol:							
06/13/23	100.000	1,670.97	06/12/23	1,641.88	...	29.09	Sale <sup>25</sup>
IRIS ENERGY LIMITED ORDINARY S HARES / CUSIP: Q4982L109 / Symbol:							
01/03/23	450.000	524.25	Various	539.65	...	-15.40	Total of 4 transactions
05/25/23	70.000	258.99	05/24/23	252.70	...	6.29	Sale <sup>25</sup>
05/30/23	100.000	379.98	Various	354.00	...	25.98	Total of 2 transactions
06/05/23	100.000	350.99	06/02/23	367.00	...	-16.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,514.21</b>		<b>1,513.35</b>	...	<b>0.86</b>	
<b>Totals :</b>		<b>882,888.13</b>		<b>1,010,383.32</b>	<b>80,003.93 W</b>	<b>-47,491.26</b>	

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Robinhood Markets Inc. as agent for  
 Robinhood Securities LLC  
 2023

**Detail for Interest Income**

Account 990180150

02/12/2024

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
INTEREST PAYMENT		10/31/23	6.15	Interest	
			<b>6.15</b>	<b>Total Interest</b>	

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 990180150

## Fees and Expenses

2023

02/12/2024

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		06/26/23	-8.73	Margin interest paid	
		07/25/23	-0.28	Margin interest paid	
		10/23/23	-6.87	Margin interest paid	
		10/31/23	-4.87	Margin interest paid	
			-20.75	Total Margin interest paid	
Gold Subscription fee	GLDSUBFEE	01/17/23	-5.00	Subscription expense	
		02/14/23	-5.00	Subscription expense	
		03/16/23	-5.00	Subscription expense	
		04/17/23	-5.00	Subscription expense	
		05/15/23	-5.00	Subscription expense	
		06/14/23	-5.00	Subscription expense	
		07/14/23	-5.00	Subscription expense	
		08/14/23	-5.00	Subscription expense	
		09/12/23	-5.00	Subscription expense	
		10/12/23	-5.00	Subscription expense	
		11/13/23	-5.00	Subscription expense	
		12/13/23	-5.00	Subscription expense	
		-60.00	Total Subscription expense		
		<b>-20.75</b>	<b>Total Margin interest paid</b>		
		<b>-60.00</b>	<b>Total Subscription expense</b>		

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5),

**Instructions for Recipient**

shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Lines 15-17.** State tax withheld reporting lines.

**Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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