



Satish kumar reddy Burugu  
24583 East Mineral Drive  
Aurora, CO 80016

**Master Account Number for Import:**  
127808392  
**Document ID for Import:**  
01VWZHTMQ00

**Enclosed is your 2023 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:**

127808392 - Robinhood Securities LLC

127808392C - Robinhood Crypto LLC

**New for 2023 Tax Year** - 1099 tax forms for Robinhood Markets Inc. will be displayed using an aggregated format based on key tax lot criteria. We have done this to reduce the size of your overall tax package, as well as to help make your tax documents easier to use. This new format still provides you with all the information you need to file your taxes.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2023 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

**Filing Your Taxes** - If filing through tax service providers, such as TurboTax or H&R Block, please utilize the Master Account Number and Document ID listed above. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

This page intentionally left blank.

<b>Robinhood Markets Inc. as agent for Robinhood Securities LLC</b> 85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700  PAYER'S TIN: 46-4364776	<b>Tax Information</b> <b>Account 127808392</b>	Statement Date: 02/12/2024	2023
	Satish kumar reddy Burugu 24583 East Mineral Drive Aurora, CO 80016  RECIPIENT'S TIN: XXX-XX-1295		

**Summary Information**

<p>11 - [ ] FATCA filing requirement (see instructions)</p> <p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2023 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      51.72</p> <p>1b- Qualified dividends      51.63</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.06</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession: See detail      0.87</p> <p>7- Foreign tax paid:      0.87</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>12- Exempt-interest dividends (includes line 13)      0.00</p> <p>13- Specified private activity bond interest dividends (AMT)      0.00</p>	<p>13 - [ ] FATCA filing requirement (see instructions)</p> <p><b>MISCELLANEOUS INFORMATION</b>      <b>2023 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      10.99</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2023 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2023 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2022      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2023      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
---	--

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	43,517.67	51,942.29	0.00	4,472.98	-3,951.64
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>43,517.67</b>	<b>51,942.29</b>	<b>0.00</b>	<b>4,472.98</b>	<b>-3,951.64</b>
Long	D (basis reported to the IRS)	5,133.12	5,903.78	0.00	167.79	-602.87
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>5,133.12</b>	<b>5,903.78</b>	<b>0.00</b>	<b>167.79</b>	<b>-602.87</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>48,650.79</b>	<b>57,846.07</b>	<b>0.00</b>	<b>4,640.77</b>	<b>-4,554.51</b>

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

## Summary Information

Account 127808392

2023

(continued)

02/12/2024

### INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement [ ]	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	821.28
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	60.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

## Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

02/12/2024

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AAL 01/27/2023 CALL \$16.00 / CUSIP: / Symbol:							
01/27/23	1.000	59.98	01/26/23	34.00	...	25.98	Option sale to close-call <sup>25</sup>
AAPL 01/19/2024 CALL \$200.00 / CUSIP: / Symbol:							
12/06/23	1.000	192.95	12/05/23	229.03	...	-36.08	Option sale to close-call <sup>25</sup>
AAPL 03/17/2023 PUT \$140.00 / CUSIP: / Symbol:							
02/17/23	1.000	120.98	02/16/23	77.00	...	43.98	Option sale to close-put <sup>25</sup>
AAPL 06/02/2023 CALL \$180.00 / CUSIP: / Symbol:							
05/31/23	1.000	67.98	05/30/23	65.00	...	2.98	Option sale to close-call <sup>25</sup>
AAPL 06/09/2023 CALL \$175.00 / CUSIP: / Symbol:							
05/25/23	1.000	240.98	05/25/23	202.00	...	38.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 06/09/2023 CALL \$180.00 / CUSIP: / Symbol:							
05/30/23	1.000	190.98	05/26/23	118.00	...	72.98	Option sale to close-call <sup>25</sup>
06/08/23	1.000	39.98	06/07/23	58.00	...	-18.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>230.96</b>		<b>176.00</b>	...	<b>54.96</b>	
AAPL 06/09/2023 CALL \$185.00 / CUSIP: / Symbol:							
06/05/23	1.000	247.98	06/05/23	235.00	...	12.98	Option sale to close-call <sup>25</sup>
AAPL 06/16/2023 CALL \$185.00 / CUSIP: / Symbol:							
06/16/23	1.000	81.98	06/15/23	150.00	...	-68.02	Option sale to close-call <sup>25</sup>
AAPL 06/16/2023 PUT \$135.00 / CUSIP: / Symbol:							
04/13/23	1.000	92.98	03/28/23	220.00	...	-127.02	Option sale to close-put <sup>25</sup>
AAPL 06/23/2023 CALL \$187.50 / CUSIP: / Symbol:							
06/20/23	1.000	84.98	06/16/23	70.00	...	14.98	Option sale to close-call <sup>25</sup>
06/23/23	1.000	12.98	06/22/23	49.00	...	-36.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>97.96</b>		<b>119.00</b>	...	<b>-21.04</b>	
AAPL 07/21/2023 CALL \$195.00 / CUSIP: / Symbol:							
07/17/23	1.000	75.98	07/14/23	36.00	...	39.98	Option sale to close-call <sup>25</sup>
ABT 07/07/2023 CALL \$108.00 / CUSIP: / Symbol:							
07/05/23	1.000	28.98	07/03/23	46.00	...	-17.02	Option sale to close-call <sup>25</sup>
AFRM 07/21/2023 CALL \$20.00 / CUSIP: / Symbol:							
07/19/23	18.000	287.94	Various	468.05	...	-180.11	Total of 3 transactions
07/19/23	31.000	495.91	07/19/23	651.00	95.05 W	-60.04	Option sale to close-call <sup>25</sup>
07/21/23	1.000	0.00	07/19/23	26.00	...	-26.00	Option expiration- long call
	<b>Security total:</b>	<b>783.85</b>		<b>1,145.05</b>	<b>95.05 W</b>	<b>-266.15</b>	
AI 02/10/2023 CALL \$30.00 / CUSIP: / Symbol:							
02/07/23	1.000	79.98	02/06/23	160.00	...	-80.02	Option sale to close-call <sup>25</sup>
AMC 03/17/2023 PUT \$3.00 / CUSIP: / Symbol:							
03/17/23	2.000	0.00	02/27/23	48.00	...	-48.00	Option expiration- long put

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 06/16/2023 PUT \$4.00 / CUSIP: / Symbol:							
04/25/23	1.000	50.98	04/12/23	125.00	...	-74.02	Option sale to close-put <sup>25</sup>
AMC 07/28/2023 CALL \$5.50 / CUSIP: / Symbol:							
07/28/23	4.000	1.98	Various	36.01	...	-34.03	Total of 2 transactions
07/28/23	6.000	5.98	07/27/23	30.00	16.01 W	-8.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>7.96</b>		<b>66.01</b>	<b>16.01 W</b>	<b>-42.04</b>	
AMC 07/28/2023 PUT \$4.00 / CUSIP: / Symbol:							
07/28/23	5.000	4.98	07/27/23	15.00	...	-10.02	Option sale to close-put <sup>25</sup>
AMD 02/03/2023 CALL \$86.00 / CUSIP: / Symbol:							
02/01/23	1.000	26.98	01/31/23	27.00	...	-0.02	Option sale to close-call <sup>25</sup>
AMD 05/19/2023 CALL \$99.00 / CUSIP: / Symbol:							
05/16/23	1.000	128.98	05/15/23	66.00	...	62.98	Option sale to close-call <sup>25</sup>
AMD 06/30/2023 CALL \$115.00 / CUSIP: / Symbol:							
06/28/23	1.000	10.98	06/26/23	50.00	...	-39.02	Option sale to close-call <sup>25</sup>
AMD 08/04/2023 CALL \$155.00 / CUSIP: / Symbol:							
08/04/23	2.000	0.00	08/01/23	18.00	...	-18.00	Option expiration- long call
AMD 08/04/2023 PUT \$105.00 / CUSIP: / Symbol:							
08/02/23	1.000	28.98	08/01/23	58.00	...	-29.02	Option sale to close-put <sup>25</sup>
AMZN 01/19/2024 CALL \$150.00 / CUSIP: / Symbol:							
12/13/23	1.000	423.95	12/12/23	354.03	...	69.92	Option sale to close-call <sup>25</sup>
AMZN 01/20/2023 CALL \$100.00 / CUSIP: / Symbol:							
01/17/23	1.000	83.98	01/17/23	115.00	...	-31.02	Option sale to close-call <sup>25</sup>
AMZN 06/23/2023 CALL \$130.00 / CUSIP: / Symbol:							
06/23/23	1.000	123.98	06/22/23	89.00	...	34.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 06/30/2023 CALL \$130.00 / CUSIP: / Symbol:							
06/28/23	1.000	201.98	06/27/23	119.00	...	82.98	Option sale to close-call <sup>25</sup>
06/30/23	5.000	213.92	Various	115.00	...	98.92	Total of 4 transactions
	<b>Security total:</b>	<b>415.90</b>		<b>234.00</b>	...	<b>181.90</b>	
AMZN 06/30/2023 CALL \$132.00 / CUSIP: / Symbol:							
06/29/23	5.000	39.98	Various	110.02	...	-70.04	Total of 2 transactions
06/29/23	35.000	279.86	Various	600.07	320.21 W	0.00	Total of 7 transactions
06/30/23	20.000	175.78	Various	470.12	...	-294.34	Total of 15 transactions
	<b>Security total:</b>	<b>495.62</b>		<b>1,180.21</b>	<b>320.21 W</b>	<b>-364.38</b>	
AMZN 06/30/2023 CALL \$133.00 / CUSIP: / Symbol:							
06/27/23	2.000	82.96	Various	102.00	...	-19.04	Total of 2 transactions
AMZN 07/07/2023 CALL \$131.00 / CUSIP: / Symbol:							
07/05/23	1.000	89.98	07/03/23	130.00	...	-40.02	Option sale to close-call <sup>25</sup>
AMZN 07/21/2023 CALL \$136.00 / CUSIP: / Symbol:							
07/13/23	1.000	138.98	07/12/23	51.00	...	87.98	Option sale to close-call <sup>25</sup>
ARKK 06/16/2023 CALL \$45.00 / CUSIP: / Symbol:							
06/14/23	1.000	45.98	06/13/23	43.00	...	2.98	Option sale to close-call <sup>25</sup>
ATVI 07/21/2023 CALL \$95.00 / CUSIP: / Symbol:							
07/17/23	1.000	26.98	07/12/23	20.00	...	6.98	Option sale to close-call <sup>25</sup>
BA 08/18/2023 CALL \$240.00 / CUSIP: / Symbol:							
06/15/23	1.000	299.98	06/14/23	350.00	50.02 W	0.00	Option sale to close-call <sup>25</sup>
06/16/23	1.000	454.98	06/15/23	370.02	...	84.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>754.96</b>		<b>720.02</b>	<b>50.02 W</b>	<b>84.96</b>	
BABA 02/16/2024 CALL \$75.00 / CUSIP: / Symbol:							
12/15/23	1.000	434.95	12/14/23	320.03	...	114.92	Option sale to close-call <sup>25</sup>
BABA 06/16/2023 CALL \$90.00 / CUSIP: / Symbol:							
05/10/23	1.000	279.98	05/09/23	225.00	...	54.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 07/14/2023 CALL \$100.00 / CUSIP: / Symbol:							
07/13/23	1.000	17.98	07/10/23	45.00	27.02 W	0.00	Option sale to close-call <sup>25</sup>
07/14/23	1.000	0.00	07/10/23	72.02	...	-72.02	Option expiration- long call
	<b>Security total:</b>	<b>17.98</b>		<b>117.02</b>	<b>27.02 W</b>	<b>-72.02</b>	
BABA 07/21/2023 CALL \$100.00 / CUSIP: / Symbol:							
07/12/23	1.000	103.98	07/12/23	70.00	...	33.98	Option sale to close-call <sup>25</sup>
BABA 07/21/2023 CALL \$105.00 / CUSIP: / Symbol:							
06/28/23	1.000	17.98	06/20/23	73.00	55.02 W	0.00	Option sale to close-call <sup>25</sup>
07/21/23	1.000	0.00	06/23/23	88.02	...	-88.02	Option expiration- long call
	<b>Security total:</b>	<b>17.98</b>		<b>161.02</b>	<b>55.02 W</b>	<b>-88.02</b>	
BABA 07/21/2023 CALL \$106.00 / CUSIP: / Symbol:							
07/21/23	4.000	0.00	07/10/23	184.00	...	-184.00	Option expiration- long call
BABA 07/21/2023 CALL \$95.00 / CUSIP: / Symbol:							
07/20/23	1.000	44.98	07/19/23	53.00	...	-8.02	Option sale to close-call <sup>25</sup>
BABA 07/21/2023 CALL \$96.00 / CUSIP: / Symbol:							
07/19/23	1.000	74.98	07/17/23	89.00	...	-14.02	Option sale to close-call <sup>25</sup>
BABA 07/28/2023 CALL \$105.00 / CUSIP: / Symbol:							
07/26/23	1.000	21.98	07/25/23	19.00	...	2.98	Option sale to close-call <sup>25</sup>
BABA 07/28/2023 CALL \$94.00 / CUSIP: / Symbol:							
07/20/23	1.000	201.98	07/19/23	235.00	33.02 W	0.00	Option sale to close-call <sup>25</sup>
07/21/23	1.000	187.98	07/20/23	202.02	...	-14.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>389.96</b>		<b>437.02</b>	<b>33.02 W</b>	<b>-14.04</b>	
BABA 07/28/2023 CALL \$98.00 / CUSIP: / Symbol:							
07/27/23	1.000	84.98	07/27/23	93.00	...	-8.02	Option sale to close-call <sup>25</sup>
BABA 08/25/2023 CALL \$97.00 / CUSIP: / Symbol:							
07/19/23	1.000	434.98	07/18/23	325.00	...	109.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BABA 09/15/2023 CALL \$110.00 / CUSIP: / Symbol:							
05/24/23	1.000	154.98	05/22/23	253.00	98.02 W	0.00	Option sale to close-call <sup>25</sup>
05/26/23	1.000	164.98	05/24/23	263.02	...	-98.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>319.96</b>		<b>516.02</b>	<b>98.02 W</b>	<b>-98.04</b>	
BAC 07/14/2023 CALL \$30.00 / CUSIP: / Symbol:							
07/13/23	5.000	44.98	07/12/23	35.00	...	9.98	Option sale to close-call <sup>25</sup>
BBBYQ 01/17/2025 CALL \$0.50 / CUSIP: / Symbol:							
07/06/23	4.000	19.98	04/04/23	96.00	...	-76.02	Option sale to close-call <sup>25</sup>
BP 02/17/2023 CALL \$36.00 / CUSIP: / Symbol:							
02/03/23	1.000	66.98	02/02/23	59.00	...	7.98	Option sale to close-call <sup>25</sup>
BP 08/04/2023 CALL \$39.00 / CUSIP: / Symbol:							
08/04/23	1.000	0.00	07/31/23	15.00	...	-15.00	Option expiration- long call
BYND 07/07/2023 CALL \$14.00 / CUSIP: / Symbol:							
07/05/23	1.000	30.98	07/03/23	47.02	...	-16.04	Option sale to close-call <sup>25</sup>
07/05/23	1.000	16.98	07/03/23	32.00	15.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>47.96</b>		<b>79.02</b>	<b>15.02 W</b>	<b>-16.04</b>	
CCL 02/10/2023 CALL \$13.00 / CUSIP: / Symbol:							
02/07/23	1.000	19.98	02/02/23	22.02	...	-2.04	Option sale to close-call <sup>25</sup>
02/07/23	1.000	19.98	02/02/23	21.00	1.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>39.96</b>		<b>43.02</b>	<b>1.02 W</b>	<b>-2.04</b>	
CHPT 07/07/2023 CALL \$9.00 / CUSIP: / Symbol:							
06/30/23	10.000	203.92	Various	160.00	...	43.92	Total of 8 transactions
07/05/23	5.000	86.92	Various	70.00	...	16.92	Total of 4 transactions
	<b>Security total:</b>	<b>290.84</b>		<b>230.00</b>	...	<b>60.84</b>	
CHPT 07/14/2023 CALL \$9.00 / CUSIP: / Symbol:							
07/05/23	1.000	37.98	07/03/23	35.00	...	2.98	Option sale to close-call <sup>25</sup>
07/12/23	1.000	30.98	07/03/23	35.00	...	-4.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>68.96</b>		<b>70.00</b>	...	<b>-1.04</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COIN 02/03/2023 CALL \$100.00 / CUSIP: / Symbol:							
02/03/23	1.000	21.98	02/02/23	17.00	...	4.98	Option sale to close-call <sup>25</sup>
COIN 02/03/2023 CALL \$64.00 / CUSIP: / Symbol:							
01/31/23	1.000	79.98	01/30/23	151.00	...	-71.02	Option sale to close-call <sup>25</sup>
COIN 02/03/2023 CALL \$65.00 / CUSIP: / Symbol:							
01/31/23	1.000	97.98	01/31/23	51.00	...	46.98	Option sale to close-call <sup>25</sup>
COIN 02/03/2023 CALL \$66.00 / CUSIP: / Symbol:							
02/01/23	1.000	233.98	02/01/23	50.00	...	183.98	Option sale to close-call <sup>25</sup>
COIN 06/16/2023 PUT \$50.00 / CUSIP: / Symbol:							
05/16/23	1.000	270.98	05/15/23	230.00	...	40.98	Option sale to close-put <sup>25</sup>
COIN 07/21/2023 CALL \$115.00 / CUSIP: / Symbol:							
07/20/23	1.000	178.98	07/19/23	117.00	...	61.98	Option sale to close-call <sup>25</sup>
COIN 08/18/2023 PUT \$45.00 / CUSIP: / Symbol:							
06/26/23	1.000	199.98	06/22/23	335.00	135.02 W	0.00	Option sale to close-put <sup>25</sup>
07/06/23	1.000	63.98	06/26/23	335.02	...	-271.04	Option sale to close-put <sup>25</sup>
<b>Security total:</b>		<b>263.96</b>		<b>670.02</b>	<b>135.02 W</b>	<b>-271.04</b>	
COIN 12/08/2023 PUT \$115.00 / CUSIP: / Symbol:							
11/28/23	2.000	311.90	Various	685.14	373.24 W	0.00	Total of 2 transactions
12/08/23	1.000	0.00	11/29/23	355.19	...	-355.19	Option expiration- long put
<b>Security total:</b>		<b>311.90</b>		<b>1,040.33</b>	<b>373.24 W</b>	<b>-355.19</b>	
CSCO 07/21/2023 CALL \$51.00 / CUSIP: / Symbol:							
07/17/23	1.000	23.98	07/14/23	21.00	...	2.98	Option sale to close-call <sup>25</sup>
CTXR 01/20/2023 CALL \$2.50 / CUSIP: / Symbol:							
01/20/23	1.000	0.00	05/25/22	20.00	...	-20.00	Option expiration- long call
CVNA 01/19/2024 CALL \$490.00 / CUSIP: / Symbol:							
07/11/23	2.000	11.98	02/03/23	18.00	...	-6.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CVNA 02/24/2023 CALL \$11.50 / CUSIP: / Symbol:							
02/24/23	1.000	0.00	02/23/23	71.00	...	-71.00	Option expiration- long call
CVNA 06/30/2023 CALL \$29.00 / CUSIP: / Symbol:							
06/30/23	1.000	13.98	06/29/23	27.00	...	-13.02	Option sale to close-call <sup>25</sup>
CVNA 06/30/2023 CALL \$30.00 / CUSIP: / Symbol:							
06/28/23	4.000	347.98	06/28/23	304.00	...	43.98	Option sale to close-call <sup>25</sup>
06/29/23	1.000	94.98	06/28/23	76.00	...	18.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>442.96</b>		<b>380.00</b>	...	<b>62.96</b>	
CVNA 06/30/2023 CALL \$32.00 / CUSIP: / Symbol:							
06/30/23	5.000	0.00	06/29/23	35.00	...	-35.00	Option expiration- long call
CVNA 06/30/2023 CALL \$35.00 / CUSIP: / Symbol:							
06/30/23	15.000	0.00	Various	93.13	...	-93.13	Total of 11 transactions
06/30/23	25.000	24.87	Various	127.21	100.34 W	-2.00	Total of 20 transactions
<b>Security total:</b>		<b>24.87</b>		<b>220.34</b>	<b>100.34 W</b>	<b>-95.13</b>	
DDOG 01/19/2024 CALL \$130.00 / CUSIP: / Symbol:							
12/29/23	1.000	134.95	12/26/23	194.03	...	-59.08	Option sale to close-call <sup>25</sup>
DIS 01/20/2023 PUT \$95.00 / CUSIP: / Symbol:							
01/20/23	1.000	0.00	01/19/23	18.00	...	-18.00	Option expiration- long put
DIS 07/21/2023 CALL \$100.00 / CUSIP: / Symbol:							
05/15/23	1.000	129.98	05/11/23	174.00	...	-44.02	Option sale to close-call <sup>25</sup>
DIS 07/21/2023 CALL \$93.00 / CUSIP: / Symbol:							
07/18/23	1.000	9.98	07/14/23	29.00	...	-19.02	Option sale to close-call <sup>25</sup>
DKNG 01/19/2024 CALL \$25.00 / CUSIP: / Symbol:							
05/05/23	1.000	516.98	05/24/22	274.00	...	242.98	Option sale to close-call <sup>25</sup>
DKNG 01/19/2024 CALL \$40.00 / CUSIP: / Symbol:							
05/05/23	1.000	115.98	06/02/22	112.00	...	3.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DKNG 01/20/2023 CALL \$14.00 / CUSIP: / Symbol:							
01/17/23	1.000	49.98	01/13/23	32.00	...	17.98	Option sale to close-call <sup>25</sup>
DKNG 07/28/2023 CALL \$34.00 / CUSIP: / Symbol:							
07/26/23	1.000	6.98	07/25/23	8.00	...	-1.02	Option sale to close-call <sup>25</sup>
EBAY 08/04/2023 CALL \$46.00 / CUSIP: / Symbol:							
08/04/23	1.000	0.00	07/31/23	15.00	...	-15.00	Option expiration- long call
EGO 10/20/2023 CALL \$17.00 / CUSIP: / Symbol:							
10/20/23	2.000	0.00	Various	20.00	...	-20.00	Total of 2 transactions
F 02/17/2023 CALL \$13.00 / CUSIP: / Symbol:							
01/24/23	1.000	43.98	01/23/23	42.00	...	1.98	Option sale to close-call <sup>25</sup>
F 06/02/2023 CALL \$12.50 / CUSIP: / Symbol:							
05/30/23	1.000	35.98	05/26/23	13.00	...	22.98	Option sale to close-call <sup>25</sup>
F 06/30/2023 CALL \$15.00 / CUSIP: / Symbol:							
06/30/23	10.000	122.92	Various	80.00	...	42.92	Total of 4 transactions
F 12/15/2023 PUT \$12.00 / CUSIP: / Symbol:							
06/28/23	1.000	39.98	06/23/23	54.00	...	-14.02	Option sale to close-put <sup>25</sup>
GOOGL 04/28/2023 CALL \$110.00 / CUSIP: / Symbol:							
04/04/23	1.000	208.98	04/03/23	169.00	...	39.98	Option sale to close-call <sup>25</sup>
GOOGL 06/23/2023 CALL \$123.00 / CUSIP: / Symbol:							
06/22/23	10.000	459.97	06/22/23	310.00	...	149.97	Option sale to close-call <sup>25</sup>
06/23/23	5.000	52.94	Various	155.00	...	-102.06	Total of 3 transactions
	<b>Security total:</b>	<b>512.91</b>		<b>465.00</b>	...	<b>47.91</b>	
GOOGL 07/07/2023 CALL \$123.00 / CUSIP: / Symbol:							
07/05/23	1.000	47.98	07/03/23	25.00	...	22.98	Option sale to close-call <sup>25</sup>
GOOGL 07/21/2023 CALL \$126.00 / CUSIP: / Symbol:							
07/20/23	1.000	37.98	07/17/23	110.00	...	-72.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOOGL 08/18/2023 CALL \$130.00 / CUSIP: / Symbol:							
07/06/23	1.000	178.98	07/05/23	228.00	...	-49.02	Option sale to close-call <sup>25</sup>
GOOGL 08/18/2023 CALL \$143.00 / CUSIP: / Symbol:							
08/18/23	1.000	0.00	07/31/23	33.00	...	-33.00	Option expiration- long call
HOOD 07/07/2023 CALL \$11.00 / CUSIP: / Symbol:							
07/07/23	10.000	0.00	07/05/23	120.00	...	-120.00	Option expiration- long call
HOOD 07/14/2023 CALL \$11.00 / CUSIP: / Symbol:							
07/10/23	1.000	32.98	07/03/23	30.00	...	2.98	Option sale to close-call <sup>25</sup>
HOOD 07/14/2023 CALL \$12.50 / CUSIP: / Symbol:							
07/13/23	2.000	29.97	Various	51.01	...	-21.04	Total of 2 transactions
07/13/23	2.000	29.99	07/13/23	44.00	14.01 W	0.00	Option sale to close-call <sup>25</sup>
07/14/23	1.000	8.98	07/13/23	29.00	...	-20.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>68.94</b>		<b>124.01</b>	<b>14.01 W</b>	<b>-41.06</b>	
HOOD 07/21/2023 CALL \$13.50 / CUSIP: / Symbol:							
07/17/23	3.000	36.96	Various	42.00	...	-5.04	Total of 2 transactions
HOOD 07/28/2023 CALL \$12.50 / CUSIP: / Symbol:							
07/18/23	1.000	48.98	07/17/23	64.00	...	-15.02	Option sale to close-call <sup>25</sup>
IBM 08/18/2023 CALL \$150.00 / CUSIP: / Symbol:							
08/18/23	1.000	0.00	07/19/23	42.00	...	-42.00	Option expiration- long call
INTC 06/02/2023 CALL \$33.00 / CUSIP: / Symbol:							
06/02/23	1.000	0.00	05/31/23	26.00	...	-26.00	Option expiration- long call
INTC 06/30/2023 CALL \$33.50 / CUSIP: / Symbol:							
06/30/23	1.000	0.98	06/28/23	50.00	...	-49.02	Option sale to close-call <sup>25</sup>
INTC 06/30/2023 CALL \$35.00 / CUSIP: / Symbol:							
06/27/23	10.000	169.95	Various	200.00	...	-30.05	Total of 2 transactions
INTC 08/18/2023 CALL \$38.00 / CUSIP: / Symbol:							
06/16/23	1.000	181.98	06/15/23	181.00	...	0.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IWM 01/18/2023 CALL \$195.00 / CUSIP: / Symbol:							
01/17/23	5.000	4.98	01/13/23	15.00	...	-10.02	Option sale to close-call <sup>25</sup>
JPM 07/21/2023 CALL \$152.50 / CUSIP: / Symbol:							
07/17/23	1.000	89.98	07/14/23	56.00	...	33.98	Option sale to close-call <sup>25</sup>
KHC 06/09/2023 CALL \$38.50 / CUSIP: / Symbol:							
06/01/23	1.000	19.98	05/31/23	21.00	...	-1.02	Option sale to close-call <sup>25</sup>
KWEB 04/21/2023 CALL \$35.00 / CUSIP: / Symbol:							
04/21/23	1.000	0.00	03/07/23	54.00	...	-54.00	Option expiration- long call
LABU1 12/15/2023 CALL \$9.00 / CUSIP: / Symbol:							
12/15/23	1.000	0.00	07/12/23	50.00	...	-50.00	Option expiration- long call
LCID 01/19/2024 CALL \$8.00 / CUSIP: / Symbol:							
05/22/23	1.000	154.98	05/08/23	181.00	...	-26.02	Option sale to close-call <sup>25</sup>
LCID 02/24/2023 CALL \$15.00 / CUSIP: / Symbol:							
02/24/23	1.000	0.00	02/21/23	21.00	...	-21.00	Option expiration- long call
LCID 06/30/2023 CALL \$6.50 / CUSIP: / Symbol:							
06/28/23	1.000	13.98	06/27/23	8.00	...	5.98	Option sale to close-call <sup>25</sup>
06/29/23	2.000	49.96	Various	30.00	...	19.96	Total of 2 transactions
	<b>Security total:</b>	<b>63.94</b>		<b>38.00</b>	...	<b>25.94</b>	
LCID 07/07/2023 CALL \$7.50 / CUSIP: / Symbol:							
07/05/23	40.000	519.89	Various	840.00	320.11 W	0.00	Total of 3 transactions
07/06/23	42.000	130.87	Various	953.11	...	-822.24	Total of 6 transactions
07/06/23	3.000	8.99	Various	87.01	78.02 W	0.00	Total of 2 transactions
07/07/23	5.000	74.92	Various	128.01	...	-53.09	Total of 4 transactions
	<b>Security total:</b>	<b>734.67</b>		<b>2,008.13</b>	<b>398.13 W</b>	<b>-875.33</b>	
LCID 07/14/2023 CALL \$8.00 / CUSIP: / Symbol:							
07/10/23	1.000	28.98	07/07/23	23.00	...	5.98	Option sale to close-call <sup>25</sup>
LCID 08/04/2023 CALL \$8.00 / CUSIP: / Symbol:							
08/04/23	1.000	0.00	07/31/23	13.00	...	-13.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
LMND 01/19/2024 CALL \$20.00 / CUSIP: / Symbol: 11/27/23	1.000	89.95	11/21/23	60.03	...	29.92	Option sale to close-call <sup>25</sup>
LUMN 01/17/2025 CALL \$5.00 / CUSIP: / Symbol: 08/10/23	1.000	40.98	08/01/23	32.00	...	8.98	Option sale to close-call <sup>25</sup>
META 01/27/2023 CALL \$142.00 / CUSIP: / Symbol: 01/23/23	1.000	232.98	01/23/23	176.00	...	56.98	Option sale to close-call <sup>25</sup>
META 06/16/2023 CALL \$260.00 / CUSIP: / Symbol: 05/23/23	1.000	324.98	05/22/23	410.00	...	-85.02	Option sale to close-call <sup>25</sup>
META 12/15/2023 PUT \$317.50 / CUSIP: / Symbol: 11/30/23	1.000	394.95	11/30/23	238.03	...	156.92	Option sale to close-put <sup>25</sup>
MOS 12/15/2023 CALL \$50.00 / CUSIP: / Symbol: 08/02/23	1.000	148.98	08/01/23	121.00	...	27.98	Option sale to close-call <sup>25</sup>
MPC 08/04/2023 PUT \$125.00 / CUSIP: / Symbol: 08/04/23	1.000	0.00	07/31/23	20.00	...	-20.00	Option expiration- long put
MRVL 08/18/2023 CALL \$76.00 / CUSIP: / Symbol: 08/18/23	1.000	0.00	07/31/23	17.00	...	-17.00	Option expiration- long call
MSFT 01/27/2023 PUT \$220.00 / CUSIP: / Symbol: 01/27/23	1.000	0.00	01/24/23	46.00	...	-46.00	Option expiration- long put
MSFT 02/17/2023 CALL \$300.00 / CUSIP: / Symbol: 02/17/23	1.000	0.00	02/08/23	55.00	...	-55.00	Option expiration- long call
MU 06/30/2023 CALL \$65.00 / CUSIP: / Symbol: 06/30/23	1.000	23.98	06/29/23	34.00	...	-10.02	Option sale to close-call <sup>25</sup>
MU 07/07/2023 CALL \$65.00 / CUSIP: / Symbol: 07/07/23	1.000	0.00	06/30/23	89.00	...	-89.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MU 07/21/2023 CALL \$65.00 / CUSIP: / Symbol:							
05/11/23	1.000	294.98	05/11/23	298.00	3.02 W	0.00	Option sale to close-call <sup>25</sup>
05/15/23	1.000	299.98	05/12/23	243.02	...	56.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>594.96</b>		<b>541.02</b>	<b>3.02 W</b>	<b>56.96</b>	
MULN1 01/17/2025 CALL \$0.50 / CUSIP: / Symbol:							
12/27/23	5.000	24.83	05/01/23	30.00	...	-5.17	Option sale to close-call <sup>25</sup>
NCLH 08/04/2023 CALL \$23.50 / CUSIP: / Symbol:							
08/04/23	1.000	0.00	07/31/23	32.00	...	-32.00	Option expiration- long call
NFLX 01/20/2023 CALL \$400.00 / CUSIP: / Symbol:							
01/09/23	1.000	113.98	01/09/23	101.00	...	12.98	Option sale to close-call <sup>25</sup>
01/10/23	1.000	84.98	01/09/23	114.00	29.02 W	0.00	Option sale to close-call <sup>25</sup>
01/13/23	1.000	183.98	01/12/23	223.02	39.04 W	0.00	Option sale to close-call <sup>25</sup>
01/20/23	2.000	0.00	Various	202.04	...	-202.04	Total of 2 transactions
	<b>Security total:</b>	<b>382.94</b>		<b>640.06</b>	<b>68.06 W</b>	<b>-189.06</b>	
NFLX 01/20/2023 PUT \$240.00 / CUSIP: / Symbol:							
01/20/23	1.000	0.00	01/19/23	37.00	...	-37.00	Option expiration- long put
NFLX 06/16/2023 CALL \$450.00 / CUSIP: / Symbol:							
06/12/23	2.000	253.96	Various	390.02	136.06 W	0.00	Total of 2 transactions
06/13/23	2.000	567.96	Various	438.04	...	129.92	Total of 2 transactions
06/14/23	1.000	199.98	06/14/23	283.02	...	-83.04	Option sale to close-call <sup>25</sup>
06/14/23	1.000	217.98	06/13/23	284.00	66.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,239.88</b>		<b>1,395.08</b>	<b>202.08 W</b>	<b>46.88</b>	
NFLX 07/07/2023 CALL \$460.00 / CUSIP: / Symbol:							
07/06/23	1.000	10.98	07/05/23	109.00	...	-98.02	Option sale to close-call <sup>25</sup>
NIO 06/16/2023 CALL \$10.00 / CUSIP: / Symbol:							
06/16/23	2.000	35.96	Various	26.00	...	9.96	Total of 2 transactions
NIO 07/07/2023 CALL \$10.50 / CUSIP: / Symbol:							
07/07/23	4.000	0.00	07/05/23	76.00	...	-76.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 07/14/2023 CALL \$10.00 / CUSIP: / Symbol:							
07/05/23	1.000	56.98	07/03/23	44.00	...	12.98	Option sale to close-call <sup>25</sup>
07/10/23	3.000	187.96	Various	165.00	...	22.96	Total of 2 transactions
	<b>Security total:</b>	<b>244.94</b>		<b>209.00</b>	...	<b>35.94</b>	
NIO 07/21/2023 CALL \$10.50 / CUSIP: / Symbol:							
07/13/23	1.000	82.98	07/11/23	68.00	...	14.98	Option sale to close-call <sup>25</sup>
NIO 07/28/2023 CALL \$13.00 / CUSIP: / Symbol:							
07/26/23	1.000	14.98	07/25/23	13.00	...	1.98	Option sale to close-call <sup>25</sup>
NIO 08/04/2023 CALL \$15.00 / CUSIP: / Symbol:							
08/02/23	1.000	33.98	08/01/23	63.00	...	-29.02	Option sale to close-call <sup>25</sup>
NKLA 01/17/2025 CALL \$1.50 / CUSIP: / Symbol:							
07/14/23	1.000	139.98	07/13/23	70.00	...	69.98	Option sale to close-call <sup>25</sup>
NKLA 07/21/2023 CALL \$3.00 / CUSIP: / Symbol:							
07/18/23	1.000	10.98	07/14/23	13.02	...	-2.04	Option sale to close-call <sup>25</sup>
07/18/23	1.000	8.98	07/14/23	11.00	2.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>19.96</b>		<b>24.02</b>	<b>2.02 W</b>	<b>-2.04</b>	
NKLA 07/28/2023 CALL \$1.50 / CUSIP: / Symbol:							
07/05/23	3.000	60.96	Various	60.00	...	0.96	Total of 2 transactions
NKLA 08/18/2023 CALL \$4.00 / CUSIP: / Symbol:							
08/18/23	1.000	0.00	07/20/23	31.00	...	-31.00	Option expiration- long call
NOVA 08/18/2023 CALL \$25.00 / CUSIP: / Symbol:							
08/18/23	1.000	0.00	08/01/23	15.00	...	-15.00	Option expiration- long call
NVDA 02/10/2023 CALL \$240.00 / CUSIP: / Symbol:							
02/10/23	1.000	0.00	02/09/23	25.00	...	-25.00	Option expiration- long call
NVDA 02/17/2023 CALL \$250.00 / CUSIP: / Symbol:							
02/02/23	1.000	53.98	02/01/23	40.00	...	13.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 05/19/2023 PUT \$307.50 / CUSIP: / Symbol:							
05/18/23	1.000	68.98	05/18/23	95.00	26.02 W	0.00	Option sale to close-put <sup>25</sup>
05/19/23	1.000	58.98	05/18/23	94.02	...	-35.04	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>127.96</b>		<b>189.02</b>	<b>26.02 W</b>	<b>-35.04</b>	
NVDA 05/19/2023 PUT \$310.00 / CUSIP: / Symbol:							
05/19/23	1.000	62.98	05/18/23	78.00	...	-15.02	Option sale to close-put <sup>25</sup>
NVDA 05/26/2023 CALL \$355.00 / CUSIP: / Symbol:							
05/23/23	1.000	92.98	05/23/23	121.00	...	-28.02	Option sale to close-call <sup>25</sup>
OKTA 02/17/2023 CALL \$100.00 / CUSIP: / Symbol:							
02/17/23	1.000	0.00	02/02/23	24.00	...	-24.00	Option expiration- long call
OKTA 02/17/2023 CALL \$90.00 / CUSIP: / Symbol:							
02/02/23	1.000	89.98	01/18/23	40.00	...	49.98	Option sale to close-call <sup>25</sup>
OXY 08/18/2023 CALL \$65.00 / CUSIP: / Symbol:							
07/12/23	1.000	105.98	07/11/23	87.00	...	18.98	Option sale to close-call <sup>25</sup>
PBR 06/16/2023 CALL \$14.00 / CUSIP: / Symbol:							
06/14/23	1.000	8.98	06/13/23	8.00	...	0.98	Option sale to close-call <sup>25</sup>
PBR 07/21/2023 PUT \$13.00 / CUSIP: / Symbol:							
07/06/23	3.000	55.94	Various	51.00	...	4.94	Total of 3 transactions
PDD 02/17/2023 CALL \$100.00 / CUSIP: / Symbol:							
02/17/23	1.000	0.00	02/16/23	37.00	...	-37.00	Option expiration- long call
PDD 05/12/2023 CALL \$69.00 / CUSIP: / Symbol:							
05/11/23	1.000	10.98	05/09/23	10.00	...	0.98	Option sale to close-call <sup>25</sup>
PDD 08/18/2023 CALL \$80.00 / CUSIP: / Symbol:							
06/08/23	1.000	424.98	06/07/23	365.00	...	59.98	Option sale to close-call <sup>25</sup>
PFE 06/16/2023 PUT \$36.00 / CUSIP: / Symbol:							
06/01/23	1.000	18.98	05/31/23	21.00	...	-2.02	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 08/04/2023 CALL \$36.50 / CUSIP: / Symbol:							
08/04/23	1.000	0.00	08/01/23	11.00	...	-11.00	Option expiration- long call
PFE 08/04/2023 PUT \$32.50 / CUSIP: / Symbol:							
08/01/23	5.000	4.94	Various	23.07	...	-18.13	Total of 5 transactions
08/01/23	5.000	4.92	Various	15.00	8.07 W	-2.01	Total of 4 transactions
	<b>Security total:</b>	<b>9.86</b>		<b>38.07</b>	<b>8.07 W</b>	<b>-20.14</b>	
PINS 08/04/2023 CALL \$32.00 / CUSIP: / Symbol:							
08/04/23	1.000	0.00	07/31/23	55.00	...	-55.00	Option expiration- long call
PLTR 05/19/2023 CALL \$10.00 / CUSIP: / Symbol:							
05/17/23	1.000	24.98	05/17/23	27.00	...	-2.02	Option sale to close-call <sup>25</sup>
PLTR 05/26/2023 PUT \$11.00 / CUSIP: / Symbol:							
05/24/23	1.000	9.98	05/19/23	23.00	...	-13.02	Option sale to close-put <sup>25</sup>
PLTR 06/16/2023 CALL \$16.00 / CUSIP: / Symbol:							
06/16/23	1.000	50.98	06/15/23	69.00	...	-18.02	Option sale to close-call <sup>25</sup>
PLTR 06/30/2023 CALL \$16.00 / CUSIP: / Symbol:							
06/29/23	20.000	239.84	Various	160.00	...	79.84	Total of 8 transactions
PLTR 07/07/2023 CALL \$16.00 / CUSIP: / Symbol:							
07/05/23	5.000	49.98	07/03/23	85.00	28.02 W	-7.00	Option sale to close-call <sup>25</sup>
07/07/23	5.000	0.00	Various	113.02	...	-113.02	Total of 3 transactions
	<b>Security total:</b>	<b>49.98</b>		<b>198.02</b>	<b>28.02 W</b>	<b>-120.02</b>	
PLTR 08/04/2023 CALL \$20.00 / CUSIP: / Symbol:							
08/04/23	1.000	0.00	08/01/23	58.00	...	-58.00	Option expiration- long call
PLUG 06/16/2023 CALL \$10.50 / CUSIP: / Symbol:							
06/14/23	1.000	104.98	06/13/23	70.00	...	34.98	Option sale to close-call <sup>25</sup>
PLUG 07/21/2023 PUT \$7.50 / CUSIP: / Symbol:							
06/12/23	3.000	59.98	Various	105.00	...	-45.02	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PTON 04/19/2024 CALL \$6.00 / CUSIP: / Symbol:							
12/20/23	1.000	154.95	12/19/23	148.03	...	6.92	Option sale to close-call <sup>25</sup>
PYPL 02/10/2023 PUT \$65.00 / CUSIP: / Symbol:							
02/10/23	1.000	0.00	02/09/23	7.00	...	-7.00	Option expiration- long put
PYPL 02/17/2023 CALL \$85.00 / CUSIP: / Symbol:							
02/17/23	1.000	0.00	02/09/23	173.00	...	-173.00	Option expiration- long call
PYPL 07/07/2023 CALL \$69.00 / CUSIP: / Symbol:							
07/05/23	1.000	59.98	07/03/23	57.00	...	2.98	Option sale to close-call <sup>25</sup>
PYPL 07/21/2023 CALL \$65.00 / CUSIP: / Symbol:							
05/31/23	1.000	259.98	05/30/23	217.00	...	42.98	Option sale to close-call <sup>25</sup>
PYPL 07/21/2023 CALL \$72.50 / CUSIP: / Symbol:							
07/05/23	1.000	62.98	06/23/23	76.00	...	-13.02	Option sale to close-call <sup>25</sup>
QQQ 01/19/2024 PUT \$395.00 / CUSIP: / Symbol:							
12/18/23	1.000	253.95	12/14/23	322.03	68.08 W	0.00	Option sale to close-put <sup>25</sup>
12/19/23	1.000	203.95	12/18/23	319.11	...	-115.16	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>457.90</b>		<b>641.14</b>	<b>68.08 W</b>	<b>-115.16</b>	
QQQ 01/19/2024 PUT \$400.00 / CUSIP: / Symbol:							
12/20/23	1.000	421.95	12/20/23	298.03	...	123.92	Option sale to close-put <sup>25</sup>
QQQ 06/16/2023 PUT \$335.00 / CUSIP: / Symbol:							
05/31/23	1.000	201.98	05/30/23	163.00	...	38.98	Option sale to close-put <sup>25</sup>
06/01/23	1.000	159.98	05/31/23	224.00	64.02 W	0.00	Option sale to close-put <sup>25</sup>
06/02/23	1.000	74.98	06/01/23	169.02	94.04 W	0.00	Option sale to close-put <sup>25</sup>
06/05/23	1.000	48.98	06/02/23	178.04	...	-129.06	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>485.92</b>		<b>734.06</b>	<b>158.06 W</b>	<b>-90.08</b>	
QQQ 12/15/2023 PUT \$375.00 / CUSIP: / Symbol:							
12/05/23	1.000	85.95	12/05/23	107.03	21.08 W	0.00	Option sale to close-put <sup>25</sup>
12/07/23	1.000	64.95	12/05/23	107.11	42.16 W	0.00	Option sale to close-put <sup>25</sup>
12/15/23	1.000	0.00	12/07/23	103.19	...	-103.19	Option expiration- long put
	<b>Security total:</b>	<b>150.90</b>		<b>317.33</b>	<b>63.24 W</b>	<b>-103.19</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QQQ 12/29/2023 PUT \$399.78 / CUSIP: / Symbol: 12/29/23	1.000	0.00	12/21/23	122.11	...	-122.11	Option expiration- long put
QQQ 12/29/2023 PUT \$400.00 / CUSIP: / Symbol: 12/21/23	1.000	88.95	12/21/23	121.03	32.08 W	0.00	Option sale to close-put <sup>25</sup>
QS 07/21/2023 CALL \$12.00 / CUSIP: / Symbol: 07/18/23	1.000	12.98	07/12/23	33.00	...	-20.02	Option sale to close-call <sup>25</sup>
RBLX 02/16/2024 PUT \$35.00 / CUSIP: / Symbol: 12/13/23	1.000	84.95	12/05/23	132.03	...	-47.08	Option sale to close-put <sup>25</sup>
RIOT 07/21/2023 CALL \$18.50 / CUSIP: / Symbol: 07/20/23	2.000	191.96	Various	131.00	...	60.96	Total of 2 transactions
RIVN 05/26/2023 CALL \$14.00 / CUSIP: / Symbol: 05/23/23	1.000	60.98	05/22/23	47.00	...	13.98	Option sale to close-call <sup>25</sup>
RIVN 06/09/2023 CALL \$15.00 / CUSIP: / Symbol: 06/02/23	1.000	53.98	06/02/23	68.00	...	-14.02	Option sale to close-call <sup>25</sup>
RIVN 07/21/2023 CALL \$25.00 / CUSIP: / Symbol: 07/17/23	10.000	791.95	Various	670.00	...	121.95	Total of 2 transactions
RIVN 07/21/2023 CALL \$26.00 / CUSIP: / Symbol: 07/18/23	2.000	81.96	Various	70.00	...	11.96	Total of 2 transactions
RIVN 07/21/2023 CALL \$28.00 / CUSIP: / Symbol: 07/18/23	1.000	31.98	07/14/23	39.00	...	-7.02	Option sale to close-call <sup>25</sup>
ROKU 07/21/2023 CALL \$65.00 / CUSIP: / Symbol: 06/07/23	1.000	549.98	06/06/23	365.00	...	184.98	Option sale to close-call <sup>25</sup>
RUN 09/15/2023 CALL \$25.00 / CUSIP: / Symbol: 08/03/23	1.000	117.98	07/20/23	162.00	...	-44.02	Option sale to close-call <sup>25</sup>
SBUX 08/18/2023 CALL \$110.00 / CUSIP: / Symbol: 08/18/23	1.000	0.00	08/01/23	49.00	...	-49.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SBUX 12/15/2023 CALL \$102.00 / CUSIP: / Symbol:							
12/15/23	2.000	0.00	Various	34.06	...	-34.06	Total of 2 transactions
SE 07/07/2023 CALL \$61.00 / CUSIP: / Symbol:							
07/05/23	2.000	45.96	Various	44.04	...	1.92	Total of 2 transactions
07/05/23	2.000	39.96	Various	43.02	3.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>85.92</b>		<b>87.06</b>	<b>3.06 W</b>	<b>1.92</b>	
SHOP 02/03/2023 CALL \$56.00 / CUSIP: / Symbol:							
02/03/23	1.000	0.00	02/02/23	19.00	...	-19.00	Option expiration- long call
SHOP 02/10/2023 CALL \$55.00 / CUSIP: / Symbol:							
01/31/23	1.000	50.98	01/30/23	44.00	...	6.98	Option sale to close-call <sup>25</sup>
SHOP 07/21/2023 CALL \$68.00 / CUSIP: / Symbol:							
07/21/23	2.000	0.00	Various	80.00	...	-80.00	Total of 2 transactions
SHOP 07/21/2023 CALL \$70.00 / CUSIP: / Symbol:							
07/19/23	2.000	110.96	Various	100.00	...	10.96	Total of 2 transactions
07/20/23	19.000	540.89	Various	570.00	...	-29.11	Total of 5 transactions
07/21/23	1.000	0.00	07/20/23	30.00	...	-30.00	Option expiration- long call
	<b>Security total:</b>	<b>651.85</b>		<b>700.00</b>	...	<b>-48.15</b>	
SIRI 07/28/2023 CALL \$8.00 / CUSIP: / Symbol:							
07/28/23	3.000	0.00	Various	9.00	...	-9.00	Total of 2 transactions
SIRI 08/04/2023 PUT \$5.00 / CUSIP: / Symbol:							
08/02/23	1.000	19.98	07/31/23	31.00	...	-11.02	Option sale to close-put <sup>25</sup>
SIRI 08/18/2023 CALL \$5.50 / CUSIP: / Symbol:							
08/18/23	1.000	0.00	07/25/23	40.00	...	-40.00	Option expiration- long call
SKLZ 01/17/2025 CALL \$0.50 / CUSIP: / Symbol:							
06/14/23	1.000	42.98	03/07/23	38.00	...	4.98	Option sale to close-call <sup>25</sup>
SNAP 07/28/2023 CALL \$10.50 / CUSIP: / Symbol:							
07/28/23	1.000	5.98	07/27/23	22.00	...	-16.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNAP 08/18/2023 CALL \$14.00 / CUSIP: / Symbol:							
07/18/23	1.000	114.98	07/17/23	134.00	...	-19.02	Option sale to close-call <sup>25</sup>
SNOW 06/23/2023 CALL \$200.00 / CUSIP: / Symbol:							
06/20/23	2.000	47.96	Various	126.02	78.06 W	0.00	Total of 2 transactions
06/21/23	1.000	49.99	06/20/23	36.00	...	13.99	Option sale to close-call <sup>25</sup>
06/21/23	1.000	49.99	06/20/23	88.04	38.05 W	0.00	Option sale to close-call <sup>25</sup>
06/23/23	2.000	0.00	Various	104.05	...	-104.05	Total of 2 transactions
	<b>Security total:</b>	<b>147.94</b>		<b>354.11</b>	<b>116.11 W</b>	<b>-90.06</b>	
SOFI 05/26/2023 PUT \$5.50 / CUSIP: / Symbol:							
05/18/23	1.000	42.98	05/15/23	79.00	...	-36.02	Option sale to close-put <sup>25</sup>
SOFI 06/02/2023 CALL \$7.00 / CUSIP: / Symbol:							
06/01/23	1.000	9.98	05/31/23	21.00	...	-11.02	Option sale to close-call <sup>25</sup>
SOFI 06/16/2023 PUT \$5.50 / CUSIP: / Symbol:							
05/15/23	1.000	102.98	05/11/23	52.00	...	50.98	Option sale to close-put <sup>25</sup>
SOFI 06/30/2023 CALL \$9.50 / CUSIP: / Symbol:							
06/29/23	30.000	554.75	Various	420.00	...	134.75	Total of 15 transactions
SOFI 07/21/2023 CALL \$9.50 / CUSIP: / Symbol:							
07/18/23	2.000	71.96	Various	64.00	...	7.96	Total of 2 transactions
SOFI 08/04/2023 CALL \$11.00 / CUSIP: / Symbol:							
08/02/23	1.000	6.98	08/01/23	18.00	...	-11.02	Option sale to close-call <sup>25</sup>
SPCE 06/30/2023 CALL \$4.50 / CUSIP: / Symbol:							
06/30/23	10.000	9.97	06/29/23	120.00	...	-110.03	Option sale to close-call <sup>25</sup>
SPCE 07/07/2023 CALL \$5.00 / CUSIP: / Symbol:							
07/07/23	10.000	0.00	06/30/23	60.00	...	-60.00	Option expiration- long call
SPWR 08/04/2023 CALL \$11.00 / CUSIP: / Symbol:							
08/04/23	1.000	0.00	07/31/23	9.00	...	-9.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPWR 08/18/2023 CALL \$12.00 / CUSIP: / Symbol: 07/24/23	1.000	75.98	07/24/23	74.00	...	1.98	Option sale to close-call <sup>25</sup>
SPXL 01/20/2023 CALL \$67.00 / CUSIP: / Symbol: 01/20/23	1.000	39.98	01/19/23	38.00	...	1.98	Option sale to close-call <sup>25</sup>
SPY 01/13/2023 CALL \$397.50 / CUSIP: / Symbol: 01/12/23	1.000	153.98	01/12/23	104.00	...	49.98	Option sale to close-call <sup>25</sup>
SPY 01/27/2023 CALL \$403.00 / CUSIP: / Symbol: 01/26/23	1.000	133.98	01/26/23	141.00	...	-7.02	Option sale to close-call <sup>25</sup>
SPY 02/03/2023 CALL \$429.00 / CUSIP: / Symbol: 02/03/23	3.000	0.00	02/02/23	15.00	...	-15.00	Option expiration- long call
SPY 02/09/2023 CALL \$425.00 / CUSIP: / Symbol: 02/09/23	2.000	0.00	02/07/23	10.00	...	-10.00	Option expiration- long call
SPY 02/10/2023 CALL \$410.00 / CUSIP: / Symbol: 01/20/23	1.000	125.98	01/20/23	117.00	...	8.98	Option sale to close-call <sup>25</sup>
SPY 02/17/2023 PUT \$406.00 / CUSIP: / Symbol: 02/17/23	1.000	172.98	02/16/23	71.00	...	101.98	Option sale to close-put <sup>25</sup>
SPY 03/22/2023 PUT \$389.00 / CUSIP: / Symbol: 03/22/23	1.000	0.00	03/21/23	32.00	...	-32.00	Option expiration- long put
SPY 06/06/2023 CALL \$428.00 / CUSIP: / Symbol: 05/30/23	1.000	113.98	05/26/23	94.00	...	19.98	Option sale to close-call <sup>25</sup>
SPY 06/28/2023 CALL \$437.00 / CUSIP: / Symbol: 06/28/23	1.000	13.98	06/27/23	71.00	...	-57.02	Option sale to close-call <sup>25</sup>
SPY 07/14/2023 CALL \$450.00 / CUSIP: / Symbol: 07/13/23	1.000	53.98	07/12/23	36.00	...	17.98	Option sale to close-call <sup>25</sup>
SPY 07/14/2023 CALL \$451.00 / CUSIP: / Symbol: 07/14/23	1.000	78.98	07/13/23	66.00	...	12.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 07/14/2023 PUT \$441.00 / CUSIP: / Symbol:							
07/14/23	1.000	0.00	07/12/23	27.00	...	-27.00	Option expiration- long put
SPY 07/28/2023 CALL \$460.00 / CUSIP: / Symbol:							
07/19/23	1.000	180.98	07/18/23	105.00	...	75.98	Option sale to close-call <sup>25</sup>
SPY 08/02/2023 PUT \$450.00 / CUSIP: / Symbol:							
08/01/23	1.000	7.98	07/31/23	14.00	...	-6.02	Option sale to close-put <sup>25</sup>
SPY 08/11/2023 PUT \$430.00 / CUSIP: / Symbol:							
08/08/23	1.000	14.98	07/12/23	159.00	144.02 W	0.00	Option sale to close-put <sup>25</sup>
08/11/23	1.000	0.00	07/19/23	200.02	...	-200.02	Option expiration- long put
	<b>Security total:</b>	<b>14.98</b>		<b>359.02</b>	<b>144.02 W</b>	<b>-200.02</b>	
SPY 08/23/2023 CALL \$444.00 / CUSIP: / Symbol:							
08/23/23	1.000	8.98	08/22/23	19.00	...	-10.02	Option sale to close-call <sup>25</sup>
SPY 08/24/2023 PUT \$436.00 / CUSIP: / Symbol:							
08/24/23	1.000	0.98	08/23/23	18.00	...	-17.02	Option sale to close-put <sup>25</sup>
SPY 09/01/2023 CALL \$452.00 / CUSIP: / Symbol:							
08/31/23	1.000	147.98	08/31/23	171.00	...	-23.02	Option sale to close-call <sup>25</sup>
SPY 09/01/2023 CALL \$455.00 / CUSIP: / Symbol:							
08/31/23	5.000	179.98	Various	155.00	...	24.98	Total of 3 transactions
SPY 09/01/2023 PUT \$452.00 / CUSIP: / Symbol:							
08/31/23	1.000	166.98	08/31/23	147.00	...	19.98	Option sale to close-put <sup>25</sup>
SPY 09/06/2023 CALL \$450.00 / CUSIP: / Symbol:							
09/06/23	1.000	0.00	09/05/23	172.00	...	-172.00	Option expiration- long call
SQ 01/27/2023 CALL \$90.00 / CUSIP: / Symbol:							
01/26/23	1.000	8.98	01/23/23	34.00	...	-25.02	Option sale to close-call <sup>25</sup>
SQ 02/16/2024 PUT \$55.00 / CUSIP: / Symbol:							
12/06/23	1.000	98.95	11/30/23	151.03	52.08 W	0.00	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 05/26/2023 CALL \$63.00 / CUSIP: / Symbol:							
05/19/23	1.000	27.98	05/18/23	42.00	...	-14.02	Option sale to close-call <sup>25</sup>
TDOC 08/04/2023 CALL \$30.00 / CUSIP: / Symbol:							
07/31/23	10.000	555.90	Various	480.00	...	75.90	Total of 7 transactions
TGT 07/21/2023 PUT \$140.00 / CUSIP: / Symbol:							
05/23/23	1.000	260.98	05/18/23	200.00	...	60.98	Option sale to close-put <sup>25</sup>
TLRY 01/19/2024 CALL \$2.00 / CUSIP: / Symbol:							
08/09/23	1.000	103.98	07/27/23	54.00	...	49.98	Option sale to close-call <sup>25</sup>
TSLA 01/13/2023 CALL \$125.00 / CUSIP: / Symbol:							
01/11/23	1.000	221.98	01/10/23	109.00	...	112.98	Option sale to close-call <sup>25</sup>
TSLA 02/03/2023 CALL \$190.00 / CUSIP: / Symbol:							
02/01/23	1.000	108.98	02/01/23	98.00	...	10.98	Option sale to close-call <sup>25</sup>
TSLA 02/24/2023 CALL \$237.50 / CUSIP: / Symbol:							
02/24/23	1.000	0.00	02/21/23	53.00	...	-53.00	Option expiration- long call
TSLA 04/06/2023 CALL \$205.00 / CUSIP: / Symbol:							
04/05/23	1.000	11.98	04/04/23	47.00	...	-35.02	Option sale to close-call <sup>25</sup>
TSLA 04/14/2023 CALL \$215.00 / CUSIP: / Symbol:							
04/06/23	1.000	18.98	04/04/23	96.00	...	-77.02	Option sale to close-call <sup>25</sup>
TSLA 05/19/2023 CALL \$182.50 / CUSIP: / Symbol:							
05/17/23	1.000	29.98	05/15/23	34.00	...	-4.02	Option sale to close-call <sup>25</sup>
TSLA 05/19/2023 PUT \$167.50 / CUSIP: / Symbol:							
05/18/23	1.000	33.98	05/17/23	58.00	...	-24.02	Option sale to close-put <sup>25</sup>
TSLA 05/26/2023 CALL \$185.00 / CUSIP: / Symbol:							
05/26/23	1.000	216.98	05/25/23	153.00	...	63.98	Option sale to close-call <sup>25</sup>
TSLA 06/02/2023 CALL \$220.00 / CUSIP: / Symbol:							
05/31/23	1.000	36.98	05/30/23	71.00	...	-34.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 06/09/2023 CALL \$232.50 / CUSIP: / Symbol: 06/08/23	1.000	132.98	06/07/23	107.00	...	25.98	Option sale to close-call <sup>25</sup>
TSLA 06/16/2023 CALL \$270.00 / CUSIP: / Symbol: 06/14/23	1.000	210.98	06/13/23	212.00	...	-1.02	Option sale to close-call <sup>25</sup>
TSLA 06/23/2023 CALL \$280.00 / CUSIP: / Symbol: 06/23/23	1.000	0.00	06/21/23	131.00	...	-131.00	Option expiration- long call
TSLA 07/28/2023 CALL \$300.00 / CUSIP: / Symbol: 07/28/23	3.000	0.00	Various	220.00	...	-220.00	Total of 2 transactions
TSLA 08/04/2023 CALL \$280.00 / CUSIP: / Symbol: 08/04/23	1.000	0.00	08/01/23	32.00	...	-32.00	Option expiration- long call
TWLO 01/19/2024 CALL \$70.00 / CUSIP: / Symbol: 12/05/23	1.000	276.95	12/04/23	229.03	...	47.92	Option sale to close-call <sup>25</sup>
U 06/09/2023 CALL \$31.50 / CUSIP: / Symbol: 06/05/23	1.000	101.98	06/05/23	91.00	...	10.98	Option sale to close-call <sup>25</sup>
U 06/09/2023 CALL \$37.00 / CUSIP: / Symbol: 06/09/23	3.000	187.96	Various	87.00	...	100.96	Total of 3 transactions
U 06/09/2023 CALL \$40.00 / CUSIP: / Symbol: 06/07/23	3.000	103.94	Various	86.02	...	17.92	Total of 3 transactions
06/07/23	2.000	53.98	06/06/23	56.00	2.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>157.92</b>		<b>142.02</b>	<b>2.02 W</b>	<b>17.92</b>	
U 06/16/2023 CALL \$44.00 / CUSIP: / Symbol: 06/16/23	5.000	183.94	Various	110.00	...	73.94	Total of 4 transactions
U 06/21/2024 CALL \$35.00 / CUSIP: / Symbol: 11/29/23	1.000	415.95	11/28/23	340.03	...	75.92	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
U 06/23/2023 CALL \$43.00 / CUSIP: / Symbol:							
06/21/23	45.000	764.83	Various	1,349.13	584.30 W	0.00	Total of 18 transactions
06/22/23	20.000	79.94	Various	723.12	613.17 W	-30.01	Total of 9 transactions
06/23/23	25.000	0.00	Various	1,245.22	...	-1,245.22	Total of 14 transactions
	<b>Security total:</b>	<b>844.77</b>		<b>3,317.47</b>	<b>1,197.47 W</b>	<b>-1,275.23</b>	
U 06/30/2023 CALL \$45.00 / CUSIP: / Symbol:							
06/30/23	10.000	271.94	Various	220.00	...	51.94	Total of 4 transactions
U 07/21/2023 CALL \$49.00 / CUSIP: / Symbol:							
07/21/23	1.000	0.00	07/19/23	90.00	...	-90.00	Option expiration- long call
U 07/21/2023 CALL \$50.00 / CUSIP: / Symbol:							
07/19/23	2.000	136.96	Various	80.00	...	56.96	Total of 2 transactions
U 08/18/2023 CALL \$50.00 / CUSIP: / Symbol:							
07/27/23	1.000	182.98	07/24/23	158.00	...	24.98	Option sale to close-call <sup>25</sup>
UBER 08/04/2023 CALL \$50.00 / CUSIP: / Symbol:							
08/04/23	1.000	0.00	08/01/23	15.00	...	-15.00	Option expiration- long call
UBER 08/04/2023 CALL \$52.00 / CUSIP: / Symbol:							
08/04/23	1.000	0.00	07/31/23	99.00	...	-99.00	Option expiration- long call
UMC 10/20/2023 CALL \$8.00 / CUSIP: / Symbol:							
10/20/23	1.000	0.00	07/26/23	14.00	...	-14.00	Option expiration- long call
UPS 07/07/2023 CALL \$185.00 / CUSIP: / Symbol:							
07/07/23	1.000	0.00	07/03/23	72.00	...	-72.00	Option expiration- long call
UPST 06/16/2023 CALL \$40.00 / CUSIP: / Symbol:							
06/15/23	1.000	44.98	06/14/23	126.02	...	-81.04	Option sale to close-call <sup>25</sup>
06/15/23	1.000	22.98	06/14/23	102.00	79.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>67.96</b>		<b>228.02</b>	<b>79.02 W</b>	<b>-81.04</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UPST 06/23/2023 CALL \$35.00 / CUSIP: / Symbol:							
06/22/23	6.000	170.92	Various	240.00	...	-69.08	Total of 4 transactions
06/22/23	9.000	187.90	Various	589.14	401.24 W	0.00	Total of 9 transactions
06/23/23	5.000	0.00	Various	372.10	...	-372.10	Total of 4 transactions
	<b>Security total:</b>	<b>358.82</b>		<b>1,201.24</b>	<b>401.24 W</b>	<b>-441.18</b>	
UPST 06/23/2023 CALL \$42.00 / CUSIP: / Symbol:							
06/23/23	25.000	0.00	Various	125.00	...	-125.00	Total of 3 transactions
UPST 07/14/2023 CALL \$45.00 / CUSIP: / Symbol:							
07/13/23	1.000	114.98	07/12/23	123.00	...	-8.02	Option sale to close-call <sup>25</sup>
V 01/13/2023 CALL \$225.00 / CUSIP: / Symbol:							
01/10/23	1.000	124.98	01/10/23	123.00	...	1.98	Option sale to close-call <sup>25</sup>
WMT 07/07/2023 CALL \$160.00 / CUSIP: / Symbol:							
07/05/23	3.000	108.94	Various	81.00	...	27.94	Total of 3 transactions
WMT 07/28/2023 CALL \$160.00 / CUSIP: / Symbol:							
07/20/23	1.000	28.98	07/19/23	18.00	...	10.98	Option sale to close-call <sup>25</sup>
XPEV 07/07/2023 CALL \$14.00 / CUSIP: / Symbol:							
07/05/23	1.000	61.98	07/03/23	38.00	...	23.98	Option sale to close-call <sup>25</sup>
ZM 03/15/2024 CALL \$80.00 / CUSIP: / Symbol:							
12/29/23	1.000	259.95	12/27/23	315.03	...	-55.08	Option sale to close-call <sup>25</sup>
ZM 07/21/2023 CALL \$80.00 / CUSIP: / Symbol:							
06/13/23	1.000	101.98	06/12/23	142.00	...	-40.02	Option sale to close-call <sup>25</sup>
AMTD DIGITAL INC. AMERICAN DEP OSITARY SHARES (EVERY FIVE OF WHICH REPRESENT TWO CLASS A OR / CUSIP: 00180N101 / Symbol:							
03/13/23	2.000	16.70	03/07/23	15.84	...	0.86	Sale <sup>25</sup>
ASANA, INC. CLASS A COMMON STO CK / CUSIP: 04342Y104 / Symbol:							
03/13/23	4.000	83.24	Various	76.87	...	6.37	Total of 2 transactions
10/26/23	5.000	89.87	01/18/23	73.44	...	16.43	Sale <sup>25</sup>
	<b>Security total:</b>	<b>173.11</b>		<b>150.31</b>	<b>...</b>	<b>22.80</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BED BATH & BEYOND INC COMMON S TOCK / CUSIP: 075896100 / Symbol:							
08/10/23	100.000	23.42	Various	34.27	...	-10.85	Total of 3 transactions
C3.AI, INC. / CUSIP: 12468P104 / Symbol:							
05/25/23	5.000	147.80	05/08/23	94.35	...	53.45	Sale <sup>25</sup>
06/07/23	2.000	76.07	05/08/23	37.74	...	38.33	Sale <sup>25</sup>
06/20/23	1.000	43.30	05/08/23	18.87	...	24.43	Sale <sup>25</sup>
08/09/23	1.000	33.21	05/08/23	18.87	...	14.34	Sale <sup>25</sup>
09/20/23	1.000	27.16	05/08/23	18.87	...	8.29	Sale <sup>25</sup>
	<b>Security total:</b>	<b>327.54</b>		<b>188.70</b>	...	<b>138.84</b>	
CARVANA CO. / CUSIP: 146869102 / Symbol:							
06/20/23	2.000	51.74	03/01/23	17.86	...	33.88	Sale <sup>25</sup>
08/10/23	0.872	35.89	Various	7.61	...	28.28	Total of 2 transactions
08/11/23	6.523	258.75	Various	56.88	...	201.87	Total of 3 transactions
08/17/23	1.000	38.14	03/02/23	8.72	...	29.42	Sale <sup>25</sup>
08/21/23	1.604	62.58	Various	13.99	...	48.59	Total of 3 transactions
	<b>Security total:</b>	<b>447.10</b>		<b>105.06</b>	...	<b>342.04</b>	
COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol:							
04/05/23	5.000	307.57	Various	208.34	...	99.23	Total of 3 transactions
04/06/23	1.000	58.98	04/04/23	61.73	2.75 W	0.00	Sale <sup>25</sup>
07/14/23	1.000	108.63	04/04/23	61.73	...	46.90	Sale <sup>25</sup>
07/17/23	1.000	105.78	04/04/23	61.73	...	44.05	Sale <sup>25</sup>
07/24/23	5.000	486.62	Various	281.82	...	204.80	Total of 4 transactions
08/04/23	1.000	92.30	06/06/23	47.16	...	45.14	Sale <sup>25</sup>
08/09/23	3.000	260.48	Various	141.46	...	119.02	Total of 2 transactions
08/17/23	3.000	231.12	06/06/23	141.47	...	89.65	Sale <sup>25</sup>
09/20/23	1.000	79.02	06/06/23	47.15	...	31.87	Sale <sup>25</sup>
11/09/23	1.000	97.78	10/27/23	73.96	...	23.82	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,828.28</b>		<b>1,126.55</b>	<b>2.75 W</b>	<b>704.48</b>	
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142V105 / Symbol:							
09/20/23	1.000	30.58	05/09/23	24.14	...	6.44	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ETS, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol:							
08/28/23	3.000	217.61	Various	218.52	0.91 W	0.00	Total of 2 transactions
09/20/23	3.000	196.59	Various	222.66	...	-26.07	Total of 2 transactions
	<b>Security total:</b>	<b>414.20</b>		<b>441.18</b>	<b>0.91 W</b>	<b>-26.07</b>	
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
12/08/23	30.000	493.35	12/07/23	413.70	...	79.65	Sale <sup>25</sup>
LEMONADE, INC. / CUSIP: 52567D107 / Symbol:							
11/27/23	50.000	884.99	11/21/23	798.45	...	86.54	Sale <sup>25</sup>
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
08/09/23	70.000	501.21	Various	408.45	...	92.76	Total of 3 transactions
08/10/23	10.000	67.35	06/22/23	58.35	...	9.00	Sale <sup>25</sup>
08/11/23	10.000	65.85	06/22/23	58.35	...	7.50	Sale <sup>25</sup>
08/18/23	5.000	30.96	06/22/23	29.18	...	1.78	Sale <sup>25</sup>
08/21/23	4.000	24.83	06/22/23	23.34	...	1.49	Sale <sup>25</sup>
08/28/23	1.000	6.05	06/22/23	5.83	...	0.22	Sale <sup>25</sup>
	<b>Security total:</b>	<b>696.25</b>		<b>583.50</b>	...	<b>112.75</b>	
MOBILEYE GLOBAL INC. CLASS A COMMON STOCK / CUSIP: 60741F104 / Symbol:							
03/13/23	1.000	40.21	03/07/23	41.22	...	-1.01	Sale <sup>25</sup>
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
08/16/23	35.000	401.85	Various	369.94	...	31.91	Total of 4 transactions
08/17/23	15.000	177.46	Various	158.94	...	18.52	Total of 2 transactions
09/20/23	3.000	26.75	Various	31.79	...	-5.04	Total of 2 transactions
12/08/23	90.000	656.94 N	12/01/23	646.20	...	10.74	Sold via call assignment <sup>25</sup>
							Proceeds or Cost adjusted for option premium of \$71.95
12/08/23	10.000	72.99 N	10/27/23	74.67	1.68 W	0.00	Sold via call assignment <sup>25</sup>
							Proceeds or Cost adjusted for option premium of \$8.00
	<b>Security total:</b>	<b>1,335.99</b>		<b>1,281.54</b>	<b>1.68 W</b>	<b>56.13</b>	
PAYPAL HOLDINGS, INC. COMMON STOCK / CUSIP: 70450Y103 / Symbol:							
09/21/23	4.000	235.27	Various	270.35	35.08 W	0.00	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
03/13/23	4.000	55.21	Various	59.13	...	-3.92	Total of 2 transactions
03/13/23	5.000	69.02	03/01/23	80.18	2.23 W	-8.93	Sale <sup>25</sup>
09/21/23	1.000	21.61	03/07/23	16.77	...	4.84	Sale <sup>25</sup>
	<b>Security total:</b>	<b>145.84</b>		<b>156.08</b>	<b>2.23 W</b>	<b>-8.01</b>	
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
07/17/23	20.000	249.70	06/14/23	192.60	...	57.10	Sale <sup>25</sup>
07/24/23	10.000	127.05	06/14/23	96.30	...	30.75	Sale <sup>25</sup>
07/27/23	5.000	63.50	06/14/23	48.15	...	15.35	Sale <sup>25</sup>
08/04/23	5.000	58.13	06/14/23	48.15	...	9.98	Sale <sup>25</sup>
08/09/23	5.000	55.50	06/14/23	48.15	...	7.35	Sale <sup>25</sup>
08/10/23	4.000	42.80	06/14/23	38.51	...	4.29	Sale <sup>25</sup>
08/21/23	1.000	10.24	06/14/23	9.63	...	0.61	Sale <sup>25</sup>
11/29/23	100.000	888.01	11/10/23	827.87	...	60.14	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,494.93</b>		<b>1,309.36</b>	...	<b>185.57</b>	
SPDR S&P 500 ETF TRUST / CUSIP: 78462F103 / Symbol:							
09/20/23	1.000	443.59	08/31/23	451.78	...	-8.19	Sale <sup>25</sup>
SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
09/21/23	2.000	107.98	12/19/22	71.10	...	36.88	Sale <sup>25</sup>
BLOCK, INC. / CUSIP: 852234103 / Symbol:							
08/11/23	1.000	61.84	09/23/22	55.47	...	6.37	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
08/21/23	0.652	147.50	12/30/22	80.31	...	67.19	Sale <sup>25</sup>
08/28/23	0.535	127.06	Various	63.05	...	64.01	Total of 5 transactions
09/20/23	0.813	217.78	01/05/23	87.92	...	129.86	Sale <sup>25</sup>
	<b>Security total:</b>	<b>492.34</b>		<b>231.28</b>	...	<b>261.06</b>	
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
05/25/23	2.000	124.05	09/23/22	169.46	45.41 W	0.00	Sale <sup>25</sup>
UNITY SOFTWARE INC. / CUSIP: 91332U101 / Symbol:							
09/20/23	4.000	133.88	Various	113.01	...	20.87	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

##### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WAYFAIR INC. / CUSIP: 94419L101 / Symbol:							
08/21/23	1.000	68.96	12/19/22	38.35	...	30.61	Sale <sup>25</sup>
<b>Totals :</b>		<b>43,517.67</b>		<b>51,942.29</b>	<b>4,472.98 W</b>	<b>-3,951.64</b>	

#### LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

##### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRIS 01/19/2024 CALL \$2.50 / CUSIP: / Symbol:							
07/07/23	1.000	9.98	05/17/22	55.00	...	-45.02	Option sale to close-call <sup>25</sup>
EDU1 01/20/2023 CALL \$5.00 / CUSIP: / Symbol:							
01/20/23	1.000	0.00	07/23/21	95.00	...	-95.00	Option expiration- long call
VRM 01/19/2024 CALL \$0.50 / CUSIP: / Symbol:							
07/05/23	1.000	117.98	05/19/22	130.00	...	-12.02	Option sale to close-call <sup>25</sup>
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
06/20/23	1.000	118.72	03/12/18	11.74	...	106.98	Sale <sup>25</sup>
10/27/23	2.025	196.02	Various	107.25	...	88.77	Total of 3 transactions
	<b>Security total:</b>	<b>314.74</b>		<b>118.99</b>	<b>...</b>	<b>195.75</b>	
ASANA, INC. CLASS A COMMON STO CK / CUSIP: 04342Y104 / Symbol:							
10/26/23	3.000	53.93	10/24/22	58.12	...	-4.19	Sale <sup>25</sup>
COUPANG, INC. / CUSIP: 22266T109 / Symbol:							
09/25/23	0.291	5.00	11/19/21	7.98	...	-2.98	Sale <sup>25</sup>
10/26/23	17.000	294.63	Various	333.60	...	-38.97	Total of 2 transactions
10/27/23	60.000	1,031.12	Various	1,088.52	...	-57.40	Total of 8 transactions
10/30/23	10.000	172.35	Various	131.51	...	40.84	Total of 2 transactions
	<b>Security total:</b>	<b>1,503.10</b>		<b>1,561.61</b>	<b>...</b>	<b>-58.51</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
09/20/23	2.000	164.71	Various	240.01	...	-75.30	Total of 2 transactions
DYNATRACE, INC. / CUSIP: 268150109 / Symbol:							
06/20/23	3.000	153.74	01/24/20	83.91	...	69.83	Sale <sup>25</sup>
10/27/23	0.410	18.00	01/24/20	11.46	...	6.54	Sale <sup>25</sup>
	<b>Security total:</b>	<b>171.74</b>		<b>95.37</b>	...	<b>76.37</b>	
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
08/18/23	1.000	32.51	03/12/18	51.14	0.21 W	-18.42	Sale <sup>25</sup>
ON SEMICONDUCTOR CORPORATION COMMON STOCK / CUSIP: 682189105 / Symbol:							
07/17/23	1.000	103.22	03/12/18	26.50	...	76.72	Sale <sup>25</sup>
PALANTIR TECHNOLOGIES INC. CLASS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
09/20/23	24.100	357.00	Various	355.31	...	1.69	Total of 3 transactions
10/27/23	96.213	1,461.31	Various	2,013.88	...	-552.57	Total of 19 transactions
	<b>Security total:</b>	<b>1,818.31</b>		<b>2,369.19</b>	...	<b>-550.88</b>	
ROKU, INC. CLASS A COMMON STOCK / CUSIP: 77543R102 / Symbol:							
09/21/23	1.000	71.81	Various	89.48	...	-17.67	Total of 2 transactions
BLOCK, INC. / CUSIP: 852234103 / Symbol:							
08/11/23	2.000	123.68	07/19/22	138.30	14.62 W	0.00	Sale <sup>25</sup>
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
05/25/23	3.000	186.08	Various	339.04	152.96 W	0.00	Total of 4 transactions
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
08/18/23	2.000	88.02	06/10/20	80.35	...	7.67	Sale <sup>25</sup>
UNITY SOFTWARE INC. / CUSIP: 91332U101 / Symbol:							
09/20/23	1.000	33.64	Various	53.60	...	-19.96	Total of 2 transactions
PARAMOUNT GLOBAL CLASS B COMMON STOCK / CUSIP: 92556H206 / Symbol:							
08/18/23	8.000	116.97	Various	235.58	...	-118.61	Total of 8 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WORKDAY, INC. CLASS A COMMON S TOCK / CUSIP: 98138H101 / Symbol:							
08/18/23	1.000	222.70	12/27/19	166.50	...	56.20	Sale <sup>25</sup>
<b>Totals :</b>		<b>5,133.12</b>		<b>5,903.78</b>	<b>167.79 W</b>	<b>-602.87</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

### Detail for Dividends and Distributions

2023

02/12/2024

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, Section 897 dividends and capital gains, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. The IRS has indicated that boxes 2e and 2f are not applicable to recipients that are U.S. individuals. You should consult your tax advisor for further information.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/16/23	0.71	Qualified dividend	
			05/18/23	0.74	Qualified dividend	
			08/17/23	0.74	Qualified dividend	
			11/16/23	0.74	Qualified dividend	
				<b>2.93</b>	<b>Total Dividends &amp; distributions</b>	
INTEL CORPORATION COMMON STOCK	458140100		03/01/23	1.17	Qualified dividend	
			06/01/23	0.41	Qualified dividend	
			09/01/23	0.41	Qualified dividend	
			12/01/23	0.29	Qualified dividend	
				<b>2.28</b>	<b>Total Dividends &amp; distributions</b>	
MICRON TECHNOLOGY, INC. COMMON STOCK	595112103		01/19/23	0.69	Qualified dividend	
			04/25/23	0.70	Qualified dividend	
			07/25/23	0.70	Qualified dividend	
			10/25/23	0.70	Qualified dividend	
				<b>2.79</b>	<b>Total Dividends &amp; distributions</b>	
PETROLEO BRASILEIRO S.A.-PETRO BRAS ADS (REP 1 COMMON SHARE)	71654V408		01/26/23	10.13	Qualified dividend	
			01/26/23	0.47	Qualified dividend	
			01/26/23	0.07	Qualified dividend	
			01/27/23	-0.01	Foreign tax withheld-BR	
			01/27/23	-0.07	Foreign tax withheld-BR	
				<b>10.67</b>	<b>Total Dividends &amp; distributions</b>	
	<b>-0.08</b>	<b>Total Foreign tax withheld</b>				

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

### Detail for Dividends and Distributions

2023

(continued)

02/12/2024

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
QUALCOMM INCORPORATED COMMON STOCK	747525103		03/23/23	1.57	Qualified dividend	
			06/22/23	1.69	Qualified dividend	
			09/21/23	1.70	Qualified dividend	
			12/14/23	1.70	Qualified dividend	
				<b>6.66</b>	<b>Total Dividends &amp; distributions</b>	
SPDR S&P 500 ETF TRUST	78462F103		10/31/23	1.49	Qualified dividend	03
			10/31/23	0.06	Section 199A dividend	03
			10/31/23	0.03	Nonqualified dividend	03
				<b>1.58</b>	<b>Total Dividends &amp; distributions</b>	
T-MOBILE US, INC. COMMON STOCK	872590104		12/15/23	1.30	Qualified dividend	
TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD.	874039100		01/12/23	0.93	Qualified dividend	
			01/12/23	-0.20	Foreign tax withheld-TW	
			04/13/23	0.94	Qualified dividend	
			04/13/23	-0.20	Foreign tax withheld-TW	
			07/13/23	0.91	Qualified dividend	
			07/13/23	-0.19	Foreign tax withheld-TW	
			10/12/23	0.97	Qualified dividend	
				<b>3.75</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.79</b>	<b>Total Foreign tax withheld</b>	
TYSON FOODS, INC.	902494103		03/15/23	1.51	Qualified dividend	
			06/15/23	1.52	Qualified dividend	
			09/15/23	1.54	Qualified dividend	
			12/15/23	1.08	Qualified dividend	
				<b>5.65</b>	<b>Total Dividends &amp; distributions</b>	
VERIZON COMMUNICATIONS	92343V104		02/01/23	1.44	Qualified dividend	
			05/01/23	1.46	Qualified dividend	
			08/01/23	1.49	Qualified dividend	
			11/01/23	1.55	Qualified dividend	
				<b>5.94</b>	<b>Total Dividends &amp; distributions</b>	
PARAMOUNT GLOBAL CLASS B COMMON STOCK	92556H206		01/03/23	0.36	Qualified dividend	
			10/02/23	0.05	Qualified dividend	
				<b>0.41</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

## Detail for Dividends and Distributions

2023

(continued)

02/12/2024

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
VIATRIS INC. COMMON STOCK	92556V106		03/17/23	1.91	Qualified dividend	
			06/16/23	1.93	Qualified dividend	
			09/15/23	1.96	Qualified dividend	
			12/15/23	1.96	Qualified dividend	
				<b>7.76</b>	<b>Total Dividends &amp; distributions</b>	
				<b>51.72</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.87</b>	<b>Total Foreign tax withheld</b>	

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

**Detail for Miscellaneous Information**

2023

02/12/2024

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
SPIRIT AIRLINES, INC.	848577102	11/30/23	0.60	Substitute payment	
		12/29/23	0.60	Substitute payment	
			1.20	Total Miscellaneous income	
TYSON FOODS, INC.	902494103	12/15/23	0.49	Substitute payment	
PARAMOUNT GLOBAL CLASS B COMMO N STOCK	92556H206	01/03/23	3.36	Substitute payment	
		04/03/23	4.49	Substitute payment	
		07/03/23	0.95	Substitute payment	
		10/02/23	0.50	Substitute payment	
			9.30	Total Miscellaneous income	
			<b>10.99</b>	<b>Total Miscellaneous income</b>	



Robinhood Markets Inc. as agent for  
 Robinhood Securities LLC  
 2023

Account 127808392  
 02/12/2024

**Fees and Expenses**

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		01/23/23	-44.08	Margin interest paid	
		02/22/23	-48.87	Margin interest paid	
		03/24/23	-54.45	Margin interest paid	
		04/24/23	-61.35	Margin interest paid	
		05/23/23	-63.29	Margin interest paid	
		06/22/23	-65.94	Margin interest paid	
		07/24/23	-88.08	Margin interest paid	
		08/21/23	-94.85	Margin interest paid	
		09/20/23	-83.48	Margin interest paid	
		10/20/23	-73.53	Margin interest paid	
		11/20/23	-66.07	Margin interest paid	
		12/19/23	-77.29	Margin interest paid	
				-821.28	Total Margin interest paid
Gold Subscription fee	GLDSUBFEE	01/23/23	-5.00	Subscription expense	
		02/22/23	-5.00	Subscription expense	
		03/24/23	-5.00	Subscription expense	
		04/24/23	-5.00	Subscription expense	
		05/23/23	-5.00	Subscription expense	
		06/22/23	-5.00	Subscription expense	
		07/24/23	-5.00	Subscription expense	
		08/21/23	-5.00	Subscription expense	
		09/20/23	-5.00	Subscription expense	
		10/20/23	-5.00	Subscription expense	
		11/20/23	-5.00	Subscription expense	
		12/19/23	-5.00	Subscription expense	
					-60.00
			<b>-821.28</b>	<b>Total Margin interest paid</b>	
			<b>-60.00</b>	<b>Total Subscription expense</b>	

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 127808392

## Foreign Income and Taxes Summary

2023

02/12/2024

*This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."*

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
BR	PETROLEO BRASILEIRO S.A.-PETRO BRAS ADS (REP 1 COMMON SHARE)	71654V408	0.00	10.67		0.00	10.67	-0.08
<b>Total BRAZIL</b>			<b>0.00</b>	<b>10.67</b>		<b>0.00</b>	<b>10.67</b>	<b>-0.08</b>
TW	TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD.	874039100	0.00	3.75		0.00	3.75	-0.79
<b>Total TAIWAN</b>			<b>0.00</b>	<b>3.75</b>		<b>0.00</b>	<b>3.75</b>	<b>-0.79</b>
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>						<b>14.42</b>	
	<b>Qualified Foreign Source Income**</b>						<b>14.42</b>	
	<b>Foreign Tax</b>							<b>-0.87</b>

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Markets Inc. as agent for  
 Robinhood Securities LLC  
 2023

**Non-Reportable Actions**

Account 127808392

02/12/2024

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AMC 01/19/2024 CALL \$10.00	AMC 01/19/24 C 10.000	08/23/23	-1.00	0.00	Merger	
AMC2 01/19/2024 CALL \$10.00	AMC2 01/19/24 C 10.000	08/23/23	1.00	0.00	Merger	
		08/24/23	1.00	0.00	Merger	
		08/24/23	-1.00	0.00	Merger	
		09/07/23	1.00	0.00	Merger	
		09/07/23	-1.00	0.00	Merger	
BBBY 01/17/2025 CALL \$0.50	BBBY 01/17/25 C 0.500	05/03/23	-4.00	0.00	Merger	
BBBYQ 01/17/2025 CALL \$0.50	BBBYQ 01/17/25 C 0.500	05/03/23	4.00	0.00	Merger	
LABU 12/15/2023 CALL \$9.00	LABU 12/15/23 C 9.000	12/04/23	-1.00	0.00	Merger	
LABU1 12/15/2023 CALL \$9.00	LABU1 12/15/23 C 9.000	12/04/23	1.00	0.00	Merger	
MULN 01/17/2025 CALL \$0.50	MULN 01/17/25 C 0.500	05/04/23	-5.00	0.00	Merger	
MULN1 01/17/2025 CALL \$0.50	MULN1 01/17/25 C 0.500	05/04/23	5.00	0.00	Merger	
		08/11/23	5.00	0.00	Merger	
		08/11/23	-5.00	0.00	Merger	
		12/21/23	5.00	0.00	Merger	
		12/21/23	-5.00	0.00	Merger	
		12/22/23	5.00	0.00	Merger	
		12/22/23	-5.00	0.00	Merger	
		12/26/23	5.00	0.00	Merger	
		12/26/23	-5.00	0.00	Merger	
QQQ 12/29/2023 PUT \$399.78	QQQ 12/29/23 P 399.780	12/27/23	1.00	0.00	Merger	
QQQ 12/29/2023 PUT \$400.00	QQQ 12/29/23 P 400.000	12/27/23	-1.00	0.00	Merger	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

---

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Instructions for Recipient**

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

**Line 12.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 13.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 12. See the Instructions for Form 6251.

**Lines 14-16.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-MISC Instructions for Recipient**

**Line 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

**Line 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

**Lines 16-18.** Show state or local income tax withheld from the payments.

**Future developments.** For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099MISC](http://www.irs.gov/Form1099MISC).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

This page intentionally left blank.

<b>Robinhood Markets Inc. as agent for Robinhood Crypto LLC</b> 85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700  PAYER'S TIN: 46-4364776	<b>Tax Information</b> <b>Account 127808392C</b>  Satish kumar reddy Burugu 24583 East Mineral Drive Aurora, CO 80016  RECIPIENT'S TIN: XXX-XX-1295	Statement Date: 02/12/2024	2023
--	--	----------------------------	------

**Summary Information** 11 - [ ] FATCA filing requirement (see instructions) 13 - [ ] FATCA filing requirement (see instructions)

<b>DIVIDENDS AND DISTRIBUTIONS</b> <span style="float: right;">2023 1099-DIV*</span>	OMB No. 1545-0110	<b>MISCELLANEOUS INFORMATION</b> <span style="float: right;">2023 1099-MISC*</span>	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties	0.00
1b- Qualified dividends	0.00	3- Other income	0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	<b>4- Federal income tax withheld</b>	<b>0.00</b>
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends or interest	0.00
2c- Section 1202 gain	0.00		
2d- Collectibles (28%) gain	0.00		
2e- Section 897 ordinary dividends	0.00		
2f- Section 897 capital gain	0.00		
3- Nondividend distributions	0.00		
<b>4- Federal income tax withheld</b>	<b>0.00</b>		
5- Section 199A dividends	0.00		
6- Investment expenses	0.00		
8- Foreign country or US possession:	0.00		
7- Foreign tax paid:	0.00		
9- Cash liquidation distributions	0.00		
10- Noncash liquidation distributions	0.00		
12- Exempt-interest dividends (includes line 13)	0.00		
13- Specified private activity bond interest dividends (AMT)	0.00		

<b>SECTION 1256 CONTRACTS</b> <span style="float: right;">2023 1099-B*</span>	OMB No. 1545-0715
8- Profit or (loss) realized in 2023 on closed contracts	0.00
9- Unrealized profit or (loss) on open contracts-12/31/2022	0.00
10- Unrealized profit or (loss) on open contracts-12/31/2023	0.00
11- Aggregate profit or (loss) on contracts	0.00

*If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.*

**\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	808.40	1,042.28	0.00	0.00	-233.88
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>808.40</b>	<b>1,042.28</b>	<b>0.00</b>	<b>0.00</b>	<b>-233.88</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>808.40</b>	<b>1,042.28</b>	<b>0.00</b>	<b>0.00</b>	<b>-233.88</b>

<b>Withholding</b>	<b>Amount</b>
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Robinhood Markets Inc. as agent for  
 Robinhood Crypto LLC  
 2023

**Summary Information**  
 (continued)

Account 127808392C  
 02/12/2024

**INTEREST INCOME**

2023 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement [ ]	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00



Robinhood Markets Inc. as agent for  
Robinhood Crypto LLC

Account 127808392C

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

02/12/2024

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

#### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

##### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Cardano / CUSIP: ADAUSD / Symbol:							
06/28/23	291.780	81.53	11/15/22	99.99	...	-18.46	Sale <sup>25</sup>
Solana / CUSIP: SOLUSD / Symbol:							
04/14/23	8.28951	199.99	Various	253.69	...	-53.70	Total of 5 transactions
06/28/23	32.90979	526.88	Various	688.60	...	-161.72	Total of 9 transactions
	<b>Security total:</b>	<b>726.87</b>		<b>942.29</b>	...	<b>-215.42</b>	
<b>Totals :</b>		<b>808.40</b>		<b>1,042.28</b>		<b>-233.88</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

25 Tax lot closed on a first in first out basis.

---

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

**Instructions for Recipient**

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

This page intentionally left blank.