

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	<b>Tax Information</b> <b>Account 455458346</b>	Statement Date: 02/29/2024 Document ID: 7E61 82U W1X0	2023
	NAGA VENKATA ODURI 33 SKYTOP GDNS APT 16 PARLIN, NJ 08859-2138	PAYER'S TIN: 47-0533629	

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>    <b>2023 1099-DIV*</b>    OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)    891.31</p> <p>1b- Qualified dividends    792.83</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)    0.00</p> <p>2b- Unrecaptured Section 1250 gain    0.00</p> <p>2c- Section 1202 gain    0.00</p> <p>2d- Collectibles (28%) gain    0.00</p> <p>2e- Section 897 ordinary dividends    0.00</p> <p>2f- Section 897 capital gain    0.00</p> <p>3- Nondividend distributions    14.35</p> <p><b>4- Federal income tax withheld</b>    <b>0.00</b></p> <p>5- Section 199A dividends    41.01</p> <p>6- Investment expenses    0.00</p> <p>8- Foreign country or US possession: See detail    7- Foreign tax paid:    8.84</p> <p>9- Cash liquidation distributions    0.00</p> <p>10- Noncash liquidation distributions    0.00</p> <p>12- Exempt-interest dividends (includes line 13)    0.00</p> <p>13- Specified private activity bond interest dividends (AMT)    0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>    <b>2023 1099-MISC*</b>    OMB No. 1545-0115</p> <p>2- Royalties    0.00</p> <p>3- Other income    0.00</p> <p><b>4- Federal income tax withheld</b>    <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest    0.00</p> <p><b>SECTION 1256 CONTRACTS</b>    <b>2023 1099-B*</b>    OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2023 on closed contracts    -322.68</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2022    -319.68</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2023    0.00</p> <p>11- Aggregate profit or (loss) on contracts    -3.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	19,630.28	12,456.13	0.00	170.83	7,344.98
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>19,630.28</b>	<b>12,456.13</b>	<b>0.00</b>	<b>170.83</b>	<b>7,344.98</b>
Long	D (basis reported to the IRS)	2,172.71	12,230.86	0.00	0.00	-10,058.15
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>2,172.71</b>	<b>12,230.86</b>	<b>0.00</b>	<b>0.00</b>	<b>-10,058.15</b>
Undetermined	B or E (basis not reported to the IRS)	1.07	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>1.07</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>21,804.06</b>	<b>24,686.99</b>	<b>0.00</b>	<b>170.83</b>	<b>-2,713.17</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 455458346

**Summary Information**

2023

(continued)

02/29/2024

**INTEREST INCOME**

2023 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	43.86
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail
FATCA filing requirement [ ]	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	488.24
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	1.07
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	708.81
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 455458346

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

02/29/2024

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AT&T INC COM / CUSIP: 00206R102 / Symbol: T							
10/19/23	25.000	387.50	Various	410.40	...	-22.90	Sale <sup>26</sup>
10/23/23	10.000	150.50	07/14/23	147.20	...	3.30	Sale <sup>26</sup>
10/24/23	25.000	387.50	07/14/23	365.20	...	22.30	Sale <sup>26</sup>
11/29/23	25.000	407.50	Various	342.75	...	64.75	Sale <sup>26</sup>
	<b>Security total:</b>	<b>1,333.00</b>		<b>1,265.55</b>	...	<b>67.45</b>	
ARK ETF TR INNOVATION ETF / CUSIP: 00214Q104 / Symbol: ARKK							
02/03/23	100.000	3,589.40 N	09/23/22	3,991.51	...	-402.11	Sale <sup>26</sup> Proceeds or Cost adjusted for option premium of \$389.48
CASSAVA SCIENCES INC COM / CUSIP: 14817C107 / Symbol: SAVA							
12/15/23	4.000	124.00	10/12/23	64.00	...	60.00	Sale <sup>26</sup>
CHEGG INC COM / CUSIP: 163092109 / Symbol: CHGG							
12/07/23	25.000	267.50	11/01/23	312.63	...	-45.13	Sale <sup>26</sup>
12/13/23	25.000	275.00	11/01/23	312.63	...	-37.63	Sale <sup>26</sup>
12/18/23	25.000	275.00	11/01/23	312.63	...	-37.63	Sale <sup>26</sup>
	<b>Security total:</b>	<b>817.50</b>		<b>937.89</b>	...	<b>-120.39</b>	
DIGITAL ALLY C COM / CUSIP: 25382T200 / Symbol: DGLY							
12/19/23	4.000	7.96	07/18/23	16.37	...	-8.41	Sale <sup>26</sup>

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Account 455458346

## Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/29/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ENDAVA PLC ADR / CUSIP: 29260V105 / Symbol: DAVA							
11/17/23	6.000	378.00	Various	375.00	...	3.00	Sale <sup>26</sup>
ETSY INC COM / CUSIP: 29786A106 / Symbol: ETSY							
12/15/23	5.000	425.00	Various	454.00	...	-29.00	Sale <sup>26</sup>
12/19/23	16.000	1,406.00	Various	1,330.00	...	76.00	Total of 4 transactions
	<b>Security total:</b>	<b>1,831.00</b>		<b>1,784.00</b>	...	<b>47.00</b>	
FRESHPET INC COM / CUSIP: 358039105 / Symbol: FRPT							
08/24/23	2.000	166.98	08/22/23	152.00	...	14.98	Short sale closed <sup>26</sup>
GINKGO BIOWORKS HOLDINGS INC COM CL A / CUSIP: 37611X100 / Symbol: DNA							
10/10/23	100.000	176.99	01/20/23	190.51	13.52 W	0.00	Sale <sup>26</sup>
12/14/23	100.000	164.99	Various	159.26	...	5.73	Sale <sup>26</sup>
	<b>Security total:</b>	<b>341.98</b>		<b>349.77</b>	<b>13.52 W</b>	<b>5.73</b>	
KNOWBE4 INC CSH MRG \$24.90/SH 2/2/23 / CUSIP: 49926T104 / Symbol: KNBE							
02/02/23	5.000	124.50	08/01/22	70.00	...	54.50	Merger
LENDING CLUB CORP COM / CUSIP: 52603A208 / Symbol: LC							
12/26/23	10.000	90.00	07/27/23	89.25	...	0.75	Sale <sup>26</sup>
LIBERTY TRIPADVISOR HLDGS INC COM / CUSIP: 531465102 / Symbol: LTRPA							
12/18/23	150.000	71.03	Various	126.00	...	-54.97	Sale <sup>26</sup>
LYFT INC COM CL A / CUSIP: 55087P104 / Symbol: LYFT							
11/28/23	10.000	111.90	02/09/23	121.00	...	-9.10	Sale <sup>26</sup>
11/29/23	7.000	84.07	02/10/23	75.22	...	8.85	Sale <sup>26</sup>
	<b>Security total:</b>	<b>195.97</b>		<b>196.22</b>	...	<b>-0.25</b>	
BEYOND INC COM / CUSIP: 690370101 / Symbol: BYON							
12/13/23	5.000	125.00	08/07/23	165.00	...	-40.00	Sale <sup>26</sup>
PARTY CITY HOLDCO INC COM / CUSIP: 702149105 / Symbol: PRTYQ							
10/15/23	150.000	0.00	Various	113.94	...	-113.94	Sale <sup>26</sup>
SKILLZ INC COM CL A / CUSIP: 83067L208 / Symbol: SKLZ							
06/28/23	0.500	4.40	09/02/22	12.80	...	-8.40	Sale <sup>26</sup>

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Account 455458346

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/29/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLOCK INC COM CL A / CUSIP: 852234103 / Symbol: SQ							
03/24/23	2.000	121.70	Various	215.99	94.29 W	0.00	Sale <sup>26</sup>
03/27/23	2.000	128.00	03/23/23	210.29	...	-82.29	Sale <sup>26</sup>
03/30/23	2.000	140.00	04/25/22	202.00	...	-62.00	Sale <sup>26</sup>
	<b>Security total:</b>	<b>389.70</b>		<b>628.28</b>	<b>94.29 W</b>	<b>-144.29</b>	
STITCH FIX INC COM CL A / CUSIP: 860897107 / Symbol: SFIX							
12/14/23	10.000	43.40	03/01/23	45.10	...	-1.70	Sale <sup>26</sup>
VERIZON COMMUNICATIONS COM / CUSIP: 92343V104 / Symbol: VZ							
11/22/23	25.000	937.87	07/10/23	910.13	...	27.74	Sale <sup>26</sup>
PARAMOUNT GLOBAL COM / CUSIP: 92556H206 / Symbol: PARA							
08/07/23	20.000	340.00	Various	326.10	...	13.90	Sale <sup>26</sup>
AFFIRM HLDGS INC AFRM Jan 19 2024 12.5 Put / CUSIP: / Symbol: AFRM 01/19/24 P 12.500							
08/28/23	1.000	277.97	08/25/23	0.00	...	277.97	Short sale closed- option <sup>26</sup>
C3.AI INC AI Jan 19 2024 10.0 Put / CUSIP: / Symbol: AI 01/19/24 P 10.000							
07/05/23	1.000	159.98	07/03/23	0.00	...	159.98	Short sale closed- option <sup>26</sup>
ARK ETF TR ARKK Jan 20 2023 32.0 Call / CUSIP: / Symbol: ARKK 01/20/23 C 32.000							
01/23/23	1.000	-200.02	01/20/23	0.00	...	-200.02	Short sale closed- option <sup>26</sup>
CARVANA CO CVNA Jan 19 2024 27.5 Put / CUSIP: / Symbol: CVNA 01/19/24 P 27.500							
08/03/23	1.000	838.95	08/02/23	0.00	...	838.95	Short sale closed- option <sup>26</sup>
DLOCAL LTD DLO Jan 19 2024 20.0 Put / CUSIP: / Symbol: DLO 01/19/24 P 20.000							
08/31/23	1.000	303.97	08/30/23	0.00	...	303.97	Short sale closed- option <sup>26</sup>
FIVE9 INC FIVN Dec 15 2023 50.0 Put / CUSIP: / Symbol: FIVN 12/15/23 P 50.000							
06/13/23	1.000	798.96	06/12/23	0.00	...	798.96	Short sale closed- option <sup>26</sup>
FASTLY INC FSLY Jan 19 2024 10.0 Put / CUSIP: / Symbol: FSLY 01/19/24 P 10.000							
07/11/23	1.000	332.97	07/10/23	0.00	...	332.97	Short sale closed- option <sup>26</sup>
FIVERR INTERNATIONAL LTD FVRR Jan 19 2024 30.0 Put / CUSIP: / Symbol: FVRR 01/19/24 P 30.000							
01/13/23	1.000	388.96	01/12/23	0.00	...	388.96	Short sale closed- option <sup>26</sup>

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TD Ameritrade Clearing, Inc.

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Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/29/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FIVERR INTERNATIONAL LTD FVRR Jan 17 2025 60.0 Put / CUSIP: / Symbol: FVRR 01/17/25 P 60.000							
10/04/23	1.000	-11.05	10/03/23	0.00	...	-11.05	Short sale closed- option <sup>26</sup>
TRANE TECHNOLOGIES PLC COM / CUSIP: G8994E103 / Symbol: TT							
08/31/23	1.000	209.05	08/29/23	205.13	...	3.92	Short sale closed <sup>26</sup>
GOODRX HLDGS INC GDRX Jan 19 2024 5.0 Put / CUSIP: / Symbol: GDRX 01/19/24 P 5.000							
07/13/23	1.000	83.98	07/12/23	0.00	...	83.98	Short sale closed- option <sup>26</sup>
OBSEVA SA COM / CUSIP: H5861P111 / Symbol: OBSEF							
12/21/23	100.000	2.60	02/24/23	13.00	...	-10.40	Sale <sup>26</sup>
ISHARES TRUST IWM Jan 04 2023 175.0 Call / CUSIP: / Symbol: IWM 01/04/23 C 175.000							
01/04/23	1.000	106.98	01/03/23	0.00	...	106.98	Short sale closed- option <sup>26</sup>
ISHARES TRUST IWM Jan 09 2023 175.0 Call / CUSIP: / Symbol: IWM 01/09/23 C 175.000							
01/04/23	1.000	206.49	01/04/23	205.51	...	0.98	Option sale <sup>26</sup>
01/10/23	1.000	-83.03	01/09/23	0.00	...	-83.03	Short sale closed- option <sup>26</sup>
	<b>Security total:</b>	<b>123.46</b>		<b>205.51</b>	...	<b>-82.05</b>	
ISHARES TRUST IWM Jan 17 2023 179.0 Call / CUSIP: / Symbol: IWM 01/17/23 C 179.000							
01/18/23	1.000	-533.03	01/17/23	0.00	...	-533.03	Short sale closed- option <sup>26</sup>
ISHARES TRUST IWM Jan 23 2023 179.0 Call / CUSIP: / Symbol: IWM 01/23/23 C 179.000							
01/24/23	1.000	-3.04	01/23/23	0.00	...	-3.04	Short sale closed- option <sup>26</sup>
ISHARES TRUST IWM Feb 01 2023 179.0 Call / CUSIP: / Symbol: IWM 02/01/23 C 179.000							
02/02/23	1.000	-401.04	02/01/23	0.00	...	-401.04	Short sale closed- option <sup>26</sup>
ISHARES TRUST IWM Feb 13 2023 179.0 Call / CUSIP: / Symbol: IWM 02/13/23 C 179.000							
02/14/23	1.000	10.95	02/13/23	0.00	...	10.95	Short sale closed- option <sup>26</sup>
ISHARES TRUST IWM Mar 03 2023 179.0 Call / CUSIP: / Symbol: IWM 03/03/23 C 179.000							
02/27/23	1.000	578.95	02/24/23	0.00	...	578.95	Short sale closed- option <sup>26</sup>
ISHARES TRUST IWM Mar 03 2023 188.0 Call / CUSIP: / Symbol: IWM 03/03/23 C 188.000							
03/06/23	1.000	-152.02	03/03/23	0.00	...	-152.02	Short sale closed- option <sup>26</sup>

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TD Ameritrade Clearing, Inc.

Account 455458346

## Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/29/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ISHARES TRUST IWM Mar 13 2023 192.0 Call / CUSIP: / Symbol: IWM 03/13/23 C 192.000							
03/07/23	1.000	111.98	03/06/23	0.00	...	111.98	Short sale closed- option <sup>26</sup>
ISHARES TRUST IWM Mar 29 2023 180.0 Call / CUSIP: / Symbol: IWM 03/29/23 C 180.000							
03/23/23	1.000	166.98	03/22/23	0.00	...	166.98	Short sale closed- option <sup>26</sup>
ISHARES TRUST IWM Jun 21 2023 190.0 Call / CUSIP: / Symbol: IWM 06/21/23 C 190.000							
06/13/23	1.000	103.98	06/12/23	0.00	...	103.98	Short sale closed- option <sup>26</sup>
FIVERR INTERNATIONAL LTD COM / CUSIP: M4R82T106 / Symbol: FVRR							
10/11/23	5.000	130.00	02/21/23	191.05	...	-61.05	Sale <sup>26</sup>
12/01/23	5.000	135.00	Various	190.02	...	-55.02	Sale <sup>26</sup>
	<b>Security total:</b>	<b>265.00</b>		<b>381.07</b>	...	<b>-116.07</b>	
CLOUDFLARE INC NET Jan 19 2024 40.0 Put / CUSIP: / Symbol: NET 01/19/24 P 40.000							
05/22/23	1.000	488.96	05/19/23	0.00	...	488.96	Short sale closed- option <sup>26</sup>
OKTA INC OKTA Jan 19 2024 50.0 Put / CUSIP: / Symbol: OKTA 01/19/24 P 50.000							
07/12/23	1.000	798.96	07/11/23	0.00	...	798.96	Short sale closed- option <sup>26</sup>
OPENDOOR TECHNOLOGIES INC OPEN Jan 19 2024 3.0 Put / CUSIP: / Symbol: OPEN 01/19/24 P 3.000							
12/11/23	1.000	71.98	12/08/23	0.00	...	71.98	Short sale closed- option <sup>26</sup>
OPENDOOR TECHNOLOGIES INC OPEN Jan 19 2024 4.0 Put / CUSIP: / Symbol: OPEN 01/19/24 P 4.000							
12/15/23	1.000	121.98	12/14/23	0.00	...	121.98	Short sale closed- option <sup>26</sup>
PALANTIR TECH INC PLTR Jan 19 2024 10.0 Put / CUSIP: / Symbol: PLTR 01/19/24 P 10.000							
07/11/23	1.000	298.97	07/10/23	0.00	...	298.97	Short sale closed- option <sup>26</sup>
SEA LIMITED SE Jan 19 2024 50.0 Put / CUSIP: / Symbol: SE 01/19/24 P 50.000							
03/08/23	1.000	873.95	03/07/23	0.00	...	873.95	Short sale closed- option <sup>26</sup>
SHOPIFY INC SHOP Jun 02 2023 65.0 Call / CUSIP: / Symbol: SHOP 06/02/23 C 65.000							
05/09/23	1.000	-120.04	05/08/23	0.00	...	-120.04	Short sale closed- option <sup>26</sup>
05/09/23	1.000	-63.02	05/08/23	0.00	63.02 W	0.00	Short sale closed- option <sup>26</sup>
	<b>Security total:</b>	<b>-183.06</b>		<b>0.00</b>	<b>63.02 W</b>	<b>-120.04</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/29/2024

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SPDR S&P500 ETF TRUST SPY Aug 16 2023 453.0 Call / CUSIP: / Symbol: SPY 08/16/23 C 453.000							
08/11/23	1.000	105.98	08/10/23	0.00	...	105.98	Short sale closed- option <sup>26</sup>
SPDR S&P500 ETF TRUST SPY Aug 23 2023 451.0 Call / CUSIP: / Symbol: SPY 08/23/23 C 451.000							
08/17/23	1.000	152.98	08/16/23	0.00	...	152.98	Short sale closed- option <sup>26</sup>
SPDR S&P500 ETF TRUST SPY Oct 06 2023 456.0 Call / CUSIP: / Symbol: SPY 10/06/23 C 456.000							
09/06/23	1.000	36.98	09/05/23	0.00	...	36.98	Short sale closed- option <sup>26</sup>
SPDR S&P500 ETF TRUST SPY Oct 20 2023 455.0 Call / CUSIP: / Symbol: SPY 10/20/23 C 455.000							
08/24/23	2.000	-24.04	Various	0.00	...	-24.04	Total of 2 transactions
SPDR S&P500 ETF TRUST SPY Nov 14 2023 438.0 Call / CUSIP: / Symbol: SPY 11/14/23 C 438.000							
11/15/23	1.000	-804.02	11/14/23	0.00	...	-804.02	Short sale closed- option <sup>26</sup>
SPDR S&P500 ETF TRUST SPY Nov 22 2023 438.0 Call / CUSIP: / Symbol: SPY 11/22/23 C 438.000							
11/24/23	1.000	-417.03	11/22/23	0.00	...	-417.03	Short sale closed- option <sup>26</sup>
SPDR S&P500 ETF TRUST SPY Dec 08 2023 438.0 Call / CUSIP: / Symbol: SPY 12/08/23 C 438.000							
12/11/23	1.000	-478.03	12/08/23	0.00	...	-478.03	Short sale closed- option <sup>26</sup>
SPDR S&P500 ETF TRUST SPY Jan 20 2023 370.0 Put / CUSIP: / Symbol: SPY 01/20/23 P 370.000							
01/20/23	1.000	0.00	01/11/23	32.51	...	-32.51	Option expiration
SPDR S&P500 ETF TRUST SPY Feb 22 2023 404.0 Put / CUSIP: / Symbol: SPY 02/22/23 P 404.000							
02/15/23	1.000	146.97	02/14/23	0.00	...	146.97	Short sale closed- option <sup>26</sup>
02/16/23	1.000	101.98	02/15/23	0.00	...	101.98	Short sale closed- option <sup>26</sup>
02/17/23	1.000	100.98	02/16/23	0.00	...	100.98	Short sale closed- option <sup>26</sup>
02/21/23	1.000	27.98	02/17/23	0.00	...	27.98	Short sale closed- option <sup>26</sup>
	<b>Security total:</b>	<b>377.91</b>		<b>0.00</b>	...	<b>377.91</b>	
SPDR S&P500 ETF TRUST SPY Feb 27 2023 403.0 Put / CUSIP: / Symbol: SPY 02/27/23 P 403.000							
02/28/23	1.000	31.97	02/27/23	0.00	...	31.97	Short sale closed- option <sup>26</sup>
SPDR S&P500 ETF TRUST SPY Mar 01 2023 398.0 Put / CUSIP: / Symbol: SPY 03/01/23 P 398.000							
03/01/23	1.000	8.98	02/28/23	0.00	...	8.98	Short sale closed- option <sup>26</sup>

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(continued)

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SPDR S&P500 ETF TRUST SPY Mar 14 2023 400.0 Put / CUSIP: / Symbol: SPY 03/14/23 P 400.000							
03/10/23	1.000	19.98	03/09/23	0.00	...	19.98	Short sale closed- option <sup>26</sup>
03/15/23	1.000	-402.02	03/14/23	0.00	...	-402.02	Short sale closed- option <sup>26</sup>
	<b>Security total:</b>	<b>-382.04</b>		<b>0.00</b>	...	<b>-382.04</b>	
SPDR S&P500 ETF TRUST SPY Mar 21 2023 380.0 Put / CUSIP: / Symbol: SPY 03/21/23 P 380.000							
03/16/23	1.000	197.98	03/15/23	0.00	...	197.98	Short sale closed- option <sup>26</sup>
SPDR S&P500 ETF TRUST SPY Mar 23 2023 390.0 Put / CUSIP: / Symbol: SPY 03/23/23 P 390.000							
03/21/23	1.000	89.98	03/20/23	0.00	...	89.98	Short sale closed- option <sup>26</sup>
SPDR S&P500 ETF TRUST SPY May 03 2023 405.0 Put / CUSIP: / Symbol: SPY 05/03/23 P 405.000							
05/01/23	1.000	244.98	04/28/23	0.00	...	244.98	Short sale closed- option <sup>26</sup>
SPDR S&P500 ETF TRUST SPY May 10 2023 410.0 Put / CUSIP: / Symbol: SPY 05/10/23 P 410.000							
05/04/23	1.000	5.98	05/03/23	0.00	...	5.98	Short sale closed- option <sup>26</sup>
05/08/23	1.000	237.98	05/05/23	0.00	...	237.98	Short sale closed- option <sup>26</sup>
	<b>Security total:</b>	<b>243.96</b>		<b>0.00</b>	...	<b>243.96</b>	
SPDR S&P500 ETF TRUST SPY Jul 19 2023 437.0 Put / CUSIP: / Symbol: SPY 07/19/23 P 437.000							
07/10/23	1.000	111.98	07/07/23	0.00	...	111.98	Short sale closed- option <sup>26</sup>
SPDR S&P500 ETF TRUST SPY Jul 25 2023 453.0 Put / CUSIP: / Symbol: SPY 07/25/23 P 453.000							
07/26/23	1.000	147.98	07/25/23	0.00	...	147.98	Short sale closed- option <sup>26</sup>
SPDR S&P500 ETF TRUST SPY Aug 02 2023 453.0 Put / CUSIP: / Symbol: SPY 08/02/23 P 453.000							
08/01/23	1.000	175.98	07/31/23	0.00	...	175.98	Short sale closed- option <sup>26</sup>
SPDR S&P500 ETF TRUST SPY Aug 09 2023 455.0 Put / CUSIP: / Symbol: SPY 08/09/23 P 455.000							
08/01/23	1.000	46.98	07/31/23	0.00	...	46.98	Short sale closed- option <sup>26</sup>
UNITY SOFTWARE INC U Jan 19 2024 35.0 Put / CUSIP: / Symbol: U 01/19/24 P 35.000							
07/14/23	1.000	1,017.95	07/13/23	0.00	...	1,017.95	Short sale closed- option <sup>26</sup>
UPSTART HLDGS INC UPST Jan 19 2024 40.0 Put / CUSIP: / Symbol: UPST 01/19/24 P 40.000							
12/14/23	1.000	1,793.97	12/13/23	0.00	...	1,793.97	Short sale closed- option <sup>26</sup>
<b>Totals :</b>		<b>19,630.28</b>		<b>12,456.13</b>	<b>170.83 W</b>	<b>7,344.98</b>	

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2023 1099-B\* OMB No. 1545-0715

(continued)

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AT&T INC COM / CUSIP: 00206R102 / Symbol: T							
10/23/23	15.000	225.75	Various	307.83	...	-82.08	Sale <sup>26</sup>
CARVANA CO COM CL A / CUSIP: 146869102 / Symbol: CVNA							
08/02/23	5.000	250.00	04/28/22	299.50	...	-49.50	Sale <sup>26</sup>
CASSAVA SCIENCES INC COM / CUSIP: 14817C107 / Symbol: SAVA							
12/15/23	1.000	31.00	11/18/21	45.01	...	-14.01	Sale <sup>26</sup>
CLOOPEN GROUP HLDG LTD ADR / CUSIP: 18900M203 / Symbol: RAASY							
12/11/23	50.000	-0.01	Various	3,586.07	...	-3,586.08	Zero proceeds / negative sale <sup>26</sup>
12/14/23	25.000	0.00	Various	713.90	...	-713.90	Sale <sup>26</sup>
	<b>Security total:</b>	<b>-0.01</b>		<b>4,299.97</b>	<b>...</b>	<b>-4,299.98</b>	
CORSAIR GAMING INC COM / CUSIP: 22041X102 / Symbol: CRSR							
12/14/23	4.000	59.20	02/11/21	164.00	...	-104.80	Sale <sup>26</sup>
DIGITAL ALLY C COM / CUSIP: 25382T200 / Symbol: DGLY							
12/19/23	1.000	1.99	Various	48.33	...	-46.34	Sale <sup>26</sup>
ETSY INC COM / CUSIP: 29786A106 / Symbol: ETSY							
12/19/23	4.000	344.00	Various	398.16	...	-54.16	Sale <sup>26</sup>
CALCIMEDICA INC COM / CUSIP: 38942Q202 / Symbol: CALC							
03/21/23	0.143	0.75	06/28/21	45.82	...	-45.07	Sale <sup>26</sup>
KYNDRYL HLDGS INC COM / CUSIP: 50155Q100 / Symbol: KD							
12/27/23	15.000	315.00	Various	310.10	...	4.90	Sale <sup>26</sup>
LENDING CLUB CORP COM / CUSIP: 52603A208 / Symbol: LC							
12/26/23	10.000	90.00	Various	124.00	...	-34.00	Sale <sup>26</sup>
LYFT INC COM CL A / CUSIP: 55087P104 / Symbol: LYFT							
11/29/23	13.000	156.13	11/08/22	143.60	...	12.53	Sale <sup>26</sup>
OCUPHIRE PHARMA INC COM / CUSIP: 67577R102 / Symbol: OCUP							
12/14/23	5.000	13.45	06/30/21	27.65	...	-14.20	Sale <sup>26</sup>

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POSHMARK INC CSH MRG \$17.90/SH 1/6/23 / CUSIP: 73739W104 / Symbol: POSH							
01/06/23	20.000	358.00	11/10/21	341.40	...	16.60	Merger
BIORA THERAPEUTICS INC COM / CUSIP: 74319F305 / Symbol: BIOR							
01/04/23	0.800	1.98	02/12/21	113.75	...	-111.77	Sale <sup>26</sup>
RENT THE RUNWAY INC COM CL A / CUSIP: 76010Y103 / Symbol: RENT							
08/22/23	10.000	13.50	06/13/22	32.00	...	-18.50	Sale <sup>26</sup>
GENEDX HOLDINGS CORP COM CL A / CUSIP: 81663L200 / Symbol: WGS							
12/14/23	2.000	4.00	Various	514.61	...	-510.61	Sale <sup>26</sup>
SHIFT TECHNOLOGIES INC COM CL A / CUSIP: 82452T305 / Symbol: SFTGQ							
03/09/23	0.800	1.15	Various	62.75	...	-61.60	Sale <sup>26</sup>
BLOCK INC COM CL A / CUSIP: 852234103 / Symbol: SQ							
03/30/23	2.000	140.00	Various	452.66	...	-312.66	Sale <sup>26</sup>
THE9 LTD ADR / CUSIP: 88337K401 / Symbol: NCTY							
10/03/23	0.500	2.06	08/17/21	140.50	...	-138.44	Sale <sup>26</sup>
UPWORK INC COM / CUSIP: 91688F104 / Symbol: UPWK							
12/26/23	10.000	150.00	Various	134.10	...	15.90	Sale <sup>26</sup>
SPRUCE POWER HOLDING CORP COM / CUSIP: 9837FR209 / Symbol: SPRU							
10/11/23	0.500	2.37	02/03/21	88.80	...	-86.43	Sale <sup>26</sup>
XOS INC COM / CUSIP: 98423B306 / Symbol: XOS							
12/08/23	0.333	2.01	02/25/21	110.20	...	-108.19	Sale <sup>26</sup>
APPHARVEST INC APPH Jan 20 2023 15.0 Call / CUSIP: / Symbol: APPH 01/20/23 C 15.000							
01/20/23	1.000	0.00	09/20/21	100.56	...	-100.56	Option expiration
APPHARVEST INC APPH Jan 20 2023 12.5 Call / CUSIP: / Symbol: APPH 01/20/23 C 12.500							
01/20/23	1.000	0.00	09/24/21	105.56	...	-105.56	Option expiration
CLOVER HEALTH INVESTMENTS CORP CLOV Jan 20 2023 40.0 Call / CUSIP: / Symbol: CLOV 01/20/23 C 40.000							
01/20/23	1.000	0.00	09/20/21	136.56	...	-136.56	Option expiration

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COUPANG INC CPNG Jan 20 2023 50.0 Call / CUSIP: / Symbol: CPNG 01/20/23 C 50.000							
01/20/23	1.000	0.00	09/15/21	165.56	...	-165.56	Option expiration
COUPANG INC CPNG Jan 20 2023 60.0 Call / CUSIP: / Symbol: CPNG 01/20/23 C 60.000							
01/20/23	1.000	0.00	09/15/21	100.56	...	-100.56	Option expiration
BARRICK GOLD CORPORATION GOLD Jan 20 2023 30.0 Call / CUSIP: / Symbol: GOLD 01/20/23 C 30.000							
01/20/23	5.000	0.00	Various	683.90	...	-683.90	Option expiration
BARRICK GOLD CORPORATION GOLD Jan 20 2023 37.0 Call / CUSIP: / Symbol: GOLD 01/20/23 C 37.000							
01/20/23	2.000	0.00	Various	121.12	...	-121.12	Option expiration
BARRICK GOLD CORPORATION GOLD Jan 20 2023 45.0 Call / CUSIP: / Symbol: GOLD 01/20/23 C 45.000							
01/20/23	3.000	0.00	Various	193.88	...	-193.88	Option expiration
OBSEVA SA COM / CUSIP: H5861P111 / Symbol: OBSEF							
12/21/23	400.000	10.38	Various	957.06	...	-946.68	Sale <sup>26</sup>
OZON HLDGS PLC OZON Jan 20 2023 60.0 Call / CUSIP: / Symbol: OZON 01/20/23 C 60.000							
01/20/23	2.000	0.00	Various	851.12	...	-851.12	Option expiration
SPIRIT AIRLINES INC SAVE Jan 20 2023 50.0 Call / CUSIP: / Symbol: SAVE 01/20/23 C 50.000							
01/20/23	2.000	0.00	Various	282.12	...	-282.12	Option expiration
VIRGIN GALACTIC HLDGS INC SPCE Jan 20 2023 50.0 Call / CUSIP: / Symbol: SPCE 01/20/23 C 50.000							
01/20/23	1.000	0.00	11/17/21	201.56	...	-201.56	Option expiration
SORRENTO THERAPEUTICS INC SRNE1 Jan 20 2023 20.0 Call / CUSIP: / Symbol: SRNE1 01/20/23 C 20.000							
01/20/23	1.000	0.00	09/22/21	126.56	...	-126.56	Option expiration
<b>Totals :</b>		<b>2,172.71</b>		<b>12,230.86</b>		<b>-10,058.15</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 455458346
2023 1099-B* OMB No. 1545-0715	(continued)	02/29/2024

**UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)**

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ISHARES SILVER TRUST ISHARES ETF / CUSIP: 46428Q109 / Symbol: SLV / Note: CL							
01/31/23	0.000	0.09	N/A	...	...	...	Principal payment <sup>16</sup> Cost Basis Factor: 0.000421492
02/28/23	0.000	0.08	N/A	...	...	...	Principal payment <sup>16</sup> Cost Basis Factor: 0.000406098
03/31/23	0.000	0.09	N/A	...	...	...	Principal payment <sup>16</sup> Cost Basis Factor: 0.000388336
04/30/23	0.000	0.09	N/A	...	...	...	Principal payment <sup>16</sup> Cost Basis Factor: 0.000413232
05/31/23	0.000	0.09	N/A	...	...	...	Principal payment <sup>16</sup> Cost Basis Factor: 0.000438429
06/30/23	0.000	0.09	N/A	...	...	...	Principal payment <sup>16</sup> Cost Basis Factor: 0.000422830
07/31/23	0.000	0.09	N/A	...	...	...	Principal payment <sup>16</sup> Cost Basis Factor: 0.000420424
08/31/23	0.000	0.09	N/A	...	...	...	Principal payment <sup>16</sup> Cost Basis Factor: 0.000413305
09/30/23	0.000	0.09	N/A	...	...	...	Principal payment <sup>16</sup> Cost Basis Factor: 0.000445175
10/31/23	0.000	0.09	N/A	...	...	...	Principal payment <sup>16</sup> Cost Basis Factor: 0.000422396
11/30/23	0.000	0.09	N/A	...	...	...	Principal payment <sup>16</sup> Cost Basis Factor: 0.000385520
12/31/23	0.000	0.09	N/A	...	...	...	Principal payment <sup>16</sup> Cost Basis Factor: 0.000428598
	<b>Security total:</b>	<b>1.07</b>		...	...	...	
<b>Totals :</b>		<b>1.07</b>		...	...	...	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 455458346

## Detail for Dividends and Distributions

2023

02/29/2024

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and its tax character is determined by the issuer.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AT&T INC COM	00206R102 T		02/01/23	4.16	Qualified dividend	
			05/01/23	4.16	Qualified dividend	
			08/01/23	11.10	Qualified dividend	
			11/01/23	55.50	Qualified dividend	
				<b>74.92</b>	<b>Total Dividends &amp; distributions</b>	
ALBEMARLE CORP COM	012653101 ALB		01/03/23	0.40	Qualified dividend	
			04/03/23	0.80	Qualified dividend	
			07/03/23	0.80	Qualified dividend	
			10/02/23	2.00	Qualified dividend	
	<b>4.00</b>	<b>Total Dividends &amp; distributions</b>				
BARRICK GOLD CORPORATION COM	067901108 GOLD		03/15/23	2.50	Qualified dividend	
			03/15/23	-0.38	Foreign tax withheld-CA	
			06/15/23	2.50	Qualified dividend	
			06/15/23	-0.38	Foreign tax withheld-CA	
			09/15/23	2.50	Qualified dividend	
			09/15/23	-0.38	Foreign tax withheld-CA	
			12/15/23	2.50	Qualified dividend	
			12/15/23	-0.38	Foreign tax withheld-CA	
	<b>10.00</b>	<b>Total Dividends &amp; distributions</b>				
	<b>-1.52</b>	<b>Total Foreign tax withheld</b>				
CAMECO CORP COM	13321L108 CCJ		12/15/23	0.90	Qualified dividend	
			12/15/23	-0.13	Foreign tax withheld-CA	
	<b>0.90</b>	<b>Total Dividends &amp; distributions</b>				
	<b>-0.13</b>	<b>Total Foreign tax withheld</b>				
CITIGROUP INC COM	172967424 C		08/25/23	2.65	Qualified dividend	
			11/22/23	2.65	Qualified dividend	
	<b>5.30</b>	<b>Total Dividends &amp; distributions</b>				

TD Ameritrade Clearing, Inc.

Account 455458346

## Detail for Dividends and Distributions

2023

(continued)

02/29/2024

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
FEDEX CORP COM	31428X106 FDX		01/03/23	4.60	Qualified dividend	
			04/03/23	4.60	Qualified dividend	
			07/03/23	5.04	Qualified dividend	
			10/02/23	5.04	Qualified dividend	
				<b>19.28</b>	<b>Total Dividends &amp; distributions</b>	
ISHARES TRUST RUSSELL 2000 ETF	464287655 IWM		03/29/23	48.11	Qualified dividend	03
			03/29/23	8.67	Nonqualified dividend	03
			03/29/23	6.29	Section 199A dividend	03
			06/13/23	35.28	Qualified dividend	03
			06/13/23	10.52	Nonqualified dividend	03
			06/13/23	5.07	Section 199A dividend	03
			10/02/23	57.48	Qualified dividend	03
			10/02/23	17.14	Nonqualified dividend	03
			10/02/23	8.27	Section 199A dividend	03
			12/27/23	50.89	Qualified dividend	03
			12/27/23	15.18	Nonqualified dividend	03
			12/27/23	7.32	Section 199A dividend	03
				<b>270.22</b>	<b>Total Dividends &amp; distributions</b>	
NVIDIA CORP COM	67066G104 NVDA		03/29/23	0.16	Qualified dividend	
			06/30/23	0.16	Qualified dividend	
			09/28/23	0.16	Qualified dividend	
			12/28/23	0.16	Qualified dividend	
				<b>0.64</b>	<b>Total Dividends &amp; distributions</b>	
ON24 INC COM	68339B104 ONTF		06/15/23	7.63	Nondividend distribution	03
PAYCOM SOFTWARE INC COM	70432V102 PAYC		06/12/23	0.75	Qualified dividend	
			09/11/23	1.88	Qualified dividend	
			12/11/23	7.50	Qualified dividend	
				<b>10.13</b>	<b>Total Dividends &amp; distributions</b>	
SPDR S&P500 ETF TRUST TR UNIT ETF	78462F103 SPY		10/31/23	149.24	Qualified dividend	03
			10/31/23	6.38	Section 199A dividend	03
			10/31/23	2.70	Nonqualified dividend	03
			01/31/24	179.67	Qualified dividend	03
			01/31/24	7.68	Section 199A dividend	03
			01/31/24	3.26	Nonqualified dividend	03
				<b>348.93</b>	<b>Total Dividends &amp; distributions</b>	

TD Ameritrade Clearing, Inc.

Account 455458346

## Detail for Dividends and Distributions

2023

(continued)

02/29/2024

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
SCOTTS MIRACLE-GRO COMPANY COM CL A	810186106 SMG		09/08/23	13.20	Qualified dividend	
			12/08/23	13.20	Qualified dividend	
				<b>26.40</b>	<b>Total Dividends &amp; distributions</b>	
SORRENTO THERAPEUTICS INC COM	83587F202 SRNEQ		01/20/23	6.72	Nondividend distribution	
US BANCORP COM	902973304 USB		07/17/23	9.60	Qualified dividend	
			10/16/23	9.60	Qualified dividend	
				<b>19.20</b>	<b>Total Dividends &amp; distributions</b>	
VANECK ETF TRUST VANECK GOLD MINERS ETF	92189F106 GDX		12/22/23	8.29	Qualified dividend	03
			12/22/23	-0.79	Foreign tax withheld-Variou	03
				<b>8.29</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.79</b>	<b>Total Foreign tax withheld</b>	
VERIZON COMMUNICATIONS COM	92343V104 VZ		11/01/23	66.50	Qualified dividend	
PARAMOUNT GLOBAL COM	92556H206 PARA		07/03/23	1.00	Qualified dividend	
ZIM INTEGRATED SHIPPING SERV COM	M9T951109 ZIM		04/03/23	25.60	Qualified dividend	
			04/03/23	-6.40	Foreign tax withheld-IS	
				<b>25.60</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-6.40</b>	<b>Total Foreign tax withheld</b>	
				<b>905.66</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-8.84</b>	<b>Total Foreign tax withheld</b>	



TD Ameritrade Clearing, Inc.

Account 455458346

**Detail for Interest Income****2023**

02/29/2024

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
Interest Credited		01/31/23	10.74	Credit interest	
		02/28/23	10.17	Credit interest	
		03/31/23	7.73	Credit interest	
		04/28/23	6.13	Credit interest	
		05/31/23	3.87	Credit interest	
		06/30/23	2.47	Credit interest	
		07/31/23	1.06	Credit interest	
		10/31/23	0.24	Credit interest	
		11/30/23	0.23	Credit interest	
		12/29/23	1.22	Credit interest	
			43.86	Total Interest	
			<b>43.86</b>	<b>Total Interest</b>	

TD Ameritrade Clearing, Inc.

Account 455458346

**Section 1256 Contracts - Regulated Futures and Nonequity Options**

2023

02/29/2024

*This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.*

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ISHARES SILVER TRUST SLV Jan 20 2023 40.0	SLV 01/20/23 C 40.000	01/20/23	3.00	-319.68	Unrealized profit/loss prior year	
		01/20/23	3.00	-322.68	Realized profit/loss	
				<b>-322.68</b>	<b>Total Realized profit/loss</b>	
				<b>-319.68</b>	<b>Total Unrealized profit/loss prior year</b>	
				<b>-322.68</b>	<b>Total Realized profit/loss</b>	
				<b>-319.68</b>	<b>Total Unrealized profit/loss prior year</b>	
				<b>-3.00</b>	<b>Total Aggregate profit or (loss) on contracts</b>	

TD Ameritrade Clearing, Inc.

Account 455458346

**Fees and Expenses**

2023

02/29/2024

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
REORG FEE 09075F107		08/07/23	-38.00	Miscellaneous fee	
REORG FEE 18900M104		03/15/23	-38.00	Miscellaneous fee	
REORG FEE 25382T101		02/08/23	-38.00	Miscellaneous fee	
REORG FEE 29667K306		02/22/23	-38.00	Miscellaneous fee	
REORG FEE 29667K504		12/22/23	-38.00	Miscellaneous fee	
REORG FEE 36117V105		02/01/23	-38.00	Miscellaneous fee	
REORG FEE 38942Q103		03/20/23	-38.00	Miscellaneous fee	
REORG FEE 485859102		07/28/23	-38.00	Miscellaneous fee	
REORG FEE 49926T104		02/02/23	-38.00	Miscellaneous fee	
REORG FEE 73739W104		01/05/23	-38.00	Miscellaneous fee	
REORG FEE 74319F107		01/03/23	-38.00	Miscellaneous fee	
REORG FEE 81663L101		05/04/23	-38.00	Miscellaneous fee	
REORG FEE 82452T107		03/08/23	-38.00	Miscellaneous fee	
REORG FEE 83067L109		06/26/23	-38.00	Miscellaneous fee	
REORG FEE 88337K302		10/02/23	-38.00	Miscellaneous fee	
REORG FEE 918385105		11/21/23	-38.00	Miscellaneous fee	
REORG FEE 9837FR100		10/09/23	-38.00	Miscellaneous fee	
REORG FEE 98423B108		12/07/23	-38.00	Miscellaneous fee	
Interest Charged		07/31/23	-4.08	Margin interest paid	
		08/31/23	-340.18	Margin interest paid	
		09/29/23	-140.25	Margin interest paid	
		10/31/23	-1.50	Margin interest paid	

TD Ameritrade Clearing, Inc.

Account 455458346

## Fees and Expenses

2023

(continued)

02/29/2024

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Charged (cont'd)		11/30/23	-2.23	Margin interest paid	
			-488.24	Total Margin interest paid	
ALIBABA GROUP HOLDING LTD ADR SPONSORED	01609W102 BABA	05/08/23	-0.04	Depository receipt fee	
CELLECTIS ADR SPONSORED	15117K103 CLLS	05/08/23	-0.20	Depository receipt fee	
CLOOPEN GROUP HLDG LTD ADR	18900M203 RAASY	07/11/23	-4.00	Depository receipt fee	
ENDAVA PLC ADR	29260V105 DAVA	05/08/23	-0.12	Depository receipt fee	
FUTU HOLDINGS LIMITED ADR	36118L106 FUTU	04/12/23	-0.10	Depository receipt fee	
ISHARES SILVER TRUST ISHARES ETF	46428Q109 SLV	01/31/23	-0.09	Gross proceeds investment expense	15
Note: CL		02/28/23	-0.08	Gross proceeds investment expense	15
		03/31/23	-0.09	Gross proceeds investment expense	15
		04/30/23	-0.09	Gross proceeds investment expense	15
		05/31/23	-0.09	Gross proceeds investment expense	15
		06/30/23	-0.09	Gross proceeds investment expense	15
		07/31/23	-0.09	Gross proceeds investment expense	15
		08/31/23	-0.09	Gross proceeds investment expense	15
		09/30/23	-0.09	Gross proceeds investment expense	15
		10/31/23	-0.09	Gross proceeds investment expense	15
		11/30/23	-0.09	Gross proceeds investment expense	15
		12/31/23	-0.09	Gross proceeds investment expense	15
			-1.07	Total Gross proceeds invstmnt exp	
JUMIA TECHNOLOGIES AG ADR SPONSORED	48138M105 JMIA	10/09/23	-15.00	Depository receipt fee	
NIO INC ADR	62914V106 NIO	02/08/23	-0.90	Depository receipt fee	
NANO DIMENSION LTD ADR SPONSORED	63008G203 NNDM	01/11/23	-1.00	Depository receipt fee	
SEA LIMITED ADR	81141R100 SE	10/09/23	-3.10	Depository receipt fee	
THE9 LTD 1:10 R/S 10/2/23 88337K401	88337K302	03/08/23	-0.05	Depository receipt fee	
XPENG INC ADR	98422D105 XPEV	09/11/23	-0.30	Depository receipt fee	

TD Ameritrade Clearing, Inc.

Account 455458346

**Fees and Expenses**

2023

(continued)

02/29/2024

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-24.81	Total Depository receipt fee
-684.00	Total Miscellaneous fee
-488.24	Total Margin interest paid
-1.07	Total Gross proceeds invstmnt exp

TD Ameritrade Clearing, Inc.

Account 455458346

**Mutual Fund and UIT Supplemental Information**

2023

02/29/2024

*The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

**VANECK ETF TRUST VANECK GOLD MINERS ETF / 92189F106 / GDX**

**FOREIGN SOURCE INCOME PERCENTAGES**

Fgn Source Inc Tot	70.23%	Fgn Source Inc Qual	0.00%	Fgn Source Inc Adj	0.00%
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TD Ameritrade Clearing, Inc.

Account 455458346

**Foreign Income and Taxes Summary**

2023

02/29/2024

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
	VANECK ETF TRUST VANECK GOLD MINERS ETF	92189F106	0.00	8.29		0.00	8.29	-0.79
<b>Total</b>			<b>0.00</b>	<b>8.29</b>		<b>0.00</b>	<b>8.29</b>	<b>-0.79</b>
CA	BARRICK GOLD CORPORATION COM	067901108	0.00	10.00		0.00	10.00	-1.52
CA	CAMECO CORP COM	13321L108	0.00	0.90		0.00	0.90	-0.13
<b>Total CANADA</b>			<b>0.00</b>	<b>10.90</b>		<b>0.00</b>	<b>10.90</b>	<b>-1.65</b>
IS	ZIM INTEGRATED SHIPPING SERV COM	M9T951109	0.00	25.60		0.00	25.60	-6.40
<b>Total ISRAEL</b>			<b>0.00</b>	<b>25.60</b>		<b>0.00</b>	<b>25.60</b>	<b>-6.40</b>
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>						<b>44.79</b>	
	<b>Qualified Foreign Source Income**</b>						<b>44.79</b>	
	<b>Foreign Tax</b>							<b>-8.84</b>

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 15 Income or expense adjustment. This security is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s).
- 16 This transaction represents the sale of assets from a Widely Held Fixed Investment Trust (WHFIT). The cost basis allocation factor is the value of the assets sold divided by the total net asset value of the trust. If you know your cost of the assets sold, use that to determine your gain/loss. Otherwise, determine your cost basis by multiplying your adjusted cost basis by the cost basis allocation factor. For example, if your adjusted basis is \$1,000 and the cost basis allocation factor is 0.005 your cost basis allocated to that sale is  $\$1,000 * 0.005$  or \$5. If there are subsequent sales of trust assets, your adjusted cost basis for the next sale is \$995. Sales are reported based on when and for how much the trust sold the asset. This may differ both in timing and amount from what is distributed. There are cases where the proceeds are used to pay expenses and there is no corresponding distribution. For more information refer to regulations section 1.671-5.
- 26 Tax lot closed is a specified lot.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
-



**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Instructions for Recipient**

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

**Line 12.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 13.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 12. See the Instructions for Form 6251.

**Lines 14-16.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a

constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Lines 15-17.** State tax withheld reporting lines.

**Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

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**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show

#### Instructions for Recipient

the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**Line 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2023. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2023 in 2024.

**Line 11.** Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2023 Form 6781.

#### Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)

**Line 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2023.

**Line 9.** Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2022.