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SAI KRISHNA PAVAN NAKIRIKANTI
230 MARION RD
SPRING HILL TN 37174



1099 Consolidated Tax Statement
Tax Year 2023 - ORIGINAL

Date Issued
February 05, 2024

Account Mailing Address

Account Owner
SAI KRISHNA PAVAN NAKI RIKANTI
230 MARION RD
SPRING HILL TN 37174

Legal Name and Address Reported
to IRS and State Taxing Authorities

SAI KRISHNA PAVAN NAKI RIKANTI
230 MARION RD
SPRING HILL TN 37174

Account Number
775 281653 207

Customer Service: 866-324-6088

What's included in this packet:

Table with 2 columns: Reportable to the IRS, Page. Includes items like 1099-DIV Dividends and Distributions, 1099-INT Interest Income, etc.

This E*TRADE from Morgan Stanley 1099 Consolidated Tax Statement for 2023 provides your official tax information for use when preparing your tax return. It is important to note that the income information that was reported on your December account statement will not have included certain adjustments occurring after year-end that are reflected on your 1099 and that are necessary for tax reporting purposes.

The following tax documents are not included in this statement and are sent individually in separate mailings, if required: Forms 1099-Q, 1042-S, 2439, 5498, 5498-ESA, REMIC Information Statement, Schedule K-1 and Puerto Rico Forms 480.6A, 480.6B, 480.6C and 480.6D.

E*TRADE from Morgan Stanley is pleased to provide you with the ability to download your tax information into the following individual tax preparation software applications: TurboTax® and H&R Block Tax Software®. To view gains and losses, simply go to the Gains & Losses page on etrade.com where you can find historical information for the current year and prior two years.

TurboTax® is a registered trademark of Intuit, Inc. H&R Block Tax Software® is a registered trademark of H&R Block, Inc.

Warning box with CAUTION icon and text: *** WARNING - CORRECTED TAX FORMS POSSIBLE *** The Forms 1099 included in your E*TRADE from Morgan Stanley Consolidated Tax Statement were prepared based upon information provided by the issuer of each security.

Table with 2 columns: Non-Reportable to the IRS, Page. Includes Fees and Expenses 8



from Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2023 Copy B For Recipient

Morgan Stanley Capital Management, LLC
Morgan Stanley Smith Barney, LLC
1 New York Plaza
7th Floor
New York, NY 10004
Identification Number: 11-3658445
Taxpayer ID Number: XXX-XX-2336
Account Number: 775 281653 207

Name Reported to the IRS: SAI KRISHNA PAVAN NAKIRIKANTI
230 MARION RD
SPRING HILL TN 37174

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Table with 2 columns: Description and Amount. Includes sections for Dividends and Distributions, and Interest Income.

Table with 2 columns: Description and Amount. Includes sections for Miscellaneous Information, Original Issue Discount, and Proceeds from Broker and Barter Exchange Transactions.

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS



1099-INT INTEREST INCOME

Interest Income

| DESCRIPTION | CUSIP | PAY DATE | AMOUNT | FEDERAL INCOME TAX WITHHELD |
|---|--------------|-----------------|---------------|------------------------------------|
| MORGAN STANLEY BANK N.A. | 061870903 | 12/29/23 | \$0.14 | \$0.00 |
| Total Interest Income 1099-INT box 1 | | | \$0.14 | |
| Total Federal Income Tax Withheld 1099-INT box 4 | | | | \$0.00 |

The amount of tax-exempt interest paid to you must be reported on the applicable Form 1040, U.S. Individual Income Tax Return. The amount of tax-exempt AMT interest paid to you must be taken into account in computing the Alternative Minimum Tax reported on Form 1040.



**1099 Consolidated Tax Statement
Tax Year 2023 Copy B For Recipient**

Morgan Stanley Capital Management, LLC
Morgan Stanley Smith Barney, LLC
1 New York Plaza
7th Floor
New York, NY 10004
Identification Number: 11-3658445
Taxpayer ID Number: XXX-XX-2336
Account Number: 775 281653 207

Name Reported to the IRS: SAI KRISHNA PAVAN NAKIRIKANTI
230 MARION RD
SPRING HILL TN 37174

Customer Service: 866-324-6088

FORM 1099-B TOTALS SUMMARY

REALIZED GAIN/LOSS SUMMARY

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

| SHORT -TERM GAIN OR (LOSSES) - REPORT ON FORM 8949, PART I | PROCEEDS | COST BASIS | MARKET DISCOUNT | WASH SALE LOSS DISALLOWED | REALIZED GAIN OR (LOSS) |
|---|-------------------|-------------------|------------------------|----------------------------------|--------------------------------|
| Box A (basis reported to the IRS) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Box A - Ordinary - (basis reported to the IRS) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Box B (basis not reported to the IRS) | \$9,025.16 | \$0.00 | \$0.00 | \$0.00 | \$9,025.16 |
| Box B - Ordinary - (basis not reported to the IRS) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Total Short - Term | \$9,025.16 | \$0.00 | \$0.00 | \$0.00 | \$9,025.16 |

| LONG -TERM GAIN OR (LOSSES) - REPORT ON FORM 8949, PART II | PROCEEDS | COST BASIS | MARKET DISCOUNT | WASH SALE LOSS DISALLOWED | REALIZED GAIN OR (LOSS) |
|---|-------------------|-------------------|------------------------|----------------------------------|--------------------------------|
| Box D (basis reported to the IRS) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Box D - Ordinary - (basis reported to the IRS) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Box E (basis not reported to the IRS) | \$3,435.00 | \$0.00 | \$0.00 | \$0.00 | \$3,435.00 |
| Box E - Ordinary - (basis not reported to the IRS) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Total Long - Term | \$3,435.00 | \$0.00 | \$0.00 | \$0.00 | \$3,435.00 |

| UNKNOWN TERM - CODE (X) REPORT ON FORM 8949, PART I OR PART II | PROCEEDS | COST BASIS | MARKET DISCOUNT | WASH SALE LOSS DISALLOWED | REALIZED GAIN OR (LOSS) |
|---|-----------------|-------------------|------------------------|----------------------------------|--------------------------------|
| Box B or Box E (basis not reported to the IRS) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Box B or Box E - Ordinary - (basis not reported to the IRS) | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Total Unknown Term | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |

| REGULATED FUTURES CONTRACTS | AMOUNT |
|--|---------------|
| Profit or (loss) realized in 2023 - closed contracts | \$0.00 |
| Unrealized Profit or (loss) on open contracts 12/31/2022 | \$0.00 |
| Unrealized Profit or (loss) on open contracts 12/31/2023 | \$0.00 |
| Aggregate profit or (loss) on contracts | \$0.00 |



1099 Consolidated Tax Statement
Tax Year 2023 Copy B For Recipient

Morgan Stanley Capital Management, LLC
Morgan Stanley Smith Barney, LLC
1 New York Plaza
7th Floor
New York, NY 10004
Identification Number: 11-3658445
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions.

Short Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 12 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ACCRUED MARKET DISCOUNT (Box 1f) | WASH SALE LOSS DISALLOWED (Box 1g) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---------------|------------------------|--------------------|-------------------|------------------------------|----------------------------------|------------------------------------|--------------------|-------------------------------------|
| SEAGEN INC CUSIP: 81181C104 Symbol: | | | | | | | | | |
| | 7.000 | 11/15/23 | 11/16/23 | \$1,468.16 | \$0.00 | 0.00 | \$0.00 | \$1,468.16 | \$0.00 |
| | 18.000 | 08/15/23 | 12/14/23 | \$4,122.00 | \$0.00 | 0.00 | \$0.00 | \$4,122.00 | \$0.00 |
| | 15.000 | 11/15/23 | 12/14/23 | \$3,435.00 | \$0.00 | 0.00 | \$0.00 | \$3,435.00 | \$0.00 |
| Security Subtotal | 40.000 | | | \$9,025.16 | \$0.00 | \$0.00 | \$0.00 | \$9,025.16 | \$0.00 |
| Total Short Term Noncovered Securities | | | | \$9,025.16 | \$0.00 | \$0.00 | \$0.00 | \$9,025.16 | \$0.00 |

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 12 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ACCRUED MARKET DISCOUNT (Box 1f) | WASH SALE LOSS DISALLOWED (Box 1g) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--|----------|------------------------|--------------------|-------------------|------------------------------|----------------------------------|------------------------------------|--------------------|-------------------------------------|
| SEAGEN INC CUSIP: 81181C104 Symbol: SGEN | | | | | | | | | |
| | 15.000 | 11/15/22 | 12/14/23 | \$3,435.00 | \$0.00 | \$0.00 | \$0.00 | \$3,435.00 | \$0.00 |

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions.

Long Term - Noncovered Securities # (Continued) (Consider Box 5 (Noncovered Security) as being checked and Box 12 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

| DESCRIPTION (Box 1a) | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ACCRUED MARKET DISCOUNT (Box 1f) | WASH SALE LOSS DISALLOWED (Box 1g) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--|----------|------------------------|--------------------|--------------------|------------------------------|----------------------------------|------------------------------------|--------------------|-------------------------------------|
| Total Long Term Noncovered Securities | | | | \$3,435.00 | \$0.00 | \$0.00 | \$0.00 | \$3,435.00 | \$0.00 |
| Total Covered and Noncovered Securities | | | | \$12,460.16 | \$0.00 | \$0.00 | \$0.00 | \$12,460.16 | \$0.00 |
| Form 1099-B Total Reportable Amounts - Does not include cost basis, accrued market discount or wash sale loss disallowed amounts for noncovered securities. | | | | | | | | | |
| Total IRS Reportable Proceeds (Box 1d) | | | | \$12,460.16 | | | | | |
| Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e) | | | | | \$0.00 | | | | |
| Total IRS Reportable Accrued Market Discount (Box 1f) | | | | | | \$0.00 | | | |
| Total IRS Reportable Wash Sale Loss Disallowed (Box 1g) | | | | | | | \$0.00 | | |
| Total Fed Tax Withheld (Box 4) | | | | | | | | | \$0.00 |

Noncovered securities are not subject to the IRS cost basis reporting regulations; therefore, their date of acquisition, cost basis, short- or long-term designation and any disallowed loss resulting from a wash sale or market discount will not be reported to the IRS. The cost basis is provided for informational purposes only and may not reflect all adjustments you may be required to make under the tax laws. Please consult your tax advisor regarding any such adjustments.



FEES AND EXPENSES

Fees

| <u>DATE</u> | <u>ACTIVITY</u> | <u>DESCRIPTION</u> | <u>AMOUNT</u> |
|-------------------|-----------------|--------------------|------------------|
| 12/14/23 | Charge | SEAGEN INC | \$(38.00) |
| Total Fees | | | \$(38.00) |

Consult your tax advisor regarding whether these fees are deductible in your circumstances. If you received any advisory fee rebates, consult your tax advisor regarding the tax consequences to you of receiving those rebates, including whether they are taxable income to you and the effect, if any, of fees charged to you in other accounts with Morgan Stanley.

Margin interest information is provided for informational purposes only. To review details of interest charged, please refer to the Margin Loan Schedule on your monthly account statements.

