



#BWNJGWM

DANIYAL R SHAI K  
6000 ELDORADO PKWY APT 1024  
FRI SCO TX 75033



1099 Consolidated Tax Statement
Tax Year 2023 - ORIGINAL

Date Issued
February 05, 2024

Account Mailing Address

Account Owner
DANI YAL R SHAI K
6000 ELDORADO PKWY APT 1024
FRI SCO TX 75033

Legal Name and Address Reported
to IRS and State Taxing Authorities
DANI YAL R SHAI K
6000 ELDORADO PKWY
1024
FRI SCO TX 75033

Account Number
488 830731 201

Customer Service: 866-324-6088

What's included in this packet:

Table listing reportable items to the IRS such as 1099-DIV Dividends and Distributions, 1099-INT Interest Income, etc., with corresponding page numbers.

This E\*TRADE from Morgan Stanley 1099 Consolidated Tax Statement for 2023 provides your official tax information for use when preparing your tax return. It is important to note that the income information that was reported on your December account statement will not have included certain adjustments occurring after year-end that are reflected on your 1099 and that are necessary for tax reporting purposes.

The following tax documents are not included in this statement and are sent individually in separate mailings, if required: Forms 1099-Q, 1042-S, 2439, 5498, 5498-ESA, REMIC Information Statement, Schedule K-1 and Puerto Rico Forms 480.6A, 480.6B, 480.6C and 480.6D.

E\*TRADE from Morgan Stanley is pleased to provide you with the ability to download your tax information into the following individual tax preparation software applications: TurboTax® and H&R Block Tax Software®. To view gains and losses, simply go to the Gains & Losses page on etrade.com where you can find historical information for the current year and prior two years.

TurboTax® is a registered trademark of Intuit, Inc. H&R Block Tax Software® is a registered trademark of H&R Block, Inc.

Warning box containing a CAUTION icon and text: \*\*\* WARNING - CORRECTED TAX FORMS POSSIBLE \*\*\* The Forms 1099 included in your E\*TRADE from Morgan Stanley Consolidated Tax Statement were prepared based upon information provided by the issuer of each security. The issuer may change the tax status of a distribution reported to you subsequent to the issuance of this 1099 Consolidated Tax Statement. In that case, we are required to send you one or more corrections.

Table listing non-reportable items to the IRS such as Fees and Expenses with page number 12.



from Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2023 Copy B For Recipient

Morgan Stanley Capital Management, LLC
Morgan Stanley Smith Barney, LLC
1 New York Plaza
7th Floor
New York, NY 10004
Identification Number: 11-3658445
Taxpayer ID Number: XXX-XX-1222
Account Number: 488 830731 201

Name Reported to the IRS: DANIYAL R SHAIK
6000 ELDORADO PKWY
1024
FRISCO TX 75033

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Table with 2 columns: Description and Amount. Includes sections for Dividends and Distributions, and Interest Income.

Table with 2 columns: Description and Amount. Includes sections for Miscellaneous Information, Original Issue Discount, and Proceeds from Broker and Barter Exchange Transactions.

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS





**1099-DIV DIVIDENDS & DISTRIBUTIONS**

**Ordinary Dividends**

DESCRIPTION	CUSIP	PAY DATE	ORDINARY DIVIDENDS	QUALIFIED DIVIDENDS	FEDERAL INCOME TAX WITHHELD	SECTION 199A DIVIDENDS
NVIDIA CORPORATION	67066G104	12/28/23	\$0.24	\$0.24	\$0.00	\$0.00
ORACLE CORP	68389X105	10/26/23	\$12.00	\$12.00	\$0.00	\$0.00
<b>Total Ordinary Dividends</b> 1099-DIV box 1a			<b>\$12.24</b>			
<b>Total Qualified Dividends</b> 1099-DIV box 1b				<b>\$12.24</b>		
<b>Total Federal Income Tax Withheld</b> 1099-DIV box 4					<b>\$0.00</b>	
<b>Total Section 199A Dividends</b> 1099-DIV box 5						<b>\$0.00</b>

**1099-INT INTEREST INCOME**

**Interest Income**

DESCRIPTION	CUSIP	PAY DATE	AMOUNT	FEDERAL INCOME TAX WITHHELD
BOND INTEREST 1099 ADJUSTMENT	000000000	09/05/23	\$0.01	\$0.00
MORGAN STANLEY BANK N.A.	061870903	09/29/23	\$0.02	\$0.00
MORGAN STANLEY BANK N.A.	061870903	10/31/23	\$0.04	\$0.00
MORGAN STANLEY BANK N.A.	061870903	12/29/23	\$0.02	\$0.00
MORGAN STANLEY PRIVATE BANK NA	061871976	11/30/23	\$0.04	\$0.00
MORGAN STANLEY PRIVATE BANK NA	061871976	12/29/23	\$0.01	\$0.00
<b>Total Interest Income</b> 1099-INT box 1			<b>\$0.14</b>	
<b>Total Federal Income Tax Withheld</b> 1099-INT box 4				<b>\$0.00</b>

The amount of tax-exempt interest paid to you must be reported on the applicable Form 1040, U.S. Individual Income Tax Return. The amount of tax-exempt AMT interest paid to you must be taken into account in computing the Alternative Minimum Tax reported on Form 1040.



**1099 Consolidated Tax Statement  
Tax Year 2023 Copy B For Recipient**

Morgan Stanley Capital Management, LLC  
Morgan Stanley Smith Barney, LLC  
1 New York Plaza  
7th Floor  
New York, NY 10004  
Identification Number: 11-3658445  
Taxpayer ID Number: XXX-XX-1222  
Account Number: 488 830731 201

Name Reported to the IRS: DANIYAL R SHAIK  
6000 ELDORADO PKWY  
1024  
FRISCO TX 75033

**Customer Service: 866-324-6088**

**FORM 1099-B TOTALS SUMMARY**

**REALIZED GAIN/LOSS SUMMARY**

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

<b>SHORT -TERM GAIN OR (LOSSES) - REPORT ON FORM 8949, PART I</b>	<b>PROCEEDS</b>	<b>COST BASIS</b>	<b>MARKET DISCOUNT</b>	<b>WASH SALE LOSS DISALLOWED</b>	<b>REALIZED GAIN OR (LOSS)</b>
Box A (basis reported to the IRS)	\$135,493.20	\$126,000.75	\$0.00	\$273.26	\$9,492.45
Box A - Ordinary - (basis reported to the IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to the IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B - Ordinary - (basis not reported to the IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Short - Term</b>	<b>\$135,493.20</b>	<b>\$126,000.75</b>	<b>\$0.00</b>	<b>\$273.26</b>	<b>\$9,492.45</b>

<b>LONG -TERM GAIN OR (LOSSES) - REPORT ON FORM 8949, PART II</b>	<b>PROCEEDS</b>	<b>COST BASIS</b>	<b>MARKET DISCOUNT</b>	<b>WASH SALE LOSS DISALLOWED</b>	<b>REALIZED GAIN OR (LOSS)</b>
Box D (basis reported to the IRS)	\$5,552.42	\$24,949.23	\$0.00	\$2,514.20	(\$19,396.81)
Box D - Ordinary - (basis reported to the IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to the IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E - Ordinary - (basis not reported to the IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Long - Term</b>	<b>\$5,552.42</b>	<b>\$24,949.23</b>	<b>\$0.00</b>	<b>\$2,514.20</b>	<b>(\$19,396.81)</b>

<b>UNKNOWN TERM - CODE (X) REPORT ON FORM 8949, PART I OR PART II</b>	<b>PROCEEDS</b>	<b>COST BASIS</b>	<b>MARKET DISCOUNT</b>	<b>WASH SALE LOSS DISALLOWED</b>	<b>REALIZED GAIN OR (LOSS)</b>
Box B or Box E (basis not reported to the IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B or Box E - Ordinary - (basis not reported to the IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Unknown Term</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

<b>REGULATED FUTURES CONTRACTS</b>	<b>AMOUNT</b>
Profit or (loss) realized in 2023 - closed contracts	\$0.00
Unrealized Profit or (loss) on open contracts 12/31/2022	\$0.00
Unrealized Profit or (loss) on open contracts 12/31/2023	\$0.00
<b>Aggregate profit or (loss) on contracts</b>	<b>\$0.00</b>



**1099 Consolidated Tax Statement  
Tax Year 2023 Copy B For Recipient**

Morgan Stanley Capital Management, LLC  
Morgan Stanley Smith Barney, LLC  
1 New York Plaza  
7th Floor  
New York, NY 10004  
Identification Number: 11-3658445  
Taxpayer ID Number: XXX-XX-1222  
Account Number: 488 830731 201

Name Reported to the IRS: DANIYAL R SHAIK  
6000 ELDORADO PKWY  
1024  
FRISCO TX 75033

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Short Term - Covered Securities** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ADVANCED MICRO DEVICES		CUSIP: 007903107		Symbol: AMD					
	25.000	08/24/23	10/06/23	\$2,687.10	\$2,537.00	\$0.00	\$0.00	\$150.10	\$0.00
AMAZON COM INC		CUSIP: 023135106		Symbol: AMZN					
	20.000	08/16/23	11/01/23	\$2,732.37	\$2,729.04	\$0.00	\$0.00	\$3.33	\$0.00
AMTD DIGITAL INC ADR		CUSIP: 00180N101		Symbol: HKD					
	500.000	08/23/23	12/22/23	\$2,149.96	\$3,409.95	\$0.00	\$0.00	(\$1,259.99)	\$0.00
	79.000	08/24/23	12/22/23	\$339.69	\$485.06	\$0.00	\$0.00	(\$145.37)	\$0.00
	121.000	08/24/23	12/22/23	\$520.29	\$742.93	\$0.00	\$0.00	(\$222.64)	\$0.00
<b>Security Subtotal</b>	<b>700.000</b>			<b>\$3,009.94</b>	<b>\$4,637.94</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$1,628.00)</b>	<b>\$0.00</b>
BARRICK GOLD CORP		CUSIP: 067901108		Symbol: GOLD					
	200.000	08/23/23	09/18/23	\$3,326.94	\$3,219.84	\$0.00	\$0.00	\$107.10	\$0.00
CANAN INC ADR		CUSIP: 134748102		Symbol: CAN					
	500.000	09/12/23	10/06/23	\$939.92	\$938.05	\$0.00	\$0.00	\$1.87	\$0.00
	500.000	10/02/23	10/10/23	\$954.92	\$945.00	\$0.00	\$0.00	\$9.92	\$0.00
	500.000	10/02/23	10/10/23	\$949.92	\$947.50	\$0.00	\$0.00	\$2.42	\$0.00
	1,200.000	10/23/23	10/24/23	\$2,292.17	\$2,112.00	\$0.00	\$0.00	\$180.17	\$0.00
	200.000	01/17/23	12/22/23	\$590.06	\$646.00	\$0.00	\$55.94	(\$55.94)	\$0.00
	250.000	08/09/23	12/22/23	\$737.58	\$697.50	\$0.00	\$0.00	\$40.08	\$0.00
	250.000	08/18/23	12/22/23	\$737.59	\$515.00	\$0.00	\$0.00	\$222.59	\$0.00
	500.000	08/18/23	12/22/23	\$1,475.16	\$1,028.50	\$0.00	\$0.00	\$446.66	\$0.00
	300.000	12/07/23	12/22/23	\$885.10	\$437.43	\$0.00	\$0.00	\$447.67	\$0.00
	1,500.000	12/08/23	12/22/23	\$4,425.50	\$2,145.00	\$0.00	\$0.00	\$2,280.50	\$0.00

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

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**Short Term - Covered Securities (Continued)** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CANAAN INC ADR (Cont.)				CUSIP: 134748102		Symbol: CAN			
	500.000	12/13/23	12/22/23	\$1,475.16	\$619.90	\$0.00	\$0.00	\$855.26	\$0.00
	1,000.000	12/18/23	12/22/23	\$2,950.33	\$2,200.00	\$0.00	\$0.00	\$750.33	\$0.00
<b>Security Subtotal</b>	<b>7,200.000</b>			<b>\$18,413.41</b>	<b>\$13,231.88</b>	<b>\$0.00</b>	<b>\$55.94</b>	<b>\$5,181.53</b>	<b>\$0.00</b>
CLOVER HEALTH INVESTMENTS CL A				CUSIP: 18914F103		Symbol: CLOV			
	1,000.000	11/14/23	11/17/23	\$999.94	\$983.10	\$0.00	\$0.00	\$16.84	\$0.00
CROWDSTRIKE HLDGS INC CL A				CUSIP: 22788C105		Symbol: CRWD			
	10.000	11/22/23	11/30/23	\$2,305.88	\$2,101.10	\$0.00	\$0.00	\$204.78	\$0.00
	4.000	11/28/23	11/30/23	\$922.35	\$850.96	\$0.00	\$0.00	\$71.39	\$0.00
	6.000	11/28/23	11/30/23	\$1,383.22	\$1,276.44	\$0.00	\$0.00	\$106.78	\$0.00
<b>Security Subtotal</b>	<b>20.000</b>			<b>\$4,611.45</b>	<b>\$4,228.50</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$382.95</b>	<b>\$0.00</b>
ENPHASE ENERGY				CUSIP: 29355A107		Symbol: ENPH			
	25.000	10/17/23	11/01/23	\$1,912.36	\$3,288.07	\$0.00	\$0.00	(\$1,375.71)	\$0.00
EXXON MOBIL CORP				CUSIP: 30231G102		Symbol: XOM			
	50.000	08/11/23	10/10/23	\$5,524.18	\$5,527.05	\$0.00	\$0.00	(\$2.87)	\$0.00
	10.000	08/16/23	10/10/23	\$1,104.83	\$1,089.65	\$0.00	\$0.00	\$15.18	\$0.00
<b>Security Subtotal</b>	<b>60.000</b>			<b>\$6,629.01</b>	<b>\$6,616.70</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$12.31</b>	<b>\$0.00</b>
FIBROGEN INC				CUSIP: 31572Q808		Symbol: FGEN			
	2,000.000	11/14/23	11/17/23	\$1,111.90	\$972.20	\$0.00	\$0.00	\$139.70	\$0.00
	2,000.000	12/05/23	12/08/23	\$1,281.49	\$1,170.00	\$0.00	\$0.00	\$111.49	\$0.00
	1,100.000	12/04/23	12/13/23	\$714.94	\$714.89	\$0.00	\$0.00	\$0.05	\$0.00
	400.000	12/04/23	12/13/23	\$260.04	\$259.96	\$0.00	\$0.00	\$0.08	\$0.00
<b>Security Subtotal</b>	<b>5,500.000</b>			<b>\$3,368.37</b>	<b>\$3,117.05</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$251.32</b>	<b>\$0.00</b>
MARATHON DIGITAL HOLDINGS INC				CUSIP: 565788106		Symbol: MARA			
	190.000	10/02/23	10/27/23	\$1,683.80	\$1,639.70	\$0.00	\$0.00	\$44.10	\$0.00
	300.000	10/02/23	10/27/23	\$2,658.62	\$2,647.50	\$0.00	\$0.00	\$11.12	\$0.00
	1,000.000	10/19/23	10/27/23	\$8,862.08	\$7,795.00	\$0.00	\$0.00	\$1,067.08	\$0.00
	230.000	10/24/23	10/27/23	\$2,038.28	\$2,214.88	\$0.00	\$176.60	(\$176.60)	\$0.00
	30.000	10/30/23	11/03/23	\$287.54	\$302.19	\$0.00	\$14.65	(\$14.65)	\$0.00
	220.000	11/01/23	11/03/23	\$2,108.39	\$1,982.09	\$0.00	\$0.00	\$126.30	\$0.00
	170.000	10/30/23	11/17/23	\$1,688.06	\$1,712.38	\$0.00	\$24.32	(\$24.32)	\$0.00
	30.000	11/01/23	11/17/23	\$297.89	\$293.32	\$0.00	\$0.00	\$4.57	\$0.00
	30.000	11/10/23	11/17/23	\$297.90	\$299.65	\$0.00	\$1.75	(\$1.75)	\$0.00
	220.000	11/10/23	11/17/23	\$2,184.55	\$2,090.00	\$0.00	\$0.00	\$94.55	\$0.00

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7 (Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Short Term - Covered Securities (Continued)** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MARATHON DIGITAL HOLDINGS INC(Cont.)		CUSIP: 565788106		Symbol: MARA					
	193.000	11/16/23	11/17/23	\$1,916.44	\$1,845.08	\$0.00	\$0.00	\$71.36	\$0.00
	7.000	11/16/23	11/17/23	\$69.54	\$67.92	\$0.00	\$0.00	\$1.62	\$0.00
	7.000	11/20/23	11/27/23	\$75.63	\$72.00	\$0.00	\$0.00	\$3.63	\$0.00
	30.000	11/20/23	11/27/23	\$324.14	\$310.34	\$0.00	\$0.00	\$13.80	\$0.00
	163.000	11/20/23	11/27/23	\$1,761.18	\$1,699.95	\$0.00	\$0.00	\$61.23	\$0.00
	100.000	12/04/23	12/15/23	\$1,800.47	\$1,513.00	\$0.00	\$0.00	\$287.47	\$0.00
	100.000	12/05/23	12/15/23	\$1,800.47	\$1,537.58	\$0.00	\$0.00	\$262.89	\$0.00
	150.000	12/05/23	12/15/23	\$2,700.70	\$2,328.75	\$0.00	\$0.00	\$371.95	\$0.00
	100.000	12/13/23	12/15/23	\$1,800.47	\$1,508.00	\$0.00	\$0.00	\$292.47	\$0.00
	5.000	12/19/23	12/29/23	\$136.75	\$106.25	\$0.00	\$0.00	\$30.50	\$0.00
	125.000	12/19/23	12/29/23	\$3,418.70	\$2,656.24	\$0.00	\$0.00	\$762.46	\$0.00
	40.000	12/22/23	12/29/23	\$1,108.81	\$1,102.40	\$0.00	\$0.00	\$6.41	\$0.00
	40.000	12/22/23	12/29/23	\$1,093.99	\$1,074.40	\$0.00	\$0.00	\$19.59	\$0.00
	500.000	12/26/23	12/29/23	\$13,674.81	\$13,500.00	\$0.00	\$0.00	\$174.81	\$0.00
<b>Security Subtotal</b>	<b>3,980.000</b>			<b>\$53,789.21</b>	<b>\$50,298.62</b>	<b>\$0.00</b>	<b>\$217.32</b>	<b>\$3,490.59</b>	<b>\$0.00</b>
MICRON TECH INC		CUSIP: 595112103		Symbol: MU					
	13.000	12/13/23	12/21/23	\$1,111.23	\$1,037.27	\$0.00	\$0.00	\$73.96	\$0.00
	20.000	12/18/23	12/21/23	\$1,709.59	\$1,628.20	\$0.00	\$0.00	\$81.39	\$0.00
<b>Security Subtotal</b>	<b>33.000</b>			<b>\$2,820.82</b>	<b>\$2,665.47</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$155.35</b>	<b>\$0.00</b>
NVIDIA CORPORATION		CUSIP: 67066G104		Symbol: NVDA					
	6.000	12/04/23	12/08/23	\$2,857.42	\$2,733.27	\$0.00	\$0.00	\$124.15	\$0.00
OKTA INC CL A		CUSIP: 679295105		Symbol: OKTA					
	25.000	11/22/23	12/15/23	\$2,081.26	\$1,805.95	\$0.00	\$0.00	\$275.31	\$0.00
	20.000	11/28/23	12/15/23	\$1,665.00	\$1,462.77	\$0.00	\$0.00	\$202.23	\$0.00
	25.000	11/29/23	12/15/23	\$2,081.26	\$1,729.75	\$0.00	\$0.00	\$351.51	\$0.00
	15.000	12/05/23	12/15/23	\$1,248.75	\$1,090.05	\$0.00	\$0.00	\$158.70	\$0.00
<b>Security Subtotal</b>	<b>85.000</b>			<b>\$7,076.27</b>	<b>\$6,088.52</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$987.75</b>	<b>\$0.00</b>
ORACLE CORP		CUSIP: 68389X105		Symbol: ORCL					
	30.000	09/12/23	11/09/23	\$3,365.67	\$3,342.83	\$0.00	\$0.00	\$22.84	\$0.00
PALANTIR TECHNOLOGIES INC CL A		CUSIP: 69608A108		Symbol: PLTR					
	75.000	08/08/23	11/06/23	\$1,367.22	\$1,228.50	\$0.00	\$0.00	\$138.72	\$0.00
	100.000	08/24/23	11/06/23	\$1,822.19	\$1,396.00	\$0.00	\$0.00	\$426.19	\$0.00
	10.000	11/02/23	11/06/23	\$182.14	\$174.85	\$0.00	\$0.00	\$7.29	\$0.00

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Short Term - Covered Securities (Continued)** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PALANTIR TECHNOLOGIES INC CL A(Cont.)									
	75.000	08/01/23	11/15/23	\$1,495.47	\$1,486.15	\$0.00	\$0.00	\$9.32	\$0.00
<b>Security Subtotal</b>	<b>260.000</b>			<b>\$4,867.02</b>	<b>\$4,285.50</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$581.52</b>	<b>\$0.00</b>
RIOT PLATFORMS INC									
	250.000	12/18/23	12/21/23	\$4,206.17	\$4,086.25	\$0.00	\$0.00	\$119.92	\$0.00
SALESFORCE INC									
	10.000	09/12/23	11/22/23	\$2,238.20	\$2,235.68	\$0.00	\$0.00	\$2.52	\$0.00
SNOWFLAKE INC CL A									
	18.000	11/02/23	11/30/23	\$3,308.73	\$2,571.81	\$0.00	\$0.00	\$736.92	\$0.00
TILRAY BRANDS INC									
	500.000	07/26/23	10/10/23	\$1,069.92	\$975.00	\$0.00	\$0.00	\$94.92	\$0.00
	500.000	11/22/23	12/14/23	\$1,014.92	\$895.00	\$0.00	\$0.00	\$119.92	\$0.00
<b>Security Subtotal</b>	<b>1,000.000</b>			<b>\$2,084.84</b>	<b>\$1,870.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$214.84</b>	<b>\$0.00</b>
YALLA GROUP LTD ADS									
	200.000	12/08/23	12/22/23	\$1,187.96	\$1,233.68	\$0.00	\$0.00	(\$45.72)	\$0.00
<b>Total Short Term Covered Securities</b>				<b>\$135,493.20</b>	<b>\$126,000.75</b>	<b>\$0.00</b>	<b>\$273.26</b>	<b>\$9,492.45</b>	<b>\$0.00</b>

**Long Term - Covered Securities** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ATERIAN INC									
	50.000	04/13/22	12/15/23	\$15.84	\$298.89	\$0.00	\$0.00	(\$283.05)	\$0.00
	100.000	04/13/22	12/15/23	\$31.70	\$597.50	\$0.00	\$0.00	(\$565.80)	\$0.00
	500.000	04/19/22	12/15/23	\$158.48	\$3,229.45	\$0.00	\$0.00	(\$3,070.97)	\$0.00
<b>Security Subtotal</b>	<b>650.000</b>			<b>\$206.02</b>	<b>\$4,125.84</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$3,919.82)</b>	<b>\$0.00</b>
CANAAN INC ADR									
	30.000	11/09/21	12/22/23	\$88.51	\$882.84	\$0.00	\$794.33	(\$794.33)	\$0.00
	50.000	11/09/21	12/22/23	\$147.52	\$534.50	\$0.00	\$386.98	(\$386.98)	\$0.00
	120.000	11/09/21	12/22/23	\$354.04	\$1,686.93	\$0.00	\$1,332.89	(\$1,332.89)	\$0.00
<b>Security Subtotal</b>	<b>200.000</b>			<b>\$590.07</b>	<b>\$3,104.27</b>	<b>\$0.00</b>	<b>\$2,514.20</b>	<b>(\$2,514.20)</b>	<b>\$0.00</b>

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7 (Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Long Term - Covered Securities (Continued)** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CLOVER HEALTH INVESTMENTS CL A		CUSIP: 18914F103		Symbol: CLOV					
	140.000	04/16/21	12/26/23	\$135.21	\$1,214.86	\$0.00	\$0.00	(\$1,079.65)	\$0.00
	250.000	04/19/21	12/26/23	\$241.46	\$2,506.95	\$0.00	\$0.00	(\$2,265.49)	\$0.00
	75.000	11/16/21	12/29/23	\$72.85	\$501.69	\$0.00	\$0.00	(\$428.84)	\$0.00
	150.000	11/17/21	12/29/23	\$145.73	\$969.75	\$0.00	\$0.00	(\$824.02)	\$0.00
<b>Security Subtotal</b>	<b>615.000</b>			<b>\$595.25</b>	<b>\$5,193.25</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$4,598.00)</b>	<b>\$0.00</b>
EARGO INC NEW		CUSIP: 270087208		Symbol: EAR					
	8.000	01/06/22	11/01/23	\$20.55	\$1,197.60	\$0.00	\$0.00	(\$1,177.05)	\$0.00
HOUSTON AMERN ENERGY CORP		CUSIP: 44183U209		Symbol: HUSA					
	120.000	03/08/22	12/26/23	\$217.77	\$1,732.79	\$0.00	\$0.00	(\$1,515.02)	\$0.00
PHUNWARE INC		CUSIP: 71948P100		Symbol: PHUN					
	250.000	12/02/21	11/01/23	\$42.53	\$978.48	\$0.00	\$0.00	(\$935.95)	\$0.00
RAFINA INNOVATIONS INC		CUSIP: 75063R106		Symbol: VICA					
	16,500.000	08/06/21	11/01/23	\$0.01	\$1,603.29	\$0.00	\$0.00	(\$1,603.28)	\$0.00
	4,400.000	08/13/21	11/01/23	\$0.00	\$223.19	\$0.00	\$0.00	(\$223.19)	\$0.00
<b>Security Subtotal</b>	<b>20,900.000</b>			<b>\$0.01</b>	<b>\$1,826.48</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$1,826.47)</b>	<b>\$0.00</b>
SNOWFLAKE INC CL A		CUSIP: 833445109		Symbol: SNOW					
	14.000	03/03/22	11/30/23	\$2,573.46	\$3,153.50	\$0.00	\$0.00	(\$580.04)	\$0.00
YALLA GROUP LTD ADS		CUSIP: 98459U103		Symbol: YALA					
	6.000	04/30/21	12/22/23	\$35.64	\$136.41	\$0.00	\$0.00	(\$100.77)	\$0.00
	84.000	04/30/21	12/22/23	\$498.94	\$1,909.46	\$0.00	\$0.00	(\$1,410.52)	\$0.00
	110.000	08/05/21	12/22/23	\$653.38	\$1,421.75	\$0.00	\$0.00	(\$768.37)	\$0.00
	20.000	11/11/21	12/22/23	\$118.80	\$169.40	\$0.00	\$0.00	(\$50.60)	\$0.00
<b>Security Subtotal</b>	<b>220.000</b>			<b>\$1,306.76</b>	<b>\$3,637.02</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$2,330.26)</b>	<b>\$0.00</b>

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**FEES AND EXPENSES**

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**Fees**

<u>DATE</u>	<u>ACTIVITY</u>	<u>DESCRIPTION</u>	<u>AMOUNT</u>
11/02/23	Charge	CANAAN INC ADR	\$(58.00)
<b>Total Fees</b>			<b>\$(58.00)</b>

Consult your tax advisor regarding whether these fees are deductible in your circumstances. If you received any advisory fee rebates, consult your tax advisor regarding the tax consequences to you of receiving those rebates, including whether they are taxable income to you and the effect, if any, of fees charged to you in other accounts with Morgan Stanley.

Margin interest information is provided for informational purposes only. To review details of interest charged, please refer to the Margin Loan Schedule on your monthly account statements.

