Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Harikrishnan Girikumar 2599 Evans Rd Morrisville, NC 27560 Master Account Number for Import: 501476188 Document ID for Import: UX5M3QK2XP4

Enclosed is your 2023 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

501476188 - Robinhood Securities LLC 501476188C - Robinhood Crypto LLC

**New for 2023 Tax Year** - 1099 tax forms for Robinhood Markets Inc. will be displayed using an aggregated format based on key tax lot criteria. We have done this to reduce the size of your overall tax package, as well as to help make your tax documents easier to use. This new format still provides you with all the information you need to file your taxes.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2023 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

**Filing Your Taxes** - If filing through tax service providers, such as TurboTax or H&R Block, please utilize the Master Account Number and Document ID listed above. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

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Robinhood Markets Inc. as agent for Robinhood Securities LLC	Tax Information Account 501476188		Statement Date:	02/12/2024	2023
85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700	Harikrishnan Girikumar 2599 Evans Rd Morrisville, NC 27560				
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XXX	-XX-6293			
11 - [] FATCA filing requirement (see instructions)	Summary	Information		13 - [] FATCA filing requiren	nent (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2023 1099-DIV	* OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2023 1099-MISC*	OMB No. 1545-011
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.57	2- Royalties			0.00
1b- Qualified dividends 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.49 0.00	3- Other income 4- Federal income tax	withhold		0.00 <b>0.00</b>
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments		rinterest	0.00
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00	SECTION 1256 CON	TRACTS	2023 1099-B*	OMB No. 1545-071
2e- Section 897 ordinary dividends 2f- Section 897 capital gain	0.00 0.00	8- Profit or (loss) realize	ed in 2023 on closed	contracts	0.00
3- Nondividend distributions	0.00	9- Unrealized profit or (	loss) on open contrac	ts-12/31/2022	0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (		ts-12/31/2023	0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (I	oss) on contracts		0.00
<ul> <li>6- Investment expenses</li> <li>8- Foreign country or US possession: 7- Foreign tax paid:</li> <li>9- Cash liquidation distributions</li> <li>10- Noncash liquidation distributions</li> <li>12- Exempt-interest dividends (includes line 13)</li> <li>13- Specified private activity bond interest dividends (AMT)</li> </ul>	0.00 0.00 0.00 0.00 0.00 0.00 0.00	If applicable, proceed detailed in subseque * This is important tax informatic If you are required to file a retur you if this income is taxable and	nt sections of this doc n and is being furni n, a negligence per	shed to the Internal Reven alty or other sanction ma	ue Service. Ny be imposed or

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	36,086.62	38,418.70	0.00	0.00	-2,332.08
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	36,086.62	38,418.70	0.00	0.00	-2,332.08
Long	D (basis reported to the IRS)	1,729.09	1,781.64	0.00	0.00	-52.55
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	1,729.09	1,781.64	0.00	0.00	-52.55
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	37,815.71	40,200.34	0.00	0.00	-2,384.63
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

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# **Summary Information**

2023

### (continued)

OMB No. 1545-0112

02/12/2024

Account 501476188

### INTEREST INCOME 2023 1099-INT

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
<ol> <li>Foreign country or US possession:</li> <li>6- Foreign tax paid:</li> </ol>	0.00
8- Tax-exempt interest (includes line 9)	0.00
<ol><li>Specified private activity bond interest (AMT)</li></ol>	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
<ol><li>Bond premium on Treasury obligations (covered lots)</li></ol>	0.00
<ol><li>Bond premium on tax-exempt bonds (categorized below)</li></ol>	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nongualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nongualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

# Robinhood Markets Inc. as agent for Robinhood Securities LLC

# **Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

02/12/2024

Account 501476188

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 05/26/2023 CALL \$165.00	) / CUSIP: / Symbol:						
04/27/23	1.000	629.98	04/26/23	478.00		151.98	Option sale to close-call <sup>25</sup>
AAPL 06/02/2023 CALL \$170.00	) / CUSIP: / Symbol:						
05/05/23	1.000	594.98	04/28/23	480.00		114.98	Option sale to close-call <sup>25</sup>
AAPL 06/16/2023 PUT \$177.50	/ CUSIP: / Symbol:						
06/05/23	1.000	217.98	06/05/23	222.00		-4.02	Option sale to close-put <sup>25</sup>
AAPL 06/16/2023 PUT \$182.50	/ CUSIP: / Symbol:						
06/05/23	1.000	369.98	06/05/23	410.00		-40.02	Option sale to close-put <sup>25</sup>
AAPL 07/07/2023 CALL \$180.00	) / CUSIP: / Symbol:						
06/05/23	1.000	719.98	05/31/23	480.00		239.98	Option sale to close-call <sup>25</sup>
AAPL 07/14/2023 CALL \$185.00	) / CUSIP: / Symbol:						
06/05/23	1.000	252.98	06/05/23	509.00		-256.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

# **Proceeds from Broker and Barter Exchange Transactions**

(continued)

2023 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

12- Description	of property/CUSIP/Symbol
Id- Describtion	

AAPL 09/15/2023 CALL \$180.00 / CUSIP: / Symbol:         09/15/23       1.000       0.00       09/12/23       113.00        -113.00       Option exp         ABNB 01/19/2024 CALL \$300.00 / CUSIP: / Symbol:         -38.05       Option exp         12/14/23       1.000       3.95       08/01/23       42.00        -38.05       Option exp         ABNB 02/24/2023 PUT \$122.00 / CUSIP: / Symbol:         78.98       Option sale         02/24/23       1.000       168.98       02/23/23       84.00        78.98       Option sale         ABNB 04/06/2023 PUT \$122.00 / CUSIP: / Symbol:	ditional information	ved (X)	Gain or loss(-) ۲- Loss not allowed (X also not reported (2	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	1e- Cost or other basis	1b- Date acquired	1d- Proceeds & 6- Reported (G)ross or (N)et	1c- Date sold or disposed Quantity
AAPL 09/15/2023 CALL \$180.0 / CUSIP: / Symbol: 09/15/23 1.000 0.00 09/12/23 113.00113.00 Option exp ABNB 01/19/2024 CALL \$300.00 / CUSIP: / Symbol: 12/14/23 1.000 3.96 08/01/23 42.0038.05 Option salk ABNB 02/24/2023 PUT \$122.00 / CUSIP: / Symbol: 02/24/23 1.000 162.98 02/23/23 84.00 78.98 Option salk ABNB 04/06/2023 PUT \$122.00 / CUSIP: / Symbol: 04/03/23 1.000 168.98 03/31/23 103.00 65.98 Option salk ABNB 05/12/2023 CALL \$114.00 / CUSIP: / Symbol: 04/18/23 1.000 999.98 04/12/23 695.00 304.98 Option salk ABNB 05/12/2023 CALL \$114.00 / CUSIP: / Symbol: 04/28/23 1.000 864.98 04/26/23 700.00 164.98 Option salk ABNB 06/09/2023 CALL \$114.00 / CUSIP: / Symbol: 05/31/23 1.000 221.98 05/11/23 515.00293.02 Option salk ABNB 06/09/2023 CALL \$112.00 / CUSIP: / Symbol: 05/31/23 1.000 29.98 06/07/23 171.00616.02 Option salk ABNB 06/09/2023 CALL \$112.00 / CUSIP: / Symbol: 05/31/23 1.000 1.64.9.97 05/08/23 745.00 904.97 Option salk ABNB 06/09/2023 CALL \$116.00 / CUSIP: / Symbol: 05/10/23 1.000 734.98 06/07/23 171.00 904.97 Option salk ABNB 06/09/2023 CALL \$116.00 / CUSIP: / Symbol: 05/10/23 1.000 734.98 06/07/23 595.00 139.98 Option salk ABNB 07/14/2023 CALL \$119.00 / CUSIP: / Symbol: 06/07/23 1.000 734.98 06/05/23 595.00 139.98 Option salk ABNB 07/14/2023 CALL \$119.00 / CUSIP: / Symbol: 06/07/23 1.000 734.98 06/05/23 595.00 139.98 Option salk ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol: 06/07/23 1.000 734.98 06/05/23 505.00 139.98 Option salk ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol: 06/07/23 1.000 649.98 06/06/23 505.00 89.98 Option salk 06/07/23 1.000 734.98 06/05/23 505.00 89.98 Option salk								AAPL 08/11/2023 CALL \$197.50 / CUSIP: / Symbol:
09/15/23       1.000       0.00       09/12/23       113.00        -113.00       Option exp         ABNB 01/19/2024 CALL \$300.00 / CUSIP: / Symbol:       12/14/23       1.000       3.95       08/01/23       42.00        -38.05       Option exp         ABNB 02/24/2023 PUT \$122.00 / CUSIP: / Symbol:       0.000       162.98       02/23/23       84.00        78.98       Option sale         ABNB 04/06/2023 PUT \$122.00 / CUSIP: / Symbol:       0.000       168.98       03/31/23       103.00        65.98       Option sale         ABNB 05/12/2023 CALL \$114.00 / CUSIP: / Symbol:       0.00       99.98       04/12/23       695.00        304.98       Option sale         04/18/23       1.000       99.98       04/12/23       695.00        304.98       Option sale         04/28/23       1.000       99.98       04/12/23       695.00        304.98       Option sale         ABNB 06/09/2023 CALL \$114.00 / CUSIP: / Symbol:       0.000       864.98       04/26/23       700.00        164.98       Option sale         ABNB 06/09/2023 CALL \$112.00 / CUSIP: / Symbol:       0.000       9.98       06/07/23       171.00        -293.02       Option sale	on sale to close-call <sup>25</sup>	.02 O	-95.02		330.00	08/01/23	234.98	08/02/23 1.000
ABNB 01/19/2024 CALL \$300.00 / CUSIP: / Symbol: 12/14/23 1.000 3.95 08/01/23 42.00								AAPL 09/15/2023 CALL \$180.00 / CUSIP: / Symbol:
12/14/23       1.000       3.95       08/01/23       42.00        -38.05       Option sale         ABNB 02/24/2023 PUT \$122.00 / CUSIP: / Symbol:       000       162.98       02/23/23       84.00        78.98       Option sale         ABNB 04/06/2023 PUT \$122.00 / CUSIP: / Symbol:       000       168.98       03/31/23       103.00        65.98       Option sale         ABNB 05/12/2023 CALL \$114.00 / CUSIP: / Symbol:       000       99.98       04/12/23       695.00        304.98       Option sale         ABNB 05/26/2023 CALL \$114.00 / CUSIP: / Symbol:       000       864.98       04/26/23       700.00        164.98       Option sale         ABNB 06/09/2023 CALL \$112.00 / CUSIP: / Symbol:       000       221.98       05/11/23       515.00        -293.02       Option sale         ABNB 06/09/2023 CALL \$120.00 / CUSIP: / Symbol:       000       221.98       05/11/23       515.00        -293.02       Option sale         ABNB 06/09/2023 CALL \$120.00 / CUSIP: / Symbol:       000       9.98       06/07/23       171.00        -293.02       Option sale         ABNB 06/09/2023 PUT \$127.00 / CUSIP: / Symbol:       000       1.000       1.000       1.000       9.98	on expiration- long call	.00 O	-113.00		113.00	09/12/23	0.00	09/15/23 1.000
ABNB 02/24/2023 PUT \$122.00 / CUSIP: / Symbol: 02/24/23 1.000 162.98 02/23/23 84.00 78.98 Option sale ABNB 04/06/2023 PUT \$122.00 / CUSIP: / Symbol: 04/03/23 1.000 168.98 03/31/23 103.00 65.98 Option sale ABNB 05/12/2023 CALL \$114.00 / CUSIP: / Symbol: 04/18/23 1.000 99.98 04/12/23 695.00 304.98 Option sale ABNB 05/26/2023 CALL \$114.00 / CUSIP: / Symbol: 04/28/23 1.000 864.98 04/26/23 700.00 164.98 Option sale ABNB 06/09/2023 CALL \$112.00 / CUSIP: / Symbol: 05/31/23 1.000 221.98 05/11/23 515.00293.02 Option sale ABNB 06/09/2023 CALL \$120.00 / CUSIP: / Symbol: 06/08/23 1.000 9.98 06/07/23 171.00161.02 Option sale ABNB 06/09/2023 PUT \$127.00 / CUSIP: / Symbol: 05/10/23 1.000 1.649.97 05/08/23 745.00 904.97 Option sale ABNB 06/09/2023 PUT \$127.00 / CUSIP: / Symbol: 05/10/23 1.000 734.98 06/05/23 595.00 139.98 Option sale ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol: 06/06/23 1.000 734.98 06/05/23 595.00 139.98 Option sale ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol: 06/07/23 1.000 734.98 06/05/23 595.00 89.98 Option sale 06/07/23 1.000 734.98 06/05/23 595.00 89.98 Option sale 06/07/23 1.000 734.98 06/05/23 595.00 89.98 Option sale								ABNB 01/19/2024 CALL \$300.00 / CUSIP: / Symbol:
1000       162.98       02/23/23       84.00        78.98       Option sale         ABNB 04/06/2023 PUT \$122.00 / CUSIP: / Symbol:        65.98       Option sale         04/03/23       1.000       168.98       03/31/23       103.00        65.98       Option sale         ABNB 05/12/2023 CALL \$114.00 / CUSIP: / Symbol:        304.98       Option sale         04/18/23       1.000       999.98       04/12/23       695.00        304.98       Option sale         ABNB 05/26/2023 CALL \$114.00 / CUSIP: / Symbol:          304.98       Option sale         04/28/23       1.000       864.98       04/26/23       700.00        164.98       Option sale         ABNB 06/09/2023 CALL \$112.00 / CUSIP: / Symbol:               05/31/23       1.000       221.98       05/11/23       515.00             06/08/23       1.000       9.98       06/07/23       171.00             05/10/23       1.000       1,649.97       05/08/23       745.00	on sale to close-call <sup>25</sup>	.05 O	-38.05		42.00	08/01/23	3.95	12/14/23 1.000
ABNB 04/06/2023 PUT \$122.00 / CUSIP: / Symbol: 04/03/23 1.000 168.98 03/31/23 103.00 65.98 Option sale ABNB 05/12/2023 CALL \$114.00 / CUSIP: / Symbol: 04/18/23 1.000 999.98 04/12/23 695.00 304.98 Option sale ABNB 05/26/2023 CALL \$114.00 / CUSIP: / Symbol: 04/28/23 1.000 864.98 04/26/23 700.00 164.98 Option sale ABNB 06/09/2023 CALL \$112.00 / CUSIP: / Symbol: 05/31/23 1.000 221.98 05/11/23 515.00 293.02 Option sale ABNB 06/09/2023 CALL \$120.00 / CUSIP: / Symbol: 06/08/23 1.000 9.98 06/07/23 171.00 904.97 Option sale 05/10/23 1.000 1,649.97 05/08/23 745.00 904.97 Option sale 06/06/23 1.000 734.98 06/05/23 595.00 139.98 Option sale ABNB 06/09/2023 PUT \$119.00 / CUSIP: / Symbol: 06/07/23 1.000 734.98 06/05/23 595.00 139.98 Option sale 06/07/23 1.000 649.98 06/05/23 500 89.98 Option sale								ABNB 02/24/2023 PUT \$122.00 / CUSIP: / Symbol:
04/03/23       1.00       168.98       03/31/23       103.00        65.98       Option sale         ABNB 05/12/2023 CALL \$114.00 / CUSIP: / Symbol:       04/18/23       695.00        304.98       Option sale         04/18/23       1.000       999.98       04/12/23       695.00        304.98       Option sale         ABNB 05/26/2023 CALL \$114.00 / CUSIP: / Symbol:         164.98       Option sale         04/28/23       1.000       864.98       04/26/23       700.00        164.98       Option sale         ABNB 06/09/2023 CALL \$112.00 / CUSIP: / Symbol:         -293.02       Option sale         05/31/23       1.000       221.98       05/11/23       515.00        -293.02       Option sale         ABNB 06/09/2023 CALL \$120.00 / CUSIP: / Symbol:         -293.02       Option sale         05/10/23       1.000       9.98       06/07/23       171.00        -161.02       Option sale         ABNB 06/09/2023 CALL \$116.00 / CUSIP: / Symbol:          904.97       Option sale         05/10/23       1.000       734.98       06/05/23       595.00	on sale to close-put <sup>25</sup>	.98 O	78.98		84.00	02/23/23	162.98	02/24/23 1.000
ABNB 05/12/2023 CALL \$114.00 / CUSIP: / Symbol: 04/18/23 1.000 999.98 04/12/23 695.00 304.98 Option sale ABNB 05/26/2023 CALL \$114.00 / CUSIP: / Symbol: 04/28/23 1.000 864.98 04/26/23 700.00 164.98 Option sale ABNB 06/09/2023 CALL \$112.00 / CUSIP: / Symbol: 05/31/23 1.000 221.98 05/11/23 515.00293.02 Option sale ABNB 06/09/2023 CALL \$120.00 / CUSIP: / Symbol: 06/08/23 1.000 9.98 06/07/23 171.00161.02 Option sale ABNB 06/09/2023 PUT \$127.00 / CUSIP: / Symbol: 05/10/23 1.000 1,649.97 05/08/23 745.00 904.97 Option sale ABNB 07/14/2023 CALL \$116.00 / CUSIP: / Symbol: 06/06/23 1.000 734.98 06/05/23 595.00 139.98 Option sale ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol: 06/07/23 1.000 649.98 06/06/23 560.00 89.98 Option sale								ABNB 04/06/2023 PUT \$122.00 / CUSIP: / Symbol:
04/18/23       1.000       999.98       04/12/23       695.00        304.98       Option sale         ABNB 05/26/2023 CALL \$114.00 / CUSIP: / Symbol:       04/28/23       1.000       864.98       04/26/23       700.00        164.98       Option sale         ABNB 06/09/2023 CALL \$112.00 / CUSIP: / Symbol:       0       221.98       05/11/23       515.00        -293.02       Option sale         ABNB 06/09/2023 CALL \$120.00 / CUSIP: / Symbol:       0       9.98       06/07/23       171.00        -161.02       Option sale         ABNB 06/09/2023 PUT \$127.00 / CUSIP: / Symbol:       0       9.98       06/07/23       745.00        904.97       Option sale         ABNB 07/14/2023 CALL \$116.00 / CUSIP: / Symbol:       0       745.00        904.97       Option sale         06/06/23       1.000       734.98       06/05/23       595.00        139.98       Option sale         ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol:       0        139.98       Option sale         06/07/23       1.000       734.98       06/05/23       595.00        139.98       Option sale         06/07/23       1.000       649.98       06/06/23       560.	on sale to close-put <sup>25</sup>	.98 O	65.98		103.00	03/31/23	168.98	04/03/23 1.000
ABNB 05/26/2023 CALL \$114.00 / CUSIP: / Symbol: 04/28/23 1.000 864.98 04/26/23 700.00 164.98 Option sale ABNB 06/09/2023 CALL \$112.00 / CUSIP: / Symbol: 05/31/23 1.000 221.98 05/11/23 515.00293.02 Option sale ABNB 06/09/2023 CALL \$120.00 / CUSIP: / Symbol: 06/08/23 1.000 9.98 06/07/23 171.00 161.02 Option sale ABNB 06/09/2023 PUT \$127.00 / CUSIP: / Symbol: 05/10/23 1.000 1,649.97 05/08/23 745.00 904.97 Option sale ABNB 07/14/2023 CALL \$116.00 / CUSIP: / Symbol: 06/06/23 1.000 734.98 06/05/23 595.00 139.98 Option sale ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol: 06/07/23 1.000 649.98 06/05/23 595.00 89.98 Option sale								ABNB 05/12/2023 CALL \$114.00 / CUSIP: / Symbol:
04/28/23       1.000       864.98       04/26/23       700.00        164.98       Option sale         ABNB 06/09/2023 CALL \$112.00 / CUSIP: / Symbol:       05/11/23       515.00        -293.02       Option sale         05/31/23       1.000       221.98       05/11/23       515.00        -293.02       Option sale         ABNB 06/09/2023 CALL \$120.00 / CUSIP: / Symbol:       06/07/23       171.00        -161.02       Option sale         06/08/23       1.000       9.98       06/07/23       171.00        -161.02       Option sale         ABNB 06/09/2023 PUT \$127.00 / CUSIP: / Symbol:       05/10/23       1.000       1,649.97       05/08/23       745.00        904.97       Option sale         ABNB 07/14/2023 CALL \$116.00 / CUSIP: / Symbol:       06/05/23       595.00        139.98       Option sale         06/06/23       1.000       734.98       06/05/23       595.00        139.98       Option sale         ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol:         139.98       Option sale         06/07/23       1.000       649.98       06/06/23       560.00        89.98       Option sale </td <td>on sale to close-call <sup>25</sup></td> <td>.98 O</td> <td>304.98</td> <td></td> <td>695.00</td> <td>04/12/23</td> <td>999.98</td> <td>04/18/23 1.000</td>	on sale to close-call <sup>25</sup>	.98 O	304.98		695.00	04/12/23	999.98	04/18/23 1.000
ABNB 06/09/2023 CALL \$112.00 / CUSIP: / Symbol: 05/31/23 1.000 221.98 05/11/23 515.00293.02 Option sale ABNB 06/09/2023 CALL \$120.00 / CUSIP: / Symbol: 06/08/23 1.000 9.98 06/07/23 171.00161.02 Option sale ABNB 06/09/2023 PUT \$127.00 / CUSIP: / Symbol: 05/10/23 1.000 1,649.97 05/08/23 745.00 904.97 Option sale ABNB 07/14/2023 CALL \$116.00 / CUSIP: / Symbol: 06/06/23 1.000 734.98 06/05/23 595.00 139.98 Option sale ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol: 06/07/23 1.000 649.98 06/06/23 500 89.98 Option sale								ABNB 05/26/2023 CALL \$114.00 / CUSIP: / Symbol:
05/31/23 1.000 221.98 05/11/23 515.00293.02 Option sale ABNB 06/09/2023 CALL \$120.00 / CUSIP: / Symbol: 06/08/23 1.000 9.98 06/07/23 171.00161.02 Option sale ABNB 06/09/2023 PUT \$127.00 / CUSIP: / Symbol: 05/10/23 1.000 1,649.97 05/08/23 745.00 904.97 Option sale ABNB 07/14/2023 CALL \$116.00 / CUSIP: / Symbol: 06/06/23 1.000 734.98 06/05/23 595.00 139.98 Option sale ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol: 06/07/23 1.000 649.98 06/06/23 560.00 89.98 Option sale	on sale to close-call <sup>25</sup>	.98 O	164.98		700.00	04/26/23	864.98	04/28/23 1.000
ABNB 06/09/2023 CALL \$120.00 / CUSIP: / Symbol: 06/08/23 1.000 9.98 06/07/23 171.00161.02 Option sale ABNB 06/09/2023 PUT \$127.00 / CUSIP: / Symbol: 05/10/23 1.000 1,649.97 05/08/23 745.00 904.97 Option sale ABNB 07/14/2023 CALL \$116.00 / CUSIP: / Symbol: 06/06/23 1.000 734.98 06/05/23 595.00 139.98 Option sale ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol: 06/07/23 1.000 649.98 06/06/23 560.00 89.98 Option sale								ABNB 06/09/2023 CALL \$112.00 / CUSIP: / Symbol:
06/08/23       1.000       9.98       06/07/23       171.00        -161.02       Option sale         ABNB 06/09/2023 PUT \$127.00 / CUSIP: / Symbol:       05/08/23       745.00        904.97       Option sale         05/10/23       1.000       1,649.97       05/08/23       745.00        904.97       Option sale         ABNB 07/14/2023 CALL \$116.00 / CUSIP: / Symbol:       06/06/23       595.00        139.98       Option sale         ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol:       06/05/23       595.00        139.98       Option sale         06/07/23       1.000       649.98       06/06/23       560.00        89.98       Option sale         06/07/23       1.000       649.98       06/06/23       560.00        89.98       Option sale	on sale to close-call <sup>25</sup>	.02 O	-293.02		515.00	05/11/23	221.98	05/31/23 1.000
ABNB 06/09/2023 PUT \$127.00 / CUSIP: / Symbol: 05/10/23 1.000 1,649.97 05/08/23 745.00 904.97 Option sale ABNB 07/14/2023 CALL \$116.00 / CUSIP: / Symbol: 06/06/23 1.000 734.98 06/05/23 595.00 139.98 Option sale ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol: 06/07/23 1.000 649.98 06/06/23 560.00 89.98 Option sale								ABNB 06/09/2023 CALL \$120.00 / CUSIP: / Symbol:
05/10/23 1.000 1,649.97 05/08/23 745.00 904.97 Option sale ABNB 07/14/2023 CALL \$116.00 / CUSIP: / Symbol: 06/06/23 1.000 734.98 06/05/23 595.00 139.98 Option sale ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol: 06/07/23 1.000 649.98 06/06/23 560.00 89.98 Option sale	on sale to close-call <sup>25</sup>	.02 O	-161.02		171.00	06/07/23	9.98	06/08/23 1.000
ABNB 07/14/2023 CALL \$116.00 / CUSIP: / Symbol: 06/06/23 1.000 734.98 06/05/23 595.00 139.98 Option sale ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol: 06/07/23 1.000 649.98 06/06/23 560.00 89.98 Option sale								ABNB 06/09/2023 PUT \$127.00 / CUSIP: / Symbol:
06/06/23       1.00       734.98       06/05/23       595.00        139.98       Option sale         ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol:       06/06/23       560.00        89.98       Option sale	on sale to close-put <sup>25</sup>	.97 O	904.97		745.00	05/08/23	1,649.97	05/10/23 1.000
ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol: 06/07/23 1.000 649.98 06/06/23 560.00 89.98 Option sale								ABNB 07/14/2023 CALL \$116.00 / CUSIP: / Symbol:
06/07/23 1.000 649.98 06/06/23 560.00 89.98 Option sale	on sale to close-call <sup>25</sup>	.98 O	139.98		595.00	06/05/23	734.98	06/06/23 1.000
	ABNB 07/14/2023 PUT \$119.00 / CUSIP: / Symbol:							
	on sale to close-put 25							
06/20/23         1.000         204.98         06/09/23         545.00          -340.02         Option sale           Security total:         854.96         1,105.00          -250.04	on sale to close-put <sup>25</sup>					06/09/23		

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Account 501476188

02/12/2024

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2023 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUS	SIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
ABNB 07/28/2023 PUT \$140.00 /	,	(-)				(_)	
07/12/23	1.000	599.98	07/12/23	466.00		133.98	Option sale to close-put <sup>25</sup>
ABNB 08/04/2023 PUT \$147.00 /	CUSIP: / Symbol:						
08/02/23	1.000	579.98	08/01/23	513.00		66.98	Option sale to close-put <sup>25</sup>
ABNB 08/11/2023 PUT \$142.00 /	CUSIP: / Symbol:						
08/04/23	1.000	390.98	08/03/23	660.00		-269.02	Option sale to close-put <sup>25</sup>
ABNB 08/11/2023 PUT \$145.00 /	CUSIP: / Symbol:						
08/03/23	1.000	829.98	08/02/23	695.00		134.98	Option sale to close-put <sup>25</sup>
ABNB 08/11/2023 PUT \$146.00 /	CUSIP: / Symbol:						
07/19/23	1.000	819.98	07/18/23	820.00		-0.02	Option sale to close-put <sup>25</sup>
ABNB 08/18/2023 PUT \$130.00 /	CUSIP: / Symbol:						
07/12/23	1.000	413.98	07/11/23	775.00		-361.02	Option sale to close-put <sup>25</sup>
AMC 03/03/2023 CALL \$6.50 / C	USIP: / Symbol:						
02/27/23	1.000	45.98	02/27/23	49.00		-3.02	Option sale to close-call <sup>25</sup>
AMC 03/03/2023 CALL \$7.50 / C	USIP: / Symbol:						
03/02/23	1.000	1.98	02/28/23	76.00		-74.02	Option sale to close-call <sup>25</sup>
AMC 03/10/2023 CALL \$6.50 / C	USIP: / Symbol:						
03/08/23	1.000	4.98	03/03/23	40.00		-35.02	Option sale to close-call <sup>25</sup>
AMD 03/03/2023 PUT \$77.00 / C	USIP: / Symbol:						
03/03/23	1.000	0.98	03/02/23	91.00		-90.02	Option sale to close-put <sup>25</sup>
AMD 03/03/2023 PUT \$79.00 / C	•						
03/01/23	1.000	161.98	02/28/23	113.00		48.98	Option sale to close-put <sup>25</sup>
AMD 03/03/2023 PUT \$80.00 / C	•						
02/28/23	1.000	292.98	02/27/23	188.00		104.98	Option sale to close-put <sup>25</sup>
AMD 03/10/2023 PUT \$81.00 / C							
03/08/23	1.000	35.98	03/03/23	250.00		-214.02	Option sale to close-put <sup>25</sup>

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2023 1099-B\* OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or	1d- Pro	oceeds & Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed Qu	antity (G)ross	s or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
AMZN 03/03/2023 CALL \$95.00 / CUSIP:	/ Symbol:						
02/27/23		112.98	02/24/23	103.00		9.98	Option sale to close-call <sup>25</sup>
03/02/23	1.000	8.98	02/28/23	107.00		-98.02	Option sale to close-call <sup>25</sup>
		121.96		210.00		-88.04	
AMZN 03/17/2023 CALL \$101.00 / CUSIF	,		00/40/00	70.00		70.00	
	1.000	0.00	03/16/23	70.00		-70.00	Option expiration- long call
AMZN 05/05/2023 CALL \$110.00 / CUSIF	-						<b>.</b>
		481.98	04/27/23	485.00		-3.02	Option sale to close-call <sup>25</sup>
AMZN 05/26/2023 CALL \$110.00 / CUSIF							
05/11/23		399.98	04/27/23	604.00		-204.02	Option sale to close-call <sup>25</sup>
AXP 08/25/2023 PUT \$180.00 / CUSIP: /	,						
07/21/23	1.000 1,	,029.98	07/20/23	680.00		349.98	Option sale to close-put <sup>25</sup>
DIS 03/03/2023 CALL \$100.00 / CUSIP:	/ Symbol:						
03/02/23	1.000	11.98	02/28/23	101.00		-89.02	Option sale to close-call <sup>25</sup>
GOOGL 03/03/2023 CALL \$90.00 / CUSI	P: / Symbol:						
02/27/23	1.000	131.98	02/24/23	116.00		15.98	Option sale to close-call <sup>25</sup>
GOOGL 03/17/2023 CALL \$101.00 / CUS	SIP: / Symbol:						
03/17/23	1.000	91.98	03/16/23	75.00		16.98	Option sale to close-call <sup>25</sup>
GOOGL 05/26/2023 CALL \$105.00 / CUS	SIP: / Symbol:						
04/27/23	1.000	529.98	04/25/23	455.00		74.98	Option sale to close-call <sup>25</sup>
GOOGL 08/25/2023 CALL \$123.00 / CUS	SIP: / Symbol:						
07/25/23	1.000	469.98	07/24/23	505.00		-35.02	Option sale to close-call <sup>25</sup>
IBLU 03/17/2023 CALL \$6.50 / CUSIP: /	Symbol:						
03/13/23	1.000	69.98	03/10/23	111.00		-41.02	Option sale to close-call <sup>25</sup>
MA 08/18/2023 PUT \$400.00 / CUSIP: /	Symbol:						
	,	749.98	07/26/23	802.00		-52.02	Option sale to close-put <sup>25</sup>
<i><i>JIIIIIIIIIIIII</i></i>	1.000	140.00	01/20/20	002.00		02.02	option sale to close put

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2023 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/0	SUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
META 04/21/2023 CALL \$215	5.00 / CUSIP: / Symbol:						
04/06/23	1.000	709.98	04/04/23	605.00		104.98	Option sale to close-call $^{\rm 25}$
META 04/21/2023 PUT \$210.	00 / CUSIP: / Symbol:						
04/04/23	1.000	444.98	03/31/23	690.00		-245.02	Option sale to close-put <sup>25</sup>
META 07/21/2023 CALL \$292	2.50 / CUSIP: / Symbol:						
07/10/23	1.000	1,114.98	07/07/23	785.00		329.98	Option sale to close-call $^{\rm 25}$
META 07/21/2023 CALL \$310	0.00 / CUSIP: / Symbol:						
07/13/23	1.000	899.98	07/12/23	602.00		297.98	Option sale to close-call $^{\rm 25}$
META 07/21/2023 CALL \$312	2.50 / CUSIP: / Symbol:						
07/14/23	1.000	382.98	07/13/23	660.00		-277.02	Option sale to close-call $^{\rm 25}$
META 07/28/2023 CALL \$322	2.50 / CUSIP: / Symbol:						
07/18/23	1.000	1,144.98	07/18/23	1,155.00		-10.02	Option sale to close-call $^{\rm 25}$
MSFT 05/05/2023 CALL \$285	5.00 / CUSIP: / Symbol:						
04/26/23	1.000	1,374.97	04/20/23	920.00		454.97	Option sale to close-call $^{\rm 25}$
MSFT 08/11/2023 CALL \$345	5.00 / CUSIP: / Symbol:						
07/25/23	1.000	1,389.97	07/24/23	1,195.00		194.97	Option sale to close-call $^{\rm 25}$
MSFT 08/11/2023 CALL \$350	0.00 / CUSIP: / Symbol:						
08/04/23	1.000	45.98	07/25/23	1,154.00		-1,108.02	Option sale to close-call $^{\rm 25}$
MSFT 10/20/2023 CALL \$435	5.00 / CUSIP: / Symbol:						
10/20/23	1.000	0.00	08/04/23	49.00		-49.00	Option expiration- long call
ROKU 03/17/2023 PUT \$54.0	0 / CUSIP: / Symbol:						
03/17/23	1.000	0.00	03/13/23	182.00		-182.00	Option expiration-long put
SHOP 02/24/2023 CALL \$42.	50 / CUSIP: / Symbol:						
02/24/23	1.000	0.00	02/23/23	54.00		-54.00	Option expiration- long call
SI 03/17/2023 CALL \$2.50 / C	CUSIP: / Symbol:						
03/17/23	1.000	0.00	03/13/23	60.00		-60.00	Option expiration-long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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Robinhood Markets Inc. as agent fo	r
Robinhood Securities LLC	

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2023 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

Ta- Description of property/COSIP/Symbol	1a- Description of	property/CUSIP/Symbol
--	--------------------	-----------------------

1c- Date sold or disposed Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SI 03/17/2023 PUT \$3.00 / CUSIP: / Symbol:						
03/10/23 1.000	79.98	03/10/23	72.00		7.98	Option sale to close-put <sup>25</sup>
SI 03/17/2023 PUT \$3.50 / CUSIP: / Symbol:						
03/10/23 1.000	94.98	03/10/23	90.00		4.98	Option sale to close-put <sup>25</sup>
SNAP 03/03/2023 CALL \$10.00 / CUSIP: / Symbo	l:					
02/27/23 1.000	23.98	02/27/23	26.00		-2.02	Option sale to close-call <sup>25</sup>
SNAP 03/03/2023 PUT \$9.00 / CUSIP: / Symbol:						
03/03/23 2.000	0.00	02/24/23	14.00		-14.00	Option expiration- long put
SNAP 03/10/2023 CALL \$10.50 / CUSIP: / Symbo	l:					
03/08/23 1.000	61.98	03/08/23	77.00		-15.02	Option sale to close-call <sup>25</sup>
SNAP 03/17/2023 CALL \$11.00 / CUSIP: / Symbo	l:					
03/17/23 2.000	0.00	03/16/23	46.00		-46.00	Option expiration- long call
SNAP 03/17/2023 PUT \$10.50 / CUSIP: / Symbol:						
03/08/23 1.000	17.98	03/06/23	11.00		6.98	Option sale to close-put <sup>25</sup>
SNAP 03/17/2023 PUT \$11.50 / CUSIP: / Symbol:						
03/09/23 1.000	90.98	03/08/23	76.00		14.98	Option sale to close-put <sup>25</sup>
SNAP 03/31/2023 PUT \$11.00 / CUSIP: / Symbol:						
03/29/23 2.000	83.96	Various	76.00		7.96	Total of 2 transactions
SPY 07/21/2023 CALL \$428.00 / CUSIP: / Symbol	:					
06/09/23 1.000	834.98	06/08/23	663.00		171.98	Option sale to close-call <sup>25</sup>
SPY 07/21/2023 CALL \$438.00 / CUSIP: / Symbol	:					
06/15/23 1.000	999.98	06/14/23	685.00		314.98	Option sale to close-call <sup>25</sup>
SPY 08/10/2023 PUT \$451.00 / CUSIP: / Symbol:						
08/02/23 1.000	330.98	08/02/23	339.00		-8.02	Option sale to close-put <sup>25</sup>
SPY 08/18/2023 CALL \$440.00 / CUSIP: / Symbol	:					
07/11/23 1.000	899.98	07/10/23	850.00		49.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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<b>Robinhood Markets Ind</b>	c. as agent for
<b>Robinhood Securities</b>	LLC

## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2023 **1099-B\*** OMB No. 1545-0715

# SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 08/18/2023 CALL \$452.00 / CUS	IP: / Symbol:						
07/18/23	1.000	691.98	07/17/23	626.00		65.98	Option sale to close-call <sup>25</sup>
SPY 08/18/2023 CALL \$456.00 / CUS	IP: / Symbol:						
07/20/23	1.000	612.98	07/19/23	690.00		-77.02	Option sale to close-call <sup>25</sup>
SPY 09/01/2023 CALL \$447.00 / CUS	IP: / Symbol:						
08/09/23	1.000	819.98	08/08/23	736.00		83.98	Option sale to close-call <sup>25</sup>
SPY 09/01/2023 CALL \$449.00 / CUS	IP: / Symbol:						
08/28/23	1.000	64.98	08/09/23	674.00		-609.02	Option sale to close-call <sup>25</sup>
SPY 09/29/2023 CALL \$462.00 / CUS	IP: / Symbol:						
09/29/23	1.000	0.00	08/28/23	70.00		-70.00	Option expiration- long call
SLA 03/03/2023 CALL \$200.00 / CU	SIP: / Symbol:						
03/03/23	1.000	46.98	03/01/23	682.00		-635.02	Option sale to close-call <sup>25</sup>
SLA 03/03/2023 CALL \$205.00 / CU	SIP: / Symbol:						
02/27/23	1.000	969.98	02/27/23	805.00		164.98	Option sale to close-call <sup>25</sup>
SLA 03/03/2023 CALL \$210.00 / CU	SIP: / Symbol:						
03/01/23	1.000	311.98	02/27/23	720.00		-408.02	Option sale to close-call <sup>25</sup>
SLA 03/10/2023 CALL \$195.00 / CU	SIP: / Symbol:						
03/08/23	1.000	50.98	03/03/23	749.00		-698.02	Option sale to close-call <sup>25</sup>
SLA 06/16/2023 PUT \$225.00 / CUS	IP: / Symbol:						
06/08/23	1.000	729.98	06/07/23	715.00		14.98	Option sale to close-put <sup>25</sup>
SLA 06/23/2023 CALL \$247.50 / CU	SIP: / Symbol:						
06/12/23	1.000	1,119.98	06/09/23	1,030.00		89.98	Option sale to close-call <sup>25</sup>
SLA 07/21/2023 CALL \$295.00 / CU	SIP: / Symbol:						
07/20/23	1.000	104.98	07/19/23	1,050.00		-945.02	Option sale to close-call <sup>25</sup>
SLA 07/28/2023 PUT \$270.00 / CUS	IP: / Symbol:						
07/20/23	1.000	854.98	07/20/23	673.00		181.98	Option sale to close-put <sup>25</sup>

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Robinhood Markets Inc. as agent for
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## **Proceeds from Broker and Barter Exchange Transactions**

2023 **1099-B\*** OMB No. 1545-0715

### (continued)

02/12/2024

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 12- Description of property/CUSIP/Symbol

1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
ZM 03/03/2023 CALL \$76.00	/ CUSIP: / Symbol:						
03/02/23	1.000	4.98	02/28/23	141.00		-136.02	Option sale to close-call $^{\rm 25}$
AMAZON.COM, INC. COMM	ON STOCK / CUSIP: 02	3135106 / Symbol:					
06/27/23	1.000	127.74	04/20/23	104.70		23.04	Sale <sup>25</sup>
APPLE INC. COMMON STO	CK / CUSIP: 037833100	/ Symbol:					
06/27/23	1.039	193.43	Various	160.00		33.43	Total of 3 transactions
SNAP INC. / CUSIP: 83304A	106 / Symbol:						
06/27/23	10.691	122.01	02/07/23	130.00		-7.99	Sale <sup>25</sup>
TESLA, INC. COMMON STO	CK / CUSIP: 88160R101	l / Symbol:					
06/27/23	5.186	1,267.04	Various	800.00		467.04	Total of 4 transactions
Totals :		36,086.62		38,418.70		-2,332.08	

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSI 1c- Date	P/Symbol	1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et		1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHABET INC. CLASS A COMM	ION S TOCK / CUSIP	02079K305 / Symbol:					
06/27/23	8.494	998.26	Various	1,008.41		-10.15	Total of 4 transactions
AMAZON.COM, INC. COMMON S	STOCK / CUSIP: 0231	35106 / Symbol:					
06/27/23	4.013	512.65	Various	436.00		76.65	Total of 7 transactions
COTY INC / CUSIP: 222070203 /	Symbol:						
03/02/23	1.000	11.25	11/24/20	7.22		4.03	Sale <sup>25</sup>
NUTANIX, INC. CLASS A COMM	ON S TOCK / CUSIP:	67059N108 / Symbol:					
06/27/23	2.022	53.70	Various	62.00		-8.30	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Kobiiiii		ets inc. as agent for					AUU	Juni 301470100
Robinh	ood Secu	rities LLC	Proceeds from	Broker	and Barter	Exchange Transad	ctions	
2023	1099-B*	OMB No. 1545-0715			(continued)		02/1	2/2024
LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12) 'Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol								
	• •	roperty/CUSIP/Symbol						
1c- Date sold or dispose		Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSPE	CT CAPITA	L CORPORATION C OMMON	STOCK / CUSIP: 74348T1	02 / Symbol:				
03/02/23	1	1.000	7.25	01/07/21	5.51		1.74	Sale <sup>25</sup>
EMEREN	GROUP LT	TD AMERICAN DEPO SITARY	( SHARES, EACH REPRES	ENTI NG 10	SHARES / CUSI	P: 75971T301 / Symbol:		
06/27/23	1	9.843	38.78	10/11/21	62.50		-23.72	Sale <sup>25</sup>
ROBINHO	OOD MARK	ETS, INC. CLASS A COMMO	N STOCK / CUSIP: 770700	102 / Symbol:				
06/27/23	1	2.073	20.01	Various	85.00		-64.99	Total of 3 transactions
ROCKET	LAB USA,	INC. COMMON ST OCK / CU	SIP: 773122106 / Symbol:					
06/27/23	1	3.080	16.75	Various	45.00		-28.25	Total of 3 transactions
FESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:								
06/27/23	1	0.288	70.44	Various	70.00		0.44	Total of 2 transactions
Totals :			1,729.09		1,781.64		-52.55	

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# Robinhood Markets Inc. as agent for

# Robinhood Markets Inc. as agent for Robinhood Securities LLC

2023

# **Detail for Dividends and Distributions**

Account 501476188

02/12/2024

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, Section 897 dividends and capital gains, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries, The IRS has indicated that boxes 2e and 2f are not applicable to recipients that are U.S. individuals. You should consult your tax advisor for further information.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/16/23	0.24	Qualified dividend	
			05/18/23	0.25	Qualified dividend	
				0.49	Total Dividends & distributions	
PROSPECT CAPITAL CORPORATION C	74348T102		02/16/23	0.04	Nonqualified dividend	03
OMMON STOCK			02/16/23	0.02	Nondividend distribution	03
			03/22/23	0.04	Nonqualified dividend	03
			03/22/23	0.02	Nondividend distribution	03
				0.12	<b>Total Dividends &amp; distributions</b>	

0.61 Total Dividends & distributions

Robinhood Markets Inc. as agent for Robinhood Securities LLC

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

#### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### **1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return. Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a. Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

### Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 12. See the Instructions for Form 6251. Lines 14-16. State income tax withheld reporting Lines.

Lines 14-16. State income tax withheid reporting Line

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040). Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub, 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 109-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to <u>www.irs.gov/FreeFile</u> to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC		Information nt 501476188C	Statement Date:	02/12/2024	2023
85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700	Harikrishnan Girikumar 2599 Evans Rd Morrisville, NC 27560				
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XXX	-XX-6293			
11 - [] FATCA filing requirement (see instructions)	Summary	/ Information		13 - [] FATCA filing requiren	nent (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2023 1099-DI	<b>V*</b> OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2023 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties			0.00
1b- Qualified dividends	0.00	3- Other income			0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax			0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments	in lieu of dividends o	r interest	0.00
2c- Section 1202 gain	0.00 0.00				
2d- Collectibles (28%) gain 2e- Section 897 ordinary dividends	0.00	SECTION 1256 CON	NTRACTS	2023 1099-В*	OMB No. 1545-0715
2f- Section 897 capital gain	0.00	8- Profit or (loss) realize	ed in 2023 on closed	contracts	0.00
3- Nondividend distributions	0.00	9- Unrealized profit or (			0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (		ts-12/31/2023	0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (I	oss) on contracts		0.00
6- Investment expenses	0.00	If applicable, proceed	ls from sale transactio	ons appear summarized belo	ow and are
8- Foreign country or US possession: 7- Foreign tax paid:	0.00	detailed in subseque			
9- Cash liquidation distributions	0.00	* This is important tax information			ue Service.
10- Noncash liquidation distributions 12- Exempt-interest dividends (includes line 13)	0.00 0.00	If you are required to file a return			
13- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and			

### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	261.45	360.61	0.00	0.00	-99.16
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	261.45	360.61	0.00	0.00	-99.16
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	379.35	198.03	0.00	0.00	181.32
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	379.35	198.03	0.00	0.00	181.32
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	640.80	558.64	0.00	0.00	82.16
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

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Account 501476188C

02/12/2024

### Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

2023

## **Summary Information** (continued)

OMB No. 1545-0112

#### **INTEREST INCOME** 2023 1099-INT

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

<ol> <li>Interest income (not included in line 3)</li> <li>Early withdrawal penalty</li> </ol>	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid	0.00 0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

## **ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year Acquisition premium (covered lots)	0.00 0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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### Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

# **Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715 02/12/2024

Account 501476188C

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12. Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms. 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not gualify as short- or long-term capital transactions. Similarly, lots noted as "3 - IXI Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - IXI Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of proper 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et a	Date cquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Dogecoin / CUSIP: DOGE	USD / Symbol:						
06/27/23	1,319.070	85.68 1	0/31/22	159.59		-73.91	Sale <sup>25</sup>
Litecoin / CUSIP: LTCUSD / Symbol:							
06/27/23	1.99931857	175.77 0	2/07/23	201.02		-25.25	Sale <sup>25</sup>
Totals :		261.45		360.61		-99.16	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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Robin	hood Markets Inc. as agent for		Account 501476188C
Robin	hood Crypto LLC	Proceeds from Broker and Barter Exchange Transactions	
2023	<b>1099-B*</b> OMB No. 1545-0715	(continued)	02/12/2024

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# LONG TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5) Report on Form 8949, Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
Bitcoin / CUSIP: BTCUS	D / Symbol:						
06/27/23	0.01026255	313.48	06/18/22	188.04		125.44	Sale <sup>25</sup>
Dogecoin / CUSIP: DOG	EUSD / Symbol:						
06/27/23	1,014.000	65.87	01/06/21	9.99		55.88	Sale <sup>25</sup>
Totals :		379.35		198.03		181.32	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

# 2023 End Notes for Account 501476188C

25 Tax lot closed on a first in first out basis.

### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number**. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or 0 (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040). Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked box 1e may be blank. See the Instructions for Form 8949 the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 109-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

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