



Sampath Kuturu  
1918 Keystone Pl  
Schaumburg, IL 60193

**Master Account Number for Import:**  
125613661  
**Document ID for Import:**  
YJD2UK45X48

**Enclosed is your 2023 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:**

125613661 - Robinhood Securities LLC

**New for 2023 Tax Year** - 1099 tax forms for Robinhood Markets Inc. will be displayed using an aggregated format based on key tax lot criteria. We have done this to reduce the size of your overall tax package, as well as to help make your tax documents easier to use. This new format still provides you with all the information you need to file your taxes.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2023 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

**Filing Your Taxes** - If filing through tax service providers, such as TurboTax or H&R Block, please utilize the Master Account Number and Document ID listed above. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

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<b>Robinhood Markets Inc. as agent for Robinhood Securities LLC</b> 85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700  PAYER'S TIN: 46-4364776	<b>Tax Information</b> <b>Account 125613661</b>	Statement Date: 02/12/2024	2023
	Sampath Katuru 1918 Keystone Pl Schaumburg, IL 60193  RECIPIENT'S TIN: XXX-XX-7889		

11 - [ ] FATCA filing requirement (see instructions) 13 - [ ] FATCA filing requirement (see instructions)

<b>DIVIDENDS AND DISTRIBUTIONS 2023 1099-DIV*</b> <span style="float: right;">OMB No. 1545-0110</span>	<b>MISCELLANEOUS INFORMATION 2023 1099-MISC*</b> <span style="float: right;">OMB No. 1545-0115</span>
1a- Total ordinary dividends (includes lines 1b, 5, 2e) 1,327.41 1b- Qualified dividends 728.27 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00 2b- Unrecaptured Section 1250 gain 0.00 2c- Section 1202 gain 0.00 2d- Collectibles (28%) gain 0.00 2e- Section 897 ordinary dividends 0.00 2f- Section 897 capital gain 0.00 3- Nondividend distributions 0.00 <b>4- Federal income tax withheld 0.00</b> 5- Section 199A dividends 235.17 6- Investment expenses 0.00 8- Foreign country or US possession: See detail 7- Foreign tax paid: 92.00 9- Cash liquidation distributions 0.00 10- Noncash liquidation distributions 0.00 12- Exempt-interest dividends (includes line 13) 0.00 13- Specified private activity bond interest dividends (AMT) 0.00	2- Royalties 0.00 3- Other income 0.00 <b>4- Federal income tax withheld 0.00</b> 8- Substitute payments in lieu of dividends or interest 472.79  <b>SECTION 1256 CONTRACTS 2023 1099-B*</b> <span style="float: right;">OMB No. 1545-0715</span> 8- Profit or (loss) realized in 2023 on closed contracts 0.00 9- Unrealized profit or (loss) on open contracts-12/31/2022 0.00 10- Unrealized profit or (loss) on open contracts-12/31/2023 0.00 11- Aggregate profit or (loss) on contracts 0.00  <i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>  <b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b>

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	260,504.85	238,991.54	0.00	2,616.14	24,129.45
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>260,504.85</b>	<b>238,991.54</b>	<b>0.00</b>	<b>2,616.14</b>	<b>24,129.45</b>
Long	D (basis reported to the IRS)	104,724.23	246,724.35	0.00	5,908.52	-136,091.60
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>104,724.23</b>	<b>246,724.35</b>	<b>0.00</b>	<b>5,908.52</b>	<b>-136,091.60</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>365,229.08</b>	<b>485,715.89</b>	<b>0.00</b>	<b>8,524.66</b>	<b>-111,962.15</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Robinhood Markets Inc. as agent for  
 Robinhood Securities LLC  
 2023

**Summary Information**  
 (continued)

Account 125613661  
 02/12/2024

**INTEREST INCOME**

2023 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.43
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail
FATCA filing requirement [ ]	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	3,700.35
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	50.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 125613661

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

02/12/2024

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

##### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AFRM 01/20/2023 CALL \$25.00 / CUSIP: / Symbol:							
01/20/23	1.000	0.00	09/21/22	450.00	...	-450.00	Option expiration- long call
AI 01/20/2023 CALL \$40.00 / CUSIP: / Symbol:							
01/20/23	1.000	0.00	02/17/22	290.00	...	-290.00	Option expiration- long call
BABA 02/10/2023 CALL \$103.00 / CUSIP: / Symbol:							
02/10/23	1.000	39.98	02/10/23	92.00	...	-52.02	Option sale to close-call <sup>25</sup>
BYND 01/20/2023 CALL \$100.00 / CUSIP: / Symbol:							
01/20/23	1.000	0.00	02/03/22	455.00	...	-455.00	Option expiration- long call
FTCH 01/19/2024 CALL \$12.50 / CUSIP: / Symbol:							
08/21/23	10.000	9.97	Various	1,050.00	...	-1,040.03	Total of 7 transactions
FTCH 01/20/2023 CALL \$12.50 / CUSIP: / Symbol:							
01/20/23	1.000	0.00	08/29/22	210.00	...	-210.00	Option expiration- long call

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 125613661

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOOG 03/10/2023 CALL \$95.00 / CUSIP: / Symbol:							
03/03/23	2.000	199.98	Various	449.00	...	-249.02	Total of 2 transactions
KC 01/20/2023 CALL \$5.00 / CUSIP: / Symbol:							
01/05/23	3.000	122.96	Various	30.00	...	92.96	Total of 3 transactions
01/20/23	2.000	0.00	10/13/22	20.00	...	-20.00	Option expiration- long call
	<b>Security total:</b>	<b>122.96</b>		<b>50.00</b>	...	<b>72.96</b>	
LCID 03/17/2023 CALL \$20.00 / CUSIP: / Symbol:							
03/17/23	2.000	0.00	10/12/22	170.00	...	-170.00	Option expiration- long call
NIO 01/19/2024 CALL \$20.00 / CUSIP: / Symbol:							
08/21/23	3.000	134.96	Various	309.00	...	-174.04	Total of 3 transactions
NIO 01/20/2023 CALL \$25.00 / CUSIP: / Symbol:							
01/20/23	1.000	0.00	08/10/22	202.00	...	-202.00	Option expiration- long call
NVDA 02/17/2023 CALL \$225.00 / CUSIP: / Symbol:							
02/15/23	1.000	399.98	02/15/23	354.00	...	45.98	Option sale to close-call <sup>25</sup>
OPEN 01/20/2023 CALL \$10.00 / CUSIP: / Symbol:							
01/20/23	1.000	0.00	02/25/22	248.00	...	-248.00	Option expiration- long call
PLTR 09/01/2023 CALL \$18.00 / CUSIP: / Symbol:							
07/28/23	1.000	149.98	07/25/23	120.00	...	29.98	Option sale to close-call <sup>25</sup>
PYPL 01/20/2023 CALL \$160.00 / CUSIP: / Symbol:							
01/20/23	2.000	0.00	Various	1,285.00	...	-1,285.00	Total of 2 transactions
PYPL 08/11/2023 CALL \$65.00 / CUSIP: / Symbol:							
08/11/23	10.000	0.00	Various	954.00	...	-954.00	Total of 3 transactions
QQQ 07/27/2023 CALL \$377.00 / CUSIP: / Symbol:							
07/26/23	1.000	219.98	07/26/23	234.00	...	-14.02	Option sale to close-call <sup>25</sup>
QQQ 07/28/2023 CALL \$381.00 / CUSIP: / Symbol:							
07/28/23	1.000	215.98	07/27/23	174.00	...	41.98	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 125613661

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RDFN 01/19/2024 CALL \$15.00 / CUSIP: / Symbol:							
07/07/23	1.000	209.98	10/13/22	44.00	...	165.98	Option sale to close-call <sup>25</sup>
07/10/23	1.000	219.98	10/13/22	44.00	...	175.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>429.96</b>		<b>88.00</b>	...	<b>341.96</b>	
SCHW 04/06/2023 CALL \$54.00 / CUSIP: / Symbol:							
03/31/23	1.000	47.98	03/23/23	275.00	...	-227.02	Option sale to close-call <sup>25</sup>
SCHW 04/14/2023 CALL \$55.00 / CUSIP: / Symbol:							
04/14/23	1.000	0.00	03/31/23	77.00	...	-77.00	Option expiration- long call
SNAP 01/19/2024 CALL \$20.00 / CUSIP: / Symbol:							
08/21/23	6.000	59.98	Various	180.00	...	-120.02	Total of 4 transactions
SOLO 01/20/2023 CALL \$7.50 / CUSIP: / Symbol:							
01/20/23	3.000	0.00	05/04/22	24.00	...	-24.00	Option expiration- long call
SOXL 07/28/2023 CALL \$25.50 / CUSIP: / Symbol:							
07/25/23	1.000	139.98	07/25/23	125.00	...	14.98	Option sale to close-call <sup>25</sup>
SPY 02/17/2023 PUT \$413.00 / CUSIP: / Symbol:							
02/16/23	1.000	444.97	02/15/23	215.00	...	229.97	Option sale to close-put <sup>25</sup>
SPY 02/21/2023 CALL \$405.00 / CUSIP: / Symbol:							
02/21/23	1.000	0.00	02/17/23	202.00	...	-202.00	Option expiration- long call
SPY 02/22/2023 PUT \$405.00 / CUSIP: / Symbol:							
02/17/23	1.000	294.98	02/17/23	298.00	...	-3.02	Option sale to close-put <sup>25</sup>
SPY 03/31/2023 PUT \$407.00 / CUSIP: / Symbol:							
03/31/23	1.000	5.98	03/31/23	72.00	...	-66.02	Option sale to close-put <sup>25</sup>
SPY 07/13/2023 CALL \$446.00 / CUSIP: / Symbol:							
07/12/23	1.000	159.98	07/12/23	123.00	...	36.98	Option sale to close-call <sup>25</sup>
SQ 04/21/2023 PUT \$40.00 / CUSIP: / Symbol:							
04/21/23	1.000	0.00	03/23/23	30.00	...	-30.00	Option expiration- long put

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Robinhood Markets Inc. as agent for  
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Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 08/11/2023 CALL \$65.00 / CUSIP: / Symbol:							
08/04/23	2.000	339.98	Various	308.00	...	31.98	Total of 2 transactions
SQQQ 05/26/2023 CALL \$31.00 / CUSIP: / Symbol:							
04/12/23	1.000	339.98	04/11/23	295.00	...	44.98	Option sale to close-call <sup>25</sup>
04/25/23	1.000	269.98	04/12/23	295.00	...	-25.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>609.96</b>		<b>590.00</b>	...	<b>19.96</b>	
STNE 01/20/2023 CALL \$25.00 / CUSIP: / Symbol:							
01/20/23	3.000	0.00	Various	430.00	...	-430.00	Total of 2 transactions
TQQQ 02/10/2023 PUT \$21.00 / CUSIP: / Symbol:							
02/01/23	2.000	119.98	Various	180.00	...	-60.02	Total of 2 transactions
TQQQ 02/10/2023 PUT \$22.00 / CUSIP: / Symbol:							
01/30/23	1.000	124.98	01/30/23	100.00	...	24.98	Option sale to close-put <sup>25</sup>
TSLA 02/17/2023 PUT \$207.50 / CUSIP: / Symbol:							
02/16/23	1.000	249.98	02/16/23	272.00	...	-22.02	Option sale to close-put <sup>25</sup>
Z 01/20/2023 CALL \$70.00 / CUSIP: / Symbol:							
01/20/23	1.000	0.00	01/24/22	560.00	...	-560.00	Option expiration- long call
AT&T INC. / CUSIP: 00206R102 / Symbol:							
02/03/23	10.357	206.06	Various	203.85	...	2.21	Total of 3 transactions
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol:							
02/03/23	16.000	708.14	Various	1,101.30	...	-393.16	Total of 2 transactions
ABBVIE INC. / CUSIP: 00287Y109 / Symbol:							
01/25/23	0.504	74.21	Various	75.25	...	-1.04	Total of 4 transactions
ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol:							
07/19/23	2.000	1,062.81	Various	701.66	...	361.15	Total of 4 transactions
07/21/23	2.132	1,109.40	Various	740.14	...	369.26	Total of 6 transactions
	<b>Security total:</b>	<b>2,172.21</b>		<b>1,441.80</b>	...	<b>730.41</b>	

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 125613661

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol:							
03/20/23	15.000	1,443.06	Various	1,238.11	...	204.95	Total of 12 transactions
03/27/23	5.000	481.50	Various	356.11	...	125.39	Total of 6 transactions
03/28/23	15.000	1,442.98	Various	985.40	...	457.58	Total of 9 transactions
04/12/23	10.000	934.29	Various	741.48	...	192.81	Total of 12 transactions
05/17/23	15.000	1,519.52	Various	1,075.81	...	443.71	Total of 10 transactions
05/25/23	5.318	640.74	Various	482.07	...	158.67	Total of 3 transactions
08/08/23	0.045	5.11	06/14/23	5.68	0.57 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,467.20</b>		<b>4,884.66</b>	<b>0.57 W</b>	<b>1,583.11</b>	
AFFIRM HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 00827B106 / Symbol:							
08/10/23	99.129	1,515.98	Various	1,249.20	...	266.78	Total of 15 transactions
AIRBNB, INC. CLASS A COMMON STOCK / CUSIP: 009066101 / Symbol:							
02/15/23	7.000	951.03	Various	1,318.35	...	-367.32	Total of 4 transactions
02/16/23	5.000	692.18	Various	932.99	...	-240.81	Total of 2 transactions
	<b>Security total:</b>	<b>1,643.21</b>		<b>2,251.34</b>	...	<b>-608.13</b>	
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTS EIGHT ORDINARY SHARES / CUSIP: 01609W102 / Symbol:							
08/10/23	19.170	1,932.25	Various	1,735.26	...	196.99	Total of 11 transactions
ALIGN TECHNOLOGY, INC. COMMON STOCK / CUSIP: 016255101 / Symbol:							
02/02/23	1.000	352.97	10/04/22	228.11	...	124.86	Sale <sup>25</sup>
02/08/23	1.241	416.91	Various	252.29	...	164.62	Total of 4 transactions
02/15/23	1.000	332.50	Various	201.68	...	130.82	Total of 2 transactions
03/06/23	3.000	1,039.01	Various	600.63	...	438.38	Total of 6 transactions
08/03/23	0.078	28.31	03/24/23	23.57	...	4.74	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,169.70</b>		<b>1,306.28</b>	...	<b>863.42</b>	
ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol:							
04/17/23	20.000	2,112.68	Various	2,269.52	...	-156.84	Total of 5 transactions
04/17/23	5.000	525.00	07/18/22	550.05	1.07 W	-23.98	Sale <sup>25</sup>
05/05/23	10.000	1,058.69	Various	1,158.88	...	-100.19	Total of 5 transactions
05/05/23	5.000	529.34	08/05/22	584.55	2.20 W	-53.01	Sale <sup>25</sup>
05/17/23	20.000	2,394.68	Various	1,932.75	...	461.93	Total of 17 transactions

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2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

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ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol: (cont'd)							
05/19/23	3.000	373.35	Various	290.17	...	83.18	Total of 3 transactions
07/25/23	10.017	1,304.89	Various	1,143.75	...	161.14	Total of 11 transactions
	<b>Security total:</b>	<b>8,298.63</b>		<b>7,929.67</b>	<b>3.27 W</b>	<b>372.23</b>	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
05/17/23	10.000	1,145.99	Various	1,391.06	245.07 W	0.00	Total of 6 transactions
05/19/23	3.000	355.22	Various	381.58	...	-26.36	Total of 2 transactions
05/19/23	2.000	236.82	08/31/22	255.05	18.23 W	0.00	Sale <sup>25</sup>
07/21/23	0.025	3.25	07/10/23	3.17	...	0.08	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,741.28</b>		<b>2,030.86</b>	<b>263.30 W</b>	<b>-26.28</b>	
AMGEN INC. COMMON STOCK / CUSIP: 031162100 / Symbol:							
03/14/23	4.000	924.11	Various	949.16	...	-25.05	Total of 2 transactions
ANNALY CAPITAL MANAGEMENT. INC. / CUSIP: 035710839 / Symbol:							
01/18/23	40.000	902.37	01/17/23	905.83	3.46 W	0.00	Sale <sup>25</sup>
08/03/23	4.165	81.38	01/17/23	94.31	12.93 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>983.75</b>		<b>1,000.14</b>	<b>16.39 W</b>	<b>0.00</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
03/06/23	7.000	1,082.55	Various	906.32	...	176.23	Total of 6 transactions
03/14/23	10.000	1,524.98	Various	1,268.35	...	256.63	Total of 7 transactions
03/15/23	3.176	476.32	Various	423.42	...	52.90	Total of 2 transactions
03/15/23	1.824	273.65	02/08/23	277.14	0.94 W	-2.55	Sale <sup>25</sup>
07/26/23	0.806	156.60	Various	127.62	...	28.98	Total of 5 transactions
	<b>Security total:</b>	<b>3,514.10</b>		<b>3,002.85</b>	<b>0.94 W</b>	<b>512.19</b>	
ASANA, INC. CLASS A COMMON STO CK / CUSIP: 04342Y104 / Symbol:							
08/10/23	10.000	210.07	Various	122.63	...	87.44	Total of 2 transactions
ATLISSIAN CORPORATION CLASS A COMMON STOCK / CUSIP: 049468101 / Symbol:							
01/23/23	3.000	458.91	Various	348.21	...	110.70	Total of 2 transactions
03/06/23	5.000	887.79	Various	607.66	...	280.13	Total of 7 transactions
08/03/23	0.192	32.61	01/03/23	24.07	...	8.54	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,379.31</b>		<b>979.94</b>	...	<b>399.37</b>	

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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AVEPOINT, INC. CLASS A COMMON STOCK / CUSIP: 053604104 / Symbol:							
05/03/23	10.000	44.45	01/17/23	43.87	...	0.58	Sale <sup>25</sup>
B&G FOODS, INC. / CUSIP: 05508R106 / Symbol:							
03/06/23	25.000	378.75	Various	330.10	...	48.65	Total of 5 transactions
03/08/23	25.000	379.25	Various	309.06	...	70.19	Total of 2 transactions
	<b>Security total:</b>	<b>758.00</b>		<b>639.16</b>	...	<b>118.84</b>	
BAIDU, INC. ADS / CUSIP: 056752108 / Symbol:							
07/18/23	7.836	1,129.44	Various	831.05	...	298.39	Total of 6 transactions
BILL HOLDINGS, INC. / CUSIP: 090043100 / Symbol:							
06/07/23	5.000	588.19	Various	662.48	...	-74.29	Total of 4 transactions
07/10/23	5.000	585.82	Various	552.45	...	33.37	Total of 5 transactions
07/17/23	2.000	260.46	Various	197.84	...	62.62	Total of 3 transactions
07/18/23	3.265	436.07	Various	354.48	...	81.59	Total of 6 transactions
	<b>Security total:</b>	<b>1,870.54</b>		<b>1,767.25</b>	...	<b>103.29</b>	
AULT ALLIANCE, INC. / CUSIP: 09175M408 / Symbol:							
07/19/23	0.500	1.95	10/14/22	25.97	...	-24.02	Sale <sup>25</sup>
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
08/17/23	70.000	347.36	Various	670.53	...	-323.17	Total of 9 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
01/09/23	2.000	417.30	Various	288.54	...	128.76	Total of 3 transactions
08/03/23	0.240	55.88	Various	34.14	...	21.74	Total of 2 transactions
08/25/23	1.000	220.05	08/25/23	213.98	...	6.07	Sale <sup>25</sup>
	<b>Security total:</b>	<b>693.23</b>		<b>536.66</b>	...	<b>156.57</b>	
C3.AI, INC. / CUSIP: 12468P104 / Symbol:							
08/04/23	13.000	496.14	Various	198.54	...	297.60	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

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CVS HEALTH CORPORATION / CUSIP: 126650100 / Symbol:							
07/28/23	3.000	224.04	02/13/23	268.31	...	-44.27	Sale <sup>25</sup>
07/28/23	12.000	896.15	02/08/23	1,077.77	75.68 W	-105.94	Sale <sup>25</sup>
08/03/23	0.056	4.17	02/15/23	4.92	...	-0.75	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,124.36</b>		<b>1,351.00</b>	<b>75.68 W</b>	<b>-150.96</b>	
CANADA GOOSE HOLDINGS INC. / CUSIP: 135086106 / Symbol:							
08/10/23	70.000	1,186.28	Various	1,295.20	...	-108.92	Total of 9 transactions
CAPITAL ONE FINANCIAL / CUSIP: 14040H105 / Symbol:							
06/14/23	10.000	1,123.99	05/17/23	929.49	...	194.50	Sale <sup>25</sup>
08/09/23	0.003	0.38	07/24/23	0.39	...	-0.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,124.37</b>		<b>929.88</b>	<b>...</b>	<b>194.49</b>	
CARDIFF ONCOLOGY, INC. COMMON STOCK / CUSIP: 14147L108 / Symbol:							
08/15/23	20.000	40.20	09/06/22	51.90	...	-11.70	Sale <sup>25</sup>
CARVANA CO. / CUSIP: 146869102 / Symbol:							
02/02/23	5.000	84.75	10/27/22	73.72	...	11.03	Sale <sup>25</sup>
07/11/23	5.000	175.61	10/27/22	73.72	...	101.89	Sale <sup>25</sup>
07/13/23	15.000	540.44	Various	94.49	...	445.95	Total of 2 transactions
	<b>Security total:</b>	<b>800.80</b>		<b>241.93</b>	<b>...</b>	<b>558.87</b>	
CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol:							
08/04/23	80.000	652.78	Various	784.27	...	-131.49	Total of 7 transactions
CHEWY, INC. / CUSIP: 16679L109 / Symbol:							
08/10/23	18.300	567.49	Various	637.57	...	-70.08	Total of 6 transactions
CLOUDFLARE, INC. CLASS A COMMON STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
02/03/23	0.760	46.97	09/06/22	43.54	...	3.43	Sale <sup>25</sup>
02/03/23	0.865	53.46	09/13/22	66.85	13.39 W	0.00	Sale <sup>25</sup>
02/14/23	5.000	334.00	Various	303.53	...	30.47	Total of 5 transactions
05/19/23	4.000	239.30	Various	205.17	...	34.13	Total of 2 transactions
08/04/23	14.990	1,107.92	Various	646.68	...	461.24	Total of 10 transactions
08/08/23	0.012	0.75	12/27/22	0.49	...	0.26	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,782.40</b>		<b>1,266.26</b>	<b>13.39 W</b>	<b>529.53</b>	

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COHERENT CORP. / CUSIP: 19247G107 / Symbol:							
03/06/23	18.000	775.99	Various	606.14	...	169.85	Total of 4 transactions
07/11/23	5.000	258.20	Various	170.70	...	87.50	Total of 2 transactions
07/18/23	10.000	485.70	Various	327.42	...	158.28	Total of 3 transactions
	<b>Security total:</b>	<b>1,519.89</b>		<b>1,104.26</b>	...	<b>415.63</b>	
COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol:							
01/23/23	10.000	544.08	Various	356.04	...	188.04	Total of 6 transactions
02/02/23	2.000	158.36	01/03/23	67.23	...	91.13	Sale <sup>25</sup>
02/06/23	8.000	566.38	Various	269.93	...	296.45	Total of 3 transactions
	<b>Security total:</b>	<b>1,268.82</b>		<b>693.20</b>	...	<b>575.62</b>	
CROCS, INC. COMMON STOCK / CUSIP: 227046109 / Symbol:							
07/31/23	5.000	534.82	07/28/23	538.41	...	-3.59	Sale <sup>25</sup>
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
03/07/23	7.000	894.38	Various	761.13	...	133.25	Total of 6 transactions
05/22/23	2.000	296.28	Various	202.38	...	93.90	Total of 2 transactions
07/07/23	10.000	1,450.73	Various	1,067.31	...	383.42	Total of 8 transactions
	<b>Security total:</b>	<b>2,641.39</b>		<b>2,030.82</b>	...	<b>610.57</b>	
CRYOPORT, INC. COMMON STOCK / CUSIP: 229050307 / Symbol:							
08/15/23	50.114	654.02	Various	978.86	...	-324.84	Total of 8 transactions
DANIMER SCIENTIFIC, INC. / CUSIP: 236272100 / Symbol:							
08/16/23	100.000	198.98	Various	201.63	...	-2.65	Total of 5 transactions
DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
05/19/23	5.000	470.50	06/08/22	552.50	...	-82.00	Sale <sup>25</sup>
06/07/23	5.000	491.22	06/08/22	552.50	...	-61.28	Sale <sup>25</sup>
07/17/23	5.000	566.84	Various	527.55	...	39.29	Total of 4 transactions
07/26/23	0.086	9.44	04/10/23	5.52	...	3.92	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,538.00</b>		<b>1,638.07</b>	...	<b>-100.07</b>	
DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol:							
08/10/23	45.000	434.86	Various	619.33	...	-184.47	Total of 9 transactions

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DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol:							
03/27/23	35.000	562.09	Various	377.66	...	184.43	Total of 6 transactions
04/03/23	20.000	355.80	Various	190.93	...	164.87	Total of 2 transactions
05/26/23	10.000	201.43	Various	90.58	...	110.85	Total of 2 transactions
	<b>Security total:</b>	<b>1,119.32</b>		<b>659.17</b>	...	<b>460.15</b>	
DIREXION DAILY SMALL CAP BEAR 3X SHARES / CUSIP: 25460E232 / Symbol:							
03/30/23	10.139	323.35	Various	288.80	...	34.55	Total of 3 transactions
DIREXION DAILY SEMICONDUCTOR B EAR 3X SHARES / CUSIP: 25460G336 / Symbol:							
06/07/23	40.000	445.60	Various	785.16	...	-339.56	Total of 10 transactions
06/07/23	0.260	2.90	01/27/23	6.19	3.29 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>448.50</b>		<b>791.35</b>	<b>3.29 W</b>	<b>-339.56</b>	
DIREXION DAILY S&P BIOTECH BEA R 3X SHARES / CUSIP: 25460G716 / Symbol:							
03/30/23	25.000	513.12	Various	335.58	...	177.54	Total of 3 transactions
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
02/08/23	4.792	575.01	Various	515.95	...	59.06	Total of 4 transactions
02/08/23	0.208	24.97	08/19/22	25.00	0.03 W	0.00	Sale <sup>25</sup>
07/17/23	22.987	1,990.71	Various	2,091.27	...	-100.56	Total of 16 transactions
07/17/23	32.013	2,779.40	Various	3,181.22	364.86 W	-36.96	Total of 22 transactions
07/21/23	0.302	26.39	07/03/23	32.96	...	-6.57	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,396.48</b>		<b>5,846.40</b>	<b>364.89 W</b>	<b>-85.03</b>	
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
07/31/23	5.879	316.41	Various	276.58	...	39.83	Total of 4 transactions
08/03/23	0.048	2.49	03/10/23	2.42	...	0.07	Sale <sup>25</sup>
	<b>Security total:</b>	<b>318.90</b>		<b>279.00</b>	...	<b>39.90</b>	
DOMINO'S PIZZA INC. / CUSIP: 25754A201 / Symbol:							
03/08/23	4.083	1,270.46	Various	1,252.25	...	18.21	Total of 5 transactions
DOXIMITY, INC. / CUSIP: 26622P107 / Symbol:							
08/09/23	0.820	20.58	02/10/23	26.06	...	-5.48	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
 Robinhood Securities LLC  
 2023 1099-B\* OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions  
 (continued)

Account 125613661  
 02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
08/17/23	50.000	38.00	Various	26.57	...	11.43	Total of 2 transactions
ENPHASE ENERGY, INC. COMMON STOCK / CUSIP: 29355A107 / Symbol:							
06/02/23	3.000	546.38	Various	594.61	...	-48.23	Total of 2 transactions
06/02/23	3.000	546.39	Various	621.62	49.28 W	-25.95	Total of 3 transactions
08/10/23	25.000	3,457.60	Various	3,999.93	...	-542.33	Total of 17 transactions
08/10/23	0.011	1.45	04/03/23	2.18	0.73 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,551.82</b>		<b>5,218.34</b>	<b>50.01 W</b>	<b>-616.51</b>	
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol:							
08/03/23	0.032	2.71	08/16/22	3.87	...	-1.16	Sale <sup>25</sup>
EXACT SCIENCES CORPORATION COMMON STOCK / CUSIP: 30063P105 / Symbol:							
05/17/23	19.891	1,564.63	Various	939.39	...	625.24	Total of 8 transactions
EXELA TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 30162V805 / Symbol:							
07/19/23	0.625	3.24	Various	188.31	...	-185.07	Total of 3 transactions
META PLATFORMS, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
02/03/23	4.000	762.58	Various	645.53	...	117.05	Total of 4 transactions
02/07/23	5.000	950.02	Various	826.68	...	123.34	Total of 5 transactions
03/14/23	5.000	954.99	Various	806.00	...	148.99	Total of 7 transactions
04/04/23	5.000	1,069.99	Various	728.92	...	341.07	Total of 5 transactions
04/12/23	10.000	2,134.33	Various	1,195.62	...	938.71	Total of 8 transactions
04/19/23	4.000	864.95	Various	439.43	...	425.52	Total of 6 transactions
04/26/23	1.000	230.11	Various	119.57	...	110.54	Total of 2 transactions
07/26/23	0.673	200.62	Various	130.79	...	69.83	Total of 6 transactions
	<b>Security total:</b>	<b>7,167.59</b>		<b>4,892.54</b>	...	<b>2,275.05</b>	
FIDELITY NATIONAL INFORMATION SERVICES, INC. / CUSIP: 31620M106 / Symbol:							
02/15/23	15.000	994.62	Various	1,040.08	...	-45.46	Total of 3 transactions
1STDIBS.COM, INC. COMMON STOCK / CUSIP: 320551104 / Symbol:							
08/10/23	47.053	180.73	Various	207.94	...	-27.21	Total of 4 transactions

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Robinhood Markets Inc. as agent for  
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Account 125613661

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

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FIRST TRUST CLOUD COMPUTING ET F / CUSIP: 33734X192 / Symbol:							
04/04/23	4.639	306.00	Various	330.46	...	-24.46	Total of 3 transactions
04/04/23	10.361	683.39	Various	807.35	58.16 W	-65.80	Total of 2 transactions
05/16/23	17.283	1,132.17	Various	1,048.05	...	84.12	Total of 9 transactions
05/16/23	2.717	178.01	Various	185.43	7.42 W	0.00	Total of 2 transactions
06/06/23	10.000	752.09	Various	599.24	...	152.85	Total of 7 transactions
08/03/23	1.031	80.71	Various	66.14	...	14.57	Total of 3 transactions
	<b>Security total:</b>	<b>3,132.37</b>		<b>3,036.67</b>	<b>65.58 W</b>	<b>161.28</b>	
FIRST TRUST EXCHANGE-TRADED FU ND II FIRST TRUST NASDAQ CYBER SECURITY ETF / CUSIP: 33734X846 / Symbol:							
05/16/23	19.987	813.38	Various	810.39	...	2.99	Total of 13 transactions
05/16/23	10.000	406.96	11/14/22	408.30	0.07 W	-1.27	Sale <sup>25</sup>
08/03/23	0.849	39.27	Various	35.83	...	3.44	Total of 2 transactions
	<b>Security total:</b>	<b>1,259.61</b>		<b>1,254.52</b>	<b>0.07 W</b>	<b>5.16</b>	
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
08/10/23	100.000	249.99	Various	131.36	...	118.63	Total of 4 transactions
GITLAB INC. CLASS A COMMON STO CK / CUSIP: 37637K108 / Symbol:							
02/02/23	5.000	287.35	02/10/22	397.50	110.15 W	0.00	Sale <sup>25</sup>
06/15/23	5.000	253.51	Various	178.95	...	74.56	Total of 3 transactions
07/07/23	10.000	495.54	Various	372.60	...	122.94	Total of 5 transactions
07/10/23	10.000	502.42	Various	340.16	...	162.26	Total of 2 transactions
07/31/23	30.000	1,496.98	Various	947.13	...	549.85	Total of 7 transactions
	<b>Security total:</b>	<b>3,035.80</b>		<b>2,236.34</b>	<b>110.15 W</b>	<b>909.61</b>	
THE GLIMPSE GROUP, INC. COMMON STOCK / CUSIP: 37892C106 / Symbol:							
08/10/23	100.000	280.99	Various	622.50	...	-341.51	Total of 2 transactions
GLOBAL X FUNDS GLOBAL X SOCIAL MEDIA ETF / CUSIP: 37950E416 / Symbol:							
02/08/23	10.078	377.23	Various	321.79	...	55.44	Total of 8 transactions
GOHEALTH, INC. CLASS A COMMON STOCK / CUSIP: 38046W204 / Symbol:							
08/09/23	4.000	75.24	10/20/22	21.13	...	54.11	Sale <sup>25</sup>
GREEN DOT CORPORATION / CUSIP: 39304D102 / Symbol:							
08/10/23	40.000	635.80	Various	770.23	...	-134.43	Total of 6 transactions

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Account 125613661

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HELLO GROUP INC. AMERICAN DEPO SITARY SHARES / CUSIP: 423403104 / Symbol:							
07/26/23	4.581	47.00	05/24/23	39.12	...	7.88	Sale <sup>25</sup>
HOME DEPOT, INC. / CUSIP: 437076102 / Symbol:							
08/03/23	0.592	196.27	11/15/22	184.42	...	11.85	Sale <sup>25</sup>
THE HONEST COMPANY, INC. COMMO N STOCK / CUSIP: 438333106 / Symbol:							
08/10/23	225.000	309.21	Various	612.51	...	-303.30	Total of 7 transactions
HUMANIGEN INC COM NEW / CUSIP: 444863203 / Symbol:							
07/28/23	75.000	1.01	08/29/22	17.75	...	-16.74	Sale <sup>25</sup>
IMPERALIS HOLDING CORP. COMMON STOCK / CUSIP: 45257M106 / Symbol:							
07/19/23	73.333	1.75	06/23/23	2.57	...	-0.82	Sale <sup>25</sup>
INTELLIA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 45826J105 / Symbol:							
08/10/23	14.720	580.04	Various	554.66	...	25.38	Total of 6 transactions
INTUIT INC. COMMON STOCK / CUSIP: 461202103 / Symbol:							
03/06/23	1.000	412.82	02/24/23	423.10	...	-10.28	Sale <sup>25</sup>
INVITAE CORPORATION / CUSIP: 46185L103 / Symbol:							
02/06/23	100.000	247.99	Various	238.02	...	9.97	Total of 4 transactions
ISHARES MSCI CHINA ETF / CUSIP: 46429B671 / Symbol:							
01/05/23	10.000	519.59	07/11/22	532.35	1.23 W	-11.53	Sale <sup>25</sup>
01/06/23	6.000	308.94	07/20/22	313.95	...	-5.01	Sale <sup>25</sup>
01/09/23	15.000	787.41	Various	746.79	...	40.62	Total of 5 transactions
04/24/23	20.000	939.96	Various	974.40	...	-34.44	Total of 4 transactions
05/16/23	20.542	973.49	Various	805.93	...	167.56	Total of 9 transactions
	<b>Security total:</b>	<b>3,529.39</b>		<b>3,373.42</b>	<b>1.23 W</b>	<b>157.20</b>	
JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol:							
02/02/23	10.211	1,424.77	Various	1,247.10	...	177.67	Total of 6 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

Account 125613661

(continued)

02/12/2024

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JPMORGAN EQUITY PREMIUM INCOME ETF / CUSIP: 46641Q332 / Symbol:							
03/31/23	80.000	4,357.62	Various	4,380.59	...	-22.97	Total of 15 transactions
03/31/23	0.730	39.77	01/27/23	40.18	0.41 W	0.00	Sale <sup>25</sup>
08/03/23	0.006	0.35	03/07/23	0.34	...	0.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,397.74</b>		<b>4,421.11</b>	<b>0.41 W</b>	<b>-22.96</b>	
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
08/17/23	50.000	154.99	Various	202.87	...	-47.88	Total of 2 transactions
KINDER MORGAN, INC. / CUSIP: 49456B101 / Symbol:							
04/10/23	100.000	1,773.19	Various	1,759.97	...	13.22	Total of 4 transactions
KINGSOFT CLOUD HOLDINGS LIMITE D AMERICAN DEPOSITARY SHARES / CUSIP: 49639K101 / Symbol:							
08/10/23	129.559	764.39	Various	386.11	...	378.28	Total of 5 transactions
08/14/23	0.871	4.83	05/26/23	3.21	...	1.62	Sale <sup>25</sup>
	<b>Security total:</b>	<b>769.22</b>		<b>389.32</b>	...	<b>379.90</b>	
KRANESHARES CSI CHINA INTERNET ETF / CUSIP: 500767306 / Symbol:							
01/04/23	30.000	1,016.75	Various	649.18	...	367.57	Total of 8 transactions
08/03/23	0.787	24.35	01/30/23	27.03	2.68 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,041.10</b>		<b>676.21</b>	<b>2.68 W</b>	<b>367.57</b>	
KYMERA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 501575104 / Symbol:							
08/15/23	15.000	298.65	01/18/23	530.48	...	-231.83	Sale <sup>25</sup>
LENDINGCLUB CORPORATION / CUSIP: 52603A208 / Symbol:							
02/06/23	15.000	143.25	01/11/23	134.24	...	9.01	Sale <sup>25</sup>
07/19/23	50.000	533.74	Various	477.10	...	56.64	Total of 3 transactions
	<b>Security total:</b>	<b>676.99</b>		<b>611.34</b>	...	<b>65.65</b>	
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
01/27/23	17.999	287.98	04/01/22	439.56	...	-151.58	Sale <sup>25</sup>
08/17/23	165.027	1,043.44	Various	1,630.37	...	-586.93	Total of 25 transactions
	<b>Security total:</b>	<b>1,331.42</b>		<b>2,069.93</b>	...	<b>-738.51</b>	
MACROGENICS, INC. COMMON STOCK / CUSIP: 556099109 / Symbol:							
02/02/23	50.000	297.00	Various	170.27	...	126.73	Total of 4 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

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MARVELL TECHNOLOGY, INC. COMMO N STOCK / CUSIP: 573874104 / Symbol:							
05/26/23	15.000	944.42	Various	651.83	...	292.59	Total of 6 transactions
05/30/23	10.000	677.88	Various	419.46	...	258.42	Total of 6 transactions
06/02/23	10.153	613.19	Various	391.07	...	222.12	Total of 7 transactions
	<b>Security total:</b>	<b>2,235.49</b>		<b>1,462.36</b>	...	<b>773.13</b>	
MATCH GROUP, INC. COMMON STOCK / CUSIP: 57667L107 / Symbol:							
07/19/23	15.000	722.54	Various	803.98	...	-81.44	Total of 8 transactions
08/10/23	20.000	904.45	Various	770.67	...	133.78	Total of 7 transactions
	<b>Security total:</b>	<b>1,626.99</b>		<b>1,574.65</b>	...	<b>52.34</b>	
MATTERPORT, INC. CLASS A COMMO N STOCK / CUSIP: 577096100 / Symbol:							
08/10/23	85.000	237.20	Various	241.75	...	-4.55	Total of 4 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
02/14/23	12.106	3,270.77	Various	3,012.86	...	257.91	Total of 17 transactions
07/26/23	0.101	33.75	02/24/23	25.00	...	8.75	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,304.52</b>		<b>3,037.86</b>	...	<b>266.66</b>	
MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol:							
04/12/23	7.000	435.54	Various	389.12	...	46.42	Total of 2 transactions
04/17/23	8.000	500.00	Various	445.35	...	54.65	Total of 2 transactions
	<b>Security total:</b>	<b>935.54</b>		<b>834.47</b>	...	<b>101.07</b>	
MOLECULAR TEMPLATES, INC. COMM ON STOCK / CUSIP: 608550208 / Symbol:							
08/15/23	3.333	27.88	07/28/23	27.49	...	0.39	Sale <sup>25</sup>
MONGODB, INC. CLASS A COMMON S TOCK / CUSIP: 60937P106 / Symbol:							
05/18/23	2.000	580.71	09/28/22	405.59	...	175.12	Sale <sup>25</sup>
06/01/23	3.000	1,030.48	Various	506.47	...	524.01	Total of 4 transactions
06/02/23	2.247	859.15	Various	343.11	...	516.04	Total of 4 transactions
	<b>Security total:</b>	<b>2,470.34</b>		<b>1,255.17</b>	...	<b>1,215.17</b>	
MULLEN AUTOMOTIVE, INC. COMMON STOCK / CUSIP: 62526P208 / Symbol:							
07/28/23	8.000	0.96	Various	118.30	...	-117.34	Total of 3 transactions

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
08/04/23	50.000	139.61	01/17/23	126.25	...	13.36	Sale <sup>25</sup>
NCINO, INC. COMMON STOCK / CUSIP: 63947X101 / Symbol:							
08/10/23	20.000	584.79	Various	485.24	...	99.55	Total of 3 transactions
NEOGENOMICS, INC. COMMON STOCK / CUSIP: 64049M209 / Symbol:							
02/10/23	20.000	243.70	06/24/22	187.40	...	56.30	Sale <sup>25</sup>
03/06/23	30.000	531.03	Various	318.36	...	212.67	Total of 7 transactions
	<b>Security total:</b>	<b>774.73</b>		<b>505.76</b>	...	<b>268.97</b>	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
05/19/23	1.693	624.36	Various	515.20	...	109.16	Total of 8 transactions
07/26/23	0.148	61.83	Various	48.59	...	13.24	Total of 2 transactions
	<b>Security total:</b>	<b>686.19</b>		<b>563.79</b>	...	<b>122.40</b>	
NIKE, INC. / CUSIP: 654106103 / Symbol:							
01/17/23	10.000	1,285.77	Various	1,130.61	...	155.16	Total of 5 transactions
08/03/23	0.020	2.19	08/31/22	2.13	...	0.06	Sale <sup>25</sup>
08/03/23	0.015	1.60	09/12/22	1.65	0.05 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,289.56</b>		<b>1,134.39</b>	<b>0.05 W</b>	<b>155.22</b>	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
08/04/23	10.000	29.30	10/20/22	29.46	...	-0.16	Sale <sup>25</sup>
9 METERS BIOPHARMA INC COMMON STOCK / CUSIP: 654405208 / Symbol:							
07/19/23	5.000	0.84	07/21/22	24.55	...	-23.71	Sale <sup>25</sup>
NIU TECHNOLOGIES AMERICAN DEPO SITARY SHARES / CUSIP: 65481N100 / Symbol:							
08/10/23	104.060	395.40	Various	317.15	...	78.25	Total of 6 transactions
08/14/23	0.940	3.23	03/20/23	3.44	...	-0.21	Sale <sup>25</sup>
	<b>Security total:</b>	<b>398.63</b>		<b>320.59</b>	...	<b>78.04</b>	
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
08/15/23	20.000	143.27	Various	527.96	...	-384.69	Total of 2 transactions

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02/12/2024

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NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
01/17/23	5.000	874.12	Various	686.65	...	187.47	Total of 5 transactions
01/18/23	5.000	873.97	Various	648.54	...	225.43	Total of 5 transactions
01/23/23	4.235	792.22	Various	511.21	...	281.01	Total of 6 transactions
01/23/23	0.765	143.15	Various	176.04	32.89 W	0.00	Total of 4 transactions
04/12/23	0.307	83.01	Various	43.69	...	39.32	Total of 2 transactions
05/25/23	0.398	150.45	Various	100.18	...	50.27	Total of 5 transactions
	<b>Security total:</b>	<b>2,916.92</b>		<b>2,166.31</b>	<b>32.89 W</b>	<b>783.50</b>	
OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
08/10/23	19.102	1,375.28	Various	1,147.59	...	227.69	Total of 11 transactions
08/14/23	0.058	4.22	11/30/22	2.95	...	1.27	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,379.50</b>		<b>1,150.54</b>	...	<b>228.96</b>	
OPENDOOR TECHNOLOGIES INC COMM ON STOCK / CUSIP: 683712103 / Symbol:							
08/10/23	235.000	813.92	Various	771.02	...	42.90	Total of 11 transactions
ORGANIGRAM HOLDINGS INC. COMM O N SHARES / CUSIP: 68620P705 / Symbol:							
08/15/23	102.500	135.48	Various	318.05	...	-182.57	Total of 2 transactions
PACIFIC BIOSCIENCES OF CALIFOR NIA, INC. COMMON STOCK / CUSIP: 69404D108 / Symbol:							
01/25/23	1.000	11.17	01/10/23	10.29	...	0.88	Sale <sup>25</sup>
PAYCHEX, INC. COMMON STOCK / CUSIP: 704326107 / Symbol:							
04/17/23	0.862	93.31	Various	104.49	...	-11.18	Total of 7 transactions
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
07/26/23	0.066	4.83	07/07/23	4.45	...	0.38	Sale <sup>25</sup>
PERSONALIS, INC. COMMON STOCK / CUSIP: 71535D106 / Symbol:							
08/17/23	85.000	151.46	Various	266.80	...	-115.34	Total of 5 transactions
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
07/19/23	25.831	336.58	Various	287.59	...	48.99	Total of 4 transactions
PRAXIS PRECISION MEDICINES, IN C. COMMON STOCK / CUSIP: 74006W108 / Symbol:							
01/18/23	20.000	87.90	01/10/23	53.30	...	34.60	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 125613661

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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T. ROWE PRICE GROUP, INC. COMM ON STOCK / CUSIP: 74144T108 / Symbol:							
08/03/23	0.292	34.33	01/23/23	34.84	0.51 W	0.00	Sale <sup>25</sup>
PROSHARES ULTRAPRO SHORT QQQ / CUSIP: 74347G432 / Symbol:							
01/26/23	30.000	1,226.07	Various	1,274.80	48.73 W	0.00	Total of 2 transactions
01/30/23	30.000	1,208.96	Various	1,274.61	65.65 W	0.00	Total of 4 transactions
05/26/23	40.000	971.54	Various	1,446.43	...	-474.89	Total of 9 transactions
05/26/23	25.000	608.25	Various	1,024.76	416.51 W	0.00	Total of 3 transactions
06/01/23	20.000	445.67	Various	629.62	...	-183.95	Total of 5 transactions
07/11/23	30.000	582.73	Various	1,284.92	...	-702.19	Total of 6 transactions
	<b>Security total:</b>	<b>5,043.22</b>		<b>6,935.14</b>	<b>530.89 W</b>	<b>-1,361.03</b>	
QUALCOMM INCORPORATED COMMON S TOCK / CUSIP: 747525103 / Symbol:							
01/09/23	7.000	806.45	Various	840.56	...	-34.11	Total of 3 transactions
01/09/23	3.000	345.62	Various	376.30	20.79 W	-9.89	Total of 2 transactions
01/11/23	2.000	231.42	Various	247.83	...	-16.41	Total of 2 transactions
	<b>Security total:</b>	<b>1,383.49</b>		<b>1,464.69</b>	<b>20.79 W</b>	<b>-60.41</b>	
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
08/09/23	15.000	116.18	Various	143.75	...	-27.57	Total of 2 transactions
RIOT PLATFORMS, INC. COMMON ST OCK / CUSIP: 767292105 / Symbol:							
07/07/23	15.000	227.40	10/25/22	100.79	...	126.61	Sale <sup>25</sup>
07/11/23	5.000	86.56	10/25/22	33.59	...	52.97	Sale <sup>25</sup>
07/13/23	10.000	195.20	10/25/22	67.19	...	128.01	Sale <sup>25</sup>
07/14/23	20.000	384.70	10/25/22	134.38	...	250.32	Sale <sup>25</sup>
	<b>Security total:</b>	<b>893.86</b>		<b>335.95</b>	<b>...</b>	<b>557.91</b>	
RITE AID CORP COM PAR \$1.00 / CUSIP: 767754872 / Symbol:							
05/15/23	100.000	185.56	03/27/23	215.50	...	-29.94	Sale <sup>25</sup>
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
08/17/23	164.016	3,493.76	Various	2,828.63	...	665.13	Total of 32 transactions
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
08/10/23	42.564	460.20	Various	403.11	...	57.09	Total of 6 transactions

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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

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ROBLOX CORPORATION / CUSIP: 771049103 / Symbol:							
05/22/23	8.672	350.78	Various	264.05	...	86.73	Total of 6 transactions
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
08/10/23	12.000	957.90	Various	590.99	...	366.91	Total of 4 transactions
SALESFORCE, INC. / CUSIP: 79466L302 / Symbol:							
03/03/23	7.005	1,311.55	Various	1,408.64	...	-97.09	Total of 11 transactions
03/03/23	0.118	22.23	04/05/22	24.74	2.51 W	0.00	Sale <sup>25</sup>
04/24/23	5.000	985.34	Various	914.55	...	70.79	Total of 4 transactions
05/03/23	5.000	966.39	Various	839.99	...	126.40	Total of 4 transactions
07/26/23	8.222	1,843.94	Various	1,175.15	...	668.79	Total of 12 transactions
<b>Security total:</b>		<b>5,129.45</b>		<b>4,363.07</b>	<b>2.51 W</b>	<b>768.89</b>	
THE CHARLES SCHWAB CORPORATION / CUSIP: 808513105 / Symbol:							
04/17/23	25.000	1,299.60	Various	1,261.71	...	37.89	Total of 4 transactions
04/17/23	25.000	1,299.62	Various	1,360.75	61.13 W	0.00	Total of 4 transactions
07/17/23	5.000	296.22	03/28/23	269.88	...	26.34	Sale <sup>25</sup>
07/18/23	15.000	987.97	Various	815.19	...	172.78	Total of 4 transactions
07/26/23	0.254	16.92	06/20/23	13.67	...	3.25	Sale <sup>25</sup>
<b>Security total:</b>		<b>3,900.33</b>		<b>3,721.20</b>	<b>61.13 W</b>	<b>240.26</b>	
SCHWAB US DIVIDEND EQUITY ETF / CUSIP: 808524797 / Symbol:							
01/09/23	2.321	181.08	Various	178.91	...	2.17	Total of 2 transactions
01/09/23	14.679	1,145.48	11/23/22	1,148.67	3.19 W	0.00	Sale <sup>25</sup>
07/11/23	16.673	1,217.30	Various	1,286.32	...	-69.02	Total of 5 transactions
07/11/23	15.327	1,119.00	Various	1,175.60	4.63 W	-51.97	Total of 5 transactions
07/13/23	99.000	7,298.54	Various	7,284.68	...	13.86	Total of 22 transactions
08/03/23	1.013	75.62	Various	75.92	...	-0.30	Total of 5 transactions
<b>Security total:</b>		<b>11,037.02</b>		<b>11,150.10</b>	<b>7.82 W</b>	<b>-105.26</b>	
THE SCOTTS MIRACLE-GRO COMPANY / CUSIP: 810186106 / Symbol:							
03/07/23	5.000	393.81	01/03/23	247.45	...	146.36	Sale <sup>25</sup>
08/15/23	10.545	534.95	Various	634.62	...	-99.67	Total of 6 transactions
<b>Security total:</b>		<b>928.76</b>		<b>882.07</b>	...	<b>46.69</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

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SEA LIMITED AMERICAN DEPOSITAR Y SHARES, EACH REPRESENTING ON E CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol:							
02/02/23	2.000	143.36	Various	310.73	167.37 W	0.00	Total of 2 transactions
02/03/23	3.000	215.25	04/07/22	465.51	41.71 W	-208.55	Sale <sup>25</sup>
03/09/23	9.564	753.42	Various	854.27	...	-100.85	Total of 6 transactions
05/16/23	3.000	233.55	Various	231.43	...	2.12	Total of 2 transactions
05/16/23	2.000	155.70	08/16/22	159.35	3.65 W	0.00	Sale <sup>25</sup>
08/10/23	17.948	1,029.40	Various	1,050.46	...	-21.06	Total of 10 transactions
	<b>Security total:</b>	<b>2,530.68</b>		<b>3,071.75</b>	<b>212.73 W</b>	<b>-328.34</b>	
FINANCIAL SELECT SECTOR SPDR F UND / CUSIP: 81369Y605 / Symbol:							
07/11/23	98.587	3,349.43	Various	3,388.74	...	-39.31	Total of 22 transactions
SELECTQUOTE, INC. / CUSIP: 816307300 / Symbol:							
08/10/23	100.000	157.08	Various	139.70	...	17.38	Total of 2 transactions
GENEDX HOLDINGS CORP. CLASS A COMMON STOCK / CUSIP: 81663L200 / Symbol:							
08/09/23	5.444	32.28	Various	280.42	...	-248.14	Total of 4 transactions
SENTINELONE, INC. / CUSIP: 81730H109 / Symbol:							
05/19/23	5.000	94.98	05/23/22	117.20	...	-22.22	Sale <sup>25</sup>
08/10/23	77.000	1,153.42	Various	1,290.59	...	-137.17	Total of 11 transactions
	<b>Security total:</b>	<b>1,248.40</b>		<b>1,407.79</b>	...	<b>-159.39</b>	
SHARING SERVICES GLOBAL CORP. COMMON STOCK / CUSIP: 819536103 / Symbol:							
07/19/23	600.000	3.27	04/27/23	0.00	...	3.27	Sale <sup>25</sup>
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
02/03/23	5.000	268.85	09/08/22	154.20	...	114.65	Sale <sup>25</sup>
02/08/23	5.000	255.75	09/16/22	157.60	...	98.15	Sale <sup>25</sup>
03/09/23	15.000	664.80	Various	439.67	...	225.13	Total of 7 transactions
05/12/23	5.000	306.27	Various	181.22	...	125.05	Total of 2 transactions
05/19/23	20.614	1,243.96	Various	877.66	...	366.30	Total of 9 transactions
	<b>Security total:</b>	<b>2,739.63</b>		<b>1,810.35</b>	...	<b>929.28</b>	
SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol:							
01/20/23	0.055	0.32	Various	0.34	...	-0.02	Total of 6 transactions

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SMILEDIRECTCLUB INC CL A / CUSIP: 83192H106 / Symbol:							
08/10/23	250.000	141.52	Various	160.49	...	-18.97	Total of 6 transactions
SNAP INC. / CUSIP: 83304A106 / Symbol:							
08/10/23	70.281	730.30	Various	638.20	...	92.10	Total of 8 transactions
SNDL INC. COMMON SHARES / CUSIP: 83307B101 / Symbol:							
08/14/23	70.000	114.36	Various	195.52	...	-81.16	Total of 4 transactions
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
02/15/23	1.548	269.78	Various	239.90	...	29.88	Total of 2 transactions
04/04/23	5.000	769.72	Various	644.00	...	125.72	Total of 4 transactions
05/17/23	2.740	469.43	Various	355.87	...	113.56	Total of 3 transactions
05/19/23	1.961	357.60	Various	269.90	...	87.70	Total of 2 transactions
	<b>Security total:</b>	<b>1,866.53</b>		<b>1,509.67</b>	...	<b>356.86</b>	
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
02/06/23	55.002	1,988.99	Various	2,009.37	...	-20.38	Total of 18 transactions
08/03/23	0.574	18.71	03/14/23	17.98	...	0.73	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,007.70</b>		<b>2,027.35</b>	...	<b>-19.65</b>	
SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L407 / Symbol:							
08/09/23	9.000	18.72	07/28/23	22.05	...	-3.33	Sale <sup>25</sup>
SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol:							
03/06/23	1.000	103.78	11/15/22	85.99	...	17.79	Sale <sup>25</sup>
BLOCK, INC. / CUSIP: 852234103 / Symbol:							
08/17/23	25.019	1,418.63	Various	1,650.77	...	-232.14	Total of 12 transactions
STANLEY BLACK & DECKER, INC. / CUSIP: 854502101 / Symbol:							
08/03/23	0.193	19.26	01/20/23	16.56	...	2.70	Sale <sup>25</sup>

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STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol:							
04/13/23	6.369	673.17	Various	632.67	...	40.50	Total of 10 transactions
04/13/23	3.631	383.82	Various	391.51	7.69 W	0.00	Total of 2 transactions
08/03/23	0.287	29.41	Various	29.22	...	0.19	Total of 3 transactions
	<b>Security total:</b>	<b>1,086.40</b>		<b>1,053.40</b>	<b>7.69 W</b>	<b>40.69</b>	
SWEETGREEN, INC. / CUSIP: 87043Q108 / Symbol:							
05/23/23	50.000	471.60	Various	573.11	...	-101.51	Total of 7 transactions
07/27/23	15.000	200.25	Various	118.89	...	81.36	Total of 3 transactions
	<b>Security total:</b>	<b>671.85</b>		<b>692.00</b>	...	<b>-20.15</b>	
TARGET CORPORATION / CUSIP: 87612E106 / Symbol:							
08/03/23	2.198	293.19	Various	347.23	49.16 W	-4.88	Total of 2 transactions
TATTOOED CHEF INC COMMON STOCK / CUSIP: 87663X102 / Symbol:							
07/19/23	150.000	14.25	Various	228.42	...	-214.17	Total of 2 transactions
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
08/10/23	53.491	1,312.30	Various	1,570.50	...	-258.20	Total of 18 transactions
10X GENOMICS, INC. CLASS A COM MON STOCK / CUSIP: 88025U109 / Symbol:							
08/15/23	7.000	345.62	Various	257.52	...	88.10	Total of 3 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
01/17/23	5.000	640.23	Various	935.53	295.30 W	0.00	Total of 6 transactions
01/23/23	5.000	697.48	Various	873.40	175.92 W	0.00	Total of 7 transactions
01/27/23	2.135	375.58	Various	361.30	...	14.28	Total of 2 transactions
01/27/23	2.865	503.89	Various	522.47	18.58 W	0.00	Total of 4 transactions
02/03/23	5.000	983.67	Various	852.23	...	131.44	Total of 5 transactions
02/07/23	5.000	970.10	Various	788.56	...	181.54	Total of 6 transactions
02/16/23	5.000	1,057.35	Various	958.14	...	99.21	Total of 9 transactions
06/07/23	2.000	454.39	Various	343.31	...	111.08	Total of 6 transactions
06/12/23	10.000	2,494.88	Various	1,309.58	...	1,185.30	Total of 10 transactions
06/13/23	10.000	2,526.66	Various	1,273.04	...	1,253.62	Total of 19 transactions
07/03/23	5.000	1,382.07	Various	541.60	...	840.47	Total of 5 transactions
07/07/23	10.000	2,769.86	Various	1,281.15	...	1,488.71	Total of 8 transactions
07/13/23	5.000	1,392.93	Various	961.06	...	431.87	Total of 4 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
07/17/23	5.000	1,440.45	Various	899.75	...	540.70	Total of 6 transactions
07/18/23	5.126	1,490.87	Various	829.16	...	661.71	Total of 8 transactions
	<b>Security total:</b>	<b>19,180.41</b>		<b>12,730.28</b>	<b>489.80 W</b>	<b>6,939.93</b>	
THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol:							
02/15/23	20.000	1,232.13	Various	1,243.26	...	-11.13	Total of 15 transactions
02/15/23	5.000	293.91	Various	344.47	50.56 W	0.00	Total of 3 transactions
04/24/23	8.908	551.46	Various	476.82	...	74.64	Total of 6 transactions
04/24/23	0.092	5.72	09/20/22	5.76	0.04 W	0.00	Sale <sup>25</sup>
06/07/23	5.000	377.58	Various	239.84	...	137.74	Total of 5 transactions
07/10/23	5.000	375.60	Various	223.88	...	151.72	Total of 3 transactions
07/11/23	5.000	410.60	Various	210.94	...	199.66	Total of 4 transactions
07/13/23	5.000	440.25	Various	248.27	...	191.98	Total of 4 transactions
07/17/23	5.000	447.01	Various	243.57	...	203.44	Total of 5 transactions
07/18/23	20.906	1,839.94	Various	1,028.73	...	811.21	Total of 18 transactions
	<b>Security total:</b>	<b>5,974.20</b>		<b>4,265.54</b>	<b>50.60 W</b>	<b>1,759.26</b>	
3M COMPANY / CUSIP: 88579Y101 / Symbol:							
08/03/23	0.220	23.76	03/06/23	24.49	0.73 W	0.00	Sale <sup>25</sup>
TOAST, INC. / CUSIP: 888787108 / Symbol:							
08/08/23	0.822	16.60	09/26/22	15.72	...	0.88	Sale <sup>25</sup>
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
02/16/23	2.817	209.83	Various	430.08	...	-220.25	Total of 3 transactions
08/10/23	13.947	848.84	Various	880.38	...	-31.54	Total of 7 transactions
	<b>Security total:</b>	<b>1,058.67</b>		<b>1,310.46</b>	...	<b>-251.79</b>	
TYSON FOODS, INC. / CUSIP: 902494103 / Symbol:							
07/10/23	30.000	1,527.96	Various	1,555.25	...	-27.29	Total of 2 transactions

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 125613661

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
03/09/23	19.431	652.58	Various	521.03	...	131.55	Total of 8 transactions
05/02/23	10.000	355.95	Various	288.78	...	67.17	Total of 5 transactions
07/26/23	6.282	294.59	Various	202.14	...	92.45	Total of 5 transactions
	<b>Security total:</b>	<b>1,303.12</b>		<b>1,011.95</b>	...	<b>291.17</b>	
UNDER ARMOUR, INC. / CUSIP: 904311107 / Symbol:							
01/09/23	47.000	504.76	Various	410.74	...	94.02	Total of 5 transactions
08/08/23	0.722	5.60	06/28/23	5.15	...	0.45	Sale <sup>25</sup>
	<b>Security total:</b>	<b>510.36</b>		<b>415.89</b>	...	<b>94.47</b>	
UNITY SOFTWARE INC. / CUSIP: 91332U101 / Symbol:							
08/10/23	84.955	3,161.24	Various	2,457.01	...	704.23	Total of 20 transactions
UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol:							
08/04/23	24.500	1,529.35	Various	432.52	...	1,096.83	Total of 6 transactions
VANECK SEMICONDUCTOR ETF / CUSIP: 92189F676 / Symbol:							
05/26/23	2.000	293.72	Various	202.26	...	91.46	Total of 9 transactions
08/03/23	0.396	61.31	Various	46.94	...	14.37	Total of 2 transactions
	<b>Security total:</b>	<b>355.03</b>		<b>249.20</b>	...	<b>105.83</b>	
VANGUARD TOTAL BOND MARKET ETF / CUSIP: 921937835 / Symbol:							
03/14/23	15.000	1,092.22	01/17/23	1,109.18	11.48 W	-5.48	Sale <sup>25</sup>
03/20/23	50.269	3,691.71	Various	3,719.04	...	-27.33	Total of 8 transactions
	<b>Security total:</b>	<b>4,783.93</b>		<b>4,828.22</b>	<b>11.48 W</b>	<b>-32.81</b>	
VANGUARD HIGH DIVIDEND YIELD E TF / CUSIP: 921946406 / Symbol:							
01/25/23	0.000	0.01	11/17/22	0.00	...	0.01	Sale <sup>25</sup>
VANGUARD CHARLOTTE FUNDS VANGU ARD TOTAL INTERNATIONAL BOND E TF / CUSIP: 92203J407 / Symbol:							
01/20/23	5.000	243.63	01/17/23	243.80	...	-0.17	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VEEVA SYSTEMS INC. / CUSIP: 922475108 / Symbol:							
03/06/23	3.000	531.71	Various	511.42	...	20.29	Total of 6 transactions
03/06/23	1.000	177.24	11/18/22	187.50	1.51 W	-8.75	Sale <sup>25</sup>
04/17/23	1.384	246.10	Various	233.08	...	13.02	Total of 4 transactions
	<b>Security total:</b>	<b>955.05</b>		<b>932.00</b>	<b>1.51 W</b>	<b>24.56</b>	
VANGUARD S&P 500 ETF / CUSIP: 922908363 / Symbol:							
03/09/23	0.699	256.97	Various	254.08	...	2.89	Total of 12 transactions
03/09/23	0.137	50.27	08/30/22	52.77	1.22 W	-1.28	Sale <sup>25</sup>
08/03/23	0.264	109.31	Various	101.22	...	8.09	Total of 4 transactions
	<b>Security total:</b>	<b>416.55</b>		<b>408.07</b>	<b>1.22 W</b>	<b>9.70</b>	
VANGUARD GROWTH ETF / CUSIP: 922908736 / Symbol:							
02/06/23	2.246	542.84	Various	526.72	...	16.12	Total of 7 transactions
02/06/23	0.944	228.16	Various	238.79	10.63 W	0.00	Total of 3 transactions
04/04/23	17.000	4,222.76	Various	3,877.50	...	345.26	Total of 15 transactions
05/16/23	5.000	1,271.56	Various	1,148.15	...	123.41	Total of 12 transactions
05/19/23	5.000	1,306.44	Various	1,240.62	...	65.82	Total of 2 transactions
08/03/23	0.293	83.74	Various	76.77	...	6.97	Total of 4 transactions
	<b>Security total:</b>	<b>7,655.50</b>		<b>7,108.55</b>	<b>10.63 W</b>	<b>557.58</b>	
VERIZON COMMUNICATIONS / CUSIP: 92343V104 / Symbol:							
02/10/23	19.433	773.64	Various	755.20	...	18.44	Total of 7 transactions
02/10/23	30.711	1,222.61	Various	1,281.00	58.39 W	0.00	Total of 15 transactions
	<b>Security total:</b>	<b>1,996.25</b>		<b>2,036.20</b>	<b>58.39 W</b>	<b>18.44</b>	
PARAMOUNT GLOBAL CLASS B COMMO N STOCK / CUSIP: 92556H206 / Symbol:							
08/10/23	76.103	1,185.82	Various	1,352.42	...	-166.60	Total of 19 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
08/10/23	30.000	100.60	Various	128.43	...	-27.83	Total of 3 transactions
VOLKSWAGEN A G UNSPONSORED ADR REPRESENT 1/10TH SH / CUSIP: 928662600 / Symbol:							
03/03/23	35.000	670.24	Various	747.80	...	-77.56	Total of 2 transactions
08/04/23	110.000	1,703.32	Various	1,908.72	...	-205.40	Total of 12 transactions
	<b>Security total:</b>	<b>2,373.56</b>		<b>2,656.52</b>	...	<b>-282.96</b>	

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Robinhood Markets Inc. as agent for  
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 2023 1099-B\* OMB No. 1545-0715

**Proceeds from Broker and Barter Exchange Transactions**

Account 125613661

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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WAYFAIR INC. / CUSIP: 94419L101 / Symbol:							
02/02/23	3.000	217.86	09/20/22	131.33	...	86.53	Sale <sup>25</sup>
02/08/23	5.000	316.00	Various	189.90	...	126.10	Total of 2 transactions
08/03/23	18.000	1,587.93	Various	691.31	...	896.62	Total of 5 transactions
	<b>Security total:</b>	<b>2,121.79</b>		<b>1,012.54</b>	...	<b>1,109.25</b>	
WESTWATER RESOURCES, INC. / CUSIP: 961684206 / Symbol:							
08/17/23	50.000	36.34	Various	53.50	...	-17.16	Total of 2 transactions
WEWORK INC. / CUSIP: 96209A104 / Symbol:							
08/09/23	250.000	43.24	03/29/23	181.73	...	-138.49	Sale <sup>25</sup>
WISDOMTREE TRUST WISDOMTREE CL OUD COMPUTING FUND / CUSIP: 97717Y691 / Symbol:							
02/06/23	6.611	198.52	Various	250.08	...	-51.56	Total of 3 transactions
02/06/23	8.389	251.93	Various	325.23	39.56 W	-33.74	Total of 2 transactions
	<b>Security total:</b>	<b>450.45</b>		<b>575.31</b>	<b>39.56 W</b>	<b>-85.30</b>	
WISEKEY INTERNATIONAL HOLDING LTD AMERICAN DEPOSITARY SHARES / CUSIP: 97727L408 / Symbol:							
07/11/23	20.000	88.40	Various	119.00	...	-30.60	Total of 3 transactions
WORKDAY, INC. CLASS A COMMON S TOCK / CUSIP: 98138H101 / Symbol:							
03/09/23	5.000	929.44	Various	843.96	...	85.48	Total of 4 transactions
04/12/23	1.000	191.37	Various	135.79	...	55.58	Total of 2 transactions
04/17/23	1.049	204.01	Various	141.00	...	63.01	Total of 3 transactions
08/03/23	0.348	79.78	Various	75.00	...	4.78	Total of 3 transactions
	<b>Security total:</b>	<b>1,404.60</b>		<b>1,195.75</b>	...	<b>208.85</b>	
WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
01/11/23	4.862	466.19	Various	379.77	...	86.42	Total of 3 transactions
01/17/23	10.000	978.97	Various	701.60	...	277.37	Total of 5 transactions
02/08/23	3.000	321.00	05/06/22	199.70	...	121.30	Sale <sup>25</sup>
02/10/23	7.000	757.31	Various	388.04	...	369.27	Total of 4 transactions
03/03/23	5.000	568.08	Various	261.19	...	306.89	Total of 4 transactions
03/06/23	4.732	547.83	Various	243.24	...	304.59	Total of 4 transactions
08/03/23	1.683	179.07	Various	178.88	...	0.19	Total of 4 transactions
	<b>Security total:</b>	<b>3,818.45</b>		<b>2,352.42</b>	...	<b>1,466.03</b>	

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Account 125613661

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPRUCE POWER HOLDING CORPORATI ON / CUSIP: 9837FR100 / Symbol:							
08/17/23	50.000	40.50	10/20/22	39.90	...	0.60	Sale <sup>25</sup>
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
07/24/23	14.571	233.34	Various	346.95	...	-113.61	Total of 2 transactions
07/26/23	75.000	1,499.84	Various	1,659.05	...	-159.21	Total of 14 transactions
07/28/23	25.000	568.12	Various	360.99	...	207.13	Total of 6 transactions
08/08/23	0.054	0.95	09/29/22	0.67	...	0.28	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,302.25</b>		<b>2,367.66</b>	...	<b>-65.41</b>	
ZSCALER, INC. COMMON STOCK / CUSIP: 98980G102 / Symbol:							
02/08/23	2.000	282.40	11/29/22	258.00	...	24.40	Sale <sup>25</sup>
05/08/23	3.000	321.80	Various	314.71	...	7.09	Total of 4 transactions
05/08/23	6.000	643.62	Various	697.82	54.20 W	0.00	Total of 6 transactions
05/22/23	5.000	648.54	Various	557.56	...	90.98	Total of 3 transactions
07/07/23	20.000	2,836.77	Various	2,102.73	...	734.04	Total of 15 transactions
	<b>Security total:</b>	<b>4,733.13</b>		<b>3,930.82</b>	<b>54.20 W</b>	<b>856.51</b>	
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
08/10/23	10.000	673.49	Various	758.96	...	-85.47	Total of 4 transactions
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277123 / Symbol:							
08/14/23	104.000	42.62	Various	78.05	...	-35.43	Total of 2 transactions
MEDTRONIC PLC / CUSIP: G5960L103 / Symbol:							
08/03/23	0.223	18.94	01/23/23	18.21	...	0.73	Sale <sup>25</sup>
SEALSQ CORP ORDINARY SHARES / CUSIP: G79483106 / Symbol:							
05/25/23	15.000	261.00	05/24/23	0.00	...	261.00	Sale <sup>25</sup>
06/05/23	15.903	171.91	05/24/23	0.00	...	171.91	Sale <sup>25</sup>
	<b>Security total:</b>	<b>432.91</b>		<b>0.00</b>	...	<b>432.91</b>	
VIVOPOWER INTERNATIONAL PLC OR DINARY SHARES / CUSIP: G9376R100 / Symbol:							
08/17/23	50.000	25.49	10/20/22	30.96	...	-5.47	Sale <sup>25</sup>
INMODE LTD. ORDINARY SHARES / CUSIP: M5425M103 / Symbol:							
08/09/23	0.071	3.03	07/11/23	2.88	...	0.15	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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JFROG LTD. ORDINARY SHARES / CUSIP: M6191J100 / Symbol:							
07/19/23	25.000	741.24	11/14/22	593.47	...	147.77	Sale <sup>25</sup>
MONDAY.COM LTD. ORDINARY SHARE S / CUSIP: M7S64H106 / Symbol:							
02/13/23	4.000	559.98	Various	303.35	...	256.63	Total of 3 transactions
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
03/07/23	10.000	733.09	Various	347.31	...	385.78	Total of 2 transactions
03/09/23	5.000	349.10	Various	175.81	...	173.29	Total of 2 transactions
06/12/23	5.000	469.82	07/21/22	178.13	...	291.69	Sale <sup>25</sup>
06/23/23	11.000	1,075.96	Various	389.19	...	686.77	Total of 3 transactions
06/26/23	7.500	716.63	Various	324.86	...	391.77	Total of 7 transactions
07/26/23	0.097	9.68	06/20/23	9.31	...	0.37	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,354.28</b>		<b>1,424.61</b>	...	<b>1,929.67</b>	
<b>Totals :</b>		<b>260,504.85</b>		<b>238,991.54</b>	<b>2,616.14 W</b>	<b>24,129.45</b>	

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AI 01/20/2023 CALL \$40.00 / CUSIP: / Symbol:							
01/20/23	5.000	0.00	Various	4,340.00	...	-4,340.00	Total of 4 transactions
BABA 01/20/2023 CALL \$200.00 / CUSIP: / Symbol:							
01/20/23	2.000	0.00	Various	1,780.00	...	-1,780.00	Total of 2 transactions
BYND 01/20/2023 CALL \$100.00 / CUSIP: / Symbol:							
01/20/23	4.000	0.00	Various	3,495.00	...	-3,495.00	Total of 4 transactions
NIO 01/20/2023 CALL \$100.00 / CUSIP: / Symbol:							
01/20/23	10.000	0.00	Various	2,267.00	...	-2,267.00	Total of 7 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

02/12/2024

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NKLA 01/20/2023 CALL \$12.50 / CUSIP: / Symbol:							
01/20/23	2.000	0.00	08/03/21	850.00	...	-850.00	Option expiration- long call
PSFE1 01/20/2023 CALL \$3.00 / CUSIP: / Symbol:							
01/20/23	5.000	0.00	11/11/21	1,190.00	...	-1,190.00	Option expiration- long call
PSFE1 01/20/2023 CALL \$5.00 / CUSIP: / Symbol:							
01/20/23	2.000	0.00	12/23/21	234.00	...	-234.00	Option expiration- long call
SOLO 01/20/2023 CALL \$7.50 / CUSIP: / Symbol:							
01/20/23	3.000	0.00	11/01/21	252.00	...	-252.00	Option expiration- long call
STNE 01/20/2023 CALL \$25.00 / CUSIP: / Symbol:							
01/20/23	7.000	0.00	Various	3,009.00	...	-3,009.00	Total of 6 transactions
WKHS 01/20/2023 CALL \$15.00 / CUSIP: / Symbol:							
01/20/23	2.000	0.00	10/29/21	288.00	...	-288.00	Option expiration- long call
Z 01/20/2023 CALL \$70.00 / CUSIP: / Symbol:							
01/20/23	1.000	0.00	11/03/21	1,410.00	...	-1,410.00	Option expiration- long call
ALFI INC COMMON SHARES / CUSIP: 00161P109 / Symbol:							
03/17/23	100.000	0.02	12/03/21	749.39	...	-749.37	Sale <sup>25</sup>
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol:							
08/03/23	0.480	22.30	02/17/22	32.75	10.45 W	0.00	Sale <sup>25</sup>
ARK FINTECH INNOVATION ETF / CUSIP: 00214Q708 / Symbol:							
08/03/23	0.076	1.71	02/17/22	2.32	...	-0.61	Sale <sup>25</sup>
AFFIRM HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 00827B106 / Symbol:							
08/10/23	126.822	1,939.43	Various	7,452.62	...	-5,513.19	Total of 30 transactions
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol:							
07/31/23	5.000	759.24	Various	878.98	...	-119.74	Total of 2 transactions
08/08/23	0.236	32.61	02/22/22	38.60	...	-5.99	Sale <sup>25</sup>
	<b>Security total:</b>	<b>791.85</b>		<b>917.58</b>	...	<b>-125.73</b>	

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Robinhood Markets Inc. as agent for  
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2023 1099-B\* OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions  
(continued)

Account 125613661  
02/12/2024

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
07/19/23	4.346	404.08	Various	607.26	...	-203.18	Total of 4 transactions
08/10/23	15.830	1,595.59	Various	2,364.95	...	-769.36	Total of 14 transactions
	<b>Security total:</b>	<b>1,999.67</b>		<b>2,972.21</b>	...	<b>-972.54</b>	
APREA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 03836J201 / Symbol:							
08/09/23	5.000	19.50	Various	232.37	...	-212.87	Total of 2 transactions
ASANA, INC. CLASS A COMMON STOCK / CUSIP: 04342Y104 / Symbol:							
08/10/23	60.226	1,265.21	Various	1,846.56	...	-581.35	Total of 20 transactions
BAIDU, INC. ADS / CUSIP: 056752108 / Symbol:							
02/07/23	5.000	777.98	Various	677.93	...	100.05	Total of 3 transactions
07/18/23	5.164	744.38	Various	838.59	...	-94.21	Total of 6 transactions
07/18/23	0.374	53.97	08/01/22	60.35	6.38 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,576.33</b>		<b>1,576.87</b>	<b>6.38 W</b>	<b>5.84</b>	
AULT ALLIANCE, INC. / CUSIP: 09175M408 / Symbol:							
07/19/23	1.333	5.21	Various	1,112.86	...	-1,107.65	Total of 3 transactions
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
08/10/23	70.000	429.44	Various	3,030.97	...	-2,601.53	Total of 11 transactions
08/17/23	30.265	150.17	Various	749.29	...	-599.12	Total of 9 transactions
	<b>Security total:</b>	<b>579.61</b>		<b>3,780.26</b>	...	<b>-3,200.65</b>	
C3.AI, INC. / CUSIP: 12468P104 / Symbol:							
03/07/23	13.000	340.24	02/16/22	328.56	...	11.68	Sale <sup>25</sup>
05/30/23	10.000	402.40	02/16/22	252.74	...	149.66	Sale <sup>25</sup>
06/02/23	15.000	486.05	Various	312.33	...	173.72	Total of 3 transactions
06/13/23	2.109	92.46	Various	42.54	...	49.92	Total of 2 transactions
06/13/23	2.891	126.79	Various	282.35	155.56 W	0.00	Total of 3 transactions
06/15/23	5.000	231.25	Various	477.33	103.78 W	-142.30	Total of 2 transactions
08/04/23	7.051	269.08	Various	655.66	...	-386.58	Total of 12 transactions
	<b>Security total:</b>	<b>1,948.27</b>		<b>2,351.51</b>	<b>259.34 W</b>	<b>-143.90</b>	
CARDIFF ONCOLOGY, INC. COMMON STOCK / CUSIP: 14147L108 / Symbol:							
08/15/23	300.000	602.94	Various	1,248.50	...	-645.56	Total of 2 transactions

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Account 125613661

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
06/12/23	25.000	369.75	Various	617.46	...	-247.71	Total of 3 transactions
06/23/23	25.000	392.88	Various	564.13	...	-171.25	Total of 4 transactions
06/30/23	25.000	465.75	Various	531.07	...	-65.32	Total of 4 transactions
07/10/23	25.000	479.12	Various	491.53	...	-12.41	Total of 3 transactions
08/08/23	0.550	9.81	01/27/22	10.61	...	-0.80	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,717.31</b>		<b>2,214.80</b>	...	<b>-497.49</b>	
CHEWY, INC. / CUSIP: 16679L109 / Symbol:							
08/10/23	31.739	984.23	Various	3,053.66	...	-2,069.43	Total of 9 transactions
CLOUDFLARE, INC. CLASS A COMMO N STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
02/03/23	0.375	23.15	09/13/22	51.24	28.09 W	0.00	Sale <sup>25</sup>
08/04/23	0.010	0.75	10/14/22	0.58	...	0.17	Sale <sup>25</sup>
	<b>Security total:</b>	<b>23.90</b>		<b>51.82</b>	<b>28.09 W</b>	<b>0.17</b>	
DANIMER SCIENTIFIC, INC. / CUSIP: 236272100 / Symbol:							
08/16/23	200.000	397.97	Various	1,202.48	...	-804.51	Total of 5 transactions
DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
07/11/23	5.000	528.36	Various	452.20	...	76.16	Total of 2 transactions
DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol:							
08/10/23	10.000	96.63	Various	160.25	...	-63.62	Total of 3 transactions
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol:							
05/26/23	5.000	100.71	Various	71.04	...	29.67	Total of 2 transactions
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
07/11/23	22.000	1,119.35	Various	3,131.02	...	-2,011.67	Total of 2 transactions
07/31/23	14.121	759.98	Various	1,741.74	...	-981.76	Total of 8 transactions
	<b>Security total:</b>	<b>1,879.33</b>		<b>4,872.76</b>	...	<b>-2,993.43</b>	
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142V105 / Symbol:							
02/16/23	70.000	1,302.47	Various	1,611.76	...	-309.29	Total of 6 transactions
04/18/23	10.239	205.19	01/24/22	195.65	...	9.54	Sale <sup>25</sup>
04/18/23	14.761	295.80	Various	448.20	52.14 W	-100.26	Total of 3 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142V105 / Symbol: (cont'd)							
05/08/23	30.000	726.44	Various	710.89	...	15.55	Total of 4 transactions
07/26/23	0.143	4.48	03/29/23	4.03	...	0.45	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,534.38</b>		<b>2,970.53</b>	<b>52.14 W</b>	<b>-384.01</b>	
DSS, INC. / CUSIP: 26253C102 / Symbol:							
08/14/23	300.000	70.16	Various	824.32	...	-754.16	Total of 3 transactions
ELECTRAMECCANICA VEHICLES CORP. LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
08/17/23	250.000	189.99	01/25/22	481.98	...	-291.99	Sale <sup>25</sup>
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol:							
08/03/23	0.288	24.52	09/13/22	64.12	...	-39.60	Sale <sup>25</sup>
EXACT SCIENCES CORPORATION COMMON STOCK / CUSIP: 30063P105 / Symbol:							
01/11/23	6.000	397.73	12/31/21	471.78	6.28 W	-67.77	Sale <sup>25</sup>
01/17/23	10.000	686.48	Various	775.62	...	-89.14	Total of 2 transactions
05/12/23	20.000	1,583.20	Various	1,536.17	...	47.03	Total of 2 transactions
05/17/23	5.309	417.63	Various	385.36	...	32.27	Total of 4 transactions
	<b>Security total:</b>	<b>3,085.04</b>		<b>3,168.93</b>	<b>6.28 W</b>	<b>-77.61</b>	
EXELA TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 30162V805 / Symbol:							
07/19/23	0.188	0.97	Various	990.78	...	-989.81	Total of 2 transactions
1STDIBS.COM, INC. COMMON STOCK / CUSIP: 320551104 / Symbol:							
06/01/23	140.000	498.37	Various	2,300.43	...	-1,802.06	Total of 5 transactions
06/01/23	20.000	71.20	10/26/21	337.06	172.81 W	-93.05	Sale <sup>25</sup>
08/10/23	353.194	1,356.56	Various	3,187.23	...	-1,830.67	Total of 22 transactions
	<b>Security total:</b>	<b>1,926.13</b>		<b>5,824.72</b>	<b>172.81 W</b>	<b>-3,725.78</b>	
FIRST TRUST EXCHANGE-TRADED FUND FIRST TRUST NASDAQ CYBER SECURITY ETF / CUSIP: 33734X846 / Symbol:							
05/16/23	0.013	0.54	04/14/22	0.82	0.28 W	0.00	Sale <sup>25</sup>
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
08/08/23	0.987	12.62	02/22/22	19.61	6.99 W	0.00	Sale <sup>25</sup>
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
08/10/23	350.611	876.51	Various	1,267.49	...	-390.98	Total of 17 transactions

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Account 125613661

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GITLAB INC. CLASS A COMMON STO CK / CUSIP: 37637K108 / Symbol:							
03/03/23	5.000	262.00	Various	385.86	123.86 W	0.00	Total of 2 transactions
06/06/23	20.000	937.25	Various	1,078.03	...	-140.78	Total of 6 transactions
06/07/23	5.000	240.88	Various	235.43	...	5.45	Total of 2 transactions
06/15/23	5.000	253.52	Various	250.04	...	3.48	Total of 3 transactions
07/07/23	10.000	495.55	Various	656.77	...	-161.22	Total of 6 transactions
	<b>Security total:</b>	<b>2,189.20</b>		<b>2,606.13</b>	<b>123.86 W</b>	<b>-293.07</b>	
THE GLIMPSE GROUP, INC. COMMON STOCK / CUSIP: 37892C106 / Symbol:							
08/10/23	50.000	140.58	Various	453.50	...	-312.92	Total of 2 transactions
GOHEALTH, INC. CLASS A COMMON STOCK / CUSIP: 38046W204 / Symbol:							
06/06/23	25.000	491.28	Various	901.13	...	-409.85	Total of 7 transactions
08/09/23	24.333	457.73	Various	444.32	...	13.41	Total of 7 transactions
	<b>Security total:</b>	<b>949.01</b>		<b>1,345.45</b>	...	<b>-396.44</b>	
GREEN DOT CORPORATION / CUSIP: 39304D102 / Symbol:							
08/10/23	30.678	487.61	Various	966.51	...	-478.90	Total of 8 transactions
HELLO GROUP INC. AMERICAN DEPO SITARY SHARES / CUSIP: 423403104 / Symbol:							
07/26/23	55.890	573.41	Various	517.03	...	56.38	Total of 4 transactions
HUMANIGEN INC COM NEW / CUSIP: 444863203 / Symbol:							
07/28/23	600.000	8.07	Various	1,183.24	...	-1,175.17	Total of 6 transactions
INTELLIA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 45826J105 / Symbol:							
08/10/23	50.319	1,982.77	Various	5,812.72	...	-3,829.95	Total of 20 transactions
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
08/17/23	150.000	464.97	Various	2,895.23	...	-2,430.26	Total of 8 transactions
KATAPULT HOLDINGS, INC. COMMON STOCK / CUSIP: 485859201 / Symbol:							
08/04/23	5.000	85.50	Various	709.98	...	-624.48	Total of 6 transactions

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KINGSOFT CLOUD HOLDINGS LIMITE D AMERICAN DEPOSITARY SHARES / CUSIP: 49639K101 / Symbol:							
02/02/23	100.000	541.97	Various	1,196.84	...	-654.87	Total of 5 transactions
03/09/23	250.000	1,049.95	Various	3,263.14	...	-2,213.19	Total of 8 transactions
08/10/23	170.441	1,005.61	Various	1,226.26	...	-220.65	Total of 12 transactions
	<b>Security total:</b>	<b>2,597.53</b>		<b>5,686.24</b>	...	<b>-3,088.71</b>	
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
01/27/23	2.952	44.85	Various	131.25	...	-86.40	Total of 3 transactions
01/27/23	19.049	257.17	Various	770.34	397.50 W	-115.67	Total of 3 transactions
08/17/23	115.339	729.28	Various	2,593.83	...	-1,864.55	Total of 19 transactions
	<b>Security total:</b>	<b>1,031.30</b>		<b>3,495.42</b>	<b>397.50 W</b>	<b>-2,066.62</b>	
MACROGENICS, INC. COMMON STOCK / CUSIP: 556099109 / Symbol:							
08/11/23	150.246	883.45	Various	1,377.54	...	-494.09	Total of 12 transactions
MARATHON DIGITAL HOLDINGS, INC . COMMON STOCK / CUSIP: 565788106 / Symbol:							
07/07/23	25.000	410.75	Various	573.94	...	-163.19	Total of 3 transactions
07/11/23	25.000	429.15	Various	376.49	...	52.66	Total of 2 transactions
07/14/23	25.000	461.09	Various	243.36	...	217.73	Total of 2 transactions
	<b>Security total:</b>	<b>1,300.99</b>		<b>1,193.79</b>	...	<b>107.20</b>	
MATTERPORT, INC. CLASS A COMMO N STOCK / CUSIP: 577096100 / Symbol:							
08/10/23	225.000	627.93	Various	2,292.77	...	-1,664.84	Total of 7 transactions
MIRION TECHNOLOGIES, INC. / CUSIP: 60471A101 / Symbol:							
08/15/23	50.000	383.50	Various	478.55	...	-95.05	Total of 2 transactions
MOLECULAR TEMPLATES, INC. COMM ON STOCK / CUSIP: 608550208 / Symbol:							
08/15/23	33.333	278.84	06/08/22	589.10	...	-310.26	Sale <sup>25</sup>
MULLEN AUTOMOTIVE, INC. COMMON STOCK / CUSIP: 62526P208 / Symbol:							
07/28/23	16.000	1.92	Various	467.60	...	-465.68	Total of 5 transactions
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
08/08/23	0.079	1.11	03/28/22	1.62	...	-0.51	Sale <sup>25</sup>
NCINO, INC. COMMON STOCK / CUSIP: 63947X101 / Symbol:							
08/10/23	30.000	877.19	Various	1,471.83	...	-594.64	Total of 3 transactions

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NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
05/19/23	0.197	72.77	Various	109.01	...	-36.24	Total of 2 transactions
05/19/23	0.110	40.60	08/23/22	41.94	0.92 W	-0.42	Sale <sup>25</sup>
07/26/23	0.076	31.62	05/08/23	25.92	...	5.70	Sale <sup>25</sup>
	<b>Security total:</b>	<b>144.99</b>		<b>176.87</b>	<b>0.92 W</b>	<b>-30.96</b>	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
08/04/23	59.300	173.74	Various	1,707.58	...	-1,533.84	Total of 7 transactions
9 METERS BIOPHARMA INC COMMON STOCK / CUSIP: 654405208 / Symbol:							
07/19/23	45.000	7.59	Various	935.49	...	-927.90	Total of 7 transactions
NIU TECHNOLOGIES AMERICAN DEPO SITARY SHARES / CUSIP: 65481N100 / Symbol:							
08/10/23	160.940	611.55	Various	1,916.99	...	-1,305.44	Total of 15 transactions
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
04/12/23	0.693	187.56	Various	134.11	...	53.45	Total of 5 transactions
05/25/23	0.156	58.93	Various	28.76	...	30.17	Total of 2 transactions
	<b>Security total:</b>	<b>246.49</b>		<b>162.87</b>	...	<b>83.62</b>	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
08/15/23	50.000	24.18	07/13/22	142.75	...	-118.57	Sale <sup>25</sup>
OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
08/10/23	20.898	1,504.69	Various	3,171.97	...	-1,667.28	Total of 17 transactions
OPENDOOR TECHNOLOGIES INC COMM ON STOCK / CUSIP: 683712103 / Symbol:							
07/13/23	50.000	241.75	12/30/21	740.50	...	-498.75	Sale <sup>25</sup>
08/01/23	100.000	525.98	12/30/21	1,481.00	...	-955.02	Sale <sup>25</sup>
08/10/23	265.000	919.58	Various	3,264.65	...	-2,345.07	Total of 8 transactions
	<b>Security total:</b>	<b>1,687.31</b>		<b>5,486.15</b>	...	<b>-3,798.84</b>	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
05/19/23	50.000	617.09	01/27/22	617.40	...	-0.31	Sale <sup>25</sup>
05/23/23	50.000	625.38	Various	617.41	...	7.97	Total of 2 transactions
05/30/23	50.000	727.62	Various	636.70	...	90.92	Total of 3 transactions
06/02/23	25.000	358.50	02/11/22	329.75	...	28.75	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 125613661

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOLOGIES INC. CLASS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
06/13/23	25.000	383.25	02/17/22	309.50	...	73.75	Sale <sup>25</sup>
07/31/23	25.000	499.50	Various	278.00	...	221.50	Total of 2 transactions
08/08/23	0.946	15.76	02/22/22	9.88	...	5.88	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,227.10</b>		<b>2,798.64</b>	...	<b>428.46</b>	
PERSONALIS, INC. COMMON STOCK / CUSIP: 71535D106 / Symbol:							
08/17/23	115.000	204.91	Various	623.03	...	-418.12	Total of 3 transactions
PDD HOLDINGS INC. AMERICAN DEPOSITARY SHARES / CUSIP: 722304102 / Symbol:							
01/04/23	15.000	1,337.96	Various	1,350.97	...	-13.01	Total of 4 transactions
01/05/23	5.000	479.12	Various	514.83	...	-35.71	Total of 3 transactions
01/06/23	5.000	468.05	Various	446.94	...	21.11	Total of 2 transactions
	<b>Security total:</b>	<b>2,285.13</b>		<b>2,312.74</b>	...	<b>-27.61</b>	
REDFIN CORPORATION COMMON STOCK / CUSIP: 75737F108 / Symbol:							
07/11/23	25.000	356.55	02/14/22	781.00	...	-424.45	Sale <sup>25</sup>
07/13/23	25.000	422.55	Various	786.75	...	-364.20	Total of 2 transactions
07/19/23	100.000	1,524.97	Various	1,536.76	...	-11.79	Total of 8 transactions
	<b>Security total:</b>	<b>2,304.07</b>		<b>3,104.51</b>	...	<b>-800.44</b>	
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
08/03/23	27.000	726.03	Various	2,860.56	...	-2,134.53	Total of 6 transactions
08/17/23	86.181	1,835.79	Various	6,276.14	...	-4,440.35	Total of 27 transactions
	<b>Security total:</b>	<b>2,561.82</b>		<b>9,136.70</b>	...	<b>-6,574.88</b>	
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
07/19/23	50.000	643.74	Various	2,512.56	1,420.34 W	-448.48	Total of 2 transactions
07/24/23	50.000	638.74	Various	2,040.86	...	-1,402.12	Total of 8 transactions
07/26/23	50.000	623.18	Various	1,736.36	...	-1,113.18	Total of 7 transactions
08/10/23	308.369	3,334.00	Various	4,998.86	...	-1,664.86	Total of 23 transactions
	<b>Security total:</b>	<b>5,239.66</b>		<b>11,288.64</b>	<b>1,420.34 W</b>	<b>-4,628.64</b>	

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC  
2023 1099-B\* OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions  
(continued)

Account 125613661  
02/12/2024

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ROBLOX CORPORATION / CUSIP: 771049103 / Symbol:							
02/15/23	5.000	221.56	Various	337.76	...	-116.20	Total of 2 transactions
05/22/23	31.431	1,271.41	Various	1,503.19	...	-231.78	Total of 14 transactions
	<b>Security total:</b>	<b>1,492.97</b>		<b>1,840.95</b>	...	<b>-347.98</b>	
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
02/16/23	7.000	490.70	Various	1,091.34	...	-600.64	Total of 2 transactions
07/28/23	5.000	422.05	Various	754.64	332.59 W	0.00	Total of 3 transactions
07/31/23	5.000	482.50	Various	710.72	...	-228.22	Total of 2 transactions
08/10/23	38.000	3,033.33	Various	4,381.42	...	-1,348.09	Total of 19 transactions
	<b>Security total:</b>	<b>4,428.58</b>		<b>6,938.12</b>	<b>332.59 W</b>	<b>-2,176.95</b>	
SPDR S&P BIOTECH ETF / CUSIP: 78464A870 / Symbol:							
08/03/23	0.150	12.31	02/02/22	13.97	...	-1.66	Sale <sup>25</sup>
SALESFORCE, INC. / CUSIP: 79466L302 / Symbol:							
03/03/23	1.877	354.85	Various	442.90	...	-88.05	Total of 2 transactions
03/03/23	1.000	189.04	04/11/22	240.15	9.32 W	-41.79	Sale <sup>25</sup>
07/26/23	2.452	549.97	Various	562.31	...	-12.34	Total of 8 transactions
	<b>Security total:</b>	<b>1,093.86</b>		<b>1,245.36</b>	<b>9.32 W</b>	<b>-142.18</b>	
SEA LIMITED AMERICAN DEPOSITAR Y SHARES, EACH REPRESENTING ON E CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol:							
03/07/23	5.000	403.27	Various	733.43	...	-330.16	Total of 4 transactions
03/09/23	0.436	34.37	04/14/22	63.61	...	-29.24	Sale <sup>25</sup>
08/10/23	2.500	143.39	Various	369.14	...	-225.75	Total of 3 transactions
	<b>Security total:</b>	<b>581.03</b>		<b>1,166.18</b>	...	<b>-585.15</b>	
FINANCIAL SELECT SECTOR SPDR F UND / CUSIP: 81369Y605 / Symbol:							
07/11/23	1.413	48.03	Various	66.63	...	-18.60	Total of 5 transactions
07/11/23	0.003	0.11	11/15/22	0.16	0.05 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>48.14</b>		<b>66.79</b>	<b>0.05 W</b>	<b>-18.60</b>	
SELECTQUOTE, INC. / CUSIP: 816307300 / Symbol:							
08/10/23	750.666	1,181.14	Various	5,148.73	...	-3,967.59	Total of 30 transactions
GENEDX HOLDINGS CORP. CLASS A COMMON STOCK / CUSIP: 81663L200 / Symbol:							
08/09/23	15.556	92.25	Various	1,594.13	...	-1,501.88	Total of 2 transactions

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 125613661

## Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SENTINELONE, INC. / CUSIP: 81730H109 / Symbol:							
05/19/23	35.000	664.82	Various	2,356.11	...	-1,691.29	Total of 4 transactions
05/19/23	20.000	379.88	Various	1,332.27	850.88 W	-101.51	Total of 4 transactions
08/10/23	23.000	344.54	Various	1,231.50	...	-886.96	Total of 7 transactions
	<b>Security total:</b>	<b>1,389.24</b>		<b>4,919.88</b>	<b>850.88 W</b>	<b>-2,679.76</b>	
SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol:							
01/20/23	1.008	5.86	Various	5.61	...	0.25	Total of 6 transactions
SMILEDIRECTCLUB INC CL A / CUSIP: 83192H106 / Symbol:							
08/10/23	500.000	280.97	Various	1,144.56	...	-863.59	Total of 6 transactions
SNAP INC. / CUSIP: 83304A106 / Symbol:							
07/13/23	35.000	480.90	Various	1,869.33	...	-1,388.43	Total of 9 transactions
07/18/23	25.000	330.30	Various	914.89	...	-584.59	Total of 8 transactions
07/31/23	25.000	283.00	Various	861.66	...	-578.66	Total of 6 transactions
08/10/23	205.546	2,141.13	Various	3,750.90	...	-1,609.77	Total of 26 transactions
	<b>Security total:</b>	<b>3,235.33</b>		<b>7,396.78</b>	...	<b>-4,161.45</b>	
SNDL INC. COMMON SHARES / CUSIP: 83307B101 / Symbol:							
08/14/23	50.142	81.91	Various	472.06	...	-390.15	Total of 4 transactions
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
02/15/23	0.698	121.67	Various	219.96	98.29 W	0.00	Total of 3 transactions
05/17/23	2.260	387.32	Various	733.04	345.72 W	0.00	Total of 5 transactions
05/19/23	0.244	44.55	11/04/22	73.68	...	-29.13	Sale <sup>25</sup>
05/19/23	2.794	509.44	Various	866.71	350.71 W	-6.56	Total of 9 transactions
	<b>Security total:</b>	<b>1,062.98</b>		<b>1,893.39</b>	<b>794.72 W</b>	<b>-35.69</b>	
SOFI TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 83406F102 / Symbol:							
06/13/23	50.000	453.11	12/21/21	744.50	291.39 W	0.00	Sale <sup>25</sup>
06/30/23	49.000	440.01	12/23/21	730.59	...	-290.58	Sale <sup>25</sup>
06/30/23	51.000	457.97	12/21/21	759.39	265.96 W	-35.46	Sale <sup>25</sup>
07/18/23	25.000	240.38	Various	315.03	...	-74.65	Total of 2 transactions
07/26/23	0.626	5.83	07/10/23	9.07	...	-3.24	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,597.30</b>		<b>2,558.58</b>	<b>557.35 W</b>	<b>-403.93</b>	

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Account 125613661

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L407 / Symbol:							
08/09/23	21.429	44.57	02/04/22	422.84	...	-378.27	Sale <sup>25</sup>
BLOCK, INC. / CUSIP: 852234103 / Symbol:							
08/10/23	10.000	626.16	Various	2,188.38	...	-1,562.22	Total of 2 transactions
08/10/23	5.000	313.08	12/29/21	1,140.65	827.57 W	0.00	Sale <sup>25</sup>
08/17/23	45.017	2,552.58	Various	7,248.93	...	-4,696.35	Total of 20 transactions
	<b>Security total:</b>	<b>3,491.82</b>		<b>10,577.96</b>	<b>827.57 W</b>	<b>-6,258.57</b>	
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
07/26/23	10.000	282.66	Various	951.89	...	-669.23	Total of 4 transactions
08/10/23	56.538	1,387.08	Various	3,275.63	...	-1,888.55	Total of 14 transactions
	<b>Security total:</b>	<b>1,669.74</b>		<b>4,227.52</b>	...	<b>-2,557.78</b>	
10X GENOMICS, INC. CLASS A COM MON STOCK / CUSIP: 88025U109 / Symbol:							
07/19/23	7.000	416.13	Various	521.29	...	-105.16	Total of 2 transactions
07/26/23	5.000	296.70	03/29/22	372.35	...	-75.65	Sale <sup>25</sup>
08/15/23	23.000	1,135.61	Various	1,394.35	...	-258.74	Total of 3 transactions
	<b>Security total:</b>	<b>1,848.44</b>		<b>2,287.99</b>	...	<b>-439.55</b>	
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
02/16/23	2.183	162.57	Various	549.62	...	-387.05	Total of 2 transactions
08/10/23	36.138	2,199.33	Various	5,188.03	...	-2,988.70	Total of 29 transactions
	<b>Security total:</b>	<b>2,361.90</b>		<b>5,737.65</b>	...	<b>-3,375.75</b>	
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
03/09/23	10.569	354.96	Various	267.67	...	87.29	Total of 3 transactions
UNITY SOFTWARE INC. / CUSIP: 91332U101 / Symbol:							
07/11/23	5.000	219.32	Various	671.22	...	-451.90	Total of 2 transactions
08/10/23	65.048	2,420.42	Various	6,778.00	...	-4,357.58	Total of 27 transactions
	<b>Security total:</b>	<b>2,639.74</b>		<b>7,449.22</b>	...	<b>-4,809.48</b>	
UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol:							
07/17/23	10.000	498.30	Various	1,221.75	...	-723.45	Total of 8 transactions
07/18/23	10.000	546.10	Various	450.85	...	95.25	Total of 4 transactions
07/19/23	5.000	286.08	Various	198.38	...	87.70	Total of 2 transactions

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Account 125613661

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol: (cont'd)							
07/24/23	5.000	272.50	Various	199.57	...	72.93	Total of 2 transactions
07/28/23	5.000	327.00	Various	142.34	...	184.66	Total of 2 transactions
08/04/23	0.622	38.83	Various	16.69	...	22.14	Total of 2 transactions
	<b>Security total:</b>	<b>1,968.81</b>		<b>2,229.58</b>	...	<b>-260.77</b>	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
08/17/23	100.000	68.06	Various	500.13	...	-432.07	Total of 2 transactions
VERIZON COMMUNICATIONS / CUSIP: 92343V104 / Symbol:							
08/03/23	0.704	23.32	Various	29.73	6.41 W	0.00	Total of 2 transactions
PARAMOUNT GLOBAL CLASS B COMMO N STOCK / CUSIP: 92556H206 / Symbol:							
08/10/23	150.562	2,346.00	Various	4,945.97	...	-2,599.97	Total of 22 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
08/10/23	275.000	922.17	Various	3,438.87	...	-2,516.70	Total of 9 transactions
VISIONARY EDUCATION TECHNOLOGY HOLDINGS GROUP INC. COMMON SH ARES / CUSIP: 92838F101 / Symbol:							
08/09/23	150.000	46.36	Various	311.01	...	-264.65	Total of 3 transactions
VOLKSWAGEN A G UNSPONSORED ADR REPRESENT 1/10TH SH / CUSIP: 928662600 / Symbol:							
03/03/23	5.000	95.75	02/22/22	140.00	44.25 W	0.00	Sale <sup>25</sup>
08/04/23	40.000	619.39	Various	979.28	...	-359.89	Total of 3 transactions
	<b>Security total:</b>	<b>715.14</b>		<b>1,119.28</b>	<b>44.25 W</b>	<b>-359.89</b>	
WAYFAIR INC. / CUSIP: 94419L101 / Symbol:							
08/03/23	12.000	1,060.13	Various	730.71	...	329.42	Total of 5 transactions
WESTWATER RESOURCES, INC. / CUSIP: 961684206 / Symbol:							
08/17/23	250.000	181.69	Various	1,191.75	...	-1,010.06	Total of 6 transactions
WISDOMTREE TRUST WISDOMTREE CL OUD COMPUTING FUND / CUSIP: 97717Y691 / Symbol:							
07/19/23	50.000	1,711.51	Various	2,655.93	...	-944.42	Total of 11 transactions
08/03/23	0.741	24.11	Various	21.17	...	2.94	Total of 2 transactions
	<b>Security total:</b>	<b>1,735.62</b>		<b>2,677.10</b>	...	<b>-941.48</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

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Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
WISEKEY INTERNATIONAL HOLDING LTD AMERICAN DEPOSITARY SHARES / CUSIP: 97727L309 / Symbol:							
06/05/23	100.000	194.99	03/24/22	592.00	...	-397.01	Sale <sup>25</sup>
WISEKEY INTERNATIONAL HOLDING LTD AMERICAN DEPOSITARY SHARES / CUSIP: 97727L408 / Symbol:							
07/11/23	60.000	265.19	03/24/22	888.00	...	-622.81	Sale <sup>25</sup>
WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
01/11/23	5.138	492.58	Various	628.24	...	-135.66	Total of 2 transactions
03/06/23	0.786	90.98	Various	82.03	...	8.95	Total of 2 transactions
	<b>Security total:</b>	<b>583.56</b>		<b>710.27</b>	...	<b>-126.71</b>	
SPRUCE POWER HOLDING CORPORATI ON / CUSIP: 9837FR100 / Symbol:							
08/17/23	250.654	203.02	Various	1,200.98	...	-997.96	Total of 6 transactions
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
07/19/23	65.000	975.96	Various	2,322.42	...	-1,346.46	Total of 23 transactions
07/24/23	10.429	167.01	Various	363.97	...	-196.96	Total of 5 transactions
	<b>Security total:</b>	<b>1,142.97</b>		<b>2,686.39</b>	...	<b>-1,543.42</b>	
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
08/10/23	25.000	1,683.74	Various	3,690.61	...	-2,006.87	Total of 3 transactions
ATIF HOLDINGS LIMITED ORDINARY SHARES / CUSIP: G0602B209 / Symbol:							
08/15/23	130.000	196.28	Various	839.52	...	-643.24	Total of 8 transactions
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277123 / Symbol:							
08/14/23	196.000	80.34	Various	1,203.71	...	-1,123.37	Total of 8 transactions
JOBY AVIATION, INC. / CUSIP: G65163100 / Symbol:							
07/11/23	25.000	242.08	Various	235.44	...	6.64	Total of 2 transactions
07/19/23	26.000	213.74	Various	241.36	...	-27.62	Total of 3 transactions
08/15/23	50.610	385.18	Various	289.96	...	95.22	Total of 2 transactions
	<b>Security total:</b>	<b>841.00</b>		<b>766.76</b>	...	<b>74.24</b>	
PAYSAFE LIMITED / CUSIP: G6964L206 / Symbol:							
08/09/23	9.000	100.99	Various	353.17	...	-252.18	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 125613661

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VIVOPOWER INTERNATIONAL PLC OR DINARY SHARES / CUSIP: G9376R100 / Symbol:							
08/17/23	200.795	102.38	Various	623.28	...	-520.90	Total of 4 transactions
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
02/07/23	5.000	365.75	01/04/22	417.10	...	-51.35	Sale <sup>25</sup>
03/06/23	5.000	373.36	01/04/22	417.10	...	-43.74	Sale <sup>25</sup>
06/26/23	2.500	238.86	Various	193.10	...	45.76	Total of 2 transactions
	<b>Security total:</b>	<b>977.97</b>		<b>1,027.30</b>	...	<b>-49.33</b>	
<b>Totals :</b>		<b>104,724.23</b>		<b>246,724.35</b>	<b>5,908.52 W</b>	<b>-136,091.60</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

**Robinhood Markets Inc. as agent for  
Robinhood Securities LLC**  
**2023**

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**Detail for Dividends and Distributions**

02/12/2024

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, Section 897 dividends and capital gains, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.*

*Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries, The IRS has indicated that boxes 2e and 2f are not applicable to recipients that are U.S. individuals. You should consult your tax advisor for further information.*

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AGNC INVESTMENT CORP. COMMON S TOCK	00123Q104		01/11/23	12.60	Section 199A dividend	03
			02/09/23	13.80	Section 199A dividend	03
			03/09/23	15.48	Section 199A dividend	03
			04/11/23	18.00	Section 199A dividend	03
			05/09/23	17.76	Section 199A dividend	03
			06/09/23	14.76	Section 199A dividend	03
			07/12/23	6.84	Section 199A dividend	03
			08/09/23	24.00	Section 199A dividend	03
			<b>123.24</b>	<b>Total Dividends &amp; distributions</b>		
AT&T INC.	00206R102		02/01/23	0.93	Qualified dividend	
ABBVIE INC.	00287Y109		02/15/23	0.75	Qualified dividend	
ANNALY CAPITAL MANAGEMENT. INC .	035710839		04/28/23	44.59	Section 199A dividend	03
			04/28/23	0.30	Qualified dividend	03
			07/31/23	67.03	Section 199A dividend	03
			07/31/23	0.45	Qualified dividend	03
			<b>112.37</b>	<b>Total Dividends &amp; distributions</b>		
APPLE INC. COMMON STOCK	037833100		02/16/23	5.10	Qualified dividend	
			05/18/23	0.16	Qualified dividend	
			<b>5.26</b>	<b>Total Dividends &amp; distributions</b>		
BRISTOL-MYERS SQUIBB CO.	110122108		08/01/23	28.50	Qualified dividend	

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

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## Detail for Dividends and Distributions

2023

(continued)

02/12/2024

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
CVS HEALTH CORPORATION	126650100		05/01/23	21.18	Qualified dividend	
			08/01/23	33.31	Qualified dividend	
				<b>54.49</b>	<b>Total Dividends &amp; distributions</b>	
CAPITAL ONE FINANCIAL	14040H105		08/18/23	6.00	Qualified dividend	
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES	25459W458		03/28/23	0.35	Qualified dividend	03
			03/28/23	0.02	Nonqualified dividend	03
				<b>0.37</b>	<b>Total Dividends &amp; distributions</b>	
DIREXION DAILY S&P BIOTECH BUL L 3X SHARES	25490K323		06/28/23	0.24	Qualified dividend	03
			06/28/23	0.06	Nonqualified dividend	03
				<b>0.30</b>	<b>Total Dividends &amp; distributions</b>	
FIRST TRUST EXCHANGE-TRADED FU ND II FIRST TRUST NASDAQ CYBER SECURITY ETF	33734X846		06/30/23	0.33	Qualified dividend	
FORD MOTOR COMPANY	345370860		03/01/23	99.39	Qualified dividend	
			03/01/23	22.94	Qualified dividend	
			06/01/23	45.14	Qualified dividend	
			09/01/23	46.65	Qualified dividend	
				<b>214.12</b>	<b>Total Dividends &amp; distributions</b>	
HELLO GROUP INC. AMERICAN DEPO SITARY SHARES	423403104		05/22/23	40.24	Nonqualified dividend	
HOME DEPOT, INC.	437076102		03/23/23	10.83	Qualified dividend	
			06/15/23	11.27	Qualified dividend	
				<b>22.10</b>	<b>Total Dividends &amp; distributions</b>	
JPMORGAN CHASE & CO.	46625H100		01/31/23	10.21	Qualified dividend	
JPMORGAN EQUITY PREMIUM INCOME ETF	46641Q332		02/06/23	7.35	Nonqualified dividend	03
			02/06/23	1.53	Qualified dividend	03
			03/06/23	25.22	Nonqualified dividend	03
			03/06/23	5.27	Qualified dividend	03
				<b>39.37</b>	<b>Total Dividends &amp; distributions</b>	
MARVELL TECHNOLOGY, INC. COMMO N STOCK	573874104		04/26/23	1.81	Qualified dividend	



Robinhood Markets Inc. as agent for  
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### Detail for Dividends and Distributions

2023

(continued)

02/12/2024

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
MICROSOFT CORPORATION COMMON S TOCK	594918104		06/08/23	0.07	Qualified dividend	
MICRON TECHNOLOGY, INC. COMMON STOCK	595112103		04/25/23	1.73	Qualified dividend	
NIKE, INC.	654106103		04/03/23	3.74	Qualified dividend	
			07/05/23	7.48	Qualified dividend	
				<b>11.22</b>	<b>Total Dividends &amp; distributions</b>	
NVIDIA CORPORATION COMMON STOC K	67066G104		03/29/23	0.05	Qualified dividend	
PAYCHEX, INC. COMMON STOCK	704326107		02/23/23	0.50	Qualified dividend	
PFIZER INC.	717081103		09/05/23	20.50	Qualified dividend	
T. ROWE PRICE GROUP, INC. COMM ON STOCK	74144T108		03/30/23	12.48	Qualified dividend	
			06/29/23	24.49	Qualified dividend	
				<b>36.97</b>	<b>Total Dividends &amp; distributions</b>	
SPDR S&P BIOTECH ETF	78464A870		06/23/23	0.01	Qualified dividend	
THE CHARLES SCHWAB CORPORATION	808513105		05/26/23	10.02	Qualified dividend	
SCHWAB US DIVIDEND EQUITY ETF	808524797		03/27/23	20.31	Qualified dividend	
THE SCOTTS MIRACLE-GRO COMPANY	810186106		06/09/23	2.04	Qualified dividend	
FINANCIAL SELECT SECTOR SPDR F UND	81369Y605		03/23/23	9.47	Qualified dividend	
			06/23/23	15.03	Qualified dividend	
				<b>24.50</b>	<b>Total Dividends &amp; distributions</b>	
SOUTHWEST AIRLINES CO.	844741108		01/31/23	9.00	Qualified dividend	
			07/12/23	12.75	Qualified dividend	
				<b>21.75</b>	<b>Total Dividends &amp; distributions</b>	
STANLEY BLACK & DECKER, INC.	854502101		03/21/23	8.30	Qualified dividend	
			06/20/23	16.01	Qualified dividend	
				<b>24.31</b>	<b>Total Dividends &amp; distributions</b>	
STARBUCKS CORPORATION COMMON S TOCK	855244109		02/24/23	0.89	Qualified dividend	
			05/26/23	2.83	Qualified dividend	
				<b>3.72</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Markets Inc. as agent for  
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Account 125613661

## Detail for Dividends and Distributions

2023

(continued)

02/12/2024

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
TARGET CORPORATION	87612E106		03/10/23	7.93	Qualified dividend	
			06/12/23	13.06	Qualified dividend	
				<b>20.99</b>	<b>Total Dividends &amp; distributions</b>	
3M COMPANY	88579Y101		06/12/23	22.50	Qualified dividend	
VANGUARD TOTAL BOND MARKET ETF	921937835		02/06/23	8.80	Nonqualified dividend	03
			03/06/23	10.69	Nonqualified dividend	03
				<b>19.49</b>	<b>Total Dividends &amp; distributions</b>	
VANGUARD S&P 500 ETF	922908363		07/05/23	0.40	Qualified dividend	03
			07/05/23	0.02	Section 199A dividend	03
				<b>0.42</b>	<b>Total Dividends &amp; distributions</b>	
VANGUARD GROWTH ETF	922908736		03/28/23	9.16	Qualified dividend	03
			03/28/23	0.29	Section 199A dividend	03
			06/28/23	0.08	Qualified dividend	
				<b>9.53</b>	<b>Total Dividends &amp; distributions</b>	
VERIZON COMMUNICATIONS	92343V104		02/01/23	17.62	Qualified dividend	
			05/01/23	33.05	Qualified dividend	
			08/01/23	65.71	Qualified dividend	
				<b>116.38</b>	<b>Total Dividends &amp; distributions</b>	
PARAMOUNT GLOBAL CLASS B COMMON STOCK	92556H206		01/03/23	2.17	Qualified dividend	
			07/03/23	0.05	Qualified dividend	
				<b>2.22</b>	<b>Total Dividends &amp; distributions</b>	
VOLKSWAGEN A G UNSPONSORED ADR REPRESENT 1/10TH SH	928662600		01/23/23	222.48	Nonqualified dividend	
			01/23/23	-64.60	Foreign tax withheld-GM	
			05/30/23	49.11	Nonqualified dividend	
			05/30/23	-27.40	Foreign tax withheld-GM	
				<b>271.59</b>	<b>Total Dividends &amp; distributions</b>	
	<b>-92.00</b>	<b>Total Foreign tax withheld</b>				
WYNN RESORTS, LIMITED COMMON STOCK	983134107		06/06/23	0.36	Qualified dividend	
MEDTRONIC PLC	G5960L103		04/14/23	8.57	Qualified dividend	
			07/14/23	17.27	Qualified dividend	
				<b>25.84</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Markets Inc. as agent for  
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**Detail for Dividends and Distributions**  
(continued)

Account 125613661

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1,327.41	Total Dividends & distributions
-92.00	Total Foreign tax withheld



Robinhood Markets Inc. as agent for  
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## Detail for Miscellaneous Information

2023

02/12/2024

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
AGNC INVESTMENT CORP. COMMON S TOCK	00123Q104	01/11/23	1.20	Substitute payment	
		03/09/23	0.12	Substitute payment	
		05/09/23	6.24	Substitute payment	
		06/09/23	9.24	Substitute payment	
		07/12/23	17.16	Substitute payment	
			33.96	Total Miscellaneous income	
AT&T INC.	00206R102	02/01/23	1.94	Substitute payment	
ANNALY CAPITAL MANAGEMENT. INC .	035710839	04/28/23	3.90	Substitute payment	
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES	25459W458	03/28/23	1.38	Substitute payment	
DIREXION DAILY SMALL CAP BEAR 3X SHARES	25460E232	03/28/23	4.60	Substitute payment	
DIREXION DAILY SEMICONDUCTOR B EAR 3X SHARES	25460G336	03/28/23	4.70	Substitute payment	
DIREXION DAILY S&P BIOTECH BEA R 3X SHARES	25460G716	03/28/23	5.46	Substitute payment	
DIREXION DAILY S&P BIOTECH BUL L 3X SHARES	25490K323	06/28/23	2.30	Substitute payment	
FORD MOTOR COMPANY	345370860	03/01/23	63.70	Substitute payment	
		03/01/23	14.70	Substitute payment	
			78.40	Total Miscellaneous income	
JPMORGAN EQUITY PREMIUM INCOME ETF	46641Q332	03/06/23	0.41	Substitute payment	
PROSHARES ULTRAPRO SHORT QQQ	74347G432	03/29/23	14.31	Substitute payment	
		06/28/23	7.99	Substitute payment	
			22.30	Total Miscellaneous income	
SPDR S&P BIOTECH ETF	78464A870	06/23/23	0.10	Substitute payment	
SCHWAB US DIVIDEND EQUITY ETF	808524797	03/27/23	33.40	Substitute payment	
		06/26/23	86.94	Substitute payment	
			120.34	Total Miscellaneous income	

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

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**Detail for Miscellaneous Information**

2023

(continued)

02/12/2024

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
THE SCOTTS MIRACLE-GRO COMPANY	810186106	03/10/23	6.60	Substitute payment	
		06/09/23	4.62	Substitute payment	
			11.22	Total Miscellaneous income	
PARAMOUNT GLOBAL CLASS B COMMO N STOCK	92556H206	01/03/23	44.64	Substitute payment	
		04/03/23	48.65	Substitute payment	
		07/03/23	11.25	Substitute payment	
			104.54	Total Miscellaneous income	
VOLKSWAGEN A G UNSPONSORED ADR REPRESENT 1/10TH SH	928662600	01/23/23	22.45	Substitute payment	
		05/30/23	54.79	Substitute payment	
			77.24	Total Miscellaneous income	
			<b>472.79</b>	<b>Total Miscellaneous income</b>	

**Robinhood Markets Inc. as agent for  
Robinhood Securities LLC  
2023**

Account 125613661

**Fees and Expenses**

02/12/2024

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		01/03/23	-439.80	Margin interest paid	
		01/30/23	-509.28	Margin interest paid	
		03/01/23	-535.64	Margin interest paid	
		03/17/23	-285.79	Margin interest paid	
		03/20/23	-51.72	Margin interest paid	
		04/19/23	-500.14	Margin interest paid	
		05/19/23	-478.60	Margin interest paid	
		06/20/23	-421.00	Margin interest paid	
		07/18/23	-400.42	Margin interest paid	
		08/17/23	-77.96	Margin interest paid	
			-3,700.35	Total Margin interest paid	
Gold Subscription fee	GLDSUBFEE	01/03/23	-5.00	Subscription expense	
		01/30/23	-5.00	Subscription expense	
		03/01/23	-5.00	Subscription expense	
		03/17/23	-5.00	Subscription expense	
		03/20/23	-5.00	Subscription expense	
		04/19/23	-5.00	Subscription expense	
		05/19/23	-5.00	Subscription expense	
		06/20/23	-5.00	Subscription expense	
		07/18/23	-5.00	Subscription expense	
		08/17/23	-5.00	Subscription expense	
			-50.00	Total Subscription expense	
			<b>-3,700.35</b>	<b>Total Margin interest paid</b>	
			<b>-50.00</b>	<b>Total Subscription expense</b>	

Robinhood Markets Inc. as agent for  
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## Mutual Fund and UIT Supplemental Information

2023

02/12/2024

The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

### VANGUARD TOTAL BOND MARKET ETF / 921937835

#### PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

**Fed Source Total** **38.47%** (A detailed breakdown is shown below when available)

<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>
U.S. Treasury	37.82	Fed Farm Credit	0.13	TN Valley Auth	0.11
Fed Home Loan	0.41	Student Loan	0.00	Other Dir. Fed	0.00

### VANGUARD S&P 500 ETF / 922908363

#### PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

**Fed Source Total** **0.41%** (A detailed breakdown is shown below when available)

<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>
U.S. Treasury	0.18	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.23	Student Loan	0.00	Other Dir. Fed	0.00

### VANGUARD GROWTH ETF / 922908736

#### PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

**Fed Source Total** **0.57%** (A detailed breakdown is shown below when available)

<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>
U.S. Treasury	0.25	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.32	Student Loan	0.00	Other Dir. Fed	0.00



Robinhood Markets Inc. as agent for  
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## Foreign Income and Taxes Summary

2023

02/12/2024

*This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."*

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CH	HELLO GROUP INC. AMERICAN DEPO SITARY SHARES	423403104	40.24	0.00		0.00	40.24	0.00
<b>Total CHINA</b>			<b>40.24</b>	<b>0.00</b>		<b>0.00</b>	<b>40.24</b>	<b>0.00</b>
EI	MEDTRONIC PLC	G5960L103	0.00	25.84		0.00	25.84	0.00
<b>Total IRELAND</b>			<b>0.00</b>	<b>25.84</b>		<b>0.00</b>	<b>25.84</b>	<b>0.00</b>
GM	VOLKSWAGEN A G UNSPONSORED ADR REPRESENT 1/10TH SH	928662600	271.59	0.00		0.00	271.59	-92.00
<b>Total GERMANY</b>			<b>271.59</b>	<b>0.00</b>		<b>0.00</b>	<b>271.59</b>	<b>-92.00</b>
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>						<b>337.67</b>	
	<b>Qualified Foreign Source Income**</b>						<b>25.84</b>	
	<b>Foreign Tax</b>						<b>-92.00</b>	

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

## Non-Reportable Actions

Account 125613661

2023

02/12/2024

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
DSS, INC. CONTRA	262CNT033	08/09/23	1,200.00	0.00	Stock spinoff	
		09/06/23	-1,200.00	0.00	Exchange	
IMPERALIS HOLDING CORP. COMMON STOCK	45257M106	07/10/23	73.33	0.00	Stock spinoff	
IMPERALIS HLDG CORP WT EXP 06/ 26/2028	45257M114	07/10/23	73.33	0.00	Stock spinoff	
IMPACT BIOMEDICAL (RESTRICTED)	45259L106	09/06/23	1,200.00	0.00	Exchange	
SHARING SERVICES GLOBAL CORP. COMMON STOCK	819536103	05/04/23	600.00	0.00	Stock spinoff	
SEALSQ CORP ORDINARY SHARES	G79483106	05/24/23	30.90	0.00	Stock spinoff	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Instructions for Recipient**

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

**Line 12.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 13.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 12. See the Instructions for Form 6251.

**Lines 14-16.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a

constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Lines 15-17.** State tax withheld reporting lines.

**Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show

#### Instructions for Recipient

the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

Shows backup withholding or withholding on Indian gaming profits.

Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

**Line 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

**Lines 16-18.** Show state or local income tax withheld from the payments.

**Future developments.** For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099MISC](http://www.irs.gov/Form1099MISC).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-MISC Instructions for Recipient

**Line 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the

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