

\*00 ASM1990204455122\*  
Nishanth Kolli  
4875 Mowry Ave  
Apt 230  
Fremont CA 94538

Plan Number: 6QX  
Account Number: 149047850206  
Tax ID Number: XXX-XX-6705

*Important income tax return document enclosed. For tax advice,  
please consult your tax advisor.*

*For online account information:  
Visit [www.shareworks.com/login](http://www.shareworks.com/login)*

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**STOCK PLAN ACCOUNT  
FORM 1099-B FOR 2023**

**Copy B for Recipient**

Account number: 149047850206

SS # XXX-XX-6705

Nishanth Kolli  
4875 Mowry Ave  
Apt 230  
Fremont CA 94538

*Payer*  
Morgan Stanley Capital Management, LLC.  
MORGAN STANLEY SMITH BARNEY, LLC  
ONE NEW YORK PLAZA, 7TH FLOOR  
NEW YORK NY 10004

TAX ID NUMBER: 11-3658445

QUESTIONS CALL: 1-877-380-7793 (North America)  
08 082349514 (UK and Europe)  
800 906 061 (Hong Kong)

*This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.*

**Form 1099-B Proceeds From Broker and Barter Exchange Transactions**

(OMB No. 1545-0715)

The gross proceeds from each transaction is reported individually to the IRS and is net of deductions and commissions. Consider the Gross proceeds box checked in IRS Box 6 (Reported to IRS).

**Short Term – Noncovered Securities\*** (Short-term transactions for which basis is not reported to the IRS—Report on Form 8949, Part I, with Box B checked.)

Description of property (Box 1a)/ CUSIP Number	Quantity (Box 1a)	Date acquired (Box 1b)	Date sold (Box 1c)	Proceeds (Box 1d)	Cost or other basis (Box 1e)	Wash sale loss disallowed (Box 1g)	Federal income tax withheld (Box 4)	Check if loss not allowed based on amount in Box 1d (Box 7)	State Name (Box 14)/ State ID No. (Box 15)	State tax withheld (Box 16)
LUCID GROUP, INC. 549498103	41.000000	03/05/23	03/06/23	\$366.41	\$366.54			<input type="checkbox"/>		
LUCID GROUP, INC. 549498103	105.000000	03/05/23	03/06/23	\$938.39	\$938.70			<input type="checkbox"/>		
LUCID GROUP, INC. 549498103	33.000000	06/05/23	06/05/23	\$214.83	\$217.80			<input type="checkbox"/>		
LUCID GROUP, INC. 549498103	43.000000	06/05/23	06/05/23	\$279.93	\$283.80			<input type="checkbox"/>		
LUCID GROUP, INC. 549498103	223.000000	06/05/23	06/05/23	\$1,451.75	\$1,471.80			<input type="checkbox"/>		
LUCID GROUP, INC. 549498103	109.000000	06/05/23	06/05/23	\$709.60	\$719.40			<input type="checkbox"/>		

(continued)

## Instructions for Recipient Form 1099-B

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting also is required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** This form may show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** This form shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Box 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Box 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

**Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.

**Box 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 6.** If you reduce gross proceeds by option premiums, check the second box in box 6. Otherwise, check the first box.

**Box 7.** If checked, you cannot take a loss on your tax return based on proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

**Boxes 14-16.** Show state(s)/local income tax information.

**Future developments.** Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File Program.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**NOTE:** If shares sold were from your Company's Equity Compensation Plan, the Cost Basis for those shares may need to be adjusted on Form 8949 to include any compensation income associated with the transaction. Please seek advice from a tax advisor to ensure any applicable compensation/income is included when preparing your tax return.

**IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS**

**STOCK PLAN ACCOUNT**  
**FORM 1099-B FOR 2023**

Account number: 149047850206

SS # XXX-XX-6705

**Short Term – Noncovered Securities\*** (continued)

Description of property (Box 1a)/ CUSIP Number	Quantity (Box 1a)	Date acquired (Box 1b)	Date sold (Box 1c)	Proceeds (Box 1d)	Cost or other basis (Box 1e)	Wash sale loss disallowed (Box 1g)	Federal income tax withheld (Box 4)	Check if loss not allowed based on amount in Box 1d (Box 7)	State Name (Box 14)/ State ID No. (Box 15)	State tax withheld (Box 16)
LUCID GROUP, INC. 549498103	42.000000	09/05/23	09/05/23	\$263.86	\$267.12			<input type="checkbox"/>		
LUCID GROUP, INC. 549498103	216.000000	09/05/23	09/05/23	\$1,357.04	\$1,373.76			<input type="checkbox"/>		
LUCID GROUP, INC. 549498103	106.000000	09/05/23	09/05/23	\$665.96	\$674.16			<input type="checkbox"/>		
LUCID GROUP, INC. 549498103	32.000000	09/05/23	09/05/23	\$201.04	\$203.52			<input type="checkbox"/>		
LUCID GROUP, INC. 549498103	41.000000	12/05/23	12/05/23	\$173.56	\$178.76			<input type="checkbox"/>		
LUCID GROUP, INC. 549498103	104.000000	12/05/23	12/05/23	\$440.27	\$453.44			<input type="checkbox"/>		
LUCID GROUP, INC. 549498103	214.000000	12/05/23	12/05/23	\$905.96	\$933.04			<input type="checkbox"/>		
LUCID GROUP, INC. 549498103	32.000000	12/05/23	12/05/23	\$135.46	\$139.52			<input type="checkbox"/>		
<b>Total Short Term – Noncovered Securities</b>				<b>\$8,104.06</b>	<b>\$8,221.36</b>					

\* Noncovered securities are not subject to the IRS cost basis reporting regulations; therefore, their date of acquisition, cost basis, short or long-term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS. The cost basis is provided for informational purposes only and may not reflect all adjustments you may be required to make under the tax laws. Please consult your tax advisor regarding any such adjustments. The taxpayer is ultimately responsible for the accuracy of their tax return.

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