

Apex Clearing

One Dallas Center
350 North St Paul, Ste 1300
Dallas, TX 75201

IMPORTANT TAX DOCUMENTS ENCLOSED

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
888-828-0618

KESAV RAVIPATI
2363 DUNCAN DR APT 24
FAIRBORN, OH 45324-2089

IMPORTANT YEAR END MESSAGES

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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Apex Clearing One Dallas Center 350 North St Paul, Ste 1300 Dallas, TX 75201 Customer Service: 214-765-1009 Payer's Federal ID No: 13-2967453 IN ACCOUNT WITH WEBULL FINANCIAL LLC 888-828-0618	Account 5MX67408 KESAV RAVIPATI 2363 DUNCAN DR APT 24 FAIRBORN, OH 45324-2089 RECIPIENT'S ID No: XXX-XX-5007	Form 1099 Composite Statement Date: 2024-02-11 <input type="checkbox"/> Corrected	2023
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FATCA filing Requirement

Tax Reporting Statement

Dividends and Distributions	2023 Form1099DIV	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b)	0.00	
1b- Qualified Dividends	0.00	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d)	0.00	
2b- Unrecaptured Section 1250 Gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 ordinary dividends	0.00	
2f- Section 897 capital gain	0.00	
3- Nondividend Distributions	0.00	
4- Federal Income Tax Withheld	0.00	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign Tax Paid	0.00	
8- Foreign Country or U.S. Possessions		
9- Cash Liquidation Distribution	0.00	
10- Noncash Liquidation Distributions	0.00	
12- Exempt-Interest Dividends	0.00	
13- Specified Private Activity Bond Interest Dividends	0.00	

Interest Income	2023 Form1099INT	OMB No. 1545-0112
1- Interest Income	0.00	
2- Early Withdrawal Penalty	0.00	
3- Interest on US Savings Bonds & Treasury Obligations	0.00	
4- Federal Income Tax Withheld	0.00	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

Miscellaneous Information	2023 Form1099MISC	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
4- Federal Income Tax Withheld	0.00	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS	
	2023 Form1099B
	OMB No. 1545-0715
8- Profit or (loss) realized in 2023 on closed contracts	-3,639.06
9- Unrealized profit or (loss) on open contracts - 12/31/2022	0.00
10- Unrealized profit or (loss) on open contracts - 12/31/2023	0.00
11- Aggregate profit or (loss) on contracts	-3,639.06
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>	

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C - Corrected

Apex Clearing

Tax Summary

2023

5MX67408

2024-02-11

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	63,953.48	68,731.97	0.00	1,576.75	-3,201.74
Short-term transactions for noncovered tax lots	81.65	81.24	0.00	0.00	0.41
Total Short-term	64,035.13	68,813.21	0.00	1,576.75	-3,201.33
Long-term transactions for covered tax lots	0.00	0.00	0.00	0.00	0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term	0.00	0.00	0.00	0.00	0.00

Original Issue Discount

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount - Covered Positions	0.00
Acquisition Premium - Covered Positions	0.00
Bond Premium - Covered Positions	0.00
Tax-Exempt OID - Covered Positions	0.00
Tax Exempt OID - Specified Private Activity Bonds - Covered Positions	0.00
Investment Expenses	0.00

Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the Form1099-OID section of this document.

FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	0.00
1099-DIV Total:	0.00
1099-INT Total:	0.00
1099-MISC Total:	0.00
1099-OID Total:	0.00

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MX67408

2024-02-11

OMB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B - Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes", cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as "Box 2 - Ordinary." In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as "Box 3 - Collectibles Box Checked." Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as "Box 7 - Loss Disallowed Box Checked." Disposition of a QOF investment is noted in the Additional Notes column as "Box 3 - QOF."

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement []

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL GOOGL 05/26/23	130	ALPHABET INC CLASS A CUSIP: *8BSQRL6 Symbol: GOOGL-230526C00130000	2023-05-16	27.88	24.10	0.00	3.78
PUT QQQ 06/27/23	359	INVESCO QQQ TRUST SERIES 1 CUSIP: *8BTKTW8 Symbol: QQQ---230627P00359000	2023-06-27	75.93	85.05	0.00	-9.12
CALL QQQ 06/27/23	361	INVESCO QQQ TRUST SERIES 1 CUSIP: *8BTKTX9 Symbol: QQQ---230627C00361000	2023-06-27	96.93	81.05	0.00	15.88

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MX67408

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL JPM 03/17/23	130	JPMORGAN CHASE & CO CUSIP: *8BTLZV3 Symbol: JPM---230317C00130000					
2023-03-16	8.0	754.54	2023-03-16	714.40	0.00	40.14	
CALL SPY 04/24/23	414	STANDARD & POORS DEPOSITORY CUSIP: *8BTWQS7 Symbol: SPY---230424C00414000					
2023-04-21	1.0	56.93	2023-04-21	65.05	0.00	-8.12	
CALL QQQ 03/27/23	312	INVESCO QQQ TRUST SERIES 1 CUSIP: *8BYBJB0 Symbol: QQQ---230327C00312000					
2023-03-24	2.0	197.87	2023-03-24	226.10	0.00	-28.23	
CALL SPY 03/27/23	399	STANDARD & POORS DEPOSITORY CUSIP: *8BYWYW9 Symbol: SPY---230327C00399000					
2023-03-23	2.0	329.88	2023-03-23	402.10	0.00	-72.22	
CALL NFLX 05/19/23	340	NETFLIX INC CUSIP: *8G02817 Symbol: NFLX--230519C00340000					
2023-05-15	1.0	304.93	2023-05-15	315.05	0.00	-10.12	
CALL UPST 05/19/23	25	UPSTART HOLDINGS INC CUSIP: *8GLLYG2 Symbol: UPST--230519C00025000					
2023-05-15	1.0	17.93	2023-05-15	12.05	0.00	5.88	
PUT COST 05/26/23	405	COSTCO WHOLESALE CORPORATION CUSIP: *8GNMXM6 Symbol: COST--230526P00405000					
2023-05-26	1.0	0.00	2023-05-25	5.05	0.00	-5.05	
CALL AAPL 03/17/23	155	APPLE INC CUSIP: *8GVBVB7 Symbol: AAPL--230317C00155000					
2023-03-14	2.0	182.86	2023-03-14	170.10	0.00	12.76	
CALL AMZN 04/21/23	100	AMAZON.COM INC CUSIP: *8GXXZL5 Symbol: AMZN--230421C00100000					
2023-03-08	3.0	599.79	2023-03-08	596.51	2.36 D	5.64	
CALL AMZN 04/21/23	105	AMAZON.COM INC CUSIP: *8GXXZL7 Symbol: AMZN--230421C00105000					
2023-04-05	1.0	199.93	2023-04-05	235.05	0.00	-35.12	
CALL AMZN 04/21/23	103	AMAZON.COM INC CUSIP: *8H34990 Symbol: AMZN--230421C00103000					
2023-04-14	2.0	193.88	2023-04-14	281.10	0.00	-87.22	
CALL AMZN 04/21/23	106	AMAZON.COM INC CUSIP: *8H35018 Symbol: AMZN--230421C00106000					
2023-04-21	2.0	81.87	2023-04-21	98.10	0.00	-16.23	
CALL AMZN 04/21/23	108	AMAZON.COM INC CUSIP: *8H35470 Symbol: AMZN--230421C00108000					
2023-04-21	1.0	27.93	2023-04-21	22.05	0.00	5.88	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MX67408

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT ADBE 05/19/23	360	ADOBE INC CUSIP: *8H77487 Symbol: ADBE--230519P00360000					
2023-05-19	1.0	0.93	2023-05-19	13.05	0.00	-12.12	
PUT IWM 01/20/23	181	ISHARES RUSSELL 2000 ETF CUSIP: *8K41659 Symbol: IWM---230120P00181000					
2023-01-19	1.0	101.94	2023-01-19	69.04	0.00	32.90	
CALL JPM 04/21/23	140	JPMORGAN CHASE & CO CUSIP: *8K73032 Symbol: JPM---230421C00140000					
2023-04-14	2.0	181.86	2023-04-14	179.22	2.12 D	4.76	
CALL CRM 05/19/23	210	SALESFORCE INC CUSIP: *8KBLCJ7 Symbol: CRM---230519C00210000					
2023-05-17	1.0	39.93	2023-05-17	63.05	0.00	-23.12	
CALL NVDA 06/09/23	400	NVIDIA CORPORATION CUSIP: *8KBPC7 Symbol: NVDA--230609C00400000					
2023-06-09	1.0	77.93	2023-06-09	72.05	0.00	5.88	
PUT NVDA 06/23/23	410	NVIDIA CORPORATION CUSIP: *8KBPCW5 Symbol: NVDA--230623P00410000					
2023-06-22	1.0	73.93	2023-06-22	89.05	0.00	-15.12	
CALL SPY 03/02/23	395	STANDARD & POORS DEPOSITORY CUSIP: *8KMWSB9 Symbol: SPY---230302C00395000					
2023-03-02	1.0	63.93	2023-03-02	58.05	0.00	5.88	
CALL XLF 04/21/23	32	SECTOR SPDR FINANCIAL ETF CUSIP: *8KRXZX9 Symbol: XLF---230421C00032000					
2023-03-16	2.0	173.87	2023-03-15	197.10	0.00	-23.23	
PUT FITB 03/24/23	26	FIFTH THIRD BANCORP CUSIP: *8KSGFD0 Symbol: FITB--230324P00026000					
2023-03-21	3.0	119.82	Various	225.15	0.00	-105.33	
PUT AMZN 02/17/23	96	AMAZON.COM INC CUSIP: *8KSMGL5 Symbol: AMZN--230217P00096000					
2023-02-10	1.0	158.93	2023-02-10	199.05	0.00	-40.12	
CALL CRM 05/19/23	205	SALESFORCE INC CUSIP: *8KTVBC3 Symbol: CRM---230519C00205000					
2023-05-15	1.0	149.93	2023-05-15	194.05	0.00	-44.12	
CALL NVDA 06/23/23	430	NVIDIA CORPORATION CUSIP: *8KXTTC9 Symbol: NVDA--230623C00430000					
2023-06-23	1.0	52.93	2023-06-23	49.05	0.00	3.88	
CALL NVDA 06/23/23	435	NVIDIA CORPORATION CUSIP: *8KXTTD1 Symbol: NVDA--230623C00435000					
2023-06-21	1.0	273.93	2023-06-21	224.05	0.00	49.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MX67408

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NVDA 06/23/23	450 NVIDIA CORPORATION	CUSIP: *8KXTTD8 Symbol: NVDA--230623C00450000	2023-06-20	212.93	248.05	0.00	-35.12
2023-06-20	1.0		2023-06-20	212.93	248.05	0.00	-35.12
CALL NVDA 06/30/23	425 NVIDIA CORPORATION	CUSIP: *8KXTVN0 Symbol: NVDA--230630C00425000	2023-06-28	278.86	340.22	14.12 D	-47.24
2023-06-28	2.0		2023-06-28	278.86	340.22	14.12 D	-47.24
CALL NVDA 06/30/23	440 NVIDIA CORPORATION	CUSIP: *8KXTVQ6 Symbol: NVDA--230630C00440000	2023-06-26	75.93	81.05	0.00	-5.12
2023-06-26	1.0		2023-06-26	75.93	81.05	0.00	-5.12
CALL AMD 02/10/23	87 ADVANCED MICRO DEVICES INC	CUSIP: *8LBNKV9 Symbol: AMD---230210C00087000	2023-02-08	145.93	98.05	0.00	47.88
2023-02-08	1.0		2023-02-07	145.93	98.05	0.00	47.88
CALL COIN 02/10/23	67 COINBASE GLOBAL INC	CUSIP: *8LBRNH4 Symbol: COIN--230210C00067000	2023-02-09	71.93	76.05	0.00	-4.12
2023-02-09	1.0		2023-02-09	71.93	76.05	0.00	-4.12
CALL COIN 04/21/23	70 COINBASE GLOBAL INC	CUSIP: *8LBRPY4 Symbol: COIN--230421C00070000	2023-04-04	669.88	663.10	0.00	6.78
2023-04-04	2.0		2023-04-03	669.88	663.10	0.00	6.78
CALL COIN 04/21/23	75 COINBASE GLOBAL INC	CUSIP: *8LBRPY7 Symbol: COIN--230421C00075000	2023-04-18	119.81	144.15	0.00	-24.34
2023-04-18	3.0		2023-04-18	119.81	144.15	0.00	-24.34
CALL IWM 03/06/23	190 ISHARES RUSSELL 2000 ETF	CUSIP: *8LDDWG2 Symbol: IWM---230306C00190000	2023-03-03	59.93	100.05	0.00	-40.12
2023-03-03	1.0		2023-03-03	59.93	100.05	0.00	-40.12
CALL JPM 03/17/23	133 JPMORGAN CHASE & CO	CUSIP: *8LDFBH7 Symbol: JPM---230317C00133000	2023-03-14	172.93	186.05	0.00	-13.12
2023-03-14	1.0		2023-03-14	172.93	186.05	0.00	-13.12
CALL MARA 03/17/23	7 MARATHON DIGITAL HOLDINGS INC	CUSIP: *8LDFZX6 Symbol: MARA--230317C00007000	2023-03-15	65.88	50.10	0.00	15.78
2023-03-15	2.0		2023-03-15	65.88	50.10	0.00	15.78
PUT MARA 03/17/23	7 MARATHON DIGITAL HOLDINGS INC	CUSIP: *8LDFZX8 Symbol: MARA--230317P00007000	2023-03-14	209.73	240.25	0.00	-30.52
2023-03-14	5.0		2023-03-14	209.73	240.25	0.00	-30.52
CALL QQQ 03/06/23	302 INVESCO QQQ TRUST SERIES 1	CUSIP: *8LDKLS6 Symbol: QQQ---230306C00302000	2023-03-06	149.88	132.10	0.00	17.78
2023-03-06	2.0		2023-03-06	149.88	132.10	0.00	17.78
CALL AMD 06/30/23	113 ADVANCED MICRO DEVICES INC	CUSIP: *8LDVYZ1 Symbol: AMD---230630C00113000	2023-06-29	119.88	150.10	0.00	-30.22
2023-06-29	2.0		2023-06-29	119.88	150.10	0.00	-30.22

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MX67408

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 03/02/23	396	STANDARD & POORS DEPOSITORY CUSIP: *8LDZPH8 Symbol: SPY---230302P00396000					
2023-03-02	1.0	52.93	2023-03-02	52.05	0.00	0.88	
PUT SPY 03/06/23	405	STANDARD & POORS DEPOSITORY CUSIP: *8LDZVH5 Symbol: SPY---230306P00405000					
2023-03-06	1.0	26.93	2023-03-06	38.05	0.00	-11.12	
PUT SPY 03/07/23	399	STANDARD & POORS DEPOSITORY CUSIP: *8LDZWD4 Symbol: SPY---230307P00399000					
2023-03-07	1.0	55.93	2023-03-07	74.05	0.00	-18.12	
PUT QQQ 03/08/23	295	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LLDYH9 Symbol: QQQ---230308P00295000					
2023-03-07	1.0	79.93	2023-03-07	87.05	0.00	-7.12	
CALL SPY 03/08/23	405	STANDARD & POORS DEPOSITORY CUSIP: *8LMMRR3 Symbol: SPY---230308C00405000					
2023-03-07	10.0	409.48	2023-03-07	450.49	0.00	-41.01	
CALL AMZN 04/06/23	98	AMAZON.COM INC CUSIP: *8LQTMK6 Symbol: AMZN--230406C00098000					
2023-03-27	6.0	1,411.64	2023-03-27	1,467.96	65.66 D	9.34	
CALL AMZN 04/06/23	100	AMAZON.COM INC CUSIP: *8LQTML1 Symbol: AMZN--230406C00100000					
2023-03-28	2.0	197.86	Various	345.22	147.36 D	0.00	
2023-03-29	1.0	196.93	2023-03-29	271.29	0.00	-74.36	
Security Totals:		394.79		616.51		-74.36	
CALL AMZN 04/06/23	105	AMAZON.COM INC CUSIP: *8LQNT9 Symbol: AMZN--230406C00105000					
2023-03-30	12.0	714.30	2023-03-30	714.81	0.22 D	-0.29	
CALL BABA 04/06/23	105	ALIBABA GROUP HOLDING LIMITED CUSIP: *8LRCMC3 Symbol: BABA--230406C00105000					
2023-03-31	2.0	275.88	2023-03-31	331.10	0.00	-55.22	
CALL BB 04/06/23	4	BLACKBERRY LIMITED CUSIP: *8LRFBG0 Symbol: BB----230406C00004000					
2023-03-31	2.0	21.87	2023-03-30	54.10	0.00	-32.23	
CALL COIN 04/06/23	70	COINBASE GLOBAL INC CUSIP: *8LSGZZ9 Symbol: COIN--230406C00070000					
2023-04-03	2.0	162.86	2023-04-03	397.22	106.12 D	-128.24	
PUT AAPL 03/10/23	150	APPLE INC CUSIP: *8LSVWX9 Symbol: AAPL--230310P00150000					
2023-03-06	10.0	479.47	2023-03-06	470.50	0.00	8.97	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MX67408

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AAPL 03/10/23	160	APPLE INC CUSIP: *8LSVXB5 Symbol: AAPL--230310C00160000					
2023-03-06	4.0	198.74	2023-03-06	191.20	0.00	7.54	
CALL JPM 04/06/23	130	JPMORGAN CHASE & CO CUSIP: *8LTQKL8 Symbol: JPM---230406C00130000					
2023-03-30	1.0	122.93	2023-03-30	108.05	0.00	14.88	
CALL NVDA 04/06/23	280	NVIDIA CORPORATION CUSIP: *8LTWRS7 Symbol: NVDA--230406C00280000					
2023-03-31	1.0	231.93	2023-03-31	275.05	0.00	-43.12	
PUT IWM 03/10/23	184	ISHARES RUSSELL 2000 ETF CUSIP: *8LVVXW5 Symbol: IWM---230310P00184000					
2023-03-08	2.0	172.86	2023-03-08	156.10	0.00	16.76	
PUT SPY 03/13/23	380	STANDARD & POORS DEPOSITORY CUSIP: *8LVZSB8 Symbol: SPY---230313P00380000					
2023-03-10	1.0	129.93	2023-03-10	122.05	0.00	7.88	
CALL SPY 03/13/23	390	STANDARD & POORS DEPOSITORY CUSIP: *8LVZSP4 Symbol: SPY---230313C00390000					
2023-03-13	9.0	645.45	2023-03-13	592.78	16.33 D	69.00	
CALL SPY 03/14/23	395	STANDARD & POORS DEPOSITORY CUSIP: *8LVZTL0 Symbol: SPY---230314C00395000					
2023-03-13	1.0	141.93	2023-03-13	138.05	0.00	3.88	
CALL GOOGL 04/21/23	108	ALPHABET INC CLASS A CUSIP: *8LWFZQ0 Symbol: GOOGL-230421C00108000					
2023-04-12	1.0	61.93	2023-04-12	65.05	0.00	-3.12	
PUT NKE 04/21/23	123	NIKE INC CLASS B CUSIP: *8LWMYD2 Symbol: NKE---230421P00123000					
2023-04-20	1.0	22.93	2023-04-20	27.05	0.00	-4.12	
CALL PFE 04/06/23	41.50	PFIZER INC CUSIP: *8LWXGT8 Symbol: PFE---230406C00041500					
2023-04-03	4.0	79.78	2023-04-03	68.20	0.00	11.58	
2023-04-04	1.0	16.93	2023-04-03	17.05	0.00	-0.12	
Security Totals:		96.71		85.25		11.46	
CALL MARA 03/10/23	7	MARATHON DIGITAL HOLDINGS INC CUSIP: *8LXHM6 Symbol: MARA--230310C00007000					
2023-03-06	5.0	69.73	2023-03-06	95.25	0.00	-25.52	
PUT META 03/10/23	160	META PLATFORMS INC CL A CUSIP: *8LXLRN7 Symbol: META--230310P00160000					
2023-02-23	1.0	142.93	2023-02-22	189.05	0.00	-46.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MX67408

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL QQQ 03/21/23	310	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8LXSCP1 Symbol: QQQ---230321C00310000	2023-03-21	90.10	0.00	-24.22	
	2.0	65.88	2023-03-21	90.10	0.00	-24.22	
CALL SPY 03/21/23	400	STANDARD & POORS DEPOSITORY CUSIP: *8LXVRN8 Symbol: SPY---230321C00400000	2023-03-21	66.10	0.00	3.76	
	2.0	69.86	2023-03-21	66.10	0.00	3.76	
CALL QQQ 06/23/23	363	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8LYBDV2 Symbol: QQQ---230623C00363000	2023-06-23	255.15	0.00	28.66	
	3.0	283.81	2023-06-23	255.15	0.00	28.66	
PUT SPY 05/04/23	405	STANDARD & POORS DEPOSITORY CUSIP: *8LYBHL4 Symbol: SPY---230504P00405000	2023-05-04	76.05	0.00	3.88	
	1.0	79.93	2023-05-03	76.05	0.00	3.88	
PUT NVDA 03/10/23	225	NVIDIA CORPORATION CUSIP: *8LYMNF4 Symbol: NVDA--230310P00225000	2023-03-08	1,138.96	11.74 D	43.03	
	13.0	1,170.25	2023-03-08	1,138.96	11.74 D	43.03	
	4.0	148.72	2023-03-10	241.43	49.80 D	-42.91	
Security Totals:		1,318.97		1,380.39		0.12	
CALL AMD 05/19/23	105	ADVANCED MICRO DEVICES INC CUSIP: *8LZDLB1 Symbol: AMD---230519C00105000	2023-04-18	76.05	0.00	7.88	
	1.0	83.93	2023-04-17	76.05	0.00	7.88	
	2.0	141.88	2023-04-18	157.10	7.11 D	-8.11	
	1.0	59.93	2023-04-20	83.16	0.00	-23.23	
Security Totals:		285.74		316.31		-23.46	
PUT AMD 04/14/23	90	ADVANCED MICRO DEVICES INC CUSIP: *8NGTTN0 Symbol: AMD---230414P00090000	2023-04-05	122.05	0.00	3.88	
	1.0	125.93	2023-04-05	122.05	0.00	3.88	
PUT BABA 04/14/23	93	ALIBABA GROUP HOLDING LIMITED CUSIP: *8NHBSM6 Symbol: BABA--230414P00093000	2023-04-13	141.10	0.00	-91.22	
	2.0	49.88	Various	141.10	0.00	-91.22	
CALL COIN 04/14/23	70	COINBASE GLOBAL INC CUSIP: *8NJKBX1 Symbol: COIN--230414C00070000	2023-04-04	168.05	0.00	0.88	
	1.0	168.93	2023-04-04	168.05	0.00	0.88	
CALL TSLA 04/21/23	200	TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKHWW5 Symbol: TSLA--230421C00200000	2023-04-17	290.05	0.00	-15.12	
	1.0	274.93	2023-04-17	290.05	0.00	-15.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SNOW 03/10/23	135	SNOWFLAKE INC CLASS A CUSIP: *8NKVCQ8 Symbol: SNOW--230310P00135000					
2023-03-09	5.0	401.71	2023-03-09	424.36	6.11 D	-16.54	
PUT SNOW 03/10/23	136	SNOWFLAKE INC CLASS A CUSIP: *8NKVCR1 Symbol: SNOW--230310P00136000					
2023-03-09	1.0	136.93	2023-03-09	109.05	0.00	27.88	
PUT SNOW 03/10/23	140	SNOWFLAKE INC CLASS A CUSIP: *8NKVFX0 Symbol: SNOW--230310P00140000					
2023-03-07	1.0	207.93	2023-03-07	186.05	0.00	21.88	
2023-03-09	2.0	219.87	2023-03-09	186.10	0.00	33.77	
Security Totals:		427.80		372.15		55.65	
CALL GM 04/14/23	36	GENERAL MOTORS COMPANY CUSIP: *8NLTVM8 Symbol: GM----230414C00036000					
2023-04-10	5.0	159.70	2023-04-10	160.25	0.00	-0.55	
PUT TSLA 02/24/23	192.50	TESLA INC CUSIP: *8NNPHJ6 Symbol: TSLA--230224P00192500					
2023-02-24	3.0	435.79	2023-02-24	433.15	0.00	2.64	
CALL JPM 04/14/23	130	JPMORGAN CHASE & CO CUSIP: *8NNPPS2 Symbol: JPM---230414C00130000					
2023-04-06	1.0	155.93	2023-04-05	180.05	0.00	-24.12	
CALL TSLA 03/03/23	197.50	TESLA INC CUSIP: *8NNPXT0 Symbol: TSLA--230303C00197500					
2023-03-02	1.0	78.93	2023-03-02	78.05	0.00	0.88	
PUT TSLA 03/10/23	170	TESLA INC CUSIP: *8NNQSX5 Symbol: TSLA--230310P00170000					
2023-03-10	1.0	148.93	2023-03-10	164.05	0.00	-15.12	
PUT TSLA 03/10/23	175	TESLA INC CUSIP: *8NNQTM2 Symbol: TSLA--230310P00175000					
2023-03-08	3.0	382.79	2023-03-08	391.27	4.12 D	-4.36	
CALL TSLA 03/10/23	180	TESLA INC CUSIP: *8NNQTN0 Symbol: TSLA--230310C00180000					
2023-03-10	2.0	73.88	2023-03-10	85.10	0.00	-11.22	
CALL PLUG 04/14/23	10	PLUG POWER INC CUSIP: *8NQJYY1 Symbol: PLUG--230414C00010000					
2023-04-11	3.0	44.82	2023-04-11	48.15	0.00	-3.33	
PUT SPY 04/04/23	400	STANDARD & POORS DEPOSITORY CUSIP: *8NRNXZ1 Symbol: SPY---230404P00400000					
2023-03-31	2.0	143.88	Various	217.10	0.00	-73.22	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MX67408

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 03/13/23	375	STANDARD & POORS DEPOSITORY CUSIP: *8NSYPL3 Symbol: SPY---230313P00375000					
2023-03-10	20.0	658.96	2023-03-10	620.98	0.00	37.98	
CALL SPY 03/13/23	385	STANDARD & POORS DEPOSITORY CUSIP: *8NSYPV3 Symbol: SPY---230313C00385000					
2023-03-13	1.0	97.93	2023-03-13	104.05	0.00	-6.12	
CALL TSLA 04/14/23	200	TESLA INC CUSIP: *8NVDCK1 Symbol: TSLA--230414C00200000					
2023-04-03	1.0	474.93	2023-04-03	515.05	0.00	-40.12	
CALL TSLA 04/14/23	220	TESLA INC CUSIP: *8NVDPJ3 Symbol: TSLA--230414C00220000					
2023-03-31	1.0	236.93	2023-03-30	223.05	0.00	13.88	
CALL GOOGL 02/03/23	100	ALPHABET INC CLASS A CUSIP: *8NWFJB0 Symbol: GOOGL-230203C00100000					
2023-01-31	1.0	180.94	2023-01-30	165.04	0.00	15.90	
CALL SPY 03/21/23	394	STANDARD & POORS DEPOSITORY CUSIP: *8NZZBV0 Symbol: SPY---230321C00394000					
2023-03-20	2.0	424.86	2023-03-20	403.10	0.00	21.76	
PUT SPY 03/21/23	395	STANDARD & POORS DEPOSITORY CUSIP: *8NZZPD2 Symbol: SPY---230321P00395000					
2023-03-21	3.0	116.83	2023-03-21	126.15	0.00	-9.32	
CALL NFLX 02/03/23	370	NETFLIX INC CUSIP: *8PCCXR4 Symbol: NFLX--230203C00370000					
2023-02-03	1.0	172.93	2023-02-03	148.05	0.00	24.88	
PUT NVDA 02/03/23	190	NVIDIA CORPORATION CUSIP: *8PCDZJ1 Symbol: NVDA--230203P00190000					
2023-01-30	1.0	290.94	2023-01-30	305.04	0.00	-14.10	
CALL AAPL 02/17/23	155	APPLE INC CUSIP: *8PCSXX0 Symbol: AAPL--230217C00155000					
2023-02-08	1.0	253.93	2023-02-08	260.05	0.00	-6.12	
CALL AAPL 04/28/23	167.50	APPLE INC CUSIP: *8PDHGV9 Symbol: AAPL--230428C00167500					
2023-04-25	1.0	61.93	2023-04-25	83.05	0.00	-21.12	
PUT AMD 04/14/23	93	ADVANCED MICRO DEVICES INC CUSIP: *8PDKJF9 Symbol: AMD---230414P00093000					
2023-04-11	1.0	139.93	2023-04-11	145.05	0.00	-5.12	
CALL SPY 02/03/23	415	STANDARD & POORS DEPOSITORY CUSIP: *8PDLSX3 Symbol: SPY---230203C00415000					
2023-02-03	1.0	155.93	2023-02-03	172.05	0.00	-16.12	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MX67408

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 02/03/23	202.50	TESLA INC CUSIP: *8PFRMX6 Symbol: TSLA--230203C00202500					
2023-02-03	2.0	159.86	2023-02-03	250.22	19.12 D	-71.24	
CALL TSLA 03/10/23	205	TESLA INC CUSIP: *8PFRSH6 Symbol: TSLA--230310C00205000					
2023-03-06	1.0	247.93	2023-03-06	260.05	0.00	-12.12	
PUT JPM 03/31/23	129	JPMORGAN CHASE & CO CUSIP: *8PGBQQ8 Symbol: JPM--230331P00129000					
2023-03-30	2.0	164.86	2023-03-30	150.10	0.00	14.76	
PUT AMD 04/06/23	95	ADVANCED MICRO DEVICES INC CUSIP: *8PGHZM2 Symbol: AMD---230406P00095000					
2023-04-03	1.0	143.93	2023-04-03	156.05	0.00	-12.12	
PUT CRWD 04/28/23	120	CROWDSTRIKE HOLDINGS INC CL A CUSIP: *8PHZDC3 Symbol: CRWD--230428P00120000					
2023-04-26	1.0	78.93	2023-04-26	86.05	0.00	-7.12	
CALL AAPL 05/05/23	175	APPLE INC CUSIP: *8PJPM9 Symbol: AAPL--230505C00175000					
2023-05-05	1.0	19.93	2023-05-05	24.05	0.00	-4.12	
CALL AAPL 05/19/23	177.50	APPLE INC CUSIP: *8PKGHP1 Symbol: AAPL--230519C00177500					
2023-05-19	1.0	0.00	2023-05-19	14.05	0.00	-14.05	
CALL SPY 05/08/23	412	STANDARD & POORS DEPOSITORY CUSIP: *8PNBTH2 Symbol: SPY---230508C00412000					
2023-05-05	1.0	107.93	2023-05-05	138.05	0.00	-30.12	
CALL TSLA 02/03/23	210	TESLA INC CUSIP: *8PNZRN1 Symbol: TSLA--230203C00210000					
2023-02-02	2.0	89.86	2023-02-02	143.10	0.00	-53.24	
PUT SNOW 03/17/23	140	SNOWFLAKE INC CLASS A CUSIP: *8PRWX58 Symbol: SNOW--230317P00140000					
2023-03-07	1.0	374.93	2023-03-07	330.05	0.00	44.88	
2023-03-09	3.0	1,029.79	Various	995.27	0.12 D	34.64	
Security Totals:		1,404.72		1,325.32		79.52	
CALL AAPL 03/24/23	160	APPLE INC CUSIP: *8PSRVJ5 Symbol: AAPL--230324C00160000					
2023-03-20	1.0	77.93	2023-03-20	75.05	0.00	2.88	
PUT SPY 06/16/23	440	STANDARD & POORS DEPOSITORY CUSIP: *8PWGNG7 Symbol: SPY---230616P00440000					
2023-06-16	1.0	44.93	2023-06-16	32.05	0.00	12.88	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MX67408

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NVDA 03/24/23	262.50	NVIDIA CORPORATION CUSIP: *8PXKDK4 Symbol: NVDA--230324P00262500					
2023-03-24	5.0	289.71	2023-03-24	295.25	0.00	-5.54	
CALL BABA 04/14/23	105	ALIBABA GROUP HOLDING LIMITED CUSIP: *8PZMXT9 Symbol: BABA--230414C00105000					
2023-03-29	1.0	277.93	2023-03-29	264.05	0.00	13.88	
CALL NVDA 02/10/23	232.50	NVIDIA CORPORATION CUSIP: *8PZPYD9 Symbol: NVDA--230210C00232500					
2023-02-09	1.0	173.93	2023-02-09	198.05	0.00	-24.12	
PUT AAPL 01/27/23	134	APPLE INC CUSIP: *8SBDMQ2 Symbol: AAPL--230127P00134000					
2023-01-20	1.0	200.94	2023-01-19	176.04	0.00	24.90	
PUT AMD 01/27/23	66	ADVANCED MICRO DEVICES INC CUSIP: *8SBFJZ6 Symbol: AMD---230127P00066000					
2023-01-20	1.0	58.94	2023-01-20	60.04	0.00	-1.10	
CALL MSFT 01/27/23	250	MICROSOFT CORP CUSIP: *8SCCDC3 Symbol: MSFT--230127C00250000					
2023-01-24	1.0	281.94	2023-01-24	270.04	0.00	11.90	
CALL TSLA 02/10/23	207.50	TESLA INC CUSIP: *8SCFKK7 Symbol: TSLA--230210C00207500					
2023-02-08	1.0	239.93	2023-02-08	238.05	0.00	1.88	
PUT NVDA 03/17/23	220	NVIDIA CORPORATION CUSIP: *8SDBXH6 Symbol: NVDA--230317P00220000					
2023-03-10	1.0	389.93	2023-03-10	296.05	0.00	93.88	
2023-03-13	3.0	754.79	2023-03-13	721.15	0.00	33.64	
Security Totals:		1,144.72		1,017.20		127.52	
PUT NVDA 03/17/23	225	NVIDIA CORPORATION CUSIP: *8SDBXH9 Symbol: NVDA--230317P00225000					
2023-03-13	1.0	349.93	2023-03-13	325.05	0.00	24.88	
PUT NVDA 03/17/23	230	NVIDIA CORPORATION CUSIP: *8SDBXJ1 Symbol: NVDA--230317P00230000					
2023-03-15	1.0	246.93	2023-03-15	245.05	0.00	1.88	
CALL NVDA 03/17/23	250	NVIDIA CORPORATION CUSIP: *8SDBXJ8 Symbol: NVDA--230317C00250000					
2023-03-16	10.0	1,119.46	2023-03-16	1,290.50	0.00	-171.04	
CALL TSLA 05/19/23	180	TESLA INC CUSIP: *8SDHHR9 Symbol: TSLA--230519C00180000					
2023-05-19	3.0	294.79	2023-05-19	253.15	0.00	41.64	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MX67408

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT TSLA 04/21/23	165	TESLA INC CUSIP: *8SDJWC3 Symbol: TSLA--230421P00165000					
2023-04-20	1.0	196.93	2023-04-20	197.05	0.00	-0.12	
CALL AMZN 03/03/23	93	AMAZON.COM INC CUSIP: *8SFNPM1 Symbol: AMZN--230303C00093000					
2023-03-02	1.0	33.93	2023-03-02	36.05	0.00	-2.12	
PUT SPY 02/03/23	402	STANDARD & POORS DEPOSITORY CUSIP: *8SGHMN9 Symbol: SPY---230203P00402000					
2023-01-31	1.0	304.94	2023-01-31	350.04	0.00	-45.10	
CALL TSLA 03/17/23	185	TESLA INC CUSIP: *8SGJCN6 Symbol: TSLA--230317C00185000					
2023-03-14	1.0	263.93	2023-03-14	268.05	0.00	-4.12	
PUT GOOGL 03/24/23	98	ALPHABET INC CLASS A CUSIP: *8SGPPC6 Symbol: GOOGL-230324P00098000					
2023-03-20	3.0	281.83	2023-03-20	270.15	0.00	11.68	
PUT GOOGL 03/24/23	99	ALPHABET INC CLASS A CUSIP: *8SGPPD1 Symbol: GOOGL-230324P00099000					
2023-03-20	5.0	518.69	2023-03-20	627.47	108.78 D	0.00	
2023-03-21	3.0	204.81	2023-03-20	399.71	0.00	-194.90	
Security Totals:		723.50		1,027.18		-194.90	
CALL GOOGL 03/24/23	100	ALPHABET INC CLASS A CUSIP: *8SGPPD2 Symbol: GOOGL-230324C00100000					
2023-03-21	1.0	319.93	2023-03-21	335.05	0.00	-15.12	
CALL GOOGL 04/14/23	107	ALPHABET INC CLASS A CUSIP: *8SGRKC3 Symbol: GOOGL-230414C00107000					
2023-04-12	1.0	80.93	2023-04-12	101.05	0.00	-20.12	
CALL JPM 03/24/23	130	JPMORGAN CHASE & CO CUSIP: *8SGRLZ8 Symbol: JPM---230324C00130000					
2023-03-20	1.0	243.93	2023-03-20	250.05	0.00	-6.12	
PUT JPM 03/24/23	130	JPMORGAN CHASE & CO CUSIP: *8SGRLZ9 Symbol: JPM---230324P00130000					
2023-03-14	8.0	1,519.54	2023-03-14	1,816.62	94.22 D	-202.86	
CALL META 02/17/23	192.50	META PLATFORMS INC CL A CUSIP: *8SGTXZ7 Symbol: META--230217C00192500					
2023-02-09	2.0	330.86	2023-02-09	383.22	21.12 D	-31.24	
PUT META 03/03/23	167.50	META PLATFORMS INC CL A CUSIP: *8SGTYH8 Symbol: META--230303P00167500					
2023-02-27	1.0	168.93	2023-02-24	223.05	0.00	-54.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MX67408

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL META 03/24/23	205	META PLATFORMS INC CL A CUSIP: *8SGVCQ1 Symbol: META--230324C00205000					
2023-03-21	2.0	448.86	2023-03-21	448.10	0.00	0.76	
CALL MSFT 03/24/23	280	MICROSOFT CORP CUSIP: *8SGVVM8 Symbol: MSFT--230324C00280000					
2023-03-24	2.0	140.86	2023-03-24	148.10	0.00	-7.24	
PUT NFLX 03/24/23	295	NETFLIX INC CUSIP: *8SGWHP6 Symbol: NFLX--230324P00295000					
2023-03-17	2.0	959.86	2023-03-17	857.10	0.00	102.76	
PUT NFLX 03/24/23	300	NETFLIX INC CUSIP: *8SGWHP8 Symbol: NFLX--230324P00300000					
2023-03-21	1.0	379.93	2023-03-21	400.05	0.00	-20.12	
PUT NVDA 03/24/23	225	NVIDIA CORPORATION CUSIP: *8SGXDR3 Symbol: NVDA--230324P00225000					
2023-03-15	2.0	753.88	2023-03-15	755.10	58.11 D	56.89	
2023-03-16	4.0	1,039.77	2023-03-16	1,343.31	0.00	-303.54	
Security Totals:		1,793.65		2,098.41		-246.65	
PUT NVDA 03/24/23	230	NVIDIA CORPORATION CUSIP: *8SGXDR5 Symbol: NVDA--230324P00230000					
2023-03-17	3.0	302.81	2023-03-17	327.15	0.00	-24.34	
PUT SPY 03/24/23	385	STANDARD & POORS DEPOSITORY CUSIP: *8SHBSF8 Symbol: SPY---230324P00385000					
2023-03-23	6.0	299.69	2023-03-23	414.29	0.00	-114.60	
CALL SPY 03/24/23	395	STANDARD & POORS DEPOSITORY CUSIP: *8SHBSH9 Symbol: SPY---230324C00395000					
2023-03-23	5.0	629.73	2023-03-23	510.25	0.00	119.48	
2023-03-24	4.0	203.78	2023-03-24	264.20	0.00	-60.42	
Security Totals:		833.51		774.45		59.06	
CALL TSLA 03/24/23	200	TESLA INC CUSIP: *8SHDDZ2 Symbol: TSLA--230324C00200000					
2023-03-21	1.0	243.93	2023-03-21	225.05	0.00	18.88	
PUT AMD 03/24/23	96	ADVANCED MICRO DEVICES INC CUSIP: *8SHKFD7 Symbol: AMD---230324P00096000					
2023-03-21	1.0	222.93	2023-03-21	216.05	0.00	6.88	
CALL AMD 03/24/23	100	ADVANCED MICRO DEVICES INC CUSIP: *8SHKFH7 Symbol: AMD---230324C00100000					
2023-03-17	1.0	226.93	2023-03-17	207.05	0.00	19.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MX67408

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL COIN 03/24/23	77 COINBASE GLOBAL INC	CUSIP: *8SHMCY1 Symbol: COIN--230324C00077000					
2023-03-20	1.0	344.93	2023-03-20	370.05	0.00	-25.12	
CALL COIN 03/24/23	84 COINBASE GLOBAL INC	CUSIP: *8SHMDD4 Symbol: COIN--230324C00084000					
2023-03-22	3.0	940.81	2023-03-22	1,115.26	50.11 D	-124.34	
CALL NVDA 06/16/23	440 NVIDIA CORPORATION	CUSIP: *8SHSHM1 Symbol: NVDA--230616C00440000					
2023-06-16	1.0	145.93	2023-06-16	125.05	0.00	20.88	
PUT NVDA 03/03/23	227.50 NVIDIA CORPORATION	CUSIP: *8SHYPT8 Symbol: NVDA--230303P00227500					
2023-02-27	1.0	204.93	2023-02-27	217.05	0.00	-12.12	
CALL QQQ 03/24/23	312 INVESCO QQQ TRUST SERIES 1	CUSIP: *8SBJJ8 Symbol: QQQ---230324C00312000					
2023-03-24	10.0	549.44	2023-03-24	520.49	0.00	28.95	
CALL SHOP 03/24/23	46.50 SHOPIFY INC CL A	CUSIP: *8SJRZ4 Symbol: SHOP--230324C00046500					
2023-03-23	24.0	1,014.71	2023-03-23	1,316.20	113.02 D	-188.47	
CALL SPY 03/20/23	395 STANDARD & POORS DEPOSITORY	CUSIP: *8SJRWD4 Symbol: SPY---230320C00395000					
2023-03-17	2.0	228.86	2023-03-17	220.10	0.00	8.76	
CALL AMZN 05/05/23	130 AMAZON.COM INC	CUSIP: *8SLCHP5 Symbol: AMZN--230505C00130000					
2023-04-27	1.0	29.93	2023-04-27	30.05	0.00	-0.12	
PUT SPY 03/23/23	395 STANDARD & POORS DEPOSITORY	CUSIP: *8SLFFF0 Symbol: SPY---230323P00395000					
2023-03-23	10.0	639.48	2023-03-23	680.49	0.00	-41.01	
PUT QQQ 06/13/23	359 INVESCO QQQ TRUST SERIES 1	CUSIP: *8SNBBK7 Symbol: QQQ---230613P00359000					
2023-06-13	1.0	64.93	2023-06-13	63.05	0.00	1.88	
CALL QQQ 05/17/23	329 INVESCO QQQ TRUST SERIES 1	CUSIP: *8SNHCD7 Symbol: QQQ---230517C00329000					
2023-05-17	2.0	43.88	2023-05-17	82.10	0.00	-38.22	
CALL META 06/23/23	285 META PLATFORMS INC CL A	CUSIP: *8SNYML3 Symbol: META--230623C00285000					
2023-06-21	1.0	176.93	2023-06-21	200.05	0.00	-23.12	
CALL TSLA 06/23/23	262.50 TESLA INC	CUSIP: *8SQMNR3 Symbol: TSLA--230623C00262500					
2023-06-23	1.0	92.93	2023-06-23	97.05	0.00	-4.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MX67408

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 06/30/23	262.50	TESLA INC CUSIP: *8SQNCB6 Symbol: TSLA--230630C00262500					
2023-06-28	1.0	189.93	2023-06-28	238.05	0.00	-48.12	
CALL AMD 03/17/23	90	ADVANCED MICRO DEVICES INC CUSIP: *8STFNH4 Symbol: AMD---230317C00090000					
2023-03-14	8.0	502.48	2023-03-14	441.40	0.00	61.08	
CALL QQQ 06/30/23	370	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SWLKF9 Symbol: QQQ---230630C00370000					
2023-06-30	2.0	171.86	2023-06-30	165.10	0.00	6.76	
CALL GOOGL 04/21/23	105	ALPHABET INC CLASS A CUSIP: *8SYVHP5 Symbol: GOOGL-230421C00105000					
2023-04-03	1.0	257.93	2023-04-03	187.05	0.00	70.88	
2023-04-06	1.0	248.93	2023-04-05	233.05	0.00	15.88	
Security Totals:		506.86		420.10		86.76	
PUT MARA 03/03/23	6.50	MARATHON DIGITAL HOLDINGS INC CUSIP: *8UCBFQ9 Symbol: MARA--230303P00006500					
2023-02-28	13.0	207.31	2023-02-28	248.65	0.00	-41.34	
PUT SPY 03/03/23	400	STANDARD & POORS DEPOSITORY CUSIP: *8UCDYD3 Symbol: SPY---230303P00400000					
2023-03-03	2.0	105.86	2023-03-03	107.10	0.00	-1.24	
CALL SPY 03/03/23	404	STANDARD & POORS DEPOSITORY CUSIP: *8UCDYF2 Symbol: SPY---230303C00404000					
2023-03-03	31.0	1,268.37	2023-03-03	1,278.56	28.02 D	17.83	
PUT TSLA 03/03/23	185	TESLA INC CUSIP: *8UCKWK1 Symbol: TSLA--230303P00185000					
2023-03-01	1.0	141.93	2023-03-01	130.05	0.00	11.88	
2023-03-02	1.0	147.93	2023-03-02	138.05	0.00	9.88	
Security Totals:		289.86		268.10		21.76	
PUT TSLA 03/03/23	190	TESLA INC CUSIP: *8UCKWK3 Symbol: TSLA--230303P00190000					
2023-03-01	1.0	227.93	2023-03-01	236.05	0.00	-8.12	
CALL TSLA 03/03/23	200	TESLA INC CUSIP: *8UCKWK6 Symbol: TSLA--230303C00200000					
2023-03-03	1.0	69.93	2023-03-03	70.05	0.00	-0.12	
CALL AAPL 02/10/23	155	APPLE INC CUSIP: *8UCNJR6 Symbol: AAPL--230210C00155000					
2023-02-07	1.0	122.93	2023-02-07	113.05	0.00	9.88	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MX67408

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

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CALL AAPL 02/10/23 160 APPLE INC CUSIP: *8UCNJR8 Symbol: AAPL--230210C00160000							
2023-02-03	1.0	119.93	2023-02-03	110.05	0.00	9.88	
CALL GOOGL 02/10/23 105 ALPHABET INC CLASS A CUSIP: *8UCNTS0 Symbol: GOOGL-230210C00105000							
2023-02-06	1.0	76.93	2023-02-06	75.05	0.00	1.88	
2023-02-07	1.0	147.93	2023-02-06	129.05	0.00	18.88	
Security Totals:		224.86		204.10		20.76	
CALL TSLA 04/21/23 180 TESLA INC CUSIP: *8UCPLK2 Symbol: TSLA--230421C00180000							
2023-04-20	1.0	14.93	2023-04-20	37.05	0.00	-22.12	
PUT AAPL 03/31/23 150 APPLE INC CUSIP: *8UDBZZ3 Symbol: AAPL--230331P00150000							
2023-03-16	1.0	244.93	2023-03-15	305.05	60.12 D	-0.00	
2023-03-20	5.0	721.70	Various	1,011.60	75.23 D	-214.67	
Security Totals:		966.63		1,316.65		-214.67	
CALL AAPL 03/31/23 160 APPLE INC CUSIP: *8UDCBB0 Symbol: AAPL--230331C00160000							
2023-03-28	1.0	60.93	2023-03-28	75.05	14.12 D	0.00	
2023-03-29	1.0	142.93	2023-03-29	154.17	0.00	-11.24	
Security Totals:		203.86		229.22		-11.24	
CALL AMZN 03/31/23 98 AMAZON.COM INC CUSIP: *8UDCKN1 Symbol: AMZN--230331C00098000							
2023-03-27	1.0	184.93	2023-03-27	174.05	0.00	10.88	
PUT AMZN 03/31/23 99 AMAZON.COM INC CUSIP: *8UDCKN4 Symbol: AMZN--230331P00099000							
2023-03-29	4.0	331.78	2023-03-29	379.20	0.00	-47.42	
CALL AMZN 03/31/23 100 AMAZON.COM INC CUSIP: *8UDCKN5 Symbol: AMZN--230331C00100000							
2023-03-29	6.0	531.62	2023-03-29	553.74	21.44 D	-0.68	
CALL BABA 03/31/23 102 ALIBABA GROUP HOLDING LIMITED CUSIP: *8UDCTF7 Symbol: BABA--230331C00102000							
2023-03-31	10.0	699.48	2023-03-31	740.49	0.00	-41.01	
CALL BABA 03/31/23 104 ALIBABA GROUP HOLDING LIMITED CUSIP: *8UDCTG1 Symbol: BABA--230331C00104000							
2023-03-31	20.0	408.94	2023-03-31	1,032.02	286.03 D	-337.05	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MX67408

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

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Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AMZN 02/24/23	100	AMAZON.COM INC CUSIP: *8UDDGX6 Symbol: AMZN--230224C00100000					
2023-02-23	1.0	12.93	2023-02-17	69.05	0.00	-56.12	
CALL GOOGL 02/10/23	107	ALPHABET INC CLASS A CUSIP: *8UDFWG2 Symbol: GOOGL-230210C00107000					
2023-02-07	2.0	213.86	2023-02-07	236.10	0.00	-22.24	
PUT COIN 03/31/23	60	COINBASE GLOBAL INC CUSIP: *8UDKJT5 Symbol: COIN--230331P00060000					
2023-03-27	1.0	264.93	2023-03-27	217.05	0.00	47.88	
PUT COIN 03/31/23	63	COINBASE GLOBAL INC CUSIP: *8UDKJV1 Symbol: COIN--230331P00063000					
2023-03-30	1.0	91.93	2023-03-30	100.05	0.00	-8.12	
PUT COIN 03/31/23	64	COINBASE GLOBAL INC CUSIP: *8UDKJV3 Symbol: COIN--230331P00064000					
2023-03-29	3.0	629.79	2023-03-29	619.15	0.00	10.64	
PUT GOOGL 03/31/23	99	ALPHABET INC CLASS A CUSIP: *8UDLPT7 Symbol: GOOGL-230331P00099000					
2023-03-30	3.0	125.83	2023-03-30	150.15	0.00	-24.32	
CALL GOOGL 03/31/23	100	ALPHABET INC CLASS A CUSIP: *8UDLPT8 Symbol: GOOGL-230331C00100000					
2023-03-28	1.0	177.93	2023-03-28	205.05	27.12 D	-0.00	
2023-03-30	2.0	205.86	2023-03-30	233.46	16.24 D	-11.36	
Security Totals:		383.79		438.51		-11.36	
PUT GOOGL 03/31/23	100	ALPHABET INC CLASS A CUSIP: *8UDLPT9 Symbol: GOOGL-230331P00100000					
2023-03-21	4.0	459.74	2023-03-21	489.56	29.82 D	0.00	
2023-03-30	10.0	481.45	2023-03-30	497.96	0.00	-16.51	
Security Totals:		941.19		987.52		-16.51	
PUT GOOGL 03/31/23	104	ALPHABET INC CLASS A CUSIP: *8UDLPV7 Symbol: GOOGL-230331P00104000					
2023-03-24	2.0	343.86	2023-03-24	328.10	0.00	15.76	
CALL GOOGL 03/31/23	105	ALPHABET INC CLASS A CUSIP: *8UDLPV8 Symbol: GOOGL-230331C00105000					
2023-03-27	1.0	134.93	2023-03-27	134.05	0.00	0.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
Security Totals:							
PUT NFLX 03/31/23	320	NETFLIX INC CUSIP: *8UDPGM2 Symbol: NFLX--230331P00320000					
2023-03-27	1.0	256.93	2023-03-27	266.05	9.12 D	-0.00	
2023-03-29	1.0	204.93	2023-03-29	196.17	0.00	8.76	
		461.86		462.22		8.76	
Security Totals:							
PUT NFLX 03/31/23	325	NETFLIX INC CUSIP: *8UDPGM4 Symbol: NFLX--230331P00325000					
2023-03-28	3.0	1,254.79	2023-03-28	1,360.27	15.12 D	-90.36	
CALL NFLX 03/31/23	330	NETFLIX INC CUSIP: *8UDPGM5 Symbol: NFLX--230331C00330000					
2023-03-28	1.0	509.93	2023-03-28	525.05	0.00	-15.12	
PUT NVDA 03/31/23	250	NVIDIA CORPORATION CUSIP: *8UDPMB8 Symbol: NVDA--230331P00250000					
2023-03-28	1.0	88.93	2023-03-28	84.05	0.00	4.88	
CALL NVDA 03/31/23	265	NVIDIA CORPORATION CUSIP: *8UDPMC3 Symbol: NVDA--230331C00265000					
2023-03-28	1.0	304.93	2023-03-28	345.05	0.00	-40.12	
PUT TSLA 03/31/23	175	TESLA INC CUSIP: *8UDQZH4 Symbol: TSLA--230331P00175000					
2023-03-20	1.0	409.93	2023-03-20	405.05	0.00	4.88	
CALL TSLA 03/31/23	200	TESLA INC CUSIP: *8UDQZK3 Symbol: TSLA--230331C00200000					
2023-03-27	2.0	634.86	2023-03-27	710.22	75.36 D	-0.00	
2023-03-30	1.0	127.93	2023-03-30	177.29	0.00	-49.36	
		762.79		887.51		-49.36	
Security Totals:							
CALL AMZN 04/28/23	106	AMAZON.COM INC CUSIP: *8UFCXK7 Symbol: AMZN--230428C00106000					
2023-04-14	1.0	257.93	2023-04-14	243.05	0.00	14.88	
CALL AMZN 04/28/23	110	AMAZON.COM INC CUSIP: *8UFCXL5 Symbol: AMZN--230428C00110000					
2023-04-17	1.0	160.93	2023-04-14	146.05	0.00	14.88	
2023-04-18	2.0	283.86	2023-04-17	284.10	14.12 D	13.88	
2023-04-21	1.0	260.93	2023-04-21	231.17	0.00	29.76	
		705.72		661.32		58.52	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AMZN 04/28/23	115	AMAZON.COM INC CUSIP: *8UFCXM5 Symbol: AMZN--230428C00115000					
2023-04-24	1.0	101.93	2023-04-24	109.05	7.12 D	0.00	
2023-04-27	1.0	195.93	2023-04-27	202.17	0.00	-6.24	
Security Totals:		297.86		311.22		-6.24	
PUT JPM 03/31/23	123	JPMORGAN CHASE & CO CUSIP: *8UFJZX4 Symbol: JPM---230331P00123000					
2023-03-24	1.0	271.93	2023-03-24	269.05	0.00	2.88	
CALL SPY 03/27/23	395	STANDARD & POORS DEPOSITORY CUSIP: *8UFLVK3 Symbol: SPY---230327C00395000					
2023-03-24	1.0	152.93	2023-03-24	161.05	0.00	-8.12	
CALL TSLA 06/23/23	265	TESLA INC CUSIP: *8UFYLM6 Symbol: TSLA--230623C00265000					
2023-06-22	1.0	218.93	2023-06-22	248.05	0.00	-29.12	
PUT MU 05/26/23	65	MICRON TECHNOLOGY INC CUSIP: *8UGFCP7 Symbol: MU----230526P00065000					
2023-05-23	1.0	39.93	2023-05-23	55.05	0.00	-15.12	
CALL TSLA 05/26/23	210	TESLA INC CUSIP: *8UGHFP2 Symbol: TSLA--230526C00210000					
2023-05-23	1.0	11.93	2023-05-23	35.05	0.00	-23.12	
CALL SPY 05/23/23	425	STANDARD & POORS DEPOSITORY CUSIP: *8UGLCT5 Symbol: SPY---230523C00425000					
2023-05-23	1.0	0.00	2023-05-22	8.05	0.00	-8.05	
CALL TSLA 06/09/23	260	TESLA INC CUSIP: *8UGQMP1 Symbol: TSLA--230609C00260000					
2023-06-09	1.0	21.93	2023-06-09	75.05	0.00	-53.12	
CALL META 06/30/23	290	META PLATFORMS INC CL A CUSIP: *8UJHRW1 Symbol: META--230630C00290000					
2023-06-22	1.0	291.93	2023-06-21	325.05	0.00	-33.12	
CALL QQQ 05/22/23	339	INVESCO QQQ TRUST SERIES 1 CUSIP: *8UJKST1 Symbol: QQQ---230522C00339000					
2023-05-22	2.0	47.87	2023-05-22	84.10	0.00	-36.23	
CALL SPY 06/02/23	431	STANDARD & POORS DEPOSITORY CUSIP: *8UJLJR1 Symbol: SPY---230602C00431000					
2023-06-02	1.0	0.00	2023-06-02	4.05	0.00	-4.05	
ALPHABET INC CLASS A COMMON STOCK CUSIP: 02079K305 Symbol: GOOGL							
2023-03-08	15.0	1,430.68	Various	1,517.38	0.00	-86.70	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
APPLE INC CUSIP: 037833100 Symbol: AAPL							
2023-03-01	8.6	1,259.52	Various	1,250.84	0.00	8.68	
SPDR S&P 500 ETF TRUST CUSIP: 78462F103 Symbol: SPY							
2023-03-06	2.25	912.61	Various	912.74	0.00	-0.13	
***JEFFS BRANDS LTD ORDINARY SHARES CUSIP: M61472102 Symbol: #J055152							
2023-04-11	36.0	47.14	2023-04-10	50.40	0.00	-3.26	
Totals:		63,953.48		68,731.97		-3,201.74	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR NONCOVERED TAX LOTS

Report on Form 8949, Part I with Box B checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Checked (Noncovered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Not Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
APPLE INC CUSIP: 037833100 Symbol: AAPL							
2023-03-21	0.51613	81.65	2023-03-20	81.24	0.00	0.41	
Totals:		81.65		81.24		0.41	

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Regulated Futures Contracts & Section 1256 Options

2023

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The detailed transactional information below is furnished only for your information. Only the aggregate profit/loss information in each section is reported to the IRS. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 05/09/23 4115 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-09							
CUSIP:		2023-05-09	2.00	Sell	682.36	717.64	35.28
CALL SPXW 05/15/23 4140 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-15							
CUSIP:		2023-05-15	2.00	Sell	207.27	137.82	-69.45
PUT SPXW 05/16/23 4110 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-16							
CUSIP:		2023-05-16	1.00	Sell	321.18	658.82	337.64
CALL SPXW 05/24/23 4140 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-24							
CUSIP:		2023-05-24	1.00	Sell	116.18	53.91	-62.27
PUT SPXW 05/24/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-24							
CUSIP:		2023-05-24	1.00	Sell	26.09	3.91	-22.18
PUT SPXW 05/24/23 4075 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-24							
CUSIP:		2023-05-24	1.00	Sell	66.09	33.91	-32.18
CALL SPXW 05/10/23 4165 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-10							
CUSIP:		2023-05-10	1.00	Sell	41.09	43.91	2.82
PUT SPXW 05/10/23 4095 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-10							
CUSIP:		2023-05-10	1.00	Sell	241.18	168.82	-72.36
PUT SPXW 05/11/23 4080 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-11							
CUSIP:		2023-05-11	1.00	Sell	156.18	128.82	-27.36
CALL SPXW 05/25/23 4180 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-25							
CUSIP:		2023-05-25	2.00	Sell	312.36	172.73	-139.63

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2023

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PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 05/08/23 4145 CBOE S&P 500 CLOSE/EURO INDEX	2023-05-08						
CUSIP:		2023-05-08	1.00	Sell	206.18	238.82	32.64
CALL SPXW 05/11/23 4150 CBOE S&P 500 CLOSE/EURO INDEX	2023-05-11						
CUSIP:		2023-05-11	2.00	Sell	187.18	137.82	-49.36
PUT SPXW 05/25/23 4075 CBOE S&P 500 CLOSE/EURO INDEX	2023-05-25						
CUSIP:		2023-05-25	1.00	Sell	76.09	18.91	-57.18
PUT SPXW 05/24/23 4070 CBOE S&P 500 CLOSE/EURO INDEX	2023-05-24						
CUSIP:		2023-05-24	2.00	Sell	262.36	232.73	-29.63
PUT SPXW 05/19/23 4170 CBOE S&P 500 CLOSE/EURO INDEX	2023-05-19						
CUSIP:		2023-05-19	2.00	Sell	327.36	242.73	-84.63
CALL SPXW 05/17/23 4165 CBOE S&P 500 CLOSE/EURO INDEX	2023-05-17						
CUSIP:		2023-05-17	1.00	Sell	291.18	253.82	-37.36
PUT SPXW 05/24/23 4080 CBOE S&P 500 CLOSE/EURO INDEX	2023-05-24						
CUSIP:		2023-05-24	1.00	Sell	56.09	33.91	-22.18
CALL SPXW 05/24/23 4135 CBOE S&P 500 CLOSE/EURO INDEX	2023-05-24						
CUSIP:		2023-05-24	1.00	Sell	136.18	228.82	92.64
CALL SPXW 05/17/23 4180 CBOE S&P 500 CLOSE/EURO INDEX	2023-05-17						
CUSIP:		2023-05-17	1.00	Sell	121.18	133.82	12.64
PUT SPXW 05/17/23 4145 CBOE S&P 500 CLOSE/EURO INDEX	2023-05-17						
CUSIP:		2023-05-17	1.00	Sell	136.18	113.82	-22.36
PUT SPXW 05/22/23 4170 CBOE S&P 500 CLOSE/EURO INDEX	2023-05-22						
CUSIP:		2023-05-22	1.00	Sell	26.09	8.91	-17.18

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2023

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2024-02-11

PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 05/18/23 4185 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-18							
CUSIP:		2023-05-18	1.00	Sell	76.09	103.82	27.73
CALL SPXW 05/17/23 4170 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-17							
CUSIP:		2023-05-17	2.00	Sell	397.36	287.64	-109.72
PUT SPXW 05/23/23 4140 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-23							
CUSIP:		2023-05-23	2.00	Sell	52.18	143.82	91.64
PUT SPXW 05/23/23 4135 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-23							
CUSIP:		2023-05-23	1.00	Sell	41.09	3.91	-37.18
CALL SPXW 05/18/23 4195 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-18							
CUSIP:		2023-05-18	1.00	Sell	131.18	168.82	37.64
CALL SPXW 05/22/23 4220 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-22							
CUSIP:		2023-05-22	2.00	Sell	172.27	112.73	-59.54
CALL SPXW 05/24/23 4130 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-24							
CUSIP:		2023-05-24	1.00	Sell	161.18	38.91	-122.27
CALL SPXW 05/17/23 4135 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-17							
CUSIP:		2023-05-17	2.00	Sell	502.36	507.64	5.28
PUT SPXW 05/24/23 4110 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-24							
CUSIP:		2023-05-24	1.00	Sell	11.09	0.00	-11.09
PUT SPXW 05/24/23 4115 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-24							
CUSIP:		2023-05-24	1.00	Sell	186.18	78.91	-107.27
CALL SPXW 06/30/23 4470 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-30							
CUSIP:		2023-06-30	1.00	Sell	111.18	118.82	7.64

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Regulated Futures Contracts & Section 1256 Options

2023

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2024-02-11

PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 05/25/23 4170 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-25							
CUSIP:		2023-05-25	1.00	Sell	31.09	0.00	-31.09
PUT SPXW 05/23/23 4145 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-23							
CUSIP:		2023-05-23	1.00	Sell	21.09	48.91	27.82
CALL SPXW 06/23/23 4370 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-23							
CUSIP:		2023-06-23	1.00	Sell	206.18	213.82	7.64
CALL SPXW 06/26/23 4350 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-26							
CUSIP:		2023-06-26	1.00	Sell	136.18	28.91	-107.27
PUT SPXW 06/23/23 4320 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-23							
CUSIP:		2023-06-23	1.00	Sell	151.18	188.82	37.64
CALL SPXW 06/16/23 4445 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-16							
CUSIP:		2023-06-16	1.00	Sell	211.18	208.82	-2.36
CALL SPXW 06/20/23 4400 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-20							
CUSIP:		2023-06-20	3.00	Sell	443.54	236.73	-206.81
CALL SPXW 06/28/23 4400 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-28							
CUSIP:		2023-06-28	2.00	Sell	192.27	192.73	0.46
CALL SPXW 06/15/23 4425 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-15							
CUSIP:		2023-06-15	1.00	Sell	101.18	113.82	12.64
PUT SPXW 06/23/23 4350 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-23							
CUSIP:		2023-06-23	3.00	Sell	253.45	336.55	83.10
CALL SPXW 06/14/23 4370 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-14							
CUSIP:		2023-06-14	1.00	Sell	26.09	58.91	32.82

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Regulated Futures Contracts & Section 1256 Options

2023

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PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 06/26/23 4345 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-26							
CUSIP:		2023-06-26	2.00	Sell	317.36	227.73	-89.63
CALL SPXW 06/15/23 4420 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-15							
CUSIP:		2023-06-15	7.00	Sell	868.26	831.83	-36.43
CALL SPXW 06/14/23 4380 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-14							
CUSIP:		2023-06-14	1.00	Sell	131.18	38.91	-92.27
CALL SPXW 06/27/23 4380 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-27							
CUSIP:		2023-06-27	1.00	Sell	111.18	118.82	7.64
CALL SPXW 06/14/23 4410 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-14							
CUSIP:		2023-06-14	1.00	Sell	71.09	53.91	-17.18
PUT SPXW 06/21/23 4355 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-21							
CUSIP:		2023-06-21	1.00	Sell	21.09	0.00	-21.09
CALL SPXW 06/15/23 4410 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-15							
CUSIP:		2023-06-15	1.00	Sell	231.18	278.82	47.64
CALL SPXW 06/13/23 4390 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-13							
CUSIP:		2023-06-13	1.00	Sell	201.18	263.82	62.64
CALL SPXW 06/28/23 4380 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-28							
CUSIP:		2023-06-28	1.00	Sell	236.18	218.82	-17.36
CALL SPXW 06/14/23 4385 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-14							
CUSIP:		2023-06-14	1.00	Sell	126.18	163.82	37.64
PUT SPXW 06/20/23 4345 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-20							
CUSIP:		2023-06-20	2.00	Sell	292.36	242.64	-49.72

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 06/26/23 4320 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-26							
CUSIP:		2023-06-26	3.00	Sell	243.27	246.55	3.28
PUT SPXW 06/26/23 4325 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-26							
CUSIP:		2023-06-26	1.00	Sell	16.09	3.91	-12.18
PUT SPXW 06/16/23 4390 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-16							
CUSIP:		2023-06-16	5.00	Sell	540.63	429.37	-111.26
CALL SPXW 06/30/23 4465 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-30							
CUSIP:		2023-06-30	5.00	Sell	655.90	664.10	8.20
CALL SPXW 06/27/23 4375 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-27							
CUSIP:		2023-06-27	2.00	Sell	267.36	322.64	55.28
CALL SPXW 06/27/23 4370 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-27							
CUSIP:		2023-06-27	1.00	Sell	146.18	148.82	2.64
PUT SPXW 06/27/23 4315 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-27							
CUSIP:		2023-06-27	1.00	Sell	126.18	138.82	12.64
CALL SPXW 06/26/23 4355 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-26							
CUSIP:		2023-06-26	2.00	Sell	197.27	162.82	-34.45
PUT SPXW 06/28/23 4355 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-28							
CUSIP:		2023-06-28	1.00	Sell	26.09	0.00	-26.09
CALL SPXW 06/20/23 4405 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-20							
CUSIP:		2023-06-20	1.00	Sell	11.09	0.00	-11.09
PUT SPXW 06/23/23 4335 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-23							
CUSIP:		2023-06-23	2.00	Sell	117.18	172.73	55.55

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 06/15/23 4435 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-15							
CUSIP:		2023-06-15	1.00	Sell	101.18	63.91	-37.27
CALL SPXW 06/15/23 4445 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-15							
CUSIP:		2023-06-15	2.00	Sell	287.36	272.64	-14.72
PUT SPXW 06/30/23 4385 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-30							
CUSIP:		2023-06-30	1.00	Sell	61.09	58.91	-2.18
PUT SPXW 06/20/23 4380 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-20							
CUSIP:		2023-06-20	1.00	Sell	11.09	0.00	-11.09
CALL SPXW 06/27/23 4390 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-27							
CUSIP:		2023-06-27	1.00	Sell	56.09	33.91	-22.18
CALL SPXW 06/15/23 4440 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-15							
CUSIP:		2023-06-15	1.00	Sell	151.18	193.82	42.64
CALL SPXW 06/27/23 4365 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-27							
CUSIP:		2023-06-27	1.00	Sell	101.18	93.91	-7.27
PUT SPXW 06/23/23 4345 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-23							
CUSIP:		2023-06-23	3.00	Sell	258.27	211.73	-46.54
CALL SPXW 06/28/23 4405 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-28							
CUSIP:		2023-06-28	2.00	Sell	182.27	97.82	-84.45
CALL SPXW 07/05/23 4460 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-05							
CUSIP:		2023-07-05	1.00	Sell	11.09	3.91	-7.18
PUT SPXW 06/15/23 4425 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-15							
CUSIP:		2023-06-15	1.00	Sell	206.18	208.82	2.64

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 06/29/23 4355 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-29							
CUSIP:		2023-06-29	2.00	Sell	362.36	257.64	-104.72
PUT SPXW 06/27/23 4320 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-27							
CUSIP:		2023-06-27	2.00	Sell	302.36	322.64	20.28
PUT SPXW 06/26/23 4335 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-26							
CUSIP:		2023-06-26	2.00	Sell	317.27	297.73	-19.54
PUT SPXW 06/26/23 4330 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-26							
CUSIP:		2023-06-26	1.00	Sell	171.18	168.82	-2.36
CALL SPXW 06/29/23 4420 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-29							
CUSIP:		2023-06-29	1.00	Sell	36.09	0.00	-36.09
PUT SPXW 06/27/23 4375 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-27							
CUSIP:		2023-06-27	1.00	Sell	46.09	0.00	-46.09
PUT SPXW 06/27/23 4355 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-27							
CUSIP:		2023-06-27	1.00	Sell	96.09	93.91	-2.18
PUT SPXW 07/05/23 4445 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-05							
CUSIP:		2023-07-05	1.00	Sell	21.09	3.91	-17.18
CALL SPXW 06/30/23 4475 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-30							
CUSIP:		2023-06-30	2.00	Sell	57.18	32.82	-24.36
CALL SPXW 04/28/23 4170 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-28							
CUSIP:		2023-04-28	1.00	Sell	71.09	53.91	-17.18
CALL SPXW 04/28/23 4180 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-28							
CUSIP:		2023-04-28	2.00	Sell	172.27	187.73	15.46

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 05/19/23 4175 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-19							
CUSIP:		2023-05-19	2.00	Sell	262.27	0.00	-262.27
CALL SPXW 04/28/23 4190 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-28							
CUSIP:		2023-04-28	2.00	Sell	172.27	127.73	-44.54
PUT SPXW 04/28/23 4110 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-28							
CUSIP:		2023-04-28	1.00	Sell	96.09	128.82	32.73
PUT SPXW 04/28/23 4130 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-28							
CUSIP:		2023-04-28	1.00	Sell	116.18	98.82	-17.36
CALL SPXW 04/06/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-06							
CUSIP:		2023-04-06	1.00	Sell	241.18	248.82	7.64
PUT SPXW 04/06/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-06							
CUSIP:		2023-04-06	4.00	Sell	1,139.72	1,075.28	-64.44
CALL SPXW 04/21/23 4145 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-21							
CUSIP:		2023-04-21	2.00	Sell	232.36	252.73	20.37
CALL SPXW 04/14/23 4175 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-14							
CUSIP:		2023-04-14	3.00	Sell	258.36	11.73	-246.63
PUT SPXW 04/06/23 4025 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-06							
CUSIP:		2023-04-06	1.00	Sell	31.09	18.91	-12.18
CALL SPXW 05/05/23 4150 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-05							
CUSIP:		2023-05-05	5.00	Sell	645.63	404.46	-241.17
PUT SPXW 04/05/23 4060 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-05							
CUSIP:		2023-04-05	2.00	Sell	327.36	207.64	-119.72

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 04/05/23 4125 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-05							
CUSIP:		2023-04-05	2.00	Sell	122.18	52.82	-69.36
CALL SPXW 04/06/23 4110 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-06							
CUSIP:		2023-04-06	1.00	Sell	116.18	98.82	-17.36
CALL SPXW 04/10/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-10							
CUSIP:		2023-04-10	2.00	Sell	417.36	437.64	20.28
PUT SPXW 04/10/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-10							
CUSIP:		2023-04-10	1.00	Sell	226.18	188.82	-37.36
PUT SPXW 05/12/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-12							
CUSIP:		2023-05-12	2.00	Sell	207.27	212.73	5.46
CALL SPXW 05/26/23 4250 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-26							
CUSIP:		2023-05-26	1.00	Sell	31.09	0.00	-31.09
CALL SPXW 04/12/23 4150 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-12							
CUSIP:		2023-04-12	1.00	Sell	296.18	223.82	-72.36
CALL SPXW 04/11/23 4150 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-11							
CUSIP:		2023-04-11	1.00	Sell	41.09	23.91	-17.18
CALL SPXW 04/05/23 4130 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-05							
CUSIP:		2023-04-05	1.00	Sell	71.09	58.91	-12.18
PUT SPXW 04/04/23 4105 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-04							
CUSIP:		2023-04-04	2.00	Sell	682.36	747.64	65.28
PUT SPXW 04/04/23 4095 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-04							
CUSIP:		2023-04-04	1.00	Sell	101.18	183.82	82.64

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 04/11/23 4125 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-11							
CUSIP:		2023-04-11	1.00	Sell	221.18	218.82	-2.36
CALL SPXW 04/11/23 4130 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-11							
CUSIP:		2023-04-11	3.00	Sell	668.54	691.46	22.92
CALL SPXW 04/26/23 4075 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-26							
CUSIP:		2023-04-26	1.00	Sell	106.18	108.82	2.64
PUT SPXW 04/10/23 4055 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-10							
CUSIP:		2023-04-10	1.00	Sell	121.18	113.82	-7.36
PUT SPXW 04/05/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-05							
CUSIP:		2023-04-05	1.00	Sell	91.09	103.82	12.73
CALL SPXW 04/13/23 4125 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-13							
CUSIP:		2023-04-13	1.00	Sell	151.18	133.82	-17.36
PUT SPXW 04/26/23 4035 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-26							
CUSIP:		2023-04-26	1.00	Sell	71.09	38.91	-32.18
CALL SPXW 05/19/23 4235 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-19							
CUSIP:		2023-05-19	1.00	Sell	231.18	193.82	-37.36
PUT SPXW 04/10/23 4060 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-10							
CUSIP:		2023-04-10	1.00	Sell	281.18	328.82	47.64
PUT SPXW 04/05/23 4055 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-05							
CUSIP:		2023-04-05	1.00	Sell	141.18	153.82	12.64
PUT SPXW 05/01/23 4150 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-01							
CUSIP:		2023-05-01	1.00	Sell	66.09	0.00	-66.09

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 04/05/23 4065 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-05							
CUSIP:		2023-04-05	1.00	Sell	286.18	308.82	22.64
CALL SPXW 04/05/23 4115 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-05							
CUSIP:		2023-04-05	1.00	Sell	291.18	288.82	-2.36
CALL SPXW 05/01/23 4180 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-01							
CUSIP:		2023-05-01	1.00	Sell	91.09	0.00	-91.09
CALL SPXW 04/10/23 4095 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-10							
CUSIP:		2023-04-10	2.00	Sell	607.36	667.64	60.28
CALL SPXW 04/05/23 4105 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-05							
CUSIP:		2023-04-05	1.00	Sell	321.18	368.82	47.64
PUT SPXW 04/13/23 4085 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-13							
CUSIP:		2023-04-13	2.00	Sell	497.36	387.64	-109.72
CALL SPXW 04/19/23 4160 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-19							
CUSIP:		2023-04-19	1.00	Sell	166.18	178.82	12.64
CALL SPXW 04/24/23 4140 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-24							
CUSIP:		2023-04-24	2.00	Sell	212.36	222.64	10.28
PUT SPXW 04/12/23 4095 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-12							
CUSIP:		2023-04-12	3.00	Sell	933.54	701.46	-232.08
PUT SPXW 04/20/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-20							
CUSIP:		2023-04-20	2.00	Sell	302.36	212.64	-89.72
PUT SPXW 04/18/23 4110 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-18							
CUSIP:		2023-04-18	1.00	Sell	121.18	38.91	-82.27

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 04/04/23 4110 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-04							
CUSIP:		2023-04-04	1.00	Sell	351.18	293.82	-57.36
PUT SPXW 04/11/23 4095 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-11							
CUSIP:		2023-04-11	2.00	Sell	742.36	867.64	125.28
CALL SPXW 04/24/23 4160 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-24							
CUSIP:		2023-04-24	1.00	Sell	106.18	118.82	12.64
PUT SPXW 04/18/23 4120 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-18							
CUSIP:		2023-04-18	1.00	Sell	91.09	48.91	-42.18
PUT SPXW 04/18/23 4125 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-18							
CUSIP:		2023-04-18	1.00	Sell	101.18	138.82	37.64
PUT SPXW 04/19/23 4105 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-19							
CUSIP:		2023-04-19	3.00	Sell	298.45	226.73	-71.72
PUT SPXW 04/25/23 4080 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-25							
CUSIP:		2023-04-25	2.00	Sell	237.36	147.82	-89.54
CALL SPXW 04/19/23 4165 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-19							
CUSIP:		2023-04-19	1.00	Sell	106.18	123.82	17.64
CALL SPXW 04/12/23 4155 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-12							
CUSIP:		2023-04-12	2.00	Sell	597.36	377.64	-219.72
CALL SPXW 05/04/23 4090 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-04							
CUSIP:		2023-05-04	1.00	Sell	186.18	228.82	42.64
CALL SPXW 04/17/23 4160 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-17							
CUSIP:		2023-04-17	1.00	Sell	166.18	198.82	32.64

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2024-02-11

PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 05/12/23 4145 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-12							
CUSIP:		2023-05-12	1.00	Sell	171.18	178.82	7.64
PUT SPXW 05/08/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-08							
CUSIP:		2023-05-08	1.00	Sell	126.18	143.82	17.64
CALL SPXW 04/20/23 4155 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-20							
CUSIP:		2023-04-20	2.00	Sell	292.36	267.64	-24.72
PUT SPXW 05/19/23 4165 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-19							
CUSIP:		2023-05-19	1.00	Sell	201.18	148.82	-52.36
PUT SPXW 04/26/23 4045 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-26							
CUSIP:		2023-04-26	1.00	Sell	141.18	218.82	77.64
CALL SPXW 04/26/23 4105 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-26							
CUSIP:		2023-04-26	1.00	Sell	91.09	98.82	7.73
CALL SPXW 04/18/23 4185 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-18							
CUSIP:		2023-04-18	1.00	Sell	171.18	198.82	27.64
PUT SPXW 05/19/23 4145 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-19							
CUSIP:		2023-05-19	1.00	Sell	206.18	93.91	-112.27
PUT SPXW 05/12/23 4110 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-12							
CUSIP:		2023-05-12	2.00	Sell	497.36	627.64	130.28
CALL SPXW 04/25/23 4120 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-25							
CUSIP:		2023-04-25	2.00	Sell	187.27	127.82	-59.45
PUT SPXW 05/02/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-02							
CUSIP:		2023-05-02	3.00	Sell	413.54	366.55	-46.99

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2023

5MX67408

(continued)

2024-02-11

PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 05/15/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-15							
CUSIP:		2023-05-15	1.00	Sell	181.18	158.82	-22.36
CALL SPXW 05/10/23 4160 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-10							
CUSIP:		2023-05-10	1.00	Sell	261.18	143.82	-117.36
PUT SPXW 05/15/23 4120 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-15							
CUSIP:		2023-05-15	1.00	Sell	91.09	53.91	-37.18
PUT SPXW 05/01/23 4140 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-01							
CUSIP:		2023-05-01	2.00	Sell	142.18	87.82	-54.36
CALL SPXW 05/01/23 4195 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-01							
CUSIP:		2023-05-01	5.00	Sell	430.63	364.55	-66.08
PUT SPXW 05/17/23 4140 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-17							
CUSIP:		2023-05-17	1.00	Sell	181.18	188.82	7.64
PUT SPXW 05/17/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-17							
CUSIP:		2023-05-17	1.00	Sell	156.18	118.82	-37.36
PUT SPXW 05/09/23 4105 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-09							
CUSIP:		2023-05-09	1.00	Sell	246.18	198.82	-47.36
CALL SPXW 05/18/23 4200 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-18							
CUSIP:		2023-05-18	1.00	Sell	181.18	153.82	-27.36
PUT SPXW 05/01/23 4170 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-01							
CUSIP:		2023-05-01	1.00	Sell	141.18	183.82	42.64
PUT SPXW 05/10/23 4110 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-10							
CUSIP:		2023-05-10	2.00	Sell	547.36	597.64	50.28

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2023

5MX67408

(continued)

2024-02-11

PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 04/26/23 4080 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-26							
CUSIP:		2023-04-26	1.00	Sell	96.09	73.91	-22.18
CALL SPXW 05/18/23 4190 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-18							
CUSIP:		2023-05-18	1.00	Sell	226.18	238.82	12.64
PUT SPXW 05/18/23 4130 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-18							
CUSIP:		2023-05-18	1.00	Sell	216.18	218.82	2.64
PUT SPXW 05/04/23 3975 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-04							
CUSIP:		2023-05-04	1.00	Sell	46.09	28.91	-17.18
CALL SPXW 05/11/23 4140 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-11							
CUSIP:		2023-05-11	2.00	Sell	357.36	347.64	-9.72
PUT SPXW 05/16/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-16							
CUSIP:		2023-05-16	2.00	Sell	417.36	237.64	-179.72
CALL SPXW 05/22/23 4225 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-22							
CUSIP:		2023-05-22	1.00	Sell	216.18	188.82	-27.36
Short-Term Profit/(Loss) Total: 40%							-1,455.62
Long-Term Profit/(Loss) Total: 60%							-2,183.44
Total:							-3,639.06

Aggregate Short-Term Profit or (Loss): 40% -1,455.62
 Aggregate Long-Term Profit or (Loss): 60% -2,183.44
11 - Aggregate Profit or (Loss) on Contracts -3,639.06

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2023 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Future developments. For the latest information about developments related to forms and instructions, such as legislation enacted after they were published, go to www.irs.gov/forms-instructions.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Box 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

Boxes 14-16. State income tax withheld reporting boxes.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

1099-B Instructions to Recipients

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

- Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.
- Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).
- Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.
- Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.
- Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.
- Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.
- Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.
- Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.
- Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**
- Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2023.
- Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2022.
- Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2023. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2023 in 2024.
- Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2023 Form 6781.
- Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.
- Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.
- Boxes 14–16.** Show state(s)/local income tax information.

1099-MISC Instructions to Recipients

- Amounts shown may be subject to self-employment (SE) tax.** Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the appropriate line of their tax returns.
- Form 1099-MISC incorrect?** If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your information correctly.
- Box 1.** Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.
- Box 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.
- Box 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).
- Box 4.** Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.
- Box 5.** Shows the amount paid to you as a fishing boat crew member by the operator, who considers you to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.
- Box 6.** For individuals, report on Schedule C (Form 1040).
- Box 7.** If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).
- Box 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).
- Box 9.** Report this amount on Schedule F (Form 1040).
- Box 10.** Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.
- Box 11.** Shows the amount of cash you received for the sale of fish if you are in the trade or business of catching fish.
- Box 12.** May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A plus any earnings on current and prior year deferrals.
- Box 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.
- Box 14.** Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.
- Box 15.** Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.
- Boxes 16–18.** Show state or local income tax withheld from the payments.

1099-OID Instructions to Recipients

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, you generally must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

- Box 1.** Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.
- Box 2.** Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.
- Box 3.** Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you.

For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR). If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and is issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Boxes 12-14. State income tax withheld reporting.