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|--|--|--|------|
|  Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900 | Tax Information Account 232275107 | Statement Date: 01/18/2024 Document ID: 2J39 GE6 5088 | 2023 |
| | RAJA DESU & NAGALAKSHMI DESU JT TEN 2560 LAKEBEND DR LITTLE ELM, TX 75068-6066 | PAYER'S TIN: 47-0533629 | |

11 - [] FATCA filing requirement (see instructions)

Summary Information

13 - [] FATCA filing requirement (see instructions)

| DIVIDENDS AND DISTRIBUTIONS | 2023 1099-DIV* | OMB No. 1545-0110 | MISCELLANEOUS INFORMATION | 2023 1099-MISC* | OMB No. 1545-0115 |
|--|----------------|-------------------|---|---------------------|-------------------|
| 1a- Total ordinary dividends (includes lines 1b, 5, 2e) | 0.00 | | 2- Royalties | 0.00 | |
| 1b- Qualified dividends | 0.00 | | 3- Other income | 0.00 | |
| 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) | 0.00 | | 4- Federal income tax withheld | 0.00 | |
| 2b- Unrecaptured Section 1250 gain | 0.00 | | 8- Substitute payments in lieu of dividends or interest | 0.00 | |
| 2c- Section 1202 gain | 0.00 | | | | |
| 2d- Collectibles (28%) gain | 0.00 | | SECTION 1256 CONTRACTS | 2023 1099-B* | OMB No. 1545-0715 |
| 2e- Section 897 ordinary dividends | 0.00 | | 8- Profit or (loss) realized in 2023 on closed contracts | -340.24 | |
| 2f- Section 897 capital gain | 0.00 | | 9- Unrealized profit or (loss) on open contracts-12/31/2022 | 0.00 | |
| 3- Nondividend distributions | 0.00 | | 10- Unrealized profit or (loss) on open contracts-12/31/2023 | 0.00 | |
| 4- Federal income tax withheld | 0.00 | | 11- Aggregate profit or (loss) on contracts | -340.24 | |
| 5- Section 199A dividends | 0.00 | | | | |
| 6- Investment expenses | 0.00 | | <i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i> | | |
| 8- Foreign country or US possession: 7- Foreign tax paid: | 0.00 | | * This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. | | |
| 9- Cash liquidation distributions | 0.00 | | | | |
| 10- Noncash liquidation distributions | 0.00 | | | | |
| 12- Exempt-interest dividends (includes line 13) | 0.00 | | | | |
| 13- Specified private activity bond interest dividends (AMT) | 0.00 | | | | |

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

| Term | Form 8949 type | Proceeds | Cost basis | Market discount | Wash sale loss disallowed | Net gain or loss(-) |
|--------------------|--|------------------|------------------|-----------------|---------------------------|---------------------|
| Short | A (basis reported to the IRS) | 23,370.94 | 20,535.77 | 0.00 | 0.00 | 2,835.17 |
| Short | B (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Short | C (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Short-term | 23,370.94 | 20,535.77 | 0.00 | 0.00 | 2,835.17 |
| Long | D (basis reported to the IRS) | 447.21 | 2,820.49 | 0.00 | 0.00 | -2,373.28 |
| Long | E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Long-term | 447.21 | 2,820.49 | 0.00 | 0.00 | -2,373.28 |
| Undetermined | B or E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Undetermined | C or F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Undetermined-term | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Grand total | 23,818.15 | 23,356.26 | 0.00 | 0.00 | 461.89 |
| Withholding | | Amount | | | | |
| | Federal income tax withheld | 0.00 | | | | |

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 232275107

Summary Information

2023

(continued)

01/18/2024

INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

| | |
|--|-------------|
| 1- Interest income (not included in line 3) | 15.04 |
| 2- Early withdrawal penalty | 0.00 |
| 3- Interest on US Savings Bonds & Treasury obligations | 0.00 |
| 4- Federal income tax withheld | 0.00 |
| 5- Investment expenses | 0.00 |
| 7- Foreign country or US possession: 6- Foreign tax paid: | 0.00 |
| 8- Tax-exempt interest (includes line 9) | 0.00 |
| 9- Specified private activity bond interest (AMT) | 0.00 |
| 10- Market discount (covered lots) | 0.00 |
| 11- Bond premium (covered lots) | 0.00 |
| 12- Bond premium on Treasury obligations (covered lots) | 0.00 |
| 13- Bond premium on tax-exempt bonds (categorized below) | 0.00 |
| <i>Tax-exempt obligations (covered lots)</i> | 0.00 |
| <i>Tax-exempt private activity obligations (AMT, covered lots)</i> | 0.00 |
| 14- Tax-exempt and tax credit bond CUSIP number | See detail |
| FATCA filing requirement [] | |

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

| | |
|---|------|
| Taxable accrued interest paid | 0.00 |
| Taxable accrued Treasury interest paid | 0.00 |
| Tax-exempt accrued interest paid | 0.00 |
| Tax-exempt accrued interest paid (AMT) | 0.00 |
| Taxable accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid (AMT) | 0.00 |
| Nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest (AMT) | 0.00 |
| Interest shortfall on contingent payment debt | 0.00 |
| Bond premium- Non Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (AMT, noncovered lots) | 0.00 |
| Market discount (noncovered lots) | 0.00 |

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

| | |
|--------------------------|------|
| 1099-DIV total withheld | 0.00 |
| 1099-INT total withheld | 0.00 |
| 1099-OID total withheld | 0.00 |
| 1099-MISC total withheld | 0.00 |
| 1099-B total withheld | 0.00 |

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

| | |
|--|------|
| Original issue discount for the year | 0.00 |
| Acquisition premium (covered lots) | 0.00 |
| Acquisition premium (noncovered lots) | 0.00 |
| Original issue discount on Treasury obligations | 0.00 |
| Acquisition premium, Treasury obligations (covered lots) | 0.00 |
| Acquisition premium, Treasury obligations (noncovered lots) | 0.00 |
| Tax-exempt OID | 0.00 |
| Tax-exempt OID (lots not reported) | 0.00 |
| Acquisition premium (covered) | 0.00 |
| Acquisition premium (lots not reported) | 0.00 |
| Tax-exempt OID on private activity bonds | 0.00 |
| Tax-exempt OID on private activity bonds (lots not reported) | 0.00 |
| Acquisition premium (AMT, covered) | 0.00 |
| Acquisition premium (AMT, lots not reported) | 0.00 |
| Market discount (all lots) | 0.00 |
| Early withdrawal penalty | 0.00 |
| Investment expenses | 0.00 |

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

| | |
|---|------|
| Other Receipts & Reconciliations- Partnership distributions | 0.00 |
| Other Receipts & Reconciliations- Foreign tax paid- partnership | 0.00 |
| Other Receipts & Reconciliations- Return of principal | 0.00 |
| Other Receipts & Reconciliations- Deferred income payment | 0.00 |
| Other Receipts & Reconciliations- Deemed premium | 0.00 |
| Other Receipts & Reconciliations- Income accrual- UIT | 0.00 |
| Other Receipts & Reconciliations- Basis adjustments | 0.00 |
| Other Receipts & Reconciliations- Foreign tax pd beyond treaty | 0.00 |
| Fees & Expenses- Margin interest | 0.00 |
| Fees & Expenses- Dividends paid on short position | 0.00 |
| Fees & Expenses- Interest paid on short position | 0.00 |
| Fees & Expenses- Non reportable distribution expense | 0.00 |
| Fees & Expenses- Other expenses | 0.00 |
| Fees & Expenses- Severance tax | 0.00 |
| Fees & Expenses- Organizational expense | 0.00 |
| Fees & Expenses- Miscellaneous fees | 0.80 |
| Fees & Expenses- Tax-exempt investment expense | 0.00 |
| Foreign Exchange Gains & Losses- Foreign currency gain/loss | 0.00 |

TD Ameritrade Clearing, Inc.

Account 232275107

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

01/18/2024

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------|---|-------------------|-------------------------|--|---|-------------------------------|
| ALL AMERICAN PET COMPANY INC COM / CUSIP: 01644F106 / Symbol: AAPT | | | | | | | |
| 04/03/23 | 10,000.000 | -0.01 | 05/04/22 | 47.95 | ... | -47.96 | Zero proceeds / negative sale |
| ALTERNET SYSTEMS INC COM / CUSIP: 02146M201 / Symbol: ALYI | | | | | | | |
| 02/15/23 | 3,000.000 | -0.01 | 02/18/22 | 35.95 | ... | -35.96 | Zero proceeds / negative sale |
| FUNR COM / CUSIP: 126524107 / Symbol: FUNR | | | | | | | |
| 01/23/23 | 13,000.000 | -0.01 | 02/10/22 | 24.15 | ... | -24.16 | Zero proceeds / negative sale |
| CXAPP INC COM / CUSIP: 23248B109 / Symbol: CXAI | | | | | | | |
| 04/26/23 | 1.000 | 9.71 | 04/14/23 | 18.78 | ... | -9.07 | Sale |
| GREEN STREAM HOLDINGS INC COM / CUSIP: 393413109 / Symbol: GSFI | | | | | | | |
| 02/02/23 | 21,000.000 | 0.00 | 04/20/22 | 47.95 | ... | -47.95 | Sale |
| THARIMMUNIE INC 1:25 R/S 11/21/23 432705200 / CUSIP: 432705101 / Symbol: | | | | | | | |
| 04/06/23 | 20.000 | 15.47 | Various | 35.05 | ... | -19.58 | Sale |
| MOTORSPORT GAMES INC COM CL A / CUSIP: 62011B201 / Symbol: MSGM | | | | | | | |
| 02/01/23 | 1.000 | 28.13 | 01/31/23 | 22.57 | ... | 5.56 | Sale |
| NANOBIOTIX S A ADR SPONSORED / CUSIP: 63009J107 / Symbol: NBTX | | | | | | | |
| 05/25/23 | 1.000 | 4.87 | 05/05/23 | 6.98 | ... | -2.11 | Sale |

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TD Ameritrade Clearing, Inc.

Account 232275107

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

01/18/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|------------------------|
| NIKOLA CORPORATION COM / CUSIP: 654110105 / Symbol: NKLA | | | | | | | |
| 02/15/23 | 5.000 | 12.50 | 02/17/22 | 40.70 | ... | -28.20 | Sale |
| NOGIN INC COM / CUSIP: 65528N204 / Symbol: NOGNQ | | | | | | | |
| 04/04/23 | 10.000 | 25.21 | 04/03/23 | 57.90 | ... | -32.69 | Sale |
| OPENDOOR TECHNOLOGIES INC COM / CUSIP: 683712103 / Symbol: OPEN | | | | | | | |
| 02/15/23 | 10.000 | 21.60 | 11/15/22 | 20.50 | ... | 1.10 | Sale |
| PALANTIR TECH INC COM CL A / CUSIP: 69608A108 / Symbol: PLTR | | | | | | | |
| 08/02/23 | 10.000 | 182.07 | 09/13/22 | 76.00 | ... | 106.07 | Sale |
| PROTAGENIC THERAPEUTICS INC 1:4 R/S 3/23/23 74365N202 / CUSIP: 74365N103 / Symbol: | | | | | | | |
| 02/15/23 | 20.000 | 10.06 | 02/17/22 | 23.00 | ... | -12.94 | Sale |
| QUANTASING GROUP LIMITED ADR / CUSIP: 74767N107 / Symbol: QSG | | | | | | | |
| 05/25/23 | 1.000 | 10.20 | 05/24/23 | 20.50 | ... | -10.30 | Sale |
| APPLE INC AAPL May 05 2023 172.5 Call / CUSIP: / Symbol: AAPL 05/05/23 C 172.500 | | | | | | | |
| 05/05/23 | 1.000 | 101.44 | 05/04/23 | 102.56 | ... | -1.12 | Option sale |
| APPLE INC AAPL May 05 2023 175.0 Call / CUSIP: / Symbol: AAPL 05/05/23 C 175.000 | | | | | | | |
| 05/03/23 | 1.000 | 129.44 | 05/01/23 | 119.56 | ... | 9.88 | Option sale |
| APPLE INC AAPL May 26 2023 177.5 Call / CUSIP: / Symbol: AAPL 05/26/23 C 177.500 | | | | | | | |
| 05/26/23 | 10.000 | 0.00 | 05/26/23 | 35.60 | ... | -35.60 | Option expiration |
| APPLE INC AAPL Jun 09 2023 182.5 Call / CUSIP: / Symbol: AAPL 06/09/23 C 182.500 | | | | | | | |
| 06/08/23 | 3.000 | 46.31 | Various | 480.68 | ... | -434.37 | Option sale |
| APPLE INC AAPL Jul 21 2023 190.0 Call / CUSIP: / Symbol: AAPL 07/21/23 C 190.000 | | | | | | | |
| 06/14/23 | 1.000 | 151.44 | 06/09/23 | 140.56 | ... | 10.88 | Option sale |
| APPLE INC AAPL Jul 21 2023 197.5 Call / CUSIP: / Symbol: AAPL 07/21/23 C 197.500 | | | | | | | |
| 07/21/23 | 2.000 | 0.00 | Various | 209.12 | ... | -209.12 | Option expiration |
| APPLE INC AAPL Sep 15 2023 190.0 Call / CUSIP: / Symbol: AAPL 09/15/23 C 190.000 | | | | | | | |
| 09/15/23 | 3.000 | 0.00 | Various | 38.68 | ... | -38.68 | Option expiration |

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TD Ameritrade Clearing, Inc.

Account 232275107

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

01/18/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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|---|----------|---|-------------------|-------------------------|--|---|--|
| APPLE INC AAPL Sep 22 2023 180.0 Call / CUSIP: / Symbol: AAPL 09/22/23 C 180.000 | | | | | | | |
| 09/22/23 | 1.000 | 0.00 | 09/19/23 | 60.56 | ... | -60.56 | Option expiration |
| APPLE INC AAPL Feb 03 2023 144.0 Put / CUSIP: / Symbol: AAPL 02/03/23 P 144.000 | | | | | | | |
| 02/03/23 | 1.000 | 0.00 | 02/02/23 | 113.56 | ... | -113.56 | Option expiration |
| APPLE INC AAPL Aug 18 2023 172.5 Put / CUSIP: / Symbol: AAPL 08/18/23 P 172.500 | | | | | | | |
| 08/18/23 | 1.000 | 51.44 | 08/16/23 | 12.56 | ... | 38.88 | Option sale |
| ADOBE INC ADBE Jun 16 2023 550.0 Call / CUSIP: / Symbol: ADBE 06/16/23 C 550.000 | | | | | | | |
| 06/16/23 | 10.000 | 4.38 | Various | 432.60 | ... | -428.22 | Option sale |
| C3.AI INC AI Aug 25 2023 36.0 Call / CUSIP: / Symbol: AI 08/25/23 C 36.000 | | | | | | | |
| 08/25/23 | 5.000 | 0.00 | 08/23/23 | 112.80 | ... | -112.80 | Option expiration |
| ADVANCED MICRO DEVICES INC AMD May 05 2023 91.0 Call / CUSIP: / Symbol: AMD 05/05/23 C 91.000 | | | | | | | |
| 05/05/23 | 1.000 | 4.44 | 05/04/23 | 21.56 | ... | -17.12 | Option sale |
| ADVANCED MICRO DEVICES INC AMD Jun 09 2023 111.0 Call / CUSIP: / Symbol: AMD 06/09/23 C 111.000 | | | | | | | |
| 05/26/23 | 1.000 | 1,514.43 | 05/23/23 | 365.56 | ... | 1,148.87 | Option sale |
| ADVANCED MICRO DEVICES INC AMD Jun 09 2023 125.0 Call / CUSIP: / Symbol: AMD 06/09/23 C 125.000 | | | | | | | |
| 06/09/23 | 1.000 | 12.44 | 06/09/23 | 92.56 | ... | -80.12 | Option sale |
| ADVANCED MICRO DEVICES INC AMD Jun 23 2023 129.0 Call / CUSIP: / Symbol: AMD 06/23/23 C 129.000 | | | | | | | |
| 06/23/23 | 2.000 | 0.00 | Various | 646.12 | ... | -646.12 | Option expiration |
| AMAZON COM INC AMZN Feb 24 2023 105.0 Call / CUSIP: / Symbol: AMZN 02/24/23 C 105.000 | | | | | | | |
| 02/24/23 | 1.000 | 0.00 | 02/07/23 | 161.56 | ... | -161.56 | Option expiration |
| AMAZON COM INC AMZN Mar 17 2023 101.0 Call / CUSIP: / Symbol: AMZN 03/17/23 C 101.000 | | | | | | | |
| 03/16/23 | 1.000 | 45.44 | 03/16/23 | 50.56 | ... | -5.12 | Option sale |
| AMAZON COM INC AMZN Apr 28 2023 112.0 Call / CUSIP: / Symbol: AMZN 04/28/23 C 112.000 | | | | | | | |
| 04/20/23 | 1.000 | 117.44 | 04/17/23 | 109.56 | ... | 7.88 | Option sale |
| AMAZON COM INC AMZN May 19 2023 113.0 Call / CUSIP: / Symbol: AMZN 05/19/23 C 113.000 | | | | | | | |
| 05/15/23 | 1.000 | 59.44 | 05/11/23 | 148.56 | ... | -89.12 | Option sale |

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TD Ameritrade Clearing, Inc.

Account 232275107

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

01/18/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|--|
| AMAZON COM INC AMZN May 26 2023 | 120.0 | Call / CUSIP: / Symbol: AMZN 05/26/23 C 120.000 | | | | | |
| 05/26/23 | 1.000 | 104.44 | 05/26/23 | 7.56 | ... | 96.88 | Option sale |
| AMAZON COM INC AMZN Jun 23 2023 | 131.0 | Call / CUSIP: / Symbol: AMZN 06/23/23 C 131.000 | | | | | |
| 06/23/23 | 1.000 | 0.00 | 06/23/23 | 46.56 | ... | -46.56 | Option expiration |
| ARGENX SE ARGX Aug 18 2023 | 600.0 | Call / CUSIP: / Symbol: ARGX 08/18/23 C 600.000 | | | | | |
| 07/26/23 | 1.000 | 228.44 | 07/17/23 | 100.56 | ... | 127.88 | Option sale |
| BROADCOM INC AVGO Mar 03 2023 | 630.0 | Call / CUSIP: / Symbol: AVGO 03/03/23 C 630.000 | | | | | |
| 03/03/23 | 1.000 | 29.44 | 03/01/23 | 100.56 | ... | -71.12 | Option sale |
| BROADCOM INC AVGO Jun 16 2023 | 900.0 | Call / CUSIP: / Symbol: AVGO 06/16/23 C 900.000 | | | | | |
| 06/16/23 | 1.000 | 0.00 | 06/15/23 | 210.56 | ... | -210.56 | Option expiration |
| ALIBABA GROUP HOLDING LTD BABA Mar 17 2023 | 130.0 | Call / CUSIP: / Symbol: BABA 03/17/23 C 130.000 | | | | | |
| 03/17/23 | 1.000 | 0.00 | 01/31/23 | 213.56 | ... | -213.56 | Option expiration |
| ALIBABA GROUP HOLDING LTD BABA Mar 31 2023 | 108.0 | Call / CUSIP: / Symbol: BABA 03/31/23 C 108.000 | | | | | |
| 03/31/23 | 1.000 | 0.44 | 03/30/23 | 16.56 | ... | -16.12 | Option sale |
| ALIBABA GROUP HOLDING LTD BABA Jul 21 2023 | 100.0 | Call / CUSIP: / Symbol: BABA 07/21/23 C 100.000 | | | | | |
| 06/05/23 | 1.000 | 114.44 | 05/26/23 | 85.56 | ... | 28.88 | Option sale |
| ALIBABA GROUP HOLDING LTD BABA Jul 28 2023 | 100.0 | Call / CUSIP: / Symbol: BABA 07/28/23 C 100.000 | | | | | |
| 07/27/23 | 1.000 | 25.44 | 07/24/23 | 80.56 | ... | -55.12 | Option sale |
| BED BATH AND BEYOND BBBY Feb 10 2023 | 10.0 | Call / CUSIP: / Symbol: BBBY 02/10/23 C 10.000 | | | | | |
| 02/07/23 | 1.000 | 0.44 | 02/06/23 | 36.56 | ... | -36.12 | Option sale |
| BED BATH AND BEYOND BBBY Jan 19 2024 | 4.0 | Call / CUSIP: / Symbol: BBBY 01/19/24 C 4.000 | | | | | |
| 04/20/23 | 2.000 | 0.88 | Various | 116.12 | ... | -115.24 | Option sale |
| BAIDU INC BIDU Feb 24 2023 | 150.0 | Call / CUSIP: / Symbol: BIDU 02/24/23 C 150.000 | | | | | |
| 02/24/23 | 2.000 | 0.00 | 02/22/23 | 244.12 | ... | -244.12 | Option expiration |
| BIOGEN INC BIIB Jun 30 2023 | 300.0 | Call / CUSIP: / Symbol: BIIB 06/30/23 C 300.000 | | | | | |
| 06/30/23 | 1.000 | 0.00 | 06/29/23 | 5.56 | ... | -5.56 | Option expiration |

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TD Ameritrade Clearing, Inc.

Account 232275107

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|--|----------|---|-------------------|-------------------------|--|---|------------------------|
| BIOGEN INC BIIB Jul 07 2023 300.0 Call / CUSIP: / Symbol: BIIB 07/07/23 C 300.000 | | | | | | | |
| 07/07/23 | 1.000 | 0.00 | 07/07/23 | 42.56 | ... | -42.56 | Option expiration |
| BIOGEN INC BIIB Aug 11 2023 300.0 Call / CUSIP: / Symbol: BIIB 08/11/23 C 300.000 | | | | | | | |
| 08/11/23 | 1.000 | 0.00 | 08/07/23 | 70.56 | ... | -70.56 | Option expiration |
| BOX INC BOX Jun 16 2023 30.0 Call / CUSIP: / Symbol: BOX 06/16/23 C 30.000 | | | | | | | |
| 06/13/23 | 1.000 | 14.44 | 06/05/23 | 22.56 | ... | -8.12 | Option sale |
| BEYOND MEAT INC BYND Jan 19 2024 20.0 Call / CUSIP: / Symbol: BYND 01/19/24 C 20.000 | | | | | | | |
| 06/22/23 | 1.000 | 135.44 | 05/11/23 | 129.56 | ... | 5.88 | Option sale |
| AVIS BUDGET GROUP INC CAR Jun 02 2023 172.5 Call / CUSIP: / Symbol: CAR 06/02/23 C 172.500 | | | | | | | |
| 06/02/23 | 1.000 | 174.44 | 05/31/23 | 185.56 | ... | -11.12 | Option sale |
| AVIS BUDGET GROUP INC CAR Jun 23 2023 230.0 Call / CUSIP: / Symbol: CAR 06/23/23 C 230.000 | | | | | | | |
| 06/23/23 | 1.000 | 20.44 | 06/20/23 | 70.56 | ... | -50.12 | Option sale |
| CAVA GROUP INC CAVA Aug 18 2023 60.0 Call / CUSIP: / Symbol: CAVA 08/18/23 C 60.000 | | | | | | | |
| 08/18/23 | 1.000 | 0.00 | 08/14/23 | 45.56 | ... | -45.56 | Option expiration |
| CLOVER HEALTH INVESTMENTS CORP CLOV Mar 03 2023 1.0 Put / CUSIP: / Symbol: CLOV 03/03/23 P 1.000 | | | | | | | |
| 03/03/23 | 10.000 | 0.00 | 02/28/23 | 15.60 | ... | -15.60 | Option expiration |
| COINBASE GLOBAL INC COIN Mar 03 2023 58.0 Put / CUSIP: / Symbol: COIN 03/03/23 P 58.000 | | | | | | | |
| 03/03/23 | 1.000 | 6.44 | 03/02/23 | 29.56 | ... | -23.12 | Option sale |
| COSTCO WHOLESALE CORP COST Mar 17 2023 550.0 Call / CUSIP: / Symbol: COST 03/17/23 C 550.000 | | | | | | | |
| 03/03/23 | 1.000 | 1.44 | 02/28/23 | 27.56 | ... | -26.12 | Option sale |
| COSTCO WHOLESALE CORP COST Sep 29 2023 500.0 Put / CUSIP: / Symbol: COST 09/29/23 P 500.000 | | | | | | | |
| 09/29/23 | 1.000 | 0.00 | 09/26/23 | 26.56 | ... | -26.56 | Option expiration |
| CARVANA CO CVNA Feb 10 2023 14.0 Call / CUSIP: / Symbol: CVNA 02/10/23 C 14.000 | | | | | | | |
| 02/10/23 | 1.000 | 0.00 | 02/09/23 | 100.56 | ... | -100.56 | Option expiration |

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| CARVANA CO CVNA Jun 16 2023 20.0 Call / CUSIP: / Symbol: CVNA 06/16/23 C 20.000 | | | | | | | |
| 06/09/23 | 1.000 | 509.44 | 06/01/23 | 80.56 | ... | 428.88 | Option sale |
| 06/14/23 | 1.000 | 299.44 | 06/12/23 | 223.56 | ... | 75.88 | Option sale |
| | Security total: | 808.88 | | 304.12 | ... | 504.76 | |
| CARVANA CO CVNA Jul 14 2023 50.0 Call / CUSIP: / Symbol: CVNA 07/14/23 C 50.000 | | | | | | | |
| 07/13/23 | 1.000 | 4.44 | 07/12/23 | 70.56 | ... | -66.12 | Option sale |
| DANAHER CORP DHR Aug 18 2023 280.0 Call / CUSIP: / Symbol: DHR 08/18/23 C 280.000 | | | | | | | |
| 08/03/23 | 1.000 | 12.44 | 08/02/23 | 10.56 | ... | 1.88 | Option sale |
| DRAFTKINGS INC DKNG Jun 16 2023 30.0 Call / CUSIP: / Symbol: DKNG 06/16/23 C 30.000 | | | | | | | |
| 06/16/23 | 2.000 | 0.00 | 06/12/23 | 5.12 | ... | -5.12 | Option expiration |
| DRAFTKINGS INC DKNG Jul 14 2023 31.0 Call / CUSIP: / Symbol: DKNG 07/14/23 C 31.000 | | | | | | | |
| 07/14/23 | 1.000 | 0.00 | 07/13/23 | 39.56 | ... | -39.56 | Option expiration |
| DRAFTKINGS INC DKNG Sep 15 2023 40.0 Call / CUSIP: / Symbol: DKNG 09/15/23 C 40.000 | | | | | | | |
| 09/15/23 | 1.000 | 0.00 | 08/04/23 | 37.56 | ... | -37.56 | Option expiration |
| DOMINOS PIZZA INC DPZ Oct 13 2023 400.0 Call / CUSIP: / Symbol: DPZ 10/13/23 C 400.000 | | | | | | | |
| 10/13/23 | 2.000 | 0.00 | Various | 36.12 | ... | -36.12 | Option expiration |
| ETSY INC ETSY Sep 15 2023 140.0 Call / CUSIP: / Symbol: ETSY 09/15/23 C 140.000 | | | | | | | |
| 09/15/23 | 1.000 | 0.00 | 08/01/23 | 60.56 | ... | -60.56 | Option expiration |
| FIRST SOLAR INC FSLR Jul 07 2023 190.0 Call / CUSIP: / Symbol: FSLR 07/07/23 C 190.000 | | | | | | | |
| 07/07/23 | 1.000 | 0.00 | 07/07/23 | 25.56 | ... | -25.56 | Option expiration |
| JAYUD GLOBAL LOGISTICS LTD COM CL A / CUSIP: G5084H103 / Symbol: JYD | | | | | | | |
| 08/04/23 | 10.000 | 31.75 | 04/24/23 | 45.40 | ... | -13.65 | Sale |
| MAGIC EMPIRE GLOBAL LTD COM / CUSIP: G5865E105 / Symbol: MEGL | | | | | | | |
| 05/05/23 | 1.000 | 1.90 | 04/28/23 | 3.07 | ... | -1.17 | Sale |
| GAMESTOP CORPORATION GME Feb 17 2023 50.0 Call / CUSIP: / Symbol: GME 02/17/23 C 50.000 | | | | | | | |
| 02/17/23 | 2.000 | 0.00 | 02/06/23 | 21.12 | ... | -21.12 | Option expiration |

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|--|----------|---|-------------------|-------------------------|--|---|------------------------|
| ALPHABET INC GOOG Feb 17 2023 | 95.0 | Call / CUSIP: / Symbol: GOOG | 02/17/23 | C 95.000 | | | |
| 02/16/23 | 1.000 | 136.44 | 02/15/23 | 205.56 | ... | -69.12 | Option sale |
| ALPHABET INC GOOG Feb 10 2023 | 95.0 | Put / CUSIP: / Symbol: GOOG | 02/10/23 | P 95.000 | | | |
| 02/10/23 | 1.000 | 34.44 | 02/10/23 | 45.56 | ... | -11.12 | Option sale |
| ALPHABET INC GOOG Feb 10 2023 | 110.0 | Call / CUSIP: / Symbol: GOOG | 02/10/23 | C 110.000 | | | |
| 02/10/23 | 2.000 | 0.00 | 02/08/23 | 17.12 | ... | -17.12 | Option expiration |
| ALPHABET INC GOOG Apr 21 2023 | 108.0 | Call / CUSIP: / Symbol: GOOG | 04/21/23 | C 108.000 | | | |
| 04/17/23 | 1.000 | 56.44 | 04/11/23 | 138.56 | ... | -82.12 | Option sale |
| ALPHABET INC GOOG May 19 2023 | 114.0 | Call / CUSIP: / Symbol: GOOG | 05/19/23 | C 114.000 | | | |
| 05/15/23 | 1.000 | 389.44 | 04/28/23 | 60.56 | ... | 328.88 | Option sale |
| ALPHABET INC GOOG May 19 2023 | 122.0 | Call / CUSIP: / Symbol: GOOG | 05/19/23 | C 122.000 | | | |
| 05/19/23 | 1.000 | 258.44 | 05/17/23 | 29.56 | ... | 228.88 | Option sale |
| ALPHABET INC GOOG Jun 09 2023 | 126.0 | Call / CUSIP: / Symbol: GOOG | 06/09/23 | C 126.000 | | | |
| 06/06/23 | 1.000 | 275.44 | 06/05/23 | 267.56 | ... | 7.88 | Option sale |
| GOLDMAN SACHS GROUP INC GS Mar 10 2023 | 335.0 | Put / CUSIP: / Symbol: GS | 03/10/23 | P 335.000 | | | |
| 03/10/23 | 1.000 | 344.44 | 03/09/23 | 62.56 | ... | 281.88 | Option sale |
| HEXO CORP HEXO Aug 18 2023 | 1.0 | Call / CUSIP: / Symbol: HEXO | 08/18/23 | C 1.000 | | | |
| 05/01/23 | 1.000 | 31.44 | 04/17/23 | 30.56 | ... | 0.88 | Option sale |
| HUMANA INC HUM Apr 28 2023 | 525.0 | Call / CUSIP: / Symbol: HUM | 04/28/23 | C 525.000 | | | |
| 04/26/23 | 1.000 | 16.44 | 04/24/23 | 60.56 | ... | -44.12 | Option sale |
| HUMANA INC HUM Jun 16 2023 | 540.0 | Call / CUSIP: / Symbol: HUM | 06/16/23 | C 540.000 | | | |
| 06/16/23 | 1.000 | 0.00 | 05/31/23 | 65.56 | ... | -65.56 | Option expiration |
| JP MORGAN CHASE & CO JPM Apr 14 2023 | 133.0 | Call / CUSIP: / Symbol: JPM | 04/14/23 | C 133.000 | | | |
| 04/14/23 | 1.000 | 492.44 | 04/12/23 | 69.56 | ... | 422.88 | Option sale |
| ICECURE MEDICAL LTD CAESAREA COM / CUSIP: M53071136 / Symbol: ICCM | | | | | | | |
| 08/18/23 | 15.000 | 15.61 | Various | 44.32 | ... | -28.71 | Sale |

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|---|----------|---|-------------------|-------------------------|--|---|------------------------|
| MONGODB INC MDB Mar 17 2023 217.5 Call / CUSIP: / Symbol: MDB 03/17/23 C 217.500 | | | | | | | |
| 03/16/23 | 1.000 | 328.44 | 03/15/23 | 121.56 | ... | 206.88 | Option sale |
| META PLATFORMS INC META Feb 03 2023 195.0 Call / CUSIP: / Symbol: META 02/03/23 C 195.000 | | | | | | | |
| 02/03/23 | 1.000 | 0.00 | 02/03/23 | 70.56 | ... | -70.56 | Option expiration |
| META PLATFORMS INC META May 26 2023 247.5 Call / CUSIP: / Symbol: META 05/26/23 C 247.500 | | | | | | | |
| 05/25/23 | 1.000 | 349.44 | 05/22/23 | 515.56 | ... | -166.12 | Option sale |
| META PLATFORMS INC META Jun 16 2023 287.5 Call / CUSIP: / Symbol: META 06/16/23 C 287.500 | | | | | | | |
| 06/16/23 | 1.000 | 29.44 | 06/16/23 | 70.56 | ... | -41.12 | Option sale |
| META PLATFORMS INC META Jul 07 2023 300.0 Call / CUSIP: / Symbol: META 07/07/23 C 300.000 | | | | | | | |
| 07/07/23 | 2.000 | 0.00 | Various | 176.12 | ... | -176.12 | Option expiration |
| META PLATFORMS INC META Jul 28 2023 322.5 Call / CUSIP: / Symbol: META 07/28/23 C 322.500 | | | | | | | |
| 07/27/23 | 1.000 | 104.44 | 07/27/23 | 280.56 | ... | -176.12 | Option sale |
| META PLATFORMS INC META May 05 2023 230.0 Put / CUSIP: / Symbol: META 05/05/23 P 230.000 | | | | | | | |
| 05/04/23 | 1.000 | 49.44 | 05/03/23 | 85.56 | ... | -36.12 | Option sale |
| META PLATFORMS INC META Jul 07 2023 275.0 Put / CUSIP: / Symbol: META 07/07/23 P 275.000 | | | | | | | |
| 06/27/23 | 1.000 | 273.44 | 06/23/23 | 241.56 | ... | 31.88 | Option sale |
| MODERNA INC MRNA May 26 2023 142.0 Call / CUSIP: / Symbol: MRNA 05/26/23 C 142.000 | | | | | | | |
| 05/24/23 | 1.000 | 20.44 | 05/24/23 | 201.56 | ... | -181.12 | Option sale |
| MARVELL TECHNOLOGY INC MRVL May 26 2023 70.0 Call / CUSIP: / Symbol: MRVL 05/26/23 C 70.000 | | | | | | | |
| 05/26/23 | 10.000 | 4.38 | 05/26/23 | 35.60 | ... | -31.22 | Option sale |
| MARVELL TECHNOLOGY INC MRVL Jun 02 2023 70.0 Call / CUSIP: / Symbol: MRVL 06/02/23 C 70.000 | | | | | | | |
| 06/02/23 | 1.000 | 0.44 | 05/31/23 | 13.56 | ... | -13.12 | Option sale |
| MARVELL TECHNOLOGY INC MRVL Jun 09 2023 70.0 Call / CUSIP: / Symbol: MRVL 06/09/23 C 70.000 | | | | | | | |
| 06/08/23 | 1.000 | 0.44 | 06/07/23 | 3.56 | ... | -3.12 | Option sale |
| MICROSOFT CORP MSFT Feb 10 2023 272.5 Call / CUSIP: / Symbol: MSFT 02/10/23 C 272.500 | | | | | | | |
| 02/10/23 | 1.000 | 0.00 | 02/08/23 | 328.56 | ... | -328.56 | Option expiration |

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|---|----------|---|-------------------|-------------------------|--|---|-------------------------------|
| MICROSOFT CORP MSFT Mar 17 2023 | 282.5 | Call / CUSIP: / Symbol: MSFT 03/17/23 C 282.500 | 03/17/23 | 282.500 | | | |
| 03/17/23 | 1.000 | 0.00 | 03/17/23 | 6.56 | ... | -6.56 | Option expiration |
| MICROSOFT CORP MSFT Mar 24 2023 | 282.5 | Call / CUSIP: / Symbol: MSFT 03/24/23 C 282.500 | 03/24/23 | 282.500 | | | |
| 03/24/23 | 1.000 | 4.44 | 03/24/23 | 24.56 | ... | -20.12 | Option sale |
| MICROSOFT CORP MSFT Apr 21 2023 | 295.0 | Call / CUSIP: / Symbol: MSFT 04/21/23 C 295.000 | 04/21/23 | 295.000 | | | |
| 04/21/23 | 1.000 | 0.00 | 04/18/23 | 84.56 | ... | -84.56 | Option expiration |
| MICROSOFT CORP MSFT May 19 2023 | 310.0 | Call / CUSIP: / Symbol: MSFT 05/19/23 C 310.000 | 05/15/23 | 310.000 | | | |
| 05/15/23 | 1.000 | 229.44 | 05/15/23 | 226.56 | ... | 2.88 | Option sale |
| MICROSOFT CORP MSFT May 26 2023 | 325.0 | Call / CUSIP: / Symbol: MSFT 05/26/23 C 325.000 | 05/25/23 | 325.000 | | | |
| 05/25/23 | 2.000 | 196.88 | Various | 167.12 | ... | 29.76 | Option sale |
| MICROSOFT CORP MSFT Jun 02 2023 | 335.0 | Call / CUSIP: / Symbol: MSFT 06/02/23 C 335.000 | 06/02/23 | 335.000 | | | |
| 06/02/23 | 2.000 | 108.88 | 05/31/23 | 496.12 | ... | -387.24 | Option sale |
| MICROSOFT CORP MSFT Feb 03 2023 | 250.0 | Put / CUSIP: / Symbol: MSFT 02/03/23 P 250.000 | 02/03/23 | 250.000 | | | |
| 02/03/23 | 2.000 | 22.88 | 02/02/23 | 31.12 | ... | -8.24 | Option sale |
| MICROSOFT CORP MSFT Feb 17 2023 | 257.5 | Put / CUSIP: / Symbol: MSFT 02/17/23 P 257.500 | 02/17/23 | 257.500 | | | |
| 02/17/23 | 1.000 | 45.44 | 02/17/23 | 129.56 | ... | -84.12 | Option sale |
| MICROSTRATEGY MSTR Aug 04 2023 | 500.0 | Call / CUSIP: / Symbol: MSTR 08/04/23 C 500.000 | 08/02/23 | 500.000 | | | |
| 08/02/23 | 1.000 | 20.44 | 07/31/23 | 120.56 | ... | -100.12 | Option sale |
| MATADOR RESOURCES COMPANY MTDR Aug 18 2023 | 60.0 | Call / CUSIP: / Symbol: MTDR 08/18/23 C 60.000 | 07/26/23 | 60.000 | | | |
| 07/26/23 | 1.000 | 14.44 | 07/25/23 | 161.56 | ... | -147.12 | Option sale |
| SONO GROUP N V COM / CUSIP: N81409109 / Symbol: SEVCQ | | | | | | | |
| 09/05/23 | 160.000 | -0.01 | Various | 69.74 | ... | -69.75 | Zero proceeds / negative sale |
| NETFLIX INC NFLX Mar 31 2023 | 350.0 | Call / CUSIP: / Symbol: NFLX 03/31/23 C 350.000 | 03/31/23 | 350.000 | | | |
| 03/31/23 | 1.000 | 0.44 | 03/30/23 | 86.56 | ... | -86.12 | Option sale |
| NETFLIX INC NFLX May 26 2023 | 370.0 | Call / CUSIP: / Symbol: NFLX 05/26/23 C 370.000 | 05/24/23 | 370.000 | | | |
| 05/24/23 | 1.000 | 166.44 | 05/24/23 | 150.56 | ... | 15.88 | Option sale |

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|------------------------------|----------|---|-------------------|-------------------------|--|---|------------------------|
| NETFLIX INC NFLX Jul 14 2023 | 450.0 | Call / CUSIP: / Symbol: NFLX 07/14/23 | C 450.000 | | | | |
| 07/13/23 | 1.000 | 280.44 | 07/13/23 | 280.56 | ... | -0.12 | Option sale |
| NETFLIX INC NFLX Sep 22 2023 | 350.0 | Put / CUSIP: / Symbol: NFLX 09/22/23 | P 350.000 | | | | |
| 09/22/23 | 1.000 | 0.00 | 09/18/23 | 14.56 | ... | -14.56 | Option expiration |
| NIO INC NIO Mar 17 2023 | 15.0 | Call / CUSIP: / Symbol: NIO 03/17/23 | C 15.000 | | | | |
| 03/02/23 | 2.000 | 2.88 | 02/03/23 | 69.12 | ... | -66.24 | Option sale |
| NVIDIA CORP NVDA Feb 24 2023 | 250.0 | Call / CUSIP: / Symbol: NVDA 02/24/23 | C 250.000 | | | | |
| 02/24/23 | 1.000 | 0.00 | 02/23/23 | 36.56 | ... | -36.56 | Option expiration |
| NVIDIA CORP NVDA Mar 10 2023 | 255.0 | Call / CUSIP: / Symbol: NVDA 03/10/23 | C 255.000 | | | | |
| 03/06/23 | 1.000 | 46.44 | 03/06/23 | 111.56 | ... | -65.12 | Option sale |
| NVIDIA CORP NVDA Mar 17 2023 | 270.0 | Call / CUSIP: / Symbol: NVDA 03/17/23 | C 270.000 | | | | |
| 03/17/23 | 1.000 | 0.00 | 03/17/23 | 9.56 | ... | -9.56 | Option expiration |
| NVIDIA CORP NVDA Apr 21 2023 | 325.0 | Call / CUSIP: / Symbol: NVDA 04/21/23 | C 325.000 | | | | |
| 03/22/23 | 1.000 | 116.44 | 03/20/23 | 99.56 | ... | 16.88 | Option sale |
| NVIDIA CORP NVDA May 19 2023 | 350.0 | Call / CUSIP: / Symbol: NVDA 05/19/23 | C 350.000 | | | | |
| 05/19/23 | 2.000 | 0.00 | Various | 53.12 | ... | -53.12 | Option expiration |
| NVIDIA CORP NVDA May 26 2023 | 330.0 | Call / CUSIP: / Symbol: NVDA 05/26/23 | C 330.000 | | | | |
| 05/25/23 | 1.000 | 5,249.40 | 05/24/23 | 245.56 | ... | 5,003.84 | Option sale |
| NVIDIA CORP NVDA May 26 2023 | 390.0 | Call / CUSIP: / Symbol: NVDA 05/26/23 | C 390.000 | | | | |
| 05/26/23 | 1.000 | 67.44 | 05/26/23 | 60.56 | ... | 6.88 | Option sale |
| NVIDIA CORP NVDA Jun 02 2023 | 500.0 | Call / CUSIP: / Symbol: NVDA 06/02/23 | C 500.000 | | | | |
| 06/02/23 | 10.000 | 0.00 | Various | 43.60 | ... | -43.60 | Option expiration |
| NVIDIA CORP NVDA Jun 02 2023 | 410.0 | Call / CUSIP: / Symbol: NVDA 06/02/23 | C 410.000 | | | | |
| 06/02/23 | 1.000 | 1.44 | 05/31/23 | 80.56 | ... | -79.12 | Option sale |
| NVIDIA CORP NVDA Jun 09 2023 | 385.0 | Call / CUSIP: / Symbol: NVDA 06/09/23 | C 385.000 | | | | |
| 06/09/23 | 1.000 | 724.43 | 06/08/23 | 300.56 | ... | 423.87 | Option sale |

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TD Ameritrade Clearing, Inc.

Account 232275107

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

01/18/2024

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|---|----------|---|-------------------|-------------------------|--|---|------------------------|
| NVIDIA CORP NVDA Jun 16 2023 430.0 Call / CUSIP: / Symbol: NVDA 06/16/23 C 430.000 06/16/23 | 1.000 | 504.44 | 06/15/23 | 360.56 | ... | 143.88 | Option sale |
| NVIDIA CORP NVDA Aug 11 2023 500.0 Call / CUSIP: / Symbol: NVDA 08/11/23 C 500.000 08/11/23 | 2.000 | 0.00 | 08/08/23 | 27.12 | ... | -27.12 | Option expiration |
| NVIDIA CORP NVDA Aug 25 2023 550.0 Call / CUSIP: / Symbol: NVDA 08/25/23 C 550.000 08/24/23 | 1.000 | 29.44 | 08/23/23 | 285.56 | ... | -256.12 | Option sale |
| NVIDIA CORP NVDA Sep 22 2023 500.0 Call / CUSIP: / Symbol: NVDA 09/22/23 C 500.000 09/22/23 | 3.000 | 0.00 | 09/19/23 | 13.68 | ... | -13.68 | Option expiration |
| NVIDIA CORP NVDA Feb 17 2023 200.0 Put / CUSIP: / Symbol: NVDA 02/17/23 P 200.000 02/17/23 | 1.000 | 0.00 | 02/17/23 | 4.56 | ... | -4.56 | Option expiration |
| NVIDIA CORP NVDA Jun 09 2023 377.5 Put / CUSIP: / Symbol: NVDA 06/09/23 P 377.500 06/09/23 | 1.000 | 0.00 | 06/08/23 | 82.56 | ... | -82.56 | Option expiration |
| PACWEST BANCORP PACW Mar 31 2023 20.0 Call / CUSIP: / Symbol: PACW 03/31/23 C 20.000 03/31/23 | 1.000 | 0.00 | 03/20/23 | 20.56 | ... | -20.56 | Option expiration |
| PACWEST BANCORP PACW Apr 28 2023 5.0 Put / CUSIP: / Symbol: PACW 04/28/23 P 5.000 04/28/23 | 1.000 | 0.00 | 03/21/23 | 50.56 | ... | -50.56 | Option expiration |
| PALO ALTO NETWORKS INC PANW Aug 18 2023 250.0 Call / CUSIP: / Symbol: PANW 08/18/23 C 250.000 08/18/23 | 2.000 | 0.00 | Various | 56.12 | ... | -56.12 | Option expiration |
| PALO ALTO NETWORKS INC PANW Sep 01 2023 300.0 Call / CUSIP: / Symbol: PANW 09/01/23 C 300.000 09/01/23 | 1.000 | 0.00 | 08/17/23 | 10.56 | ... | -10.56 | Option expiration |
| PFIZER INC PFE May 26 2023 38.0 Call / CUSIP: / Symbol: PFE 05/26/23 C 38.000 05/22/23 | 1.000 | 57.44 | 05/22/23 | 60.56 | ... | -3.12 | Option sale |
| PALANTIR TECH INC PLTR Aug 18 2023 25.0 Call / CUSIP: / Symbol: PLTR 08/18/23 C 25.000 08/10/23 | 1.000 | 0.44 | 07/28/23 | 29.56 | ... | -29.12 | Option sale |
| PELTON INTERACTIVE INC PTON Sep 29 2023 4.5 Call / CUSIP: / Symbol: PTON 09/29/23 C 4.500 09/28/23 | 1.000 | 40.44 | 09/28/23 | 22.56 | ... | 17.88 | Option sale |

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|---|----------|---|-------------------|-------------------------|--|---|------------------------|
| PAYPAL HOLDINGS INC PYPL May 19 2023 80.0 Call / CUSIP: / Symbol: PYPL 05/19/23 C 80.000 | | | | | | | |
| 05/19/23 | 2.000 | 0.00 | Various | 163.12 | ... | -163.12 | Option expiration |
| INVESCO QQQ TRUST QQQ Jun 02 2023 355.0 Call / CUSIP: / Symbol: QQQ 06/02/23 C 355.000 | | | | | | | |
| 06/02/23 | 1.000 | 123.44 | 06/01/23 | 111.56 | ... | 11.88 | Option sale |
| INVESCO QQQ TRUST QQQ Jul 26 2023 370.0 Put / CUSIP: / Symbol: QQQ 07/26/23 P 370.000 | | | | | | | |
| 07/26/23 | 1.000 | 0.00 | 07/26/23 | 5.56 | ... | -5.56 | Option expiration |
| INVESCO QQQ TRUST QQQ Sep 22 2023 346.0 Put / CUSIP: / Symbol: QQQ 09/22/23 P 346.000 | | | | | | | |
| 09/22/23 | 5.000 | 0.00 | 09/22/23 | 12.80 | ... | -12.80 | Option expiration |
| RIVIAN AUTOMOTIVE INC RIVN Jun 02 2023 14.5 Put / CUSIP: / Symbol: RIVN 06/02/23 P 14.500 | | | | | | | |
| 06/02/23 | 1.000 | 13.44 | 06/02/23 | 21.56 | ... | -8.12 | Option sale |
| RAMBUS INC RMBS Aug 18 2023 70.0 Call / CUSIP: / Symbol: RMBS 08/18/23 C 70.000 | | | | | | | |
| 08/18/23 | 1.000 | 0.00 | 07/31/23 | 90.56 | ... | -90.56 | Option expiration |
| ROKU INC ROKU Jun 16 2023 80.0 Call / CUSIP: / Symbol: ROKU 06/16/23 C 80.000 | | | | | | | |
| 06/14/23 | 1.000 | 39.44 | 06/12/23 | 37.56 | ... | 1.88 | Option sale |
| SCHWAB (CHARLES) CORP SCHW Apr 21 2023 65.0 Call / CUSIP: / Symbol: SCHW 04/21/23 C 65.000 | | | | | | | |
| 04/21/23 | 2.000 | 0.00 | Various | 216.12 | ... | -216.12 | Option expiration |
| SEA LIMITED SE Mar 24 2023 90.0 Call / CUSIP: / Symbol: SE 03/24/23 C 90.000 | | | | | | | |
| 03/08/23 | 1.000 | 66.44 | 03/07/23 | 35.56 | ... | 30.88 | Option sale |
| SOLAREDGE TECHNOLOGIES INC SEDG Mar 24 2023 320.0 Call / CUSIP: / Symbol: SEDG 03/24/23 C 320.000 | | | | | | | |
| 03/24/23 | 1.000 | 0.00 | 03/22/23 | 50.56 | ... | -50.56 | Option expiration |
| SEAGEN INC SGEN Mar 17 2023 210.0 Call / CUSIP: / Symbol: SGEN 03/17/23 C 210.000 | | | | | | | |
| 03/17/23 | 1.000 | 0.00 | 03/13/23 | 55.56 | ... | -55.56 | Option expiration |
| SHOPIFY INC SHOP Apr 14 2023 48.0 Call / CUSIP: / Symbol: SHOP 04/14/23 C 48.000 | | | | | | | |
| 04/14/23 | 1.000 | 1.44 | 04/12/23 | 48.56 | ... | -47.12 | Option sale |
| SHOPIFY INC SHOP Sep 01 2023 70.0 Call / CUSIP: / Symbol: SHOP 09/01/23 C 70.000 | | | | | | | |
| 09/01/23 | 10.000 | 24.37 | 08/31/23 | 55.61 | ... | -31.24 | Option sale |

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|---|----------|---|-------------------|-------------------------|--|---|--|
| SUPER MICRO COMPUTER INC SMCI May 26 2023 | 230.0 | Call / CUSIP: / Symbol: SMCI 05/26/23 C 230.000 | 05/26/23 | 230.00 | | | |
| 05/26/23 | 1.000 | 39.44 | 05/26/23 | 145.56 | ... | -106.12 | Option sale |
| SUPER MICRO COMPUTER INC SMCI Jun 02 2023 | 250.0 | Call / CUSIP: / Symbol: SMCI 06/02/23 C 250.000 | 06/02/23 | 250.00 | | | |
| 06/02/23 | 1.000 | 0.00 | 05/31/23 | 101.56 | ... | -101.56 | Option expiration |
| SUPER MICRO COMPUTER INC SMCI Jun 09 2023 | 300.0 | Call / CUSIP: / Symbol: SMCI 06/09/23 C 300.000 | 06/09/23 | 300.00 | | | |
| 06/09/23 | 2.000 | 0.00 | 06/07/23 | 86.12 | ... | -86.12 | Option expiration |
| SUPER MICRO COMPUTER INC SMCI Jun 16 2023 | 250.0 | Call / CUSIP: / Symbol: SMCI 06/16/23 C 250.000 | 06/14/23 | 250.00 | | | |
| 06/14/23 | 1.000 | 349.44 | 06/14/23 | 300.56 | ... | 48.88 | Option sale |
| SUPER MICRO COMPUTER INC SMCI Jun 16 2023 | 260.0 | Call / CUSIP: / Symbol: SMCI 06/16/23 C 260.000 | 06/16/23 | 260.00 | | | |
| 06/16/23 | 2.000 | 0.00 | Various | 121.12 | ... | -121.12 | Option expiration |
| SUPER MICRO COMPUTER INC SMCI Jun 23 2023 | 240.0 | Call / CUSIP: / Symbol: SMCI 06/23/23 C 240.000 | 06/23/23 | 240.00 | | | |
| 06/23/23 | 3.000 | 0.00 | 06/23/23 | 20.68 | ... | -20.68 | Option expiration |
| SUPER MICRO COMPUTER INC SMCI Jun 30 2023 | 200.0 | Put / CUSIP: / Symbol: SMCI 06/30/23 P 200.000 | 06/30/23 | 200.00 | | | |
| 06/30/23 | 2.000 | 0.00 | Various | 56.12 | ... | -56.12 | Option expiration |
| SPDR S&P500 ETF TRUST SPY Feb 02 2023 | 414.0 | Call / CUSIP: / Symbol: SPY 02/02/23 C 414.000 | 02/02/23 | 414.00 | | | |
| 02/02/23 | 1.000 | 250.43 | 02/01/23 | 42.56 | ... | 207.87 | Option sale |
| SPDR S&P500 ETF TRUST SPY Feb 03 2023 | 422.0 | Call / CUSIP: / Symbol: SPY 02/03/23 C 422.000 | 02/03/23 | 422.00 | | | |
| 02/03/23 | 1.000 | 0.00 | 02/02/23 | 80.56 | ... | -80.56 | Option expiration |
| SPDR S&P500 ETF TRUST SPY Feb 08 2023 | 415.0 | Call / CUSIP: / Symbol: SPY 02/08/23 C 415.000 | 02/08/23 | 415.00 | | | |
| 02/08/23 | 1.000 | 0.00 | 02/08/23 | 40.56 | ... | -40.56 | Option expiration |
| SPDR S&P500 ETF TRUST SPY Mar 03 2023 | 425.0 | Call / CUSIP: / Symbol: SPY 03/03/23 C 425.000 | 03/03/23 | 425.00 | | | |
| 03/03/23 | 1.000 | 0.00 | 02/17/23 | 30.56 | ... | -30.56 | Option expiration |
| SPDR S&P500 ETF TRUST SPY May 30 2023 | 422.0 | Call / CUSIP: / Symbol: SPY 05/30/23 C 422.000 | 05/30/23 | 422.00 | | | |
| 05/30/23 | 1.000 | 0.00 | 05/30/23 | 7.56 | ... | -7.56 | Option expiration |
| SPDR S&P500 ETF TRUST SPY Feb 14 2023 | 410.0 | Put / CUSIP: / Symbol: SPY 02/14/23 P 410.000 | 02/14/23 | 410.00 | | | |
| 02/14/23 | 2.000 | 6.88 | 02/14/23 | 103.12 | ... | -96.24 | Option sale |

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Account 232275107

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|---|----------|---|-------------------|-------------------------|--|---|------------------------|
| SPDR S&P500 ETF TRUST SPY Mar 24 2023 385.0 Put / CUSIP: / Symbol: SPY 03/24/23 P 385.000 | | | | | | | |
| 03/24/23 | 3.000 | 88.31 | Various | 147.68 | ... | -59.37 | Option sale |
| CONSTELLATION BRANDS INC STZ Oct 06 2023 250.0 Call / CUSIP: / Symbol: STZ 10/06/23 C 250.000 | | | | | | | |
| 10/06/23 | 10.000 | 0.00 | 10/05/23 | 105.61 | ... | -105.61 | Option expiration |
| TILRAY BRANDS INC TLR Mar 17 2023 3.5 Call / CUSIP: / Symbol: TLR 03/17/23 C 3.500 | | | | | | | |
| 03/17/23 | 2.000 | 0.00 | 01/31/23 | 45.12 | ... | -45.12 | Option expiration |
| TILRAY BRANDS INC TLR Apr 21 2023 2.5 Call / CUSIP: / Symbol: TLR 04/21/23 C 2.500 | | | | | | | |
| 04/19/23 | 5.000 | 7.19 | 04/17/23 | 25.80 | ... | -18.61 | Option sale |
| TORM PLC TRMD Oct 20 2023 45.0 Call / CUSIP: / Symbol: TRMD 10/20/23 C 45.000 | | | | | | | |
| 10/20/23 | 1.000 | 0.00 | 04/14/23 | 80.56 | ... | -80.56 | Option expiration |
| TESLA INC TSLA Feb 03 2023 195.0 Call / CUSIP: / Symbol: TSLA 02/03/23 C 195.000 | | | | | | | |
| 02/03/23 | 1.000 | 3.44 | 02/03/23 | 140.56 | ... | -137.12 | Option sale |
| TESLA INC TSLA Feb 10 2023 200.0 Call / CUSIP: / Symbol: TSLA 02/10/23 C 200.000 | | | | | | | |
| 02/09/23 | 1.000 | 739.42 | 02/08/23 | 674.56 | ... | 64.86 | Option sale |
| TESLA INC TSLA Mar 24 2023 202.5 Call / CUSIP: / Symbol: TSLA 03/24/23 C 202.500 | | | | | | | |
| 03/21/23 | 1.000 | 257.44 | 03/21/23 | 174.56 | ... | 82.88 | Option sale |
| TESLA INC TSLA Mar 31 2023 207.5 Call / CUSIP: / Symbol: TSLA 03/31/23 C 207.500 | | | | | | | |
| 03/31/23 | 1.000 | 61.44 | 03/31/23 | 31.56 | ... | 29.88 | Option sale |
| TESLA INC TSLA Apr 06 2023 190.0 Call / CUSIP: / Symbol: TSLA 04/06/23 C 190.000 | | | | | | | |
| 04/06/23 | 1.000 | 0.00 | 04/06/23 | 9.56 | ... | -9.56 | Option expiration |
| TESLA INC TSLA May 12 2023 172.5 Call / CUSIP: / Symbol: TSLA 05/12/23 C 172.500 | | | | | | | |
| 05/12/23 | 1.000 | 429.44 | 05/11/23 | 212.56 | ... | 216.88 | Option sale |
| TESLA INC TSLA May 19 2023 175.0 Call / CUSIP: / Symbol: TSLA 05/19/23 C 175.000 | | | | | | | |
| 05/19/23 | 1.000 | 509.44 | 05/17/23 | 150.56 | ... | 358.88 | Option sale |
| TESLA INC TSLA May 26 2023 190.0 Call / CUSIP: / Symbol: TSLA 05/26/23 C 190.000 | | | | | | | |
| 05/26/23 | 1.000 | 429.44 | 05/26/23 | 52.56 | ... | 376.88 | Option sale |

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Account 232275107

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|--|----------|---|-------------------|-------------------------|--|---|--|
| TESLA INC TSLA May 26 2023 200.0 Call / CUSIP: / Symbol: TSLA 05/26/23 C 200.000 | | | | | | | |
| 05/26/23 | 1.000 | 9.44 | 05/26/23 | 36.56 | ... | -27.12 | Option sale |
| TESLA INC TSLA Jun 02 2023 205.0 Call / CUSIP: / Symbol: TSLA 06/02/23 C 205.000 | | | | | | | |
| 06/02/23 | 1.000 | 1,064.43 | 06/01/23 | 360.56 | ... | 703.87 | Option sale |
| TESLA INC TSLA Jun 02 2023 215.0 Call / CUSIP: / Symbol: TSLA 06/02/23 C 215.000 | | | | | | | |
| 06/02/23 | 1.000 | 107.44 | 06/01/23 | 79.56 | ... | 27.88 | Option sale |
| TESLA INC TSLA Jun 09 2023 217.5 Call / CUSIP: / Symbol: TSLA 06/09/23 C 217.500 | | | | | | | |
| 06/07/23 | 1.000 | 1,124.43 | 06/05/23 | 520.56 | ... | 603.87 | Option sale |
| TESLA INC TSLA Jun 09 2023 255.0 Call / CUSIP: / Symbol: TSLA 06/09/23 C 255.000 | | | | | | | |
| 06/09/23 | 1.000 | 0.00 | 06/09/23 | 68.56 | ... | -68.56 | Option expiration |
| TESLA INC TSLA Jun 16 2023 260.0 Call / CUSIP: / Symbol: TSLA 06/16/23 C 260.000 | | | | | | | |
| 06/16/23 | 1.000 | 151.44 | 06/16/23 | 190.56 | ... | -39.12 | Option sale |
| TESLA INC TSLA Jun 16 2023 265.0 Call / CUSIP: / Symbol: TSLA 06/16/23 C 265.000 | | | | | | | |
| 06/16/23 | 1.000 | 9.44 | 06/16/23 | 120.56 | ... | -111.12 | Option sale |
| TESLA INC TSLA Jun 23 2023 270.0 Call / CUSIP: / Symbol: TSLA 06/23/23 C 270.000 | | | | | | | |
| 06/23/23 | 5.000 | 0.00 | 06/23/23 | 32.80 | ... | -32.80 | Option expiration |
| TESLA INC TSLA Jul 21 2023 280.0 Call / CUSIP: / Symbol: TSLA 07/21/23 C 280.000 | | | | | | | |
| 07/21/23 | 2.000 | 0.00 | 07/20/23 | 198.12 | ... | -198.12 | Option expiration |
| TESLA INC TSLA Mar 03 2023 150.0 Put / CUSIP: / Symbol: TSLA 03/03/23 P 150.000 | | | | | | | |
| 03/03/23 | 2.000 | 0.00 | Various | 21.12 | ... | -21.12 | Option expiration |
| TESLA INC TSLA Apr 21 2023 140.0 Put / CUSIP: / Symbol: TSLA 04/21/23 P 140.000 | | | | | | | |
| 04/21/23 | 1.000 | 0.00 | 04/21/23 | 2.56 | ... | -2.56 | Option expiration |
| TESLA INC TSLA Oct 06 2023 250.0 Put / CUSIP: / Symbol: TSLA 10/06/23 P 250.000 | | | | | | | |
| 10/06/23 | 1.000 | 79.44 | 10/05/23 | 30.56 | ... | 48.88 | Option sale |
| TEXAS INSTRUMENTS INC TXN Jul 28 2023 200.0 Call / CUSIP: / Symbol: TXN 07/28/23 C 200.000 | | | | | | | |
| 07/28/23 | 1.000 | 0.00 | 07/25/23 | 27.56 | ... | -27.56 | Option expiration |

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|---|----------|---|-------------------|-------------------------|--|---|------------------------|
| UNITY SOFTWARE INC U Jun 09 2023 35.0 Call / CUSIP: / Symbol: U 06/09/23 C 35.000 | | | | | | | |
| 06/05/23 | 1.000 | 240.44 | 06/05/23 | 205.56 | ... | 34.88 | Option sale |
| UNITEDHEALTH GROUP INC UNH Apr 21 2023 515.0 Call / CUSIP: / Symbol: UNH 04/21/23 C 515.000 | | | | | | | |
| 04/06/23 | 1.000 | 694.43 | 04/04/23 | 315.56 | ... | 378.87 | Option sale |
| UPSTART HLDGS INC UPST May 26 2023 30.0 Call / CUSIP: / Symbol: UPST 05/26/23 C 30.000 | | | | | | | |
| 05/23/23 | 1.000 | 79.44 | 05/22/23 | 35.56 | ... | 43.88 | Option sale |
| US BANCORP USB Jun 16 2023 25.0 Put / CUSIP: / Symbol: USB 06/16/23 P 25.000 | | | | | | | |
| 05/10/23 | 1.000 | 88.44 | 04/24/23 | 35.56 | ... | 52.88 | Option sale |
| VIPSHOP HLDGS LTD VIPS Jun 16 2023 17.0 Call / CUSIP: / Symbol: VIPS 06/16/23 C 17.000 | | | | | | | |
| 06/12/23 | 1.000 | 54.44 | 06/05/23 | 11.56 | ... | 42.88 | Option sale |
| WALGREENS BOOTS ALLIANCE INC WBA Apr 21 2023 32.5 Call / CUSIP: / Symbol: WBA 04/21/23 C 32.500 | | | | | | | |
| 04/06/23 | 1.000 | 351.44 | 03/28/23 | 156.56 | ... | 194.88 | Option sale |
| WELLS FARGO & COMPANY WFC Jul 28 2023 50.0 Call / CUSIP: / Symbol: WFC 07/28/23 C 50.000 | | | | | | | |
| 07/28/23 | 10.000 | 0.00 | 07/26/23 | 15.60 | ... | -15.60 | Option expiration |
| UNITED STATES STEEL CORP X Aug 18 2023 25.0 Put / CUSIP: / Symbol: X 08/18/23 P 25.000 | | | | | | | |
| 08/18/23 | 1.000 | 0.00 | 08/15/23 | 3.56 | ... | -3.56 | Option expiration |
| ZSCALER INC ZS May 12 2023 114.0 Call / CUSIP: / Symbol: ZS 05/12/23 C 114.000 | | | | | | | |
| 05/10/23 | 1.000 | 449.44 | 05/08/23 | 112.56 | ... | 336.88 | Option sale |
| ZSCALER INC ZS Jun 02 2023 150.0 Call / CUSIP: / Symbol: ZS 06/02/23 C 150.000 | | | | | | | |
| 06/02/23 | 1.000 | 10.44 | 06/02/23 | 10.56 | ... | -0.12 | Option sale |
| Totals : | | 23,370.94 | | 20,535.77 | | 2,835.17 | |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 232275107

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

01/18/2024

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z) | Additional information |
|---|-------------|---|-------------------|-------------------------|--|---|------------------------|
| ALL AMERICAN PET COMPANY INC COM / CUSIP: 01644F106 / Symbol: AAPT | | | | | | | |
| 04/03/23 | 1,000.000 | 0.00 | 09/20/21 | 37.35 | ... | -37.35 | Sale |
| ALTERNET SYSTEMS INC COM / CUSIP: 02146M201 / Symbol: ALYI | | | | | | | |
| 02/15/23 | 2,000.000 | 0.00 | 09/16/21 | 42.95 | ... | -42.95 | Sale |
| FUNR COM / CUSIP: 126524107 / Symbol: FUNR | | | | | | | |
| 01/23/23 | 2,000.000 | 0.00 | 09/01/21 | 46.35 | ... | -46.35 | Sale |
| EGPI FIRECREEK INC COM / CUSIP: 268487402 / Symbol: EFIR | | | | | | | |
| 05/08/23 | 30,000.000 | 0.00 | 07/07/21 | 50.95 | ... | -50.95 | Sale |
| GREEN STREAM HOLDINGS INC COM / CUSIP: 393413109 / Symbol: GSFI | | | | | | | |
| 02/02/23 | 4,000.000 | 0.00 | Various | 136.52 | ... | -136.52 | Sale |
| HERBORIUM GROUP INC COM / CUSIP: 42703A208 / Symbol: HBRM | | | | | | | |
| 08/18/23 | 100,000.000 | 4.05 | 08/13/21 | 105.95 | ... | -101.90 | Sale |
| HOLLUND INDUSTRIAL MARINE INC COM / CUSIP: 435730403 / Symbol: HIMR | | | | | | | |
| 05/08/23 | 110,000.000 | 0.00 | Various | 74.90 | ... | -74.90 | Sale |
| HOP-ON INC COM / CUSIP: 439338203 / Symbol: HPNN | | | | | | | |
| 02/15/23 | 20,000.000 | 7.15 | 07/26/21 | 73.95 | ... | -66.80 | Sale |
| HUMAN UNITED INTL COM / CUSIP: 44485L105 / Symbol: HMNU | | | | | | | |
| 04/25/23 | 4,000.000 | 0.27 | Various | 61.48 | ... | -61.21 | Sale |
| LIFE CLIPS INC COM / CUSIP: 53185D107 / Symbol: LCLP | | | | | | | |
| 02/13/23 | 2,000.000 | 0.00 | Various | 66.58 | ... | -66.58 | Sale |
| NEXT MEATS HLDGS INC COM / CUSIP: 65345L100 / Symbol: NXMH | | | | | | | |
| 09/05/23 | 100.000 | 0.00 | 11/05/21 | 346.95 | ... | -346.95 | Sale |
| NIKOLA CORPORATION COM / CUSIP: 654110105 / Symbol: NKLA | | | | | | | |
| 02/15/23 | 5.000 | 12.50 | 11/08/21 | 72.65 | ... | -60.15 | Sale |
| OPENDOOR TECHNOLOGIES INC COM / CUSIP: 683712103 / Symbol: OPEN | | | | | | | |
| 02/15/23 | 10.000 | 21.60 | 05/28/21 | 156.80 | ... | -135.20 | Sale |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 232275107

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

01/18/2024

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | 7- Loss not allowed (X) also not reported (Z) | Gain or loss(-) & Loss not reported (Z) | Additional information |
|--|------------------------|---|-------------------|-------------------------|--|---|---|-------------------------------|
| PALANTIR TECH INC COM CL A / CUSIP: 69608A108 / Symbol: PLTR | | | | | | | | |
| 08/02/23 | 20.000 | 364.15 | Various | 510.80 | ... | | -146.65 | Sale |
| BIORA THERAPEUTICS INC COM / CUSIP: 74319F305 / Symbol: BIOR | | | | | | | | |
| 02/15/23 | 4.000 | 13.64 | 10/06/21 | 143.81 | ... | | -130.17 | Sale |
| PROTAGENIC THERAPEUTICS INC 1:4 R/S 3/23/23 74365N202 / CUSIP: 74365N103 / Symbol: | | | | | | | | |
| 02/15/23 | 30.000 | 15.10 | Various | 88.25 | ... | | -73.15 | Sale |
| REGEN BIOPHARMA INC COM / CUSIP: 75886M300 / Symbol: RGBP | | | | | | | | |
| 03/13/23 | 2.000 | 0.00 | Various | 77.27 | ... | | -77.27 | Sale |
| 04/03/23 | 1.000 | 0.00 | 11/05/21 | 40.63 | ... | | -40.63 | Sale |
| | Security total: | 0.00 | | 117.90 | ... | | -117.90 | |
| THERAPEUTIC SOLUTIONS INTL INC COM / CUSIP: 883378101 / Symbol: TSOI | | | | | | | | |
| 04/13/23 | 1,000.000 | -0.01 | 08/05/21 | 60.95 | ... | | -60.96 | Zero proceeds / negative sale |
| UA MULTIMEDIA INC COM / CUSIP: 90255U106 / Symbol: UAMM | | | | | | | | |
| 02/15/23 | 2,000.000 | 8.76 | 08/09/21 | 65.95 | ... | | -57.19 | Sale |
| WATER TECH INTL IN COM / CUSIP: 94114G209 / Symbol: WTII | | | | | | | | |
| 05/26/23 | 10,000.000 | 0.00 | 07/19/21 | 124.95 | ... | | -124.95 | Sale |
| SONO GROUP N V COM / CUSIP: N81409109 / Symbol: SEVCQ | | | | | | | | |
| 09/05/23 | 40.000 | 0.00 | Various | 434.50 | ... | | -434.50 | Sale |
| Totals : | | 447.21 | | 2,820.49 | | | -2,373.28 | |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 232275107

Detail for Interest Income**2023**

01/18/2024

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

| Security description | CUSIP and/or symbol | Date | Amount | Transaction type | Notes |
|-----------------------------|----------------------------|-------------|---------------|-------------------------|--------------|
| Interest Credited | | 01/31/23 | 0.71 | Credit interest | |
| | | 02/28/23 | 0.46 | Credit interest | |
| | | 03/31/23 | 0.61 | Credit interest | |
| | | 04/28/23 | 0.58 | Credit interest | |
| | | 05/31/23 | 1.05 | Credit interest | |
| | | 06/30/23 | 2.60 | Credit interest | |
| | | 07/31/23 | 2.45 | Credit interest | |
| | | 08/31/23 | 2.21 | Credit interest | |
| | | 09/29/23 | 2.03 | Credit interest | |
| | | 10/31/23 | 2.02 | Credit interest | |
| | | 11/30/23 | 0.32 | Credit interest | |
| | | | 15.04 | Total Interest | |
| | | | 15.04 | Total Interest | |

TD Ameritrade Clearing, Inc.

Account 232275107

Section 1256 Contracts - Regulated Futures and Nonequity Options

2023

01/18/2024

This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.

| Security description | CUSIP and/or symbol | Date | Quantity | Amount | Transaction type | Notes |
|--------------------------------------|----------------------------|-------------|-----------------|---------------|-------------------------|--|
| S&P 500 SPX Aug 18 2023 5000.0 Call | SPX 08/18/23 C 5000.000 | 06/21/23 | 1.00 | 22.86 | Realized profit/loss | |
| S&P 500 SPXW Jul 12 2023 4500.0 Call | SPXW 07/12/23 C 4500.000 | 07/13/23 | 1.00 | -197.07 | Realized profit/loss | |
| S&P 500 SPXW Oct 13 2023 4350.0 Call | SPXW 10/13/23 C 4350.000 | 10/16/23 | 1.00 | -62.07 | Realized profit/loss | |
| S&P 500 SPXW Oct 17 2023 4400.0 Call | SPXW 10/17/23 C 4400.000 | 10/18/23 | 1.00 | 58.02 | Realized profit/loss | |
| S&P 500 SPXW Sep 22 2023 4220.0 Put | SPXW 09/22/23 P 4220.000 | 09/22/23 | 10.00 | -59.91 | Realized profit/loss | |
| S&P 500 SPXW Oct 13 2023 4300.0 Put | SPXW 10/13/23 P 4300.000 | 10/16/23 | 1.00 | -102.07 | Realized profit/loss | |
| | | | | | -340.24 | Total Realized profit/loss |
| | | | | | -340.24 | Total Aggregate profit or (loss) on contracts |

TD Ameritrade Clearing, Inc.

Account 232275107

Fees and Expenses

2023

01/18/2024

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

| Description | CUSIP and/or symbol | Date | Amount | Transaction type | Notes |
|-------------|---------------------|----------|--------------|-------------------------------------|-------|
| NIO INC ADR | 62914V106 NIO | 02/08/23 | -0.80 | Depository receipt fee | |
| | | | -0.80 | Total Depository receipt fee | |

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did not notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5),

Instructions for Recipient

shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Instructions for Recipient

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)

Line 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2023.

Line 9. Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2022.

Line 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2023. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2023 in 2024.

Line 11. Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2023 Form 6781.

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