

Shareworks

by Morgan Stanley

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Statement of Account

Statement Period: 30-Sep-2022 to 05-Feb-2024

Account Number: CS-E08114-4F

Company: First Republic Bank

Price History Over Statement Period

	30-Sep-2022	05-Feb-2024	% Change
First Republic Bank - OTC	\$130.55	\$0.07	-100%

All currencies are in US Dollars unless specified.

Account Summary

Future available value includes only awards with time based vesting and may not include awards with performance based vesting.

Summary of FRB ESPP

Plan Enrolment

FRB ESPP: Not eligible

FRB ESPP

Plan Information as of 05-Feb-2024

Purchase Price Calculation : Purchase Date FMV, discounted by 15%

Employee Plan Status : Closed

Current Value							
Fund	Cash Awaiting Investment	Total Shares	Vesting Information		Current Value	Vested Value	Unvested Value
			Vested	Unvested			
No records found							

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Purchase History for Stock/Shares							
Grant Date	Subscription Date	Subscription Date FMV	Purchase Date	Purchase Date FMV	Purchase Price	Qualification Date *	Shares Purchased
Fund: First Republic Bank - OTC							
30-Sep-2022	30-Sep-2022	\$130.55	30-Sep-2022	\$130.55	\$110.968	01-Oct-2024	45
14-Oct-2022	14-Oct-2022	\$112.59	14-Oct-2022	\$112.59	\$95.702	15-Oct-2024	1

Grant Date	Subscription Date	Subscription Date FMV	Purchase Date	Purchase Date FMV	Purchase Price	Qualification Date *	Shares Purchased
28-Oct-2022	28-Oct-2022	\$120.29	28-Oct-2022	\$120.29	\$102.247	29-Oct-2024	1
10-Nov-2022	10-Nov-2022	\$126.49	10-Nov-2022	\$126.49	\$107.517	11-Nov-2024	1
09-Dec-2022	09-Dec-2022	\$116.98	09-Dec-2022	\$116.98	\$99.433	10-Dec-2024	2
23-Dec-2022	23-Dec-2022	\$119.40	23-Dec-2022	\$119.40	\$101.49	24-Dec-2024	1
20-Jan-2023	20-Jan-2023	\$136.18	20-Jan-2023	\$136.18	\$115.753	21-Jan-2025	1
03-Feb-2023	03-Feb-2023	\$144.50	03-Feb-2023	\$144.50	\$122.825	04-Feb-2025	1
17-Feb-2023	17-Feb-2023	\$128.89	17-Feb-2023	\$128.89	\$109.557	18-Feb-2025	1
03-Mar-2023	03-Mar-2023	\$123.22	03-Mar-2023	\$123.22	\$104.737	04-Mar-2025	1
17-Mar-2023	17-Mar-2023	\$23.03	17-Mar-2023	\$23.03	\$19.576	18-Mar-2025	50
							105

* The Qualification Date is when the statutory holding period has been met. If a sale occurs on or after that date, it is called a Qualifying Disposition and may result in favorable tax treatment. To qualify, a sale must occur more than one year after the date of purchase and more than two years after the grant date (which is typically the start date of an offering period). If the requirements are not met, the sale is known as a Disqualifying Disposition, and usually results in a higher tax burden with more reportable ordinary income and short term capital gains.
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Transaction History for Stock/Shares				
Transaction Date	Number of Shares	Proceeds	Ordinary Income	Capital Gain or Loss
Fund: Cash USD				
16-May-2023		\$15.66		
Fund: First Republic Bank - OTC				
07-Dec-2022	40	\$4,779.22	\$783.28	\$-442.78
02-May-2023	65	\$239.53	\$457.44	\$-2,810.24
	105	\$5,034.41	\$1,240.72	\$-3,253.02

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Activity					
Entry Date	Activity	Cash	Number of Shares	Share Price	Market Value
Fund: Cash USD					
30-Sep-2022	Opening Value	\$0.00			\$0.00
10-Nov-2022	Cash Transfer In	\$12.42			
09-Feb-2023	Cash Transfer In	\$3.24			
16-May-2023	Transfer out	\$-15.66			
05-Feb-2024	Closing Value	\$0.00			\$0.00
Fund: First Republic Bank - OTC					
30-Sep-2022	Opening Value	\$0.00	0	\$132.68	\$0.00
30-Sep-2022	You bought	\$0.00	45	\$130.55	
14-Oct-2022	You bought	\$0.00	1	\$112.59	
28-Oct-2022	You bought	\$0.00	1	\$120.29	
10-Nov-2022	Dividend (Cash)	\$12.42			
10-Nov-2022	Cash Transfer Out	\$-12.42			
10-Nov-2022	You bought	\$0.00	1	\$126.49	
07-Dec-2022	Sale		-40	\$119.48	
09-Dec-2022	You bought	\$0.00	2	\$116.98	
23-Dec-2022	You bought	\$0.00	1	\$119.40	
20-Jan-2023	You bought	\$0.00	1	\$136.18	
03-Feb-2023	You bought	\$0.00	1	\$144.50	
09-Feb-2023	Dividend (Cash)	\$3.24			
09-Feb-2023	Cash Transfer Out	\$-3.24			
17-Feb-2023	You bought	\$0.00	1	\$128.89	
03-Mar-2023	You bought	\$0.00	1	\$123.22	
17-Mar-2023	You bought	\$0.00	50	\$23.03	
02-May-2023	Sale		-65	\$3.69	
05-Feb-2024	Closing Value	\$0.00	0	\$0.07	\$0.00

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Withdrawal on December 05, 2022

Reference Number:	WRCA087C619-1EE	Withdrawal Type:	Ad Hoc
Savings Plan:	FRB ESPP	Transaction Type:	Real Time Sale
Fund	First Republic Bank - OTC	Settlement Date:	07-Dec-2022
Source of Money:	Employee	Market Price Per Unit:	\$119.4805 USD
Delivery Method:	Transfer cash to E*TRADE brokerage account	Shares Sold:	40

Sale Breakdown

Description	Value
Gross Proceeds	\$4,779.22 USD
Brokerage Commission	\$-25.00 USD
Supplemental Transaction Fee	\$-0.11 USD
Net Proceeds: \$4,754.11 USD	

Withdrawal on April 28, 2023

Reference Number:	WRCA8039FE9-1EE	Withdrawal Type:	Ad Hoc
Savings Plan:	FRB ESPP	Transaction Type:	Real Time Sale
Fund	First Republic Bank - OTC	Settlement Date:	02-May-2023
Source of Money:	Employee	Market Price Per Unit:	\$3.685077 USD
Delivery Method:	Transfer cash to E*TRADE brokerage account	Shares Sold:	65

Sale Breakdown

Description	Value
Gross Proceeds	\$239.53 USD
Brokerage Commission	\$-25.00 USD
Supplemental Transaction Fee	\$-0.01 USD
Net Proceeds: \$214.52 USD	

Withdrawal on May 16, 2023

Reference Number:	WBCA8F364E7-1EE	Withdrawal Type:	Ad Hoc
Savings Plan:	FRB ESPP	Transaction Type:	Bulk Share Withdrawal
Fund	Cash USD	Settlement Date:	16-May-2023
Source of Money:	Employee	Proceeds:	\$15.66 USD
Delivery Method:	Transfer cash to E*TRADE brokerage account		

Proceeds Breakdown

Description	Value
Gross Proceeds	\$15.66 USD
Net Proceeds: \$15.66 USD	