

Apex Clearing

One Dallas Center
350 North St Paul, Ste 1300
Dallas, TX 75201

IMPORTANT TAX DOCUMENTS ENCLOSED

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
888-828-0618

ANKUR VATS
15015 WEST AIRPORT BOULEVARD
SUGAR LAND, TX 77498-7081

IMPORTANT YEAR END MESSAGES

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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One Dallas Center
350 North St Paul, Ste 1300
Dallas, TX 75201

Customer Service: 214-765-1009
Payer's Federal ID No: 13-2967453

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
888-828-0618

Account 51L60401

ANKUR VATS
15015 WEST AIRPORT BOULEVARD
SUGAR LAND, TX 77498-7081

RECIPIENT'S ID No: XXX-XX-3135

Form 1099 Composite**2023**

Statement Date: 2024-02-11

 CorrectedFATCA filing Requirement **Tax Reporting Statement**

Dividends and Distributions	2023 Form1099DIV	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b)	487.82	
1b- Qualified Dividends	94.44	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d)	0.00	
2b- Unrecaptured Section 1250 Gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 ordinary dividends	0.00	
2f- Section 897 capital gain	0.00	
3- Nondividend Distributions	84.36	
4- Federal Income Tax Withheld	0.00	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign Tax Paid	0.00	
8- Foreign Country or U.S. Possessions		
9- Cash Liquidation Distribution	0.00	
10- Noncash Liquidation Distributions	0.00	
12- Exempt-Interest Dividends	0.00	
13- Specified Private Activity Bond Interest Dividends	0.00	

Interest Income	2023 Form1099INT	OMB No. 1545-0112
1- Interest Income	213.81	
2- Early Withdrawal Penalty	0.00	
3- Interest on US Savings Bonds & Treasury Obligations	0.00	
4- Federal Income Tax Withheld	0.00	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

Miscellaneous Information	2023 Form1099MISC	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
4- Federal Income Tax Withheld	0.00	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS	
	2023 Form1099B
	OMB No. 1545-0715
8- Profit or (loss) realized in 2023 on closed contracts	1,206.73
9- Unrealized profit or (loss) on open contracts - 12/31/2022	0.00
10- Unrealized profit or (loss) on open contracts - 12/31/2023	0.00
11- Aggregate profit or (loss) on contracts	1,206.73
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>	

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Dividends may be reclassified for tax purposes after the original Form 1099 is sent in certain cases where information from issuers is received late. This may require us to provide you an amended tax form.

C - Corrected

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Tax Summary

2023

5IL60401

2024-02-11

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	254,763.80	238,095.94	0.00	1,570.37	18,238.23
Short-term transactions for noncovered tax lots	2,099.94	2,041.56	0.00	0.00	58.38
Total Short-term	256,863.74	240,137.50	0.00	1,570.37	18,296.61
Long-term transactions for covered tax lots	4,903.67	5,688.08	0.00	968.01	183.60
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Long-term	4,903.67	5,688.08	0.00	968.01	183.60
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term	0.00	0.00	0.00	0.00	0.00

Original Issue Discount

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount - Covered Positions	0.00
Acquisition Premium - Covered Positions	0.00
Bond Premium - Covered Positions	0.00
Tax-Exempt OID - Covered Positions	0.00
Tax Exempt OID - Specified Private Activity Bonds - Covered Positions	0.00
Investment Expenses	0.00

Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the Form1099-OID section of this document.

FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	0.00
1099-DIV Total:	0.00
1099-INT Total:	0.00
1099-MISC Total:	0.00
1099-OID Total:	0.00

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5IL60401

2024-02-11

OMB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B - Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes", cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as "Box 2 - Ordinary." In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as "Box 3 - Collectibles Box Checked." Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as "Box 7 - Loss Disallowed Box Checked." Disposition of a QOF investment is noted in the Additional Notes column as "Box 3 - QOF."

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement []

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AAPL 09/20/24	225	AAPL INC CUSIP: *8BRSFY7 Symbol: AAPL--240920C00225000					
2023-06-28	1.0	929.93	2023-06-15	800.05	0.00	129.88	
PUT QQQ 08/29/23	360	INVESCO QQQ TRUST SERIES 1 CUSIP: *8BSNGS3 Symbol: QQQ---230829P00360000					
2023-08-29	1.0	0.00	2023-08-25	240.05	0.00	-240.05	
PUT SPY 08/30/23	450	STANDARD & POORS DEPOSITORY CUSIP: *8BVGPN0 Symbol: SPY---230830P00450000					
2023-08-30	1.0	0.00	2023-08-30	40.05	0.00	-40.05	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5IL60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 09/05/23	450	STANDARD & POORS DEPOSITORY CUSIP: *8GCHCX6 Symbol: SPY---230905P00450000					
2023-09-05	2.0	113.86	2023-09-05	68.10	0.00	45.76	
PUT META 09/01/23	295	META PLATFORMS INC CL A CUSIP: *8GDDVF3 Symbol: META--230901P00295000					
2023-09-01	1.0	0.00	2023-08-31	127.05	0.00	-127.05	
CALL SPY 09/06/23	445	STANDARD & POORS DEPOSITORY CUSIP: *8GDYGB7 Symbol: SPY---230906C00445000					
2023-09-06	1.0	71.93	2023-09-06	47.05	0.00	24.88	
PUT SPY 09/06/23	445	STANDARD & POORS DEPOSITORY CUSIP: *8GDYGB9 Symbol: SPY---230906P00445000					
2023-09-06	1.0	26.93	2023-09-06	15.05	0.00	11.88	
PUT AAPL 10/06/23	170	APPLE INC CUSIP: *8GFFXH1 Symbol: AAPL--231006P00170000					
2023-10-06	6.0	0.00	Various	202.24	0.00	-202.24	
PUT AAPL 10/06/23	175	APPLE INC CUSIP: *8GFFXH3 Symbol: AAPL--231006P00175000					
2023-10-06	1.0	0.00	2023-10-06	111.04	0.00	-111.04	
PUT ABNB 10/06/23	131	AIRBNB INC CUSIP: *8GFGLY4 Symbol: ABNB--231006P00131000					
2023-10-03	1.0	334.94	2023-09-28	171.05	0.00	163.89	
PUT ABNB 10/06/23	133	AIRBNB INC CUSIP: *8GFGLY8 Symbol: ABNB--231006P00133000					
2023-09-26	1.0	374.93	2023-09-25	305.05	0.00	69.88	
PUT AMD 10/06/23	102	ADVANCED MICRO DEVICES INC CUSIP: *8GFKJL3 Symbol: AMD---231006P00102000					
2023-10-02	1.0	175.94	2023-10-02	145.04	0.00	30.90	
PUT AMZN 10/06/23	126	AMAZON.COM INC CUSIP: *8GFKZD6 Symbol: AMZN--231006P00126000					
2023-10-02	1.0	72.94	2023-10-02	54.04	0.00	18.90	
CALL SPY 09/01/23	441	STANDARD & POORS DEPOSITORY CUSIP: *8GGRDC6 Symbol: SPY---230901C00441000					
2023-08-28	1.0	416.93	2023-08-25	340.05	0.00	76.88	
PUT GOOG 10/06/23	133	ALPHABET INC CLASS C CUSIP: *8GGYJW7 Symbol: GOOG--231006P00133000					
2023-10-06	1.0	0.00	2023-10-04	74.04	0.00	-74.04	
PUT LRCX 09/08/23	672.50	LAM RESEARCH CORP CUSIP: *8GHNBT6 Symbol: LRCX--230908P00672500					
2023-09-07	1.0	1,009.93	2023-09-01	143.05	0.00	866.88	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5IL60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL MMM 10/06/23	91	3M COMPANY CUSIP: *8GHQZF1 Symbol: MMM---231006C00091000					
2023-10-06	1.0	0.00	2023-10-02	71.04	0.00	-71.04	
CALL MMM 12/15/23	110	3M COMPANY CUSIP: *8GHRHN9 Symbol: MMM---231215C00110000					
2023-08-31	2.0	909.88	2023-08-30	592.10	0.00	317.78	
CALL NEE 10/06/23	70	NEXTERA ENERGY INC CUSIP: *8GHVKX3 Symbol: NEE---231006C00070000					
2023-09-15	5.0	549.73	2023-09-14	375.25	0.00	174.48	
CALL GOOG 10/20/23	142	ALPHABET INC CLASS C CUSIP: *8GKQKH6 Symbol: GOOG--231020C00142000					
2023-10-20	1.0	0.00	2023-10-17	59.04	0.00	-59.04	
CALL MMM 10/20/23	91	3M COMPANY CUSIP: *8GLQGH4 Symbol: MMM---231020C00091000					
2023-10-20	2.0	0.00	2023-10-12	114.08	0.00	-114.08	
CALL QQQ 09/28/23	361	INVESCO QQQ TRUST SERIES 1 CUSIP: *8GMHHC7 Symbol: QQQ---230928C00361000					
2023-09-22	1.0	359.93	2023-09-22	286.05	0.00	73.88	
2023-09-28	1.0	0.00	2023-09-22	285.05	0.00	-285.05	
Security Totals:		359.93		571.10		-211.17	
CALL GOOG 06/21/24	150	ALPHABET INC CLASS C ADJ 20:1 STOCK SPLIT CUSIP: *8GNRFH5 Symbol: GOOG--240621C00150000					
2023-07-26	4.0	3,304.75	Various	2,640.20	0.00	664.55	
2023-07-27	1.0	959.93	2023-07-18	660.05	0.00	299.88	
Security Totals:		4,264.68		3,300.25		964.43	
PUT SPY 07/31/23	454	STANDARD & POORS DEPOSITORY CUSIP: *8GPWNC9 Symbol: SPY---230731P00454000					
2023-07-31	1.0	0.00	2023-07-28	32.05	0.00	-32.05	
CALL MMM 10/20/23	90	3M COMPANY CUSIP: *8GYXZZ6 Symbol: MMM---231020C00090000					
2023-10-11	1.0	158.94	2023-10-09	122.04	0.00	36.90	
PUT NFLX 09/15/23	425	NETFLIX INC CUSIP: *8GZHDB9 Symbol: NFLX--230915P00425000					
2023-09-13	1.0	694.93	2023-09-07	290.05	0.00	404.88	
PUT TSM 12/15/23	102	TAIWAN SEMICONDUCTOR MFG CO CUSIP: *8KBLSP3 Symbol: TSM---231215P00102000					
2023-12-15	1.0	0.00	2023-12-14	33.04	0.00	-33.04	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5IL60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 08/18/23	436	STANDARD & POORS DEPOSITORY CUSIP: *8KCXBD0 Symbol: SPY---230818C00436000	2023-08-18	60.05	0.00	4.88	
2023-08-18	1.0	64.93	2023-08-18	60.05	0.00	4.88	
CALL SPY 07/28/23	456	STANDARD & POORS DEPOSITORY CUSIP: *8KFLCD7 Symbol: SPY---230728C00456000	2023-07-28	42.05	0.00	45.88	
2023-07-28	1.0	87.93	2023-07-28	42.05	0.00	45.88	
PUT SPY 07/28/23	456	STANDARD & POORS DEPOSITORY CUSIP: *8KFLCD8 Symbol: SPY---230728P00456000	2023-07-28	142.51	53.36 D	-26.36	
2023-07-28	3.0	62.79	2023-07-28	142.51	53.36 D	-26.36	
CALL SPY 07/28/23	458	STANDARD & POORS DEPOSITORY CUSIP: *8KFLCD9 Symbol: SPY---230728C00458000	2023-07-28	12.05	0.00	-5.12	
2023-07-28	1.0	6.93	2023-07-28	12.05	0.00	-5.12	
CALL NEE 10/20/23	70	NEXTERA ENERGY INC CUSIP: *8KMFMR0 Symbol: NEE---231020C00070000	2023-09-22	325.25	0.00	69.46	
2023-09-22	5.0	394.71	2023-09-19	325.25	0.00	69.46	
CALL TSLA 11/03/23	222.50	TESLA INC CUSIP: *8KMVNH2 Symbol: TSLA--231103C00222500	2023-11-03	105.04	0.00	-105.04	
2023-11-03	1.0	0.00	2023-11-03	105.04	0.00	-105.04	
CALL TSLA 11/03/23	227.50	TESLA INC CUSIP: *8KMVNH4 Symbol: TSLA--231103C00227500	2023-11-03	57.04	0.00	-57.04	
2023-11-03	1.0	0.00	2023-11-03	57.04	0.00	-57.04	
CALL GOOG 07/07/23	121	ALPHABET INC CLASS C CUSIP: *8KNZCZ2 Symbol: GOOG--230707C00121000	2023-07-05	85.05	0.00	129.88	
2023-07-05	1.0	214.93	2023-07-03	85.05	0.00	129.88	
CALL GOOG 07/07/23	127	ALPHABET INC CLASS C CUSIP: *8KNZXN3 Symbol: GOOG--230707C00127000	2023-07-05	16.05	0.00	-7.12	
2023-07-05	1.0	8.93	2023-07-05	16.05	0.00	-7.12	
PUT NVDA 10/06/23	400	NVIDIA CORPORATION CUSIP: *8KRFDK1 Symbol: NVDA--231006P00400000	2023-10-06	26.04	0.00	-26.04	
2023-10-06	1.0	0.00	2023-10-03	26.04	0.00	-26.04	
PUT QCOM 10/06/23	105	QUALCOMM INC CUSIP: *8KRPMJ2 Symbol: QCOM--231006P00105000	2023-10-06	44.08	0.00	-44.08	
2023-10-06	2.0	0.00	2023-10-03	44.08	0.00	-44.08	
PUT GOOG 10/20/23	140	ALPHABET INC CLASS C CUSIP: *8KVCY3 Symbol: GOOG--231020P00140000	2023-10-20	162.04	0.00	51.90	
2023-10-20	1.0	213.94	2023-10-17	162.04	0.00	51.90	
CALL SPY 09/07/23	445	STANDARD & POORS DEPOSITORY CUSIP: *8KYBHT8 Symbol: SPY---230907C00445000	2023-09-07	63.10	0.00	30.76	
2023-09-07	2.0	93.86	2023-09-07	63.10	0.00	30.76	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5IL60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NEE 12/08/23	60	NEXTERA ENERGY INC CUSIP: *8KZDWK6 Symbol: NEE---231208P00060000					
2023-12-06	1.0	54.94	2023-12-06	60.04	0.00	-5.10	
CALL QCOM 07/21/23	117	QUALCOMM INC CUSIP: *8LHBT3 Symbol: QCOM--230721C00117000					
2023-07-11	1.0	203.93	2023-07-11	153.05	0.00	50.88	
CALL MVST 12/15/23	1.50	MICROVAST HOLDINGS INC CUSIP: *8LKVJY1 Symbol: MVST--231215C00001500					
2023-12-15	5.0	0.00	2023-09-22	250.25	0.00	-250.25	
PUT ABNB 10/13/23	125	AIRBNB INC CUSIP: *8LLGQK0 Symbol: ABNB--231013P00125000					
2023-10-03	1.0	221.94	2023-10-03	177.04	0.00	44.90	
2023-10-04	1.0	189.94	2023-10-04	153.04	0.00	36.90	
Security Totals:		411.88		330.08		81.80	
PUT TSLA 12/22/23	245	TESLA INC CUSIP: *8LMSKP0 Symbol: TSLA--231222P00245000					
2023-12-22	1.0	0.00	2023-12-15	355.04	0.00	-355.04	
PUT TSLA 12/22/23	250	TESLA INC CUSIP: *8LMSKQ3 Symbol: TSLA--231222P00250000					
2023-12-22	1.0	0.00	2023-12-21	175.04	0.00	-175.04	
PUT TSLA 09/15/23	265	TESLA INC CUSIP: *8LSNNS3 Symbol: TSLA--230915P00265000					
2023-09-15	1.0	0.00	2023-09-13	275.05	0.00	-275.05	
CALL AAPL 12/19/25	250	APPLE INC CUSIP: *8LSQKP9 Symbol: AAPL--251219C00250000					
2023-12-14	1.0	1,309.93	2023-08-08	1,125.05	0.00	184.88	
CALL PYPL 06/21/24	85	PAYPAL HOLDINGS INC CUSIP: *8LXQVM7 Symbol: PYPL--240621C00085000					
2023-07-10	1.0	589.93	2023-06-22	565.05	0.00	24.88	
CALL TSLA 07/07/23	280	TESLA INC CUSIP: *8LXTZK8 Symbol: TSLA--230707C00280000					
2023-07-07	2.0	179.93	Various	329.22	46.12 D	-103.17	
CALL TQQQ 09/15/23	41	PROSHARES ULTRAPRO QQQ CUSIP: *8LYDPB9 Symbol: TQQQ--230915C00041000					
2023-09-11	1.0	121.93	2023-09-08	118.05	0.00	3.88	
PUT TSLA 12/15/23	245	TESLA INC CUSIP: *8LYKYL4 Symbol: TSLA--231215P00245000					
2023-12-15	1.0	0.00	2023-12-15	35.04	0.00	-35.04	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5IL60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL GOOG 07/14/23	121	ALPHABET INC CLASS C CUSIP: *8LYQKK0 Symbol: GOOG--230714C00121000					
2023-07-13	1.0	209.93	2023-07-07	166.05	0.00	43.88	
CALL TSLA 07/07/23	277.50	TESLA INC CUSIP: *8LYTBF6 Symbol: TSLA--230707C00277500					
2023-07-07	1.0	149.93	2023-07-07	128.05	0.00	21.88	
PUT TSLA 07/07/23	277.50	TESLA INC CUSIP: *8LYTBF7 Symbol: TSLA--230707P00277500					
2023-07-07	1.0	219.93	2023-07-07	53.05	0.00	166.88	
CALL QCOM 07/14/23	117	QUALCOMM INC CUSIP: *8LZSLZ4 Symbol: QCOM--230714C00117000					
2023-07-07	1.0	151.93	2023-07-07	139.05	0.00	12.88	
2023-07-10	1.0	174.93	2023-07-07	130.05	0.00	44.88	
Security Totals:		326.86		269.10		57.76	
CALL SPY 10/03/23	421	STANDARD & POORS DEPOSITORY CUSIP: *8NBRMD2 Symbol: SPY---231003C00421000					
2023-10-03	1.0	35.94	2023-10-03	47.04	0.00	-11.10	
PUT AAPL 09/15/23	175	APPLE INC CUSIP: *8NCMLG2 Symbol: AAPL--230915P00175000					
2023-09-11	1.0	119.93	2023-09-08	87.05	0.00	32.88	
PUT FI 11/03/23	113	FISERV INC CUSIP: *8NGRGX3 Symbol: FI---231103P00113000					
2023-10-30	1.0	199.94	2023-10-24	125.04	0.00	74.90	
PUT FI 11/03/23	114	FISERV INC CUSIP: *8NGRGX5 Symbol: FI---231103P00114000					
2023-11-03	1.0	0.00	2023-11-01	40.04	0.00	-40.04	
CALL TSLA 08/18/23	240	TESLA INC CUSIP: *8NVNZP2 Symbol: TSLA--230818C00240000					
2023-08-14	1.0	474.93	2023-08-14	351.05	0.00	123.88	
CALL TSLA 08/18/23	250	TESLA INC CUSIP: *8NVPBN3 Symbol: TSLA--230818C00250000					
2023-08-11	1.0	266.93	2023-08-11	248.05	0.00	18.88	
CALL TSLA 10/20/23	260	TESLA INC CUSIP: *8NWBHQ5 Symbol: TSLA--231020C00260000					
2023-10-20	1.0	0.00	2023-10-18	213.04	0.00	-213.04	
CALL INTC 02/03/23	28	INTEL CORP CUSIP: *8NYLPS6 Symbol: INTC--230203C00028000					
2023-02-01	1.0	119.93	2023-02-01	68.05	0.00	51.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

51L60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL MMM 09/15/23	102	3M COMPANY CUSIP: *8NYYZK0 Symbol: MMM--230915C00102000					
2023-09-15	1.0	0.00	2023-09-15	26.05	0.00	-26.05	
CALL TSLA 07/14/23	275	TESLA INC CUSIP: *8PBNFK9 Symbol: TSLA--230714C00275000					
2023-07-12	2.0	799.86	Various	599.10	0.00	200.76	
2023-07-13	2.0	517.86	Various	415.10	0.00	102.76	
Security Totals:		1,317.72		1,014.20		303.52	
CALL TSLA 07/14/23	280	TESLA INC CUSIP: *8PBNHR4 Symbol: TSLA--230714C00280000					
2023-07-14	1.0	284.93	2023-07-14	156.05	0.00	128.88	
CALL MMM 01/19/24	110	3M COMPANY CUSIP: *8PCSHD5 Symbol: MMM--240119C00110000					
2023-07-25	1.0	639.93	2023-06-15	520.05	0.00	119.88	
CALL ORCL 09/15/23	127	ORACLE CORP CUSIP: *8PHVDZ2 Symbol: ORCL--230915C00127000					
2023-09-15	1.0	0.00	2023-09-11	290.05	0.00	-290.05	
PUT ADBE 09/15/23	510	ADOBE INC CUSIP: *8PJFWW4 Symbol: ADBE--230915P00510000					
2023-09-12	1.0	374.93	2023-09-12	268.05	0.00	106.88	
CALL AMZN 07/28/23	135	AMAZON.COM INC CUSIP: *8PLSVN0 Symbol: AMZN--230728C00135000					
2023-07-18	1.0	288.93	2023-07-18	274.05	0.00	14.88	
CALL APPS 07/28/23	11	DIGITAL TURBINE INC CUSIP: *8PLTGW0 Symbol: APPS--230728C00011000					
2023-07-28	4.0	2.82	2023-07-13	235.20	0.00	-232.38	
PUT META 08/25/23	285	META PLATFORMS INC CL A CUSIP: *8PMTKH1 Symbol: META--230825P00285000					
2023-08-25	1.0	124.93	2023-08-25	80.05	0.00	44.88	
CALL SPY 07/28/23	457	STANDARD & POORS DEPOSITORY CUSIP: *8PPGKK9 Symbol: SPY--230728C00457000					
2023-07-28	2.0	51.86	2023-07-28	48.10	0.00	3.76	
PUT SPY 07/28/23	457	STANDARD & POORS DEPOSITORY CUSIP: *8PPGKL1 Symbol: SPY--230728P00457000					
2023-07-28	1.0	115.93	2023-07-28	89.05	0.00	26.88	
CALL TSLA 08/18/23	242.50	TESLA INC CUSIP: *8PQXLF1 Symbol: TSLA--230818C00242500					
2023-08-18	1.0	0.00	2023-08-14	288.05	0.00	-288.05	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5IL60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 08/09/23	448	STANDARD & POORS DEPOSITORY CUSIP: *8PRPRT5 Symbol: SPY---230809P00448000					
2023-08-09	1.0	36.93	2023-08-09	21.05	0.00	15.88	
CALL SPY 08/14/23	447	STANDARD & POORS DEPOSITORY CUSIP: *8PRWJN6 Symbol: SPY---230814C00447000					
2023-08-14	4.0	217.72	2023-08-14	229.32	27.12 D	15.52	
PUT SPY 08/14/23	447	STANDARD & POORS DEPOSITORY CUSIP: *8PRWKB2 Symbol: SPY---230814P00447000					
2023-08-14	1.0	57.93	2023-08-14	45.05	0.00	12.88	
PUT META 09/15/23	295	META PLATFORMS INC CL A CUSIP: *8PSNLH9 Symbol: META--230915P00295000					
2023-09-15	1.0	0.00	2023-09-12	179.05	0.00	-179.05	
PUT SPY 10/16/23	420	STANDARD & POORS DEPOSITORY CUSIP: *8PTWVK3 Symbol: SPY---231016P00420000					
2023-10-16	1.0	0.00	2023-10-06	240.04	0.00	-240.04	
CALL PARA 07/28/23	16.50	PARAMOUNT GLOBAL CLASS B CUSIP: *8SDHLN8 Symbol: PARA--230728C00016500					
2023-07-28	1.0	0.00	2023-07-12	85.05	0.00	-85.05	
CALL GNRC 08/18/23	155	GENERAC HOLDINGS INC CUSIP: *8SFHKV2 Symbol: GNRC--230818C00155000					
2023-06-21	1.0	339.93	2023-06-20	285.05	0.00	54.88	
CALL GOOG 02/03/23	110	ALPHABET INC CLASS C CUSIP: *8SGDLN1 Symbol: GOOG--230203C00110000					
2023-02-03	1.0	0.00	2023-02-02	281.05	0.00	-281.05	
CALL AMZN 09/20/24	170	AMAZON.COM INC CUSIP: *8SHKKB9 Symbol: AMZN--240920C00170000					
2023-12-15	1.0	1,034.94	2023-08-09	1,109.05	0.00	-74.11	
CALL GOOG 09/20/24	145	ALPHABET INC CLASS C CUSIP: *8SKCTH9 Symbol: GOOG--240920C00145000					
2023-10-30	4.0	3,759.79	2023-10-26	3,540.16	0.00	219.63	
PUT NVDA 10/13/23	400	NVIDIA CORPORATION CUSIP: *8SKJZD8 Symbol: NVDA--231013P00400000					
2023-10-02	1.0	111.94	2023-10-02	86.04	0.00	25.90	
PUT AVGO 08/18/23	800	BROADCOM INC CUSIP: *8SMRDC3 Symbol: AVGO--230818P00800000					
2023-08-10	1.0	309.93	2023-08-09	285.05	0.00	24.88	
CALL VXX 07/28/23	25	IPATH SERIES B S&P 500 VIX CUSIP: *8SMWRB3 Symbol: VXX---230728C00025000					
2023-07-13	1.0	72.93	2023-07-12	94.05	0.00	-21.12	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5IL60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SNOW 09/15/23	170	SNOWFLAKE INC CLASS A CUSIP: *8SNBKR8 Symbol: SNOW--230915C00170000					
2023-09-11	1.0	294.93	2023-09-08	202.05	0.00	92.88	
CALL CVNA 07/14/23	35	CARVANA COMPANY CUSIP: *8SNFRW0 Symbol: CVNA--230714C00035000					
2023-07-13	1.0	184.93	2023-07-13	156.05	0.00	28.88	
CALL CVNA 07/14/23	38	CARVANA COMPANY CUSIP: *8SNPYR1 Symbol: CVNA--230714C00038000					
2023-07-14	1.0	94.93	2023-07-14	81.05	0.00	13.88	
CALL GNRC 06/23/23	128	GENERAC HOLDINGS INC CUSIP: *8SNRXV1 Symbol: GNRC--230623C00128000					
2023-06-22	1.0	54.93	2023-06-22	110.05	0.00	-55.12	
CALL GNRC 06/23/23	129	GENERAC HOLDINGS INC CUSIP: *8SNRXV3 Symbol: GNRC--230623C00129000					
2023-06-23	1.0	49.93	2023-06-23	30.05	0.00	19.88	
CALL SPY 08/14/23	446	STANDARD & POORS DEPOSITORY CUSIP: *8SNRYP8 Symbol: SPY---230814C00446000					
2023-08-11	1.0	156.93	2023-08-11	140.05	0.00	16.88	
CALL SPY 08/15/23	447	STANDARD & POORS DEPOSITORY CUSIP: *8SNRYZ5 Symbol: SPY---230815C00447000					
2023-08-15	1.0	12.93	2023-08-15	78.05	0.00	-65.12	
CALL SPY 08/04/23	448	STANDARD & POORS DEPOSITORY CUSIP: *8SPPJM1 Symbol: SPY---230804C00448000					
2023-08-04	1.0	0.00	2023-08-04	101.05	0.00	-101.05	
CALL SPY 08/04/23	450	STANDARD & POORS DEPOSITORY CUSIP: *8SPPJN1 Symbol: SPY---230804C00450000					
2023-08-04	1.0	106.93	2023-08-04	83.05	0.00	23.88	
CALL SPY 08/04/23	455	STANDARD & POORS DEPOSITORY CUSIP: *8SPPJN3 Symbol: SPY---230804C00455000					
2023-08-04	1.0	0.00	2023-08-02	54.05	0.00	-54.05	
CALL TSM 12/15/23	100	TAIWAN SEMICONDUCTOR MFG CO CUSIP: *8SPZMV7 Symbol: TSM---231215C00100000					
2023-12-15	1.0	394.94	2023-08-31	365.05	0.00	29.89	
PUT TSLA 06/23/23	257.50	TESLA INC CUSIP: *8SQMNQ9 Symbol: TSLA--230623P00257500					
2023-06-23	1.0	199.93	2023-06-23	33.05	0.00	166.88	
CALL TSLA 06/23/23	262.50	TESLA INC CUSIP: *8SQMNR3 Symbol: TSLA--230623C00262500					
2023-06-22	1.0	419.93	2023-06-22	243.05	0.00	176.88	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5IL60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT F 09/22/23	12.50	FORD MOTOR COMPANY CUSIP: *8SQRMN3 Symbol: F-----230922P00012500					
2023-09-18	6.0	137.66	2023-09-15	84.30	0.00	53.36	
CALL MMM 09/22/23	102	3M COMPANY CUSIP: *8SQWGM1 Symbol: MMM---230922C00102000					
2023-09-18	1.0	88.93	2023-09-18	86.05	0.00	2.88	
CALL SPY 08/17/23	440	STANDARD & POORS DEPOSITORY CUSIP: *8SQZXC5 Symbol: SPY---230817C00440000					
2023-08-17	1.0	0.00	2023-08-17	79.05	0.00	-79.05	
CALL AAPL 09/08/23	185	APPLE INC CUSIP: *8SRCBG3 Symbol: AAPL--230908C00185000					
2023-09-08	2.0	0.00	2023-09-06	108.10	0.00	-108.10	
PUT TSLA 07/14/23	277.50	TESLA INC CUSIP: *8SSBTT2 Symbol: TSLA--230714P00277500					
2023-07-14	1.0	14.93	2023-07-14	55.05	0.00	-40.12	
CALL TSLA 07/14/23	282.50	TESLA INC CUSIP: *8SSBTT3 Symbol: TSLA--230714C00282500					
2023-07-14	1.0	0.00	2023-07-14	41.05	0.00	-41.05	
PUT QQQ 09/20/23	369	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SSKDF9 Symbol: QQQ---230920P00369000					
2023-09-19	1.0	276.93	2023-09-18	124.05	0.00	152.88	
PUT META 09/08/23	290	META PLATFORMS INC CL A CUSIP: *8SSKKY9 Symbol: META--230908P00290000					
2023-09-08	1.0	0.00	2023-09-06	83.05	0.00	-83.05	
CALL MMM 10/27/23	100	3M COMPANY CUSIP: *8SSVKV9 Symbol: MMM---231027C00100000					
2023-09-22	1.0	224.93	2023-09-22	220.05	0.00	4.88	
PUT FDX 09/22/23	257.50	FEDEX CORP CUSIP: *8STDBB3 Symbol: FDX---230922P00257500					
2023-09-22	1.0	0.00	2023-09-21	45.05	0.00	-45.05	
CALL FDX 09/22/23	262.50	FEDEX CORP CUSIP: *8STDBB4 Symbol: FDX---230922C00262500					
2023-09-22	1.0	0.00	2023-09-22	17.05	0.00	-17.05	
PUT NOW 09/22/23	572.50	SERVICENOW INC CUSIP: *8STMYT5 Symbol: NOW---230922P00572500					
2023-09-20	1.0	529.93	2023-09-20	295.05	0.00	234.88	
CALL TSLA 09/22/23	252.50	TESLA INC CUSIP: *8STPSD2 Symbol: TSLA--230922C00252500					
2023-09-22	1.0	0.00	2023-09-22	49.05	0.00	-49.05	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

51L60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT TSLA 09/22/23	267.50	TESLA INC CUSIP: *8STPSF6 Symbol: TSLA--230922P00267500					
2023-09-18	1.0	624.93	2023-09-15	300.05	0.00	324.88	
PUT V 09/22/23	242.50	VISA INC CUSIP: *8STPYG9 Symbol: V-----230922P00242500					
2023-09-19	1.0	145.93	2023-09-18	83.05	0.00	62.88	
PUT AMZN 01/20/23	94	AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *8STZWM6 Symbol: AMZN--230120P00094000					
2023-01-17	1.0	56.94	2023-01-13	55.04	0.00	1.90	
CALL AMZN 06/21/24	150	AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *8SVBGC9 Symbol: AMZN--240621C00150000					
2023-10-11	4.0	3,619.80	2023-10-09	3,040.16	0.00	579.64	
2023-10-20	1.0	749.94	2023-10-20	735.04	0.00	14.90	
2023-10-27	1.0	799.94	2023-10-25	625.04	0.00	174.90	
Security Totals:		5,169.68		4,400.24		769.44	
CALL SPY 08/10/23	446	STANDARD & POORS DEPOSITORY CUSIP: *8SVBWK5 Symbol: SPY---230810C00446000					
2023-08-10	2.0	179.86	2023-08-10	129.10	0.00	50.76	
PUT SPY 08/10/23	446	STANDARD & POORS DEPOSITORY CUSIP: *8SVBWK6 Symbol: SPY---230810P00446000					
2023-08-10	1.0	77.93	2023-08-10	50.05	0.00	27.88	
CALL SPY 08/10/23	448	STANDARD & POORS DEPOSITORY CUSIP: *8SVBWK9 Symbol: SPY---230810C00448000					
2023-08-10	2.0	84.93	2023-08-10	103.10	0.00	-18.17	
PUT SPY 09/08/23	451	STANDARD & POORS DEPOSITORY CUSIP: *8SVBWY0 Symbol: SPY---230908P00451000					
2023-09-05	1.0	277.93	2023-08-31	236.05	0.00	41.88	
CALL NEE 11/17/23	65	NEXTERA ENERGY INC CUSIP: *8SVCRY7 Symbol: NEE---231117C00065000					
2023-11-17	1.0	0.00	2023-09-27	115.05	0.00	-115.05	
PUT TSLA 09/08/23	245	TESLA INC CUSIP: *8SVDFS7 Symbol: TSLA--230908P00245000					
2023-09-05	1.0	119.93	2023-09-05	215.05	0.00	-95.12	
CALL TSLA 09/22/23	255	TESLA INC CUSIP: *8SVJVNO Symbol: TSLA--230922C00255000					
2023-09-22	1.0	0.00	2023-09-22	62.05	0.00	-62.05	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5IL60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 10/04/23	423	STANDARD & POORS DEPOSITORY CUSIP: *8SVKGL7 Symbol: SPY---231004P00423000					
2023-10-04	1.0	0.00	2023-10-04	28.04	0.00	-28.04	
PUT V 09/22/23	240	VISA INC CUSIP: *8SVPGQ3 Symbol: V-----230922P00240000					
2023-09-21	1.0	185.93	2023-09-14	151.05	0.00	34.88	
CALL NEE 12/29/23	60	NEXTERA ENERGY INC CUSIP: *8SXGDM0 Symbol: NEE---231229C00060000					
2023-12-14	1.0	459.94	2023-11-20	90.04	0.00	369.90	
CALL TSLA 11/10/23	215	TESLA INC CUSIP: *8SXRCJ8 Symbol: TSLA--231110C00215000					
2023-11-10	1.0	0.00	2023-11-09	165.04	0.00	-165.04	
CALL TSLA 11/10/23	230	TESLA INC CUSIP: *8SXRCR2 Symbol: TSLA--231110C00230000					
2023-11-10	1.0	0.00	2023-11-07	100.04	0.00	-100.04	
CALL NIO 01/17/25	10	NIO INC ADS CUSIP: *8SZJCY7 Symbol: NIO---250117C00010000					
2023-07-25	2.0	994.86	2023-06-15	690.10	0.00	304.76	
CALL XLV 01/17/25	150	HEALTH CARE SLCT SCTR SPDR FD CUSIP: *8SZNQS9 Symbol: XLV---250117C00150000					
2023-07-21	1.0	579.93	2023-06-15	415.05	0.00	164.88	
CALL GOOG 02/10/23	100	ALPHABET INC CLASS C CUSIP: *8UCNTT2 Symbol: GOOG--230210C00100000					
2023-02-10	1.0	0.00	2023-02-08	156.05	0.00	-156.05	
CALL AMZN 04/28/23	107	AMAZON.COM INC CUSIP: *8UFCXK9 Symbol: AMZN--230428C00107000					
2023-04-28	1.0	0.00	2023-04-28	83.05	0.00	-83.05	
CALL TSLA 06/23/23	260	TESLA INC CUSIP: *8UFYLM4 Symbol: TSLA--230623C00260000					
2023-06-23	1.0	11.93	2023-06-23	111.05	0.00	-99.12	
CALL TSLA 06/23/23	265	TESLA INC CUSIP: *8UFYLM6 Symbol: TSLA--230623C00265000					
2023-06-21	1.0	439.93	2023-06-21	360.05	0.00	79.88	
CALL SPY 08/11/23	445	STANDARD & POORS DEPOSITORY CUSIP: *8UGSHR1 Symbol: SPY---230811C00445000					
2023-08-11	4.0	459.72	2023-08-11	285.20	0.00	174.52	
PUT SPY 08/11/23	445	STANDARD & POORS DEPOSITORY CUSIP: *8UGSHR2 Symbol: SPY---230811P00445000					
2023-08-11	5.0	292.65	2023-08-11	224.25	0.00	68.40	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5IL60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 08/11/23	446	STANDARD & POORS DEPOSITORY CUSIP: *8UGSHR3 Symbol: SPY---230811C00446000					
2023-08-10	1.0	159.93	2023-08-10	126.05	0.00	33.88	
2023-08-11	4.0	183.79	2023-08-11	385.56	128.36 D	-73.41	
Security Totals:		343.72		511.61		-39.53	
PUT SPY 08/11/23	446	STANDARD & POORS DEPOSITORY CUSIP: *8UGSHR4 Symbol: SPY---230811P00446000					
2023-08-11	1.0	36.93	2023-08-11	47.05	0.00	-10.12	
CALL SPY 08/11/23	447	STANDARD & POORS DEPOSITORY CUSIP: *8UGSHR5 Symbol: SPY---230811C00447000					
2023-08-11	1.0	10.93	2023-08-10	107.05	0.00	-96.12	
PUT SPY 08/11/23	449	STANDARD & POORS DEPOSITORY CUSIP: *8UGSHS2 Symbol: SPY---230811P00449000					
2023-08-10	1.0	253.93	2023-08-10	96.05	0.00	157.88	
CALL ZIM 08/11/23	15	ZIM INTEGRATED SHIPPING SRVCS CUSIP: *8UGVTT9 Symbol: ZIM---230811C00015000					
2023-08-03	2.0	133.87	2023-07-28	120.10	0.00	13.77	
CALL SPY 08/15/23	445	STANDARD & POORS DEPOSITORY CUSIP: *8UHCSN8 Symbol: SPY---230815C00445000					
2023-08-15	1.0	0.00	2023-08-15	70.05	0.00	-70.05	
CALL GOOG 06/23/23	121	ALPHABET INC CLASS C CUSIP: *8UHHLG3 Symbol: GOOG--230623C00121000					
2023-06-22	1.0	116.93	2023-06-22	72.05	0.00	44.88	
CALL GOOG 06/23/23	123	ALPHABET INC CLASS C CUSIP: *8UHHLG7 Symbol: GOOG--230623C00123000					
2023-06-23	1.0	9.93	2023-06-23	29.05	0.00	-19.12	
PUT GOOG 06/23/23	123	ALPHABET INC CLASS C CUSIP: *8UHHLG8 Symbol: GOOG--230623P00123000					
2023-06-23	1.0	17.93	2023-06-23	28.05	0.00	-10.12	
CALL GOOG 06/30/23	122	ALPHABET INC CLASS C CUSIP: *8UHHLN1 Symbol: GOOG--230630C00122000					
2023-06-30	1.0	0.00	2023-06-30	40.05	0.00	-40.05	
PUT LLY 09/22/23	560	ELI LILLY & CO CUSIP: *8UHPQJ7 Symbol: LLY---230922P00560000					
2023-09-21	1.0	534.93	2023-09-18	280.05	0.00	254.88	
CALL SPY 08/16/23	443	STANDARD & POORS DEPOSITORY CUSIP: *8UHQQ4 Symbol: SPY---230816C00443000					
2023-08-16	1.0	0.00	2023-08-16	76.05	0.00	-76.05	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

51L60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 08/21/23	437	STANDARD & POORS DEPOSITORY CUSIP: *8UHTCR9 Symbol: SPY---230821C00437000					
2023-08-21	1.0	125.93	2023-08-21	56.05	0.00	69.88	
PUT SPY 08/22/23	438	STANDARD & POORS DEPOSITORY CUSIP: *8UHTDG7 Symbol: SPY---230822P00438000					
2023-08-22	1.0	34.93	2023-08-22	28.05	0.00	6.88	
CALL SPY 08/22/23	439	STANDARD & POORS DEPOSITORY CUSIP: *8UHTDG8 Symbol: SPY---230822C00439000					
2023-08-22	2.0	131.86	2023-08-22	90.10	0.00	41.76	
PUT SPY 08/22/23	439	STANDARD & POORS DEPOSITORY CUSIP: *8UHTDG9 Symbol: SPY---230822P00439000					
2023-08-22	2.0	190.86	2023-08-22	143.10	0.00	47.76	
CALL SPY 08/22/23	440	STANDARD & POORS DEPOSITORY CUSIP: *8UHTDH0 Symbol: SPY---230822C00440000					
2023-08-21	1.0	121.93	2023-08-21	74.05	0.00	47.88	
CALL SPY 08/22/23	441	STANDARD & POORS DEPOSITORY CUSIP: *8UHTDH2 Symbol: SPY---230822C00441000					
2023-08-22	1.0	97.93	2023-08-21	72.05	0.00	25.88	
PUT ADBE 09/29/23	500	ADOBE INC CUSIP: *8UHTMP8 Symbol: ADBE--230929P00500000					
2023-09-21	1.0	384.93	2023-09-15	286.05	0.00	98.88	
PUT AMZN 09/29/23	125	AMAZON.COM INC CUSIP: *8UHTTL0 Symbol: AMZN--230929P00125000					
2023-09-26	1.0	97.93	2023-09-25	62.05	0.00	35.88	
PUT AMZN 09/29/23	129	AMAZON.COM INC CUSIP: *8UHTTL8 Symbol: AMZN--230929P00129000					
2023-09-26	1.0	136.93	2023-09-25	103.05	0.00	33.88	
CALL TSLA 06/30/23	270	TESLA INC CUSIP: *8UJSCK7 Symbol: TSLA--230630C00270000					
2023-06-30	1.0	0.00	2023-06-26	180.05	0.00	-180.05	
CALL TSLA 08/18/23	217.50	TESLA INC CUSIP: *8UJSXV5 Symbol: TSLA--230818C00217500					
2023-08-18	1.0	0.00	2023-08-18	112.05	0.00	-112.05	
CALL MMM 09/29/23	95	3M COMPANY CUSIP: *8UJTWB4 Symbol: MMM---230929C00095000					
2023-09-29	1.0	0.00	2023-09-25	135.05	0.00	-135.05	
PUT NVDA 09/29/23	400	NVIDIA CORPORATION CUSIP: *8UJVJB8 Symbol: NVDA--230929P00400000					
2023-09-29	1.0	0.00	2023-09-25	160.05	0.00	-160.05	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5IL60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL ROKU 09/29/23	73	ROKU INC CUSIP: *8UJYXK9 Symbol: ROKU--230929C00073000					
2023-09-29	1.0	0.00	2023-09-22	165.05	0.00	-165.05	
CALL SPY 08/24/23	439	STANDARD & POORS DEPOSITORY CUSIP: *8UJZLG5 Symbol: SPY---230824C00439000					
2023-08-24	1.0	0.00	2023-08-24	12.05	0.00	-12.05	
PUT SPY 08/24/23	439	STANDARD & POORS DEPOSITORY CUSIP: *8UJZLG6 Symbol: SPY---230824P00439000					
2023-08-24	1.0	87.93	2023-08-23	65.05	0.00	22.88	
CALL SPY 08/24/23	441	STANDARD & POORS DEPOSITORY CUSIP: *8UJZLG9 Symbol: SPY---230824C00441000					
2023-08-24	1.0	0.00	2023-08-24	62.05	0.00	-62.05	
PUT META 08/25/23	277.50	META PLATFORMS INC CL A CUSIP: *8UKFFG7 Symbol: META--230825P00277500					
2023-08-25	1.0	0.00	2023-08-25	57.05	0.00	-57.05	
PUT META 09/01/23	272.50	META PLATFORMS INC CL A CUSIP: *8UKFFH3 Symbol: META--230901P00272500					
2023-08-25	1.0	339.93	2023-08-25	254.05	0.00	85.88	
2023-08-28	1.0	69.93	2023-08-25	290.05	0.00	-220.12	
Security Totals:		409.86		544.10		-134.24	
PUT META 09/01/23	282.50	META PLATFORMS INC CL A CUSIP: *8UKFFH7 Symbol: META--230901P00282500					
2023-09-01	1.0	0.00	2023-08-28	230.05	0.00	-230.05	
CALL META 09/01/23	292.50	META PLATFORMS INC CL A CUSIP: *8UKFFJ0 Symbol: META--230901C00292500					
2023-08-28	1.0	250.93	2023-08-28	210.05	0.00	40.88	
CALL META 09/01/23	297.50	META PLATFORMS INC CL A CUSIP: *8UKFFJ2 Symbol: META--230901C00297500					
2023-09-01	1.0	0.00	2023-09-01	29.05	0.00	-29.05	
PUT QQQ 09/25/23	355	INVESCO QQQ TRUST SERIES 1 CUSIP: *8UKNFZ2 Symbol: QQQ---230925P00355000					
2023-09-25	1.0	0.00	2023-09-22	75.05	0.00	-75.05	
PUT SPY 09/25/23	432	STANDARD & POORS DEPOSITORY CUSIP: *8UKPSP6 Symbol: SPY---230925P00432000					
2023-09-22	1.0	275.93	2023-09-22	163.05	0.00	112.88	
CALL ROKU 09/29/23	70	ROKU INC CUSIP: *8UKTRL2 Symbol: ROKU--230929C00070000					
2023-09-22	1.0	181.93	2023-09-22	179.05	0.00	2.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

51L60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL GNRC 10/27/23	93	GENERAC HOLDINGS INC CUSIP: *8UKXWW9 Symbol: GNRC--231027C00093000					
2023-10-27	1.0	0.00	2023-10-20	145.04	0.00	-145.04	
CALL SQ 11/24/23	53	BLOCK INC CUSIP: *8ULHBC2 Symbol: SQ----231124C00053000					
2023-11-14	5.0	1,204.78	2023-11-09	700.20	0.00	504.58	
CALL SQ 11/24/23	56	BLOCK INC CUSIP: *8ULXNY9 Symbol: SQ----231124C00056000					
2023-11-15	1.0	204.94	2023-11-14	80.04	0.00	124.90	
2023-11-17	1.0	196.94	2023-11-16	117.04	0.00	79.90	
Security Totals:		401.88		197.08		204.80	
PUT SPY 09/29/23	435	STANDARD & POORS DEPOSITORY CUSIP: *8Y46806 Symbol: SPY---230929P00435000					
2023-09-19	1.0	177.93	2023-08-29	301.05	0.00	-123.12	
CALL NIO 10/20/23	12	NIO INC ADS CUSIP: *9BWFFL1 Symbol: NIO---231020C00012000					
2023-07-24	1.0	152.93	2023-07-24	166.05	0.00	-13.12	
CALL COIN 10/20/23	110	COINBASE GLOBAL INC CUSIP: *9KNMCK8 Symbol: COIN--231020C00110000					
2023-08-04	1.0	1,044.93	2023-07-28	640.05	0.00	404.88	
CALL FSR 11/17/23	7	FISKER INC CL A CUSIP: *9KSXHZ2 Symbol: FSR---231117C00007000					
2023-10-13	2.0	99.88	2023-09-07	62.08	0.00	37.80	
PUT GNRC 11/17/23	150	GENERAC HOLDINGS INC CUSIP: *9KVBLP7 Symbol: GNRC--231117P00150000					
2023-08-15	1.0	3,949.90	2023-08-07	3,650.05	0.00	299.85	
CALL COIN 12/15/23	110	COINBASE GLOBAL INC CUSIP: *9KYSVH8 Symbol: COIN--231215C00110000					
2023-08-04	2.0	2,244.86	2023-08-04	2,155.10	0.00	89.76	
CALL COIN 06/21/24	90	COINBASE GLOBAL INC CUSIP: *9KYSYX3 Symbol: COIN--240621C00090000					
2023-09-22	1.0	1,749.92	2023-08-18	1,255.05	0.00	494.87	
CALL COIN 09/01/23	105	COINBASE GLOBAL INC CUSIP: *9LBLSV3 Symbol: COIN--230901C00105000					
2023-07-27	1.0	1,049.93	2023-07-21	640.05	0.00	409.88	
CALL TSLA 09/20/24	400	TESLA INC CUSIP: *9LFLNF7 Symbol: TSLA--240920C00400000					
2023-10-19	1.0	1,214.93	2023-08-21	825.04	0.00	389.89	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

51L60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL PLTR 10/20/23	16	PALANTIR TECHNOLOGIES INC CL A CUSIP: *9LGLVC7 Symbol: PLTR--231020C00016000					
2023-09-21	2.0	143.87	2023-09-18	60.10	0.00	83.77	
CALL PFE 07/21/23	36.50	PFIZER INC CUSIP: *9LVJWS3 Symbol: PFE---230721C00036500					
2023-07-13	1.0	28.93	2023-07-12	33.05	0.00	-4.12	
CALL RIVN 12/15/23	20	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *9LXFGK4 Symbol: RIVN--231215C00020000					
2023-11-08	2.0	363.90	2023-10-13	88.08	0.00	275.82	
PUT PYPL 06/21/24	100	PAYPAL HOLDINGS INC CUSIP: *9LXQVQ3 Symbol: PYPL--240621P00100000					
2023-07-13	1.0	3,109.91	2023-07-10	2,930.05	0.00	179.86	
CALL COIN 08/04/23	105	COINBASE GLOBAL INC CUSIP: *9LYYDF1 Symbol: COIN--230804C00105000					
2023-07-21	1.0	829.93	2023-07-21	665.05	0.00	164.88	
CALL COIN 07/28/23	110	COINBASE GLOBAL INC CUSIP: *9LZKWD2 Symbol: COIN--230728C00110000					
2023-07-21	1.0	529.93	2023-07-19	300.05	0.00	229.88	
CALL PFE 07/14/23	36	PFIZER INC CUSIP: *9LZNMV9 Symbol: PFE---230714C00036000					
2023-07-12	1.0	35.93	2023-07-06	30.05	0.00	5.88	
CALL TSLA 09/20/24	365	TESLA INC CUSIP: *9LZNRW3 Symbol: TSLA--240920C00365000					
2023-08-02	1.0	2,889.91	2023-07-28	2,540.05	0.00	349.86	
2023-08-04	1.0	2,914.91	2023-08-04	2,760.05	0.00	154.86	
Security Totals:		5,804.82		5,300.10		504.72	
PUT DWAC 01/20/23	15	DIGITAL WORLD ACQUISITION CORP CUSIP: *9NJZGK2 Symbol: DWAC--230120P00015000					
2023-01-20	2.0	580.86	2022-10-18	0.00	0.00	580.86	
CALL TSLA 06/21/24	350	TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *9NKMXY7 Symbol: TSLA--240621C00350000					
2023-07-20	1.0	2,774.91	2023-07-20	2,675.05	0.00	99.86	
2023-07-27	1.0	2,754.91	2023-07-20	2,380.05	0.00	374.86	
Security Totals:		5,529.82		5,055.10		474.72	
CALL SOFI 11/17/23	7.50	SOFI TECHNOLOGIES INC CUSIP: *9NWJRD4 Symbol: SOFI--231117C00007500					
2023-11-14	7.0	209.70	2023-10-30	105.27	0.00	104.43	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5IL60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 12/15/23	315	TESLA INC CUSIP: *9PGXTR4 Symbol: TSLA--231215C00315000					
2023-07-20	1.0	1,855.92	2023-07-20	1,815.05	0.00	40.87	
CALL COIN 01/19/24	110	COINBASE GLOBAL INC CUSIP: *9PJGPQ6 Symbol: COIN--240119C00110000					
2023-08-18	1.0	1,054.93	2023-08-07	620.05	0.00	434.88	
CALL GOOG 07/28/23	130	ALPHABET INC CLASS C CUSIP: *9PNFWS6 Symbol: GOOG--230728C00130000					
2023-07-13	1.0	141.93	2023-07-13	202.05	0.00	-60.12	
PUT VERU 01/20/23	5	VERU INC CUSIP: *9SDZTQ5 Symbol: VERU--230120P00005000					
2023-01-20	10.0	1,102.40	2022-09-28	0.00	0.00	1,102.40	
PUT PLTR 06/21/24	30	PALANTIR TECHNOLOGIES INC CL A CUSIP: *9SMZSM1 Symbol: PLTR--240621P00030000					
2023-08-23	1.0	1,539.92	2023-08-22	1,490.05	0.00	49.87	
CALL TSLA 07/28/23	300	TESLA INC CUSIP: *9SPTWP1 Symbol: TSLA--230728C00300000					
2023-07-20	1.0	519.93	2023-07-12	121.05	0.00	398.88	
CALL PLTR 09/22/23	14.50	PALANTIR TECHNOLOGIES INC CL A CUSIP: *9SQXYH4 Symbol: PLTR--230922C00014500					
2023-09-18	2.0	181.88	2023-08-25	228.10	0.00	-46.22	
CALL PFE 10/27/23	33	PFIZER INC CUSIP: *9SSYGH9 Symbol: PFE---231027C00033000					
2023-10-20	1.0	83.93	2023-09-29	4.04	0.00	79.89	
CALL PLTR 10/27/23	15.50	PALANTIR TECHNOLOGIES INC CL A CUSIP: *9STQKH1 Symbol: PLTR--231027C00015500					
2023-10-27	2.0	103.88	2023-09-22	0.00	0.00	103.88	
CALL SOFI 10/27/23	8	SOFI TECHNOLOGIES INC CUSIP: *9STRLM9 Symbol: SOFI--231027C00008000					
2023-10-27	7.0	237.61	2023-09-27	0.00	0.00	237.61	
PUT AMZN 01/19/24	150	AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *9SVBDM6 Symbol: AMZN--240119P00150000					
2023-07-13	1.0	2,514.91	2023-07-10	1,970.05	0.00	544.86	
CALL AMZN 06/21/24	150	AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *9SVBGC9 Symbol: AMZN--240621C00150000					
2023-08-09	1.0	974.93	2023-07-26	1,500.05	0.00	-525.12	
CALL TSM 09/20/24	95	TAIWAN SEMICONDUCTOR MFG CO CUSIP: *9SWDWY8 Symbol: TSM---240920C00095000					
2023-11-27	1.0	1,004.94	2023-11-03	1,200.04	0.00	-195.10	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

51L60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 01/17/25 400 TESLA INC CUSIP: *9SZMDW3 Symbol: TSLA--250117C00400000							
2023-08-11	1.0	2,404.92	2023-08-07	2,205.05	0.00	199.87	
AMC ENTERTAINMENT HOLDINGS INC AMC PRFRD EQTY UNITS ECH CNSTNG OF DPSTRY SHR RPRS CUSIP: 00165C203 Symbol: #A059348							
2023-01-30	300.0	671.94	2022-12-02	301.50	0.00	370.44	
ALPHABET INC CLASS C CAPITAL STOCK CUSIP: 02079K107 Symbol: GOOG							
2023-07-13	1.0	123.35	2022-07-19	113.73	0.00	9.62	
2023-07-18	100.0	12,420.89	Various	9,620.76	0.00	2,800.13	
Security Totals:		12,544.24		9,734.49		2,809.75	
AMAZON.COM INC CUSIP: 023135106 Symbol: AMZN							
2023-01-23	100.0	9,796.76	Various	9,735.14	193.99 D	255.61	
2023-07-18	4.0	533.91	2023-01-20	401.33	0.00	132.58	
2023-08-09	100.0	13,879.87	Various	11,234.59	0.00	2,645.28	
2023-09-29	25.0	3,211.21	2023-09-26	3,137.70	0.00	73.51	
2023-10-04	25.0	3,176.73	2023-10-03	3,147.50	0.00	29.23	
2023-10-09	25.0	3,154.49	2023-10-09	3,124.75	0.00	29.74	
2023-10-27	100.0	12,544.83	2023-10-26	12,115.00	0.00	429.83	
Security Totals:		46,297.80		42,896.01		3,595.78	
APPLE INC CUSIP: 037833100 Symbol: AAPL							
2023-07-17	100.0	19,276.83	2023-07-14	19,150.11	0.00	126.72	
2023-08-16	100.0	17,753.86	2023-08-15	17,830.12	0.00	-76.26	
Security Totals:		37,030.69		36,980.23		50.46	
DIGITAL WORLD ACQUISITION CORP CLASS A COMMON STOCK CUSIP: 25400Q105 Symbol: DWAC							
2023-01-23	200.0	3,118.94	2023-01-20	3,699.14	0.00	-580.20	
2023-06-15	100.0	1,281.97	2023-06-14	1,365.08	0.00	-83.11	
Security Totals:		4,400.91		5,064.22		-663.31	
META PLATFORMS INC CLASS A COMMON STOCK CUSIP: 30303M102 Symbol: META							
2023-01-23	30.0	4,280.29	Various	3,530.80	0.00	749.49	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5IL60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
FISKER INC CLASS A COMMON STOCK CUSIP: 33813J106 Symbol: FSR							
2023-08-04	300.0	1,799.97	2023-08-04	1,802.67	1.80 D	-0.90	
2023-10-13	200.0	1,232.74	2023-08-04	1,203.72	0.00	29.02	
Security Totals:		3,032.71		3,006.39		28.12	
INVO BIOSCIENCE INC COMMON STOCK CUSIP: 44984F401 Symbol: INVO							
2023-11-14	1000.0	2,099.83	2023-11-14	1,970.00	0.00	129.83	
2023-11-20	500.0	929.92	2023-11-17	850.00	0.00	79.92	
Security Totals:		3,029.75		2,820.00		209.75	
MICROSOFT CORP CUSIP: 594918104 Symbol: MSFT							
2023-07-06	8.0	2,732.36	2022-09-15	1,964.32	0.00	768.04	
MICROVAST HOLDINGS INC COMMON STOCK CUSIP: 59516C106 Symbol: MVST							
2023-07-06	1000.0	1,729.93	2023-07-06	1,709.90	0.00	20.03	
***NIO INC AMERICAN DEPOSITARY SHARES ECH RPRSNTNG ONE CL A ORD SHARE CUSIP: 62914V106 Symbol: NIO							
2023-07-25	19.5	236.97	2022-08-01	404.43	167.46 D	0.00	
PALANTIR TECHNOLOGIES INC CLASS A COMMON STOCK CUSIP: 69608A108 Symbol: PLTR							
2023-11-03	200.0	3,149.84	2023-08-24	2,910.16	0.00	239.68	
PFIZER INC CUSIP: 717081103 Symbol: PFE							
2023-07-13	100.0	3,606.46	2023-07-06	3,586.00	0.00	20.46	
SPDR S&P 500 ETF TRUST CUSIP: 78462F103 Symbol: SPY							
2023-06-14	7.0	3,069.25	2023-06-13	3,052.27	0.00	16.98	
SELECT SECTOR SPDR TRUST THE HEALTH CARE SELECT SECTOR SPDR FUND CUSIP: 81369Y209 Symbol: XLV							
2023-06-15	23.0	3,016.87	2023-06-14	3,000.53	0.00	16.34	
SOFI TECHNOLOGIES INC COMMON STOCK CUSIP: 83406F102 Symbol: SOFI							
2023-12-29	700.0	5,879.53	2023-09-27	5,276.46	0.00	603.07	
***TAIWAN SEMICONDUCTOR MFG CO LTD-SPONSORED ADR REPSTG 5 COM CUSIP: 874039100 Symbol: TSM							
2023-11-27	100.0	9,690.06	2023-11-03	9,205.80	0.00	484.26	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5IL60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
TESLA INC COMMON STOCK CUSIP: 88160R101 Symbol: TSLA							
2023-01-27	10.00	1,694.25	Various	3,141.53	952.16 D	-495.12	
2023-02-02	6.0	1,166.36	Various	1,479.14	0.00	-312.78	
2023-02-08	5.0	1,000.46	2023-02-02	1,768.27	0.00	-767.81	
2023-06-13	11.00	2,797.81	Various	2,716.18	0.00	81.63	
2023-11-02	26.0	5,580.06	Various	4,924.42	0.00	655.64	
Security Totals:		12,238.94		14,029.54		-838.44	
3M COMPANY CUSIP: 88579Y101 Symbol: MMM							
2023-07-26	14.0	1,567.69	2023-01-30	1,619.24	0.00	-51.55	
TIDAL TRUST II DEFIANCE S&P 500 ENHANCED OPTIONS INCOME ETF CUSIP: 88636J832 Symbol: JEPY							
2023-11-28	250.0	4,621.17	2023-10-30	4,575.86	0.00	45.31	
TIDAL TR II DEFIANCE NASDAQ 100 ENHANCED OPTIONS INCOME ETF CUSIP: 88636J840 Symbol: QQQY							
2023-11-28	250.0	4,602.45	2023-10-30	4,507.50	0.00	94.95	
Totals:		254,763.80		238,095.94		18,238.23	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5IL60401

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR NONCOVERED TAX LOTS

Report on Form 8949, Part I with Box B checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Checked (Noncovered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Not Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
UNITED STATES NATURAL GAS FUND CUSIP: 912318300 Symbol: #U037252							
2023-11-03	300.0	2,099.94	2023-09-15	2,041.56	0.00	58.38	
Totals:		2,099.94		2,041.56		58.38	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

51L60401

(continued)

2024-02-11

OMB No. 1545-0715

LONG-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part II with Box D checked.

Box 2: Type of Gain or Loss - Long-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
AMAZON.COM INC CUSIP: 023135106 Symbol: AMZN							
2023-07-18	10.0	1,334.78	2023-01-20	1,151.18	0.00	183.60	
***NIO INC AMERICAN DEPOSITARY SHARES ECH RPRSNTNG ONE CL A ORD SHARE CUSIP: 62914V106 Symbol: NIO							
2023-07-25	25.5	309.88	Various	580.13	270.25 D	0.00	
TESLA INC COMMON STOCK CUSIP: 88160R101 Symbol: TSLA							
2023-06-13	1.00	254.35	2023-02-02	326.29	71.94 D	-0.00	
2023-11-02	14.00	3,004.66	Various	3,630.48	625.82 D	-0.00	
Security Totals:		3,259.01		3,956.77		-0.00	
Totals:		4,903.67		5,688.08		183.60	

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2023

5IL60401

2024-02-11

The detailed transactional information below is furnished only for your information. Only the aggregate profit/loss information in each section is reported to the IRS. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT UNG 10/27/23 10 UNITED STATES NATRAL GAS FD LP 2023-10-27							
CUSIP:		2023-09-15	-3.00	Buy	958.44	1,004.83	46.39
CUSIP:	2023-10-27	2023-09-18	-2.00	Buy	610.10	669.88	59.78
CALL UNG 11/03/23 7 UNITED STATES NATRAL GAS FD LP 2023-11-03							
CUSIP:		2023-11-03	-3.00	Buy	0.00	44.84	44.84
CALL UNG 12/01/23 7 UNITED STATES NATRAL GAS FD LP 2023-12-01							
CUSIP:		2023-11-07	-8.00	Buy	200.31	255.64	55.33
CALL UNG 12/15/23 7 UNITED STATES NATRAL GAS FD LP 2023-12-15							
CUSIP:		2023-11-24	-8.00	Buy	64.31	279.64	215.33
PUT UNG 10/20/23 10 UNITED STATES NATRAL GAS FD LP 2023-10-20							
CUSIP:		2023-07-19	-5.00	Buy	1,550.25	1,874.72	324.47
PUT USO 10/20/23 70 UNITED STATES OIL FUND LP 2023-10-20							
CUSIP:		2023-06-16	-1.00	Buy	815.05	944.93	129.88
CUSIP:	2023-10-20	2023-07-07	-1.00	Buy	670.05	874.93	204.88
CALL UNG 10/20/23 7 UNITED STATES NATRAL GAS FD LP 2023-10-20							
CUSIP:		2023-10-20	-3.00	Buy	0.00	125.83	125.83
Short-Term Profit/(Loss) Total: 40%							482.69
Long-Term Profit/(Loss) Total: 60%							724.04
Total:							1,206.73

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2023

51L60401

(continued)

2024-02-11

PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
				Aggregate Short-Term Profit or (Loss): 40%			482.69
				Aggregate Long-Term Profit or (Loss): 60%			724.04
				11 - Aggregate Profit or (Loss) on Contracts			1,206.73

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Apex Clearing

Dividends and Distributions - Details of Form 1099-DIV

2023

5IL60401

2024-02-11

This section of the information statement provides payment-level details for dividend-related distributions reported in aggregate on Form 1099-DIV. The information provided below is for your reference only. The break-out details provide payment-level information (including payment date and issuer) for different categories of distributions reported on Form 1099-DIV: ordinary dividends, including portions classified as qualified dividends and section 199A dividends, non-dividend distributions, exempt-interest dividends and liquidation distributions.

Taxes withheld for federal, state or foreign tax purposes are shown as negative numbers but do not offset the income amounts.

For dividends classified as "qualified dividends," the classification is made only from the issuer perspective without accounting for your holding period. Under IRS rules, classification as a qualified dividend for a taxpayer also depends on the taxpayer's holding period in the relevant stock, and a taxpayer is responsible for confirming such qualification based on the taxpayer's actual holding period. Taxpayers are reminded that they are ultimately responsible for the accuracy of their own tax returns.

Description | CUSIP | Symbol

Date	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax paid (Box 7)	Foreign Country or US Possession (Box 8)
3M COMPANY CUSIP: 88579Y101 Symbol: MMM							
2023-03-12	21.00	21.00	0.00	0.00	0.00	0.00	
2023-06-12	21.00	21.00	0.00	0.00	0.00	0.00	
Security Totals:	42.00	42.00	0.00	0.00	0.00	0.00	
ADT INC COMMON STOCK CUSIP: 00090Q103 Symbol: ADT							
2023-01-04	0.14	0.14	0.00	0.00	0.00	0.00	
2023-04-04	0.14	0.14	0.00	0.00	0.00	0.00	
2023-07-06	0.14	0.14	0.00	0.00	0.00	0.00	
2023-10-04	0.14	0.14	0.00	0.00	0.00	0.00	
Security Totals:	0.56	0.56	0.00	0.00	0.00	0.00	
MICROSOFT CORP CUSIP: 594918104 Symbol: MSFT							
2023-03-09	5.44	5.44	0.00	0.00	0.00	0.00	
2023-06-08	5.44	5.44	0.00	0.00	0.00	0.00	
Security Totals:	10.88	10.88	0.00	0.00	0.00	0.00	
PFIZER INC CUSIP: 717081103 Symbol: PFE							
2023-12-04	41.00	41.00	0.00	0.00	0.00	0.00	
Security Totals:	41.00	41.00	0.00	0.00	0.00	0.00	
TIDAL TR II DEFIANCE NASDAQ 100 ENHANCED OPTIONS INCOME ETF CUSIP: 88636J840 Symbol: QQQY							
2023-11-06	200.00	0.00	50.00	0.00	0.00	0.00	
Security Totals:	200.00	0.00	50.00	0.00	0.00	0.00	
TIDAL TRUST II DEFIANCE S&P 500 ENHANCED OPTIONS INCOME ETF CUSIP: 88636J832 Symbol: JEPY							
2023-11-06	193.38	0.00	34.12	0.00	0.00	0.00	
Security Totals:	193.38	0.00	34.12	0.00	0.00	0.00	

Apex Clearing

Dividends and Distributions - Details of Form 1099-DIV

2023

5IL60401

(continued)

2024-02-11

Description | CUSIP | Symbol

Date	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax paid (Box 7)	Foreign Country or US Possession (Box 8)
UWM HOLDINGS CORPORATION CLASS A COMMON STOCK CUSIP: 91823B109 Symbol: UWMC							
2023-01-10	0.00	0.00	0.06	0.00	0.00	0.00	
2023-04-11	0.00	0.00	0.06	0.00	0.00	0.00	
2023-07-11	0.00	0.00	0.06	0.00	0.00	0.00	
2023-10-11	0.00	0.00	0.06	0.00	0.00	0.00	
Security Totals:	0.00	0.00	0.24	0.00	0.00	0.00	
Totals:	487.82	94.44	84.36	0.00	0.00	0.00	

Apex Clearing

Interest Income - Details of Form 1099-INT

2023

5IL60401

2024-02-11

This section of the information statement provides the payment-level details for interest that is reported in aggregate on Form 1099-INT. Market discount is provided only if you have made an election to recognize market discount on a current basis. Any such market discount and bond premium are reported on Form 1099-INT for covered lots, as detailed below. For noncovered lots, bond premium and market discount are shown below only for your information and are not reported on Form 1099-INT.

Interest Income

Description | CUSIP | Symbol

Date	Interest Income (Box 1)	Investment Expenses (Box 5)	Foreign Tax paid (Box 6)	Foreign Country or US Possession (Box 7)	Market Discount (Box 10)	Market Discount (NOT reported to IRS)	Bond Premium (Box 11)	Bond premium (Not reported to IRS)
FULLYPAID LENDING REBATE CUSIP: *8S87337 Symbol: #8S87337								
2023-01-12	2.68	0.00	0.00		0.00	0.00	0.00	0.00
2023-02-14	7.27	0.00	0.00		0.00	0.00	0.00	0.00
2023-03-14	19.55	0.00	0.00		0.00	0.00	0.00	0.00
2023-04-13	24.31	0.00	0.00		0.00	0.00	0.00	0.00
2023-05-12	39.83	0.00	0.00		0.00	0.00	0.00	0.00
2023-06-14	24.34	0.00	0.00		0.00	0.00	0.00	0.00
2023-07-13	14.79	0.00	0.00		0.00	0.00	0.00	0.00
2023-08-11	37.48	0.00	0.00		0.00	0.00	0.00	0.00
2023-09-14	33.45	0.00	0.00		0.00	0.00	0.00	0.00
2023-10-13	3.66	0.00	0.00		0.00	0.00	0.00	0.00
2023-11-09	4.60	0.00	0.00		0.00	0.00	0.00	0.00
2023-12-12	1.85	0.00	0.00		0.00	0.00	0.00	0.00
Security Totals:	213.81	0.00	0.00		0.00	0.00	0.00	0.00
Totals:	213.81	0.00	0.00		0.00	0.00	0.00	0.00

Apex Clearing

Supplemental Information

2023

5IL60401

2024-02-11

The supplemental information provided below contains additional details that may assist in your tax return preparation.

FEES & EXPENSES

Description	
Date	Amount
ADR Fees	
2023-02-06	-2.09
Total:	-2.09
Margin Interest Expense	
2023-02-16	3.11
2023-07-17	6.53
2023-08-16	10.27
2023-09-18	4.45
2023-12-18	7.47
Total:	31.83

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2023 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Future developments. For the latest information about developments related to forms and instructions, such as legislation enacted after they were published, go to www.irs.gov/forms-instructions.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Box 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

Boxes 14-16. State income tax withheld reporting boxes.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

1099-B Instructions to Recipients

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

- Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.
- Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).
- Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.
- Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.
- Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.
- Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.
- Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.
- Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.
- Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**
- Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2023.
- Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2022.
- Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2023. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2023 in 2024.
- Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2023 Form 6781.
- Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.
- Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.
- Boxes 14–16.** Show state(s)/local income tax information.

1099-MISC Instructions to Recipients

Amounts shown may be subject to self-employment (SE) tax. Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the appropriate line of their tax returns.

Form 1099-MISC incorrect? If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your information correctly.

- Box 1.** Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.
- Box 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.
- Box 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).
- Box 4.** Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.
- Box 5.** Shows the amount paid to you as a fishing boat crew member by the operator, who considers you to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.
- Box 6.** For individuals, report on Schedule C (Form 1040).
- Box 7.** If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).
- Box 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).
- Box 9.** Report this amount on Schedule F (Form 1040).
- Box 10.** Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.
- Box 11.** Shows the amount of cash you received for the sale of fish if you are in the trade or business of catching fish.
- Box 12.** May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A plus any earnings on current and prior year deferrals.
- Box 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.
- Box 14.** Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.
- Box 15.** Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.
- Boxes 16–18.** Show state or local income tax withheld from the payments.

1099-OID Instructions to Recipients

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, you generally must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

- Box 1.** Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.
- Box 2.** Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.
- Box 3.** Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you.

For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR). If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and is issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Boxes 12-14. State income tax withheld reporting.