



Maheedhara sastry Achalla  
43139 Grandbrook Park Court  
Fremont, CA 94538

**Master Account Number for Import:**  
752946863  
**Document ID for Import:**  
G57B716M056

**Enclosed is your 2023 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:**

752946863 - Robinhood Securities LLC

**New for 2023 Tax Year** - 1099 tax forms for Robinhood Markets Inc. will be displayed using an aggregated format based on key tax lot criteria. We have done this to reduce the size of your overall tax package, as well as to help make your tax documents easier to use. This new format still provides you with all the information you need to file your taxes.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2023 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

**Filing Your Taxes** - If filing through tax service providers, such as TurboTax or H&R Block, please utilize the Master Account Number and Document ID listed above. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

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<b>Robinhood Markets Inc. as agent for Robinhood Securities LLC</b> 85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700  PAYER'S TIN: 46-4364776	<b>Tax Information</b> <b>Account 752946863</b>  Maheedhara sastry Achalla 43139 Grandbrook Park Court Fremont, CA 94538  RECIPIENT'S TIN: XXX-XX-0299	Statement Date: 02/12/2024	2023
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**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2023 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>12- Exempt-interest dividends (includes line 13)      0.00</p> <p>13- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2023 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2023 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2023 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2022      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2023      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	310,105.43	359,548.05	0.00	8,266.62	-41,176.00
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>310,105.43</b>	<b>359,548.05</b>	<b>0.00</b>	<b>8,266.62</b>	<b>-41,176.00</b>
Long	D (basis reported to the IRS)	4,132.88	18,027.81	0.00	0.00	-13,894.93
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>4,132.88</b>	<b>18,027.81</b>	<b>0.00</b>	<b>0.00</b>	<b>-13,894.93</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>314,238.31</b>	<b>377,575.86</b>	<b>0.00</b>	<b>8,266.62</b>	<b>-55,070.93</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Robinhood Markets Inc. as agent for  
 Robinhood Securities LLC  
 2023

**Summary Information**  
 (continued)

Account 752946863  
 02/12/2024

**INTEREST INCOME**

2023 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement [ ]	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	30.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 752946863

## Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

02/12/2024

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AAPL 06/02/2023 CALL \$180.00 / CUSIP: / Symbol:							
06/01/23	25.000	1,999.92	05/30/23	1,500.00	...	499.92	Option sale to close-call <sup>25</sup>
ACXP 10/20/2023 PUT \$5.00 / CUSIP: / Symbol:							
10/17/23	4.000	199.96	Various	400.00	...	-200.04	Total of 2 transactions
ADBE 10/20/2023 PUT \$547.50 / CUSIP: / Symbol:							
10/13/23	1.000	859.98	10/13/23	935.00	...	-75.02	Option sale to close-put <sup>25</sup>
ADBE 10/20/2023 PUT \$550.00 / CUSIP: / Symbol:							
10/17/23	1.000	409.98	10/13/23	870.00	...	-460.02	Option sale to close-put <sup>25</sup>
ADBE 11/17/2023 CALL \$640.00 / CUSIP: / Symbol:							
11/15/23	5.000	59.81	Various	300.15	...	-240.34	Total of 2 transactions

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 752946863

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AFRM 12/22/2023 CALL \$50.00 / CUSIP: / Symbol:							
12/21/23	3.000	138.88	Various	353.16	...	-214.28	Total of 2 transactions
12/21/23	1.000	46.96	12/21/23	100.03	53.07 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>185.84</b>		<b>453.19</b>	<b>53.07 W</b>	<b>-214.28</b>	
AI 06/09/2023 CALL \$40.50 / CUSIP: / Symbol:							
06/08/23	10.000	49.97	Various	2,732.00	...	-2,682.03	Total of 5 transactions
AI 06/16/2023 CALL \$45.00 / CUSIP: / Symbol:							
06/15/23	5.000	1,449.90	Various	866.00	...	583.90	Total of 5 transactions
AI 11/10/2023 PUT \$27.00 / CUSIP: / Symbol:							
11/09/23	4.000	391.86	Various	340.12	...	51.74	Total of 2 transactions
AI 11/17/2023 CALL \$32.00 / CUSIP: / Symbol:							
11/16/23	9.000	62.69	Various	483.34	...	-420.65	Total of 3 transactions
11/16/23	1.000	6.96	11/15/23	49.03	42.07 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>69.65</b>		<b>532.37</b>	<b>42.07 W</b>	<b>-420.65</b>	
AI 12/15/2023 CALL \$28.00 / CUSIP: / Symbol:							
12/13/23	10.000	419.67	Various	960.30	...	-540.63	Total of 2 transactions
AI 12/15/2023 CALL \$28.50 / CUSIP: / Symbol:							
12/12/23	18.000	701.41	12/08/23	1,656.54	106.12 W	-849.01	Option sale to close-call <sup>25</sup>
12/13/23	2.000	57.92	Various	290.18	...	-232.26	Total of 2 transactions
	<b>Security total:</b>	<b>759.33</b>		<b>1,946.72</b>	<b>106.12 W</b>	<b>-1,081.27</b>	
AMD 08/04/2023 CALL \$120.00 / CUSIP: / Symbol:							
08/02/23	1.000	20.98	08/01/23	385.00	...	-364.02	Option sale to close-call <sup>25</sup>
AMD 11/10/2023 PUT \$109.00 / CUSIP: / Symbol:							
11/09/23	1.000	3.95	11/06/23	117.03	...	-113.08	Option sale to close-put <sup>25</sup>
AMZN 06/23/2023 CALL \$130.00 / CUSIP: / Symbol:							
06/23/23	10.000	779.94	Various	1,040.00	...	-260.06	Total of 3 transactions
AMZN 09/15/2023 CALL \$146.00 / CUSIP: / Symbol:							
09/14/23	4.000	199.98	09/13/23	236.00	...	-36.02	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 752946863

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 09/22/2023 CALL \$148.00 / CUSIP: / Symbol: 09/22/23	10.000	0.00	09/13/23	1,040.00	...	-1,040.00	Option expiration- long call
AVGO 07/14/2023 CALL \$900.00 / CUSIP: / Symbol: 07/14/23	1.000	29.98	07/12/23	690.00	...	-660.02	Option sale to close-call <sup>25</sup>
AVGO 12/15/2023 CALL \$1,100.00 / CUSIP: / Symbol: 12/13/23	2.000	1,679.90	Various	291.06	...	1,388.84	Total of 2 transactions
BABA 12/22/2023 CALL \$80.00 / CUSIP: / Symbol: 12/21/23	20.000	39.34	Various	440.60	...	-401.26	Total of 4 transactions
CCJ 11/24/2023 CALL \$47.00 / CUSIP: / Symbol: 11/24/23	60.000	0.00	Various	1,201.80	...	-1,201.80	Total of 9 transactions
CEI1 01/20/2023 CALL \$1.00 / CUSIP: / Symbol: 01/20/23	70.000	0.00	Various	530.00	...	-530.00	Total of 7 transactions
COIN 12/01/2023 CALL \$135.00 / CUSIP: / Symbol: 11/28/23	2.000	137.92	11/28/23	238.06	...	-100.14	Option sale to close-call <sup>25</sup>
COST 06/02/2023 CALL \$482.50 / CUSIP: / Symbol: 05/26/23	1.000	2,329.97	05/25/23	987.00	...	1,342.97	Option sale to close-call <sup>25</sup>
COST 06/02/2023 CALL \$500.00 / CUSIP: / Symbol: 05/26/23	5.000	3,749.96	Various	2,075.00	...	1,674.96	Total of 2 transactions
COST 06/02/2023 CALL \$530.00 / CUSIP: / Symbol: 05/26/23	5.000	224.96	Various	500.00	...	-275.04	Total of 2 transactions
COST 12/08/2023 CALL \$630.00 / CUSIP: / Symbol: 12/08/23	1.000	0.00	12/07/23	20.03	...	-20.03	Option expiration- long call
CRM 12/22/2023 CALL \$275.00 / CUSIP: / Symbol: 12/21/23	10.000	99.67	12/18/23	590.30	...	-490.63	Option sale to close-call <sup>25</sup>
CRWD 11/17/2023 CALL \$197.50 / CUSIP: / Symbol: 11/10/23	2.000	779.92	11/10/23	680.06	...	99.86	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRWD 11/17/2023 CALL \$220.00 / CUSIP: / Symbol:							
11/13/23	7.000	174.71	Various	140.21	...	34.50	Total of 4 transactions
11/14/23	13.000	606.54	Various	260.39	...	346.15	Total of 3 transactions
	<b>Security total:</b>	<b>781.25</b>		<b>400.60</b>	...	<b>380.65</b>	
CRWD 12/01/2023 CALL \$235.00 / CUSIP: / Symbol:							
11/29/23	2.000	239.92	11/29/23	120.06	...	119.86	Option sale to close-call <sup>25</sup>
CRWD 12/01/2023 CALL \$250.00 / CUSIP: / Symbol:							
11/30/23	7.000	20.75	Various	280.34	...	-259.59	Total of 2 transactions
11/30/23	5.000	14.83	11/30/23	180.15	66.13 W	-99.19	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>35.58</b>		<b>460.49</b>	<b>66.13 W</b>	<b>-358.78</b>	
CVNA 05/26/2023 CALL \$13.00 / CUSIP: / Symbol:							
05/25/23	23.000	91.93	Various	3,642.08	...	-3,550.15	Total of 8 transactions
05/25/23	27.000	107.92	Various	2,451.02	2,343.10 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>199.85</b>		<b>6,093.10</b>	<b>2,343.10 W</b>	<b>-3,550.15</b>	
CVNA 06/16/2023 CALL \$26.00 / CUSIP: / Symbol:							
06/15/23	30.000	5,399.88	Various	4,260.00	...	1,139.88	Total of 2 transactions
CVNA 07/14/2023 CALL \$25.00 / CUSIP: / Symbol:							
07/10/23	2.000	759.98	07/07/23	450.00	...	309.98	Option sale to close-call <sup>25</sup>
CVNA 07/21/2023 CALL \$37.00 / CUSIP: / Symbol:							
07/19/23	1.000	1,339.97	07/12/23	420.00	...	919.97	Option sale to close-call <sup>25</sup>
CVNA 12/08/2023 CALL \$44.00 / CUSIP: / Symbol:							
12/04/23	10.000	949.67	Various	1,600.30	...	-650.63	Total of 2 transactions
CVNA 12/15/2023 CALL \$50.00 / CUSIP: / Symbol:							
12/14/23	3.000	554.89	12/14/23	720.09	...	-165.20	Option sale to close-call <sup>25</sup>
12/15/23	1.000	129.95	12/14/23	240.03	...	-110.08	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>684.84</b>		<b>960.12</b>	...	<b>-275.28</b>	
FULC 09/15/2023 CALL \$6.00 / CUSIP: / Symbol:							
09/12/23	5.000	4.98	08/22/23	325.00	...	-320.02	Option sale to close-call <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 752946863

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FULC 10/20/2023 CALL \$8.00 / CUSIP: / Symbol: 10/20/23	5.000	0.00	09/12/23	45.00	...	-45.00	Option expiration- long call
GME 12/01/2023 CALL \$17.00 / CUSIP: / Symbol: 11/30/23	1.000	32.95	11/29/23	122.03	...	-89.08	Option sale to close-call <sup>25</sup>
GNS1 09/01/2023 CALL \$2.50 / CUSIP: / Symbol: 08/31/23 09/01/23	4.000 206.000	19.98 0.00	08/28/23 Various	100.00 5,830.02	80.02 W ...	0.00 -5,830.02	Option sale to close-call <sup>25</sup> Total of 7 transactions
<b>Security total:</b>		<b>19.98</b>		<b>5,930.02</b>	<b>80.02 W</b>	<b>-5,830.02</b>	
KLAC 07/07/2023 PUT \$472.50 / CUSIP: / Symbol: 07/06/23	2.000	2,299.97	07/05/23	1,000.00	...	1,299.97	Option sale to close-put <sup>25</sup>
LLY 10/13/2023 CALL \$605.00 / CUSIP: / Symbol: 10/11/23	5.000	3,299.92	Various	340.00	...	2,959.92	Total of 4 transactions
LLY 11/03/2023 CALL \$590.00 / CUSIP: / Symbol: 11/02/23	2.000	349.92	11/02/23	900.06	...	-550.14	Option sale to close-call <sup>25</sup>
LMND 07/28/2023 CALL \$24.50 / CUSIP: / Symbol: 07/28/23	4.000	0.00	07/19/23	600.00	...	-600.00	Option expiration- long call
LMT 10/13/2023 CALL \$450.00 / CUSIP: / Symbol: 10/11/23	2.000	149.98	10/09/23	360.00	...	-210.02	Option sale to close-call <sup>25</sup>
LULU 10/20/2023 CALL \$420.00 / CUSIP: / Symbol: 10/16/23	2.000	1,139.98	10/16/23	1,000.00	...	139.98	Option sale to close-call <sup>25</sup>
META 06/30/2023 CALL \$290.00 / CUSIP: / Symbol: 06/29/23	30.000	1,439.91	Various	11,070.00	...	-9,630.09	Total of 7 transactions
META 07/07/2023 CALL \$305.00 / CUSIP: / Symbol: 07/03/23	30.000	419.85	Various	1,440.00	...	-1,020.15	Total of 6 transactions
META 07/28/2023 CALL \$320.00 / CUSIP: / Symbol: 07/27/23	1.000	499.98	07/26/23	605.00	...	-105.02	Option sale to close-call <sup>25</sup>

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02/12/2024

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META 12/29/2023 PUT \$355.00 / CUSIP: / Symbol:							
12/28/23	2.000	149.92	12/28/23	116.06	...	33.86	Option sale to close-put <sup>25</sup>
MSFT 07/14/2023 CALL \$342.50 / CUSIP: / Symbol:							
07/13/23	1.000	120.98	07/06/23	450.00	...	-329.02	Option sale to close-call <sup>25</sup>
MSTR 09/15/2023 CALL \$410.00 / CUSIP: / Symbol:							
09/14/23	3.000	14.95	Various	275.01	...	-260.06	Total of 3 transactions
09/14/23	1.000	4.99	09/12/23	70.00	65.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>19.94</b>		<b>345.01</b>	<b>65.01 W</b>	<b>-260.06</b>	
MSTR 11/03/2023 CALL \$520.00 / CUSIP: / Symbol:							
11/01/23	2.000	45.92	10/30/23	320.06	...	-274.14	Option sale to close-call <sup>25</sup>
NCLH 12/22/2023 CALL \$21.00 / CUSIP: / Symbol:							
12/22/23	15.000	119.50	Various	645.45	...	-525.95	Total of 2 transactions
NFLX 04/21/2023 CALL \$335.00 / CUSIP: / Symbol:							
04/19/23	1.000	106.98	04/18/23	1,420.00	...	-1,313.02	Option sale to close-call <sup>25</sup>
NFLX 06/02/2023 CALL \$375.00 / CUSIP: / Symbol:							
05/26/23	13.000	13,519.86	05/26/23	13,000.00	...	519.86	Option sale to close-call <sup>25</sup>
05/30/23	2.000	4,339.95	05/26/23	2,000.00	...	2,339.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>17,859.81</b>		<b>15,000.00</b>	<b>...</b>	<b>2,859.81</b>	
NFLX 06/16/2023 CALL \$435.00 / CUSIP: / Symbol:							
06/13/23	2.000	1,479.97	Various	1,496.00	...	-16.03	Total of 2 transactions
NFLX 10/20/2023 CALL \$420.00 / CUSIP: / Symbol:							
10/19/23	11.000	549.96	Various	1,206.00	...	-656.04	Total of 6 transactions
NFLX 10/27/2023 CALL \$420.00 / CUSIP: / Symbol:							
10/26/23	1.000	159.95	10/20/23	420.00	...	-260.05	Option sale to close-call <sup>25</sup>
NKLA 06/16/2023 CALL \$1.00 / CUSIP: / Symbol:							
06/15/23	10.000	419.96	Various	471.01	...	-51.05	Total of 3 transactions
06/15/23	2.000	83.99	06/15/23	94.00	10.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>503.95</b>		<b>565.01</b>	<b>10.01 W</b>	<b>-51.05</b>	

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2023 1099-B\* OMB No. 1545-0715

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02/12/2024

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NVDA 05/05/2023 CALL \$295.00 / CUSIP: / Symbol:							
05/05/23	2.000	0.00	Various	588.00	...	-588.00	Total of 2 transactions
NVDA 06/16/2023 CALL \$425.00 / CUSIP: / Symbol:							
06/15/23	4.000	3,359.96	Various	2,200.00	...	1,159.96	Total of 4 transactions
NVDA 06/23/2023 CALL \$440.00 / CUSIP: / Symbol:							
06/16/23	26.000	15,599.81	Various	25,650.03	...	-10,050.22	Total of 3 transactions
06/16/23	4.000	2,399.97	06/16/23	3,740.00	1,340.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>17,999.78</b>		<b>29,390.03</b>	<b>1,340.03 W</b>	<b>-10,050.22</b>	
NVDA 06/23/2023 PUT \$430.00 / CUSIP: / Symbol:							
06/23/23	10.000	6,049.93	Various	10,795.04	...	-4,745.11	Total of 3 transactions
06/23/23	5.000	3,049.96	06/16/23	4,615.00	1,565.04 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>9,099.89</b>		<b>15,410.04</b>	<b>1,565.04 W</b>	<b>-4,745.11</b>	
NVDA 07/21/2023 CALL \$480.00 / CUSIP: / Symbol:							
07/21/23	1.000	0.00	07/14/23	1,050.00	...	-1,050.00	Option expiration- long call
NVDA 10/20/2023 CALL \$450.00 / CUSIP: / Symbol:							
10/19/23	1.000	13.98	10/17/23	926.02	...	-912.04	Option sale to close-call <sup>25</sup>
10/19/23	1.000	13.98	10/17/23	470.00	456.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>27.96</b>		<b>1,396.02</b>	<b>456.02 W</b>	<b>-912.04</b>	
NVDA 10/20/2023 PUT \$400.00 / CUSIP: / Symbol:							
10/17/23	10.000	239.93	Various	1,200.00	...	-960.07	Total of 3 transactions
NVDA 11/10/2023 CALL \$500.00 / CUSIP: / Symbol:							
11/06/23	2.000	89.92	Various	108.06	...	-18.14	Total of 2 transactions
NVDA 12/08/2023 CALL \$500.00 / CUSIP: / Symbol:							
12/07/23	10.000	29.67	12/06/23	400.30	...	-370.63	Option sale to close-call <sup>25</sup>
NVDA 12/15/2023 CALL \$500.00 / CUSIP: / Symbol:							
12/13/23	2.000	91.90	Various	208.06	...	-116.16	Total of 2 transactions
NVDA 12/22/2023 CALL \$500.00 / CUSIP: / Symbol:							
12/21/23	2.000	127.92	12/15/23	1,030.06	...	-902.14	Option sale to close-call <sup>25</sup>

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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

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02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ORCL 06/16/2023 CALL \$124.00 / CUSIP: / Symbol: 06/15/23	20.000	5,199.90	Various	1,560.00	...	3,639.90	Total of 5 transactions
PANW 11/17/2023 CALL \$260.00 / CUSIP: / Symbol: 11/10/23	1.000	819.95	11/10/23	800.03	...	19.92	Option sale to close-call <sup>25</sup>
PANW 12/01/2023 CALL \$290.00 / CUSIP: / Symbol: 11/28/23	35.000	1,713.83	Various	826.05	...	887.78	Total of 4 transactions
PLAY 06/16/2023 CALL \$45.00 / CUSIP: / Symbol: 06/13/23	10.000	1,799.96	06/13/23	650.00	...	1,149.96	Option sale to close-call <sup>25</sup>
PLAY 06/16/2023 CALL \$50.00 / CUSIP: / Symbol: 06/16/23	60.000	0.00	Various	3,000.00	...	-3,000.00	Total of 3 transactions
PTON 06/16/2023 CALL \$10.00 / CUSIP: / Symbol: 06/13/23	10.000	149.95	Various	540.00	...	-390.05	Total of 2 transactions
QQQ 04/20/2023 CALL \$319.00 / CUSIP: / Symbol: 04/20/23	2.000	49.98	04/19/23	292.00	...	-242.02	Option sale to close-call <sup>25</sup>
QQQ 06/12/2023 CALL \$356.00 / CUSIP: / Symbol: 06/12/23	10.000	3,245.93	Various	1,675.00	...	1,570.93	Total of 3 transactions
QQQ 06/15/2023 CALL \$365.00 / CUSIP: / Symbol: 06/15/23	30.000	9,299.84	Various	6,120.00	...	3,179.84	Total of 2 transactions
QQQ 06/16/2023 CALL \$370.00 / CUSIP: / Symbol: 06/15/23	20.000	4,999.91	Various	2,640.00	...	2,359.91	Total of 4 transactions
QQQ 06/26/2023 CALL \$363.00 / CUSIP: / Symbol: 06/26/23	5.000	0.00	Various	1,045.00	...	-1,045.00	Total of 3 transactions
QQQ 08/03/2023 PUT \$375.00 / CUSIP: / Symbol: 08/03/23	1.000	284.98	08/02/23	243.00	...	41.98	Option sale to close-put <sup>25</sup>
QQQ 11/22/2023 CALL \$394.00 / CUSIP: / Symbol: 11/21/23	2.000	47.92	Various	174.06	...	-126.14	Total of 2 transactions

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QQQ 12/05/2023 PUT \$382.00 / CUSIP: / Symbol: 12/04/23	40.000	918.69	Various	4,081.20	...	-3,162.51	Total of 5 transactions
QQQ 12/05/2023 PUT \$384.00 / CUSIP: / Symbol: 12/04/23	15.000	914.50	Various	3,225.45	...	-2,310.95	Total of 2 transactions
QQQ 12/07/2023 CALL \$388.00 / CUSIP: / Symbol: 12/07/23	5.000	1,039.83	12/05/23	855.15	...	184.68	Option sale to close-call <sup>25</sup>
QQQ 12/18/2023 CALL \$406.00 / CUSIP: / Symbol: 12/18/23	10.000	899.67	Various	884.30	...	15.37	Total of 4 transactions
QQQ 12/20/2023 CALL \$410.00 / CUSIP: / Symbol: 12/20/23	6.000	0.00	12/18/23	474.18	...	-474.18	Option expiration- long call
ROKU 08/04/2023 CALL \$100.00 / CUSIP: / Symbol: 08/01/23	1.000	194.98	07/31/23	220.00	...	-25.02	Option sale to close-call <sup>25</sup>
08/02/23	3.000	86.98	07/31/23	660.00	...	-573.02	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>281.96</b>		<b>880.00</b>	...	<b>-598.04</b>	
SMCI 12/15/2023 CALL \$290.00 / CUSIP: / Symbol: 12/14/23	4.000	2,719.84	Various	1,480.12	...	1,239.72	Total of 3 transactions
12/15/23	2.000	2,079.91	Various	425.06	...	1,654.85	Total of 2 transactions
<b>Security total:</b>		<b>4,799.75</b>		<b>1,905.18</b>	...	<b>2,894.57</b>	
SOLO 05/26/2023 PUT \$1.00 / CUSIP: / Symbol: 05/17/23	1.000	-106.04	05/16/23	0.00	...	-106.04	Short sale closed- put <sup>25</sup>
05/17/23	1.000	-53.02	05/16/23	0.00	53.02 W	0.00	Option written: 04/25/23 Short sale closed- put <sup>25</sup> Option written: 04/25/23
<b>Security total:</b>		<b>-159.06</b>		<b>0.00</b>	<b>53.02 W</b>	<b>-106.04</b>	
SOLO 06/16/2023 PUT \$0.50 / CUSIP: / Symbol: 06/16/23	2.000	75.96	Various	0.00	...	75.96	Total of 2 transactions
SPY 06/12/2023 CALL \$431.00 / CUSIP: / Symbol: 06/12/23	10.000	1,719.96	06/09/23	1,360.00	...	359.96	Option sale to close-call <sup>25</sup>

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SPY 06/16/2023 CALL \$437.00 / CUSIP: / Symbol: 06/15/23	10.000	5,299.93	06/13/23	2,450.00	...	2,849.93	Option sale to close-call <sup>25</sup>
SPY 07/17/2023 CALL \$446.00 / CUSIP: / Symbol: 07/17/23	2.000	963.98	07/12/23	536.00	...	427.98	Option sale to close-call <sup>25</sup>
SPY 10/10/2023 CALL \$435.00 / CUSIP: / Symbol: 10/10/23	2.000	209.98	10/09/23	130.00	...	79.98	Option sale to close-call <sup>25</sup>
SPY 10/16/2023 PUT \$428.00 / CUSIP: / Symbol: 10/16/23	3.000	11.98	10/13/23	501.00	...	-489.02	Option sale to close-put <sup>25</sup>
SPY 10/16/2023 PUT \$431.00 / CUSIP: / Symbol: 10/13/23	2.000	545.98	10/13/23	392.00	...	153.98	Option sale to close-put <sup>25</sup>
SPY 10/27/2023 PUT \$411.00 / CUSIP: / Symbol: 10/27/23	1.000	131.95	10/26/23	102.03	...	29.92	Option sale to close-put <sup>25</sup>
SPY 11/15/2023 CALL \$449.00 / CUSIP: / Symbol: 11/14/23	4.000	655.86	11/14/23	412.12	...	243.74	Option sale to close-call <sup>25</sup>
SPY 11/21/2023 CALL \$453.00 / CUSIP: / Symbol: 11/20/23	5.000	899.83	11/20/23	460.15	...	439.68	Option sale to close-call <sup>25</sup>
SPY 11/29/2023 CALL \$457.00 / CUSIP: / Symbol: 11/28/23	1.000	25.95	11/28/23	35.03	...	-9.08	Option sale to close-call <sup>25</sup>
SPY 11/30/2023 CALL \$458.00 / CUSIP: / Symbol: 11/29/23	1.000	15.95	11/29/23	133.03	...	-117.08	Option sale to close-call <sup>25</sup>
SPY 12/04/2023 CALL \$459.00 / CUSIP: / Symbol: 12/01/23	1.000	151.95	12/01/23	71.03	...	80.92	Option sale to close-call <sup>25</sup>
TSLA 05/26/2023 CALL \$180.00 / CUSIP: / Symbol: 05/22/23	1.000	689.98	05/19/23	415.00	...	274.98	Option sale to close-call <sup>25</sup>
TSLA 06/02/2023 CALL \$200.00 / CUSIP: / Symbol: 05/30/23	4.000	1,939.97	05/26/23	1,720.00	...	219.97	Option sale to close-call <sup>25</sup>

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#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 06/09/2023 CALL \$205.00 / CUSIP: / Symbol:							
06/01/23	10.000	6,849.92	06/01/23	6,800.00	...	49.92	Option sale to close-call <sup>25</sup>
TSLA 06/16/2023 CALL \$220.00 / CUSIP: / Symbol:							
06/08/23	1.000	1,699.97	06/05/23	839.00	...	860.97	Option sale to close-call <sup>25</sup>
06/13/23	1.000	3,579.96	06/05/23	839.00	...	2,740.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>5,279.93</b>		<b>1,678.00</b>	...	<b>3,601.93</b>	
TSLA 06/16/2023 CALL \$260.00 / CUSIP: / Symbol:							
06/13/23	4.000	2,203.96	Various	1,920.00	...	283.96	Total of 2 transactions
TSLA 11/10/2023 CALL \$242.50 / CUSIP: / Symbol:							
11/06/23	2.000	79.92	Various	136.06	...	-56.14	Total of 2 transactions
TSLA 12/22/2023 CALL \$260.00 / CUSIP: / Symbol:							
12/22/23	1.000	12.95	12/21/23	65.03	...	-52.08	Option sale to close-call <sup>25</sup>
TSLA 12/29/2023 CALL \$300.00 / CUSIP: / Symbol:							
12/26/23	10.000	9.66	Various	402.62	...	-392.96	Total of 3 transactions
12/26/23	10.000	9.67	12/18/23	450.30	220.32 W	-220.31	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>19.33</b>		<b>852.92</b>	<b>220.32 W</b>	<b>-613.27</b>	
TTD 11/17/2023 CALL \$71.00 / CUSIP: / Symbol:							
11/16/23	4.000	11.84	Various	100.12	88.28 W	0.00	Total of 2 transactions
11/17/23	26.000	0.00	Various	659.06	...	-659.06	Total of 4 transactions
	<b>Security total:</b>	<b>11.84</b>		<b>759.18</b>	<b>88.28 W</b>	<b>-659.06</b>	
TTOO 08/18/2023 CALL \$2.50 / CUSIP: / Symbol:							
08/18/23	100.000	0.00	08/14/23	500.00	...	-500.00	Option expiration- long call
TTOO1 10/20/2023 CALL \$1.00 / CUSIP: / Symbol:							
10/20/23	100.000	0.00	Various	1,000.00	...	-1,000.00	Total of 2 transactions
TUP 08/04/2023 CALL \$4.00 / CUSIP: / Symbol:							
08/01/23	2.000	316.96	Various	80.00	...	236.96	Total of 2 transactions

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 752946863

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
UBER 12/08/2023 CALL \$60.00 / CUSIP: / Symbol:							
12/07/23	8.000	847.73	Various	480.24	...	367.49	Total of 3 transactions
12/08/23	2.000	257.92	12/06/23	120.06	...	137.86	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,105.65</b>		<b>600.30</b>	...	<b>505.35</b>	
UBER 12/08/2023 CALL \$61.00 / CUSIP: / Symbol:							
12/07/23	20.000	839.34	Various	460.60	...	378.74	Total of 2 transactions
UPST 05/19/2023 CALL \$24.00 / CUSIP: / Symbol:							
05/18/23	100.000	10,099.62	Various	10,000.00	...	99.62	Total of 8 transactions
UPST 05/26/2023 CALL \$20.50 / CUSIP: / Symbol:							
05/17/23	50.000	8,899.77	Various	7,000.00	...	1,899.77	Total of 7 transactions
UPST 05/26/2023 CALL \$23.00 / CUSIP: / Symbol:							
05/18/23	50.000	10,999.76	Various	6,750.00	...	4,249.76	Total of 6 transactions
UPST 05/26/2023 CALL \$29.00 / CUSIP: / Symbol:							
05/26/23	19.000	0.00	Various	3,679.02	...	-3,679.02	Total of 3 transactions
05/26/23	1.000	0.98	05/23/23	184.00	183.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>0.98</b>		<b>3,863.02</b>	<b>183.02 W</b>	<b>-3,679.02</b>	
UPST 06/02/2023 CALL \$24.00 / CUSIP: / Symbol:							
05/22/23	50.000	21,249.64	Various	11,250.00	...	9,999.64	Total of 7 transactions
UPST 06/02/2023 CALL \$28.00 / CUSIP: / Symbol:							
05/30/23	100.000	12,999.65	Various	8,800.00	...	4,199.65	Total of 2 transactions
05/31/23	50.000	4,799.84	Various	12,000.00	...	-7,200.16	Total of 7 transactions
	<b>Security total:</b>	<b>17,799.49</b>		<b>20,800.00</b>	...	<b>-3,000.51</b>	
UPST 06/02/2023 CALL \$31.00 / CUSIP: / Symbol:							
06/01/23	100.000	5,699.71	06/01/23	13,800.00	...	-8,100.29	Option sale to close-call <sup>25</sup>
UPST 06/09/2023 CALL \$32.00 / CUSIP: / Symbol:							
06/01/23	50.000	7,511.76	Various	4,700.00	...	2,811.76	Total of 7 transactions
UPST 06/16/2023 CALL \$34.00 / CUSIP: / Symbol:							
06/13/23	15.000	5,024.89	Various	3,105.00	...	1,919.89	Total of 5 transactions

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 752946863

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UPST 06/16/2023 CALL \$38.00 / CUSIP: / Symbol:							
06/15/23	23.000	1,769.90	Various	2,733.02	...	-963.12	Total of 8 transactions
06/15/23	7.000	524.98	Various	777.00	180.02 W	-72.00	Total of 3 transactions
	<b>Security total:</b>	<b>2,294.88</b>		<b>3,510.02</b>	<b>180.02 W</b>	<b>-1,035.12</b>	
UPST 06/16/2023 CALL \$40.00 / CUSIP: / Symbol:							
06/13/23	35.000	3,149.88	Various	2,520.00	...	629.88	Total of 2 transactions
06/15/23	14.000	517.89	Various	1,343.00	...	-825.11	Total of 6 transactions
06/15/23	1.000	37.00	06/14/23	92.00	55.00 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,704.77</b>		<b>3,955.00</b>	<b>55.00 W</b>	<b>-195.23</b>	
UPST 06/23/2023 CALL \$44.00 / CUSIP: / Symbol:							
06/08/23	53.000	3,709.83	Various	3,286.00	...	423.83	Total of 2 transactions
06/12/23	47.000	3,289.78	Various	2,914.00	...	375.78	Total of 7 transactions
	<b>Security total:</b>	<b>6,999.61</b>		<b>6,200.00</b>	<b>...</b>	<b>799.61</b>	
UPST 08/11/2023 CALL \$72.00 / CUSIP: / Symbol:							
08/02/23	1.000	799.98	07/26/23	685.00	...	114.98	Option sale to close-call <sup>25</sup>
UPST 12/01/2023 CALL \$31.00 / CUSIP: / Symbol:							
11/29/23	20.000	119.34	11/29/23	580.60	461.26 W	0.00	Option sale to close-call <sup>25</sup>
11/30/23	30.000	59.02	Various	1,362.16	...	-1,303.14	Total of 2 transactions
	<b>Security total:</b>	<b>178.36</b>		<b>1,942.76</b>	<b>461.26 W</b>	<b>-1,303.14</b>	
UPST 12/08/2023 CALL \$40.00 / CUSIP: / Symbol:							
12/07/23	10.000	59.67	12/06/23	470.30	...	-410.63	Option sale to close-call <sup>25</sup>
UPST 12/15/2023 CALL \$40.00 / CUSIP: / Symbol:							
12/13/23	5.000	1,724.80	Various	360.15	...	1,364.65	Total of 3 transactions
UPST 12/15/2023 CALL \$50.00 / CUSIP: / Symbol:							
12/14/23	3.000	128.89	Various	315.09	...	-186.20	Total of 2 transactions
12/14/23	7.000	300.75	Various	1,045.56	744.81 W	0.00	Total of 6 transactions
12/15/23	3.000	59.89	Various	659.55	...	-599.66	Total of 3 transactions
	<b>Security total:</b>	<b>489.53</b>		<b>2,020.20</b>	<b>744.81 W</b>	<b>-785.86</b>	

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 752946863

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
V 12/15/2023 CALL \$265.00 / CUSIP: / Symbol:							
12/13/23	7.000	104.73	Various	133.21	...	-28.48	Total of 3 transactions
VFS 09/15/2023 CALL \$90.00 / CUSIP: / Symbol:							
08/29/23	10.000	1,799.96	Various	10,000.00	...	-8,200.04	Total of 5 transactions
WMT 12/22/2023 CALL \$160.00 / CUSIP: / Symbol:							
12/22/23	20.000	0.00	Various	340.60	...	-340.60	Total of 2 transactions
ZS 12/22/2023 CALL \$225.00 / CUSIP: / Symbol:							
12/21/23	5.000	324.83	Various	1,450.15	...	-1,125.32	Total of 3 transactions
ASCENT SOLAR TECHNOLOGIES, INC . COMMON STOCK / CUSIP: 043635705 / Symbol:							
10/30/23	50.000	44.00	Various	656.62	...	-612.62	Total of 2 transactions
ENGENE HOLDINGS INC. COMMON ST OCK / CUSIP: 29286M105 / Symbol:							
12/07/23	20.000	150.70	12/05/23	277.80	...	-127.10	Sale <sup>25</sup>
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
08/09/23	600.000	1,505.88	Various	1,146.19	...	359.69	Total of 3 transactions
LEMONADE, INC. / CUSIP: 52567D107 / Symbol:							
08/09/23	90.000	1,419.27	Various	1,449.77	...	-30.50	Total of 7 transactions
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
08/09/23	152.000	442.30	Various	354.21	...	88.09	Total of 3 transactions
NOVO INTEGRATED SCIENCES, INC. COMMON STOCK / CUSIP: 67011T201 / Symbol:							
08/28/23	95,882.000	15,430.29	Various	16,739.45	...	-1,309.16	Total of 56 transactions
08/28/23	14,668.000	2,293.68	Various	2,499.52	147.58 W	-58.26	Total of 7 transactions
	<b>Security total:</b>	<b>17,723.97</b>		<b>19,238.97</b>	<b>147.58 W</b>	<b>-1,367.42</b>	
PACWEST BANCORP COMMON STOCK / CUSIP: 695263103 / Symbol:							
03/14/23	50.000	811.69	03/14/23	744.00	...	67.69	Sale <sup>25</sup>
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
08/09/23	200.000	74.41	05/15/23	122.78	...	-48.37	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 752946863

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TROIKA MEDIA GROUP, INC. COMMO N STOCK / CUSIP: 89689F305 / Symbol:							
03/07/23	2,055.000	1,410.23	Various	502.60	...	907.63	Total of 5 transactions
TROIKA MEDIA GROUP INC COMMON STOCK / CUSIP: 89689F404 / Symbol:							
08/09/23	2,200.000	4,707.64	Various	12,614.11	...	-7,906.47	Total of 22 transactions
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L203 / Symbol:							
08/14/23	1,300.000	478.21	Various	484.90	6.69 W	0.00	Total of 2 transactions
08/17/23	45,700.000	20,032.65	Various	17,797.18	...	2,235.47	Total of 25 transactions
	<b>Security total:</b>	<b>20,510.86</b>		<b>18,282.08</b>	<b>6.69 W</b>	<b>2,235.47</b>	
GENIUS GROUP LIMITED / CUSIP: Y3005A109 / Symbol:							
10/30/23	10.000	6.73	08/28/23	19.70	...	-12.97	Sale <sup>25</sup>
<b>Totals :</b>		<b>310,105.43</b>		<b>359,548.05</b>	<b>8,266.62 W</b>	<b>-41,176.00</b>	

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AYALA PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 007624406 / Symbol:							
05/30/23	6.250	5.34	Various	298.45	...	-293.11	Total of 2 transactions
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
08/09/23	1,000.859	750.47	Various	4,058.26	...	-3,307.79	Total of 8 transactions
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
08/09/23	400.768	1,005.86	Various	5,179.27	...	-4,173.41	Total of 8 transactions
LEMONADE, INC. / CUSIP: 52567D107 / Symbol:							
08/09/23	60.007	946.30	Various	3,510.80	...	-2,564.50	Total of 10 transactions

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Robinhood Markets Inc. as agent for  
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Account 752946863

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
08/09/23	348.373	1,013.74	Various	3,024.89	...	-2,011.15	Total of 9 transactions
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
08/09/23	300.000	111.62	Various	1,049.36	...	-937.74	Total of 2 transactions
SONIM TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 83548F200 / Symbol:							
08/09/23	560.000	299.55	Various	906.78	...	-607.23	Total of 3 transactions
<b>Totals :</b>		<b>4,132.88</b>		<b>18,027.81</b>		<b>-13,894.93</b>	

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 752946863

## Fees and Expenses

2023

02/12/2024

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Gold Subscription fee	GLDSUBFEE	05/10/23	-5.00	Subscription expense	
		06/09/23	-5.00	Subscription expense	
		07/10/23	-5.00	Subscription expense	
		08/08/23	-5.00	Subscription expense	
		09/07/23	-5.00	Subscription expense	
		10/09/23	-5.00	Subscription expense	
			-30.00	Total Subscription expense	
			<b>-30.00</b>	<b>Total Subscription expense</b>	

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC  
2023

## Non-Reportable Actions

Account 752946863

02/12/2024

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
GNS 09/01/2023 CALL \$2.50	GNS 09/01/23 C 2.500	08/30/23	-210.00	0.00	Merger	
GNS1 09/01/2023 CALL \$2.50	GNS1 09/01/23 C 2.500	08/30/23	210.00	0.00	Merger	
TTOO 10/20/2023 CALL \$1.00	TTOO 10/20/23 C 1.000	10/13/23	-100.00	0.00	Merger	
TTOO1 10/20/2023 CALL \$1.00	TTOO1 10/20/23 C 1.000	10/13/23	100.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

**Instructions for Recipient**

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.