



Asit Samantray
729 Plaza Ln
Lantana, TX 76226

Master Account Number for Import:

412958266

Document ID for Import:

T1F71F6GWUN

Enclosed is your 2023 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

412958266 - Robinhood Securities LLC

412958266C - Robinhood Crypto LLC

New for 2023 Tax Year - 1099 tax forms for Robinhood Markets Inc. will be displayed using an aggregated format based on key tax lot criteria. We have done this to reduce the size of your overall tax package, as well as to help make your tax documents easier to use. This new format still provides you with all the information you need to file your taxes.

Robinhood Retirements Accounts - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2023 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

Filing Your Taxes - If filing through tax service providers, such as TurboTax or H&R Block, please utilize the Master Account Number and Document ID listed above. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

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Robinhood Markets Inc. as agent for Robinhood Securities LLC 85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700 PAYER'S TIN: 46-4364776	Tax Information Account 412958266	Statement Date: 02/12/2024	2023
	Asit Samantray 729 Plaza Ln Lantana, TX 76226	RECIPIENT'S TIN: XXX-XX-2302	

11 - [] FATCA filing requirement (see instructions) 13 - [] FATCA filing requirement (see instructions)

DIVIDENDS AND DISTRIBUTIONS	2023 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2023 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	62.52		2- Royalties	0.00	
1b- Qualified dividends	24.65		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	30.21		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2023 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00		8- Profit or (loss) realized in 2023 on closed contracts	0.00	
2f- Section 897 capital gain	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2022	0.00	
3- Nondividend distributions	4.22		10- Unrealized profit or (loss) on open contracts-12/31/2023	0.00	
4- Federal income tax withheld	0.00		11- Aggregate profit or (loss) on contracts	0.00	
5- Section 199A dividends	37.87				
6- Investment expenses	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
8- Foreign country or US possession: See detail	5.18	7- Foreign tax paid:	* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.		
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
12- Exempt-interest dividends (includes line 13)	0.00				
13- Specified private activity bond interest dividends (AMT)	0.00				

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	70,857.58	70,444.19	0.00	1,823.61	2,237.00
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	70,857.58	70,444.19	0.00	1,823.61	2,237.00
Long	D (basis reported to the IRS)	1,996.56	8,788.42	0.00	5,041.68	-1,750.18
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	1,996.56	8,788.42	0.00	5,041.68	-1,750.18
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	72,854.14	79,232.61	0.00	6,865.29	486.82
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Robinhood Markets Inc. as agent for
 Robinhood Securities LLC
 2023

Summary Information
 (continued)

Account 412958266
 02/12/2024

INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	21.33
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 412958266

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

02/12/2024

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol:							
06/06/23	15.000	1,817.23	Various	1,789.50	...	27.73	Total of 2 transactions
08/04/23	12.000	1,421.38	Various	1,476.60	55.22 W	0.00	Total of 2 transactions
10/27/23	5.000	485.50	Various	594.04	108.54 W	0.00	Total of 3 transactions
11/22/23	14.000	1,737.38	Various	1,623.95	...	113.43	Total of 5 transactions
	Security total:	5,461.49		5,484.09	163.76 W	141.16	
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTS EIGHT ORDINARY SHARES / CUSIP: 01609W102 / Symbol:							
06/16/23	10.000	920.99	Various	906.83	...	14.16	Total of 2 transactions
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
05/10/23	9.000	986.39	04/28/23	950.40	...	35.99	Sale ²⁵

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 412958266

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIGBEAR.AI HOLDINGS, INC. / CUSIP: 08975B109 / Symbol:							
05/31/23	100.000	221.99	05/30/23	213.00	...	8.99	Sale ²⁵
06/13/23	30.000	69.30	Various	61.95	...	7.35	Total of 2 transactions
	Security total:	291.29		274.95	...	16.34	
BLACK STONE MINERALS, L.P. / CUSIP: 09225M101 / Symbol:							
01/12/23	40.000	638.02	01/11/23	630.00	...	8.02	Sale ²⁵
01/23/23	39.000	655.20	Various	636.48	...	18.72	Total of 2 transactions
	Security total:	1,293.22		1,266.48	...	26.74	
C3.AI, INC. / CUSIP: 12468P104 / Symbol:							
07/26/23	15.000	600.62	06/29/23	541.80	...	58.82	Sale ²⁵
12/08/23	10.000	271.58	12/07/23	259.30	...	12.28	Sale ²⁵
	Security total:	872.20		801.10	...	71.10	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
11/14/23	50.000	676.49	11/13/23	633.50	...	42.99	Sale ²⁵
COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol:							
06/23/23	15.000	919.49	Various	984.00	64.51 W	0.00	Total of 2 transactions
06/28/23	15.000	1,109.99	Various	819.76	...	290.23	Total of 2 transactions
12/11/23	1.000	141.40	12/06/23	136.90	...	4.50	Sale ²⁵
12/11/23	1.000	141.40	12/08/23	142.78	1.38 W	0.00	Sale ²⁵
	Security total:	2,312.28		2,083.44	65.89 W	294.73	
CRESCENT POINT ENERGY CORP. / CUSIP: 22576C101 / Symbol:							
01/18/23	85.000	628.97	01/13/23	595.00	...	33.97	Sale ²⁵
DENISON MINES CORP / CUSIP: 248356107 / Symbol:							
09/28/23	500.000	889.93	Various	724.10	...	165.83	Total of 3 transactions
11/02/23	12.000	19.92	09/29/23	20.16	0.24 W	0.00	Sale ²⁵
	Security total:	909.85		744.26	0.24 W	165.83	
EOG RESOURCES, INC. / CUSIP: 26875P101 / Symbol:							
01/06/23	4.000	511.26	01/05/23	497.08	...	14.18	Sale ²⁵

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Robinhood Markets Inc. as agent for
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Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ENERGY FUELS INC. / CUSIP: 292671708 / Symbol:							
02/02/23	80.000	605.57	01/31/23	584.00	...	21.57	Sale ²⁵
02/15/23	28.000	208.60	02/13/23	201.32	...	7.28	Sale ²⁵
05/22/23	215.000	1,375.95	Various	1,360.51	...	15.44	Total of 4 transactions
05/22/23	60.000	383.99	02/28/23	403.80	19.81 W	0.00	Sale ²⁵
	Security total:	2,574.11		2,549.63	19.81 W	44.29	
ENPHASE ENERGY, INC. COMMON STOCK / CUSIP: 29355A107 / Symbol:							
08/02/23	7.000	984.22	Various	1,136.53	152.31 W	0.00	Total of 2 transactions
11/14/23	1.000	89.10	07/26/23	188.27	99.17 W	0.00	Sale ²⁵
	Security total:	1,073.32		1,324.80	251.48 W	0.00	
META PLATFORMS, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
03/03/23	7.000	1,284.48	Various	1,256.46	...	28.02	Total of 3 transactions
03/03/23	1.000	183.50	02/08/23	184.35	0.85 W	0.00	Sale ²⁵
	Security total:	1,467.98		1,440.81	0.85 W	28.02	
FIRST SOLAR, INC. COMMON STOCK / CUSIP: 336433107 / Symbol:							
05/11/23	6.000	1,098.59	Various	1,040.25	...	58.34	Total of 2 transactions
FORTINET, INC. COMMON STOCK / CUSIP: 34959E109 / Symbol:							
08/29/23	10.000	600.99	Various	569.60	...	31.39	Total of 2 transactions
11/22/23	10.000	532.64	11/15/23	511.00	...	21.64	Sale ²⁵
	Security total:	1,133.63		1,080.60	...	53.03	
HANNON ARMSTRONG SUSTAINABLE INFRASTRUCTURE CAPITAL INC / CUSIP: 41068X100 / Symbol:							
06/14/23	1.000	27.70	05/24/23	27.35	...	0.35	Sale ²⁵
06/14/23	49.000	1,357.28	Various	1,526.20	168.92 W	0.00	Total of 2 transactions
11/14/23	36.000	802.07	Various	983.03	...	-180.96	Total of 2 transactions
	Security total:	2,187.05		2,536.58	168.92 W	-180.61	
INNOVATIVE EYEWEAR, INC. COMMON STOCK / CUSIP: 45791D109 / Symbol:							
02/06/23	50.000	135.00	Various	126.50	...	8.50	Total of 2 transactions
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
06/12/23	35.000	1,121.74	06/09/23	1,097.95	...	23.79	Sale ²⁵

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 412958266

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
JIANZHI EDUCATION TECHNOLOGY G ROUP COMPANY LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 47737L104 / Symbol:							
02/01/23	40.000	86.80	01/06/23	49.60	...	37.20	Sale ²⁵
02/01/23	2.000	4.34	08/26/22	32.64	28.30 W	0.00	Sale ²⁵
	Security total:	91.14		82.24	28.30 W	37.20	
LATTICE SEMICONDUCTOR CORPORAT ION COMMON STOCK / CUSIP: 518415104 / Symbol:							
11/14/23	5.000	286.30	11/13/23	276.20	...	10.10	Sale ²⁵
12/14/23	3.000	205.11	Various	171.19	...	33.92	Total of 3 transactions
	Security total:	491.41		447.39	...	44.02	
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
01/04/23	18.000	119.93	03/01/22	428.04	308.11 W	0.00	Sale ²⁵
01/27/23	15.000	202.05	03/01/22	356.70	154.65 W	0.00	Sale ²⁵
01/30/23	35.000	411.25	Various	806.36	395.11 W	0.00	Total of 4 transactions
	Security total:	733.23		1,591.10	857.87 W	0.00	
M/I HOMES, INC. / CUSIP: 55305B101 / Symbol:							
09/07/23	5.000	471.50	Various	463.05	...	8.45	Total of 2 transactions
MARATHON DIGITAL HOLDINGS, INC . COMMON STOCK / CUSIP: 565788106 / Symbol:							
03/17/23	50.000	416.00	02/16/23	398.50	...	17.50	Sale ²⁵
04/10/23	59.000	525.38	04/05/23	466.10	...	59.28	Sale ²⁵
05/15/23	56.000	521.90	Various	481.04	...	40.86	Total of 2 transactions
05/23/23	14.000	138.88	05/22/23	128.80	...	10.08	Sale ²⁵
05/31/23	50.000	462.50	Various	446.50	...	16.00	Total of 2 transactions
11/15/23	58.000	602.02	Various	569.84	...	32.18	Total of 5 transactions
11/24/23	25.000	280.00	11/22/23	248.50	...	31.50	Sale ²⁵
12/27/23	5.000	146.25	12/22/23	126.00	...	20.25	Sale ²⁵
	Security total:	3,092.93		2,865.28	...	227.65	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
01/09/23	100.000	1,101.96	Various	1,059.66	...	42.30	Total of 5 transactions
11/20/23	70.000	545.98	Various	511.20	...	34.78	Total of 2 transactions
12/06/23	200.000	1,569.96	Various	1,433.00	...	136.96	Total of 2 transactions
12/19/23	20.000	173.80	12/07/23	151.80	...	22.00	Sale ²⁵
	Security total:	3,391.70		3,155.66	...	236.04	

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 412958266

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NEXGEN ENERGY LTD. / CUSIP: 65340P106 / Symbol:							
01/27/23	100.000	484.99	01/25/23	468.00	...	16.99	Sale ²⁵
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
07/18/23	150.000	377.98	Various	327.00	...	50.98	Total of 4 transactions
12/13/23	1,000.000	799.86	Various	760.00	...	39.86	Total of 8 transactions
	Security total:	1,177.84		1,087.00	...	90.84	
NINE ENERGY SERVICE, INC. / CUSIP: 65441V101 / Symbol:							
07/21/23	100.000	505.98	01/23/23	1,415.00	...	-909.02	Sale ²⁵
ON SEMICONDUCTOR CORPORATION C OMMON STOCK / CUSIP: 682189105 / Symbol:							
11/14/23	5.000	347.80	11/13/23	333.00	...	14.80	Sale ²⁵
PROMETHEUS BIOSCIENCES, INC. C OMMON STOCK / CUSIP: 74349U108 / Symbol:							
04/05/23	4.000	440.20	03/22/23	432.72	...	7.48	Sale ²⁵
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
07/26/23	30.000	817.79	Various	763.80	...	53.99	Total of 3 transactions
11/17/23	20.000	332.40	11/16/23	329.40	...	3.00	Sale ²⁵
11/24/23	50.000	818.49	11/21/23	792.50	...	25.99	Sale ²⁵
12/07/23	50.000	949.99	Various	866.50	...	83.49	Total of 2 transactions
	Security total:	2,918.67		2,752.20	...	166.47	
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
07/13/23	10.000	700.49	Various	641.30	...	59.19	Total of 2 transactions
SUNPOWER CORPORATION COMMON ST OCK / CUSIP: 867652406 / Symbol:							
09/15/23	75.000	541.48	Various	519.82	...	21.66	Total of 2 transactions
12/19/23	100.000	457.99	12/18/23	370.00	...	87.99	Sale ²⁵
	Security total:	999.47		889.82	...	109.65	

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 412958266

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNRUN INC. COMMON STOCK / CUSIP: 86771W105 / Symbol:							
02/27/23	45.000	1,034.99	Various	970.65	...	64.34	Total of 2 transactions
05/12/23	50.000	874.99	Various	837.00	...	37.99	Total of 2 transactions
05/23/23	80.000	1,400.77	Various	1,325.10	...	75.67	Total of 3 transactions
08/03/23	79.000	1,722.17	Various	1,426.02	...	296.15	Total of 3 transactions
	Security total:	5,032.92		4,558.77	...	474.15	
SUPER MICRO COMPUTER, INC. COM MON STOCK / CUSIP: 86800U104 / Symbol:							
03/31/23	9.000	962.99	Various	945.16	...	17.83	Total of 2 transactions
06/22/23	4.000	933.99	Various	929.12	...	4.87	Total of 2 transactions
09/01/23	5.000	1,411.48	09/01/23	1,392.50	...	18.98	Sale ²⁵
10/17/23	2.000	569.99	Various	505.00	...	19.99	Total of 2 transactions
	Security total:	3,878.45		3,816.78	...	61.67	
TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD. / CUSIP: 874039100 / Symbol:							
11/10/23	53.000	5,069.39	Various	5,041.29	...	28.10	Total of 6 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
01/05/23	5.000	549.78	01/03/23	540.20	...	9.58	Sale ²⁵
02/08/23	4.000	799.98	02/02/23	775.54	...	24.44	Sale ²⁵
04/28/23	1.000	164.30	04/20/23	161.75	...	2.55	Sale ²⁵
04/28/23	5.000	821.49	04/03/23	989.00	167.51 W	0.00	Sale ²⁵
05/26/23	5.000	977.39	04/20/23	976.23	...	1.16	Sale ²⁵
10/01/23	1.000	252.58	09/29/23	247.55	...	5.03	Sale ²⁵
10/02/23	2.000	505.99	Various	493.22	...	12.77	Total of 2 transactions
11/02/23	2.000	434.00	11/01/23	397.10	...	36.90	Sale ²⁵
11/28/23	5.000	1,214.99	11/20/23	1,164.00	...	50.99	Sale ²⁵
12/15/23	6.000	1,520.98	Various	1,406.00	...	114.98	Total of 2 transactions
12/27/23	5.000	1,313.48	12/20/23	1,239.65	...	73.83	Sale ²⁵
	Security total:	8,554.96		8,390.24	167.51 W	332.23	
UNITED MICROELECTRONIC CORP. / CUSIP: 910873405 / Symbol:							
12/27/23	100.000	864.98	Various	789.00	...	75.98	Total of 3 transactions
URANIUM ENERGY CORP. / CUSIP: 916896103 / Symbol:							
01/27/23	100.000	403.99	Various	398.00	...	5.99	Total of 3 transactions

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 412958266

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VERMILION ENERGY INC. / CUSIP: 923725105 / Symbol:							
01/11/23	45.000	683.08	Various	661.28	...	21.80	Total of 2 transactions
ZSCALER, INC. COMMON STOCK / CUSIP: 98980G102 / Symbol:							
01/20/23	15.000	1,726.95	Various	1,650.81	...	76.14	Total of 5 transactions
01/20/23	5.000	574.99	12/02/22	643.75	68.76 W	0.00	Sale ²⁵
	Security total:	2,301.94		2,294.56	68.76 W	76.14	
ZOOM VIDEO COMMUNICATIONS, INC. CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
12/14/23	1.000	73.50	12/13/23	70.18	...	3.32	Sale ²⁵
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
07/13/23	50.000	220.01	06/23/23	213.60	...	6.41	Sale ²⁵
TIAN RUIXIANG HOLDINGS LTD CLA SS A ORDINARY SHARES / CUSIP: G8884K110 / Symbol:							
05/16/23	27.000	53.46	Various	55.53	...	-2.07	Total of 2 transactions
INTERNATIONAL SEAWAYS, INC. CO MMON STOCK / CUSIP: Y41053102 / Symbol:							
01/11/23	13.000	483.28	Various	513.50	30.22 W	0.00	Total of 2 transactions
01/12/23	15.000	551.23	Various	539.31	...	11.92	Total of 4 transactions
01/31/23	13.000	506.20	Various	452.14	...	54.06	Total of 2 transactions
	Security total:	1,540.71		1,504.95	30.22 W	65.98	
MAXEON SOLAR TECHNOLOGIES, LTD. ORDINARY SHARES / CUSIP: Y58473102 / Symbol:							
11/22/23	50.000	255.50	11/17/23	245.50	...	10.00	Sale ²⁵
12/14/23	75.000	421.49	Various	346.50	...	74.99	Total of 2 transactions
	Security total:	676.99		592.00	...	84.99	
Totals :		70,857.58		70,444.19	1,823.61 W	2,237.00	

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 412958266

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
01/04/23	52.000	346.44	Various	2,159.75	1,813.31 W	0.00	Total of 12 transactions
07/07/23	100.000	756.98	Various	3,985.35	3,228.37 W	0.00	Total of 17 transactions
	Security total:	1,103.42		6,145.10	5,041.68 W	0.00	
OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
08/31/23	9.000	773.99	Various	1,961.03	...	-1,187.04	Total of 5 transactions
SNAP INC. / CUSIP: 83304A106 / Symbol:							
11/06/23	11.000	118.03	Various	673.62	...	-555.59	Total of 4 transactions
ZYNERBA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 98986X109 / Symbol:							
10/17/23	1.000	1.12	10/26/21	8.67	...	-7.55	Merger
Totals :		1,996.56		8,788.42	5,041.68 W	-1,750.18	

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 412958266

Detail for Dividends and Distributions

2023

02/12/2024

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, Section 897 dividends and capital gains, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. The IRS has indicated that boxes 2e and 2f are not applicable to recipients that are U.S. individuals. You should consult your tax advisor for further information.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
HANNON ARMSTRONG SUSTAINABLE I NFRASTRUCTURE CAPITAL INC	41068X100		04/10/23	10.14	Section 199A dividend	03
			04/10/23	8.09	Long-term capital gain	03
			04/10/23	1.13	Nondividend distribution	03
			07/12/23	10.14	Section 199A dividend	03
			07/12/23	8.09	Long-term capital gain	03
			07/12/23	1.13	Nondividend distribution	03
			10/11/23	17.59	Section 199A dividend	03
			10/11/23	14.03	Long-term capital gain	03
			10/11/23	1.96	Nondividend distribution	03
			72.30	Total Dividends & distributions		
TAIWAN SEMICONDUCTOR MANUFACTU RING COMPANY LTD.	874039100		10/12/23	24.65	Qualified dividend	
			10/12/23	-5.18	Foreign tax withheld-TW	
			24.65	Total Dividends & distributions		
			-5.18	Total Foreign tax withheld		
			96.95	Total Dividends & distributions		
			-5.18	Total Foreign tax withheld		

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 412958266

Other Receipts and Reconciliations

2023

02/12/2024

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
HANNON ARMSTRONG SUSTAINABLE I NFRASTRUCTURE CAPITAL INC	41068X100	01/12/24	21.33	Deferred income payment	03,24
			21.33	Total Deferred income payment	

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 412958266

Foreign Income and Taxes Summary

2023

02/12/2024

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
TW	TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD.	874039100	0.00	24.65		0.00	24.65	-5.18
Total TAIWAN			0.00	24.65		0.00	24.65	-5.18
Grand Total	Total Foreign Source Income*			24.65				
	Qualified Foreign Source Income**			24.65				
	Foreign Tax							-5.18

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
2023

Non-Reportable Actions

Account 412958266

02/12/2024

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ZYNERBA PHARMACEUTICALS, INC. COMMON STOCK	98986X109	10/17/23	-1.00	0.00	Merger	
ZYNERBA PHARMACEUTICALS, INC. CVR	989CVR022	10/17/23	1.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 24 Although distributions by mutual funds or REITs are ordinarily reported in the year of record date (2023), the payer will not determine the tax character of this distribution until the conclusion of 2024. It will be reported on your tax statement for that year.
- 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 12. See the Instructions for Form 6251.

Lines 14-16. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Robinhood Markets Inc. as agent for Robinhood Crypto LLC 85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700 PAYER'S TIN: 46-4364776	Tax Information Account 412958266C	Statement Date: 02/12/2024	2023
	Asit Samantray 729 Plaza Ln Lantana, TX 76226 RECIPIENT'S TIN: XXX-XX-2302		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2023 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>12- Exempt-interest dividends (includes line 13) 0.00</p> <p>13- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2023 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 2.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2023 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2023 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2022 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2023 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	11,017.51	10,785.48	0.00	0.00	232.03
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	11,017.51	10,785.48	0.00	0.00	232.03
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	11,017.51	10,785.48	0.00	0.00	232.03
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Robinhood Markets Inc. as agent for
Robinhood Crypto LLC
2023

Summary Information
(continued)

Account 412958266C

02/12/2024

INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for
Robinhood Crypto LLC

Account 412958266C

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

02/12/2024

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Avalanche / CUSIP: AVAXUSD / Symbol:							
11/10/23	5.085	74.10	Various	66.19	...	7.91	Total of 2 transactions
Bitcoin Cash / CUSIP: BCHUSD / Symbol:							
06/29/23	7.000	1,699.36	06/27/23	1,629.76	...	69.60	Sale ²⁵
06/30/23	4.000	1,241.56	Various	1,194.71	...	46.85	Total of 3 transactions
07/01/23	4.000	1,148.80	Various	1,145.44	...	3.36	Total of 2 transactions
11/26/23	2.000	450.52	07/02/23	601.23	...	-150.71	Sale ²⁵
12/28/23	10.000	2,735.04	Various	2,519.41	...	215.63	Total of 4 transactions
	Security total:	7,275.28		7,090.55	...	184.73	
Chainlink / CUSIP: LINKUSD / Symbol:							
12/21/23	20.000	299.69	12/17/23	283.94	...	15.75	Sale ²⁵
12/27/23	20.000	320.54	12/18/23	278.97	...	41.57	Sale ²⁵
	Security total:	620.23		562.91	...	57.32	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Crypto LLC

Account 412958266C

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Litecoin / CUSIP: LTCUSD / Symbol:							
07/02/23	10.000	1,107.46	07/02/23	1,077.26	...	30.20	Sale ²⁵
Polygon / CUSIP: MATICUSD / Symbol:							
01/26/23	100.6775	109.85	01/22/23	100.01	...	9.84	Sale ²⁵
Solana / CUSIP: SOLUSD / Symbol:							
05/28/23	50.000	1,030.09	Various	957.37	...	72.72	Total of 2 transactions
06/28/23	50.000	800.50	06/08/23	931.19	...	-130.69	Sale ²⁵
	Security total:	1,830.59		1,888.56	...	-57.97	
Totals :		11,017.51		10,785.48		232.03	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Crypto LLC

Account 412958266C

Detail for Miscellaneous Information

2023

02/12/2024

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
Avalanche	AVAXUSD	06/13/23	1.00	Other income	
Bitcoin	BTCUSD	12/05/23	1.00	Other income	
			2.00	Total Miscellaneous income	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, box 1g may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Shows backup withholding or withholding on Indian gaming profits.

Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Line 13. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Lines 16-18. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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