

Apex Clearing

One Dallas Center
350 North St Paul, Ste 1300
Dallas, TX 75201

IMPORTANT TAX DOCUMENTS ENCLOSED

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
888-828-0618

RAMESH NAIDU KRISTAMSETTY
1305 MARSH TRAIL CIRCLE
NORTHEAST
ATLANTA, GA 30328-5793

IMPORTANT YEAR END MESSAGES

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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One Dallas Center
350 North St Paul, Ste 1300
Dallas, TX 75201

Customer Service: 214-765-1009
Payer's Federal ID No: 13-2967453

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
888-828-0618

Account 5MZ41395

RAMESH NAIDU KRISTAMSETTY
1305 MARSH TRAIL CIRCLE
NORTHEAST
ATLANTA, GA 30328-5793

RECIPIENT'S ID No: XXX-XX-8480

Form 1099 Composite**2023**

Statement Date: 2024-02-11

 CorrectedFATCA filing Requirement **Tax Reporting Statement**

Dividends and Distributions	2023 Form1099DIV	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b)	35.85	
1b- Qualified Dividends	35.85	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d)	0.00	
2b- Unrecaptured Section 1250 Gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 ordinary dividends	0.00	
2f- Section 897 capital gain	0.00	
3- Nondividend Distributions	0.00	
4- Federal Income Tax Withheld	0.00	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign Tax Paid	0.23	
8- Foreign Country or U.S. Possessions	IN	
9- Cash Liquidation Distribution	0.00	
10- Noncash Liquidation Distributions	0.00	
12- Exempt-Interest Dividends	0.00	
13- Specified Private Activity Bond Interest Dividends	0.00	

Interest Income	2023 Form1099INT	OMB No. 1545-0112
1- Interest Income	0.00	
2- Early Withdrawal Penalty	0.00	
3- Interest on US Savings Bonds & Treasury Obligations	0.00	
4- Federal Income Tax Withheld	0.00	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

Miscellaneous Information	2023 Form1099MISC	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
4- Federal Income Tax Withheld	0.00	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS	
	2023 Form1099B
8- Profit or (loss) realized in 2023 on closed contracts	8.99
9- Unrealized profit or (loss) on open contracts - 12/31/2022	0.00
10- Unrealized profit or (loss) on open contracts - 12/31/2023	0.00
11- Aggregate profit or (loss) on contracts	8.99
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>	

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Dividends may be reclassified for tax purposes after the original Form 1099 is sent in certain cases where information from issuers is received late. This may require us to provide you an amended tax form.

C - Corrected

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Tax Summary

2023

5MZ41395

2024-02-11

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	94,157.13	93,841.29	0.00	1,211.41	1,527.25
Short-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Short-term	94,157.13	93,841.29	0.00	1,211.41	1,527.25
Long-term transactions for covered tax lots	2,050.53	5,763.70	0.00	1,444.95	-2,268.22
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Long-term	2,050.53	5,763.70	0.00	1,444.95	-2,268.22
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term	0.00	0.00	0.00	0.00	0.00

Original Issue Discount

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount - Covered Positions	0.00
Acquisition Premium - Covered Positions	0.00
Bond Premium - Covered Positions	0.00
Tax-Exempt OID - Covered Positions	0.00
Tax Exempt OID - Specified Private Activity Bonds - Covered Positions	0.00
Investment Expenses	0.00

Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the Form1099-OID section of this document.

FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	0.00
1099-DIV Total:	0.00
1099-INT Total:	0.00
1099-MISC Total:	0.00
1099-OID Total:	0.00

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MZ41395

2024-02-11

OMB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B - Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes", cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as "Box 2 - Ordinary." In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as "Box 3 - Collectibles Box Checked." Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as "Box 7 - Loss Disallowed Box Checked." Disposition of a QOF investment is noted in the Additional Notes column as "Box 3 - QOF."

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement []

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT UVXY1 01/17/25	1	PROSHARES ULTRA VIX SHRT TRM ADJ 1:10 REV SPLT D:10 U					
2023-12-29	2.0	85.90	2023-04-12	64.10	0.00	21.80	CUSIP: *8LZJGP3 Symbol: UVXY1-250117P00001000
AT&T INC							CUSIP: 00206R102 Symbol: T
2023-01-25	9.0	176.65	2022-08-10	162.36	0.00	14.29	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MZ41395

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
AFFIRM HOLDINGS INC CLASS A COMMON STOCK CUSIP: 00827B106 Symbol: AFRM							
2023-01-27	30.0	478.77	Various	681.54	279.36 D	76.59	
2023-02-02	20.0	406.18	2023-01-03	460.96	0.00	-54.78	
2023-06-12	20.0	356.98	Various	190.56	0.00	166.42	
Security Totals:		1,241.93		1,333.06		188.23	
AIRSPAN NETWORKS HOLDINGS INC COMMON STOCK CUSIP: 00951K104 Symbol: MIMO							
2023-06-08	10000.0	2,046.20	2023-06-08	1,926.00	0.00	120.20	
AKOYA BIOSCIENCES INC COMMON STOCK CUSIP: 00974H104 Symbol: AKYA							
2023-04-21	10.0	73.00	2023-04-14	70.30	0.00	2.70	
***ALIBABA GROUP HOLDING LTD SPONSORED ADR CUSIP: 01609W102 Symbol: BABA							
2023-01-06	15.0	1,587.74	Various	1,486.25	0.00	101.49	
2023-01-09	5.0	561.97	2022-09-20	435.25	0.00	126.72	
2023-02-08	10.0	1,048.26	2023-02-06	1,045.80	0.00	2.46	
Security Totals:		3,197.97		2,967.30		230.67	
ALPHABET INC CLASS A COMMON STOCK CUSIP: 02079K305 Symbol: GOOGL							
2023-02-01	100.0	10,451.82	Various	12,234.98	0.00	-1,783.16	
2023-04-25	99.0	10,581.97	Various	10,130.23	0.00	451.74	
Security Totals:		21,033.79		22,365.21		-1,331.42	
AMAZON.COM INC CUSIP: 023135106 Symbol: AMZN							
2023-04-19	4.0	416.38	2023-04-05	404.88	0.00	11.50	
AMESITE INC COMMON STOCK CUSIP: 031094204 Symbol: AMST							
2023-04-03	30.000000	137.98	Various	106.95	0.00	31.03	
2023-04-05	30.0	88.18	2023-03-23	72.30	0.00	15.88	
2023-06-12	65.0	265.87	Various	192.65	0.00	73.22	
Security Totals:		492.03		371.90		120.13	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MZ41395

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
APPLE INC CUSIP: 037833100 Symbol: AAPL							
2023-01-12	5.0	667.42	Various	671.65	10.07 D	5.84	
2023-01-31	7.0	1,001.18	Various	898.94	0.00	102.24	
Security Totals:		1,668.60		1,570.59		108.08	
ASENSUS SURGICAL INC COMMON STOCK CUSIP: 04367G103 Symbol: ASXC							
2023-02-16	99.0	99.98	2023-02-14	78.70	0.00	21.28	
***BIT MINING LIMITED AMERICAN DEPOSITARY SHARES CLASS A ORDINARY SHARES CUSIP: 055474209 Symbol: BTCM							
2023-01-13	30.0	86.68	Various	84.02	0.00	2.66	
BENEFICIENT CLASS A COMMON STOCK CUSIP: 08178Q101 Symbol: BENF							
2023-06-08	20.0	201.18	2023-06-08	226.00	0.00	-24.82	
BIGBEAR AI INC COMMON STOCK CUSIP: 08975B109 Symbol: BBAI							
2023-04-03	30.0	90.88	Various	111.81	33.71 D	12.78	
2023-04-04	30.0	79.03	Various	91.77	25.45 D	12.71	
2023-04-11	100.0	316.05	Various	290.97	15.85 D	40.93	
Security Totals:		485.96		494.55		66.42	
BIOFRONTERA INC COMMON STOCK CUSIP: 09077D100 Symbol: #B090692							
2023-01-10	100.0	102.98	2023-01-03	88.31	0.00	14.67	
BLINK CHARGING CO COMMON STOCK CUSIP: 09354A100 Symbol: BLNK							
2023-02-07	9.0	114.82	2022-12-16	114.19	0.00	0.63	
ONCONETIX INC COMMON STOCK CUSIP: 09610B108 Symbol: ONCO							
2023-06-15	500.0	602.42	2023-06-14	660.00	0.00	-57.58	
ATLAS LITHIUM CORPORATION COMMON STOCK CUSIP: 105861306 Symbol: AT LX							
2023-01-10	50.0	347.48	2023-01-10	325.50	0.00	21.98	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MZ41395

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
C3 AI INC CLASS A COMMON STOCK CUSIP: 12468P104 Symbol: AI							
2023-01-31	73.0	1,421.26	Various	1,390.63	0.00	30.63	
2023-06-12	30.0	1,085.98	Various	463.40	0.00	622.58	
Security Totals:		2,507.24		1,854.03		653.21	
CARVANA CO CLASS A COMMON STOCK CUSIP: 146869102 Symbol: CVNA							
2023-06-08	10.0	217.98	2023-06-08	220.10	0.00	-2.12	
CONFORMIS INC COMMON STOCK CUSIP: 20717E200 Symbol: #C128865							
2023-03-29	20.0	30.48	2023-03-23	24.20	0.00	6.28	
COSMOS HEALTH INC COMMON STOCK CUSIP: 221413305 Symbol: COSM							
2023-01-10	100.0	489.97	2023-01-10	463.00	0.00	26.97	
CROWN ELECTROKINETICS CORP COMMON STOCK CUSIP: 228339206 Symbol: #C090580							
2023-06-06	10000.0	1,519.85	2023-06-06	1,611.00	9.12 D	-82.03	
2023-06-13	1000.0	175.94	2023-06-13	199.02	0.00	-23.08	
Security Totals:		1,695.79		1,810.02		-105.11	
CYNGN INC COMMON STOCK CUSIP: 23257B107 Symbol: CYN							
2023-02-03	299.0	367.72	Various	337.95	0.00	29.77	
CYXTERA TECHNOLOGIES INC CLASS A COMMON STOCK CUSIP: 23284C102 Symbol: #C098166							
2023-06-14	2000.0	159.50	2023-06-14	148.60	0.00	10.90	
DATCHAT INC COMMON STOCK CUSIP: 23816M107 Symbol: #D051219							
2023-06-12	6200.0	2,702.89	2023-06-12	2,606.71	0.00	96.18	
DOCUSIGN INC COMMON STOCK CUSIP: 256163106 Symbol: DOCU							
2023-06-09	50.0	2,841.98	2023-06-09	2,838.00	0.00	3.98	
D WAVE QUANTUM INC COMMON STOCK CUSIP: 26740W109 Symbol: QBTS							
2023-05-26	600.000000	918.74	Various	1,018.14	0.00	-99.40	
2023-06-12	400.0	820.21	2023-02-13	412.00	0.00	408.21	
Security Totals:		1,738.95		1,430.14		308.81	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MZ41395

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
EBET INC COMMON STOCK CUSIP: 278700109 Symbol: #E033606							
2023-06-20	2000.0	569.78	2023-06-20	580.50	10.72 D	-0.00	
EFFECTOR THERAPEUTICS INC COMMON STOCK CUSIP: 28202V108 Symbol: #E032355							
2023-05-25	300.0	296.97	2022-08-09	314.72	33.51 D	15.76	
2023-05-30	100.0	80.84	2023-05-26	106.51	0.00	-25.67	
Security Totals:		377.81		421.23		-9.91	
***EVAXION BIOTECH AS AMERICAN DEPOSITARY SHARE CUSIP: 29970R105 Symbol: #E031519							
2023-01-03	100.0	249.98	2023-01-03	234.00	0.00	15.98	
2023-02-06	600.0	1,079.94	2023-01-03	1,140.00	0.00	-60.06	
Security Totals:		1,329.92		1,374.00		-44.08	
EVELO BIOSCIENCES INC COMMON STOCK CUSIP: 299734103 Symbol: #E024077							
2023-06-20	1100.0	210.10	2023-06-20	198.72	0.00	11.38	
META PLATFORMS INC CLASS A COMMON STOCK CUSIP: 30303M102 Symbol: META							
2023-01-30	4.0	596.37	2022-09-15	596.27	0.00	0.10	
2023-02-01	1.0	180.18	2022-09-15	149.07	0.00	31.11	
Security Totals:		776.55		745.34		31.21	
FARADAY FUTURE INTELLIGENT ELECTRIC INC COMMON STOCK CUSIP: 307359109 Symbol: #F041820							
2023-01-23	100.0	92.74	Various	28.32	0.00	64.42	
2023-02-02	75.0	74.23	2022-12-30	21.18	0.00	53.05	
2023-04-13	500.0	161.62	Various	170.70	15.51 D	6.43	
2023-06-05	1000.0	276.64	Various	284.29	0.00	-7.65	
2023-06-06	501.0	144.66	Various	128.47	0.00	16.19	
Security Totals:		749.89		632.96		132.44	
FIRST WAVE BIOPHARMA INC COMMON STOCK CUSIP: 33749P309 Symbol: #F047172							
2023-06-13	2000.0	4,659.87	2023-06-13	5,229.20	0.00	-569.33	
FORZA X1 INC COMMON STOCK CUSIP: 34988N104 Symbol: FRZA							
2023-06-05	1000.0	2,696.04	2023-06-05	2,590.00	0.00	106.04	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MZ41395

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
GITLAB INC CLASS A COMMON STOCK CUSIP: 37637K108 Symbol: GTLB							
2023-06-06	4.0	181.70	2023-05-05	110.08	0.00	71.62	
2023-06-12	1.0	48.00	2023-05-05	27.52	0.00	20.48	
Security Totals:		229.70		137.60		92.10	
HEARTBEAM INC COMMON STOCK CUSIP: 42238H108 Symbol: BEAT							
2023-05-30	100.0	248.98	2023-05-30	233.00	0.00	15.98	
HELBIZ INC CLASS A COMMON STOCK CUSIP: 42309B204 Symbol: #H090839							
2023-01-03	399.0	51.48	2022-11-09	475.77	424.29 D	0.00	
2023-01-12	2900.0	490.82	Various	516.33	59.27 D	33.76	
2023-01-20	3800.0	1,115.85	Various	571.36	30.44 D	574.93	
2023-01-23	400.0	105.26	Various	104.17	4.90 D	5.99	
Security Totals:		1,763.41		1,667.63		614.68	
HYZON MOTORS INC COMMON STOCK CUSIP: 44951Y102 Symbol: HYZN							
2023-07-14	300.0	422.98	Various	431.02	0.00	-8.04	
***INFOSYS LIMITED SPONS ADR REPSTG 1 ORD SHRS CUSIP: 456788108 Symbol: INFY							
2023-06-13	10.0	157.48	2023-04-13	153.60	0.00	3.88	
IONQ INC COMMON STOCK CUSIP: 46222L108 Symbol: IONQ							
2023-01-31	29.0	130.48	2022-12-15	115.72	0.00	14.76	
IRONNET INC COMMON STOCK CUSIP: 46323Q105 Symbol: IRNTQ							
2023-06-16	1000.0	234.14	2023-06-16	234.00	0.00	0.14	
ISOPLEXIS CORPORATION COMMON STOCK CUSIP: 465005106 Symbol: #H091644							
2023-01-10	100.0	133.98	2023-01-10	134.00	0.00	-0.02	
JASPER THERAPEUTICS INC COMMON STOCK CUSIP: 471871103 Symbol: #J049388							
2023-01-10	100.0	179.99	2023-01-10	178.00	0.00	1.99	
KIDPIK CORP COMMON STOCK CUSIP: 49382L108 Symbol: PIK							
2023-06-23	100.0	133.12	2023-06-23	142.89	0.00	-9.77	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MZ41395

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
KULR TECHNOLOGY GROUP INC COMMON STOCK CUSIP: 50125G109 Symbol: KULR							
2023-01-27	100.0	132.98	2023-01-23	133.00	0.00	-0.02	
LENDINGTREE INC NEW COM CUSIP: 52603B107 Symbol: TREE							
2023-01-23	9.0	286.45	Various	296.21	0.00	-9.76	
MARPAI INC CLASS A COMMON STOCK CUSIP: 571354208 Symbol: MRAI							
2023-11-22	108.0	302.38	Various	367.55	0.00	-65.17	
MERSANA THERAPEUTICS INC COMMON STOCK CUSIP: 59045L106 Symbol: MRSN							
2023-06-15	100.0	400.12	2023-06-15	398.87	0.00	1.25	
META MATLS INC COMMON STOCK CUSIP: 59134N104 Symbol: #M067927							
2023-06-15	2000.0	441.90	2023-06-14	460.60	0.00	-18.70	
MOBIQUITY TECHNOLOGIES INC COMMON STOCK CUSIP: 60743F508 Symbol: #M065639							
2023-06-07	10000.0	2,709.52	2023-06-07	2,655.00	0.00	54.52	
MULLEN AUTOMOTIVE INC COMMON STOCK CUSIP: 62526P208 Symbol: #M075215							
2023-05-25	108.0	89.76	Various	89.06	0.00	0.70	
NEXTPLAY TECHNOLOGIES INC COMMON STOCK CUSIP: 65344G201 Symbol: NXTP							
2023-04-19	9.0	15.82	2023-04-05	9.72	0.00	6.10	
2023-08-15	50.0	55.48	Various	53.51	0.00	1.97	
Security Totals:		71.30		63.23		8.07	
NIKOLA CORPORATION COMMON STOCK CUSIP: 654110105 Symbol: NKLA							
2023-01-23	49.0	128.85	2023-01-20	123.48	0.00	5.37	
2023-06-13	156.0	141.95	Various	120.56	0.00	21.39	
Security Totals:		270.80		244.04		26.76	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MZ41395

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
OBLONG INC COMMON STOCK CUSIP: 674434204 Symbol: OBLG							
2023-04-10	40.0	51.98	Various	74.96	22.98 D	0.00	
2023-04-14	40.0	177.98	Various	78.20	0.00	99.78	
2023-06-12	202.0	327.20	Various	290.80	0.00	36.40	
Security Totals:		557.16		443.96		136.18	
OCUGEN INC COMMON STOCK CUSIP: 67577C105 Symbol: OCGN							
2023-01-09	150.0	240.09	2022-12-15	229.00	0.00	11.09	
ONFOLIO HOLDINGS INC COMMON STOCK CUSIP: 68277K207 Symbol: ONFO							
2023-06-08	1000.0	1,189.94	2023-06-08	1,190.00	0.00	-0.06	
OVID THERAPEUTICS INC COMMON STOCK CUSIP: 690469101 Symbol: OVID							
2023-06-15	100.0	360.11	2023-06-15	360.99	0.00	-0.88	
PALANTIR TECHNOLOGIES INC CLASS A COMMON STOCK CUSIP: 69608A108 Symbol: PLTR							
2023-06-12	4.0	62.07	Various	31.43	0.00	30.64	
PREDICTIVE ONCOLOGY INC COMMON STOCK CUSIP: 74039M200 Symbol: #P049807							
2023-04-12	2102.0	733.29	Various	723.52	82.20 D	91.97	
2023-04-19	500.0	149.87	2023-04-17	236.60	86.73 D	0.00	
2023-04-21	2500.0	573.38	2023-04-17	794.37	0.00	-220.99	
Security Totals:		1,456.54		1,754.49		-129.02	
PRESTO AUTOMATION INC COMMON STOCK CUSIP: 74113T105 Symbol: PRST							
2023-01-11	100.0	243.98	2023-01-10	237.00	0.00	6.98	
PROSHARES TRUST ULTRAPRO QQQ CUSIP: 74347X831 Symbol: TQQQ							
2023-01-04	100.0	1,741.95	Various	1,682.48	0.00	59.47	
2023-01-06	100.0	1,718.95	2023-01-03	1,693.00	0.00	25.95	
2023-01-13	100.0	1,830.88	2023-01-04	1,676.98	0.00	153.90	
2023-06-20	50.0	1,990.19	2023-06-20	1,983.00	0.00	7.19	
Security Totals:		7,281.97		7,035.46		246.51	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MZ41395

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
QUALTEK SVCS INC COMMON STOCK CUSIP: 74760R303 Symbol: #P058512							
2023-08-10	1000.0	0.01	2023-05-30	189.46	0.00	-189.45	
QUANTUM SI INCORPORATED CLASS A COMMON STOCK CUSIP: 74765K105 Symbol: QSI							
2023-01-23	59.0	132.73	Various	120.51	0.75 D	12.97	
2023-06-13	41.0	69.68	Various	67.52	0.00	2.16	
Security Totals:		202.41		188.03		15.13	
QUANTUM COMPUTING INC COMMON STOCK CUSIP: 74766W108 Symbol: QUBT							
2023-02-02	300.0	653.94	Various	559.95	0.07 D	94.06	
2023-06-12	700.0	1,112.96	Various	1,070.15	0.00	42.81	
Security Totals:		1,766.90		1,630.10		136.87	
***QUDIAN INC AMERICAN DEPOSITARY SHS EACH RPRSNTNG ONE CL A ORD SHARE CUSIP: 747798106 Symbol: QD							
2023-06-12	31.0	58.26	Various	40.00	0.00	18.26	
REKOR SYSTEMS INC COMMON STOCK CUSIP: 759419104 Symbol: REKR							
2023-06-12	100.0	189.99	Various	135.40	0.00	54.59	
RIGETTI COMPUTING INC COMMON STOCK CUSIP: 76655K103 Symbol: RGTI							
2023-07-25	600.0	1,259.89	Various	1,645.80	0.00	-385.91	
2023-08-01	300.0	881.95	2022-09-14	696.00	0.00	185.95	
Security Totals:		2,141.84		2,341.80		-199.96	
ROBINHOOD MARKETS INC CLASS A COMMON STOCK CUSIP: 770700102 Symbol: HOOD							
2023-03-02	9.0	87.46	Various	69.14	0.00	18.32	
SENSEONICS HOLDINGS INC COMMON STOCK \$0.001 PAR VALUE CUSIP: 81727U105 Symbol: SENS							
2023-01-23	100.0	115.98	2022-12-15	115.50	0.00	0.48	
SENTINELONE INC CLASS A COMMON STOCK CUSIP: 81730H109 Symbol: S							
2023-06-12	10.0	155.68	2023-06-02	131.20	0.00	24.48	
SHIFTPIXY INC COMMON STOCK CUSIP: 82452L302 Symbol: #S088606							
2023-06-22	200.0	542.20	2023-06-22	535.98	0.00	6.22	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MZ41395

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
SIENTRA INC COMMON STOCK CUSIP: 82621J204 Symbol: SIEN							
2023-06-09	200.0	607.96	2023-06-09	607.79	0.00	0.17	
SINTX TECHNOLOGIES INC COMMON STOCK CUSIP: 829392604 Symbol: SINT							
2023-03-08	30.0	101.98	Various	88.60	0.01 D	13.39	
2023-04-18	40.0	83.58	Various	79.09	0.00	4.49	
Security Totals:		185.56		167.69		17.88	
***SIYATA MOBILE INC COMMON SHARES CUSIP: 83013Q509 Symbol: #S083406							
2023-06-12	10000.0	1,079.54	2023-06-12	1,062.00	0.00	17.54	
SONIC FOUNDRY INC COMMON STOCK CUSIP: 83545R207 Symbol: SOFO							
2023-06-15	200.0	257.96	2023-06-15	276.00	0.00	-18.04	
SOUNDHOUND AI INC CLASS A COMMON STOCK CUSIP: 836100107 Symbol: SOUN							
2023-06-12	201.0	601.41	Various	499.83	0.00	101.58	
TESLA INC COMMON STOCK CUSIP: 88160R101 Symbol: TSLA							
2023-02-01	2.0	362.98	Various	283.13	0.00	79.85	
TREASURE GLOBAL INC COMMON STOCK CUSIP: 89458T106 Symbol: TGL							
2023-01-10	600.0	941.88	2023-01-10	941.64	0.00	0.24	
UIPATH INC CLASS A COMMON STOCK CUSIP: 90364P105 Symbol: PATH							
2023-03-16	20.0	337.18	Various	292.24	0.00	44.94	
2023-06-12	21.0	368.74	2023-03-09	310.01	0.00	58.73	
Security Totals:		705.92		602.25		103.67	
USERTESTING INC COMMON STOCK CUSIP: 91734E101 Symbol: USER							
2023-01-12	1.0	7.50	2022-08-05	4.97	0.00	2.53	
VERB TECHNOLOGY COMPANY INC COMMON STOCK CUSIP: 92337U104 Symbol: #V011302							
2023-02-03	500.0	99.82	2023-01-24	103.79	0.00	-3.97	
VIRGIN GALACTIC HLDGS INC COMMON STOCK CUSIP: 92766K106 Symbol: SPCE							
2023-06-16	700.0	3,469.19	2023-06-16	3,579.72	52.86 D	-57.67	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
***ADS TEC ENERGY PLC ORDINARY SHARES CUSIP: G0085J117 Symbol: ADSE							
2023-05-16	49.0	191.08	2022-12-15	174.93	0.00	16.15	
***ATLANTICA SUSTAINABLE INFRASTRUCTURE PLC ORDINARY SHARES CUSIP: G0751N103 Symbol: AY							
2023-01-23	10.0	270.58	2023-01-20	267.20	0.00	3.38	
***BIT BROTHER LIMITED CLASS A ORDINARY SHARES CUSIP: G1144D125 Symbol: #B105845							
2023-01-20	99.0	234.61	2023-01-18	205.92	0.00	28.69	
2023-04-19	111.0	96.55	Various	99.39	0.00	-2.84	
Security Totals:		331.16		305.31		25.85	
***GUARDFORCE AI CO LIMITED ORDINARY SHARES CUSIP: G4236L112 Symbol: #G030976							
2023-01-05	64.0	9.82	2023-01-03	10.14	0.32 D	-0.00	
2023-01-06	1330.0	214.45	2023-01-03	201.78	0.00	12.67	
Security Totals:		224.27		211.92		12.67	
***GUARDFORCE AI CO LTD ORDINARY SHARES CUSIP: G4236L138 Symbol: GFAL							
2023-03-09	10.0	65.68	Various	66.33	1.94 D	1.29	
2023-04-03	10.0	161.05	Various	60.12	0.00	100.93	
2023-04-21	9.0	192.04	2023-03-10	50.13	0.00	141.91	
2023-06-12	41.0	227.58	Various	199.47	0.00	28.11	
Security Totals:		646.35		376.05		272.24	
***INFOBIRD CO LTD ORDINARY SHARES CUSIP: G47724110 Symbol: #H110655							
2023-06-12	600.0	1,062.26	2023-06-12	1,190.95	0.00	-128.69	
***POWERBRIDGE TECHNOLOGIES CO LTD ORDINARY SHARES CUSIP: G72007100 Symbol: #P044936							
2023-02-13	1900.0	186.67	Various	194.38	7.71 D	-0.00	
2023-03-02	4999.0	513.17	2023-02-13	503.11	3.64 D	13.70	
Security Totals:		699.84		697.49		13.70	
***YS BIOPHARMA CO LTD ORDINARY SHARES CUSIP: G9845F109 Symbol: YS							
2023-06-13	500.0	725.42	2023-06-13	810.00	0.00	-84.58	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MZ41395

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
***WEARABLE DEVICES LTD ORDINARY SHARE CUSIP: M97838102 Symbol: WLDS							
2023-05-25	100.0	97.98	2023-05-25	73.00	0.00	24.98	
Totals:		94,157.13		93,841.29		1,527.25	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5MZ41395

(continued)

2024-02-11

OMB No. 1545-0715

LONG-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part II with Box D checked.

Box 2: Type of Gain or Loss - Long-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
C3 AI INC CLASS A COMMON STOCK CUSIP: 12468P104 Symbol: AI							
2023-01-31	2.0	38.94	2022-03-11	42.92	0.00	-3.98	
HELBIZ INC CLASS A COMMON STOCK CUSIP: 42309B204 Symbol: #H090839							
2023-01-03	1.0	0.13	2022-11-09	4.51	4.38 D	0.00	
2023-01-12	100.0	16.92	2022-12-12	400.27	383.35 D	0.00	
2023-01-20	200.0	58.73	Various	777.91	719.18 D	0.00	
2023-01-23	100.0	26.31	2023-01-18	364.35	338.04 D	0.00	
Security Totals:		102.09		1,547.04		0.00	
QUANTUM COMPUTING INC COMMON STOCK CUSIP: 74766W108 Symbol: QUBT							
2023-06-12	1.0	1.59	2023-02-13	1.72	0.00	-0.13	
RIGETTI COMPUTING INC COMMON STOCK CUSIP: 76655K103 Symbol: RGTI							
2023-07-25	400.0	839.94	Various	2,789.00	0.00	-1,949.06	
VROOM INC COMMON STOCK CUSIP: 92918V109 Symbol: VRM							
2023-07-19	400.0	1,067.97	2022-03-01	1,383.02	0.00	-315.05	
Totals:		2,050.53		5,763.70		-2,268.22	

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2023

5MZ41395

2024-02-11

The detailed transactional information below is furnished only for your information. Only the aggregate profit/loss information in each section is reported to the IRS. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT UVXY 03/24/23 4.50 PROSHARES ULTRA VIX SHORT TERM	2023-03-24						
CUSIP:		2023-03-22	10.00	Sell	20.49	29.48	8.99
Short-Term Profit/(Loss) Total: 40%							3.60
Long-Term Profit/(Loss) Total: 60%							5.39
Total:							8.99

Aggregate Short-Term Profit or (Loss): 40%	3.60
Aggregate Long-Term Profit or (Loss): 60%	5.39
11 - Aggregate Profit or (Loss) on Contracts	8.99

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Apex Clearing

Dividends and Distributions - Details of Form 1099-DIV

2023

5MZ41395

2024-02-11

This section of the information statement provides payment-level details for dividend-related distributions reported in aggregate on Form 1099-DIV. The information provided below is for your reference only. The break-out details provide payment-level information (including payment date and issuer) for different categories of distributions reported on Form 1099-DIV: ordinary dividends, including portions classified as qualified dividends and section 199A dividends, non-dividend distributions, exempt-interest dividends and liquidation distributions.

Taxes withheld for federal, state or foreign tax purposes are shown as negative numbers but do not offset the income amounts.

For dividends classified as "qualified dividends," the classification is made only from the issuer perspective without accounting for your holding period. Under IRS rules, classification as a qualified dividend for a taxpayer also depends on the taxpayer's holding period in the relevant stock, and a taxpayer is responsible for confirming such qualification based on the taxpayer's actual holding period. Taxpayers are reminded that they are ultimately responsible for the accuracy of their own tax returns.

Description | CUSIP | Symbol

Date	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax paid (Box 7)	Foreign Country or US Possession (Box 8)
***INFOSYS LIMITED SPONS ADR REPSTG 1 ORD SHRS CUSIP: 456788108 Symbol: INFY							
2023-07-05	2.13	2.13	0.00	0.00	0.00	-0.23	IN
Security Totals:	2.13	2.13	0.00	0.00	0.00	-0.23	
APPLE INC CUSIP: 037833100 Symbol: AAPL							
2023-02-16	0.69	0.69	0.00	0.00	0.00	0.00	
2023-05-18	0.72	0.72	0.00	0.00	0.00	0.00	
2023-08-17	0.72	0.72	0.00	0.00	0.00	0.00	
2023-11-16	0.72	0.72	0.00	0.00	0.00	0.00	
Security Totals:	2.85	2.85	0.00	0.00	0.00	0.00	
AT&T INC CUSIP: 00206R102 Symbol: T							
2023-02-01	2.78	2.78	0.00	0.00	0.00	0.00	
2023-05-01	0.28	0.28	0.00	0.00	0.00	0.00	
2023-08-01	3.05	3.05	0.00	0.00	0.00	0.00	
2023-11-01	3.05	3.05	0.00	0.00	0.00	0.00	
Security Totals:	9.16	9.16	0.00	0.00	0.00	0.00	
FORD MOTOR CO PAR \$0.01 CUSIP: 345370860 Symbol: F							
2023-03-01	8.00	8.00	0.00	0.00	0.00	0.00	
2023-06-01	1.50	1.50	0.00	0.00	0.00	0.00	
2023-09-01	1.50	1.50	0.00	0.00	0.00	0.00	
2023-12-01	1.50	1.50	0.00	0.00	0.00	0.00	
Security Totals:	12.50	12.50	0.00	0.00	0.00	0.00	

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Dividends and Distributions - Details of Form 1099-DIV

2023

5MZ41395

(continued)

2024-02-11

Description | CUSIP | Symbol

Date	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax paid (Box 7)	Foreign Country or US Possession (Box 8)
GLOBAL X FUNDS GLOBAL X ROBOTICS & ARTIFICIAL INTELLIGENCE ETF CUSIP: 37954Y715 Symbol: BOTZ							
2023-07-10	0.16	0.16	0.00	0.00	0.00	0.00	
2023-12-29	0.00	0.01	0.00	0.00	0.00	0.00	
2024-01-08	0.01	0.00	0.00	0.00	0.00	0.00	
Security Totals:	0.17	0.17	0.00	0.00	0.00	0.00	
LISTED FUNDS TRUST ROUNDHILL BALL METAVERSE ETF CUSIP: 53656F417 Symbol: METV							
2023-12-29	3.83	3.83	0.00	0.00	0.00	0.00	
Security Totals:	3.83	3.83	0.00	0.00	0.00	0.00	
MICROSOFT CORP CUSIP: 594918104 Symbol: MSFT							
2023-03-09	0.68	0.68	0.00	0.00	0.00	0.00	
2023-06-08	0.68	0.68	0.00	0.00	0.00	0.00	
2023-09-14	0.68	0.68	0.00	0.00	0.00	0.00	
2023-12-14	0.75	0.75	0.00	0.00	0.00	0.00	
Security Totals:	2.79	2.79	0.00	0.00	0.00	0.00	
RBB FUND INC (THE) MOTLEY FOOL CAPITAL EFFICIENCY 100 INDEX ETF CUSIP: 74933W643 Symbol: TMFE							
2023-12-15	1.87	1.87	0.00	0.00	0.00	0.00	
Security Totals:	1.87	1.87	0.00	0.00	0.00	0.00	
RBB FUND INC (THE) MOTLEY FOOL NEXT INDEX ETF CUSIP: 74933W650 Symbol: TMFX							
2023-12-15	0.55	0.55	0.00	0.00	0.00	0.00	
Security Totals:	0.55	0.55	0.00	0.00	0.00	0.00	
Totals:	35.85	35.85	0.00	0.00	0.00	-0.23	

Apex Clearing

Supplemental Information

2023

5MZ41395

2024-02-11

The supplemental information provided below contains additional details that may assist in your tax return preparation.

FEES & EXPENSES**Description**

Date	Amount
ADR Fees	
2023-02-06	-1.20
2023-06-16	-12.00
2023-07-06	-0.10
Total:	-13.30

Margin Interest Expense

2023-01-17	61.15
2023-02-16	58.94
2023-03-16	38.02
2023-04-17	48.78
2023-05-16	23.63
2023-06-16	7.02
Total:	237.54

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2023 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Future developments. For the latest information about developments related to forms and instructions, such as legislation enacted after they were published, go to www.irs.gov/forms-instructions.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Box 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

Boxes 14-16. State income tax withheld reporting boxes.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

1099-B Instructions to Recipients

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

- Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.
- Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).
- Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.
- Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.
- Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.
- Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.
- Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.
- Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.
- Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**
- Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2023.
- Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2022.
- Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2023. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2023 in 2024.
- Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2023 Form 6781.
- Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.
- Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.
- Boxes 14–16.** Show state(s)/local income tax information.

1099-MISC Instructions to Recipients

- Amounts shown may be subject to self-employment (SE) tax.** Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the appropriate line of their tax returns.
- Form 1099-MISC incorrect?** If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your information correctly.
- Box 1.** Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.
- Box 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.
- Box 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).
- Box 4.** Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.
- Box 5.** Shows the amount paid to you as a fishing boat crew member by the operator, who considers you to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.
- Box 6.** For individuals, report on Schedule C (Form 1040).
- Box 7.** If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).
- Box 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).
- Box 9.** Report this amount on Schedule F (Form 1040).
- Box 10.** Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.
- Box 11.** Shows the amount of cash you received for the sale of fish if you are in the trade or business of catching fish.
- Box 12.** May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A plus any earnings on current and prior year deferrals.
- Box 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.
- Box 14.** Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.
- Box 15.** Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.
- Boxes 16–18.** Show state or local income tax withheld from the payments.

1099-OID Instructions to Recipients

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, you generally must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

- Box 1.** Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.
- Box 2.** Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.
- Box 3.** Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you.

For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR). If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and is issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Boxes 12-14. State income tax withheld reporting.