



#BWNJGWM

AAYUSHI JAIN TOD  
SUBJECT TO STA RULES  
1564 PROVINCETOWN DR  
SAN JOSE CA 95129



1099 Consolidated Tax Statement
Tax Year 2023 - ORIGINAL

Date Issued
February 12, 2024

Account Mailing Address

Account Owner
AAYUSHI JAIN TOD
SUBJECT TO STA RULES
1564 PROVINCETOWN DR
SAN JOSE CA 95129

Legal Name and Address Reported
to IRS and State Taxing Authorities

AAYUSHI JAIN
1564 PROVINCETOWN DR
SAN JOSE CA 95129

This E\*TRADE from Morgan Stanley 1099 Consolidated Tax Statement for 2023 provides your official tax information for use when preparing your tax return. It is important to note that the income information that was reported on your December account statement will not have included certain adjustments occurring after year-end that are reflected on your 1099 and that are necessary for tax reporting purposes.

The following tax documents are not included in this statement and are sent individually in separate mailings, if required: Forms 1099-Q, 1042-S, 2439, 5498, 5498-ESA, REMIC Information Statement, Schedule K-1 and Puerto Rico Forms 480.6A, 480.6B, 480.6C and 480.6D.

E\*TRADE from Morgan Stanley is pleased to provide you with the ability to download your tax information into the following individual tax preparation software applications: TurboTax® and H&R Block Tax Software®. To view gains and losses, simply go to the Gains & Losses page on etrade.com where you can find historical information for the current year and prior two years.

TurboTax® is a registered trademark of Intuit, Inc. H&R Block Tax Software® is a registered trademark of H&R Block, Inc.

Warning icon: \*\*\* WARNING - CORRECTED TAX FORMS POSSIBLE \*\*\*
The Forms 1099 included in your E\*TRADE from Morgan Stanley Consolidated Tax Statement were prepared based upon information provided by the issuer of each security. The issuer may change the tax status of a distribution reported to you subsequent to the issuance of this 1099 Consolidated Tax Statement. In that case, we are required to send you one or more corrections.

Warning icon: \*\*\* SCHEDULE K-1 INFORMATION \*\*\*
You held a security that generates a Schedule K-1 or Form 1099. The Schedule K-1, Partner's Share of Income, Deductions, Credits, etc. or Form 1099 will be mailed to you directly from the partnership. It is important that you do not file your tax return until you receive all your tax forms. The IRS deadline for partnerships to issue them is March 15th. See the K-1 Tax Reporting page in this document for a listing of partnerships held in your account.

Account Number
889 123194 205

Customer Service: 866-324-6088

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from Morgan Stanley

1099 Consolidated Tax Statement
Tax Year 2023 Copy B For Recipient

Morgan Stanley Capital Management, LLC
Morgan Stanley Smith Barney, LLC
1 New York Plaza
7th Floor
New York, NY 10004
Identification Number: 11-3658445
Taxpayer ID Number: XXX-XX-0611
Account Number: 889 123194 205

Name Reported to the IRS: AAYUSHI JAIN
1564 PROVINCETOWN DR
SAN JOSE CA 95129

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Table with 2 columns: Description and Amount. Includes sections for Dividends and Distributions (Total Ordinary Dividends, Qualified Dividends, Capital Gain Distributions, etc.) and Federal Income Tax Withheld.

Table with 2 columns: Description and Amount. Section for Interest Income (Interest Income, Early Withdrawal Penalty, Interest on U.S. Savings Bonds, etc.) and Federal Income Tax Withheld.

Table with 2 columns: Description and Amount. Section for Miscellaneous Information (Rents, Royalties, Other Income, Federal Income Tax Withheld, Substitute Payments).

Table with 2 columns: Description and Amount. Section for Original Issue Discount (Original Issue Discount for 2023, Other Periodic Interest, Federal Income Tax Withheld, Market Discount, Acquisition Premium, etc.).

\*This may not be the correct figure to report on your income tax return. See instructions on the back.

Table with 2 columns: Description and Amount. Section for Proceeds from Broker and Barter Exchange Transactions (Proceeds from Covered and Noncovered Securities, Cost or Other Basis, Accrued Market Discount, Wash Sale Loss, etc.) and Federal Income Tax Withheld.

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Form 1099-DIV (OMB No. 1545-0110)**

**Box 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040), if required.

**Box 1b.** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Box 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See *How To Report* in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Box 2b.** Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Box 2c.** Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Box 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Box 2e.** Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Box 2f.** Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI). Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected income or business within the United States. See the Instructions for your tax return.

**Box 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is treated to you as capital gain. See Pub. 550.

**Box 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your taxpayer identification number to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 5.** Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the Instructions for Form 9995 and Form 9995-A.

**Box 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

**Box 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Box 8.** This box should be left blank if a RIC reported the foreign tax shown in box 7.

**Boxes 9 and 10.** Shows cash and noncash liquidation distributions.

**Box 11.** If the FATCA reporting requirement in box 1 is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under section 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 9938.

**Box 12.** Shows excess interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

**Box 13.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

**Boxes 14-16.** State income tax withholding reporting boxes.

**Nonresidents.** If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the current General Instructions for Certain Information Returns.

**Form 1099-INT (OMB No. 1545-0112)**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount of interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Box 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credit allowance (interest paid to you and the premium clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 12/25 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Box 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Box 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not

included in box 1. See the instructions above for a taxable covered security acquired at a premium.

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Box 5.** Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

**Box 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Box 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Box 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See Pub. 550 to report this amount on the Instructions for Form 1040 and 1040-SR. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Box 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Box 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2017, also, according to the election in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1278(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Box 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Boxes 12-14.** State income tax withholding reporting.

**Box 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Box 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). A spouse is not required to file a nominee return to show amounts owned by the other spouse. See Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. The amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Box 14.** Shows CUSIP number(s) for tax-exempt bonds on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Nonresidents.** If this form includes amounts belonging to another person(s), you are considered a nominee recipient. You must file Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the Instructions for Form 1096.

**Form 1099-OID (OMB 1545-0117)**

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, you generally must include an amount of interest in your gross income for the amount of the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings bonds, and other deposit arrangements. The payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information. If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. You must file Form 1096 with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocated to you. For a description of covered and noncovered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of acquisition premium amortization for the year, or (2) a gross amount of interest and the acquisition premium amortization for the year. For a non covered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

**Box 1.** Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount of interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition

date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of interest income. See Pub. 1212, Guide to Original Issue Discount (OID) Instruments, for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.

**Box 2.** Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for how to report the correct amount of interest income. For a taxable covered security in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Form 1040 and 1040-SR.

**Box 3.** Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your Taxpayer Identification Number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 5.** For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you. For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1278(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040 or 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Box 6.** For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR) for details on how to report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040 or 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Box 7.** Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity). See Pub. 550 for details on how to report the amount of interest on the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 2. See the instructions above for a covered security acquired with acquisition premium.

**Box 8.** Shows the amount of interest on the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 2. See the instructions above for a covered security acquired with acquisition premium.

**Box 9.** Any amount shown is your share of investment expenses of a single class REMIC. This amount is included in box 1. Note: This amount is not deductible.

**Box 10.** For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest paid on the covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

**Box 11.** For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

**Form 1099-RE (OMB 1545-0115)**

Form 1099-RE (OMB 1545-0115) shows the amount of interest on the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 2. See the instructions above for a covered security acquired with acquisition premium.

**Box 10.** For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest paid on the covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

**Box 11.** For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

**Form 1099-S (OMB 1545-0115)**

Form 1099-S (OMB 1545-0115) shows the amount of interest on the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 2. See the instructions above for a covered security acquired with acquisition premium.

**Box 10.** For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest paid on the covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

**Box 11.** For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

**Form 1099-SP (OMB 1545-0115)**

Form 1099-SP (OMB 1545-0115) shows the amount of interest on the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 2. See the instructions above for a covered security acquired with acquisition premium.

**Box 10.** For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest paid on the covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

**Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the transaction, including transactions involving stocks, debt commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

**Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a non compensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a non compensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.

**Box 1f.** Shows the amount of nondeductible loss in a wash sale transaction. Report this amount on Form 8949 on Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Box 1g.** Shows the amount of nondeductible loss in a wash sale transaction. Report this amount on Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to capital gains tax. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF). Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2011.

**Box 6.** If the exercise of a non-compensatory option resulted in the sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for premium.

**Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

**Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11):**

**Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, and Section 1256 option contracts closed during 2023.

**Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2022.

**Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2023. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2023 in 2024.

**Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2023 Form 6781.

**Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Form 525.

**Boxes 14-16.** Show state(s)/local income tax information

**1099-MISC (OMB No. 1545-0115)**

Form 1099-MISC (OMB No. 1545-0115) shows the amount of interest on the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 2. See the instructions above for a covered security acquired with acquisition premium.

**Box 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest in an oil or gas lease on Schedule C (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Box 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040), or Form 1040-NR and identify the payment. The amount shown may be payment received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Box 4.** Shows backup withholding or withholding on Indian gaming profits. Payers must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Box 6.** For individuals, report on Schedule C (Form 1040). For other taxpayers, report on Schedule E (Form 1040) or Schedule F (Form 1040) if the security was sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Box 9.** Report this amount on Schedule F (Form 1040).

**Boxes 16-18.** Show state or local income tax withheld from the payments.



**1099-DIV DIVIDENDS & DISTRIBUTIONS**

**Ordinary Dividends**

DESCRIPTION	CUSIP	PAY DATE	ORDINARY DIVIDENDS	QUALIFIED DIVIDENDS	FEDERAL INCOME TAX WITHHELD	SECTION 199A DIVIDENDS	FOREIGN TAX PAID	COUNTRY	NOTES
DRX DLY FTSE CHINA BULL 3X	25460G195	12/29/23	\$196.70	\$154.72	\$0.00	\$0.00	\$0.00		
GLOBAL X SUPERDIVIDEND ETF	37960A669	11/13/23	\$152.48	\$55.01	\$0.00	\$22.32	\$5.27	VARIOUS	
GLOBAL X SUPERDIVIDEND ETF	37960A669	12/13/23	\$0.22	\$0.08	\$0.00	\$0.03	\$0.01	VARIOUS	
GLOBAL X SUPERDIVIDEND ETF	37960A669	01/08/24	\$0.22	\$0.08	\$0.00	\$0.03	\$0.01	VARIOUS	OS
ISHARES CHINA LARGE CAP ETF	464287184	12/27/23	\$326.69	\$285.64	\$0.00	\$0.00	\$22.36	VARIOUS	
ISHARES IBOXX HY COR BD ETF	464288513	11/07/23	\$39.14	\$0.00	\$0.00	\$0.00	\$0.00		
ISHARES IBOXX HY COR BD ETF	464288513	12/07/23	\$0.34	\$0.00	\$0.00	\$0.00	\$0.00		
ISHARES IBOXX HY COR BD ETF	464288513	12/20/23	\$0.38	\$0.00	\$0.00	\$0.00	\$0.00		
ISHARES 20+ YR TREASU BOND ETF	464287432	10/06/23	\$28.28	\$0.00	\$0.00	\$0.00	\$0.00		
ISHARES 20+ YR TREASU BOND ETF	464287432	11/07/23	\$200.69	\$0.00	\$0.00	\$0.00	\$0.00		
ISHARES 20+ YR TREASU BOND ETF	464287432	12/07/23	\$0.29	\$0.00	\$0.00	\$0.00	\$0.00		
ISHARES 20+ YR TREASU BOND ETF	464287432	12/20/23	\$0.31	\$0.00	\$0.00	\$0.00	\$0.00		
PIMCO CORPORATE & INCOME OPPOR	72201B101	11/01/23	\$118.92	\$0.00	\$0.00	\$0.00	\$0.00		
PIMCO CORPORATE & INCOME OPPOR	72201B101	12/01/23	\$0.12	\$0.00	\$0.00	\$0.00	\$0.00		
PIMCO CORPORATE & INCOME OPPOR	72201B101	01/02/24	\$0.12	\$0.00	\$0.00	\$0.00	\$0.00		OS
<b>Total Ordinary Dividends</b> 1099-DIV box 1a			<b>\$1,064.90</b>						
<b>Total Qualified Dividends</b> 1099-DIV box 1b				<b>\$495.53</b>					
<b>Total Federal Income Tax Withheld</b> 1099-DIV box 4					<b>\$0.00</b>				
<b>Total Section 199A Dividends</b> 1099-DIV box 5						<b>\$22.38</b>			
<b>Total Foreign Tax Paid</b> 1099-DIV box 7							<b>\$27.65</b>		

**Foreign Source Income Percentage for Mutual Funds and UITs**

DESCRIPTION	CUSIP	FOREIGN SOURCE INCOME	FOREIGN SOURCE INCOME ADJUSTED FOR FOREIGN QDI	QUALIFIED FOREIGN SOURCE INCOME
GLOBAL X SUPERDIVIDEND ETF	37960A669	55.53%	0.00%	0.00%
ISHARES CHINA LARGE CAP ETF	464287184	100.00%	0.00%	86.83%

These percentages, which are provided by the issuer, represent the portion of the amount displayed in 1099-DIV box 1a (Total Ordinary Dividends) that are foreign sourced dividends, which are also displayed in the Supplemental Foreign Security Tax Information section.

OS The fund company has determined that this dividend payment which you received in 2024 is reportable as taxable income for 2023.

**1099-INT INTEREST INCOME**

**Interest Income**

<b>DESCRIPTION</b>	<b>CUSIP</b>	<b>PAY DATE</b>	<b>AMOUNT</b>	<b>FEDERAL INCOME TAX WITHHELD</b>
MORGAN STANLEY BANK N.A.	061870903	09/29/23	\$1.08	\$0.00
MORGAN STANLEY BANK N.A.	061870903	10/31/23	\$0.14	\$0.00
MORGAN STANLEY PRIVATE BANK NA	061871976	09/29/23	\$2.02	\$0.00
MORGAN STANLEY PRIVATE BANK NA	061871976	10/31/23	\$1.61	\$0.00
MORGAN STANLEY PRIVATE BANK NA	061871976	11/30/23	\$1.25	\$0.00
MORGAN STANLEY PRIVATE BANK NA	061871976	12/29/23	\$0.18	\$0.00
<b>Total Interest Income</b> 1099-INT box 1			<b>\$6.28</b>	
<b>Total Federal Income Tax Withheld</b> 1099-INT box 4				<b>\$0.00</b>

The amount of tax-exempt interest paid to you must be reported on the applicable Form 1040, U.S. Individual Income Tax Return. The amount of tax-exempt AMT interest paid to you must be taken into account in computing the Alternative Minimum Tax reported on Form 1040.



from Morgan Stanley

1099 Consolidated Tax Statement  
Tax Year 2023 Copy B For Recipient

Morgan Stanley Capital Management, LLC  
Morgan Stanley Smith Barney, LLC  
1 New York Plaza  
7th Floor  
New York, NY 10004  
Identification Number: 11-3658445  
Taxpayer ID Number: XXX-XX-0611  
Account Number: 889 123194 205

Name Reported to the IRS: AAYUSHI JAIN  
1564 PROVINCETOWN DR  
SAN JOSE CA 95129

Customer Service: 866-324-6088

FORM 1099-B TOTALS SUMMARY

REALIZED GAIN/LOSS SUMMARY

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

SHORT -TERM GAIN OR (LOSSES) - REPORT ON FORM 8949, PART I	PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box A (basis reported to the IRS)	\$442,370.33	\$419,960.33	\$0.00	\$5,744.00	\$22,410.00
Box A - Ordinary - (basis reported to the IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to the IRS)	\$50,505.38	\$65,657.98	\$0.00	\$15,306.07	(\$15,152.60)
Box B - Ordinary - (basis not reported to the IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Short - Term</b>	<b>\$492,875.71</b>	<b>\$485,618.31</b>	<b>\$0.00</b>	<b>\$21,050.07</b>	<b>\$7,257.40</b>

LONG -TERM GAIN OR (LOSSES) - REPORT ON FORM 8949, PART II	PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box D (basis reported to the IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box D - Ordinary - (basis reported to the IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to the IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E - Ordinary - (basis not reported to the IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Long - Term</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

UNKNOWN TERM - CODE (X) REPORT ON FORM 8949, PART I OR PART II	PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box B or Box E (basis not reported to the IRS)	\$108,098.90	\$0.00	\$0.00	\$0.00	\$0.00
Box B or Box E - Ordinary - (basis not reported to the IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Unknown Term</b>	<b>\$108,098.90</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

REGULATED FUTURES CONTRACTS	AMOUNT
Profit or (loss) realized in 2023 - closed contracts	\$15,806.64
Unrealized Profit or (loss) on open contracts 12/31/2022	\$0.00
Unrealized Profit or (loss) on open contracts 12/31/2023	\$0.00
<b>Aggregate profit or (loss) on contracts</b>	<b>\$15,806.64</b>







**1099 Consolidated Tax Statement  
Tax Year 2023 Copy B For Recipient**

Morgan Stanley Capital Management, LLC  
Morgan Stanley Smith Barney, LLC  
1 New York Plaza  
7th Floor  
New York, NY 10004  
Identification Number: 11-3658445  
Taxpayer ID Number: XXX-XX-0611  
Account Number: 889 123194 205

Name Reported to the IRS: AAYUSHI JAIN  
1564 PROVINCETOWN DR  
SAN JOSE CA 95129

**Customer Service: 866-324-6088**

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Short Term - Covered Securities** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ARK GENOMIC REVOLUTION ETF										
	500.000	10/27/23	11/03/23	\$12,349.83	\$11,178.45	\$0.00	\$0.00	\$1,171.38	\$0.00	
										CUSIP: 00214Q302 Symbol: ARKG
ARK INNOVATION ETF										
	300.000	10/27/23	11/03/23	\$11,651.86	\$10,252.50	\$0.00	\$0.00	\$1,399.36	\$0.00	
										CUSIP: 00214Q104 Symbol: ARKK
BLOCK INC CL A										
	300.000	10/27/23	11/03/23	\$13,801.30	\$11,920.50	\$0.00	\$0.00	\$1,880.80	\$0.00	
										CUSIP: 852234103 Symbol: SQ
CALL CFLT 11/17/23 18.000										
	9.000	11/02/23	11/07/23	\$769.37	\$364.60	\$0.00	\$0.00	\$404.77	\$0.00	
	1.000	11/02/23	11/14/23	\$185.48	\$40.51	\$0.00	\$0.00	\$144.97	\$0.00	
<b>Security Subtotal</b>	<b>10.000</b>			<b>\$954.85</b>	<b>\$405.11</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$549.74</b>	<b>\$0.00</b>	
										CUSIP: 0000G26KY Symbol: CFLT 231117C00018000
CHARGEPOINT HOLDINGS INC										
	100.000	10/13/23	11/03/23	\$315.09	\$385.52	\$0.00	\$0.00	(\$70.43)	\$0.00	
	3,001.000	10/13/23	11/03/23	\$9,455.94	\$10,320.14	\$0.00	\$0.29	(\$864.20)	\$0.00	
	391.000	10/20/23	11/03/23	\$1,232.01	\$1,182.78	\$0.00	\$0.00	\$49.23	\$0.00	
	2,509.000	10/20/23	11/03/23	\$7,905.68	\$7,602.02	\$0.00	\$0.00	\$303.66	\$0.00	
	4,000.000	10/26/23	11/03/23	\$12,603.72	\$9,840.00	\$0.00	\$0.00	\$2,763.72	\$0.00	
	399.000	10/27/23	11/03/23	\$1,257.22	\$992.23	\$0.00	\$0.00	\$264.99	\$0.00	
<b>Security Subtotal</b>	<b>10,400.000</b>			<b>\$32,769.66</b>	<b>\$30,322.69</b>	<b>\$0.00</b>	<b>\$0.29</b>	<b>\$2,446.97</b>	<b>\$0.00</b>	
										CUSIP: 15961R105 Symbol: CHPT
CONFLUENT INC CLASS A										
	100.000	11/02/23	12/15/23	\$1,909.45	\$1,595.00	\$0.00	\$0.00	\$314.45	\$0.00	
										CUSIP: 20717M103 Symbol: CFLT
DIREXION SHS ETF DAILY S&P BIO										
	15,001.000	09/28/23	10/06/23	\$54,773.54	\$58,353.89	\$0.00	\$3,580.35	(\$3,580.35)	\$0.00	
	6,191.000	10/02/23	10/06/23	\$22,605.35	\$21,018.45	\$0.00	\$0.00	\$1,586.90	\$0.00	
										CUSIP: 25490K323 Symbol:

CONTINUED ON NEXT PAGE

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7 (Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Short Term - Covered Securities (Continued)** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
DIREXION SHS ETF DAILY S&P BIO(Cont.)		CUSIP: 25490K323		Symbol:						
	8,809.000	10/02/23	10/06/23	\$32,164.53	\$29,950.60	\$0.00	\$0.00	\$2,213.93	\$0.00	
	5,001.000	10/18/23	11/02/23	\$14,852.62	\$16,346.64	\$0.00	\$1,494.02	(\$1,494.02)	\$0.00	
	4,799.000	10/19/23	11/02/23	\$14,252.70	\$14,918.53	\$0.00	\$665.83	(\$665.83)	\$0.00	
	5,201.000	10/19/23	11/03/23	\$15,914.18	\$16,168.21	\$0.00	\$0.00	(\$254.03)	\$0.00	
	700.000	10/25/23	11/03/23	\$2,141.88	\$2,120.12	\$0.00	\$0.00	\$21.76	\$0.00	
	1,300.000	10/27/23	11/03/23	\$3,977.78	\$3,677.37	\$0.00	\$0.00	\$300.41	\$0.00	
	3,001.000	10/27/23	11/03/23	\$9,182.56	\$8,449.45	\$0.00	\$0.00	\$733.11	\$0.00	
	4,598.000	10/27/23	11/03/23	\$14,069.10	\$12,210.18	\$0.00	\$0.00	\$1,858.92	\$0.00	
	5,200.000	10/27/23	11/03/23	\$15,911.12	\$13,087.36	\$0.00	\$0.00	\$2,823.76	\$0.00	
	200.000	10/27/23	11/14/23	\$632.92	\$531.11	\$0.00	\$0.00	\$101.81	\$0.00	
<b>Security Subtotal</b>	<b>60,001.000</b>			<b>\$200,478.28</b>	<b>\$196,831.91</b>	<b>\$0.00</b>	<b>\$5,740.20</b>	<b>\$3,646.37</b>	<b>\$0.00</b>	
DRX DLY FTSE CHINA BULL 3X		CUSIP: 25460G195		Symbol: YINN						
	501.000	11/29/23	12/29/23	\$10,541.33	\$11,578.06	\$0.00	\$2.07	(\$1,036.73)	\$0.00	
	499.000	12/22/23	12/29/23	\$10,499.25	\$9,261.44	\$0.00	\$0.00	\$1,237.81	\$0.00	
	500.000	12/22/23	12/29/23	\$10,520.29	\$9,295.00	\$0.00	\$0.00	\$1,225.29	\$0.00	
<b>Security Subtotal</b>	<b>1,500.000</b>			<b>\$31,560.87</b>	<b>\$30,134.50</b>	<b>\$0.00</b>	<b>\$2.07</b>	<b>\$1,426.37</b>	<b>\$0.00</b>	
DRX DLY S&P BIOTECH BULL 3X		CUSIP: 25460G120		Symbol: LABU						
	0.050	10/27/23	12/04/23	\$4.46	\$2.66	\$0.00	\$0.00	\$1.80	\$0.00	
GLOBAL X SUPERDIVIDEND ETF		CUSIP: 37960A669		Symbol: SDIV						
	200.000	10/20/23	11/15/23	\$4,329.94	\$4,116.00	\$0.00	\$0.00	\$213.94	\$0.00	
	201.000	10/20/23	11/15/23	\$4,351.58	\$4,106.43	\$0.00	\$0.00	\$245.15	\$0.00	
	299.000	10/23/23	11/15/23	\$6,473.25	\$6,015.88	\$0.00	\$0.00	\$457.37	\$0.00	
<b>Security Subtotal</b>	<b>700.000</b>			<b>\$15,154.77</b>	<b>\$14,238.31</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$916.46</b>	<b>\$0.00</b>	
ISHARES IBOXX HY COR BD ETF		CUSIP: 464288513		Symbol: HYG						
	100.000	10/02/23	11/17/23	\$7,467.93	\$7,290.58	\$0.00	\$0.00	\$177.35	\$0.00	
ISHARES 20+ YR TREASU BOND ETF		CUSIP: 464287432		Symbol: TLT						
	101.000	09/29/23	11/03/23	\$8,838.42	\$8,960.22	\$0.00	\$1.21	(\$121.80)	\$0.00	
	200.000	10/03/23	11/03/23	\$17,501.84	\$17,006.00	\$0.00	\$0.00	\$495.84	\$0.00	
	300.000	10/06/23	11/03/23	\$26,252.74	\$25,425.00	\$0.00	\$0.00	\$827.74	\$0.00	
	99.000	10/20/23	11/03/23	\$8,663.41	\$8,238.52	\$0.00	\$0.00	\$424.89	\$0.00	
<b>Security Subtotal</b>	<b>700.000</b>			<b>\$61,256.41</b>	<b>\$59,629.74</b>	<b>\$0.00</b>	<b>\$1.21</b>	<b>\$1,626.67</b>	<b>\$0.00</b>	
LYFT INC CL A		CUSIP: 55087P104		Symbol: LYFT						
	101.000	10/13/23	11/03/23	\$1,021.58	\$1,019.08	\$0.00	\$0.00	\$2.50	\$0.00	

CONTINUED ON NEXT PAGE



**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Short Term - Covered Securities (Continued)** (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
LYFT INC CL A (Cont.)										
										CUSIP: 55087P104 Symbol: LYFT
	400.000	10/26/23	11/03/23	\$4,045.85	\$3,772.00	\$0.00	\$0.00	\$273.85	\$0.00	
	499.000	10/31/23	11/03/23	\$5,047.20	\$4,565.35	\$0.00	\$0.00	\$481.85	\$0.00	
<b>Security Subtotal</b>	<b>1,000.000</b>			<b>\$10,114.63</b>	<b>\$9,356.43</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$758.20</b>	<b>\$0.00</b>	
PIMCO CORPORATE & INCOME OPPOR										CUSIP: 72201B101 Symbol: PTY
	204.000	09/28/23	10/31/23	\$2,611.15	\$2,658.12	\$0.00	\$0.23	(\$46.97)	\$0.00	
	97.000	10/02/23	10/31/23	\$1,241.57	\$1,217.84	\$0.00	\$0.00	\$23.73	\$0.00	
	700.000	10/06/23	10/31/23	\$8,959.83	\$8,720.53	\$0.00	\$0.00	\$239.30	\$0.00	
	499.000	10/20/23	10/31/23	\$6,387.07	\$6,081.46	\$0.00	\$0.00	\$305.61	\$0.00	
<b>Security Subtotal</b>	<b>1,500.000</b>			<b>\$19,199.62</b>	<b>\$18,677.95</b>	<b>\$0.00</b>	<b>\$0.23</b>	<b>\$521.67</b>	<b>\$0.00</b>	
PUT AMZN 09/29/23 123.000										CUSIP: 0000E9E87 Symbol: AMZN 230929P00123000
	2.000	09/29/23	09/29/23	\$134.97	\$0.00	\$0.00	\$0.00	\$134.97	\$0.00	
PUT AMZN 10/06/23 124.000										CUSIP: 0000GP0N7 Symbol: AMZN 231006P00124000
	3.000	10/06/23	10/06/23	\$448.45	\$0.00	\$0.00	\$0.00	\$448.45	\$0.00	
PUT AMZN 10/27/23 118.000										CUSIP: 0000DK5E0 Symbol: AMZN 231027P00118000
	2.000	10/27/23	10/27/23	\$716.95	\$0.00	\$0.00	\$0.00	\$716.95	\$0.00	
PUT AMZN 10/27/23 119.000										CUSIP: 0000DK5G7 Symbol: AMZN 231027P00119000
	5.000	10/27/23	10/27/23	\$2,092.35	\$0.00	\$0.00	\$0.00	\$2,092.35	\$0.00	
PUT CFLT 11/17/23 17.000										CUSIP: 0000HH6Y3 Symbol: CFLT 231117P00017000
	4.000	11/17/23	11/17/23	\$257.93	\$0.00	\$0.00	\$0.00	\$257.93	\$0.00	
PUT FSLY 10/06/23 16.000										CUSIP: 0000HD4V1 Symbol: FSLY 231006P00016000
	1.000	10/06/23	10/06/23	\$33.48	\$0.00	\$0.00	\$0.00	\$33.48	\$0.00	
PUT LYFT 10/06/23 10.000										CUSIP: 0000KT4A9 Symbol: LYFT 231006P00010000
	10.000	10/06/23	10/06/23	\$164.86	\$0.00	\$0.00	\$0.00	\$164.86	\$0.00	
US GLOBAL JETS ETF										CUSIP: 26922A842 Symbol: JETS
	500.000	10/27/23	11/03/23	\$7,929.86	\$7,364.00	\$0.00	\$0.00	\$565.86	\$0.00	
WISDOMTREE CLOUD COMPUTNG										CUSIP: 97717Y691 Symbol: WCLD
	400.000	10/27/23	11/17/23	\$11,917.56	\$10,760.00	\$0.00	\$0.00	\$1,157.56	\$0.00	
<b>Total Short Term Covered Securities</b>				<b>\$442,370.33</b>	<b>\$419,960.33</b>	<b>\$0.00</b>	<b>\$5,744.00</b>	<b>\$22,410.00</b>	<b>\$0.00</b>	

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Short Term - Noncovered Securities** # (Consider Box 5 (Noncovered Security) as being checked and Box 12 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PROSHARES TRUST II ULTRA VIX S		CUSIP: 74347Y771		Symbol: UVXY						
	101.000	11/02/23	12/22/23	\$984.73	\$1,437.23	0.00	\$452.50	(\$452.50)	\$0.00	
	300.000	11/03/23	12/22/23	\$2,924.93	\$4,125.00	0.00	\$1,200.07	(\$1,200.07)	\$0.00	
	500.000	11/03/23	12/22/23	\$4,874.89	\$6,875.00	0.00	\$2,000.11	(\$2,000.11)	\$0.00	
	500.000	11/03/23	12/22/23	\$4,874.89	\$6,875.00	0.00	\$2,000.11	(\$2,000.11)	\$0.00	
	800.000	11/10/23	12/22/23	\$7,799.82	\$9,944.00	0.00	\$2,144.18	(\$2,144.18)	\$0.00	
	1,000.000	11/10/23	12/22/23	\$9,749.79	\$12,760.00	0.00	\$3,010.21	(\$3,010.21)	\$0.00	
	1,000.000	11/10/23	12/22/23	\$9,749.78	\$13,050.00	0.00	\$3,300.22	(\$3,300.22)	\$0.00	
	799.000	11/17/23	12/22/23	\$7,790.08	\$8,988.75	0.00	\$1,198.67	(\$1,198.67)	\$0.00	
<b>Security Subtotal</b>	<b>5,000.000</b>			<b>\$48,748.91</b>	<b>\$64,054.98</b>	<b>\$0.00</b>	<b>\$15,306.07</b>	<b>(\$15,306.07)</b>	<b>\$0.00</b>	
PROSHARES ULTRASHORT BLOOMBE		CUSIP: 74347Y797		Symbol: SCO						
	100.000	10/19/23	10/25/23	\$1,756.47	\$1,603.00	0.00	\$0.00	\$153.47	\$0.00	
<b>Total Short Term Noncovered Securities</b>				<b>\$50,505.38</b>	<b>\$65,657.98</b>	<b>\$0.00</b>	<b>\$15,306.07</b>	<b>(\$15,152.60)</b>	<b>\$0.00</b>	
<b>Total Short Term Covered and Noncovered Securities</b>				<b>\$492,875.71</b>	<b>\$485,618.31</b>	<b>\$0.00</b>	<b>\$21,050.07</b>	<b>\$7,257.40</b>	<b>\$0.00</b>	

# Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale or market discount will not be reported to the IRS. The cost basis is provided for informational purposes only and may not reflect required adjustments under the applicable tax regulations. Please consult your tax advisor regarding any adjustments to your original cost basis.

**Noncovered Securities with Undetermined Holding Period** # (Consider Box 5 (Noncovered Security) as being checked and Box 12 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part I or Part II with box C or E checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
BELPOINTE PREP LLC UNIT RP LB		CUSIP: 080694102		Symbol: OZ						
	5.000		11/22/23	\$363.00					\$0.00	PS
	7.000		11/22/23	\$508.19					\$0.00	PS
	99.000		11/22/23	\$7,187.33					\$0.00	PS
	194.000		11/22/23	\$14,084.26					\$0.00	PS
	195.000		11/22/23	\$14,156.85					\$0.00	PS
	66.000		11/22/23	\$4,738.75					\$0.00	PS
	100.000		11/22/23	\$7,179.93					\$0.00	PS
	100.000		11/22/23	\$7,179.93					\$0.00	PS

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions.

**Noncovered Securities with Undetermined Holding Period # (Continued)** (Consider Box 5 (Noncovered Security) as being checked and Box 12 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part I or Part II with box C or E checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
BELPOINTE PREP LLC UNIT RP LB(Cont.)		CUSIP: 080694102			Symbol: OZ					
	200.000		11/22/23	\$14,359.85					\$0.00	PS
	233.000		11/22/23	\$16,729.23					\$0.00	PS
	301.000		11/22/23	\$21,611.58					\$0.00	PS
<b>Security Subtotal</b>	<b>1,500.000</b>			<b>\$108,098.90</b>					<b>\$0.00</b>	
Total Noncovered Securities with Undetermined Holding Period				<b>\$108,098.90</b>					<b>\$0.00</b>	
<b>Total Covered and Noncovered Securities</b>				<b>\$600,974.61</b>	<b>\$485,618.31</b>	<b>\$0.00</b>	<b>\$21,050.07</b>	<b>\$7,257.40</b>	<b>\$0.00</b>	
<b>Form 1099-B Total Reportable Amounts</b> - Does not include cost basis, accrued market discount or wash sale loss disallowed amounts for noncovered securities.										
<b>Total IRS Reportable Proceeds (Box 1d)</b>				<b>\$600,974.61</b>						
<b>Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)</b>					<b>\$419,960.33</b>					
<b>Total IRS Reportable Accrued Market Discount (Box 1f)</b>						<b>\$0.00</b>				
<b>Total IRS Reportable Wash Sale Loss Disallowed (Box 1g)</b>							<b>\$5,744.00</b>			
<b>Total Fed Tax Withheld (Box 4)</b>									<b>\$0.00</b>	

# Morgan Stanley reports transactions in the Noncovered Securities with Undetermined Holding Period Section of the 1099-B portion of the 1099 Consolidated Tax Statement when the holding period or cost basis is not readily available, and therefore Morgan Stanley is not able to determine the appropriate holding period designation for these transactions. Please consult with your tax advisor.

PS This transaction represents the sale of an interest in a Publicly Traded Partnership ("PTP") or an entity which, when acquired in your account, was a PTP. PTPs are not considered "covered securities" under the IRS regulations related to cost basis and your cost basis in these securities is not furnished to the IRS. In general, a partner's cost basis in a PTP is adjusted for allocations of income, deduction, gain and loss, and for contributions to and distributions by the PTP. Because Morgan Stanley is not notified by the PTP of these adjustments, it is not feasible to provide accurate cost basis information for PTPs or entities which were PTPs when acquired. Therefore, to prevent client confusion or misinterpretation, cost basis will not be displayed on Form 1099-B when these securities are sold. You should refer to your own records and the information provided by the PTP on your Schedule K-1, and consult with your own tax advisor, to determine your cost basis in this investment.

**MUTUAL FUND AND UIT STATE & FEDERAL TAX INFORMATION - INCOME SOURCE BREAKDOWN**

DESCRIPTION	ISHARES 20+	PIMCO
SYMBOL	TLT	PTY
CUSIP	464287432	72201B101
Alabama	0.00%	0.00%
Alaska	0.00%	0.00%
Arizona	0.00%	0.00%
Arkansas	0.00%	0.00%
California	0.00%	0.00%
Colorado	0.00%	0.00%
Connecticut	0.00%	0.00%
Delaware	0.00%	0.00%
District of Columbia	0.00%	0.00%
Florida	0.00%	0.00%
Georgia	0.00%	0.00%
Hawaii	0.00%	0.00%
Idaho	0.00%	0.00%
Illinois	0.00%	0.00%
Indiana	0.00%	0.00%
Iowa	0.00%	0.00%
Kansas	0.00%	0.00%
Kentucky	0.00%	0.00%
Louisiana	0.00%	0.00%
Maine	0.00%	0.00%
Maryland	0.00%	0.00%
Massachusetts	0.00%	0.00%
Michigan	0.00%	0.00%
Minnesota	0.00%	0.00%
Mississippi	0.00%	0.00%
Missouri	0.00%	0.00%
Montana	0.00%	0.00%
Nebraska	0.00%	0.00%
Nevada	0.00%	0.00%
New Hampshire	0.00%	0.00%

DESCRIPTION	ISHARES 20+	PIMCO
SYMBOL	TLT	PTY
CUSIP	464287432	72201B101
New Jersey	0.00%	0.00%
New Mexico	0.00%	0.00%
New York	0.00%	0.00%
North Carolina	0.00%	0.00%
North Dakota	0.00%	0.00%
Ohio	0.00%	0.00%
Oklahoma	0.00%	0.00%
Oregon	0.00%	0.00%
Pennsylvania	0.00%	0.00%
Rhode Island	0.00%	0.00%
South Carolina	0.00%	0.00%
South Dakota	0.00%	0.00%
Tennessee	0.00%	0.00%
Texas	0.00%	0.00%
Utah	0.00%	0.00%
Vermont	0.00%	0.00%
Virginia	0.00%	0.00%
Washington	0.00%	0.00%
West Virginia	0.00%	0.00%
Wisconsin	0.00%	0.00%
Wyoming	0.00%	0.00%
<b>U.S. Territories</b>		
American Samoa	0.00%	0.00%
Guam	0.00%	0.00%
Northern Mariana Islands	0.00%	0.00%
Puerto Rico	0.00%	0.00%
U.S. Virgin Islands	0.00%	0.00%
<b>US Federal Source Income</b>	<b>99.13%</b>	<b>1.19%</b>

The table above shows the percentages of each fund's income that was earned from sources within each state, U.S. territory or U.S. Federal obligation. Depending on the state and local tax laws that apply where you file your tax return, you may also be able to reduce the taxable income from the fund on your state tax return(s). Any income earned from U.S. federal obligations or obligations issued by U.S. territories is generally exempt for state purposes. However, some states have income source threshold limits that must be met for income from a fund to be considered state tax exempt. Please consult your tax advisor to determine the portion of the fund's income that is exempt in your state.



**SUPPLEMENTAL FOREIGN SECURITY TAX INFORMATION**

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**Foreign Dividend Income Breakdown**

This section displays a summary of your foreign dividends and the amount that are Qualified Dividends. This information is reported at the summary level on Form 1099-DIV in this Consolidated Tax Statement.

<u>DIVIDEND TYPE</u>	<u>ORDINARY DIVIDENDS</u>	<u>QUALIFIED DIVIDENDS</u>
Foreign Dividends	\$411.61	\$283.68

**Summary of Foreign Investments**

This section displays a summary of your foreign dividends and interest received, and the amount of foreign tax paid to each jurisdiction. This section may be useful when completing IRS Form 1116 (Foreign Tax Credit), if applicable. The dividend and interest transactions from which these amounts are derived are displayed in the 1099-DIV and 1099-INT detail sections of this Consolidated Tax Statement.

<u>COUNTRY</u>	<u>FOREIGN DIVIDENDS</u>	<u>FOREIGN INTEREST</u>	<u>TOTAL FOREIGN INCOME</u>	<u>FOREIGN TAX PAID ON DIVIDENDS</u>	<u>FOREIGN TAX PAID ON INTEREST</u>	<u>TOTAL FOREIGN TAX PAID</u>
VARIOUS	\$411.61	\$0.00	\$411.61	\$27.65	\$0.00	\$27.65
<b>Total Amounts</b>	<b>\$411.61</b>	<b>\$0.00</b>	<b>\$411.61</b>	<b>\$27.65</b>	<b>\$0.00</b>	<b>\$27.65</b>

**K-1 TAX REPORTING**

You held a Partnership or Alternative Investment in your account for all or part of Tax Year 2023.

A Schedule K-1 (Form 1065) or Form 1099, will be mailed to you directly from the issuer.

It is important that you do not file your tax return until you receive the proper tax form from the following partnership(s), assuming there was tax reportable activity during 2023:

**Publicly-Traded Partnership Distributions**

DESCRIPTION	CUSIP	SYMBOL	TOTAL DISTRIBUTIONS <sup>1</sup>	CLOSING POSITION AS OF 12/31/2023 <sup>2</sup>
BELPOINTE PREP LLC UNIT RP LB	080694102	OZ	\$0.00	1.000
PROSHARES TRUST II ULTRA VIX S	74347Y771	UVXY	\$0.00	41,201.000
PROSHARES ULTRASHORT BLOOMBE	74347Y797	SCO	\$0.00	1.000
<b>Total</b>			<b>\$0.00</b>	<b>41,203.000</b>

<sup>1</sup> Total Distributions represent the distributions you received in your Morgan Stanley account. Morgan Stanley is not required and does not report this information to the IRS. This is for informational purposes only and you must wait to receive Schedule K-1 (Form 1065) directly from each Partnership for your specific tax reporting information.

<sup>2</sup> Closing Position represents your year-end unit position for the Partnership held in your Morgan Stanley account as of 12/31/2023. The Partnership may send you an Ownership Schedule as part of your K-1 (Form 1065) statement, which may reflect your position across multiple accounts and financial institutions.

Tax form support phone numbers for many MLPs are available on these websites: [www.taxpackagesupport.com](http://www.taxpackagesupport.com) and [www.partnerdatalink.com](http://www.partnerdatalink.com). You may also contact your Financial Advisor or Private Wealth Advisor for information on your K-1s and/or Forms 1099.

**OPTIONS SUBJECT TO SECTION 1256 REPORTING**

**Realized 1256 Option Contracts**

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	OPENING POSITION	PROFIT OR (LOSS) REALIZED IN 2023 ON CLOSED CONTRACTS <sup>4</sup>	UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS 12/31/2022 <sup>5</sup>	AGGREGATE PROFIT OR (LOSS) ON CONTRACTS <sup>6</sup>
PUT FXI 09/29/23 26.000	10.000	09/29/2023	09/27/2023	Written	\$134.86	\$0.00	\$134.86
PUT UVXY 11/17/23 12.000	20.000	11/17/2023	11/13/2023	Written	(\$470.29)	\$0.00	(\$470.29)
PUT UVXY 11/17/23 12.000	20.000	11/17/2023	11/13/2023	Written	(\$430.29)	\$0.00	(\$430.29)
CALL TLT 10/13/23 87.000	6.000	10/13/2023	10/09/2023	Written	\$101.84	\$0.00	\$101.84
CALL TLT 10/20/23 87.500	6.000	10/20/2023	10/13/2023	Written	\$704.91	\$0.00	\$704.91
PUT KRE 09/29/23 41.000	4.000	09/29/2023	09/28/2023	Written	\$61.93	\$0.00	\$61.93
CALL FXI 11/17/23 27.000	2.000	11/17/2023	11/14/2023	Written	\$30.97	\$0.00	\$30.97
CALL HYG 11/17/23 74.500	1.000	11/17/2023	11/07/2023	Written	\$1.48	\$0.00	\$1.48
PUT UVXY 11/17/23 11.500	20.000	11/17/2023	11/14/2023	Written	(\$110.29)	\$0.00	(\$110.29)
PUT UVXY 11/17/23 11.500	30.000	11/17/2023	11/15/2023	Written	(\$165.42)	\$0.00	(\$165.42)
CALL TLT 11/03/23 87.000	7.000	11/03/2023	11/02/2023	Written	(\$108.61)	\$0.00	(\$108.61)
CALL UVXY 12/22/23 9.500	50.000	12/22/2023	12/20/2023	Written	\$2,774.28	\$0.00	\$2,774.28

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**OPTIONS SUBJECT TO SECTION 1256 REPORTING (continued)**

**Realized 1256 Option Contracts (continued)**

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	OPENING POSITION	PROFIT OR (LOSS)	UNREALIZED PROFIT OR	AGGREGATE
					REALIZED IN 2023 ON CLOSED CONTRACTS <sup>4</sup>	(LOSS) ON OPEN CONTRACTS 12/31/2022 <sup>5</sup>	PROFIT OR (LOSS) ON CONTRACTS <sup>6</sup>
CALL UVXY 12/22/23 9.500	100.000	12/22/2023	12/20/2023	Written	\$6,148.58	\$0.00	\$6,148.58
CALL ARKG 11/03/23 24.500	5.000	11/03/2023	11/02/2023	Written	\$22.42	\$0.00	\$22.42
CALL ARKK 11/03/23 38.500	3.000	11/03/2023	11/02/2023	Written	(\$22.55)	\$0.00	(\$22.55)
PUT FXI 09/22/23 27.000	10.000	09/22/2023	09/19/2023	Written	\$264.86	\$0.00	\$264.86
PUT TLT 10/27/23 83.000	6.000	10/26/2023	10/20/2023	Written	\$530.84	\$0.00	\$530.84
PUT TLT 10/27/23 83.000	6.000	10/26/2023	10/20/2023	Written	\$536.84	\$0.00	\$536.84
PUT TLT 10/13/23 85.000	7.000	10/13/2023	10/06/2023	Written	\$577.39	\$0.00	\$577.39
CALL UVXY 11/10/23 13.500	14.000	11/10/2023	11/09/2023	Written	\$272.80	\$0.00	\$272.80
PUT UVXY 11/10/23 13.000	10.000	11/10/2023	11/07/2023	Written	\$234.86	\$0.00	\$234.86
PUT UVXY 11/10/23 13.500	10.000	11/10/2023	11/03/2023	Written	\$104.86	\$0.00	\$104.86
CALL UVXY 12/15/23 9.000	2.000	12/15/2023	12/14/2023	Written	\$24.97	\$0.00	\$24.97
CALL UVXY 12/15/23 9.000	8.000	12/15/2023	12/14/2023	Written	\$107.88	\$0.00	\$107.88
PUT UVXY 11/10/23 12.500	4.000	11/10/2023	11/07/2023	Written	\$89.94	\$0.00	\$89.94
PUT UVXY 11/10/23 12.500	8.000	11/10/2023	11/07/2023	Written	\$123.88	\$0.00	\$123.88
CALL LABU 11/03/23 3.000	200.000	11/03/2023	11/02/2023	Written	(\$102.76)	\$0.00	(\$102.76)
CALL UVXY 12/22/23 9.000	50.000	12/22/2023	12/20/2023	Written	\$1,474.26	\$0.00	\$1,474.26
CALL UVXY 12/22/23 10.000	1.000	12/22/2023	12/20/2023	Written	\$32.48	\$0.00	\$32.48
CALL UVXY 12/22/23 10.000	3.000	12/22/2023	12/20/2023	Written	\$97.45	\$0.00	\$97.45
CALL UVXY 12/22/23 10.000	3.000	12/22/2023	12/20/2023	Written	\$97.45	\$0.00	\$97.45
CALL UVXY 12/22/23 10.000	3.000	12/22/2023	12/20/2023	Written	\$97.45	\$0.00	\$97.45
CALL UVXY 12/22/23 10.000	3.000	12/22/2023	12/20/2023	Written	\$97.45	\$0.00	\$97.45
CALL UVXY 12/22/23 10.000	3.000	12/22/2023	12/20/2023	Written	\$97.45	\$0.00	\$97.45
CALL UVXY 12/22/23 10.000	14.000	12/22/2023	12/20/2023	Written	\$454.80	\$0.00	\$454.80
CALL UVXY 12/22/23 10.000	50.000	12/22/2023	12/20/2023	Written	\$1,574.29	\$0.00	\$1,574.29
CALL UVXY 12/22/23 10.000	50.000	12/22/2023	12/20/2023	Written	\$1,424.29	\$0.00	\$1,424.29
PUT UVXY 12/29/23 9.000	2.000	12/29/2023	12/27/2023	Written	(\$21.03)	\$0.00	(\$21.03)
PUT UVXY 12/29/23 9.500	2.000	12/29/2023	12/22/2023	Written	(\$151.03)	\$0.00	(\$151.03)
PUT UVXY 11/24/23 11.000	2.000	11/24/2023	11/20/2023	Written	\$10.97	\$0.00	\$10.97
PUT UVXY 11/24/23 11.000	18.000	11/24/2023	11/20/2023	Written	\$116.75	\$0.00	\$116.75
PUT YINN 12/29/23 18.500	2.000	12/29/2023	12/22/2023	Written	\$148.97	\$0.00	\$148.97
PUT LABU 10/20/23 3.000	1.000	10/13/2023	10/18/2023	Purchased	(\$5.03)	\$0.00	(\$5.03)
PUT LABU 10/20/23 3.000	4.000	10/13/2023	10/18/2023	Purchased	(\$20.11)	\$0.00	(\$20.11)
PUT TLT 10/20/23 86.000	2.000	10/20/2023	10/16/2023	Written	(\$396.05)	\$0.00	(\$396.05)
PUT TLT 10/20/23 86.000	4.000	10/20/2023	10/16/2023	Written	(\$780.12)	\$0.00	(\$780.12)
PUT SDIV 10/20/23 21.000	2.000	10/20/2023	10/02/2023	Written	(\$45.03)	\$0.00	(\$45.03)
CALL WCLD 11/17/23 29.000	4.000	11/17/2023	11/03/2023	Written	(\$179.79)	\$0.00	(\$179.79)
PUT SLV 10/06/23 19.000	1.000	10/06/2023	10/05/2023	Written	\$10.48	\$0.00	\$10.48
PUT SLV 10/06/23 19.000	9.000	10/06/2023	10/05/2023	Written	\$103.37	\$0.00	\$103.37
PUT TLT 10/06/23 85.000	3.000	10/06/2023	10/03/2023	Written	\$178.45	\$0.00	\$178.45
PUT UVXY 11/03/23 14.000	3.000	11/03/2023	11/02/2023	Written	(\$31.55)	\$0.00	(\$31.55)

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**OPTIONS SUBJECT TO SECTION 1256 REPORTING (continued)**

**Realized 1256 Option Contracts (continued)**

<b>DESCRIPTION</b>	<b>QUANTITY</b>	<b>DATE ACQUIRED</b>	<b>DATE SOLD</b>	<b>OPENING POSITION</b>	<b>PROFIT OR (LOSS) REALIZED IN 2023 ON CLOSED CONTRACTS<sup>4</sup></b>	<b>UNREALIZED PROFIT OR (LOSS) ON OPEN CONTRACTS 12/31/2022<sup>5</sup></b>	<b>AGGREGATE PROFIT OR (LOSS) ON CONTRACTS<sup>6</sup></b>
PUT UVXY 11/03/23 14.000	5.000	11/03/2023	11/02/2023	Written	(\$12.58)	\$0.00	(\$12.58)
PUT UVXY 11/03/23 14.000	5.000	11/03/2023	11/02/2023	Written	(\$7.58)	\$0.00	(\$7.58)
<b>Total Realized Amounts</b>					<b>\$15,806.64</b>	<b>\$0.00</b>	<b>\$15,806.64</b>

<sup>4</sup>The values displayed in the Profit or (Loss) Realized in 2023 On Closed Contracts column represent the realized gain or loss for any option contract that is subject to the Section 1256 reporting rules and that was closed as of December 31, 2023. The total value in this column, combined with the gain and loss from any other Section 1256 contracts held in this account, will be reported on Form 1099-B in box 8, Profit or (loss) realized in 2023 on closed contracts.

<sup>5</sup>The values displayed in the Unrealized Profit Or (Loss) On Open Contracts 12/31/2022 column represent the unrealized gain or loss as of December 31, 2022 for any option contract that is subject to the Section 1256 reporting rules and that was open as of December 31, 2022. The total value in this column, combined with the prior year unrealized gain and loss from any other Section 1256 contracts held in this account, will be reported on Form 1099-B in box 9, Unrealized profit or (loss) on open contracts 12/31/2022.

<sup>6</sup>The values displayed in the Aggregate Profit or (Loss) On Contracts column represent the aggregate taxable gain or loss for any option contract that is subject to the Section 1256 reporting rules and that was closed as of December 31, 2023. The total value in this column, combined with the aggregate gain and loss from any other Section 1256 contracts held in this account, will be reported on Form 1099-B in box 11, Aggregate profit or (loss) on contracts.

**FEES AND EXPENSES**

**Fees**

<b>DATE</b>	<b>ACTIVITY</b>	<b>DESCRIPTION</b>	<b>AMOUNT</b>
12/04/23	Charge	DIREXION SHS ETF DAILY S&P BIO	\$(38.00)
12/05/23	Charge	WIRED FUNDS FEE	\$38.00
<b>Total Fees</b>			<b>\$0.00</b>

**Consult your tax advisor regarding whether these fees are deductible in your circumstances. If you received any advisory fee rebates, consult your tax advisor regarding the tax consequences to you of receiving those rebates, including whether they are taxable income to you and the effect, if any, of fees charged to you in other accounts with Morgan Stanley.**

**Margin interest information is provided for informational purposes only. To review details of interest charged, please refer to the Margin Loan Schedule on your monthly account statements.**