Page 1 of 2

VANGUARD FIDUCIARY TRUST COMPANY PO Box 3007 Monroe, WI 53566-8307

## Important tax return document enclosed

HARISH KUMAR GUDIPATI 28323 N. 131ST DR. PEORIA, AZ 85383-2264

PAYER'S Name, Street Address, City, Sta	ate. and ZIP co	ode		1 Gross distrib	ution		2a Taxable	e amount	OMB No. 1545-0119
VANGUARD FIDUCIARY TRUST CO. P.O. BOX 1101				\$ 35,813.54			\$ 0.00		2023 Form 1099-R:
VALLEY FORGE PA 19482				2b Taxable am not determine			Total distribution	n X	Distributions From Pensions.
				3 Capital gain (included in \$ 0.00	Box 2a)	4	Federal withheld \$ 0.00	income tax d	Annuities, Retirement or
RECIPIENT'S Name and Address HARISH KUMAR GUDIPATI 28323 N. 131ST DR.				5 Employee of Designated contribution premiums \$ 0.00	Roth		6 Net unrea apprecia employe \$ 0.00		Profit-Sharing Plans, IRAs, Insurance Contracts, etc.
PEORIA, AZ 85383-2264				7 Distribution code(s)	IRA/ SEP/ SIMPLE	8 Oth	er	%	COPY 1
				9a Your perce total distrib		Ť		Il employee ributions	File this copy with your state, city, or local income tax return.
Plan Name SVB FINANCIAL GROUP 401(K) AND EMI	PLOYEE STOC	K OWNERSHIP PI	AN	0 %			\$ 0.0		when required.
Customer service phone number   10 Amount allocable		11 1st year of desig. Roth	12 FATCA filing	14 State tax w	ithheld		15 State state	e/Payer's e no.	16 State distribution
1-800-523-1188 \$ 0.00	,	contrib.	requirement $\Box$	\$ 0.00			AZ 0	7-464291-K	\$ 0.00
PAYER'S Federal ID # RECIPIENT'S ID #	Account Numbe	r (see instructions)	13 Date of	17 Local tax w	ithheld		18 Name	e of locality	19 Local distribution
23-2186884 XXX-XX-5273	092924 20	023 0000550041	payment	\$ 0.00					\$ 0.00
FORM <b>1099-R</b>		www.irs.gov/form	1099r	•		Dep	partment of	the Treasury -	nternal Revenue Service

OMB No. 1545-0119 PAYER'S Name, Street Address, City, State, and ZIP code 1 Gross distribution 2a Taxable amount VANGUARD FIDUCIARY TRUST COMPANY 2023 \$ 35,813.54 \$ 0.00 P.O. BOX 1101 Form 1099-R: 2b Taxable amount Total distribution VALLEY FORGE PA 19482 Distributions Χ not determined From Pensions, 3 Capital gain (included in Box 2a) Annuities, Retirement or Federal income tax withheld Profit-Sharing \$ 0.00 \$ 0.00 Plans, IRAs, Employee contributions/ Designated Roth contributions or insurance premiums Net unrealized appreciation in employer's securities Insurance Contracts, etc. RECIPIENT'S Name and Address This information is being furnished to the Internal Revenue Service HARISH KUMAR GUDIPATI \$ 0.00 \$ 0.00 28323 N. 131ST DR. IRA/ SEP/ SIMPLE Distribution code(s) 8 Other PEORIA, AZ 85383-2264 **COPY C** % \$ For 9a Your percentage of total distribution 9b Total employee Recipient's contributions Records 0 % \$ 0.00 Plan Name SVB FINANCIAL GROUP 401(K) AND EMPLOYEE STOCK OWNERSHIP PLAN 14 State tax withheld 15 State/Payer's state no. 16 State distribution Customer service phone number | 10 Amount allocable to IRR within 5 years 11 1st year of desig. Roth contrib. AZ 07-464291-K \$ 0.00 \$ 0.00 1-800-523-1188 \$ 0.00 PAYER'S Federal ID # RECIPIENT'S ID # 17 Local tax withheld 19 Local distribution Account Number (see instructions) 18 Name of locality 13 Date of payment 23-2186884 XXX-XX-5273 092924 2023 0000550041 \$ 0.00 \$ 0.00

### Instructions for Recipient

Generally, distributions from retirement plans (IRAs, qualified plans, section 403(b) plans, and governmental section 457(b) plans), insurance contracts, etc., are reported to recipients on Form 1099-R.

Qualified plans and section 403(b) plans. If your annuity starting date is after 1997, you must use the simplified method to figure your taxable amount if your payer didn't show the taxable amount in box 2a. See the instructions for your tax return.

IRAs. For distributions from a traditional individual retirement arrangement (IRA), simplified employee pension (SEP), or savings incentive match plan for employees (SIMPLE), generally the payer isn't required to compute the taxable amount. See the instructions for your tax return to determine the taxable amount. If you're at least age 72, you must take minimum distributions from your IRA (other than a Roth IRA). If you don't, you're subject to a 50% excise tax on the amount that should've been distributed. See Pub. 590-A and Pub. 590-B for more information on IRAs.

Roth IRAs. For distributions from a Roth IRA, generally the payer isn't required to compute the taxable amount. You must compute any taxable amount on Form 8606. An amount shown in box 2a may be taxable earnings on an excess contribution.

Loans treated as distributions. If you borrow money from a qualified plan, section 403(b) plan, or governmental section 457(b) plan, you may have to treat the loan as a distribution and include all or part of the amount borrowed in your income. There are exceptions to this rule. If your loan is taxable, code L will be shown in box 7. See Pub. 575.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the payer has reported your complete TIN to the IRS.

Account number. May show an account, policy, or other unique number the payer assigned to distinguish your account.

Box 1. Shows the total amount distributed this year. The amount may have been a direct rollover, a transfer or conversion to a Roth IRA, a recharacterized IRA contribution; or you may have received it as periodic payments, nonperiodic payments, or a total distribution. Report the amount on Form 1040, 1040-SR, or 1040-NR on the line for "IRA distributions" or "Pensions and amunities" (or the line for "Taxable amount") and on Form 8606, as applicable. However, if this is a lump-sum distribution, see Form 4972. If you haven't reached minimum retirement age, report your disability payments on the line for "Wages, salaries, tips, etc." on your tax return. Also report on that line permissible withdrawals from eligible automatic contribution arrangements and corrective distributions of excess deferrals, excess contributions, or excess aggregate contributions except if the distribution is of designated Roth contributions or your after-tax contributions or if you're self-employed.

If a life insurance, annuity, qualified long-term care, or endowment contract was transferred tax free to another trustee or contract issuer, an amount will be shown in this box and code 6 will be shown in box 7. If a charge or payment was made against the cash value of an annuity contract or the cash surrender value of a life insurance contract for the purchase of qualified long-term care insurance, an amount will be shown in this box and code W will be shown in box 7. You need not report these amounts on your tax return. If code C is shown in box 7, the amount shown in box 1 is a receipt of reportable death benefits that is taxable in part.

Box 2a. This part of the distribution is generally taxable. If there is no entry in this box, the payer may not have all the facts needed to figure the taxable amount. In that case, the first box in box 2b should be checked. You may want to get one of the free publications from the IRS to help you figure the taxable amount. See Additional information on the back of Copy 2. For an IRA distribution, see IRAs and Roth IRAs, earlier. For a direct rollover, other than from a qualified plan, section 403(b) plan, or governmental section 457(b) plan to a designated Roth account in the same plan or to a Roth IRA, zero should be shown and you must enter zero (-0-) on the "Taxable amount" line of your tax return. If you roll over a distribution (other than a distribution from a designated Roth account) from a qualified plan, section 403(b) plan, or governmental section 457(b) plan to a designated Roth account in the same plan or to a Roth IRA, you must include on the "Taxable amount" line of your tax return the amount shown in this box plus the amount in box 6, if any.

If this is a total distribution from a qualified plan and you were born before January 2, 1936 (or you're the beneficiary of someone born before January 2, 1936), you may be eligible for the 10-year tax option. See the Form 4972 instructions for more information.

If you're an eligible retired public safety officer who elected to exclude from income distributions from your eligible plan used to pay certain insurance premiums, the amount shown in box 2a hasn't been reduced by the exclusion amount. See the instructions for your tax return for more information.

Box 2b. If the first box is checked, the payer was unable to determine the taxable amount and box 2a should be blank, except for an IRA. It's your responsibility to determine the taxable amount. If the second box is checked, the distribution was a total distribution that closed out your account.

Box 3. If you received a lump-sum distribution from a qualified plan and were born before January 2, 1936 (or you're the beneficiary of someone born before January 2, 1936), you may be able to elect to treat this amount as a capital gain on Form 4972 (not on Schedule D (Form 1040)). See the Form 4972 instructions. For a charitable gift annuity, report as a long-term capital gain as explained in the Instructions for Form 8949.

Box 4. Shows federal income tax withheld. Include this amount on your income tax return as tax withheld, and if box 4 shows an amount (other than zero), attach Copy B to your return. Generally, if you receive payments that aren't eligible rollover distributions, you can change your withholding or elect not to have income tax withheld by giving the payer Form W-4P. Box 5. Generally, this shows the employee's investment in the contract (after-tax contributions), if any, recovered tax free this year; the portion that's your basis in a designated Roth account; the part of premiums paid on commercial annuities or insurance contracts recovered tax free; the nontaxable part of a charitable gift annuity; or the investment in a life insurance contract reportable under section 6050Y. This box doesn't show any IRA contributions. If the amount shown is your basis in a designated Roth account, the year you first made contributions to that account may be entered in box 11.

Box 6. If you received a lump-sum distribution from a qualified plan that includes securities of the employer's company, the net unrealized appreciation (NUA) (any increase in value of such securities while in the trust) is taxed only when you sell the securities unless you choose to include it in your gross income this year. See Pub. 575 and Form 4972. If you roll over the distribution to a designated Roth account in the same plan or to a Roth IRA, see the instructions for box 2a. For a direct rollover to a designated Roth account in the same plan or to a Roth IRA, the NUA is included in box 2a. If you didn't receive a lump-sum distribution, the amount shown is the NUA attributable to employee contributions, which isn't taxed until you sell the securities

Box 7. The following codes identify the distribution you received. For more information on these distributions, see the instructions for your tax return. Also, certain distributions may be subject to an additional 10% tax. See the Instructions for Form 5329. 1—Early distribution, no known exception (in most cases, under age 59½). 2—Early distribution, exception applies (under age 59½). 3—Disability. 4—Death. 5—Prohibited transaction. 6—Section 1035 exchange (a tax-free exchange of life insurance, annuity, qualified long-term care insurance, or endowment contracts). 7—Normal distribution. 8—Excess contributions plus earnings/excess deferrals (and/or earnings) taxable in 2023. 9—Cost of current life insurance protection. A—May be eligible for 10-year tax option (see Form 4972). B—Designated Roth account distribution. Note: If code B is in box 7 and an amount is reported in box 11, see the Instructions for Form 5329. C—Reportable death benefits under section 6050Y. D—Annuity payments from nonqualified annuities that may be subject to tax under section 1411. E—Distributions under Employee Plans Compliance Resolution System (EPCRS). F—Charitable gift annuity. G—Direct rollover of a distribution to a qualified plan, a section 403(b) plan, a governmental section 457(b) plan, or an IRA. H—Direct rollover of a designated Roth account distribution to a Roth IRA. J—Early distribution from a Roth IRA, no known exception (in most cases, under age 59½). K—Distribution of traditional IRA assets not having a readily available FMV. L—Loans treated as distributions. M—Qualified plan loan offset. N—Recharacterized IRA contribution made for 2023 and recharacterized in 2023. P—Excess contributions plus earnings/excess deferrals (and/or earnings) taxable in 2022. Q—Qualified distribution from a Roth IRA. R—Recharacterized IRA contribution made for 2023 and recharacterized in 2023. S—Early distribution from a SIMPLE IRA in first 2 years, no known exception (under age 59½). T—Roth IRA distribution, exception applies. U—Dividend distri

If the IRA/SEP/SIMPLE box is checked, you've received a traditional IRA, SEP, or SIMPLE distribution.

Box 8. If you received an annuity contract as part of a distribution, the value of the contract is shown. It isn't taxable when you receive it and shouldn't be included in boxes 1 and 2a. When you receive periodic payments from the annuity contract, they're taxable at that time. If the distribution is made to more than one person, the percentage of the annuity contract distributed to you is also shown. You'll need this information if you use the 10-year tax option (Form 4972). If charges were made for qualified long-term care insurance contracts under combined arrangements, the amount of the reduction in the investment (but not below zero) in the annuity or life insurance contract is reported here.

Box 9a. If a total distribution was made to more than one person, the percentage you received is shown.

Box 9b. For a life annuity from a qualified plan or from a section 403(b) plan (with after-tax contributions), an amount may be shown for the employee's total investment in the contract. It is used to compute the taxable part of the distribution. See Pub. 575.

Box 10. If an amount is reported in this box, see the Instructions for Form 5329 and Pub. 575.

Box 11. The first year you made a contribution to the designated Roth account reported on this form is shown in this box.

Box 12. If checked, the payer is reporting on this Form 1099 to satisfy its Internal Revenue Code chapter 4 account reporting requirement under FATCA. You may also have a filing requirement. See the Instructions for Form 8938.

Box 13. Shows the date of payment for reportable death benefits under section 6050Y.

Boxes 14-19. If state or local income tax was withheld from the distribution, boxes 16 and 19 may show the part of the distribution subject to state and/or local tax.

# Additional information. You may want to see: Form W-4P, Form 4972, Form 5329, Form 8606

Pub. 525, Taxable and Nontaxable Income Pub. 560, Retirement Plans for Small Business Pub. 571, Tax-Sheltered Annuity Plans Pub. 575, Pension and Annuity Income Pub. 590-A, Contributions to IRAs Pub. 590-B, Distributions from IRAs Pub. 721, U.S. Civil Service Retirement Benefits Pub. 939, General Rule for Pensions and Annuities Pub. 969, HSAs and Other Tax-Favored Health Plans

Distributions From Pensions, Annuities, Retirement or Profit-Sharing plans, IRAs, Insurance Contracts, etc.

202 Startibution ALLEY FORGE PA 19482  203 ALLEY FORGE PA 19482  204 Taxable amount of determined solutions/ Distribution Solutions (included in Box 2a) appreciation in employer's securities premiums \$0.00  5 Employee contributions/ Designated Roth contributions or insurance premiums \$0.00  5 Employee contributions or insurance appreciation in employer's securities premiums \$0.00  7 Distribution Retirement Profit-Shari Plans, IRV Code(s) SIMPLE G 9 %  File this converted to lad distribution of total distribution and total distribution of total distribution solutions or insurance premiums \$0.00  7 Distribution Return R	PAYER'S Name, Street Address, City, State, and ZIP code VANGUARD FIDUCIARY TRUST COMPANY  P. O. BOY 1101				1 Gross distribution \$ 35,813.54			2a Taxable amount \$ 0.00		OMB No. 1545-0119		
2b Taxable amount   1 Total distribution   X distributi										2023		
Annuiting Retirement (included in Box 2a) \$ 0.00 \$	ALLEY FORGE	PA 1948	32								n X	Form 1099-R Distribution
ECIPIENT'S Name and Address [ARISH KUMAR GUDIPATI 8323 N. 131ST DR. EORIA, AZ 85383-2264]  Plans, IRA (SEORIA, AZ 85383-2264)  Plans, IRA (Insurance premiums \$0.00							(included ir	n n Box 2a)	4	withhel		From Pensions Annuities Retirement of
Plan Name SVB FINANCIAL GROUP 401(K) AND EMPLOYEE STOCK OWNERSHIP PLAN  Customer service phone number   10 Amount allocable to IRR within 5 years   11 1st year of desig. Roth   12 FATCA filing requirement   10 Amount allocable to IRR within 5 years   11 1st year of desig. Roth   12 FATCA filing requirement   10 Amount allocable to IRR within 5 years   11 1st year of desig. Roth   12 FATCA filing requirement   10 Amount allocable to IRR within 5 years   11 1st year of desig. Roth   12 FATCA filing requirement   10 Amount allocable to IRR within 5 years   11 1st year of desig. Roth   12 FATCA filing requirement   15 State/Payer's state no.   AZ 07-464291-K   \$0.00	RECIPIENT'S Name and Address HARISH KUMAR GUDIPATI				Designated Roth contributions or insurance premiums			appreciation in employer's securities		Plans, IRAs Insuranc		
COPY  G  SEP/SIMPLE  9a Your percentage of total distribution 0 %  9b Total employee contributions 10 %  File this cop with your stat city, or loc income to return when require  SVB FINANCIAL GROUP 401(K) AND EMPLOYEE STOCK OWNERSHIP PLAN  Customer service phone number 10 Amount allocable to IRR within 5 years 11 1st year of desig. Roth requirement 1-800-523-1188  \$ 0.00  PAYER'S Federal ID # RECIPIENT'S ID # Account Number (see instructions) 23-2186884  XXX-XX-5273  Q92924 2023 Q000550041  ACCOMPANY  CODE(S  9a Your percentage of total employee contribution 0 %  \$ 0.00  \$ 0.00  AZ 0.00  State/Payer's state no. AZ 07-464291-K  \$ 0.00  \$ 0.00  PAYER'S Federal ID # RECIPIENT'S ID # Account Number (see instructions) 23-2186884  XXX-XX-5273  Q92924 2023 Q000550041  ACCOMPANY  OMB No. 1545-01  CAYER'S Name, Street Address, City, State, and ZIP code  AYER'S Name, Street Address, City, State, and ZIP code  AYER'S Name, Street Address, City, State, and ZIP code  AYER'S Name, Street Address, City, State, and ZIP code  AYER'S Name, Street Address, City, State, and ZIP code							* ****	JIDA/	0.045			Contracts, et
With your state city, or local distribution with your state city, or local distribution of total distribution	PEORIA, AZ	85383-22	264					SÉP/ SIMPLE	8 Oth	ər		COPY
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Plan Name SVB FINANCIAL GROUP 401(K) AND EMPLOYEE STOCK OWNERSHIP PLAN Customer service phone number   10 Amount allocable to IRR within 5 years   11 1st year of desig. Roth   12 FATCA filling requirement   10 Amount allocable to IRR within 5 years   11 1st year of desig. Roth   12 FATCA filling requirement   4 State tax withheld   5 O.00   AZ 07-464291-K   \$0.00   PAYER'S Federal ID #   RECIPIENT'S ID #   Account Number (see instructions)   13 Date of payment   17 Local tax withheld   \$0.00   \$0.00    PAYER'S Federal ID #   RECIPIENT'S ID #   Account Number (see instructions)   13 Date of payment   \$0.00   \$0.00    PAYER'S Name, Street Address, City, State, and ZIP code   1 Gross distribution   2a Taxable amount   OMB No. 1545-01											city, or loca income ta	
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1-800-523-1188 \$ 0.00  PAYER'S Federal ID # RECIPIENT'S ID # Account Number (see instructions)	· ,								15 State/Payer's		16 State distribution	
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23-2186884 XXX-XX-52/3 092924 2023 0000550041 \$0.00 \$0.00 \$0.00 \$0.00  ORM 1099-R www.irs.gov/form1099r Department of the Treasury - Internal Revenue Se  AYER'S Name, Street Address, City, State, and ZIP code  ANGUARD, ETDICTARY TRUST COMPANY  ANGUARD, ETDICTARY TRUST COMPANY  203	PAYER'S Federal ID#	RECIPIENT'S II	D#	Account Numbe	r (see instructions)		17 Local tax v	vithheld		18 Nam	ne of locality	19 Local distributio
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2023 \$ 0.00 \$ 35,813.54 P.O. BOX 1101 Form 1099-R: 2b Taxable amount not determined Total distribution VALLEY FORGE PA 19482 Distributions Χ From Pensions, Annuities, 3 Capital gain (included in Box 2a) Federal income tax withheld Retirement or **Profit-Sharing** \$ 0.00 \$ 0.00 Plans, IRAS, Employee contributions/ Designated Roth contributions or insurance premiums Net unrealized appreciation in employer's securities Insurance Contracts, etc. RECIPIENT'S Name and Address This information is being furnished to the Internal Revenue Service HARISH KUMAR GUDIPATI \$ 0.00 \$ 0.00 28323 N. 131ST DR. IRA/ SEP/ SIMPLE Distribution code(s) 8 Other PEORIA, AZ 85383-2264 **COPY B** % Report this income on \$ your federal tax return. It this form shows federal 9a Your percentage of total distribution 9b Total employee income tax withheld in contributions box 4, attach this copy to your return. 0 % \$ 0.00 Plan Name SVB FINANCIAL GROUP 401(K) AND EMPLOYEE STOCK OWNERSHIP PLAN 14 State tax withheld 15 State/Payer's state no. 16 State distribution Customer service phone number | 10 Amount allocable to IRR within 5 years 11 1st year of desig. Roth contrib. AZ 07-464291-K \$ 0.00 \$ 0.00 1-800-523-1188 \$ 0.00 PAYER'S Federal ID # RECIPIENT'S ID # 13 Date of payment 17 Local tax withheld 18 Name of locality 19 Local distribution Account Number (see instructions) 092924 2023 0000550041 23-2186884 XXX-XX-5273 \$ 0.00 \$ 0.00

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Box 3. If you received a lump-sum distribution from a qualified plan and were born before January 2, 1936 (or you're the beneficiary of someone born before January 2, 1936), you may be able to elect to treat this amount as a capital gain on Form 4972 (not on Schedule D (Form 1040)). See the Form 4972 instructions. For a charitable gift annuity, report as a long-term capital gain as explained in the Instructions for Form 8949.

Box 4. Shows federal income tax withheld. Include this amount on your income tax return as tax withheld, and if box 4 shows an amount (other than zero), attach Copy B to your return. Generally, if you receive payments that aren't eligible rollover distributions, you can change your withholding or elect not to have income tax withheld by giving the payer Form W-4P. Box 5. Generally, this shows the employee's investment in the contract (after-tax contributions), if any, recovered tax free this year; the portion that's your basis in a designated Roth account; the part of premiums paid on commercial annuities or insurance contracts recovered tax free; the nontaxable part of a charitable gift annuity; or the investment in a life insurance contract reportable under section 6050Y. This box doesn't show any IRA contributions. If the amount shown is your basis in a designated Roth account, the year you first made contributions to that account may be entered in box 11.

Box 6. If you received a lump-sum distribution from a qualified plan that includes securities of the employer's company, the net unrealized appreciation (NUA) (any increase in value of such securities while in the trust) is taxed only when you sell the securities unless you choose to include it in your gross income this year. See Pub. 575 and Form 4972. If you roll over the distribution to a designated Roth account in the same plan or to a Roth IRA, see the instructions for box 2a. For a direct rollover to a designated Roth account in the same plan or to a Roth IRA, the NUA is included in box 2a. If you didn't receive a lump-sum distribution, the amount shown is the NUA attributable to employee contributions, which isn't taxed until you sell the securities

Box 7. The following codes identify the distribution you received. For more information on these distributions, see the instructions for your tax return. Also, certain distributions may be subject to an additional 10% tax. See the Instructions for Form 5329. 1—Early distribution, no known exception (in most cases, under age 59½). 2—Early distribution, exception applies (under age 59½). 3—Disability. 4—Death. 5—Prohibited transaction. 6—Section 1035 exchange (a tax-free exchange of life insurance, annuity, qualified long-term care insurance, or endowment contracts). 7—Normal distribution. 8—Excess contributions plus earnings/excess deferrals (and/or earnings) taxable in 2023. 9—Cost of current life insurance protection. A—May be eligible for 10-year tax option (see Form 4972). B—Designated Roth account distribution. Note: If code B is in box 7 and an amount is reported in box 11, see the Instructions for Form 5329. C—Reportable death benefits under section 6050Y. D—Annuity payments from nonqualified annuities that may be subject to tax under section 1411. E—Distributions under Employee Plans Compliance Resolution System (EPCRS). F—Charitable gift annuity. G—Direct rollover of a distribution to a qualified plan, a section 403(b) plan, a governmental section 457(b) plan, or an IRA. H—Direct rollover of a designated Roth account distribution to a Roth IRA. J—Early distribution from a Roth IRA, no known exception (in most cases, under age 59½). K—Distribution of traditional IRA assets not having a readily available FMV. L—Loans treated as distributions. M—Qualified plan loan offset. N—Recharacterized IRA contribution made for 2023 and recharacterized in 2023. P—Excess contributions plus earnings/excess deferrals (and/or earnings) taxable in 2022. Q—Qualified distribution from a Roth IRA. R—Recharacterized IRA contribution made for 2023 and recharacterized in 2023. S—Early distribution from a SIMPLE IRA in first 2 years, no known exception (under age 59½). T—Roth IRA distribution, exception applies. U—Dividend distri

If the IRA/SEP/SIMPLE box is checked, you've received a traditional IRA, SEP, or SIMPLE distribution.

Box 8. If you received an annuity contract as part of a distribution, the value of the contract is shown. It isn't taxable when you receive it and shouldn't be included in boxes 1 and 2a. When you receive periodic payments from the annuity contract, they're taxable at that time. If the distribution is made to more than one person, the percentage of the annuity contract distributed to you is also shown. You'll need this information if you use the 10-year tax option (Form 4972). If charges were made for qualified long-term care insurance contracts under combined arrangements, the amount of the reduction in the investment (but not below zero) in the annuity or life insurance contract is reported here.

Box 9a. If a total distribution was made to more than one person, the percentage you received is shown.

Box 9b. For a life annuity from a qualified plan or from a section 403(b) plan (with after-tax contributions), an amount may be shown for the employee's total investment in the contract. It is used to compute the taxable part of the distribution. See Pub. 575.

Box 10. If an amount is reported in this box, see the Instructions for Form 5329 and Pub. 575.

Box 11. The first year you made a contribution to the designated Roth account reported on this form is shown in this box.

Box 12. If checked, the payer is reporting on this Form 1099 to satisfy its Internal Revenue Code chapter 4 account reporting requirement under FATCA. You may also have a filing requirement. See the Instructions for Form 8938.

Box 13. Shows the date of payment for reportable death benefits under section 6050Y.

Boxes 14-19. If state or local income tax was withheld from the distribution, boxes 16 and 19 may show the part of the distribution subject to state and/or local tax.

# Additional information. You may want to see: Form W-4P, Form 4972, Form 5329, Form 8606

Pub. 525, Taxable and Nontaxable Income Pub. 560, Retirement Plans for Small Business Pub. 571, Tax-Sheltered Annuity Plans Pub. 575, Pension and Annuity Income Pub. 590-A, Contributions to IRAs Pub. 590-B, Distributions from IRAs Pub. 721, U.S. Civil Service Retirement Benefits Pub. 939, General Rule for Pensions and Annuities Pub. 969, HSAs and Other Tax-Favored Health Plans