



Schwab One® Account of

SRUTHI TATINENI

Account Number  
7127-5688

Statement Period  
December 1-31, 2023

### Account Summary

	Ending Account Value as of 12/31	Beginning Account Value as of 12/01	Total Value Change (\$)
	<b>\$10,198.31</b>	<b>\$6,308.79</b>	<b>\$3,889.52</b>

  

	This Statement	Previous Statement	Change
Beginning Value	<b>\$6,308.79</b>	\$0.00	\$6,308.79
Deposits	<b>7,000.00</b>	6,100.00	900.00
Withdrawals	<b>0.00</b>	0.00	0.00
Dividends and Interest	<b>2.68</b>	0.32	2.36
Transfer of Securities(In/Out)	<b>0.00</b>	0.00	0.00
Market Value Change	<b>(3,113.16)</b>	208.47	(3,321.63)
Fees	<b>0.00</b>	0.00	0.00
<b>Ending Value</b>	<b>\$10,198.31</b>	<b>\$6,308.79</b>	<b>\$3,889.52</b>

### Manage Your Account

#### Customer Service and Trading:

Call your Schwab Representative  
1-800-435-4000  
24/7 Customer Service

For the most current records on your account  
visit [schwab.com/login](https://schwab.com/login). Statements are  
archived up to 10 years online.

### Commitment to Transparency

Client Relationship Summaries and Best Interest  
disclosures are at [schwab.com/transparency](https://schwab.com/transparency).  
Charles Schwab & Co., Inc. Member SIPC.

### Online Assistance

 Visit us online at [schwab.com](https://schwab.com)

Visit [schwab.com/stmt](https://schwab.com/stmt) to explore the features  
and benefits of this statement.

SRUTHI TATINENI  
832 CHALKSTONE LN  
MOUNT JULIET TN 37122



## A Message About Your Account

**CALIFORNIA RESIDENTS**

If your total payments of interest and interest dividends on federally tax-exempt non-California municipal bonds were \$10 or greater **and** you or your Partnership had a California address as of 12/31, Schwab will report this information to the California Franchise Tax Board each tax year, per state statute. 1223-3LZ0

## Positions - Summary

Beginning Value as of 12/01	+	Transfer of Securities(In/Out)	+	Dividends Reinvested	+	Cash Activity	+	Change in Market Value	=	Ending Value as of 12/31
\$6,308.79		\$0.00		\$0.00		\$7,002.68		(\$3,113.16)		\$10,198.31

## Cash and Cash Investments

Type	Symbol	Description	Quantity	Price(\$)	Beginning Balance(\$)	Ending Balance(\$)	Change in Period Balance(\$)	Pending/Unsettled Cash(\$)	Interest/ Yield Rate
Cash					6,246.67	6,293.31	46.64	0.00	
<b>Total Cash and Cash Investments</b>					<b>\$6,246.67</b>	<b>\$6,293.31</b>	<b>\$46.64</b>		

## Positions

Security Type	Symbol	Description	Quantity	Price(\$)	Market Value(\$)
Equities	CCCC	C4 THERAPEUTICS INC <sup>◇</sup>	100.0000	5.65000	565.00
	KTTA	PASITHEA THERAPEUTICS CO	2,000.0000	0.37000	740.00
	RMCO	ROYALTY MGMT HLDG CORP <sup>◇</sup>	1,000.0000	1.70000	1,700.00
	ZVSA	ZYVERSA THERAPEUTICS INC	1,000.0000	0.90000	900.00
<b>Total Positions</b>					<b>\$3,905.00</b>



## Transactions - Summary

Beginning Cash* as of 12/01	+	Deposits	+	Withdrawals	+	Purchases	+	Sales/Redemptions	+	Dividends/Interest	+	Fees	=	Ending Cash* as of 12/31
\$6,246.67		\$7,000.00		\$0.00		(\$68,634.00)		\$61,677.96		\$2.68		\$0.00		\$6,293.31

Other Activity **\$0.00**

Other activity includes transactions which don't affect the cash balance such as stock transfers, splits, etc.

\*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.

## Transaction Details

Date	Category	Action	Symbol/ CUSIP	Description	Quantity	Price/Rate per Share(\$)	Charges/ Interest(\$)	Amount(\$)
12/01	Sale		VVOS	VIVOS THERAPEUTICS INC Exchange Processing Fee \$0.02	(100.0000)	13.0000	0.02	1,299.98
	Sale		VVOS	VIVOS THERAPEUTICS INC Exchange Processing Fee \$0.05	(150.0000)	26.7000	0.05	4,004.95
	Purchase		VVOS	VIVOS THERAPEUTICS INC	100.0000	12.1600		(1,216.00)
	Purchase		VVOS	VIVOS THERAPEUTICS INC	150.0000	16.5000		(2,475.00)
12/04	Sale		AGRI	AGRIFORCE GROWING SYS F Exchange Processing Fee \$0.16	(1,000.0000)	0.8700	0.16	869.84
	Sale		MINM	MINIM INC Exchange Processing Fee \$0.10	(500.0000)	6.5000	0.10	3,249.90
	Sale		MINM	MINIM INC Exchange Processing Fee \$0.13	(600.0000)	8.0000	0.13	4,799.87
	Sale		MINM	MINIM INC Exchange Processing Fee \$0.11	(500.0000)	9.9000	0.11	4,949.89
	Sale		SMFL	SMART FOR LIFE INC Exchange Processing Fee \$0.16	(1,000.0000)	2.7000	0.16	2,699.84
	Purchase		AGRI	AGRIFORCE GROWING SYS F	1,000.0000	1.7500		(1,750.00)
	Purchase		MINM	MINIM INC	500.0000	3.6980		(1,849.00)
	Purchase		MINM	MINIM INC	100.0000	6.8000		(680.00)
	Purchase		MINM	MINIM INC	500.0000	6.0000		(3,000.00)
	Purchase		MINM	MINIM INC	500.0000	8.9000		(4,450.00)



Transaction Details (continued)

Date	Category	Action	Symbol/ CUSIP	Description	Quantity	Price/Rate per Share(\$)	Charges/ Interest(\$)	Amount(\$)
12/04	Purchase		SMFL	SMART FOR LIFE INC	1,000.0000	3.9500		(3,950.00)
12/06	Sale		ATHE	ALTERITY THERAPEUTICS F UNSPONSORED ADR Exchange Processing Fee \$0.09	(500.0000)	4.9000	0.09	2,449.91
	Sale		VIEW	VIEW INC CLASS A Exchange Processing Fee \$0.16	(1,000.0000)	1.7000	0.16	1,699.84
	Sale		VIEW	VIEW INC CLASS A Exchange Processing Fee \$0.07	(400.0000)	1.7000	0.07	679.93
	Sale		VIEW	VIEW INC CLASS A Exchange Processing Fee \$0.24	(1,500.0000)	1.8000	0.24	2,699.76
	Purchase		ATHE	ALTERITY THERAPEUTICS F UNSPONSORED ADR	500.0000	7.7000		(3,850.00)
	Purchase		VIEW	VIEW INC CLASS A	1,000.0000	1.5000		(1,500.00)
	Purchase		VIEW	VIEW INC CLASS A	400.0000	1.6000		(640.00)
	Purchase		VIEW	VIEW INC CLASS A	1,500.0000	1.6600		(2,490.00)
12/07	Sale		BCDA	BIOCARDIA INC Exchange Processing Fee \$0.01	(100.0000)	0.6505	0.01	65.04
	Purchase		ALLR	ALLARITY THERAPEUTICS IN	300.0000	0.8700		(261.00)
12/08	Sale		MLGO	MICROALGO INC F Exchange Processing Fee \$0.09	(500.0000)	4.5000	0.09	2,249.91
	Sale		PHIO	PHIO PHARMACEUTICALS COR Exchange Processing Fee \$0.01	(90.0000)	1.9000	0.01	170.99
	Sale		SERA	SERA PROGNOSTICS INC CLASS A Exchange Processing Fee \$0.06	(400.0000)	6.0000	0.06	2,399.94
	Purchase		MLGO	MICROALGO INC F	500.0000	4.2800		(2,140.00)
	Purchase		PHIO	PHIO PHARMACEUTICALS COR	90.0000	1.6000		(144.00)
	Purchase		SERA	SERA PROGNOSTICS INC CLASS A	400.0000	5.0000		(2,000.00)
	Purchase		ZVSA	ZYVERSA THERAPEUTICS INC	1,000.0000	3.2500		(3,250.00)
	Deposit	MoneyLink Txn		Tfr JPMorgan Chase Ban, SRUTHI TATINENI				1,000.00



## Transaction Details (continued)

Date	Category	Action	Symbol/ CUSIP	Description	Quantity	Price/Rate per Share(\$)	Charges/ Interest(\$)	Amount(\$)
12/11	Sale		ALLR	ALLARITY THERAPEUTICS IN Exchange Processing Fee \$0.04	(300.0000)	0.4749	0.04	142.45
	Sale		WIMI	WIMI HOLOGRAM CLOUD IN F UNSPONSORED ADR	(70.0000)	0.7000		49.00
	Purchase		WIMI	WIMI HOLOGRAM CLOUD IN F UNSPONSORED ADR	70.0000	0.9500		(66.50)
12/13	Sale		IONM	ASSURE HOLDINGS CORP Exchange Processing Fee \$0.29	(2,000.0000)	0.5400	0.29	1,079.71
	Sale		JTAI	JETAI INC Exchange Processing Fee \$0.08	(500.0000)	3.2200	0.08	1,609.92
	Sale		JTAI	JETAI INC Exchange Processing Fee \$0.08	(500.0000)	3.0000	0.08	1,499.92
	Purchase		IONM	ASSURE HOLDINGS CORP	2,000.0000	0.5600		(1,120.00)
	Purchase		JTAI	JETAI INC	500.0000	2.7800		(1,390.00)
	Purchase		JTAI	JETAI INC	500.0000	3.0000		(1,500.00)
	Purchase		KTTA	PASITHEA THERAPEUTICS CO	2,000.0000	0.8000		(1,600.00)
12/15	Sale		CCCC	C4 THERAPEUTICS INC Exchange Processing Fee \$0.09	(500.0000)	4.5000	0.09	2,249.91
	Sale		CCCC	C4 THERAPEUTICS INC Exchange Processing Fee \$0.01	(100.0000)	4.9900	0.01	498.99
	Sale		STTK	SHATTUCK LABS INC Exchange Processing Fee \$0.09	(500.0000)	4.9900	0.09	2,494.91
	Purchase		CCCC	C4 THERAPEUTICS INC	500.0000	4.0000		(2,000.00)
	Purchase		CCCC	C4 THERAPEUTICS INC	100.0000	4.4000		(440.00)
	Purchase		STTK	SHATTUCK LABS INC	500.0000	4.3000		(2,150.00)
	Deposit	MoneyLink Txn		Tfr JPMorgan Chase Ban, SRUTHI TATINENI				2,000.00
12/19	Sale		CCCC	C4 THERAPEUTICS INC Exchange Processing Fee \$0.09	(500.0000)	6.0000	0.09	2,999.91
	Sale		CCCC	C4 THERAPEUTICS INC Exchange Processing Fee \$0.09	(500.0000)	6.1900	0.09	3,094.91



## Transaction Details (continued)

Date	Category	Action	Symbol/ CUSIP	Description	Quantity	Price/Rate per Share(\$)	Charges/ Interest(\$)	Amount(\$)
12/19	Sale		GETR	GETAROUND INC Exchange Processing Fee \$0.02	(114.0000)	0.3073	0.02	35.01
	Sale		GETR	GETAROUND INC Exchange Processing Fee \$0.27	(1,886.0000)	0.3100	0.27	584.39
	Purchase		CCCC	C4 THERAPEUTICS INC	500.0000	5.5600		(2,780.00)
	Purchase		CCCC	C4 THERAPEUTICS INC	500.0000	5.6000		(2,800.00)
	Purchase		CCCC	C4 THERAPEUTICS INC	100.0000	6.0000		(600.00)
	Purchase		GETR	GETAROUND INC	2,000.0000	0.4800		(960.00)
12/21	Sale		CGEN	COMPUGEN LIMITED F Exchange Processing Fee \$0.17	(1,000.0000)	2.1600	0.17	2,159.83
	Sale		CGEN	COMPUGEN LIMITED F Exchange Processing Fee \$0.17	(1,000.0000)	2.1900	0.17	2,189.83
	Purchase		CGEN	COMPUGEN LIMITED F	2,000.0000	2.1400		(4,280.00)
	Deposit	MoneyLink Txn		Tfr JPMorgan Chase Ban, SRUTHI TATINENI				4,000.00
12/22	Sale		PRZO	PARAZERO TECHNOLOGIES F Exchange Processing Fee \$0.07	(500.0000)	1.0900	0.07	544.93
	Purchase		PRZO	PARAZERO TECHNOLOGIES F	500.0000	1.0000		(500.00)
12/27	Sale		NXTP	NEXTPLAY TECHNOLOGIES IN Exchange Processing Fee \$0.13	(750.0000)	2.8000	0.13	2,099.87
	Sale		RMCO	ROYALTY MGMT HLDG CORP CLASS A Exchange Processing Fee \$0.09	(500.0000)	4.1900	0.09	2,094.91
	Sale		SMFL	SMART FOR LIFE INC Exchange Processing Fee \$0.13	(1,000.0000)	1.9601	0.13	1,959.97
	Purchase		NXTP	NEXTPLAY TECHNOLOGIES IN	750.0000	2.9500		(2,212.50)
	Purchase		RMCO	ROYALTY MGMT HLDG CORP CLASS A	500.0000	3.9800		(1,990.00)
	Purchase		RMCO	ROYALTY MGMT HLDG CORP CLASS A	1,000.0000	4.3000		(4,300.00)
	Purchase		SMFL	SMART FOR LIFE INC	1,000.0000	2.3000		(2,300.00)



### Transaction Details (continued)

Date	Category	Action	Symbol/ CUSIP	Description	Quantity	Price/Rate per Share(\$)	Charges/ Interest(\$)	Amount(\$)
12/28	Interest	Credit Interest		SCHWAB1 INT 11/29-12/27				2.68
<b>Total Transactions</b>								<b>\$46.64</b>

Date column represents the Settlement/Process date for each transaction.

11/29 through 12/27: \$2.68 based on .450% average Schwab One® interest rate paid on 29 days in which your account had an average daily balance of \$7,564.45.

### Pending / Open Activity

Activity Type	Date	Action	Symbol/ CUSIP	Description	Quantity	Market Price/ Rate per Share(\$)	Limit Price(\$)	Settle/ Payable Date	Expiration Date	Amount(\$)
Open Orders	12/07	Sell	ZVSA	ZYVERSA THERAPEUTICS INC	1,000.0000	0.9000	3.5000		06/03	
	12/18	Sell	CCCC	C4 THERAPEUTICS INC	100.0000	5.6500	6.5000		06/14	
	12/26	Sell	RMCO	ROYALTY MGMT HLDG CORP...	1,000.0000	1.7000	5.0000		06/21	

You can change or cancel any open order by calling us. Orders expire as of close of business on expiration date listed above.

### Endnotes For Your Account

- ◇ Dividends paid on this security will be automatically reinvested.

### Terms and Conditions

**GENERAL INFORMATION AND KEY TERMS:** This Account statement is furnished solely by Charles Schwab & Co., Inc. ("Schwab") for your Account at Schwab ("Account"). Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement. If you receive any other communication from any source other than Schwab which purports to represent your holdings at Schwab (including balances held at a Depository Institution) you should verify its content with this statement.

**Accrued Income:** Accrued Income is the sum of the total accrued interest and/or accrued dividends on positions held in your Account, but the interest and/or dividends have not been received into your Account. Schwab makes no representation that the amounts shown (or any other amount) will be received. Accrued amounts are not covered by SIPC account protection until actually received and held in the Account.

**AIP (Automatic Investment Plan) Customers:** Schwab receives remuneration in connection with certain transactions effected through Schwab. If you participate in a systematic investment program through Schwab, the additional information normally detailed on a trade confirmation will be provided upon request.

**Average Daily Balance:** Average daily composite of all cash balances that earn interest and all loans from Schwab that are charged interest.

**Bank Sweep and Bank Sweep for Benefit Plans Features:** Schwab acts as your agent and custodian in establishing and maintaining your Deposit Account(s) as a feature of your brokerage Account(s). Deposit Accounts held through these bank sweep features constitute direct obligations of one or more FDIC insured banks ("Program Banks") that are not obligations of Schwab. Funds swept to Program Banks are eligible for deposit insurance from the FDIC up to the applicable limits for each bank for funds held in the same insurable capacity. The balance in the Deposit Accounts can be withdrawn on your order and the proceeds returned to your brokerage Account or remitted to you as provided

in your Account Agreement. For information on FDIC insurance and its limits, as well as other important disclosures about the bank sweep feature(s) in your Account(s), please refer to the Cash Features Disclosure Statement available online or from a Schwab representative.

**Cash:** Any Free Credit Balance owed by us to you payable upon demand which, although accounted for on our books of record, is not segregated and may be used in the conduct of this firm's business.

**Dividend Reinvestment Customers:** Dividend reinvestment transactions were effected by Schwab acting as a principal for its own account, except for the reinvestment of Schwab dividends, for which an independent broker-dealer acted as the buying agent. Further information on these transactions will be furnished upon written request.

**Gain (or Loss):** Unrealized Gain or (Loss) and Realized Gain or (Loss) sections ("Gain/Loss Section(s)") contain a gain or a loss summary of your Account. This information has been provided on this statement at the request of your Advisor, if applicable. This information is not a solicitation or a recommendation to buy or sell.

**Schwab does not provide tax advice and encourages you to consult with your tax professional. Please view the Cost Basis Disclosure Statement for additional information on how gain (or loss) is calculated and how Schwab reports adjusted cost basis information to the IRS.**

**Interest:** For the Schwab One Interest, Bank Sweep, and Bank Sweep for Benefit Plans features, interest is paid for a period that may differ from the Statement Period. Balances include interest paid as indicated on your statement by Schwab or one or more of its Program Banks. These balances do not include interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period. For the Schwab One Interest feature, interest accrues daily from the second-to-last business day of the prior month and is posted on the second-to-last business day of the



## Terms and Conditions (continued)

current month. For the bank sweep feature(s), interest accrues daily from the 16th day of the prior month and is credited/posted on the first business day after the 15th of the current month. If, on any given day, the interest that Schwab calculates for the Free Credit Balances in the Schwab One Interest feature in your brokerage account is less than \$.005, you will not accrue any interest on that day. For balances held at Program Banks in the Bank Sweep and Bank Sweep for Benefit Plans features, interest will accrue even if the amount is less than \$.005. **Margin Account Customers:** This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection. Securities purchased on margin are Schwab's collateral for the loan to you. It is important that you fully understand the risks involved in trading securities on margin. These risks include: 1) You can lose more funds than you deposit in the margin account; 2) Schwab can force the sale of securities or other assets in any of your account(s) to maintain the required account equity without contacting you; 3) You are not entitled to choose which assets are liquidated nor are you entitled to an extension of time on a margin call; 4) Schwab can increase its "house" maintenance margin requirements at any time without advance written notice to you. **Market Price:** The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Assets Not Held at Schwab are not held in your Account or covered by the Account's SIPC account protection and are not otherwise in Schwab's custody and are being provided as a courtesy to you. Information on Assets Not Held at Schwab, including but not limited to valuations, is reported solely based on information you provide to Schwab. Schwab can neither validate nor certify the existence of Assets Not Held at Schwab or the accuracy, completeness or timeliness of the information about Assets Not Held at Schwab, whether provided by you or otherwise. Descriptions of Assets Not Held at Schwab may be abbreviated or truncated. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as Stale Priced. Certain Limited Partnerships (direct participation programs) and unlisted Real Estate Investment Trust (REIT) securities, for which you may see a value on your monthly Account statement that reflects the issuer's appraised estimated value, are not listed on a national securities exchange, and are generally illiquid. Even if you are able to sell such securities, the price received may be less than the per share appraised estimated value provided in the account statement. **Market Value:** The Market Value is computed by multiplying the Market Price by the Quantity of Shares. This is the dollar value of your present holdings in your specified Schwab Account or a summary of the Market Value summed over multiple accounts. **Non-Publicly Traded Securities:** All assets shown on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain Non-Publicly Traded Securities may be furnished by a third party as provided by Schwab's Account Agreement. Schwab shall have no responsibility for the accuracy or timeliness of such valuations. The Securities Investor Protection Corporation (SIPC) does not cover many limited partnership interests. **Schwab Sweep Money Funds:** Includes the primary money market funds into which Free Credit Balances may be automatically invested pursuant to your Account

Agreement. Schwab or an affiliate acts and receives compensation as the Investment Advisor, Transfer Agent, Shareholder Service Agent and Distributor for the Schwab Sweep Money Funds. The amount of such compensation is disclosed in the prospectus. The yield information for Schwab Sweep Money Funds is the current 7-day yield as of the statement period. Yields vary. If on any given day, the accrued daily dividend for your selected sweep money fund as calculated for your Account is less than ½ of 1 cent (\$.0005), your Account will not earn a dividend for that day. In addition, if you do not accrue at least 1 daily dividend of \$.01 during a pay period, you will not receive a money market dividend for that period. Schwab and the Schwab Sweep Money Funds investment advisor may be voluntarily reducing a portion of a Schwab Sweep Money Fund's expenses. Without these reductions, yields would have been lower. **Securities Products and Services:** Securities products and services are offered by Charles Schwab & Co., Inc., **Member SIPC. Securities products and services, including unswept intraday funds and net credit balances held in brokerage accounts are not deposits or other obligations of, or guaranteed by, any bank, are not FDIC insured, and are subject to investment risk and may lose value. SIPC does not cover balances held at Program Banks in the Bank Sweep and Bank Sweep for Benefit Plans features.** Please see your Cash Feature Disclosure Statement for more information on insurance coverage. **IN CASE OF ERRORS OR DISCREPANCIES:** If you find an error or discrepancy relating to your brokerage activity (other than an electronic fund transfer) you must notify us promptly, but no later than 10 days after this statement is sent or made available to you. If this statement shows that we have mailed or delivered security certificate(s) that you have not received, notify Schwab immediately. You may call customer service at 800-435-4000. (Outside the U.S., call +1-415-667-8400.) If you're a client of an independent investment advisor, call us at 800-515-2157. Any oral communications should be re-confirmed in writing to further protect your rights, including rights under the Securities Investor Protection Act (SIPA). If you do not so notify us, you agree that the statement activity and Account balance are correct for all purposes with respect to those brokerage transactions. **IN CASE OF COMPLAINTS:** If you have a complaint regarding your Schwab statement, products or services, please write to Client Service & Support at Charles Schwab & Co., Inc., P.O. Box 982603 El Paso, TX 79998-2603, or call customer service at 800-435-4000. (Outside the U.S., call +1-415-667-8400.) If you're a client of an independent investment advisor, call us at 800-515-2157. **Address Changes:** If you fail to notify Schwab in writing of any change of address or phone number, you may not receive important notifications about your Account, and trading or other restrictions might be placed on your Account. **Additional Information:** We are required by law to report to the Internal Revenue Service adjusted cost basis information (if applicable), certain payments to you and credits to your Account during the calendar year. Retain this statement for income tax purposes. A financial statement for your inspection is available at Schwab's offices or a copy will be mailed to you upon written request. Any third-party trademarks appearing herein are the property of their respective owners. Charles Schwab & Co., Inc., Charles Schwab Bank, Charles Schwab Premier Bank, and Charles Schwab Trust Bank are separate but affiliated companies and subsidiaries of The Charles Schwab Corporation. © 2023 Charles Schwab & Co., Inc. ("Schwab"). All rights reserved. **Member SIPC.** (O1NWSTMT) (0221-117W)