Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Akash Agrawal 1000 Windsor Shores Dr 24a Columbia, SC 29223 Master Account Number for Import: 976210708 Document ID for Import: 7068JP48P85

Enclosed is your 2022 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

976210708 - Robinhood Securities LLC

976210708C - Robinhood Crypto LLC

**New for 2022 Tax Year** - Where applicable, 1099 Tax Forms for Robinhood Securities, Robinhood Crypto, and Robinhood Money are being consolidated into one package and reported under our parent entity, Robinhood Markets Inc. We have done this to ensure all forms that you need are provided at the same time in this consolidated package.

**Important** - Please utilize the Master Account Number and Document ID listed above if filing through tax service providers such as TurboTax or H&R Block. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. This year there is only one Master Account Number and Document ID that needs to be used to import all of your data across all accounts and 1099s (including crypto). Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

**CSVs** - In addition, a CSV file which includes the same information that is reported on your 1099 forms will be provided. The CSV is not a separate 1099. It is being provided as a supplement in a format that may be more helpful if you have a large number of transactions or if you are not using the Document ID to import all of your Securities, Crypto and Money activity. Tax software providers may have limits on the total number of transactions that can be uploaded and CSV files may not be compatible with tax service providers such as TurboTax or H&R Block.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2022 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

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Robinhood Markets Inc. as agent for Robinhood Securities LLC		formation t 976210708	Statement Date:	02/01/2023	2022
85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700	Akash Agrawal 1000 Windsor Shores Dr 2 Columbia, SC 29223	24a			
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XXX-X	(X-8013			
11 - [] FATCA filing requirement (see instructions)	Summary I	Information		13 - [] FATCA filing requiren	nent (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV	* OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2022 1099-MISC*	OMB No. 1545-011
<ul> <li>1a- Total ordinary dividends (includes lines 1b, 5, 2e)</li> <li>1b- Qualified dividends</li> <li>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)</li> <li>2b- Unrecaptured Section 1250 gain</li> <li>2c- Section 1202 gain</li> </ul>	0.00 0.00 0.00 0.00 0.00	2- Royalties 3- Other income <b>4- Federal income tax</b> 8- Substitute payments		r interest	0.00 3.34 <b>0.00</b> 0.00
2d- Collectibles (28%) gain	0.00	SECTION 1256 CON	NTRACTS	2022 1099-B*	OMB No. 1545-071:
<ul> <li>2e- Section 897 ordinary dividends</li> <li>2f- Section 897 capital gain</li> <li>3- Nondividend distributions</li> <li>4- Federal income tax withheld</li> <li>5- Section 199A dividends</li> <li>6- Investment expenses</li> <li>8- Foreign country or US possession:</li> <li>7- Foreign tax paid:</li> <li>9- Cash liquidation distributions</li> <li>10- Noncash liquidation distributions</li> <li>12- Exempt-interest dividends (includes line 13)</li> <li>13- Specified private activity bond interest dividends (AMT)</li> </ul>	0.00		loss) on open contract loss) on open contract loss) on contracts ds from sale transaction int sections of this doc on and is being furni- rn, a negligence per	tts-12/31/2021 tts-12/31/2022 ons appear summarized belo ument. shed to the Internal Rever nalty or other sanction ma	ue Service. ay be imposed or

## SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	80,621.86	96,456.46	0.00	10,723.32	-5,111.28
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	80,621.86	96,456.46	0.00	10,723.32	-5,111.28
Long	D (basis reported to the IRS)	4,410.81	10,563.54	0.00	3,335.30	-2,817.43
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	4,410.81	10,563.54	0.00	3,335.30	-2,817.43
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	85,032.67	107,020.00	0.00	14,058.62	-7,928.71
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

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## **Summary Information**

2022

## (continued)

OMB No. 1545-0112

02/01/2023

Account 976210708

### INTEREST INCOME 2022

2022 1099-INT

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3) 2- Early withdrawal penalty	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
<ol> <li>Foreign country or US possession:</li> <li>6- Foreign tax paid:</li> </ol>	0.00
8- Tax-exempt interest (includes line 9)	0.00
<ol><li>Specified private activity bond interest (AMT)</li></ol>	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
<ol><li>Bond premium on Treasury obligations (covered lots)</li></ol>	0.00
<ol><li>Bond premium on tax-exempt bonds (categorized below)</li></ol>	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid	0.00 0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

## ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	78.16
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

### Robinhood Markets Inc. as agent for Robinhood Securities LLC

## **Proceeds from Broker and Barter Exchange Transactions**

02/01/2023

Account 976210708

### **2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

### FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIRBNB, INC. CLASS A C	COMMON ST OCK / CUSIP:	009066101 / Symbol:					
	4 transactions for 10/	12/22. Total proceeds a	and cost repor	ted to the IRS.			
10/12/22 ALPHABET INC. CLASS /	20.000 5.000 5.000 10.000 40.000 A COMMON S TOCK / CUSI	2,166.20 541.55 541.55 1,083.10 4,332.40 P: 02079K305 / Symbo	08/02/22 08/02/22 08/02/22 09/22/22 Various	2,349.40 539.75 531.50 1,035.68 4,456.33	  	-183.20 1.80 10.05 47.42 -123.93	1 of 4 - Sale $^{25}$ 2 of 4 - Sale $^{25}$ 3 of 4 - Sale $^{25}$ 4 of 4 - Sale $^{25}$ Total of 4 transactions
02/01/22	0.742 2 transactions for 10/2	2,190.38 27/22. Total proceeds a	02/01/22 and cost repor	2,000.00 ted to the IRS.		190.38	Sale <sup>25</sup>
10/27/22	<i>14.000</i> <i>1.000</i> 15.000	1,319.04 94.22 1,413.26	10/25/22 10/26/22 Various	<i>1,454.74</i> 95.46 1,550.20	 	-135.70 -1.24 -136.94	1 of 2 - Sale <sup>25</sup> 2 of 2 - Sale <sup>25</sup> Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

2022	<b>1099-B*</b> OMB No. 1545-0715			(continued)		02/0	1/2023
Report on	TERM TRANSACTIONS FOR CON Form 8949, Part I with Box A checked. Basis ss (-)" is NOT reported to the IRS.			gains or losses	are identified in the Add	ditional information c	olumn] (Lines 2 & 5)
a- Descri	iption of property/CUSIP/Symbol						
1c- Date sold or disposed		1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	If- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHABE	T INC. CLASS A COMMON S TOCK / CUSI	P: 02079K305 / Symbo	l: (cont'd)				
	Security total:	3,603.64		3,550.20		53.44	
PPLE IN	C. COMMON STOCK / CUSIP: 037833100 /	Symbol:					
01/05/22	10.000	1,770.40	12/07/21	1,708.50		61.90	Sale <sup>25</sup>
	C. / CUSIP: 12468P104 / Symbol:	, -		,			
,	•	/01/22. Total proceeds	and cost repo	orted to the IRS.			
	10.659	278.62	05/07/21	629.71		-351.09	1 of 10 - Sale <sup>25</sup>
	5.000	130.70	05/07/21	329.02		-198.32	2 of 10 - Sale <sup>25</sup>
	10.000	261.40	05/10/21	543.83		-282.43	3 of 10 - Sale <sup>25</sup>
	10.000	261.39	05/12/21	536.08		-274.69	4 of 10 - Sale <sup>25</sup>
	10.000	261.40	07/14/21	529.52		-268.12	5 of 10 - Sale <sup>25</sup>
	5.000	130.70	07/16/21	251.73		-121.03	6 of 10 - Sale <sup>25</sup>
	3.659	95.64	09/01/21	276.38		-180.74	7 of 10 - Sale <sup>25</sup>
	10.000	261.40	11/19/21	395.87		-134.47	8 of 10 - Sale <sup>25</sup>
	5.000	130.70	12/01/21	172.95		-42.25	9 of 10 - Sale <sup>25</sup>
	10.000	261.39	12/01/21	351.70		-42.25 -90.31	10 of 10 - Sale <sup>25</sup>
02/01/22	79.318	2,073.34	Various	4,016.79		-1,943.45	Total of 10 transactions
	SCIENCES, INC. COMMON STOCK / CUS			ч,010.7 <i>9</i>		-1,340.40	
07/27/22	5.479	84.53	04/06/22	167.00		-82.47	Sale <sup>25</sup>
,,,,,,,,,	22 transactions for 07					52.47	Caro
	5.000	77.25	10/18/21	251.38	174.13 W	0.00	1 of 22 - Sale <sup>25</sup>
	5.000	77.25	11/04/21	414.43	337.18 W	0.00	2 of 22 - Sale <sup>25</sup>
	5.000	77.25	11/05/21	462.83	385.58 W	0.00	3 of 22 - Sale <sup>25</sup>
	2.716	41.97	11/11/21	200.00	158.03 W	0.00	4 of 22 - Sale <sup>25</sup>
	5.000	77.25	11/18/21	252.55	175.30 W	0.00	5 of 22 - Sale 25
	1.000	15.45	11/18/21	47.97	32.52 W	0.00	6 of 22 - Sale 25
	1.284	19.83	11/19/21	68.75	48.92 W	0.00	7 of 22 - Sale <sup>25</sup>
	8.716	134.49	11/19/21	466.80	332.31 W	0.00	8 of 22 - Sale 25
	1.000	15.43	11/30/21	66.80	51.37 W	0.00	9 of 22 - Sale 25
	4.000	61.72	12/02/21	255.54	193.82 W	0.00	10 of 22 - Sale 25
	1.000	15.43	12/02/21	48.59	33.16 W	0.00	11 of 22 - Sale <sup>25</sup>
	5.000	77.15	12/07/21	237.80	160.65 W	0.00	12 of 22 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions** 

Account 976210708

2022 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASSAVA SCIENCES	, INC. COMMON STOCK / CUS	SIP: 14817C107 / Symb	ol: (cont'd)				
	5.000	77.15	12/09/21	232.10	154.95 W	0.00	13 of 22 - Sale <sup>25</sup>
	5.000	77.15	12/20/21	177.25	100.10 W	0.00	14 of 22 - Sale <sup>25</sup>
	5.000	77.15	01/18/22	216.94	139.79 W	0.00	15 of 22 - Sale <sup>25</sup>
	5.000	77.15	01/20/22	181.45	104.30 W	0.00	16 of 22 - Sale <sup>25</sup>
	3.645	56.24	02/11/22	200.00	143.76 W	0.00	17 of 22 - Sale <sup>25</sup>
	0.170	2.62	02/16/22	8.35	5.73 W	0.00	18 of 22 - Sale <sup>25</sup>
	9.990	154.13	02/16/22	491.65	337.52 W	0.00	19 of 22 - Sale <sup>25</sup>
	5.000	77.15	02/28/22	217.07	139.92 W	0.00	20 of 22 - Sale <sup>25</sup>
	5.000	77.14	03/01/22	202.00	124.86 W	0.00	21 of 22 - Sale <sup>25</sup>
	6.000	92.58	04/05/22	205.77	83.48 W	-29.71	22 of 22 - Sale <sup>25</sup>
07/27/22	94.521	1,458.93	Various	4,906.02	3,417.38 W	-29.71	Total of 22 transactions
	4 transactions for 09	/20/22. Total proceeds a	and cost report	ted to the IRS.			
	5.000	190.55	04/06/22	152.45		38.10	1 of 4 - Sale <sup>25</sup>
	9.521	362.85	04/06/22	290.20		72.65	2 of 4 - Sale <sup>25</sup>
	25.000	952.73	04/12/22	670.13		282.60	3 of 4 - Sale <sup>25</sup>
	10.479	399.34	04/20/22	226.11		173.23	4 of 4 - Sale 25
09/20/22	50.000	1,905.47	Various	1,338.89		566.58	Total of 4 transactions
09/22/22	39.521	1,937.28	04/20/22	852.80		1,084.48	Sale <sup>25</sup>
	3 transactions for 09	22/22. Total proceeds a	and cost report	ted to the IRS.			
	5.000	245.09	07/22/22	283.08	37.99 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	245.10	07/22/22	446.13	201.03 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.479	23.47	07/22/22	47.36	23.89 W	0.00	3 of 3 - Sale <sup>25</sup>
09/22/22	10.479	513.66	Various	776.57	262.91 W	0.00	Total of 3 transactions
	2 transactions for 10	/21/22. Total proceeds a	and cost report	ted to the IRS.			
	4.521	158.10	07/22/22	447.17	289.07 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.479	16.75	07/22/22	38.29	21.54 W	0.00	2 of 2 - Sale <sup>25</sup>
10/21/22	5.000	174.85	Various	485.46	310.61 W	0.00	Total of 2 transactions
	20 transactions for 1	1/09/22. Total proceeds	and cost repo	rted to the IRS.			
	5.000	172.42	07/22/22	284.25		-111.83	1 of 20 - Sale <sup>25</sup>
	0.230	7.93	07/22/22	12.49		-4.56	2 of 20 - Sale <sup>25</sup>
	8.716	300.58	08/01/22	482.56		-181.98	3 of 20 - Sale <sup>25</sup>
	5.575	192.25	08/01/22	284.85		-92.60	4 of 20 - Sale <sup>25</sup>
	3.645	125.70	08/01/22	206.59		-80.89	5 of 20 - Sale <sup>25</sup>

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Account 976210708

2022 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASSAVA SCIENCES, I	INC. COMMON STOCK / CUS	SIP: 14817C107 / Symb	ol: (cont'd)				
	5.000	172.43	08/01/22	246.84		-74.41	6 of 20 - Sale <sup>25</sup>
	4.415	152.26	08/01/22	225.28		-73.02	7 of 20 - Sale <sup>25</sup>
	5.000	172.42	08/01/22	241.14		-68.72	8 of 20 - Sale <sup>25</sup>
	5.000	172.42	08/01/22	226.47		-54.05	9 of 20 - Sale <sup>25</sup>
	5.000	172.42	08/01/22	225.98		-53.56	10 of 20 - Sale <sup>25</sup>
	5.000	172.42	08/01/22	211.41		-38.99	11 of 20 - Sale <sup>25</sup>
	1.284	44.27	08/01/22	71.05		-26.78	12 of 20 - Sale <sup>25</sup>
	5.000	172.43	08/01/22	190.49		-18.06	13 of 20 - Sale <sup>25</sup>
	1.000	34.48	08/01/22	50.40		-15.92	14 of 20 - Sale <sup>25</sup>
	5.000	172.43	08/01/22	186.29		-13.86	15 of 20 - Sale <sup>25</sup>
	0.770	26.55	08/01/22	38.31		-11.76	16 of 20 - Sale <sup>25</sup>
	4.425	152.60	08/01/22	160.08		-7.48	17 of 20 - Sale <sup>25</sup>
	0.170	5.86	08/01/22	8.66		-2.80	18 of 20 - Sale <sup>25</sup>
	14.054	484.65	10/10/22	533.82		-49.17	19 of 20 - Sale <sup>25</sup>
	0.479	16.51	10/10/22	42.08		-25.57	20 of 20 - Sale <sup>25</sup>
1/09/22	84.763	2,923.03	Various	3,929.04		-1,006.01	Total of 20 transactions
	Security total:	8,997.75		12,455.78	3,990.90 W	532.87	
RAFTKINGS INC. CLA	SS A COMMON STOCK / CL	JSIP: 26142R104 / Sym	bol:				
02/22/22	60.000	1,067.68	02/18/22	1,045.80		21.88	Sale <sup>25</sup>
IETA PLATFORMS, IN	C. CLASS A C OMMON STO	CK / CUSIP: 30303M10	2 / Symbol:				
	3 transactions for 01,	/20/22. Total proceeds a	and cost report	ted to the IRS.			
	1.531	477.56	11/10/21	500.00		-22.44	1 of 3 - Sale <sup>25</sup>
	1.000	311.93	11/10/21	326.78		-14.85	2 of 3 - Sale <sup>25</sup>
	0.530	165.35	11/10/21	173.22		-7.87	3 of 3 - Sale <sup>25</sup>
01/20/22	3.061	954.84	Various	1,000.00		-45.16	Total of 3 transactions
	3 transactions for 01,	/20/22. Total proceeds a	and cost report	ted to the IRS.			
	5.000	1,559.64	11/01/21	1,632.65	53.52 W	-19.49	1 of 3 - Sale <sup>25</sup>
	0.061	19.06	11/01/21	19.94	0.88 W	0.00	2 of 3 - Sale 25
	4.939	1,540.58	11/01/21	1,611.31	70.73 W	0.00	3 of 3 - Sale 25
	10.000	3,119.28			125.13 W	-19.49	Total of 3 transactions

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Account 976210708

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

2022 **1099-B\*** OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Syml	loc
--	-----

c- Date sold or lisposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ETA PLATFORMS, IN	C. CLASS A C OMMON STO	CK / CUSIP: 30303M10	2 / Symbol: (c	ont'd)			
	6 transactions for 02/	24/22. Total proceeds a	and cost report	ed to the IRS.			
	2.939	561.17	02/02/22	777.75		-216.58	1 of 6 - Sale <sup>25</sup>
	1.000	190.90	02/02/22	264.64		-73.74	2 of 6 - Sale <sup>25</sup>
	0.061	11.67	02/02/22	16.18		-4.51	3 of 6 - Sale <sup>25</sup>
	3.665	699.71	02/17/22	839.18		-139.47	4 of 6 - Sale <sup>25</sup>
	0.666	127.06	02/17/22	152.19		-25.13	5 of 6 - Sale <sup>25</sup>
	0.334	63.84	02/17/22	76.47		-12.63	6 of 6 - Sale <sup>25</sup>
2/24/22	8.665	1,654.35	Various	2,126.41		-472.06	Total of 6 transactions
4/28/22	15.000	3,004.11	04/21/22	3,032.70		-28.59	Sale <sup>25</sup>
1/08/22	13.000	1,257.20	10/27/22	1,288.73		-31.53	Sale <sup>25</sup>
	Security total:	9,989.78		10,711.74	125.13 W	-596.83	
ORD MOTOR COMPA	NY / CUSIP: 345370860 / Syn	nbol:					
2/08/22	97.609	1.704.42	02/01/22	2,000.00	173.19 W	-122.39	Sale <sup>25</sup>
		22/22. Total proceeds a					
	57.000	989.55	02/08/22	1,169.26		-179.71	1 of 2 - Sale <sup>25</sup>
	0.192	3.33	02/08/22	3.93		-0.60	2 of 2 - Sale <sup>25</sup>
2/22/22	57.192	992.88	Various	1,173.19		-180.31	Total of 2 transactions
	Security total:	2,697.30		3,173.19	173.19 W	-302.70	
FT, INC. CLASS A CO	OMMON STOC K / CUSIP: 55	087P104 / Symbol:					
0/25/22	100.000	1,499.12	08/02/22	1,584.81		-85.69	Sale <sup>25</sup>
ODERNA, INC. COMM	ION STOCK / CUSIP: 60770K	(107 / Symbol:					
1/04/22	2.000	456.72	12/22/21	498.41		-41.69	Sale <sup>25</sup>
1/05/22	2.000	441.65	12/22/21	498.40		-56.75	Sale <sup>25</sup>
	Security total:	898.37	,,	996.81		-98.44	
ETFLIX. INC. COMMO	N STOCK / CUSIP: 64110L10						
,		(12/22. Total proceeds a	and cost report	ed to the IRS.			
	10.000	1,741.19	05/10/22	1,779.00		-37.81	1 of 2 - Sale <sup>25</sup>
		1,171.19	00/10/22	1,119.00		-57.01	
	5.000	870.59	05/12/22	850.95		19.64	2 of 2 - Sale <sup>25</sup>

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Account 976210708

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 976210708

02/01/2023

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 **1099-B\*** OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/							
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PELOTON INTERACTIVE, IN		() ()	•				
FELOTON INTERACTIVE, IN			,				
	3 transactions for 02/	24/22. Total proceeds a		ed to the IRS.			
	25.000	626.74	02/08/22	941.56		-314.82	1 of 3 - Sale 25
	1.000	25.07	02/08/22	37.66		-12.59	2 of 3 - Sale <sup>25</sup>
/ /	0.552	14.04	02/08/22	20.78		-6.74	3 of 3 - Sale <sup>25</sup>
02/24/22	26.552	665.85	Various	1,000.00		-334.15	Total of 3 transactions
QUANTUMSCAPE CORPOR	ATION / CUSIP: 74767V	109 / Symbol:					
	2 transactions for 03/	08/22. Total proceeds a	and cost report	ed to the IRS.			
	33.267	499.81	07/21/21	810.32		-310.51	1 of 2 - Sale <sup>25</sup>
	0.013	0.19	07/21/21	0.31		-0.12	2 of 2 - Sale <sup>25</sup>
03/08/22	33.279	500.00	Various	810.63		-310.63	Total of 2 transactions
	5 transactions for 05/	10/22. Total proceeds a	and cost report	ed to the IRS.			
	16.000	192.16	07/21/21	389.74		-197.58	1 of 5 - Sale <sup>25</sup>
	0.721	8.68	07/21/21	17.55		-8.87	2 of 5 - Sale <sup>25</sup>
	10.000	120.10	09/21/21	236.68		-116.58	3 of 5 - Sale <sup>25</sup>
	40.000	480.38	10/18/21	987.78		-507.40	4 of 5 - Sale <sup>25</sup>
	10.000	120.10	12/06/21	247.70		-127.60	5 of 5 - Sale <sup>25</sup>
05/10/22	76.721	921.42	Various	1,879.45		-958.03	Total of 5 transactions
	Security total:	1,421.42		2,690.08		-1,268.66	
SOFI TECHNOLOGIES, INC	. COMMON STOCK / CU	SIP: 83406F102 / Symł	pol:				
04/12/22	9.012	70.22	05/10/21	156.72	86.50 W	0.00	Sale <sup>25</sup>
	5 transactions for 08/	02/22. Total proceeds a	and cost report	ed to the IRS.			
	15.000	106.80	08/05/21	241.33	134.53 W	0.00	1 of 5 - Sale <sup>25</sup>
	15.000	106.80	08/17/21	205.35	98.55 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.013	0.09	11/10/21	0.25	0.16 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	71.20	11/10/21	199.75	128.55 W	0.00	4 of 5 - Sale <sup>25</sup>
	13.999	99.67	12/03/21	223.43	123.76 W	0.00	5 of 5 - Sale <sup>25</sup>
08/02/22	54.012	384.56	Various	870.11	485.55 W	0.00	Total of 5 transactions
	Security total:	454.78		1,026.83	572.05 W	0.00	

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02/01/2023

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## **Proceeds from Broker and Barter Exchange Transactions** (continued)

2022 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 12- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMC	N STOCK / CUSIP: 88160R101	/ Symbol:					
02/02/22	1.000	889.99	01/05/22	1,104.13	214.14 W	0.00	Sale <sup>25</sup>
	8 transactions for 02/2	24/22. Total proceeds a	and cost report	ted to the IRS.			
	0.184	137.78	01/05/22	239.46	101.68 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.096	72.02	01/06/22	120.63	48.61 W	0.00	2 of 8 - Sale 25
	0.719	537.91	01/20/22	866.80	328.89 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.281	209.80	01/20/22	278.00	68.20 W	0.00	4 of 8 - Sale 25
	2.000	1,495.44	01/20/22	1,981.80	486.36 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.512	383.14	01/21/22	500.00	116.86 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.011	8.38	01/24/22	10.08	1.70 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.196	146.39	01/24/22	176.15	29.76 W	0.00	8 of 8 - Sale <sup>25</sup>
02/24/22	4.000	2,990.86	Various	4,172.92	1,182.06 W	0.00	Total of 8 transactions
	4 transactions for 02/2	25/22. Total proceeds a	and cost repor				
	1.076	850.71	02/24/22	834.10		16.61	1 of 4 - Sale 25
	0.076	60.72	02/25/22	60.00		0.72	2 of 4 - Sale <sup>25</sup>
	0.076	60.72	02/25/22	59.91		0.81	3 of 4 - Sale <sup>25</sup>
	0.924	743.44	02/25/22	739.88		3.56	4 of 4 - Sale <sup>25</sup>
02/25/22	2.151	1,715.59	Various	1,693.89		21.70	Total of 4 transactions
	42 transactions for 02	2/25/22. Total proceeds	and cost repo	orted to the IRS.			
	0.015	12.11	01/24/22	13.77	1.66 W	0.00	1 of 42 - Sale <sup>25</sup>
	0.230	181.86	01/24/22	200.00	18.14 W	0.00	2 of 42 - Sale 25
	0.005	4.11	01/24/22	4.57	0.46 W	0.00	3 of 42 - Sale <sup>25</sup>
	0.563	445.54	01/24/22	495.43	49.89 W	0.00	4 of 42 - Sale 25
	0.111	87.68	01/24/22	100.00	12.32 W	0.00	5 of 42 - Sale <sup>25</sup>
	0.184	145.76	01/26/22	278.28	132.52 W	0.00	6 of 42 - Sale 25
	0.096	76.19	01/26/22	140.92	64.73 W	0.00	7 of 42 - Sale <sup>25</sup>
	0.554	438.33	01/26/22	784.43	346.10 W	0.00	8 of 42 - Sale <sup>25</sup>
	0.165	130.71	01/26/22	234.03	103.32 W	0.00	9 of 42 - Sale <sup>25</sup>
	0.043	34.24	01/26/22	52.04	17.80 W	0.00	10 of 42 - Sale <sup>25</sup>
	0.005	4.25	01/31/22	6.12	1.87 W	0.00	11 of 42 - Sale <sup>25</sup>
	0.232	183.45	01/31/22	263.99	80.54 W	0.00	12 of 42 - Sale <sup>25</sup>
	0.768	607.54	01/31/22	874.35	266.81 W	0.00	13 of 42 - Sale <sup>25</sup>
	0.277	219.35	02/23/22	284.55	65.20 W	0.00	14 of 42 - Sale <sup>25</sup>
	0.955	755.08	02/23/22	979.57	224.49 W	0.00	15 of 42 - Sale <sup>25</sup>

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2022 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON	STOCK / CUSIP: 88160R101	/ Symbol: (cont'd)					
	0.045	35.82	02/23/22	45.79	9.97 W	0.00	16 of 42 - Sale <sup>25</sup>
	0.467	369.49	02/24/22	468.81	99.32 W	0.00	17 of 42 - Sale 25
	0.005	4.11	02/24/22	4.49	0.38 W	0.00	18 of 42 - Sale <sup>25</sup>
	0.015	12.11	02/24/22	13.53	1.42 W	0.00	19 of 42 - Sale <sup>25</sup>
	0.230	181.82	02/24/22	196.41	14.59 W	0.00	20 of 42 - Sale <sup>25</sup>
	0.011	8.86	02/24/22	10.39	1.53 W	0.00	21 of 42 - Sale <sup>25</sup>
	0.196	154.87	02/24/22	181.60	26.73 W	0.00	22 of 42 - Sale <sup>25</sup>
	1.000	790.99	02/24/22	796.78	5.79 W	0.00	23 of 42 - Sale <sup>25</sup>
	0.184	148.18	02/25/22	277.64	129.46 W	0.00	24 of 42 - Sale <sup>25</sup>
	0.096	77.46	02/25/22	140.58	63.12 W	0.00	25 of 42 - Sale <sup>25</sup>
	0.165	132.89	02/25/22	233.46	100.57 W	0.00	26 of 42 - Sale <sup>25</sup>
	0.554	445.63	02/25/22	782.51	336.88 W	0.00	27 of 42 - Sale <sup>25</sup>
	0.043	34.81	02/25/22	51.89	17.08 W	0.00	28 of 42 - Sale <sup>25</sup>
	0.005	4.32	02/25/22	6.10	1.78 W	0.00	29 of 42 - Sale <sup>25</sup>
	0.232	186.50	02/25/22	263.19	76.69 W	0.00	30 of 42 - Sale <sup>25</sup>
	0.768	617.65	02/25/22	871.69	254.04 W	0.00	31 of 42 - Sale <sup>25</sup>
	0.277	223.01	02/25/22	283.59	60.58 W	0.00	32 of 42 - Sale <sup>25</sup>
	0.955	767.65	02/25/22	976.26	208.61 W	0.00	33 of 42 - Sale <sup>25</sup>
	0.045	36.42	02/25/22	45.63	9.21 W	0.00	34 of 42 - Sale <sup>25</sup>
	0.467	375.64	02/25/22	467.20	91.56 W	0.00	35 of 42 - Sale <sup>25</sup>
	0.005	4.17	02/25/22	4.47	0.30 W	0.00	36 of 42 - Sale 25
	0.015	12.31	02/25/22	13.47	1.16 W	0.00	37 of 42 - Sale 25
	0.230	184.85	02/25/22	195.62	10.77 W	0.00	38 of 42 - Sale <sup>25</sup>
	0.011	9.01	02/25/22	10.35	1.34 W	0.00	39 of 42 - Sale <sup>25</sup>
	0.111	89.14	02/25/22	99.61	10.47 W	0.00	40 of 42 - Sale <sup>25</sup>
	0.196	157.44	02/25/22	180.92	23.48 W	0.00	41 of 42 - Sale 25
	0.563	452.96	02/25/22	493.48	40.52 W	0.00	42 of 42 - Sale 25
02/25/22	11.099	8,844.31	Various	11,827.51	2,983.20 W	0.00	Total of 42 transactions
		06/22. Total proceeds a			,		
	0.554	592.40	02/25/22	777.17		-184.77	1 of 4 - Sale 25
	0.184	196.99	02/25/22	275.87		-78.88	2 of 4 - Sale <sup>25</sup>
	0.165	176.66	02/25/22	231.87		-55.21	3 of 4 - Sale <sup>25</sup>
	0.096	102.97	02/25/22	139.65		-36.68	4 of 4 - Sale <sup>25</sup>
04/06/22	1.000	1,069.02	Various	1,424.56		-355.54	Total of 4 transactions

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Account 976210708

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 976210708

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## **Proceeds from Broker and Barter Exchange Transactions**

2022 **1099-B\*** OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS.

### 1a- Description of property/CUSIP/Symbol

1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
ESLA, INC. COMMON	STOCK / CUSIP: 88160R101 /	/ Symbol: (cont'd)					
	13 transactions for 04	/20/22. Total proceeds	and cost repo	rted to the IRS.			
	0.005	5.36	02/25/22	4.47		0.89	1 of 13 - Sale <sup>25</sup>
	0.045	46.76	02/25/22	45.58		1.18	2 of 13 - Sale <sup>25</sup>
	0.011	11.57	02/25/22	10.34		1.23	3 of 13 - Sale <sup>25</sup>
	0.015	15.81	02/25/22	13.45		2.36	4 of 13 - Sale <sup>25</sup>
	0.281	289.73	02/25/22	286.68		3.05	5 of 13 - Sale <sup>25</sup>
	0.277	286.35	02/25/22	280.77		5.58	6 of 13 - Sale <sup>25</sup>
	0.674	695.96	02/25/22	682.46		13.50	7 of 13 - Sale <sup>25</sup>
	0.111	114.46	02/25/22	99.50		14.96	8 of 13 - Sale <sup>25</sup>
	0.467	482.33	02/25/22	466.74		15.59	9 of 13 - Sale <sup>25</sup>
	0.196	202.16	02/25/22	180.73		21.43	10 of 13 - Sale <sup>25</sup>
	0.230	237.35	02/25/22	195.39		41.96	11 of 13 - Sale <sup>25</sup>
	0.563	581.61	02/25/22	492.92		88.69	12 of 13 - Sale <sup>25</sup>
	1.076	1,110.52	02/25/22	863.81		246.71	13 of 13 - Sale <sup>25</sup>
04/20/22	3.951	4.079.97	Various	3,622.84		457.13	Total of 13 transactions
		20/22. Total proceeds a					
	0.043	44.70	02/25/22	51.46	6.76 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.005	5.55	02/25/22	6.05	0.50 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.232	239.47	02/25/22	260.84	21.37 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.768	793.08	02/25/22	863.89	70.81 W	0.00	4 of 4 - Sale <sup>25</sup>
04/20/22	1.049	1,082.80	Various	1,182.24	99.44 W	0.00	Total of 4 transactions
		/21/22. Total proceeds					
	2.000	1,622.24	02/25/22	1,606.33		15.91	1 of 14 - Sale <sup>25</sup>
	2.000	1,622.24	02/28/22	1,686.38		-64.14	2 of 14 - Sale <sup>25</sup>
	2.000	1,622.24	02/28/22	1,675.16		-52.92	3 of 14 - Sale <sup>25</sup>
	2.000	1,622.25	02/28/22	1,674.49		-52.24	4 of 14 - Sale <sup>25</sup>
	1.000	811.12	02/28/22	838.42		-27.30	5 of 14 - Sale <sup>25</sup>
	1.000	811.12	02/28/22	827.25		-16.13	6 of 14 - Sale 25
	0.768	623.00	05/16/22	663.67		-40.67	7 of 14 - Sale 25
	0.232	188.12	05/16/22	200.39		-12.27	8 of 14 - Sale 25
	0.043	35.12	05/16/22	40.18		-5.06	9 of 14 - Sale <sup>25</sup>
	0.005	4.36	05/16/22	4.65		-0.29	10 of 14 - Sale <sup>25</sup>
	0.951	771.65	05/16/22	734.31		37.34	11 of 14 - Sale <sup>25</sup>

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(continued)

2022 1099-B\* OMB No. 1545-0715

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 12- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON	STOCK / CUSIP: 88160R101						
	1.000	811.12	05/31/22	742.07		69.05	12 of 14 - Sale <sup>25</sup>
	0.000	0.06	06/17/22	0.05		0.01	13 of 14 - Sale <sup>25</sup>
	0.456	369.88	06/17/22	299.95		69.93	14 of 14 - Sale <sup>25</sup>
07/21/22	13.456	10,914.52	Various	10,993.30		-78.78	Total of 14 transactions
10/04/22	5.000	1,244.07	10/03/22	1,270.10	26.03 W	0.00	Sale <sup>25</sup>
	Security total:	32,831.13		37,291.49	4,504.87 W	44.51	
TWITTER, INC. / CUSIP:	90184L102 / Symbol:						
05/24/22	25.000	913.40	05/12/22	1,125.00		-211.60	Sale <sup>25</sup>
NORWEGIAN CRUISE L	INE HOLDINGS LTD. ORDIN	ARY SHARES / CUSIF	P: G66721104	/ Symbol:			
	5 transactions for 02/	/24/22. Total proceeds a	and cost report	ed to the IRS.			
	49.895	887.13	04/22/21	1,499.76	611.34 W	-1.29	1 of 5 - Sale <sup>25</sup>
	0.105	1.87	04/22/21	3.40	1.53 W	0.00	2 of 5 - Sale <sup>25</sup>
	19.895	353.73	04/22/21	645.53	291.80 W	0.00	3 of 5 - Sale <sup>25</sup>
	29.895	531.53	04/22/21	981.36	449.83 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.210	3.73	04/22/21	6.41	2.68 W	0.00	5 of 5 - Sale <sup>25</sup>
02/24/22	100.000	1,777.99	Various	3,136.46	1,357.18 W	-1.29	Total of 5 transactions
	3 transactions for 02/	25/22. Total proceeds a	and cost report	ed to the IRS.			
	20.000	390.05	05/06/21	553.95		-163.90	1 of 3 - Sale <sup>25</sup>
	5.105	99.56	05/06/21	141.76		-42.20	2 of 3 - Sale <sup>25</sup>
	74.895	1,460.62	05/11/21	2,058.49		-597.87	3 of 3 - Sale <sup>25</sup>
02/25/22	100.000	1,950.23	Various	2,754.20		-803.97	Total of 3 transactions
	Security total:	3,728.22		5,890.66	1,357.18 W	-805.26	
SPOTIFY TECHNOLOG	Y S.A. / CUSIP: L8681T102 /	Symbol:					
11/09/22	15.000	1,065.50	11/08/22	1,102.50		-37.00	Sale <sup>25</sup>
Totals :		80,621.86		96,456.46	10,723.32 W	-5,111.28	

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Account 976210708

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

2022 **1099-B\*** OMB No. 1545-0715

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. to Description of property/CUSIP/Symbol

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
C3.AI, INC. / CUSIP: 12	2468P104 / Symbol:						
02/01/22	0.341	8.91	09/01/21	29.49		-20.58	Sale <sup>25</sup>
CASSAVA SCIENCES	, INC. COMMON STOCK / CUSIF	P: 14817C107 / Symbo	l:				
	8 transactions for 11/09	9/22. Total proceeds ar	nd cost report	ed to the IRS.			
	1.770	61.04	07/22/22	141.55		-80.51	1 of 8 - Sale <sup>25</sup>
	4.000	137.94	08/01/22	262.77		-124.83	2 of 8 - Sale <sup>25</sup>
	1.000	34.49	08/01/22	68.61		-34.12	3 of 8 - Sale <sup>25</sup>
	5.000	172.42	09/23/22	404.50		-232.08	4 of 8 - Sale 25
	5.000	172.43	09/23/22	241.47		-69.04	5 of 8 - Sale <sup>25</sup>
	4.521	155.91	10/10/22	460.81		-304.90	6 of 8 - Sale <sup>25</sup>
	0.479	16.51	10/10/22	39.73		-23.22	7 of 8 - Sale <sup>25</sup>
	0.467	16.12	10/10/22	39.00		-22.88	8 of 8 - Sale <sup>25</sup>
11/09/22	22.237	766.86	Various	1,658.44		-891.58	Total of 8 transactions
11/09/22	0.467	16.14	07/22/22	37.38	21.24 W	0.00	Sale <sup>25</sup>
	Security total:	783.00		1,695.82	21.24 W	-891.58	
SOFI TECHNOLOGIES	S, INC. COMMON STOCK / CUS	IP: 83406F102 / Symbo	ol:				
	8 transactions for 04/12	2/22. Total proceeds ar	nd cost report	ed to the IRS.			
	13.206	102.90	03/24/21	360.32	257.42 W	0.00	1 of 8 - Sale <sup>25</sup>
	4.782	37.26	03/24/21	120.19	82.93 W	0.00	2 of 8 - Sale 25
	10.000	77.92	03/24/21	249.14	171.22 W	0.00	3 of 8 - Sale <sup>25</sup>
	7.012	54.64	03/25/21	183.66	129.02 W	0.00	4 of 8 - Sale 25
	12.988	101.20	03/25/21	210.67	109.47 W	0.00	5 of 8 - Sale 25
	18.000	140.25	03/25/21	292.86	152.61 W	0.00	6 of 8 - Sale <sup>25</sup>
	19.782	154.14	05/10/21	544.07	389.93 W	0.00	7 of 8 - Sale <sup>25</sup>
	5.218	40.66	05/10/21	136.33	95.67 W	0.00	8 of 8 - Sale <sup>25</sup>
)4/12/22	90.988	708.97	Various	2,097.24	1,388.27 W	0.00	Total of 8 transactions
	5 transactions for 08/02				.,	0.00	
	15.988	113.84	05/10/21	278.03	164.19 W	0.00	1 of 5 - Sale <sup>25</sup>
	4.782	34.05	07/20/21	100.63	66.58 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.218	37.15	07/20/21	109.97	72.82 W	0.00	3 of 5 - Sale <sup>25</sup>
	13.012	92.64	07/21/21	200.62	107.98 W	0.00	4 of 5 - Sale <sup>25</sup>
	6.988		07/21/21	107.75	58.00 W	0.00	5 of 5 - Sale <sup>25</sup>
08/02/22							Total of 5 transactions
08/02/22	6.988 45.988	49.75 327.43	07/21/21 Various	<i>107.75</i> 797.00	58.00 W 469.57 W	<i>0.00</i> 0.00	

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2022 1099-B* OMB I	No. 1545-0715			(continued)		02/0	1/2023
				ains or losses :	are identified in the Add	itional information col	<b>umn]</b> (Lines 2 & 5)
	I with Box D checked. Basis	s is provided to the IRS.	(Line 12)				
Gain or loss (-)" is NOT rep							
a- Description of property	/CUSIP/Symbol						
Ic- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	Owentitue	6- Reported		1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	Additional information
lisposed	Quantity	(G)ross or (N)et	•	other basis	disallowed (W)	also not reported (Z)	Additional information
OFI TECHNOLOGIES, INC	C. COMMON STOCK / CUS		ol: (cont'd)				
	Security total:	1,036.40		2,894.24	1,857.84 W	0.00	
ORWEGIAN CRUISE LINE	E HOLDINGS LTD. ORDINA	ARY SHARES / CUSIP:	G66721104 /	Symbol:			
	19 transactions for 02/	24/22. Total proceeds a	and cost repor	ted to the IRS.			
	5.987	106.45	04/26/21	284.68		-178.23	1 of 19 - Sale 25
	2.883	51.27	04/26/21	140.00		-88.73	2 of 19 - Sale <sup>25</sup>
	0.912	16.21	04/26/21	43.74		-27.53	3 of 19 - Sale <sup>25</sup>
	0.117	2.07	04/26/21	5.58		-3.51	4 of 19 - Sale 25
	0.101	1.80	04/26/21	4.80		-3.00	5 of 19 - Sale <sup>25</sup>
	10.000	177.80	05/04/21	465.31		-287.51	6 of 19 - Sale <sup>25</sup>
	6.088	108.25	05/04/21	281.92		-173.67	7 of 19 - Sale <sup>25</sup>
	4.638	82.46	05/04/21	204.26		-121.80	8 of 19 - Sale <sup>25</sup>
	3.912	69.55	05/04/21	182.87		-113.32	9 of 19 - Sale <sup>25</sup>
	3.655	64.98	05/04/21	170.50		-105.52	10 of 19 - Sale <sup>25</sup>
	2.462	43.77	05/04/21	112.91		-69.14	11 of 19 - Sale <sup>25</sup>
	1.345	23.92	05/04/21	63.89		-39.97	12 of 19 - Sale <sup>25</sup>
	1.450	25.78	05/04/21	63.15		-37.37	13 of 19 - Sale <sup>25</sup>
	1.345	23.92	05/04/21	59.00		-35.08	14 of 19 - Sale <sup>25</sup>
	0.105	1.87	05/04/21	4.93		-3.06	15 of 19 - Sale <sup>25</sup>
	8.550	152.01	05/06/21	376.97		-224.96	16 of 19 - Sale <sup>25</sup>
	2.567	45.63	05/06/21	111.55		-65.92	17 of 19 - Sale <sup>25</sup>
	2.433	43.26	05/06/21	108.21		-64.95	18 of 19 - Sale <sup>25</sup>
	1.345	23.92	05/06/21	59.34		-35.42	19 of 19 - Sale <sup>25</sup>
2/24/22	59.895	1,064.92	Various	2,743.61		-1,678.69	Total of 19 transactions
	15 transactions for 02/	24/22. Total proceeds a	and cost repor	ted to the IRS.			
	0.017	0.30	04/22/21	0.85	0.55 W	0.00	1 of 15 - Sale <sup>25</sup>
	3.041	54.07	04/22/21	146.09	92.02 W	0.00	2 of 15 - Sale <sup>25</sup>
	0.074	1.32	04/22/21	3.51	2.19 W	0.00	3 of 15 - Sale 25
	1.376	24.46	04/22/21	65.51	41.05 W	0.00	4 of 15 - Sale 25
	0.509	9.04	04/22/21	25.45	16.41 W	0.00	5 of 15 - Sale <sup>25</sup>
	0.088	1.57	04/22/21	3.98	2.41 W	0.00	6 of 15 - Sale <sup>25</sup>
	0.450	8.00	04/22/21	22.02	14.02 W	0.00	7 of 15 - Sale <sup>25</sup>
	0.638	11.34	04/22/21	31.21	19.87 W	0.00	8 of 15 - Sale <sup>25</sup>
	0.926	16.46	04/22/21	39.65	23.19 W	0.00	9 of 15 - Sale 25

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Account 976210708

Proceeds from Broker and Barter Exchange Transactions

## Robinhood Markets Inc. as agent for Robinhood Securities LLC

### Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

**Proceeds from Broker and Barter Exchange Transactions** 

2022 1099-B\* OMB No. 1545-0715

## LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

## "Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		fe- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORWEGIAN CRUIS	E LINE HOLDINGS LTD. ORDINA	RY SHARES / CUSIP:	G66721104 /	Symbol: (cont'd)			
	0.926	16.46	04/22/21	39.58	23.12 W	0.00	10 of 15 - Sale <sup>25</sup>
	2.986	53.09	04/22/21	127.91	74.82 W	0.00	11 of 15 - Sale <sup>25</sup>
	9.074	161.34	04/22/21	387.99	226.65 W	0.00	12 of 15 - Sale <sup>25</sup>
	6.088	108.25	04/22/21	274.56	166.31 W	0.00	13 of 15 - Sale <sup>25</sup>
	10.000	177.80	04/22/21	474.20	296.40 W	0.00	14 of 15 - Sale <sup>25</sup>
	3.912	69.55	04/22/21	185.24	115.69 W	0.00	15 of 15 - Sale <sup>25</sup>
02/24/22	40.105	713.05	Various	1,827.75	1,114.70 W	0.00	Total of 15 transactions
	2 transactions for 05/31	1/22. Total proceeds an	d cost reporte	ed to the IRS.			
	24.895	400.58	05/11/21	682.62	55.46 W	-226.58	1 of 2 - Sale <sup>25</sup>
	25.105	403.95	05/11/21	690.01	286.06 W	0.00	2 of 2 - Sale <sup>25</sup>
05/31/22	50.000	804.53	Various	1,372.63	341.52 W	-226.58	Total of 2 transactions
	Security total:	2,582.50		5,943.99	1,456.22 W	-1,905.27	
Totals :	•	4,410.81		10,563.54	3,335.30 W	-2,817.43	

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Account 976210708

02/01/2023

(continued)

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Robinhood Markets Inc. as agent for Robinhood Securities LLC		Detail for Miscellaneous Inforr	nation		Account	976210708		
2022					02/01/202	23		
Security description	CUSIP and/or symbol	Date	Amount	Transaction type			Notes	
US Dollars	USDOLLARS	02/02/22	3.34	Other income				

USDOLLARS

02/02/22

3.34 Total Miscellaneous income

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# Robinhood Markets Inc. as agent for Robinhood Securities LLC

2022

## **Fees and Expenses**

Account 976210708

02/01/2023

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Margin charge		02/07/22	-5.00	Margin interest paid	
		03/09/22	-3.02	Margin interest paid	
		03/09/22	-5.00	Margin interest paid	
		04/08/22	-5.00	Margin interest paid	
		04/08/22	-8.69	Margin interest paid	
		05/09/22	-5.00	Margin interest paid	
		05/09/22	-7.29	Margin interest paid	
		06/07/22	-5.00	Margin interest paid	
		06/07/22	-9.53	Margin interest paid	
		07/07/22	-5.00	Margin interest paid	
		07/07/22	-13.04	Margin interest paid	
		07/21/22	-6.59	Margin interest paid	
		-	-78.16	Total Margin interest paid	
		-	-78.16	Total Margin interest paid	

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Robinhood Markets Inc. as agent for         Account         976           Robinhood Securities LLC         Non-Reportable Actions         Account         976						976210708		
2022		-			02/01/202	3		
Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type		Notes	j
AMC ENTERTAINMENT HOLDINGS, IN C., PREFERRED EQUITY UNITS	00165C203	08/22/22	79.06	0.00	Stock spinoff			

Robinhood Markets Inc. as agent for Robinhood Securities LLC

## 2022 End Notes for Account 976210708

25 Tax lot closed on a first in first out basis.

#### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in contract or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1266 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR)

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the sexercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Soft Premise.

### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For

Line 19. Shows the andounce of hondeductible loss in a wash sate transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 109-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

### Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Line 13. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Lines 16-18. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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Robinhood Markets Inc. as agent for Robinhood Crypto LLC		Tax Information Account 976210708C		02/01/2023	2022
85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700	Akash Agrawal 1000 Windsor Shores D Columbia, SC 29223	r 24a			
PAYER'S TIN: 46-4364776	RECIPIENT'S TIN: XXX	-XX-8013			
11 - [] FATCA filing requirement (see instructions)	Summary	/ Information		13 - [] FATCA filing require	ment (see instructions)
DIVIDENDS AND DISTRIBUTIONS 2022 1099-DIV	7* OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2022 1099-MISC*	OMB No. 1545-011
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties			0.00
1b- Qualified dividends	0.00	3- Other income			0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax			0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments	s in lieu of dividends o	r interest	0.00
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain 2e- Section 897 ordinary dividends	0.00 0.00	SECTION 1256 CO	NTRACTS	2022 1099-В*	OMB No. 1545-0715
2f- Section 897 capital gain	0.00	8- Profit or (loss) realiz	ed in 2022 on closed	contracts	0.00
3- Nondividend distributions	0.00	9- Unrealized profit or	(loss) on open contrac	ts-12/31/2021	0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or		ts-12/31/2022	0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (	loss) on contracts		0.00
6- Investment expenses	0.00	If applicable, procee	ds from sale transactio	ons appear summarized bel	low and are
8- Foreign country or US possession: 7- Foreign tax paid:	0.00		ent sections of this doc		
9- Cash liquidation distributions	0.00	* This is important tax information			nue Service.
10- Noncash liquidation distributions	0.00	If you are required to file a retu			
12- Exempt-interest dividends (includes line 13) 13- Specified private activity bond interest dividends (AMT)	0.00 0.00	you if this income is taxable and			

## SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	7,330.43	11,342.44	0.00	0.00	-4,012.01
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	7,330.43	11,342.44	0.00	0.00	-4,012.01
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	7,330.43	11,342.44	0.00	0.00	-4,012.01
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2022 may require an amended tax form.

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Account 976210708C

02/01/2023

## **Summary Information**

2022

(continued)

#### **INTEREST INCOME** 2022 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
<ol><li>Bond premium on Treasury obligations (covered lots)</li></ol>	0.00
<ol><li>Bond premium on tax-exempt bonds (categorized below)</li></ol>	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid	0.00 0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nongualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

## **ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year Acquisition premium (covered lots)	0.00 0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### **RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

	0.00
Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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## Robinhood Markets Inc. as agent for Robinhood Crypto LLC

## Proceeds from Broker and Barter Exchange Transactions

02/01/2023

Account 976210708C

### **2022 1099-B\*** OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

### FATCA filing requirement []

### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Bitcoin / CUSIP: I	BTCUSD / Symbol:						
	5 transactions for 02/24	4/22. Total proceeds ar	e reported to	the IRS.			
	0.00540501	188.12	10/07/21	292.65		-104.53	1 of 5 - Sale <sup>25</sup>
	0.00774568	269.58	10/20/21	499.99		-230.41	2 of 5 - Sale <sup>25</sup>
	0.00318332	110.79	10/22/21	200.00		-89.21	3 of 5 - Sale 25
	0.008123709999999999	282.73	10/23/21	500.06		-217.33	4 of 5 - Sale 25
	0.00427102	148.65	10/26/21	269.31		-120.66	5 of 5 - Sale 25
02/24/22	0.028729	999.87	Various	1,762.01		-762.14	Total of 5 transactions
Dogecoin / CUSII	P: DOGEUSD / Symbol:						
	11 transactions for 01/2	24/22. Total proceeds a	are reported to	o the IRS.			
	387.399999999999977263	50.34	05/25/21	135.52		-85.18	1 of 11 - Sale <sup>25</sup>
	557.60000000000022737	72.46	06/22/21	99.99		-27.53	2 of 11 - Sale 25
	2,075.1900000000005457	269.69	09/16/21	500.01		-230.32	3 of 11 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

2022 109	<b>99-B</b> * OMB No. 1545-0715			(continued)		02/0	1/2023
HORT TE	RM TRANSACTIONS FOR NON	COVERED TAX I	OTS [Ord	inary gains or l	osses are identified in th	ne Additional informat	ion column] (Line 5)
	n 8949, Part I with Box B checked. Basis				ur - radminica in u		(Ente 5)
	," "Cost or other basis," "Accrued market				ss (-)" are NOT reported to t	he IRS.	
a- Descriptio	n of property/CUSIP/Symbol						
1c- Date		1d- Proceeds &			Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	Date	Cost or	Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et		other basis	disallowed (W)	also not reported (Z)	Additional information
Dogecoin / CU	SIP: DOGEUSD / Symbol: (cont'd)						
-	474.689999999999997726	61.69	09/20/21	99.98		-38.29	4 of 11 - Sale 25
	2,448.51000000000218279	318.20	09/24/21	500.57		-182.37	5 of 11 - Sale <sup>25</sup>
	960.7300000000001819	124.85	09/24/21	200.00		-75.15	6 of 11 - Sale <sup>25</sup>
	248.0999999999999994316	32.24	09/28/21	50.01		-17.77	7 of 11 - Sale <sup>25</sup>
	730.9600000000003638	94.99	10/31/21	199.91		-104.92	8 of 11 - Sale <sup>25</sup>
	762.84000000000031832	99.14	11/07/21	200.01		-100.87	9 of 11 - Sale <sup>25</sup>
	479.97000000000027285	62.38	11/10/21	117.60		-55.22	10 of 11 - Sale <sup>25</sup>
	336.329999999999984084	43.71	11/10/21	82.41		-38.70	11 of 11 - Sale <sup>25</sup>
01/24/22	9,462.320	1.229.69	Various	2,186.01		-956.32	Total of 11 transactions
01/27/22	9,402.320 2 transactions for 06/1	,				-300.02	
	695.500	37.84	01/25/22	99.73		-61.89	1 of 2 - Sale 25
	4,816.689999999999999822	262.07	02/07/22	802.41		-540.34	2 of 2 - Sale 25
06/15/22	5.512.190	299.91	Various	902.14		-602.23	Total of 2 transactions
	4 transactions for 10/2					••===•	
	1,187.5099999999999990905	70.89	02/07/22	197.83		-126.94	1 of 4 - Sale 25
	5,015.500	299.40	05/27/22	399.93		-100.53	2 of 4 - Sale 25
	1,454.91000000000081855	86.85	07/24/22	99.99		-13.14	3 of 4 - Sale 25
	1,455.319999999999936335	86.87	07/24/22	100.00		-13.13	4 of 4 - Sale 25
10/20/22	9,113.240	544.01	Various	797.75		-253.74	Total of 4 transactions
11/08/22	6,792.6400000000327418	753.73	10/28/22	500.00		253.73	Sale <sup>25</sup>
	Security total:	2,827.34		4,385.90	•••	-1,558.56	
Ethereum / CU	SIP: ETHUSD / Symbol:						
	21 transactions for 02/2	24/22. Total proceeds a	are reported t	o the IRS.			
	0.176179000000000002	421.11	09/24/21	499.69		-78.58	1 of 21 - Sale <sup>25</sup>
	0.14609300000000000	349.20	09/24/21	423.79		-74.59	2 of 21 - Sale <sup>25</sup>
	0.140638999999999986	336.16	09/24/21	407.97		-71.81	3 of 21 - Sale <sup>25</sup>
	0.063728000000000007	152.33	09/24/21	180.52		-28.19	4 of 21 - Sale 25
	0.038600000000000002	92.26	09/24/21	111.97		-19.71	5 of 21 - Sale <sup>25</sup>
	0.01930000000000000	46.14	09/24/21	55.99		-9.85	6 of 21 - Sale <sup>25</sup>
	0.103205000000000005	246.69	09/25/21	300.00		-53.31	7 of 21 - Sale <sup>25</sup>
	0.0401009999999999998	95.85	10/18/21	150.00		-54.15	8 of 21 - Sale <sup>25</sup>
	0.126712999999999999	302.88	10/20/21	500.04		-197.16	9 of 21 - Sale <sup>25</sup>
	0.1166439999999999998	278.81	10/21/21	499.88		-221.07	10 of 21 - Sale 25

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Page

Account 976210708C

## **Proceeds from Broker and Barter Exchange Transactions**

Robinhood Markets Inc. as agent for

Robinhood Crypto LLC

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Robinhood	Markets Inc.	as agent for
Robinhood	Crypto LLC	

Account 976210708C

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## **Proceeds from Broker and Barter Exchange Transactions**

2022 **1099-B\*** OMB No. 1545-0715 (continued)

02/01/2023

## SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5) Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS. 10 Doc -f ... 

1c- Date sold or disposed Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et		Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
thereum / CUSIP: ETHUSD / Symbol: (cont'd)						
0.0474279999999999998	113.36	10/26/21	200.01		-86.65	11 of 21 - Sale <sup>25</sup>
0.047990999999999999999	114.71	10/27/21	200.01		-85.30	12 of 21 - Sale <sup>25</sup>
0.049612000000000003	118.59	10/27/21	199.97		-81.38	13 of 21 - Sale <sup>25</sup>
0.050074	119.69	10/27/21	200.03		-80.34	14 of 21 - Sale 25
0.02197	52.51	11/10/21	100.04		-47.53	15 of 21 - Sale <sup>25</sup>
0.02213599999999999999	52.91	11/10/21	99.91		-47.00	16 of 21 - Sale 25
0.038628999999999999	92.29	11/12/21	175.13		-82.84	17 of 21 - Sale <sup>25</sup>
0.005487	13.12	11/12/21	24.88		-11.76	18 of 21 - Sale <sup>25</sup>
0.180971999999999999	433.15	11/26/21	742.17		-309.02	19 of 21 - Sale <sup>25</sup>
0.027812	66.57	11/26/21	114.13		-47.56	20 of 21 - Sale <sup>25</sup>
0.002047	4.89	11/26/21	8.40		-3.51	21 of 21 - Sale <sup>25</sup>
02/24/22 1.46536	3,503.22	Various	5,194.53		-1,691.31	Total of 21 transactions
Totals :	7,330.43		11,342.44		-4,012.01	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

5 of 8 Robinhood Markets Inc. as agent for Robinhood Crypto LLC

## 2022 End Notes for Account 976210708C

25 Tax lot closed on a first in first out basis.

#### **Common Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or 0 (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in contract or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the sexercise of a compensatory option premium. If the securities were acquired through the exercise of a compensatory option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

### Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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