



FIDELITY BROKERAGE SERVICES LLC
P.O. Box 28019
Albuquerque, NM 87125-8019

2023 TAX REPORTING STATEMENT

ABHIJEET SHINDE

Account No. **Z07-361766** Customer Service: 800-544-6666
Recipient ID No. *****-**-2071** Payer's Fed ID Number: 04-3523567

eDelivered

ABHIJEET SHINDE
3700 BEACON AVE
APT 202
FREMONT CA 94538-3055

Payer's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
499 WASHINGTON BLVD
JERSEY CITY, NJ 07310

Form 1099-DIV *

2023 Dividends and Distributions

Copy B for Recipient
(OMB No. 1545-0110)

| | | | |
|--|-------------|--|------|
| 1a Total Ordinary Dividends | 9.01 | 6 Investment Expenses | 0.00 |
| 1b Qualified Dividends | 0.00 | 7 Foreign Tax Paid..... | 0.00 |
| 2a Total Capital Gain Distributions | 0.00 | 8 Foreign Country or U.S. Possession..... | 0.00 |
| 2b Unrecap. Sec 1250 Gain..... | 0.00 | 9 Cash Liquidation Distributions..... | 0.00 |
| 2c Section 1202 Gain..... | 0.00 | 10 Non-Cash Liquidation Distributions..... | 0.00 |
| 2d Collectibles (28%) Gain..... | 0.00 | 12 Exempt Interest Dividends..... | 0.00 |
| 2e Section 897 Ordinary Dividends | 0.00 | 13 Specified Private Activity Bond Interest Dividends..... | 0.00 |
| 2f Section 897 Capital Gain | 0.00 | 14 State..... | |
| 3 Nondividend Distributions | 0.00 | 15 State Identification No. | |
| 4 Federal Income Tax Withheld | 0.00 | 16 State Tax Withheld..... | 0.00 |
| 5 Section 199A Dividends | 0.00 | | |

Form 1099-INT *

2023 Interest Income

Copy B for Recipient
(OMB No. 1545-0112)

| | | | |
|---|-------------|--|------|
| 1 Interest Income | 0.00 | 10 Market Discount | 0.00 |
| 2 Early Withdrawal Penalty | 0.00 | 11 Bond Premium | 0.00 |
| 3 Interest on U.S. Savings Bonds and Treas. Obligations | 0.00 | 12 Bond Premium on U.S. Treasury Obligations | 0.00 |
| 4 Federal Income Tax Withheld | 0.00 | 13 Bond Premium on Tax-Exempt Bond | 0.00 |
| 5 Investment Expenses | 0.00 | 14 Tax-Exempt Bond CUSIP no. | |
| 6 Foreign Tax Paid | 0.00 | 15 State | |
| 7 Foreign Country or U.S. Possession | | 16 State Identification No | |
| 8 Tax-Exempt Interest | 0.00 | 17 State Tax Withheld | 0.00 |
| 9 Specified Private Activity Bond Interest | 0.00 | | |

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Form 1099-MISC *

2023 Miscellaneous Information

Copy B for Recipient
(OMB No. 1545-0115)

| | | | |
|--|-------------|---------------------------------|------|
| 2 Royalties | 0.00 | 16 State Tax Withheld | 0.00 |
| 3 Other Income | 0.00 | 17 State/Payer's State No. | |
| 4 Federal Income Tax Withheld | 0.00 | 18 State Income | 0.00 |
| 8 Substitute Payments in Lieu of Dividends or Interest | 0.00 | | |

Summary of 2023 Proceeds From Broker and Barter Exchange Transactions

| 1099-B Section | Total Proceeds | Total Cost Basis | Total Market Discount | Total Wash Sales | Realized Gain/Loss | Federal Income Tax Withheld |
|---|-------------------|-------------------|-----------------------|------------------|--------------------|-----------------------------|
| Short-term transactions for which basis is <u>reported</u> to the IRS | 123,089.51 | 137,840.70 | 0.00 | 3,749.43 | -14,751.19 | 0.00 |
| Short-term transactions for which basis is <u>not reported</u> to the IRS | 2,966.27 | 0.00 | 0.00 | 0.00 | 2,966.27 | 0.00 |
| Long-term transactions for which basis is <u>reported</u> to the IRS | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long-term transactions for which basis is <u>not reported</u> to the IRS | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Transactions for which basis is not reported to the IRS and Term is Unknown | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | 126,055.78 | 137,840.70 | 0.00 | 3,749.43 | -11,784.92 | 0.00 |

1099-B amounts are reported individually to the IRS. Refer to the Form 1099-B sections in this statement, if applicable.
The disallowed loss is included in the cost basis; therefore, it is calculated into the realized gain/loss.

Summary of 2023 Original Issue Discount

| | | | |
|--|------|---|------|
| Total Original Issue Discount | 0.00 | Total Original Issue Discount - REMIC | 0.00 |
| Total Original Issue Discount on U.S. Treasury Obligations | 0.00 | | |
| Total Original Issue Discount on Tax-Exempt Obligations | 0.00 | | |

Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Form 1099-OID pages in this statement, if applicable.

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FORM 1099-B*

2023 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

| 1a Description of property, Stock or Other Symbol, CUSIP | Action | Quantity | 1b Date Acquired | 1c Date Sold or Disposed | 1d Proceeds | 1e Cost or Other Basis (b) | 1f Accrued Market Discount | 1g Wash Sale Loss Disallowed | Gain/Loss (-) | 4 Federal Income Tax Withheld | 14 State Tax Withheld |
|--|------------------|-----------|------------------|--------------------------|-----------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| AEVA TECHNOLOGIES INC COM, AEVA, 00835Q103 | | | | | | | | | | | |
| | Sale | 1,200.000 | 06/15/23 | 08/09/23 | 1,229.86 | 1,715.64 | | | -485.78 | | |
| | Sale | 300.000 | 06/15/23 | 08/09/23 | 307.47 | 427.50 | | | -120.03 | | |
| | Subtotals | | | | 1,537.33 | 2,143.14 | | | | | |
| AMBARELLA INC COM USD0.00045, AMBA, G037AX101 | | | | | | | | | | | |
| | Sale | 100.000 | 05/30/23 | 05/31/23 | 6,949.31 | 7,952.99 | | | -1,003.68 | | |
| CALL AAPL \$180 EXP 08/11/2023, AAPL23081, 6612409GG | | | | | | | | | | | |
| | Sale | 6.000 | 08/07/23 | 08/08/23 | 559.92 | 922.08 | | | -362.16 | | |
| | Sale | 4.000 | 08/07/23 | 08/08/23 | 373.28 | 482.72 | | | -109.44 | | |
| | Subtotals | | | | 933.20 | 1,404.80 | | | | | |
| CALL AFRM \$15 EXP 08/25/2023, AFRM23082, 6621229LH | | | | | | | | | | | |
| | Sale | 20.000 | 08/24/23 | 08/25/23 | 3,926.36 | 1,573.60 | | | 2,352.76 | | |
| CALL AFRM \$30 EXP 12/01/2023, AFRM23120, 6791499MG | | | | | | | | | | | |
| | Sale | 8.000 | 11/27/23 | 11/28/23 | 906.64 | 581.35 | | | 325.29 | | |
| | Sale | 2.000 | 11/27/23 | 11/28/23 | 226.66 | 145.34 | | | 81.32 | | |
| | Subtotals | | | | 1,133.30 | 726.69 | | | | | |
| CALL AFRM \$39 EXP 12/08/2023, AFRM23120, 6869819BZ | | | | | | | | | | | |
| | Sale | 3.000 | 12/06/23 | 12/07/23 | 363.99 | 278.01 | | | 85.98 | | |
| CALL AI \$20 EXP 05/12/2023, AI230512C, 6467999HD | | | | | | | | | | | |
| | Sale | 2.000 | 05/10/23 | 05/12/23 | 44.63 | 141.36 | | | -96.73 | | |

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|--|----------|------------------|--------------------------|-----------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| CALL AI \$30 EXP 01/19/2024, AI240119C, 5510229BB | | | | | | | | | | |
| Sale | 2.000 | 12/22/23 | 12/28/23 | 508.65 | 341.34 | | | 167.31 | | |
| Sale | 3.000 | 12/22/23 | 12/28/23 | 762.98 | 506.01 | | | 256.97 | | |
| Subtotals | | | | 1,271.63 | 847.35 | | | | | |
| CALL AMD \$122 EXP 06/09/2023, AMD230609, 6517589TT | | | | | | | | | | |
| Sale | 5.000 | 06/07/23 | 06/08/23 | 306.59 | 763.40 | | 456.81 | -456.81 | | |
| Sale | 5.000 | 06/07/23 | 06/08/23 | 211.59 | 825.21 | | | -613.62 | | |
| Subtotals | | | | 518.18 | 1,588.61 | | 456.81 | | | |
| CALL ASAN \$20 EXP 01/19/2024, ASAN24011, 5814289DB | | | | | | | | | | |
| Sale | 1.000 | 09/05/23 | 11/14/23 | 251.33 | 425.68 | | | -174.35 | | |
| Sale | 4.000 | 09/05/23 | 11/14/23 | 1,005.30 | 1,698.72 | | | -693.42 | | |
| Subtotals | | | | 1,256.63 | 2,124.40 | | | | | |
| CALL AVGO \$1000 EXP 11/24/2023, AVGO23112, 6784259PL | | | | | | | | | | |
| Sale | 1.000 | 11/21/23 | 11/21/23 | 649.32 | 762.67 | | | -113.35 | | |
| CALL AVGO \$1110 EXP 12/15/2023, AVGO23121, 6562569PP | | | | | | | | | | |
| Sale | 1.000 | 12/14/23 | 12/14/23 | 1,439.31 | 1,089.67 | | | 349.64 | | |
| CALL AVGO \$800 EXP 06/02/2023, AVGO23060, 6560389UU | | | | | | | | | | |
| Sale | 1.000 | 06/01/23 | 06/01/23 | 2,949.29 | 3,150.68 | | | -201.39 | | |
| CALL AVGO \$850 EXP 09/01/2023, AVGO23090, 6630439AS | | | | | | | | | | |
| Sale | 1.000 | 08/28/23 | 08/29/23 | 4,059.28 | 3,050.68 | | | 1,008.60 | | |

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|--|----------|------------------|--------------------------|---------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| CALL AVGO \$900 EXP 10/20/2023, AVGO23102, 6403259UO | | | | | | | | | | |
| Sale | 1.000 | 10/16/23 | 10/19/23 | 125.33 | 1,250.67 | | | -1,125.34 | | |
| Sale | 1.000 | 10/17/23 | 10/19/23 | 125.33 | 410.67 | | | -285.34 | | |
| Subtotals | | | | 250.66 | 1,661.34 | | | | | |
| CALL AVGO \$950 EXP 12/01/2023, AVGO23120, 6791589FZ | | | | | | | | | | |
| Sale | 1.000 | 11/29/23 | 11/30/23 | 109.33 | 640.67 | | 531.34 | -531.34 | | |
| Sale | 1.000 | 11/29/23 | 11/30/23 | 107.33 | 780.01 | | | -672.68 | | |
| Subtotals | | | | 216.66 | 1,420.68 | | 531.34 | | | |
| CALL AVGO \$980 EXP 11/24/2023, AVGO23112, 6784259LH | | | | | | | | | | |
| Sale | 1.000 | 11/22/23 | 11/22/23 | 739.32 | 460.67 | | | 278.65 | | |
| CALL BLUE \$10 EXP 02/17/2023, BLUE23021, 6078169AU | | | | | | | | | | |
| Sale | 8.000 | 11/15/22 | 01/09/23 | 234.65 | 765.35 | | | -530.70 | | |
| Sale | 3.000 | 12/30/22 | 01/09/23 | 87.99 | 62.00 | | | 25.99 | | |
| Subtotals | | | | 322.64 | 827.35 | | | | | |
| CALL COIN \$55 EXP 06/16/2023, COIN23061, 5911179EC | | | | | | | | | | |
| Sale | 5.000 | 06/08/23 | 06/08/23 | 1,446.58 | 1,168.40 | | | 278.18 | | |
| CALL COIN \$80 EXP 02/03/2023, COIN23020, 6330139MI | | | | | | | | | | |
| Sale | 1.000 | 02/02/23 | 02/02/23 | 429.31 | 325.68 | | | 103.63 | | |
| CALL CRM \$250 EXP 12/15/2023, CRM231215, 6739599QQ | | | | | | | | | | |
| Sale | 1.000 | 12/04/23 | 12/06/23 | 639.32 | 500.67 | | | 138.65 | | |

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|--|----------|------------------|--------------------------|-----------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| CALL ENPH \$225 EXP 02/03/2023, ENPH23020, 6311199LJ | | | | | | | | | | |
| Sale | 1.000 | 01/31/23 | 01/31/23 | 358.32 | 350.67 | | | 7.65 | | |
| CALL ENPH \$235 EXP 02/10/2023, ENPH23021, 6316269CC | | | | | | | | | | |
| Sale | 1.000 | 02/07/23 | 02/07/23 | 938.29 | 1,070.68 | | | -132.39 | | |
| CALL ENPH \$90 EXP 11/24/2023, ENPH23112, 6784559FD | | | | | | | | | | |
| Sale | 2.000 | 11/16/23 | 11/17/23 | 778.65 | 531.34 | | | 247.31 | | |
| Sale | 1.000 | 11/16/23 | 11/20/23 | 526.32 | 265.67 | | | 260.65 | | |
| Subtotals | | | | 1,304.97 | 797.01 | | | | | |
| CALL ICPT \$20 EXP 02/17/2023, ICPT23021, 6290189RR | | | | | | | | | | |
| Sale | 1.000 | 01/12/23 | 02/03/23 | 54.32 | 40.67 | | | 13.65 | | |
| Sale | 1.000 | 01/12/23 | 02/03/23 | 54.32 | 40.67 | | | 13.65 | | |
| Sale | 6.000 | 01/12/23 | 02/03/23 | 325.91 | 286.01 | | | 39.90 | | |
| Subtotals | | | | 434.55 | 367.35 | | | | | |
| CALL LLY \$610 EXP 10/20/2023, LLY231020, 6521309AS | | | | | | | | | | |
| Sale | 1.000 | 10/13/23 | 10/16/23 | 1,429.31 | 900.67 | | | 528.64 | | |
| CALL META \$300 EXP 07/14/2023, META23071, 6566609SS | | | | | | | | | | |
| Sale | 1.000 | 07/06/23 | 07/12/23 | 634.31 | 465.68 | | | 168.63 | | |

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|--|----------|------------------|--------------------------|-----------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| CALL META \$320 EXP 08/04/2023, META23080, 6606439SO | | | | | | | | | | |
| Sale | 2.000 | 07/27/23 | 07/28/23 | 1,178.63 | 987.36 | | | 191.27 | | |
| Sale | 2.000 | 07/27/23 | 07/28/23 | 1,178.63 | 741.36 | | | 437.27 | | |
| Subtotals | | | | 2,357.26 | 1,728.72 | | | | | |
| CALL META \$340 EXP 12/15/2023, META23121, 6515769JH | | | | | | | | | | |
| Sale | 1.000 | 11/29/23 | 12/06/23 | 104.33 | 480.67 | | | -376.34 | | |
| CALL MSFT \$270 EXP 02/10/2023, MSFT23021, 6317179IG | | | | | | | | | | |
| Sale | 3.000 | 02/08/23 | 02/08/23 | 762.94 | 971.04 | | | -208.10 | | |
| CALL MSFT \$300 EXP 04/28/2023, MSFT23042, 6427269XV | | | | | | | | | | |
| Sale | 1.000 | 04/26/23 | 04/27/23 | 248.31 | 94.68 | | | 153.63 | | |
| CALL MSFT \$307.5 EXP 05/05/2023, MSFT23050, 6487799PL | | | | | | | | | | |
| Sale | 1.000 | 04/28/23 | 05/01/23 | 319.31 | 268.68 | | | 50.63 | | |
| CALL MSFT \$375 EXP 12/01/2023, MSFT23120, 6789819DB | | | | | | | | | | |
| Sale | 1.000 | 11/21/23 | 11/22/23 | 641.32 | 405.67 | | | 235.65 | | |
| Sale | 1.000 | 11/21/23 | 11/22/23 | 641.32 | 400.67 | | | 240.65 | | |
| Sale | 1.000 | 11/21/23 | 11/22/23 | 739.32 | 405.67 | | | 333.65 | | |
| Subtotals | | | | 2,021.96 | 1,212.01 | | | | | |
| CALL NVCR \$120 EXP 01/20/2023, NVCR23012, 4933379BB | | | | | | | | | | |
| Expire | 1.000 | 01/06/23 | 01/20/23 | 0.00 | 460.67 | | | -460.67 | | |
| Expire | 1.000 | 01/06/23 | 01/20/23 | 0.00 | 500.67 | | | -500.67 | | |

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|--|----------|------------------|--------------------------|-------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| CALL NVCR \$120 EXP 01/20/2023, NVCR23012, 4933379BB | | | | | | | | | | |
| Expire | 2.000 | 01/09/23 | 01/20/23 | 0.00 | 81.34 | | | -81.34 | | |
| Expire | 6.000 | 01/12/23 | 01/20/23 | 0.00 | 184.01 | | | -184.01 | | |
| Subtotals | | | | | 1,226.69 | | | | | |
| CALL NVDA \$420 EXP 08/18/2023, NVDA23081, 6482989IC | | | | | | | | | | |
| Sale | 2.000 | 08/11/23 | 08/14/23 | 1,728.62 | 1,305.36 | | | 423.26 | | |
| CALL NVDA \$460 EXP 07/21/2023, NVDA23072, 6489179VV | | | | | | | | | | |
| Sale | 1.000 | 07/14/23 | 07/17/23 | 1,264.30 | 747.68 | | | 516.62 | | |
| CALL NVDA \$465 EXP 12/01/2023, NVDA23120, 6789999SQ | | | | | | | | | | |
| Sale | 1.000 | 11/30/23 | 12/01/23 | 348.33 | 474.67 | | | -126.34 | | |
| CALL NVDA \$470 EXP 09/08/2023, NVDA23090, 6664759NN | | | | | | | | | | |
| Sale | 1.000 | 09/06/23 | 09/07/23 | 107.32 | 709.68 | | | -602.36 | | |
| CALL NVDA \$480 EXP 08/25/2023, NVDA23082, 6623209HF | | | | | | | | | | |
| Sale | 1.000 | 08/22/23 | 08/23/23 | 1,819.30 | 1,900.68 | | | -81.38 | | |
| CALL NVDA \$490 EXP 11/17/2023, NVDA23111, 6489199XV | | | | | | | | | | |
| Sale | 1.000 | 11/14/23 | 11/14/23 | 1,083.32 | 848.67 | | | 234.65 | | |
| CALL NVDA \$490 EXP 12/01/2023, NVDA23120, 6789999KI | | | | | | | | | | |
| Sale | 1.000 | 11/22/23 | 11/22/23 | 1,055.32 | 900.67 | | | 154.65 | | |

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ABHIJEET SHINDE

Account No. **Z07-361766** Customer Service: 800-544-6666
Recipient ID No. *****-**-2071** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2023 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
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(IRS Form 1099-B box numbers are shown below in **bold** type)

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|--|----------|------------------|--------------------------|-----------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| CALL NVDA \$500 EXP 12/22/2023, NVDA23122, 6831059PN | | | | | | | | | | |
| Sale | 1.000 | 12/19/23 | 12/20/23 | 572.32 | 340.67 | | | 231.65 | | |
| CALL QQQ \$290 EXP 03/13/2023, QQQ230313, 6416419VV | | | | | | | | | | |
| Sale | 4.000 | 03/10/23 | 03/10/23 | 769.27 | 742.72 | | | 26.55 | | |
| CALL ROKU \$75 EXP 02/24/2023, ROKU23022, 6369399GA | | | | | | | | | | |
| Sale | 5.000 | 02/17/23 | 02/21/23 | 376.59 | 653.40 | | | -276.81 | | |
| CALL ROKU \$75 EXP 07/28/2023, ROKU23072, 6577249NL | | | | | | | | | | |
| Sale | 1.000 | 07/21/23 | 07/26/23 | 242.32 | 420.68 | | | -178.36 | | |
| CALL SNOW \$180 EXP 07/21/2023, SNOW23072, 5906549JF | | | | | | | | | | |
| Sale | 1.000 | 07/03/23 | 07/13/23 | 539.32 | 534.68 | | | 4.64 | | |
| Sale | 1.000 | 07/12/23 | 07/13/23 | 539.31 | 251.68 | | | 287.63 | | |
| Subtotals | | | | 1,078.63 | 786.36 | | | | | |
| CALL SNOW \$200 EXP 06/30/2023, SNOW23063, 6530599TN | | | | | | | | | | |
| Sale | 5.000 | 06/28/23 | 06/29/23 | 61.60 | 628.40 | | | -566.80 | | |
| CALL SPY \$458 EXP 11/30/2023, SPY231130, 6855169PP | | | | | | | | | | |
| Sale | 10.000 | 11/29/23 | 11/30/23 | 133.31 | 886.69 | | | -753.38 | | |
| CALL TSLA \$185 EXP 04/06/2023, TSLA23040, 6412789FD | | | | | | | | | | |
| Sale | 1.000 | 04/05/23 | 04/05/23 | 344.31 | 229.68 | | | 114.63 | | |

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2023 TAX REPORTING STATEMENT

ABHIJEET SHINDE

Account No. **Z07-361766** Customer Service: 800-544-6666
Recipient ID No. *****-**-2071** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2023 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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(IRS Form 1099-B box numbers are shown below in **bold** type)

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|--|----------|------------------|--------------------------|---------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| CALL TSLA \$245 EXP 08/25/2023, TSLA23082, 6624229XX | | | | | | | | | | |
| Sale | 3.000 | 08/15/23 | 08/21/23 | 153.96 | 1,061.04 | | | -907.08 | | |
| Sale | 4.000 | 08/16/23 | 08/21/23 | 205.28 | 630.72 | | | -425.44 | | |
| Subtotals | | | | 359.24 | 1,691.76 | | | | | |
| CALL TSLA \$245 EXP 10/06/2023, TSLA23100, 6715339LF | | | | | | | | | | |
| Sale | 2.000 | 10/03/23 | 10/03/23 | 1,578.64 | 1,109.34 | | | 469.30 | | |
| CALL TSLA \$260 EXP 10/13/2023, TSLA23101, 6722609VV | | | | | | | | | | |
| Sale | 1.000 | 10/05/23 | 10/09/23 | 304.33 | 715.67 | | | -411.34 | | |
| CALL TSLA \$260 EXP 12/29/2023, TSLA23122, 6838129WU | | | | | | | | | | |
| Sale | 4.000 | 12/28/23 | 12/28/23 | 245.32 | 890.68 | | | -645.36 | | |
| Sale | 5.000 | 12/28/23 | 12/28/23 | 306.66 | 558.35 | | | -251.69 | | |
| Subtotals | | | | 551.98 | 1,449.03 | | | | | |
| CALL TSLA \$270 EXP 07/21/2023, TSLA23072, 6264749IE | | | | | | | | | | |
| Sale | 3.000 | 07/20/23 | 07/20/23 | 342.96 | 776.04 | | | -433.08 | | |
| CALL U \$40 EXP 06/16/2023, U230616C4, 6502329BB | | | | | | | | | | |
| Sale | 10.000 | 06/09/23 | 06/12/23 | 333.20 | 536.79 | | | -203.59 | | |
| CALL UPST \$20 EXP 06/16/2023, UPST23061, 6111639VP | | | | | | | | | | |
| Sale | 3.000 | 02/14/23 | 02/15/23 | 1,137.93 | 872.04 | | | 265.89 | | |

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2023 TAX REPORTING STATEMENT

ABHIJEET SHINDE

Account No. **Z07-361766** Customer Service: 800-544-6666
 Recipient ID No. *****-**-2071** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2023 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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|--|----------|------------------|--------------------------|-----------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| C3 AI INC CL A, AI, 12468P104 | | | | | | | | | | |
| Sale | 100.000 | 05/31/23 | 05/31/23 | 3,963.97 | 3,906.50 | | | 57.47 | | |
| ----- | | | | | | | | | | |
| ENOVIX CORPORATION COM, ENVX, 293594107 | | | | | | | | | | |
| Sale | 150.000 | 07/21/23 | 07/27/23 | 3,326.22 | 2,899.28 | | | 426.94 | | |
| ----- | | | | | | | | | | |
| PUT AAPL \$150 EXP 02/10/2023, AAPL23021, 631869900 | | | | | | | | | | |
| Sale | 3.000 | 02/03/23 | 02/06/23 | 582.94 | 467.04 | | | 115.90 | | |
| ----- | | | | | | | | | | |
| PUT AAPL \$185 EXP 08/11/2023, AAPL23081, 6612409JJ | | | | | | | | | | |
| Sale | 3.000 | 08/04/23 | 08/04/23 | 987.95 | 692.04 | | | 295.91 | | |
| Sale | 1.000 | 08/04/23 | 08/04/23 | 379.32 | 230.68 | | | 148.64 | | |
| Subtotals | | | | 1,367.27 | 922.72 | | | | | |
| ----- | | | | | | | | | | |
| PUT AMZN \$100 EXP 02/10/2023, AMZN23021, 6318709NH | | | | | | | | | | |
| Sale | 10.000 | 02/09/23 | 02/09/23 | 963.18 | 776.79 | | | 186.39 | | |
| ----- | | | | | | | | | | |
| PUT AMZN \$145 EXP 11/24/2023, AMZN23112, 6784229UU | | | | | | | | | | |
| Sale | 5.000 | 11/20/23 | 11/21/23 | 1,256.63 | 473.35 | | | 783.28 | | |
| ----- | | | | | | | | | | |
| PUT AVGO \$820 EXP 06/16/2023, AVGO23061, 5805259PJ | | | | | | | | | | |
| Sale | 1.000 | 06/12/23 | 06/14/23 | 59.32 | 1,100.68 | | | -1,041.36 | | |
| Sale | 1.000 | 06/12/23 | 06/14/23 | 59.31 | 600.68 | | | -541.37 | | |
| Subtotals | | | | 118.63 | 1,701.36 | | | | | |

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2023 TAX REPORTING STATEMENT

ABHIJEET SHINDE

Account No. **Z07-361766** Customer Service: 800-544-6666
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FORM 1099-B*

2023 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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|--|----------|------------------|--------------------------|-----------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| PUT AVGO \$820 EXP 10/13/2023, AVGO23101, 6723359AS | | | | | | | | | | |
| Sale | 1.000 | 10/06/23 | 10/09/23 | 201.33 | 1,110.67 | | | -909.34 | | |
| Sale | 1.000 | 10/06/23 | 10/09/23 | 201.33 | 640.67 | | | -439.34 | | |
| Subtotals | | | | 402.66 | 1,751.34 | | | | | |
| PUT AVGO \$905 EXP 10/13/2023, AVGO23101, 6720079XX | | | | | | | | | | |
| Sale | 1.000 | 10/12/23 | 10/12/23 | 969.32 | 670.67 | | | 298.65 | | |
| PUT COIN \$165 EXP 12/22/2023, COIN23122, 6870009PL | | | | | | | | | | |
| Sale | 5.000 | 12/21/23 | 12/21/23 | 976.64 | 1,053.35 | | | -76.71 | | |
| PUT COIN \$60 EXP 04/14/2023, COIN23041, 6417869OI | | | | | | | | | | |
| Sale | 1.000 | 04/10/23 | 04/11/23 | 49.32 | 192.68 | | | -143.36 | | |
| Sale | 1.000 | 04/10/23 | 04/11/23 | 49.31 | 192.68 | | | -143.37 | | |
| Subtotals | | | | 98.63 | 385.36 | | | | | |
| PUT COIN \$65 EXP 03/31/2023, COIN23033, 6380649QK | | | | | | | | | | |
| Sale | 1.000 | 03/24/23 | 03/27/23 | 534.31 | 355.68 | | | 178.63 | | |
| PUT DE \$360 EXP 12/01/2023, DE231201P, 6788759DZ | | | | | | | | | | |
| Sale | 3.000 | 11/22/23 | 11/28/23 | 657.98 | 977.01 | | 319.03 | -319.03 | | |
| Sale | 2.000 | 11/22/23 | 11/28/23 | 678.65 | 464.03 | | | 214.62 | | |
| Sale | 1.000 | 11/22/23 | 11/29/23 | 219.33 | 227.01 | | | -7.68 | | |
| Sale | 1.000 | 11/22/23 | 11/29/23 | 214.33 | 120.67 | | | 93.66 | | |
| Subtotals | | | | 1,770.29 | 1,788.72 | | 319.03 | | | |

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2023 TAX REPORTING STATEMENT

ABHIJEET SHINDE

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FORM 1099-B*

2023 Proceeds from Broker and Barter Exchange Transactions

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|--|----------|------------------|--------------------------|---------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| PUT DE \$410 EXP 01/27/2023, DE230127P, 6276129PN | | | | | | | | | | |
| Sale | 1.000 | 01/24/23 | 01/25/23 | 349.32 | 260.67 | | | 88.65 | | |
| PUT DE \$420 EXP 01/13/2023, DE230113P, 6269499RN | | | | | | | | | | |
| Sale | 2.000 | 01/06/23 | 01/10/23 | 172.66 | 1,001.34 | | | -828.68 | | |
| Sale | 1.000 | 01/06/23 | 01/10/23 | 86.33 | 305.67 | | | -219.34 | | |
| Subtotals | | | | 258.99 | 1,307.01 | | | | | |
| PUT ENPH \$125 EXP 09/08/2023, ENPH23090, 6663169NL | | | | | | | | | | |
| Sale | 5.000 | 08/30/23 | 09/05/23 | 316.60 | 1,028.40 | | | -711.80 | | |
| PUT ENPH \$140 EXP 08/04/2023, ENPH23080, 6605669PN | | | | | | | | | | |
| Sale | 5.000 | 08/02/23 | 08/03/23 | 656.59 | 1,108.40 | | | -451.81 | | |
| PUT ENPH \$155 EXP 05/05/2023, ENPH23050, 6460549LH | | | | | | | | | | |
| Sale | 1.000 | 05/03/23 | 05/04/23 | 127.31 | 305.68 | | | -178.37 | | |
| PUT ENPH \$200 EXP 02/17/2023, ENPH23021, 5979949XT | | | | | | | | | | |
| Sale | 2.000 | 02/10/23 | 02/14/23 | 978.61 | 637.36 | | | 341.25 | | |
| PUT ENPH \$200 EXP 02/24/2023, ENPH23022, 6322389SQ | | | | | | | | | | |
| Sale | 2.000 | 02/17/23 | 02/23/23 | 140.63 | 1,061.36 | | | -920.73 | | |
| PUT ENPH \$210 EXP 03/24/2023, ENPH23032, 6370739XX | | | | | | | | | | |
| Sale | 1.000 | 03/23/23 | 03/23/23 | 334.31 | 182.68 | | | 151.63 | | |

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ABHIJEET SHINDE

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FORM 1099-B*

2023 Proceeds from Broker and Barter Exchange Transactions

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|--|----------|------------------|--------------------------|-----------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| PUT ENPH \$215 EXP 02/17/2023, ENPH23021, 6356919CA | | | | | | | | | | |
| Sale | 2.000 | 02/15/23 | 02/16/23 | 1,078.61 | 681.36 | | | 397.25 | | |
| PUT ENPH \$230 EXP 01/13/2023, ENPH23011, 6273429HH | | | | | | | | | | |
| Sale | 1.000 | 01/09/23 | 01/09/23 | 639.31 | 400.67 | | | 238.64 | | |
| PUT ENPH \$230 EXP 01/20/2023, ENPH23012, 5066909PJ | | | | | | | | | | |
| Sale | 1.000 | 01/12/23 | 01/13/23 | 170.32 | 491.67 | | | -321.35 | | |
| PUT ENPH \$235 EXP 01/13/2023, ENPH23011, 6299849MG | | | | | | | | | | |
| Sale | 1.000 | 01/11/23 | 01/12/23 | 529.31 | 420.67 | | | 108.64 | | |
| PUT ENPH \$250 EXP 01/06/2023, ENPH23010, 6262499MM | | | | | | | | | | |
| Sale | 1.000 | 01/03/23 | 01/05/23 | 719.31 | 410.67 | | | 308.64 | | |
| PUT ENPH \$87 EXP 11/17/2023, ENPH23111, 6832709WW | | | | | | | | | | |
| Sale | 5.000 | 11/14/23 | 11/15/23 | 86.65 | 588.35 | | | -501.70 | | |
| PUT GME \$22.5 EXP 04/06/2023, GME230406, 6411069AA | | | | | | | | | | |
| Sale | 5.000 | 03/28/23 | 04/04/23 | 241.59 | 488.40 | | | -246.81 | | |
| PUT ILMN \$195 EXP 01/13/2023, ILMN23011, 6270039GE | | | | | | | | | | |
| Sale | 1.000 | 01/10/23 | 01/10/23 | 529.31 | 360.67 | | | 168.64 | | |
| Sale | 1.000 | 01/10/23 | 01/10/23 | 639.31 | 360.67 | | | 278.64 | | |
| Subtotals | | | | 1,168.62 | 721.34 | | | | | |

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FORM 1099-B*

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|--|----------|------------------|--------------------------|-----------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| PUT ISRG \$310 EXP 11/24/2023, ISRG23112, 6782079IC | | | | | | | | | | |
| Sale | 1.000 | 11/20/23 | 11/21/23 | 249.33 | 340.67 | | | -91.34 | | |
| PUT LLY \$570 EXP 12/15/2023, LLY231215, 6740329AY | | | | | | | | | | |
| Sale | 1.000 | 12/11/23 | 12/13/23 | 129.33 | 700.67 | | | -571.34 | | |
| Sale | 1.000 | 12/11/23 | 12/13/23 | 129.33 | 282.67 | | | -153.34 | | |
| Subtotals | | | | 258.66 | 983.34 | | | | | |
| PUT MDB \$375 EXP 12/15/2023, MDB231215, 6859979DX | | | | | | | | | | |
| Sale | 1.000 | 12/08/23 | 12/11/23 | 119.33 | 550.67 | | | -431.34 | | |
| PUT MDB \$400 EXP 12/08/2023, MDB231208, 6819859WU | | | | | | | | | | |
| Sale | 1.000 | 12/06/23 | 12/06/23 | 969.32 | 604.67 | | | 364.65 | | |
| PUT META \$295 EXP 09/22/2023, META23092, 6674029JD | | | | | | | | | | |
| Sale | 4.000 | 09/15/23 | 09/19/23 | 529.27 | 1,166.72 | | 637.45 | -637.45 | | |
| Sale | 4.000 | 09/17/23 | 09/21/23 | 1,265.26 | 1,572.17 | | | -306.91 | | |
| Sale | 1.000 | 09/21/23 | 09/21/23 | 316.32 | 233.68 | | | 82.64 | | |
| Subtotals | | | | 2,110.85 | 2,972.57 | | 637.45 | | | |
| PUT META \$300 EXP 09/29/2023, META23092, 6682899WQ | | | | | | | | | | |
| Sale | 1.000 | 09/20/23 | 09/21/23 | 929.31 | 506.68 | | | 422.63 | | |
| Sale | 1.000 | 09/20/23 | 09/21/23 | 929.31 | 506.68 | | | 422.63 | | |
| Sale | 2.000 | 09/22/23 | 09/25/23 | 1,748.62 | 1,089.36 | | | 659.26 | | |
| Subtotals | | | | 3,607.24 | 2,102.72 | | | | | |

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2023 TAX REPORTING STATEMENT

ABHIJEET SHINDE

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FORM 1099-B*

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|--|----------|------------------|--------------------------|-----------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| PUT NFLX \$320 EXP 01/13/2023, NFLX23011, 6270569VT | | | | | | | | | | |
| Sale | 1.000 | 01/10/23 | 01/12/23 | 256.32 | 445.67 | | | -189.35 | | |
| Sale | 1.000 | 01/10/23 | 01/12/23 | 268.32 | 445.67 | | | -177.35 | | |
| Subtotals | | | | 524.64 | 891.34 | | | | | |
| PUT NFLX \$345 EXP 02/17/2023, NFLX23021, 6260949VT | | | | | | | | | | |
| Sale | 2.000 | 02/15/23 | 02/16/23 | 178.63 | 225.36 | | | -46.73 | | |
| PUT NFLX \$360 EXP 02/10/2023, NFLX23021, 6318989KK | | | | | | | | | | |
| Sale | 1.000 | 02/06/23 | 02/06/23 | 534.30 | 425.68 | | | 108.62 | | |
| PUT NFLX \$420 EXP 08/04/2023, NFLX23080, 6606769AA | | | | | | | | | | |
| Sale | 2.000 | 07/28/23 | 08/02/23 | 242.64 | 937.36 | | 694.72 | -694.72 | | |
| Sale | 3.000 | 07/31/23 | 08/02/23 | 363.95 | 464.04 | | 33.36 | -100.09 | | |
| Sale | 3.000 | 07/31/23 | 08/02/23 | 417.96 | 1,057.12 | | | -639.16 | | |
| Subtotals | | | | 1,024.55 | 2,458.52 | | 728.08 | | | |
| PUT NFLX \$420 EXP 08/18/2023, NFLX23081, 6550649BB | | | | | | | | | | |
| Sale | 2.000 | 08/15/23 | 08/16/23 | 1,278.62 | 861.36 | | | 417.26 | | |
| PUT NFLX \$440 EXP 07/21/2023, NFLX23072, 6096969DX | | | | | | | | | | |
| Sale | 1.000 | 07/20/23 | 07/20/23 | 839.31 | 592.68 | | | 246.63 | | |
| PUT NFLX \$475 EXP 12/15/2023, NFLX23121, 6724789MK | | | | | | | | | | |
| Sale | 1.000 | 12/13/23 | 12/13/23 | 330.33 | 444.67 | | | -114.34 | | |

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2023 TAX REPORTING STATEMENT

ABHIJEET SHINDE

Account No. **Z07-361766** Customer Service: 800-544-6666
Recipient ID No. *****-**-2071** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2023 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

| 1a Description of property, Stock or Other Symbol, CUSIP | Quantity | 1b Date Acquired | 1c Date Sold or Disposed | 1d Proceeds | 1e Cost or Other Basis (b) | 1f Accrued Market Discount | 1g Wash Sale Loss Disallowed | Gain/Loss (-) | 4 Federal Income Tax Withheld | 14 State Tax Withheld |
|--|----------|------------------|--------------------------|---------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| PUT NVDA \$405 EXP 06/30/2023, NVDA23063, 6558739NH | | | | | | | | | | |
| Sale | 1.000 | 06/27/23 | 06/27/23 | 679.31 | 500.68 | | | 178.63 | | |
| Sale | 1.000 | 06/27/23 | 06/28/23 | 248.32 | 335.68 | | | -87.36 | | |
| Subtotals | | | | 927.63 | 836.36 | | | | | |
| ----- | | | | | | | | | | |
| PUT NVDA \$410 EXP 06/30/2023, NVDA23063, 6558739HB | | | | | | | | | | |
| Sale | 1.000 | 06/29/23 | 06/29/23 | 448.32 | 420.68 | | | 27.64 | | |
| ----- | | | | | | | | | | |
| PUT NVDA \$415 EXP 06/30/2023, NVDA23063, 6558739XR | | | | | | | | | | |
| Sale | 1.000 | 06/26/23 | 06/26/23 | 639.31 | 485.68 | | | 153.63 | | |
| ----- | | | | | | | | | | |
| PUT NVDA \$420 EXP 07/07/2023, NVDA23070, 6558759KI | | | | | | | | | | |
| Sale | 1.000 | 06/30/23 | 07/06/23 | 739.31 | 598.68 | | | 140.63 | | |
| ----- | | | | | | | | | | |
| PUT NVDA \$420 EXP 08/11/2023, NVDA23081, 6615229BT | | | | | | | | | | |
| Sale | 1.000 | 08/10/23 | 08/10/23 | 484.32 | 315.68 | | | 168.64 | | |
| ----- | | | | | | | | | | |
| PUT NVDA \$420 EXP 09/29/2023, NVDA23092, 6683179QO | | | | | | | | | | |
| Sale | 1.000 | 09/25/23 | 09/26/23 | 492.32 | 725.68 | | | -233.36 | | |
| ----- | | | | | | | | | | |
| PUT NVDA \$420 EXP 10/06/2023, NVDA23100, 6714409LF | | | | | | | | | | |
| Sale | 1.000 | 09/28/23 | 10/02/23 | 86.33 | 840.68 | | | -754.35 | | |
| Sale | 1.000 | 09/28/23 | 10/02/23 | 86.33 | 501.68 | | | -415.35 | | |
| Sale | 2.000 | 09/29/23 | 10/02/23 | 172.66 | 481.36 | | | -308.70 | | |
| Subtotals | | | | 345.32 | 1,823.72 | | | | | |

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2023 TAX REPORTING STATEMENT

ABHIJEET SHINDE

Account No. **Z07-361766** Customer Service: 800-544-6666
Recipient ID No. *****-**-2071** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2023 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

| 1a Description of property, Stock or Other Symbol, CUSIP | Quantity | 1b Date Acquired | 1c Date Sold or Disposed | 1d Proceeds | 1e Cost or Other Basis (b) | 1f Accrued Market Discount | 1g Wash Sale Loss Disallowed | Gain/Loss (-) | 4 Federal Income Tax Withheld | 14 State Tax Withheld |
|--|----------|------------------|--------------------------|-----------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| PUT NVDA \$425 EXP 06/23/2023, NVDA23062, 6558769TN | | | | | | | | | | |
| Sale | 1.000 | 06/21/23 | 06/23/23 | 589.31 | 488.68 | | | 100.63 | | |
| PUT NVDA \$425 EXP 08/11/2023, NVDA23081, 6615229AS | | | | | | | | | | |
| Sale | 3.000 | 08/09/23 | 08/09/23 | 1,740.94 | 1,415.04 | | | 325.90 | | |
| PUT NVDA \$440 EXP 09/22/2023, NVDA23092, 6674289UU | | | | | | | | | | |
| Sale | 1.000 | 09/18/23 | 09/19/23 | 1,049.31 | 746.68 | | | 302.63 | | |
| PUT NVDA \$450 EXP 07/21/2023, NVDA23072, 6489179SS | | | | | | | | | | |
| Sale | 1.000 | 07/17/23 | 07/19/23 | 99.32 | 578.68 | | 479.36 | -479.36 | | |
| Sale | 1.000 | 07/17/23 | 07/19/23 | 99.32 | 727.04 | | | -627.72 | | |
| Subtotals | | | | 198.64 | 1,305.72 | | 479.36 | | | |
| PUT NVDA \$450 EXP 09/15/2023, NVDA23091, 6472259RN | | | | | | | | | | |
| Sale | 1.000 | 09/07/23 | 09/11/23 | 1,025.31 | 779.68 | | | 245.63 | | |
| Sale | 1.000 | 09/12/23 | 09/12/23 | 479.32 | 689.68 | | | -210.36 | | |
| Subtotals | | | | 1,504.63 | 1,469.36 | | | | | |
| PUT NVDA \$450 EXP 09/29/2023, NVDA23092, 6683179IG | | | | | | | | | | |
| Sale | 1.000 | 09/12/23 | 09/15/23 | 1,729.30 | 1,319.68 | | | 409.62 | | |
| PUT NVDA \$450 EXP 10/13/2023, NVDA23101, 6721789HB | | | | | | | | | | |
| Sale | 2.000 | 10/09/23 | 10/11/23 | 252.66 | 1,067.34 | | | -814.68 | | |

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2023 TAX REPORTING STATEMENT

ABHIJEET SHINDE

Account No. **Z07-361766** Customer Service: 800-544-6666
Recipient ID No. *****-**-2071** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2023 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

| 1a Description of property, Stock or Other Symbol, CUSIP | Quantity | 1b Date Acquired | 1c Date Sold or Disposed | 1d Proceeds | 1e Cost or Other Basis (b) | 1f Accrued Market Discount | 1g Wash Sale Loss Disallowed | Gain/Loss (-) | 4 Federal Income Tax Withheld | 14 State Tax Withheld |
|--|----------|------------------|--------------------------|---------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| PUT NVDA \$450 EXP 12/08/2023, NVDA23120, 6820199PP | | | | | | | | | | |
| Sale | 1.000 | 12/04/23 | 12/06/23 | 93.33 | 524.67 | | | -431.34 | | |
| PUT QQQ \$377 EXP 11/13/2023, QQQ231113, 6825739PL | | | | | | | | | | |
| Sale | 1.000 | 11/10/23 | 11/13/23 | 129.33 | 179.67 | | | -50.34 | | |
| PUT QQQ \$404 EXP 12/20/2023, QQQ231220, 6889199RP | | | | | | | | | | |
| Sale | 5.000 | 12/15/23 | 12/19/23 | 126.65 | 873.35 | | 597.36 | -746.70 | | |
| Sale | 4.000 | 12/15/23 | 12/19/23 | 101.32 | 1,036.04 | | | -934.72 | | |
| Sale | 1.000 | 12/18/23 | 12/19/23 | 25.33 | 109.67 | | | -84.34 | | |
| Subtotals | | | | 253.30 | 2,019.06 | | 597.36 | | | |
| PUT SPY \$386 EXP 01/11/2023, SPY230111, 6317949PN | | | | | | | | | | |
| Expire | 3.000 | 01/10/23 | 01/11/23 | 0.00 | 350.00 | | | -350.00 | | |
| PUT SPY \$419 EXP 11/02/2023, SPY231102, 6811179VP | | | | | | | | | | |
| Sale | 1.000 | 11/01/23 | 11/01/23 | 114.33 | 228.67 | | | -114.34 | | |
| PUT SPY \$424 EXP 10/04/2023, SPY231004, 6769679AS | | | | | | | | | | |
| Sale | 10.000 | 10/03/23 | 10/03/23 | 1,953.29 | 1,496.69 | | | 456.60 | | |
| PUT SPY \$425 EXP 10/06/2023, SPY231006, 6715089XT | | | | | | | | | | |
| Sale | 3.000 | 10/04/23 | 10/05/23 | 1,032.98 | 671.01 | | | 361.97 | | |
| PUT SPY \$427 EXP 10/03/2023, SPY231003, 6762579SS | | | | | | | | | | |
| Sale | 8.000 | 10/02/23 | 10/02/23 | 1,962.62 | 1,405.36 | | | 557.26 | | |

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2023 TAX REPORTING STATEMENT

ABHIJEET SHINDE

Account No. **Z07-361766** Customer Service: 800-544-6666
Recipient ID No. *****-**-2071** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2023 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

| 1a Description of property, Stock or Other Symbol, CUSIP | Quantity | 1b Date Acquired | 1c Date Sold or Disposed | 1d Proceeds | 1e Cost or Other Basis (b) | 1f Accrued Market Discount | 1g Wash Sale Loss Disallowed | Gain/Loss (-) | 4 Federal Income Tax Withheld | 14 State Tax Withheld |
|--|----------|------------------|--------------------------|---------------|----------------------------|----------------------------|------------------------------|---------------|-------------------------------|-----------------------|
| PUT SPY \$430 EXP 11/03/2023, SPY231103, 6765329XV | | | | | | | | | | |
| Sale | 2.000 | 11/02/23 | 11/03/23 | 52.66 | 291.34 | | | -238.68 | | |
| PUT SPY \$442 EXP 11/14/2023, SPY231114, 6825929XT | | | | | | | | | | |
| Expire | 1.000 | 11/13/23 | 11/14/23 | 0.00 | 260.67 | | | -260.67 | | |
| PUT SPY \$443 EXP 08/29/2023, SPY230829, 6688699CA | | | | | | | | | | |
| Sale | 8.000 | 08/28/23 | 08/28/23 | 1,970.54 | 1,245.44 | | | 725.10 | | |
| PUT SPY \$446 EXP 08/30/2023, SPY230830, 6689279II | | | | | | | | | | |
| Sale | 8.000 | 08/29/23 | 08/30/23 | 138.56 | 1,013.44 | | | -874.88 | | |
| Sale | 4.000 | 08/29/23 | 08/30/23 | 69.28 | 390.72 | | | -321.44 | | |
| Sale | 3.000 | 08/29/23 | 08/30/23 | 51.96 | 257.04 | | | -205.08 | | |
| Subtotals | | | | 259.80 | 1,661.20 | | | | | |
| PUT SPY \$450 EXP 09/18/2023, SPY230918, 6727289FZ | | | | | | | | | | |
| Sale | 1.000 | 09/14/23 | 09/15/23 | 309.32 | 205.68 | | | 103.64 | | |
| PUT SPY \$470 EXP 12/22/2023, SPY231222, 6831579MG | | | | | | | | | | |
| Sale | 5.000 | 12/21/23 | 12/21/23 | 971.64 | 463.35 | | | 508.29 | | |
| PUT TSLA \$127 EXP 01/20/2023, TSLA23012, 6314819JF | | | | | | | | | | |
| Sale | 1.000 | 01/17/23 | 01/19/23 | 256.32 | 191.67 | | | 64.65 | | |
| PUT TSLA \$170 EXP 03/17/2023, TSLA23031, 6112049KE | | | | | | | | | | |
| Sale | 2.000 | 03/13/23 | 03/14/23 | 198.63 | 841.36 | | | -642.73 | | |

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2023 TAX REPORTING STATEMENT

ABHIJEET SHINDE

Account No. **Z07-361766** Customer Service: 800-544-6666
 Recipient ID No. *****-**-2071** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2023 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
 Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

| 1a Description of property, Stock or Other Symbol, CUSIP | Quantity | 1b Date Acquired | 1c Date Sold or Disposed | 1d Proceeds | 1e Cost or Other Basis (b) | 1f Accrued Market Discount | 1g Wash Sale Loss Disallowed | Gain/Loss (-) | 4 Federal Income Tax Withheld | 14 State Tax Withheld |
|--|----------|------------------|--------------------------|-------------------|----------------------------|----------------------------|------------------------------|---------------|---------------------------------------|-----------------------|
| PUT TSLA \$205 EXP 02/10/2023, TSLA23021, 6327459GE | | | | | | | | | | |
| Sale | 5.000 | 02/09/23 | 02/09/23 | 716.58 | 933.40 | | | -216.82 | | |
| PUT TSLA \$265 EXP 10/13/2023, TSLA23101, 6722609AY | | | | | | | | | | |
| Sale | 1.000 | 10/10/23 | 10/11/23 | 405.33 | 490.67 | | | -85.34 | | |
| PUT TSLA \$270 EXP 09/22/2023, TSLA23092, 6675129VV | | | | | | | | | | |
| Sale | 3.000 | 09/15/23 | 09/15/23 | 1,467.94 | 1,157.04 | | | 310.90 | | |
| SACKS PARENTE GOLF INC COM, SPGC, 78577G103 | | | | | | | | | | |
| Sale | 177.000 | 08/16/23 | 08/22/23 | 431.88 | 826.59 | | | -394.71 | | |
| Sale | 59.000 | 08/16/23 | 08/22/23 | 143.37 | 275.53 | | | -132.16 | | |
| Sale | 264.000 | 08/16/23 | 08/22/23 | 636.24 | 1,232.88 | | | -596.64 | | |
| Subtotals | | | | 1,211.49 | 2,335.00 | | | | | |
| U POWER LIMITED ORD USD0.0000001, UCAR, G9520U108 | | | | | | | | | | |
| Sale | 400.000 | 06/01/23 | 06/01/23 | 2,559.97 | 2,152.00 | | | 407.97 | | |
| Sale | 300.000 | 06/02/23 | 06/12/23 | 2,293.66 | 3,156.00 | | | -862.34 | | |
| Subtotals | | | | 4,853.63 | 5,308.00 | | | | | |
| TOTALS | | | | 123,089.51 | 137,840.70 | 0.00 | 3,749.43 | | 24,261.62 | 0.00 |
| | | | | | | | | | Box A Short-Term Realized Gain | |
| | | | | | | | | | Box A Short-Term Realized Loss | |

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2023 TAX REPORTING STATEMENT

ABHIJEET SHINDE

Account No. **Z07-361766** Customer Service: 800-544-6666
Recipient ID No. *****-**-2071** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2023 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is not reported to the IRS --report on Form 8949 with Box B checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

| 1a Description of property, Stock or Other Symbol, CUSIP | Quantity | 1b Date Acquired | 1c Date Sold or Disposed | 1d Proceeds | 1e Cost or Other Basis (b) | 1f Accrued Market Discount | 1g Wash Sale Loss Disallowed | Gain/Loss (-) | 4 Federal Income Tax Withheld | 14 State Tax Withheld |
|--|----------|------------------|--------------------------|-----------------|----------------------------|----------------------------|------------------------------|-----------------|-------------------------------|-----------------------|
| AMAZON.COM INC, AMZN, 023135106 | | | | | | | | | | |
| Sale | 30.000 | 02/15/23 | 02/15/23 | 2,966.27 | 0.00 | | | 2,966.27 | | |
| TOTALS | | | | 2,966.27 | 0.00 | 0.00 | 0.00 | | 0.00 | |
| | | | | | | | | 2,966.27 | | |
| | | | | | | | | 0.00 | | |

For any transaction listed on Form 1099-B in a section indicating that "**basis is reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **2** type of gain or loss (i.e. short-term or long-term), **3** Proceeds from QOF (Qualified Opportunity Fund), **6** Gross or Net Proceeds, **12** basis reported to IRS, and columns **1b, 1c, 1d, 1e, 1f, 1g, 4, 7, 14, 15** and **16**. We are not reporting to the IRS: the Action, the Gain/Loss, and all subtotals and totals.

For any transaction listed on Form 1099-B in a section indicating that "**basis is not reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **3** Proceeds from QOF (Qualified Opportunity Fund), **5** Noncovered security, **6** Gross or Net Proceeds, and columns **1c, 1d, 4, 14, 15** and **16**. We are not reporting to the IRS: **2** type of gain or loss (i.e. short-term or long-term), the Action, the Gain/Loss, columns **1b, 1e, 1f, 1g, 2, 7** and **12** and all subtotals and totals.

For any section 1256 option contracts we are reporting to the IRS: **1a** Description of Property and totals for boxes **8, 9, 10** and **11**.

Although Fidelity makes every effort to provide accurate information, please bear in mind that you, the taxpayer, are ultimately responsible for the accuracy of your tax returns.

(b) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments and unit investment trusts.

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2023 TAX REPORTING STATEMENT

ABHIJEET SHINDE

Account No. **Z07-361766** Customer Service: 800-544-6666
Recipient ID No. *****-**-2071** Payer's Fed ID Number: 04-3523567

Summary of 2023 Supplemental Information Not Reported to the IRS

| | | | |
|--|--------|---|------|
| Margin Interest Paid | 349.08 | Currency Realized Gain/Loss (USD) | 0.00 |
| Tax Exempt Investment Expense | 0.00 | Actual Payment Shortfall..... | 0.00 |
| Accrued Interest Paid on Purchases | 0.00 | Addition to Basis | 0.00 |
| Proceeds Investment Expenses | 0.00 | Account Fees..... | 0.00 |
| Severance Tax | 0.00 | Short Dividends..... | 0.00 |
| Administrative Expenses | 0.00 | Money Market Realized Gain/Loss..... | 0.00 |
| Non-deductible Generic Expenses | 0.00 | Short/Long Term Realized Gain/Loss..... | 0.00 |
| Deductible Generic Expenses | 0.00 | Mortgage Pool Statement (MBS)..... | 0.00 |

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2023 SUPPLEMENTAL INFORMATION

ABHIJEET SHINDE

Account No. **Z07-361766** Customer Service: 800-544-6666

Recipient ID No. *****-**-2071** Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

Details of 1099-DIV Transactions

Total Ordinary Dividends and Distributions Detail

| Description, Symbol, CUSIP | | | | | | | | | |
|--|---|------------------------|--------------------------|------------------------|-----------------------------------|--------------------------|------------------------------|---|--------------------|
| Date | 1a Total Ordinary Dividends (includes 1b, 2e & 5) | Dividend Distributions | Short-Term Capital Gains | 1b Qualified Dividends | 2e Section 897 Ordinary Dividends | 5 Section 199A Dividends | 12 Exempt Interest Dividends | 13 Specified Private Activity Bond Interest Dividends | 7 Foreign Tax Paid |
| FIDELITY GOVERNMENT MONEY MARKET, SPAXX, 31617H102 | | | | | | | | | |
| 01/31/23 | 2.70 | 2.70 | | | | | | | |
| 02/28/23 | 3.71 | 3.71 | | | | | | | |
| 03/31/23 | 1.67 | 1.67 | | | | | | | |
| 04/28/23 | 0.63 | 0.63 | | | | | | | |
| 05/31/23 | 0.30 | 0.30 | | | | | | | |
| Subtotals | 9.01 | 9.01 | | | | | | | |
| TOTALS | 9.01 | 9.01 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |

Short-term capital gain distributions reported on monthly/quarterly account statements are included in **1a** Total Ordinary Dividends on Form 1099-DIV.

To see the **2023** State Percentages of Tax-Exempt Income for Fidelity Federal Tax-Exempt Funds or the Percentage of Income from U.S. Government Securities for applicable Fidelity Funds, visit [Fidelity.com/fundtaxinfo](https://www.fidelity.com/fundtaxinfo).





2023 SUPPLEMENTAL INFORMATION

ABHIJEET SHINDE

Account No. **Z07-361766** Customer Service: 800-544-6666

Recipient ID No. *****-**-2071** Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

Detail Information

Supplemental Stock Plan Lot Detail

Based on the disposal method you have selected, the lots that appear on your 1099 Supplemental may differ from the lots on the 1099-B.

Short-Term Transactions

| Description of Property, Stock or Other Symbol, Cusip | | | | | | | | |
|---|----------|-------------------------|-----------------------|-----------------|--|----------------------------------|---------------------------|--------------------|
| Grant Type (w) | Quantity | Date of Acquisition (x) | Date Sold or Disposed | Proceeds | Ordinary Income Reported (y) | Adjusted Cost or Other Basis (z) | Wash Sale Loss Disallowed | Adjusted Gain/Loss |
| AMAZON.COM INC, AMZN, 023135106 | | | | | | | | |
| RSU | 30.000 | 02/15/23 | 02/15/23 | 2,966.27 | 2,976.29 | 2,976.29 | 0.00 | -10.02 |
| Totals | | | | 2,966.27 | | 2,976.29 | | |
| | | | | | Short-Term Adjusted Realized Gain | | | 0.00 |
| | | | | | Short-Term Adjusted Realized Loss | | | -10.02 |
| | | | | | Wash Sale Loss Disallowed | | 0.00 | |

(w) Grant Type describes the equity award source of the lot you sold this tax year. Please see the Grant Type table for additional description.

| Grant Type | Equity Award Type | Acquisition date represents: |
|------------|-----------------------------------|------------------------------|
| DO | Deposit Only Shares | Deposit Date |
| NQSOP | Non Qualified Stock Option Shares | Exercise Date |
| NQSP | Non Qualified ESPP Shares | Purchase Date |
| NSR | Non-Incentive SAR (NSR) | Exercise Date |
| QSOP | Qualified Stock Option Shares | Exercise Date |
| QSP | Qualified ESPP Shares | Purchase Date |
| RSA | Restricted Awards | Vesting Date |
| RSU | Restricted Units | Distribution Date |
| RSU | Performance Units | Distribution Date |
| RSU | Total Shareholder Return Units | Distribution Date |
| SAR | Stock Appreciation Rights | Exercise Date |

(x) Date of Acquisition is the date that shares were acquired from your Equity Plan and deposited into your brokerage account.



2023 SUPPLEMENTAL INFORMATION

ABHIJEET SHINDE

Account No. **Z07-361766** Customer Service: 800-544-6666

Recipient ID No. *****-**-2071** Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

Detail Information

Supplemental Stock Plan Lot Detail

Based on the disposal method you have selected, the lots that appear on your 1099 Supplemental may differ from the lots on the 1099-B.

- (y) Fidelity-calculated ordinary income that was reported on the W2 from the year you acquired the shares. Fidelity-calculated ordinary income does not include any adjustments that your company has made. Fidelity is not responsible for any adjustments that your company may have made to this number.
- (z) Adjusted cost or other basis provided may include the following adjustments: ordinary income reported, dividend reinvestment, return of capital/principal and wash sale loss disallowed. In the case of publicly traded partnerships, the adjusted cost or other basis may not include distributions in excess of taxable income. Please consult your tax advisor and the information on your K-1 to determine if any adjustment to the cost basis is necessary.

Margin Interest Paid

| Description | Date | Amount |
|-------------------------------|----------|---------------|
| MARGIN INTEREST @ 13.325% AVG | 06/20/23 | 20.70 |
| MARGIN INTEREST @ 13.325% AVG | 07/20/23 | 47.07 |
| MARGIN INTEREST @ 13.325% AVG | 07/27/23 | 13.54 |
| MARGIN INTEREST @ 13.575% AVG | 08/20/23 | 39.79 |
| MARGIN INTEREST @ 13.575% AVG | 09/20/23 | 50.93 |
| MARGIN INTEREST @ 13.575% AVG | 10/22/23 | 47.42 |
| MARGIN INTEREST @ 13.575% AVG | 11/20/23 | 60.29 |
| MARGIN INTEREST @ 13.575% AVG | 12/20/23 | 52.32 |
| MARGIN INTEREST @ 13.575% AVG | 12/28/23 | 17.02 |
| TOTAL | | 349.08 |

Important Tax Return Document Enclosed.

