

Apex Clearing

One Dallas Center
350 North St Paul, Ste 1300
Dallas, TX 75201

IMPORTANT TAX DOCUMENTS ENCLOSED

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
888-828-0618

ABDUL SAMAD ABUBAKKAR
3695 GABRIELLE LN, APT 1116
AURORA, IL 60504-7811

IMPORTANT YEAR END MESSAGES

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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Apex Clearing One Dallas Center 350 North St Paul, Ste 1300 Dallas, TX 75201 Customer Service: 214-765-1009 Payer's Federal ID No: 13-2967453 IN ACCOUNT WITH WEBULL FINANCIAL LLC 888-828-0618	Account 5JU34498	Form 1099 Composite	2023
	ABDUL SAMAD ABUBAKKAR 3695 GABRIELLE LN, APT 1116 AURORA, IL 60504-7811 RECIPIENT'S ID No: XXX-XX-7040	Statement Date: 2024-02-11 <input type="checkbox"/> Corrected	

FATCA filing Requirement

Tax Reporting Statement

Dividends and Distributions	2023 Form1099DIV	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b)	0.00	
1b- Qualified Dividends	0.00	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d)	0.00	
2b- Unrecaptured Section 1250 Gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 ordinary dividends	0.00	
2f- Section 897 capital gain	0.00	
3- Nondividend Distributions	0.00	
4- Federal Income Tax Withheld	0.00	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign Tax Paid	0.00	
8- Foreign Country or U.S. Possessions		
9- Cash Liquidation Distribution	0.00	
10- Noncash Liquidation Distributions	0.00	
12- Exempt-Interest Dividends	0.00	
13- Specified Private Activity Bond Interest Dividends	0.00	

Interest Income	2023 Form1099INT	OMB No. 1545-0112
1- Interest Income	0.00	
2- Early Withdrawal Penalty	0.00	
3- Interest on US Savings Bonds & Treasury Obligations	0.00	
4- Federal Income Tax Withheld	0.00	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

Miscellaneous Information	2023 Form1099MISC	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
4- Federal Income Tax Withheld	0.00	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS		
	2023 Form1099B	OMB No. 1545-0715
8- Profit or (loss) realized in 2023 on closed contracts	-6,667.23	
9- Unrealized profit or (loss) on open contracts - 12/31/2022	0.00	
10- Unrealized profit or (loss) on open contracts - 12/31/2023	0.00	
11- Aggregate profit or (loss) on contracts	-6,667.23	
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>		

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Dividends may be reclassified for tax purposes after the original Form 1099 is sent in certain cases where information from issuers is received late. This may require us to provide you an amended tax form.

C - Corrected

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Tax Summary

2023

5JU34498

2024-02-11

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	47,142.46	54,150.27	0.00	4,983.70	-2,024.11
Short-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Short-term	47,142.46	54,150.27	0.00	4,983.70	-2,024.11
Long-term transactions for covered tax lots	0.00	0.00	0.00	0.00	0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term	0.00	0.00	0.00	0.00	0.00

Original Issue Discount

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount - Covered Positions	0.00
Acquisition Premium - Covered Positions	0.00
Bond Premium - Covered Positions	0.00
Tax-Exempt OID - Covered Positions	0.00
Tax Exempt OID - Specified Private Activity Bonds - Covered Positions	0.00
Investment Expenses	0.00

Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the Form1099-OID section of this document.

FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	0.00
1099-DIV Total:	0.00
1099-INT Total:	0.00
1099-MISC Total:	0.00
1099-OID Total:	0.00

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

2024-02-11

OMB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B - Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes", cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as "Box 2 - Ordinary." In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as "Box 3 - Collectibles Box Checked." Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as "Box 7 - Loss Disallowed Box Checked." Disposition of a QOF investment is noted in the Additional Notes column as "Box 3 - QOF."

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement []

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL QQQ 07/25/23	380	INVESCO QQQ TRUST SERIES 1 CUSIP: *8BSHNC7 Symbol: QQQ---230725C00380000	2023-07-25	167.86	2023-07-25 170.22	7.12 D	4.76
CALL SPY 02/28/23	400	STANDARD & POORS DEPOSITORY CUSIP: *8BSKFF9 Symbol: #8BSKFF9	2023-02-28	31.93	2023-02-28 30.05	0.00	1.88
CALL GOOGL 07/21/23	125	ALPHABET INC CLASS A CUSIP: *8BSLW1 Symbol: GOOGL-230721C00125000	2023-07-19	398.83	2023-07-19 321.15	0.00	77.68

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL COIN 07/21/23 112 COINBASE GLOBAL INC CUSIP: *8BTFVM2 Symbol: COIN--230721C00112000							
2023-07-20	3.0	701.79	2023-07-20	812.27	86.12 D	-24.36	
CALL COIN 07/21/23 117 COINBASE GLOBAL INC CUSIP: *8BTFVS9 Symbol: COIN--230721C00117000							
2023-07-20	1.0	50.93	2023-07-20	81.05	0.00	-30.12	
CALL QQQ 06/23/23 362 INVESCO QQQ TRUST SERIES 1 CUSIP: *8BTFZH2 Symbol: QQQ---230623C00362000							
2023-06-23	3.0	416.79	2023-06-23	387.15	0.00	29.64	
CALL QQQ 06/27/23 361 INVESCO QQQ TRUST SERIES 1 CUSIP: *8BTKTX9 Symbol: QQQ---230627C00361000							
2023-06-27	1.0	46.93	2023-06-27	40.05	0.00	6.88	
CALL QQQ 04/24/23 318 INVESCO QQQ TRUST SERIES 1 CUSIP: *8BTNFG2 Symbol: QQQ---230424C00318000							
2023-04-24	1.0	69.93	2023-04-24	60.05	0.00	9.88	
PUT SPY 04/24/23 411 STANDARD & POORS DEPOSITORY CUSIP: *8BTWQQ8 Symbol: SPY---230424P00411000							
2023-04-24	3.0	95.81	2023-04-24	125.26	10.11 D	-19.34	
CALL SPY 04/25/23 409 STANDARD & POORS DEPOSITORY CUSIP: *8BTWVF8 Symbol: SPY---230425C00409000							
2023-04-25	1.0	66.93	2023-04-25	72.05	0.00	-5.12	
PUT NFLX 01/20/23 250 NETFLIX INC CUSIP: *8BVPTN1 Symbol: NFLX--230120P00250000							
2023-01-20	1.0	0.00	2023-01-19	49.04	0.00	-49.04	
CALL PDD 04/21/23 73 PDD HOLDINGS INC ADS CUSIP: *8BWGKP2 Symbol: PDD---230421C00073000							
2023-04-18	1.0	33.93	2023-04-14	26.05	0.00	7.88	
CALL QQQ 07/25/23 378 INVESCO QQQ TRUST SERIES 1 CUSIP: *8BWQLV8 Symbol: QQQ---230725C00378000							
2023-07-25	2.0	327.86	2023-07-25	278.10	0.00	49.76	
PUT SPY 04/26/23 409 STANDARD & POORS DEPOSITORY CUSIP: *8BXBBD4 Symbol: SPY---230426P00409000							
2023-04-25	1.0	137.93	2023-04-25	117.05	0.00	20.88	
CALL QQQ 06/28/23 365 INVESCO QQQ TRUST SERIES 1 CUSIP: *8BYJZZ1 Symbol: QQQ---230628C00365000							
2023-06-28	1.0	102.93	2023-06-28	54.05	0.00	48.88	
CALL INTC 06/02/23 32 INTEL CORP CUSIP: *8CYLMS1 Symbol: INTC--230602C00032000							
2023-05-31	2.0	75.86	2023-05-31	103.22	24.12 D	-3.24	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NFLX 06/02/23	405	NETFLIX INC CUSIP: *8D75270 Symbol: NFLX--230602C00405000					
2023-06-02	2.0	205.86	2023-06-02	170.10	0.00	35.76	
CALL SQ 01/27/23	85	BLOCK INC CUSIP: *8F48842 Symbol: SQ----230127C00085000					
2023-01-23	5.0	231.73	2023-01-23	294.38	36.18 D	-26.47	
PUT SPY 04/27/23	407	STANDARD & POORS DEPOSITORY CUSIP: *8GCTDZ9 Symbol: SPY---230427P00407000					
2023-04-27	1.0	5.93	2023-04-27	30.05	0.00	-24.12	
CALL COIN 02/03/23	62	COINBASE GLOBAL INC CUSIP: *8GCXNY9 Symbol: COIN--230203C00062000					
2023-02-01	1.0	152.93	2023-02-01	130.05	0.00	22.88	
CALL WFC 07/21/23	45	WELLS FARGO & CO CUSIP: *8GFSBD6 Symbol: WFC---230721C00045000					
2023-07-18	1.0	61.93	2023-07-17	47.05	0.00	14.88	
PUT TSLA 06/02/23	195	TESLA INC CUSIP: *8GHJQL9 Symbol: TSLA--230602P00195000					
2023-05-30	3.0	807.79	2023-05-30	835.15	116.12 D	88.76	
2023-05-31	1.0	289.93	2023-05-30	375.17	0.00	-85.24	
Security Totals:		1,097.72		1,210.32		3.52	
CALL GOOGL 03/17/23	100	ALPHABET INC CLASS A ADJ 20:1 STOCK SPLIT CUSIP: *8GKYRQ1 Symbol: GOOGL-230317C00100000					
2023-03-09	7.0	133.62	2023-03-09	214.45	32.20 D	-48.63	
2023-03-10	1.0	16.93	2023-03-09	36.15	0.00	-19.22	
Security Totals:		150.55		250.60		-67.85	
CALL BABA 06/02/23	80	ALIBABA GROUP HOLDING LIMITED CUSIP: *8GMRHR8 Symbol: BABA--230602C00080000					
2023-06-01	2.0	279.86	Various	209.10	0.00	70.76	
PUT FIGS 03/17/23	7.50	FIGS INC CUSIP: *8GPNTB9 Symbol: FIGS--230317P00007500					
2023-02-23	2.0	89.88	2023-02-22	90.10	0.11 D	-0.11	
2023-02-24	2.0	94.86	Various	85.21	0.00	9.65	
Security Totals:		184.74		175.31		9.54	
PUT ARKK 03/17/23	35	ARK INNOVATION ETF CUSIP: *8GQMTTC1 Symbol: ARKK--230317P00035000					
2023-02-06	1.0	66.93	2023-02-03	67.05	0.00	-0.12	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL COIN 03/17/23	70 COINBASE GLOBAL INC	CUSIP: *8GTBVH9 Symbol: COIN--230317C00070000					
2023-03-13	3.0	122.81	2023-03-10	110.15	0.00	12.66	
CALL NFLX 06/02/23	410 NETFLIX INC	CUSIP: *8GWNBR9 Symbol: NFLX--230602C00410000					
2023-05-31	1.0	144.93	2023-05-31	120.05	0.00	24.88	
CALL LULU 04/21/23	380 LULULEMON ATHLETICA INC	CUSIP: *8GYDPC0 Symbol: LULU--230421C00380000					
2023-04-17	1.0	64.93	2023-04-17	78.05	0.00	-13.12	
CALL MSFT 07/21/23	360 MICROSOFT CORP	CUSIP: *8KBCYJ6 Symbol: MSFT--230721C00360000					
2023-07-20	1.0	90.93	2023-07-20	190.05	0.00	-99.12	
CALL NFLX 06/02/23	407.50 NETFLIX INC	CUSIP: *8KBMHP7 Symbol: NFLX--230602C00407500					
2023-06-01	1.0	324.93	2023-06-01	240.05	0.00	84.88	
CALL QQQ 05/31/23	349 INVESCO QQQ TRUST SERIES 1	CUSIP: *8KBTDT4 Symbol: QQQ---230531C00349000					
2023-05-31	3.0	202.81	2023-05-31	164.15	0.00	38.66	
CALL AMD 07/21/23	125 ADVANCED MICRO DEVICES INC	CUSIP: *8KBZBS1 Symbol: AMD---230721C00125000					
2023-07-19	1.0	49.93	2023-07-19	96.05	46.12 D	0.00	
2023-07-20	3.0	11.83	2023-07-19	177.27	0.00	-165.44	
Security Totals:		61.76		273.32		-165.44	
CALL SPY 06/06/23	430 STANDARD & POORS DEPOSITORY	CUSIP: *8KCWYK3 Symbol: SPY---230606C00430000					
2023-05-24	1.0	17.93	2023-05-23	38.05	0.00	-20.12	
CALL NVDA 07/21/23	460 NVIDIA CORPORATION	CUSIP: *8KFCZG5 Symbol: NVDA--230721C00460000					
2023-07-21	1.0	30.93	2023-07-21	86.05	0.00	-55.12	
CALL QQQ 06/05/23	357 INVESCO QQQ TRUST SERIES 1	CUSIP: *8KFFGN0 Symbol: QQQ---230605C00357000					
2023-06-05	1.0	66.93	2023-06-05	61.05	0.00	5.88	
CALL SPY 06/29/23	437 STANDARD & POORS DEPOSITORY	CUSIP: *8KFKYT4 Symbol: SPY---230629C00437000					
2023-06-29	3.0	249.79	Various	269.27	18.12 D	-1.36	
CALL BYND 07/07/23	14 BEYOND MEAT INC	CUSIP: *8KGTLN1 Symbol: BYND--230707C00014000					
2023-07-05	1.0	37.93	2023-07-05	34.05	0.00	3.88	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 05/03/23	410	STANDARD & POORS DEPOSITORY CUSIP: *8KJQKZ9 Symbol: SPY---230503P00410000					
2023-05-02	1.0	56.93	2023-05-01	43.05	0.00	13.88	
CALL BA 07/21/23	230	BOEING CO CUSIP: *8KKVKZ9 Symbol: BA----230721C00230000					
2023-06-07	1.0	197.93	2023-06-07	169.05	0.00	28.88	
CALL SPY 02/23/23	403	STANDARD & POORS DEPOSITORY CUSIP: *8KVMYQ3 Symbol: SPY---230223C00403000					
2023-02-23	4.0	277.72	Various	191.20	0.00	86.52	
PUT SPY 02/28/23	396	STANDARD & POORS DEPOSITORY CUSIP: *8KMWPK0 Symbol: SPY---230228P00396000					
2023-02-28	1.0	86.93	2023-02-27	86.05	0.00	0.88	
PUT TSLA 01/27/23	149	TESLA INC CUSIP: *8KNNBT0 Symbol: TSLA--230127P00149000					
2023-01-26	1.0	36.94	2023-01-26	105.04	0.00	-68.10	
PUT AMD 07/21/23	114	ADVANCED MICRO DEVICES INC CUSIP: *8KTMNL2 Symbol: AMD---230721P00114000					
2023-07-17	1.0	77.93	2023-07-17	137.05	0.00	-59.12	
CALL GOOG 02/17/23	98	ALPHABET INC CLASS C CUSIP: *8KVYVB4 Symbol: GOOG--230217C00098000					
2023-02-16	1.0	52.93	2023-02-16	41.05	0.00	11.88	
CALL DIS 07/21/23	88	WALT DISNEY CO CUSIP: *8KYWJZ3 Symbol: DIS---230721C00088000					
2023-07-18	1.0	69.93	2023-07-17	50.05	0.00	19.88	
CALL DIS 07/21/23	93	WALT DISNEY CO CUSIP: *8KYXLR5 Symbol: DIS---230721C00093000					
2023-07-18	7.0	41.62	Various	177.35	0.00	-135.73	
CALL SQ 07/07/23	66	BLOCK INC CUSIP: *8KZPZX9 Symbol: SQ----230707C00066000					
2023-07-07	1.0	30.93	2023-07-06	37.05	0.00	-6.12	
CALL SQ 02/17/23	77	BLOCK INC CUSIP: *8LBDYG1 Symbol: SQ----230217C00077000					
2023-02-17	5.0	74.68	2023-02-17	114.47	8.22 D	-31.57	
PUT SPY 03/01/23	394	STANDARD & POORS DEPOSITORY CUSIP: *8LCLFQ2 Symbol: SPY---230301P00394000					
2023-03-01	1.0	78.93	2023-03-01	75.05	0.00	3.88	
CALL SPY 03/01/23	395	STANDARD & POORS DEPOSITORY CUSIP: *8LCLFQ3 Symbol: SPY---230301C00395000					
2023-03-01	1.0	119.93	2023-03-01	86.05	0.00	33.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 03/01/23	397	STANDARD & POORS DEPOSITORY CUSIP: *8LCLFQ7 Symbol: SPY---230301C00397000					
2023-03-01	2.0	73.88	2023-03-01	67.10	0.00	6.78	
CALL COIN 03/17/23	64	COINBASE GLOBAL INC CUSIP: *8LCXKQ6 Symbol: COIN--230317C00064000					
2023-03-15	2.0	616.86	2023-03-15	532.10	0.00	84.76	
2023-03-16	1.0	249.93	2023-03-16	235.05	0.00	14.88	
Security Totals:		866.79		767.15		99.64	
CALL COIN 03/17/23	66	COINBASE GLOBAL INC CUSIP: *8LCXKQ8 Symbol: COIN--230317C00066000					
2023-03-16	1.0	176.93	2023-03-16	210.05	0.00	-33.12	
PUT SPY 03/07/23	398	STANDARD & POORS DEPOSITORY CUSIP: *8LDZWD0 Symbol: SPY---230307P00398000					
2023-03-07	1.0	28.93	2023-03-07	36.05	0.00	-7.12	
PUT SPY 03/07/23	400	STANDARD & POORS DEPOSITORY CUSIP: *8LDZWD8 Symbol: SPY---230307P00400000					
2023-03-06	1.0	52.93	2023-03-06	52.05	0.00	0.88	
PUT SPY 03/07/23	402	STANDARD & POORS DEPOSITORY CUSIP: *8LDZWF5 Symbol: SPY---230307P00402000					
2023-03-07	1.0	143.93	2023-03-07	84.05	0.00	59.88	
CALL NFLX 07/07/23	450	NETFLIX INC CUSIP: *8LGBJY6 Symbol: NFLX--230707C00450000					
2023-07-06	1.0	70.93	2023-07-06	118.05	0.00	-47.12	
CALL BA 06/09/23	212.50	BOEING CO CUSIP: *8LGFKD0 Symbol: BA----230609C00212500					
2023-06-06	1.0	58.93	2023-06-06	38.05	0.00	20.88	
PUT SPY 04/21/23	411	STANDARD & POORS DEPOSITORY CUSIP: *8LGRMN3 Symbol: SPY---230421P00411000					
2023-04-18	1.0	81.93	2023-04-18	99.05	17.12 D	0.00	
2023-04-19	1.0	130.93	2023-04-18	123.17	0.00	7.76	
Security Totals:		212.86		222.22		7.76	
CALL SQ 07/21/23	78	BLOCK INC CUSIP: *8LHHJG9 Symbol: SQ----230721C00078000					
2023-07-21	1.0	39.93	2023-07-21	32.05	0.00	7.88	
CALL UPST 07/28/23	70	UPSTART HOLDINGS INC CUSIP: *8LHLFY0 Symbol: UPST--230728C00070000					
2023-07-26	1.0	180.93	2023-07-26	145.05	0.00	35.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL BA 07/07/23	212.50	BOEING CO CUSIP: *8LHWZS3 Symbol: BA----	230707C00212500				
2023-07-05	1.0	143.93	2023-07-05	96.05	0.00	47.88	
PUT COIN 07/14/23	81	COINBASE GLOBAL INC CUSIP: *8LJBPR7 Symbol: COIN--	230714P00081000				
2023-07-11	1.0	82.93	2023-07-11	95.05	0.00	-12.12	
CALL COIN 07/14/23	86	COINBASE GLOBAL INC CUSIP: *8LJBQG7 Symbol: COIN--	230714C00086000				
2023-07-11	1.0	239.93	2023-07-11	213.05	0.00	26.88	
CALL RIVN 06/16/23	16	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8LJDRR0 Symbol: RIVN--	230616C00016000				
2023-06-14	3.0	41.79	2023-06-14	79.27	24.24 D	-13.24	
2023-06-16	1.0	8.93	2023-06-15	27.17	0.00	-18.24	
Security Totals:		50.72		106.44		-31.48	
CALL IWM 03/08/23	188	ISHARES RUSSELL 2000 ETF CUSIP: *8LJNSR2 Symbol: IWM---	230308C00188000				
2023-03-08	5.0	171.69	Various	167.46	16.21 D	20.44	
CALL INTC 07/21/23	34.50	INTEL CORP CUSIP: *8LKRLB6 Symbol: INTC--	230721C00034500				
2023-07-18	1.0	49.93	2023-07-18	42.05	0.00	7.88	
CALL SQ 06/16/23	67	BLOCK INC CUSIP: *8LLDBN9 Symbol: SQ----	230616C00067000				
2023-06-14	3.0	149.79	2023-06-14	253.51	68.36 D	-35.36	
CALL QQQ 03/08/23	300	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LLDYK5 Symbol: QQQ---	230308C00300000				
2023-03-08	4.0	79.78	2023-03-08	100.20	0.00	-20.42	
PUT SPY 03/02/23	392	STANDARD & POORS DEPOSITORY CUSIP: *8LLXLY3 Symbol: SPY---	230302P00392000				
2023-03-02	5.0	365.65	2023-03-02	603.97	182.72 D	-55.60	
PUT SPY 03/08/23	397	STANDARD & POORS DEPOSITORY CUSIP: *8LMLWX9 Symbol: SPY---	230308P00397000				
2023-03-08	2.0	63.88	2023-03-08	60.10	0.00	3.78	
CALL META 07/07/23	302.50	META PLATFORMS INC CL A CUSIP: *8LMYVP4 Symbol: META--	230707C00302500				
2023-07-06	1.0	147.93	2023-07-06	124.05	0.00	23.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AAPL 01/06/23	128	APPLE INC CUSIP: *8LPSPY1 Symbol: AAPL--230106C00128000					
2023-01-05	3.0	365.84	2023-01-05	294.11	0.00	71.73	
2023-01-06	2.0	37.89	2023-01-05	75.08	0.00	-37.19	
Security Totals:		403.73		369.19		34.54	
CALL META 01/06/23	125	META PLATFORMS INC CL A CUSIP: *8LQNHX4 Symbol: META--230106C00125000					
2023-01-04	1.0	394.94	2023-01-03	190.04	0.00	204.90	
CALL SQ 01/06/23	66	BLOCK INC CUSIP: *8LQTHF7 Symbol: SQ---230106C00066000					
2023-01-06	1.0	91.94	2023-01-06	57.04	0.00	34.90	
PUT TSLA 01/06/23	100	TESLA INC CUSIP: *8LRDTZ2 Symbol: TSLA--230106P00100000					
2023-01-04	1.0	81.94	2023-01-03	131.04	49.10 D	0.00	
2023-01-05	1.0	48.94	2023-01-04	98.14	0.00	-49.20	
Security Totals:		130.88		229.18		-49.20	
CALL TSLA 01/06/23	110	TESLA INC CUSIP: *8LRDTZ6 Symbol: TSLA--230106C00110000					
2023-01-05	1.0	236.94	2023-01-05	222.04	0.00	14.90	
PUT SPY 03/17/23	385	STANDARD & POORS DEPOSITORY CUSIP: *8LRZDZ4 Symbol: SPY---230317P00385000					
2023-03-06	1.0	67.93	2023-03-03	103.05	0.00	-35.12	
CALL SPY 03/17/23	390	STANDARD & POORS DEPOSITORY CUSIP: *8LRZFB2 Symbol: SPY---230317C00390000					
2023-03-16	1.0	165.93	2023-03-16	123.05	0.00	42.88	
PUT SPY 03/17/23	390	STANDARD & POORS DEPOSITORY CUSIP: *8LRZFB4 Symbol: SPY---230317P00390000					
2023-03-17	2.0	171.88	2023-03-17	122.10	0.00	49.78	
CALL RIVN 01/13/23	17	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8LSCBJ1 Symbol: RIVN--230113C00017000					
2023-01-11	1.0	39.94	2023-01-10	38.04	0.00	1.90	
CALL SQ 01/27/23	90	BLOCK INC CUSIP: *8LSDPY1 Symbol: SQ---230127C00090000					
2023-01-23	5.0	140.77	2023-01-23	124.19	0.00	16.58	
CALL COIN 04/06/23	65	COINBASE GLOBAL INC CUSIP: *8LSGSY4 Symbol: COIN--230406C00065000					
2023-04-06	1.0	2.93	2023-04-05	25.05	0.00	-22.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 02/06/23	405	STANDARD & POORS DEPOSITORY CUSIP: *8LSMTW7 Symbol: SPY---230206P00405000					
2023-02-03	1.0	35.93	2023-02-02	28.05	0.00	7.88	
PUT SPY 02/07/23	405	STANDARD & POORS DEPOSITORY CUSIP: *8LSMVN1 Symbol: SPY---230207P00405000					
2023-02-03	1.0	71.93	2023-02-03	55.05	0.00	16.88	
PUT AAPL 03/10/23	150	APPLE INC CUSIP: *8LSVWX9 Symbol: AAPL--230310P00150000					
2023-03-08	2.0	113.88	2023-03-08	104.10	0.00	9.78	
PUT SPY 03/09/23	398	STANDARD & POORS DEPOSITORY CUSIP: *8LTZXZ0 Symbol: SPY---230309P00398000					
2023-03-07	2.0	188.86	2023-03-07	254.22	35.12 D	-30.24	
CALL TSLA 04/06/23	205	TESLA INC CUSIP: *8LVCWV6 Symbol: TSLA--230406C00205000					
2023-04-05	1.0	11.93	2023-04-04	87.05	0.00	-75.12	
CALL TSLA 04/06/23	225	TESLA INC CUSIP: *8LVCWW6 Symbol: TSLA--230406C00225000					
2023-03-31	1.0	175.93	2023-03-31	186.05	0.00	-10.12	
PUT QQQ 03/14/23	295	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LVZQF4 Symbol: QQQ---230314P00295000					
2023-03-14	1.0	70.93	2023-03-14	111.05	0.00	-40.12	
CALL RIVN 07/21/23	26	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8LWTKM8 Symbol: RIVN--230721C00026000					
2023-07-19	4.0	329.76	2023-07-19	288.20	0.00	41.56	
CALL LCID 03/10/23	9.50	LUCID GROUP INC CUSIP: *8LWYCD3 Symbol: LCID--230310C00009500					
2023-03-06	5.0	80.70	2023-03-06	95.24	0.32 D	-14.22	
2023-03-07	4.0	21.74	2023-03-06	64.52	0.00	-42.78	
Security Totals:		102.44		159.76		-57.00	
PUT QQQ 05/03/23	318	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LXCCR9 Symbol: QQQ---230503P00318000					
2023-05-03	2.0	63.86	2023-05-03	126.22	29.12 D	-33.24	
PUT QQQ 03/21/23	308	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LXSCM6 Symbol: QQQ---230321P00308000					
2023-03-21	3.0	303.79	2023-03-21	289.51	22.36 D	36.64	
CALL TSLA 07/07/23	295	TESLA INC CUSIP: *8LXTZL4 Symbol: TSLA--230707C00295000					
2023-07-06	1.0	33.93	2023-07-05	107.05	0.00	-73.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 03/21/23	397	STANDARD & POORS DEPOSITORY CUSIP: *8LXVPS4 Symbol: SPY---230321C00397000					
2023-03-21	2.0	73.88	2023-03-21	73.10	0.00	0.78	
CALL SPY 03/21/23	398	STANDARD & POORS DEPOSITORY CUSIP: *8LXVPT7 Symbol: SPY---230321C00398000					
2023-03-21	2.0	109.88	2023-03-21	107.10	0.00	2.78	
CALL SPY 05/03/23	416	STANDARD & POORS DEPOSITORY CUSIP: *8LXZWY1 Symbol: SPY---230503C00416000					
2023-05-03	2.0	31.88	2023-05-03	56.10	0.00	-24.22	
CALL SPY 05/04/23	405	STANDARD & POORS DEPOSITORY CUSIP: *8LYBHL3 Symbol: SPY---230504C00405000					
2023-05-04	1.0	107.93	2023-05-04	103.05	0.00	4.88	
PUT AAPL 07/14/23	190	APPLE INC CUSIP: *8LYHSS9 Symbol: AAPL--230714P00190000					
2023-07-14	2.0	26.87	2023-07-14	40.10	0.00	-13.23	
CALL AMD 07/14/23	115	ADVANCED MICRO DEVICES INC CUSIP: *8LYKFB2 Symbol: AMD---230714C00115000					
2023-07-12	1.0	155.93	2023-07-12	112.05	0.00	43.88	
CALL AMD 07/14/23	120	ADVANCED MICRO DEVICES INC CUSIP: *8LYKFH8 Symbol: AMD---230714C00120000					
2023-07-12	3.0	49.81	2023-07-12	87.76	11.61 D	-26.34	
PUT NVDA 03/10/23	220	NVIDIA CORPORATION CUSIP: *8LYMNB8 Symbol: NVDA--230310P00220000					
2023-03-03	1.0	71.93	2023-03-03	98.05	26.12 D	0.00	
2023-03-06	1.0	58.93	2023-03-03	111.17	0.00	-52.24	
Security Totals:		130.86		209.22		-52.24	
CALL NVDA 03/10/23	245	NVIDIA CORPORATION CUSIP: *8LYMNG9 Symbol: NVDA--230310C00245000					
2023-03-09	1.0	204.93	2023-03-09	189.05	0.00	15.88	
CALL NFLX 06/23/23	455	NETFLIX INC CUSIP: *8LZBXT9 Symbol: NFLX--230623C00455000					
2023-06-20	1.0	139.93	2023-06-20	192.05	0.00	-52.12	
PUT SPY 03/30/23	403	STANDARD & POORS DEPOSITORY CUSIP: *8LZMKP1 Symbol: SPY---230330P00403000					
2023-03-30	1.0	66.93	2023-03-30	58.05	0.00	8.88	
CALL QQQ 07/17/23	381	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LZVJH4 Symbol: QQQ---230717C00381000					
2023-07-17	1.0	63.93	2023-07-17	47.05	0.00	16.88	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 07/17/23	451	STANDARD & POORS DEPOSITORY CUSIP: *8LZWDY2 Symbol: SPY---230717C00451000					
2023-07-17	1.0	27.93	2023-07-17	26.05	0.00	1.88	
CALL SPY 07/18/23	451	STANDARD & POORS DEPOSITORY CUSIP: *8LZZFT1 Symbol: SPY---230718C00451000					
2023-07-18	1.0	77.93	2023-07-18	70.05	0.00	7.88	
CALL SPY 06/14/23	438	STANDARD & POORS DEPOSITORY CUSIP: *8NCHBF7 Symbol: SPY---230614C00438000					
2023-06-14	1.0	52.93	2023-06-14	123.05	0.00	-70.12	
CALL BBY 02/03/23	3.50	BED BATH & BEYOND INC CUSIP: *8NGYGR6 Symbol: BBY--230203C00003500					
2023-01-31	1.0	12.94	2023-01-31	20.37	0.00	-7.43	
2023-02-01	2.0	18.86	2023-01-31	40.75	0.00	-21.89	
Security Totals:		31.80		61.12		-29.32	
CALL RIVN 03/10/23	18	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8NJSKM3 Symbol: RIVN--230310C00018000					
2023-03-06	3.0	111.79	2023-03-06	182.51	70.72 D	0.00	
2023-03-07	1.0	5.93	2023-03-06	61.41	0.00	-55.48	
Security Totals:		117.72		243.92		-55.48	
CALL TSLA 04/21/23	172.50	TESLA INC CUSIP: *8NKBLV4 Symbol: TSLA--230421C00172500					
2023-04-20	1.0	91.93	2023-04-20	164.05	0.00	-72.12	
CALL TSLA 01/20/23	130	TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKFSW5 Symbol: TSLA--230120C00130000					
2023-01-17	2.0	682.88	2023-01-17	509.08	0.00	173.80	
2023-01-19	5.0	1,047.73	2023-01-19	960.20	0.00	87.53	
Security Totals:		1,730.61		1,469.28		261.33	
CALL TSLA 01/20/23	131.67	TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKFSX9 Symbol: TSLA--230120C00131670					
2023-01-17	2.0	487.88	2023-01-17	462.08	0.00	25.80	
2023-01-19	1.0	61.94	2023-01-19	140.04	0.00	-78.10	
Security Totals:		549.82		602.12		-52.30	
PUT TSLA 03/17/23	180	TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKGYF6 Symbol: TSLA--230317P00180000					
2023-03-17	4.0	170.72	2023-03-17	264.56	68.36 D	-25.48	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 03/17/23	186.67	TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKGYK7 Symbol: TSLA--230317C00186670					
2023-03-17	1.0	80.93	2023-03-17	66.05	0.00	14.88	
CALL TSLA 09/15/23	350	TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKKHZ8 Symbol: TSLA--230915C00350000					
2023-03-10	1.0	210.93	2023-03-09	221.05	0.00	-10.12	
PUT SPY 03/10/23	389	STANDARD & POORS DEPOSITORY CUSIP: *8NLVVB9 Symbol: SPY---230310P00389000					
2023-03-10	1.0	134.93	2023-03-10	129.05	0.00	5.88	
CALL MCD 04/14/23	290	MCDONALDS CORP CUSIP: *8NPCXQ6 Symbol: MCD---230414C00290000					
2023-04-13	2.0	80.86	2023-04-13	56.10	0.00	24.76	
PUT SPY 03/17/23	388	STANDARD & POORS DEPOSITORY CUSIP: *8NQHKB8 Symbol: SPY---230317P00388000					
2023-03-14	2.0	518.86	2023-03-14	438.10	0.00	80.76	
CALL AMZN 07/14/23	135	AMAZON.COM INC CUSIP: *8NRBQQ3 Symbol: AMZN--230714C00135000					
2023-07-07	2.0	89.88	2023-07-03	226.10	136.22 D	0.00	
2023-07-10	2.0	29.87	Various	265.32	0.00	-235.45	
Security Totals:		119.75		491.42		-235.45	
CALL BABA 07/14/23	92	ALIBABA GROUP HOLDING LIMITED CUSIP: *8NRDNT4 Symbol: BABA--230714C00092000					
2023-07-11	4.0	355.74	Various	792.31	114.11 D	-322.46	
CALL COIN 07/14/23	85	COINBASE GLOBAL INC CUSIP: *8NRKTX8 Symbol: COIN--230714C00085000					
2023-07-07	1.0	239.93	2023-07-06	188.05	0.00	51.88	
2023-07-11	1.0	219.93	2023-07-11	208.05	0.00	11.88	
Security Totals:		459.86		396.10		63.76	
CALL COIN 07/14/23	90	COINBASE GLOBAL INC CUSIP: *8NRKVB1 Symbol: COIN--230714C00090000					
2023-07-13	1.0	263.93	2023-07-13	249.05	0.00	14.88	
PUT SPY 04/05/23	405	STANDARD & POORS DEPOSITORY CUSIP: *8NSFPR8 Symbol: SPY---230405P00405000					
2023-04-05	1.0	25.93	2023-04-05	24.05	0.00	1.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 03/15/23 385 STANDARD & POORS DEPOSITORY CUSIP: *8NSZHD2 Symbol: SPY---230315P00385000							
2023-03-14	3.0	200.79	2023-03-14	272.51	71.72 D	0.00	
2023-03-15	1.0	171.93	2023-03-14	57.41	0.00	114.52	
Security Totals:		372.72		329.92		114.52	
PUT SPY 03/15/23 386 STANDARD & POORS DEPOSITORY CUSIP: *8NSZHD4 Symbol: SPY---230315P00386000							
2023-03-15	1.0	210.93	2023-03-15	199.05	0.00	11.88	
CALL NFLX 07/14/23 470 NETFLIX INC CUSIP: *8NTJSV5 Symbol: NFLX--230714C00470000							
2023-07-10	1.0	48.93	2023-07-06	194.05	0.00	-145.12	
PUT RIVN 07/14/23 25 RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8NVCNP3 Symbol: RIVN--230714P00025000							
2023-07-14	30.0	816.32	2023-07-14	652.55	21.07 D	184.84	
CALL SPY 06/14/23 439 STANDARD & POORS DEPOSITORY CUSIP: *8NYQLH9 Symbol: SPY---230614C00439000							
2023-06-14	1.0	103.93	2023-06-14	138.05	0.00	-34.12	
CALL TSLA 02/17/23 205 TESLA INC CUSIP: *8NZCPD0 Symbol: TSLA--230217C00205000							
2023-02-17	3.0	277.79	2023-02-17	507.51	147.36 D	-82.36	
CALL LMND 02/03/23 16 LEMONADE INC CUSIP: *8NZHSY4 Symbol: LMND--230203C00016000							
2023-01-30	3.0	166.83	2023-01-30	105.11	0.00	61.72	
PUT SPY 03/21/23 385 STANDARD & POORS DEPOSITORY CUSIP: *8NZXXH8 Symbol: SPY---230321P00385000							
2023-03-17	2.0	377.88	2023-03-17	342.10	0.00	35.78	
2023-03-20	10.0	516.41	Various	1,193.94	347.44 D	-330.09	
Security Totals:		894.29		1,536.04		-294.31	
CALL SPY 03/22/23 405 STANDARD & POORS DEPOSITORY CUSIP: *8PBDVN6 Symbol: SPY---230322C00405000							
2023-03-21	1.0	74.93	2023-03-21	56.05	0.00	18.88	
CALL CVNA 01/13/23 7.50 CARVANA COMPANY CUSIP: *8BPBWJ1 Symbol: CVNA--230113C00007500							
2023-01-13	3.0	139.82	2023-01-13	188.42	50.30 D	1.70	
PUT CVX 01/13/23 170 CHEVRON CORPORATION CUSIP: *8BPXQ2 Symbol: CVX---230113P00170000							
2023-01-09	2.0	120.88	Various	93.08	0.00	27.80	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NIO 02/03/23	12.50 NIO INC ADS CUSIP: *8PCCYL5 Symbol: NIO---230203C00012500						
2023-01-31	2.0	49.89	2023-01-30	64.08	0.00	-14.19	
PUT NVDA 02/03/23	170 NVIDIA CORPORATION CUSIP: *8PCDZG0 Symbol: NVDA--230203P00170000						
2023-01-30	1.0	28.94	2023-01-30	27.04	0.00	1.90	
2023-01-31	1.0	39.94	2023-01-30	22.04	0.00	17.90	
2023-02-01	1.0	10.93	2023-01-31	22.04	0.00	-11.11	
Security Totals:		79.81		71.12		8.69	
PUT NFLX 01/13/23	285 NETFLIX INC CUSIP: *8PCLFK9 Symbol: NFLX--230113P00285000						
2023-01-09	3.0	86.87	Various	215.12	0.00	-128.25	
PUT NFLX 01/13/23	290 NETFLIX INC CUSIP: *8PCLFL1 Symbol: NFLX--230113P00290000						
2023-01-10	1.0	22.94	2023-01-09	50.04	0.00	-27.10	
PUT NFLX 01/13/23	300 NETFLIX INC CUSIP: *8PCLGF9 Symbol: NFLX--230113P00300000						
2023-01-11	2.0	85.88	2023-01-10	159.18	42.10 D	-31.20	
CALL PDD 01/13/23	95 PINDUODUO INC ADS CUSIP: *8PCPZQ2 Symbol: PDD---230113C00095000						
2023-01-12	1.0	46.94	2023-01-12	76.04	0.00	-29.10	
CALL SOXL 01/13/23	12 DIREXION DLY SEMICONDUCTOR BUL CUSIP: *8PCWGH1 Symbol: SOXL--230113C00012000						
2023-01-09	1.0	75.94	2023-01-09	66.04	0.00	9.90	
CALL SOFI 02/03/23	7.50 SOFI TECHNOLOGIES INC CUSIP: *8PDFRM5 Symbol: SOFI--230203C00007500						
2023-01-31	10.0	29.59	2023-01-30	70.38	0.00	-40.79	
PUT SPY 02/03/23	395 STANDARD & POORS DEPOSITORY CUSIP: *8PDLSV3 Symbol: SPY---230203P00395000						
2023-02-01	1.0	109.93	2023-02-01	91.05	0.00	18.88	
PUT SPY 02/03/23	405 STANDARD & POORS DEPOSITORY CUSIP: *8PDLSX0 Symbol: SPY---230203P00405000						
2023-02-03	1.0	11.93	2023-02-02	16.05	0.00	-4.12	
PUT COIN 04/28/23	50 COINBASE GLOBAL INC CUSIP: *8PDWPP3 Symbol: COIN--230428P00050000						
2023-04-26	1.0	25.93	2023-04-25	52.05	0.00	-26.12	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 01/13/23	125	TESLA INC CUSIP: *8PFLDK1 Symbol: TSLA--230113C00125000					
2023-01-10	1.0	119.94	2023-01-10	110.04	0.00	9.90	
CALL V 01/13/23	225	VISA INC CUSIP: *8PFNMX5 Symbol: V-----230113C00225000					
2023-01-10	1.0	127.94	2023-01-10	99.04	0.00	28.90	
PUT SPY 02/14/23	409	STANDARD & POORS DEPOSITORY CUSIP: *8PFZVF1 Symbol: SPY---230214P00409000					
2023-02-14	1.0	92.93	2023-02-14	19.05	0.00	73.88	
PUT BA 01/20/23	210	BOEING CO CUSIP: *8PGMVJ7 Symbol: BA----230120P00210000					
2023-01-17	1.0	203.94	2023-01-13	156.04	0.00	47.90	
CALL NFLX 01/20/23	400	NETFLIX INC CUSIP: *8PGTRV0 Symbol: NFLX--230120C00400000					
2023-01-20	1.0	0.94	2023-01-19	16.04	0.00	-15.10	
PUT SPY 01/20/23	390	STANDARD & POORS DEPOSITORY CUSIP: *8PGWMD3 Symbol: SPY---230120P00390000					
2023-01-17	1.0	52.94	2023-01-13	85.04	32.10 D	-0.00	
2023-01-18	4.0	90.78	Various	243.54	149.57 D	-3.19	
2023-01-19	1.0	244.94	2023-01-18	142.33	0.00	102.61	
Security Totals:		388.66		470.91		99.42	
CALL CVS 01/20/23	90	CVS HEALTH CORPORATION CUSIP: *8PHLKQ6 Symbol: CVS---230120C00090000					
2023-01-13	1.0	98.94	2023-01-13	77.04	0.00	21.90	
2023-01-17	2.0	171.90	2023-01-13	152.08	0.00	19.82	
Security Totals:		270.84		229.12		41.72	
CALL TSLA 04/06/23	212.50	TESLA INC CUSIP: *8PHNXN7 Symbol: TSLA--230406C00212500					
2023-04-03	1.0	94.93	2023-04-03	186.05	0.00	-91.12	
CALL BA 01/20/23	215	BOEING CO CUSIP: *8PJTJZ8 Symbol: BA----230120C00215000					
2023-01-18	2.0	275.88	2023-01-18	312.18	11.10 D	-25.20	
CALL BABA 03/17/23	82	ALIBABA GROUP HOLDING LIMITED CUSIP: *8PKMDP2 Symbol: BABA--230317C00082000					
2023-03-16	1.0	117.93	2023-03-16	102.05	0.00	15.88	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL ADBE 06/16/23	530	ADOBE INC CUSIP: *8PKVGV1 Symbol: ADBE--230616C00530000					
2023-06-16	1.0	54.93	2023-06-16	145.05	0.00	-90.12	
CALL AMD 06/16/23	130	ADVANCED MICRO DEVICES INC CUSIP: *8PKVHP1 Symbol: AMD---230616C00130000					
2023-06-15	1.0	21.93	2023-06-15	33.05	0.00	-11.12	
CALL AMD 06/16/23	140	ADVANCED MICRO DEVICES INC CUSIP: *8PKVHP5 Symbol: AMD---230616C00140000					
2023-06-13	1.0	109.93	2023-06-13	144.05	0.00	-34.12	
CALL COST 06/16/23	530	COSTCO WHOLESALE CORPORATION CUSIP: *8PKVNG6 Symbol: COST--230616C00530000					
2023-06-09	1.0	192.93	2023-06-08	157.05	0.00	35.88	
CALL TSLA 06/16/23	277.50	TESLA INC CUSIP: *8PLNNJ3 Symbol: TSLA--230616C00277500					
2023-06-14	1.0	127.93	2023-06-14	109.05	0.00	18.88	
CALL AMD 07/28/23	114	ADVANCED MICRO DEVICES INC CUSIP: *8PLSLZ5 Symbol: AMD---230728C00114000					
2023-07-24	1.0	108.93	2023-07-24	117.05	0.00	-8.12	
PUT NFLX 05/19/23	347.50	NETFLIX INC CUSIP: *8PLVNC4 Symbol: NFLX--230519P00347500					
2023-05-19	2.0	5.88	2023-05-18	48.10	0.00	-42.22	
CALL BABA 07/28/23	94	ALIBABA GROUP HOLDING LIMITED CUSIP: *8PLWGM0 Symbol: BABA--230728C00094000					
2023-07-24	1.0	149.93	2023-07-24	137.05	0.00	12.88	
PUT TSLA 02/03/23	170	TESLA INC CUSIP: *8PNYXC9 Symbol: TSLA--230203P00170000					
2023-02-01	1.0	89.93	2023-02-01	86.05	0.00	3.88	
CALL TSLA 02/03/23	190	TESLA INC CUSIP: *8PNYXG2 Symbol: TSLA--230203C00190000					
2023-02-01	2.0	147.86	2023-02-01	198.22	33.12 D	-17.24	
PUT SPY 02/15/23	400	STANDARD & POORS DEPOSITORY CUSIP: *8PPDZV6 Symbol: SPY---230215P00400000					
2023-02-14	2.0	11.88	2023-02-14	34.10	0.00	-22.22	
PUT SPY 07/18/23	454	STANDARD & POORS DEPOSITORY CUSIP: *8PPFWW3 Symbol: SPY---230718P00454000					
2023-07-18	1.0	44.93	2023-07-18	33.05	0.00	11.88	
PUT TSM 03/17/23	85	TAIWAN SEMICONDUCTOR MFG CO CUSIP: *8PSDLF0 Symbol: TSM---230317P00085000					
2023-03-07	1.0	61.93	2023-03-06	48.05	0.00	13.88	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NFLX 03/17/23	307.50	NETFLIX INC CUSIP: *8PWTYF8 Symbol: NFLX--230317C00307500					
2023-03-16	1.0	244.93	2023-03-16	200.05	0.00	44.88	
CALL COIN 02/10/23	77	COINBASE GLOBAL INC CUSIP: *8PXFNR0 Symbol: COIN--230210C00077000					
2023-02-08	1.0	96.93	2023-02-08	216.05	119.12 D	0.00	
2023-02-09	2.0	53.87	2023-02-08	301.22	0.00	-247.35	
Security Totals:		150.80		517.27		-247.35	
CALL PDD 01/06/23	96	PINDUODUO INC ADS CUSIP: *8PZYWP6 Symbol: PDD---230106C00096000					
2023-01-06	4.0	131.79	2023-01-06	174.16	0.00	-42.37	
CALL PDD 01/13/23	100	PINDUODUO INC ADS CUSIP: *8PZYWW0 Symbol: PDD---230113C00100000					
2023-01-09	2.0	277.90	2023-01-09	251.08	0.00	26.82	
CALL AFRM 01/27/23	13	AFFIRM HOLDINGS INC CL A CUSIP: *8SBFDL2 Symbol: AFRM--230127C00013000					
2023-01-20	1.0	97.94	2023-01-20	78.04	0.00	19.90	
PUT AMC 01/27/23	5	AMC ENTERTAINMENT HOLDINGS INC CUSIP: *8SBFJJ0 Symbol: AMC---230127P00005000					
2023-01-27	2.0	3.89	2023-01-26	19.08	0.00	-15.19	
CALL AMD 01/27/23	75	ADVANCED MICRO DEVICES INC CUSIP: *8SBFKF1 Symbol: AMD---230127C00075000					
2023-01-27	1.0	58.94	2023-01-27	32.04	0.00	26.90	
CALL AMD 01/27/23	76	ADVANCED MICRO DEVICES INC CUSIP: *8SBFKF4 Symbol: AMD---230127C00076000					
2023-01-27	1.0	8.94	2023-01-26	56.04	0.00	-47.10	
PUT COIN 01/27/23	45	COINBASE GLOBAL INC CUSIP: *8SBQFL8 Symbol: COIN--230127P00045000					
2023-01-18	3.0	265.82	Various	246.22	9.20 D	28.80	
2023-01-19	1.0	130.94	2023-01-18	92.14	0.00	38.80	
Security Totals:		396.76		338.36		67.60	
CALL LCID 01/27/23	8.50	LUCID GROUP INC CUSIP: *8SBZWM0 Symbol: LCID--230127C00008500					
2023-01-23	4.0	134.76	2023-01-23	117.15	0.00	17.61	
CALL LCID 01/27/23	9	LUCID GROUP INC CUSIP: *8SBZWM2 Symbol: LCID--230127C00009000					
2023-01-23	2.0	36.88	2023-01-23	34.08	0.00	2.80	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
1a - Description of property CUSIP Symbol							
PUT NFLX 01/27/23	355	NETFLIX INC CUSIP: *8SCCNY8 Symbol: NFLX--230127P00355000					
2023-01-27	2.0	31.90	2023-01-26	127.08	0.00	-95.18	
CALL RIVN 01/27/23	18	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8SCFMW8 Symbol: RIVN--230127C00018000					
2023-01-25	1.0	45.94	2023-01-25	40.04	0.00	5.90	
PUT SPY 01/27/23	395	STANDARD & POORS DEPOSITORY CUSIP: *8SCGSH3 Symbol: SPY---230127P00395000					
2023-01-23	5.0	714.74	2023-01-23	475.19	0.00	239.55	
2023-01-24	1.0	153.94	2023-01-24	148.04	0.00	5.90	
2023-01-25	4.0	885.80	2023-01-24	316.16	0.00	569.64	
2023-01-26	9.0	158.54	Various	1,599.50	1,431.87 D	-9.09	
2023-01-27	2.0	1.90	2023-01-26	483.81	0.00	-481.91	
Security Totals:		1,914.92		3,022.70		324.09	
CALL XPEV 01/27/23	10	XPENG INC ADR CLASS A CUSIP: *8SCVZW4 Symbol: XPEV--230127C00010000					
2023-01-23	2.0	106.88	2023-01-23	63.08	0.00	43.80	
CALL XPEV 01/27/23	11	XPENG INC ADR CLASS A CUSIP: *8SCVZW8 Symbol: XPEV--230127C00011000					
2023-01-23	1.0	15.94	2023-01-23	24.04	8.10 D	0.00	
2023-01-25	6.0	20.69	Various	94.72	64.84 D	-9.19	
2023-01-26	2.0	13.90	2023-01-24	42.54	0.00	-28.64	
Security Totals:		50.53		161.30		-37.83	
CALL AVGO 01/27/23	600	BROADCOM INC CUSIP: *8SCXSK4 Symbol: AVGO--230127C00600000					
2023-01-26	1.0	234.94	2023-01-26	170.04	0.00	64.90	
CALL OKTA 01/27/23	75	OKTA INC CUSIP: *8SDBQX9 Symbol: OKTA--230127C00075000					
2023-01-18	1.0	137.94	2023-01-17	116.04	0.00	21.90	
PUT NVDA 03/17/23	195	NVIDIA CORPORATION CUSIP: *8SDBXG6 Symbol: NVDA--230317P00195000					
2023-02-24	2.0	295.86	2023-02-23	268.10	0.00	27.76	
CALL RIVN 01/13/23	17.50	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8SDGSN4 Symbol: RIVN--230113C00017500					
2023-01-10	2.0	94.88	2023-01-09	93.08	0.00	1.80	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 01/09/23	390	STANDARD & POORS DEPOSITORY CUSIP: *8SDHXB4 Symbol: SPY---230109C00390000					
2023-01-03	1.0	192.94	2022-12-30	168.04	0.00	24.90	
CALL TSLA 01/20/23	138	TESLA INC CUSIP: *8SDJVT1 Symbol: TSLA--230120C00138000					
2023-01-18	1.0	244.94	2023-01-17	118.04	0.00	126.90	
PUT AMZN 03/24/23	100	AMAZON.COM INC CUSIP: *8SFJZM2 Symbol: AMZN--230324P00100000					
2023-03-23	2.0	282.86	2023-03-23	244.10	0.00	38.76	
PUT COIN 02/03/23	50	COINBASE GLOBAL INC CUSIP: *8SGCJT2 Symbol: COIN--230203P00050000					
2023-02-01	3.0	62.83	Various	113.13	0.00	-50.30	
PUT SPY 01/05/23	380	STANDARD & POORS DEPOSITORY CUSIP: *8SGHLG1 Symbol: SPY---230105P00380000					
2023-01-05	1.0	117.94	2023-01-04	52.04	0.00	65.90	
CALL SPY 01/05/23	386	STANDARD & POORS DEPOSITORY CUSIP: *8SGHLH2 Symbol: SPY---230105C00386000					
2023-01-05	1.0	14.94	2023-01-04	40.04	0.00	-25.10	
CALL SPY 01/05/23	389	STANDARD & POORS DEPOSITORY CUSIP: *8SGHLH8 Symbol: SPY---230105C00389000					
2023-01-05	1.0	2.94	2023-01-04	52.04	0.00	-49.10	
PUT GOOGL 04/14/23	105	ALPHABET INC CLASS A CUSIP: *8SGRJX4 Symbol: GOOGL-230414P00105000					
2023-04-13	1.0	9.93	2023-04-13	14.05	0.00	-4.12	
CALL META 02/24/23	172.50	META PLATFORMS INC CL A CUSIP: *8SGTYB4 Symbol: META--230224C00172500					
2023-02-24	5.0	45.65	2023-02-24	77.73	20.48 D	-11.60	
CALL META 02/24/23	177.50	META PLATFORMS INC CL A CUSIP: *8SGTYB6 Symbol: META--230224C00177500					
2023-02-22	1.0	76.93	2023-02-22	68.05	0.00	8.88	
PUT NFLX 02/10/23	250	NETFLIX INC CUSIP: *8SGWYK3 Symbol: NFLX--230210P00250000					
2023-01-20	1.0	34.94	2023-01-19	214.04	0.00	-179.10	
CALL SPY 02/16/23	413	STANDARD & POORS DEPOSITORY CUSIP: *8SHBRR6 Symbol: SPY---230216C00413000					
2023-02-16	2.0	72.86	2023-02-16	73.10	0.00	-0.24	
CALL SPY 03/24/23	394	STANDARD & POORS DEPOSITORY CUSIP: *8SHBSH7 Symbol: SPY---230324C00394000					
2023-03-24	3.0	264.81	2023-03-24	274.15	0.00	-9.34	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL BABA 03/24/23	85	ALIBABA GROUP HOLDING LIMITED CUSIP: *8SHKXM9 Symbol: BABA--230324C00085000					
2023-03-17	1.0	159.93	2023-03-16	152.05	0.00	7.88	
PUT SPY 02/10/23	410	STANDARD & POORS DEPOSITORY CUSIP: *8SHPFT8 Symbol: SPY---230210P00410000					
2023-02-09	1.0	191.93	2023-02-09	68.05	0.00	123.88	
PUT TSLA 02/10/23	170	TESLA INC CUSIP: *8SHQQS8 Symbol: TSLA--230210P00170000					
2023-02-06	2.0	100.86	Various	300.22	199.36 D	0.00	
2023-02-07	1.0	41.93	2023-02-07	163.29	0.00	-121.36	
Security Totals:		142.79		463.51		-121.36	
CALL QQQ 06/16/23	370	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SHSPN5 Symbol: QQQ---230616C00370000					
2023-06-14	1.0	155.93	2023-06-14	96.05	0.00	59.88	
CALL SHOP 07/28/23	70	SHOPIFY INC CL A CUSIP: *8SHSZR1 Symbol: SHOP--230728C00070000					
2023-07-25	1.0	29.93	2023-07-25	42.05	0.00	-12.12	
CALL XPEV 02/10/23	12	XPENG INC ADR CLASS A CUSIP: *8SHWDY7 Symbol: XPEV--230210C00012000					
2023-01-31	3.0	59.85	2023-01-30	54.11	0.00	5.74	
CALL QQQ 06/30/23	371	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SJKYS4 Symbol: QQQ---230630C00371000					
2023-06-30	1.0	48.93	2023-06-30	75.05	0.00	-26.12	
PUT QQQ 03/20/23	301	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SJQYF0 Symbol: QQQ---230320P00301000					
2023-03-20	2.0	41.88	2023-03-20	75.10	0.00	-33.22	
CALL SPY 05/05/23	408	STANDARD & POORS DEPOSITORY CUSIP: *8SKFYW2 Symbol: SPY---230505C00408000					
2023-05-04	1.0	71.93	2023-05-04	85.05	0.00	-13.12	
PUT SPY 05/05/23	412	STANDARD & POORS DEPOSITORY CUSIP: *8SKFYX1 Symbol: SPY---230505P00412000					
2023-05-01	1.0	121.93	2023-04-28	181.05	0.00	-59.12	
CALL TSLA 05/05/23	175	TESLA INC CUSIP: *8SKHBN1 Symbol: TSLA--230505C00175000					
2023-05-01	2.0	78.86	Various	178.22	49.12 D	-50.24	
CALL NFLX 04/28/23	330	NETFLIX INC CUSIP: *8SKQHF2 Symbol: NFLX--230428C00330000					
2023-04-27	1.0	32.93	2023-04-26	146.05	0.00	-113.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 06/22/23	435	STANDARD & POORS DEPOSITORY CUSIP: *8SKRNR2 Symbol: SPY---230622C00435000					
2023-06-22	1.0	60.93	2023-06-22	47.05	0.00	13.88	
PUT PACW 05/12/23	5	PACWEST BANCORP CUSIP: *8SKZTN0 Symbol: PACW--230512P00005000					
2023-05-08	1.0	59.93	2023-05-05	135.05	0.00	-75.12	
CALL COIN 05/05/23	61	COINBASE GLOBAL INC CUSIP: *8SLHRT6 Symbol: COIN--230505C00061000					
2023-05-01	1.0	60.93	2023-05-01	77.05	0.00	-16.12	
PUT SPY 05/11/23	409	STANDARD & POORS DEPOSITORY CUSIP: *8SLVRW5 Symbol: SPY---230511P00409000					
2023-05-11	1.0	60.93	2023-05-11	54.05	0.00	6.88	
CALL COIN 07/21/23	110	COINBASE GLOBAL INC CUSIP: *8SLWPB0 Symbol: COIN--230721C00110000					
2023-07-18	1.0	409.93	2023-07-18	278.05	0.00	131.88	
PUT SPY 05/10/23	408	STANDARD & POORS DEPOSITORY CUSIP: *8SLYDY0 Symbol: SPY---230510P00408000					
2023-05-10	2.0	47.88	Various	86.10	0.00	-38.22	
CALL SPY 05/12/23	412	STANDARD & POORS DEPOSITORY CUSIP: *8SMLTF3 Symbol: SPY---230512C00412000					
2023-05-12	1.0	16.93	2023-05-12	43.05	0.00	-26.12	
CALL XOM 01/20/23	115	EXXON MOBIL CORP CUSIP: *8SMMFN4 Symbol: XOM---230120C00115000					
2023-01-17	1.0	63.94	2023-01-13	58.04	0.00	5.90	
CALL TSLA 05/12/23	175	TESLA INC CUSIP: *8SMMVQ5 Symbol: TSLA--230512C00175000					
2023-05-11	1.0	22.93	2023-05-10	55.05	0.00	-32.12	
CALL AMD 06/09/23	121	ADVANCED MICRO DEVICES INC CUSIP: *8SMQGK0 Symbol: AMD---230609C00121000					
2023-06-06	1.0	240.93	2023-06-06	218.05	0.00	22.88	
CALL SPY 06/12/23	431	STANDARD & POORS DEPOSITORY CUSIP: *8SNBRX2 Symbol: SPY---230612C00431000					
2023-06-12	1.0	43.93	2023-06-12	53.05	0.00	-9.12	
PUT SPY 06/13/23	435	STANDARD & POORS DEPOSITORY CUSIP: *8SNBVT4 Symbol: SPY---230613P00435000					
2023-06-13	1.0	109.93	2023-06-13	100.05	0.00	9.88	
CALL SPY 06/13/23	437	STANDARD & POORS DEPOSITORY CUSIP: *8SNBVT8 Symbol: SPY---230613C00437000					
2023-06-13	1.0	34.93	2023-06-13	52.05	0.00	-17.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 06/13/23	439 STANDARD & POORS DEPOSITORY CUSIP: *8SNBVV3 Symbol: SPY---230613C00439000						
2023-06-13	2.0	7.87	2023-06-13	22.10	0.00	-14.23	
PUT TSLA 06/09/23	242.50 TESLA INC CUSIP: *8SNBZM7 Symbol: TSLA--230609P00242500						
2023-06-09	1.0	131.93	2023-06-09	154.05	0.00	-22.12	
CALL SPY 04/17/23	413 STANDARD & POORS DEPOSITORY CUSIP: *8SNHKG0 Symbol: SPY---230417C00413000						
2023-04-17	1.0	73.93	2023-04-17	82.05	0.00	-8.12	
CALL SPY 06/23/23	439 STANDARD & POORS DEPOSITORY CUSIP: *8SPHVV3 Symbol: SPY---230623C00439000						
2023-06-23	1.0	1.93	2023-06-22	27.05	0.00	-25.12	
CALL QQQ 07/06/23	366 INVESCO QQQ TRUST SERIES 1 CUSIP: *8SPMSG0 Symbol: QQQ---230706C00366000						
2023-07-06	1.0	91.93	2023-07-06	69.05	0.00	22.88	
CALL NFLX 06/30/23	445 NETFLIX INC CUSIP: *8SPRHV1 Symbol: NFLX--230630C00445000						
2023-06-30	1.0	136.93	2023-06-30	113.05	0.00	23.88	
CALL NVDA 07/28/23	485 NVIDIA CORPORATION CUSIP: *8SPRQW2 Symbol: NVDA--230728C00485000						
2023-07-26	1.0	99.93	2023-07-26	160.05	0.00	-60.12	
CALL BA 06/30/23	212.50 BOEING CO CUSIP: *8SPXHG8 Symbol: BA----230630C00212500						
2023-06-30	1.0	42.93	2023-06-30	29.05	0.00	13.88	
CALL MSFT 06/30/23	337.50 MICROSOFT CORP CUSIP: *8SQJWD7 Symbol: MSFT--230630C00337500						
2023-06-29	3.0	260.81	2023-06-29	315.15	0.00	-54.34	
CALL TSLA 06/23/23	267.50 TESLA INC CUSIP: *8SQMNR9 Symbol: TSLA--230623C00267500						
2023-06-23	3.0	166.80	2023-06-23	287.15	0.00	-120.35	
CALL COIN 06/16/23	55 COINBASE GLOBAL INC CUSIP: *8SRVBZ9 Symbol: COIN--230616C00055000						
2023-06-14	1.0	138.93	2023-06-14	106.05	0.00	32.88	
PUT COIN 01/20/23	50 COINBASE GLOBAL INC CUSIP: *8SRXWP9 Symbol: COIN--230120P00050000						
2023-01-19	1.0	179.94	2023-01-18	120.04	0.00	59.90	
CALL AMZN 03/17/23	95 AMAZON.COM INC ADJ 20:1 STOCK SPLIT CUSIP: *8STZYM9 Symbol: AMZN--230317C00095000						
2023-03-10	2.0	169.86	2023-03-10	267.22	47.12 D	-50.24	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SNOW 03/17/23	230	SNOWFLAKE INC CLASS A CUSIP: *8SWHVW7 Symbol: SNOW--230317C00230000					
2023-02-22	3.0	80.83	2023-02-21	85.15	0.00	-4.32	
CALL BA 06/16/23	220	BOEING CO CUSIP: *8SYPYH9 Symbol: BA----230616C00220000					
2023-06-06	1.0	101.93	2023-06-05	89.05	0.00	12.88	
CALL AMD 01/20/23	73	ADVANCED MICRO DEVICES INC CUSIP: *8UBNMF9 Symbol: AMD---230120C00073000					
2023-01-18	2.0	170.88	2023-01-18	154.08	0.00	16.80	
CALL DKNG 01/20/23	14	DRAFTKINGS INC CLASS A CUSIP: *8UBPVW9 Symbol: DKNG--230120C00014000					
2023-01-13	5.0	229.79	2023-01-13	165.19	0.00	64.60	
2023-01-17	1.0	37.94	2023-01-13	35.04	0.00	2.90	
Security Totals:		267.73		200.23		67.50	
CALL IWM 03/17/23	176	ISHARES RUSSELL 2000 ETF CUSIP: *8UBRDC5 Symbol: IWM---230317C00176000					
2023-03-15	1.0	103.93	2023-03-15	101.05	0.00	2.88	
2023-03-16	1.0	63.93	2023-03-16	51.05	0.00	12.88	
Security Totals:		167.86		152.10		15.76	
CALL NFLX 01/06/23	312.50	NETFLIX INC CUSIP: *8UBSCR9 Symbol: NFLX--230106C00312500					
2023-01-04	1.0	190.94	2023-01-04	147.04	0.00	43.90	
2023-01-05	1.0	252.94	2023-01-04	136.04	0.00	116.90	
Security Totals:		443.88		283.08		160.80	
CALL NIO 01/20/23	12	NIO INC ADS CUSIP: *8UBSCY9 Symbol: NIO---230120C00012000					
2023-01-17	17.0	244.20	Various	387.41	106.77 D	-36.44	
CALL OKTA 01/20/23	72	OKTA INC CUSIP: *8UBSHR1 Symbol: OKTA--230120C00072000					
2023-01-17	1.0	119.94	2023-01-17	114.04	0.00	5.90	
PUT SPY 01/26/23	390	STANDARD & POORS DEPOSITORY CUSIP: *8UCDXP9 Symbol: SPY---230126P00390000					
2023-01-23	4.0	161.78	Various	344.45	96.29 D	-86.38	
PUT SPY 03/03/23	402	STANDARD & POORS DEPOSITORY CUSIP: *8UCDYD7 Symbol: SPY---230303P00402000					
2023-03-03	2.0	69.88	2023-03-03	111.10	0.00	-41.22	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

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Box 12: Basis Reported to the IRS

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SQ 03/03/23	78	BLOCK INC CUSIP: *8UCDZS4 Symbol: SQ----	2023-03-02	60.86	52.22	2.12 D	10.76
2023-03-02	2.0		2023-03-02	60.86	52.22	2.12 D	10.76
PUT COIN 03/03/23	60	COINBASE GLOBAL INC CUSIP: *8UCHGS5 Symbol: COIN--	2023-02-28	80.93	79.05	0.00	1.88
2023-02-28	1.0		2023-02-28	80.93	79.05	0.00	1.88
CALL CVNA 02/03/23	10	CARVANA COMPANY CUSIP: *8UCHJX3 Symbol: CVNA--	2023-01-30	140.94	72.04	0.00	68.90
2023-01-30	1.0		2023-01-30	140.94	72.04	0.00	68.90
CALL CVNA 02/03/23	13	CARVANA COMPANY CUSIP: *8UCHJY5 Symbol: CVNA--	2023-02-01	46.93	40.05	0.00	6.88
2023-02-01	1.0		2023-02-01	46.93	40.05	0.00	6.88
CALL QQQ 03/17/23	308	INVESCO QQQ TRUST SERIES 1 CUSIP: *8UCKGF0 Symbol: QQQ---	2023-03-16	82.93	81.05	0.00	1.88
2023-03-16	1.0		2023-03-16	82.93	81.05	0.00	1.88
CALL TSLA 02/24/23	225	TESLA INC CUSIP: *8UCKWB0 Symbol: TSLA--	2023-02-21	123.93	110.05	0.00	13.88
2023-02-21	1.0		2023-02-21	123.93	110.05	0.00	13.88
CALL TSLA 03/03/23	195	TESLA INC CUSIP: *8UCKWK4 Symbol: TSLA--	2023-03-02	339.86	321.22	7.12 D	25.76
2023-03-02	2.0		2023-03-02	339.86	321.22	7.12 D	25.76
CALL TSLA 03/03/23	220	TESLA INC CUSIP: *8UCKWL4 Symbol: TSLA--	2023-02-27	324.93	305.05	0.00	19.88
2023-02-27	1.0		2023-02-27	324.93	305.05	0.00	19.88
CALL TSLA 03/03/23	225	TESLA INC CUSIP: *8UCKWL6 Symbol: TSLA--	2023-02-27	232.93	199.05	0.00	33.88
2023-02-27	1.0		2023-02-27	232.93	199.05	0.00	33.88
PUT AMZN 02/10/23	100	AMAZON.COM INC CUSIP: *8UCNLD1 Symbol: AMZN--	2023-02-03	146.81	130.26	12.11 D	28.66
2023-02-03	3.0		2023-02-03	146.81	130.26	12.11 D	28.66
PUT SPY 01/12/23	385	STANDARD & POORS DEPOSITORY CUSIP: *8UCPKG7 Symbol: SPY---	2023-01-12	4.94	91.04	0.00	-86.10
2023-01-12	1.0		2023-01-12	4.94	91.04	0.00	-86.10
CALL GOOGL 02/24/23	92	ALPHABET INC CLASS A CUSIP: *8UCQHZ9 Symbol: GOOGL-	2023-02-23	30.93	21.05	0.00	9.88
2023-02-23	1.0		2023-02-23	30.93	21.05	0.00	9.88

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL RIOT 02/24/23 7 RIOT PLATFORMS INC CUSIP: *8UCSZN2 Symbol: RIOT--230224C00007000							
2023-02-21	1.0	11.93	2023-02-21	18.05	6.12 D	-0.00	
2023-02-22	5.0	14.72	2023-02-21	71.37	0.00	-56.65	
Security Totals:		26.65		89.42		-56.65	
PUT SPY 02/24/23 395 STANDARD & POORS DEPOSITORY CUSIP: *8UCTRM4 Symbol: SPY---230224P00395000							
2023-02-23	2.0	63.88	2023-02-23	77.10	13.11 D	-0.11	
2023-02-24	1.0	137.93	2023-02-23	39.16	0.00	98.77	
Security Totals:		201.81		116.26		98.66	
CALL SPY 02/24/23 397 STANDARD & POORS DEPOSITORY CUSIP: *8UCTRM7 Symbol: SPY---230224C00397000							
2023-02-24	2.0	109.86	2023-02-24	98.10	0.00	11.76	
CALL SQ 03/31/23 69 BLOCK INC CUSIP: *8UCZZV9 Symbol: SQ---230331C00069000							
2023-03-29	2.0	105.88	2023-03-28	88.10	0.00	17.78	
PUT AMD 03/31/23 90 ADVANCED MICRO DEVICES INC CUSIP: *8UDCJN4 Symbol: AMD---230331P00090000							
2023-03-22	3.0	125.81	Various	342.15	0.00	-216.34	
CALL PTON 02/24/23 15 PELOTON INTERACTIVE INC CL A CUSIP: *8UDHJS2 Symbol: PTON--230224C00015000							
2023-02-15	2.0	73.86	2023-02-15	54.10	0.00	19.76	
PUT SPY 02/10/23 401 STANDARD & POORS DEPOSITORY CUSIP: *8UDHWP7 Symbol: SPY---230210P00401000							
2023-02-10	1.0	11.93	2023-02-09	16.05	0.00	-4.12	
CALL TSLA 02/10/23 215 TESLA INC CUSIP: *8UDJGQ6 Symbol: TSLA--230210C00215000							
2023-02-08	1.0	162.93	2023-02-08	174.05	0.00	-11.12	
CALL TSLA 02/24/23 205 TESLA INC CUSIP: *8UDJGT4 Symbol: TSLA--230224C00205000							
2023-02-21	1.0	374.93	2023-02-21	480.05	0.00	-105.12	
CALL TSLA 02/24/23 215 TESLA INC CUSIP: *8UDJGT8 Symbol: TSLA--230224C00215000							
2023-02-22	1.0	42.93	2023-02-22	59.05	0.00	-16.12	
CALL SPY 02/21/23 404 STANDARD & POORS DEPOSITORY CUSIP: *8UDMRX9 Symbol: SPY---230221C00404000							
2023-02-21	1.0	25.93	2023-02-21	34.05	0.00	-8.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 02/22/23 405 STANDARD & POORS DEPOSITORY CUSIP: *8UDMSN9 Symbol: SPY---230222C00405000							
2023-02-22	1.0	8.93	2023-02-21	56.05	0.00	-47.12	
PUT NVDA 03/31/23 200 NVIDIA CORPORATION CUSIP: *8UDPLW8 Symbol: NVDA--230331P00200000							
2023-03-03	1.0	187.93	2023-03-02	272.05	84.12 D	0.00	
2023-03-08	1.0	157.93	2023-03-03	312.17	0.00	-154.24	
Security Totals:		345.86		584.22		-154.24	
CALL NVDA 03/31/23 270 NVIDIA CORPORATION CUSIP: *8UDPMC5 Symbol: NVDA--230331C00270000							
2023-03-27	1.0	295.93	2023-03-27	300.05	0.00	-4.12	
PUT NVDA 03/31/23 270 NVIDIA CORPORATION CUSIP: *8UDPMC6 Symbol: NVDA--230331P00270000							
2023-03-31	1.0	15.93	2023-03-31	26.05	0.00	-10.12	
CALL SCHW 03/31/23 70 CHARLES SCHWAB CORP NEW CUSIP: *8UDQFP3 Symbol: SCHW--230331C00070000							
2023-03-14	2.0	129.86	2023-03-13	266.22	61.12 D	-75.24	
CALL SPY 02/22/23 406 STANDARD & POORS DEPOSITORY CUSIP: *8UDQNY3 Symbol: SPY---230222C00406000							
2023-02-22	1.0	5.93	2023-02-21	20.05	0.00	-14.12	
CALL TSLA 03/31/23 205 TESLA INC CUSIP: *8UDQZK7 Symbol: TSLA--230331C00205000							
2023-03-27	1.0	105.93	2023-03-27	152.05	0.00	-46.12	
CALL PDD 01/27/23 106 PINDUODUO INC ADS CUSIP: *8UDYMN8 Symbol: PDD---230127C00106000							
2023-01-27	3.0	121.82	2023-01-27	168.42	34.30 D	-12.30	
CALL PDD 02/03/23 108 PINDUODUO INC ADS CUSIP: *8UDYMP8 Symbol: PDD---230203C00108000							
2023-01-31	1.0	44.94	2023-01-30	25.04	0.00	19.90	
PUT VZ 01/27/23 38.50 VERIZON COMMUNICATIONS CUSIP: *8UDZKK5 Symbol: VZ----230127P00038500							
2023-01-23	1.0	40.94	2023-01-23	35.04	0.00	5.90	
2023-01-24	1.0	40.94	2023-01-23	35.04	0.00	5.90	
Security Totals:		81.88		70.08		11.80	
CALL SPY 04/28/23 414 STANDARD & POORS DEPOSITORY CUSIP: *8UFGGB5 Symbol: SPY---230428C00414000							
2023-04-28	1.0	68.93	2023-04-28	52.05	0.00	16.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 04/19/23	412	STANDARD & POORS DEPOSITORY CUSIP: *8UFNCQ6 Symbol: SPY---230419P00412000					
2023-04-19	1.0	84.93	2023-04-19	81.05	0.00	3.88	
CALL SPY 04/19/23	417	STANDARD & POORS DEPOSITORY CUSIP: *8UFNCR5 Symbol: SPY---230419C00417000					
2023-04-18	1.0	22.93	2023-04-18	26.05	0.00	-3.12	
CALL PDD 06/23/23	75	PDD HOLDINGS INC ADS CUSIP: *8UFNJR6 Symbol: PDD---230623C00075000					
2023-06-22	1.0	66.93	2023-06-22	45.05	0.00	21.88	
2023-06-23	2.0	1.88	2023-06-22	71.10	0.00	-69.22	
Security Totals:		68.81		116.15		-47.34	
CALL PYPL 06/23/23	69	PAYPAL HOLDINGS INC CUSIP: *8UFNNR2 Symbol: PYPL--230623C00069000					
2023-06-20	1.0	67.93	2023-06-20	65.05	0.00	2.88	
CALL QQQ 05/12/23	326	INVESCO QQQ TRUST SERIES 1 CUSIP: *8UFRJF3 Symbol: QQQ---230512C00326000					
2023-05-12	1.0	49.93	2023-05-12	59.05	0.00	-9.12	
CALL SPY 06/23/23	435	STANDARD & POORS DEPOSITORY CUSIP: *8UFSPV4 Symbol: SPY---230623C00435000					
2023-06-23	1.0	32.93	2023-06-23	38.05	0.00	-5.12	
CALL NFLX 06/23/23	430	NETFLIX INC CUSIP: *8UFXBW4 Symbol: NFLX--230623C00430000					
2023-06-23	1.0	45.93	2023-06-23	87.05	0.00	-41.12	
CALL TSLA 06/23/23	260	TESLA INC CUSIP: *8UFYLM4 Symbol: TSLA--230623C00260000					
2023-06-23	2.0	194.86	2023-06-23	204.10	0.00	-9.24	
CALL TSLA 06/23/23	305	TESLA INC CUSIP: *8UFYLP2 Symbol: TSLA--230623C00305000					
2023-06-21	2.0	51.88	Various	113.10	0.00	-61.22	
PUT AMD 05/26/23	104	ADVANCED MICRO DEVICES INC CUSIP: *8UFZJK1 Symbol: AMD---230526P00104000					
2023-05-24	5.0	279.70	2023-05-24	334.25	0.00	-54.55	
CALL SPY 04/20/23	411	STANDARD & POORS DEPOSITORY CUSIP: *8UGGSP4 Symbol: SPY---230420C00411000					
2023-04-20	1.0	92.93	2023-04-20	91.05	0.00	1.88	
CALL TSLA 05/26/23	190	TESLA INC CUSIP: *8UGHFN4 Symbol: TSLA--230526C00190000					
2023-05-24	2.0	170.86	2023-05-23	550.22	139.12 D	-240.24	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

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(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 06/09/23	230	TESLA INC CUSIP: *8UGQMNO Symbol: TSLA--230609C00230000					
2023-06-08	2.0	433.86	2023-06-08	356.10	0.00	77.76	
CALL TSLA 06/09/23	255	TESLA INC CUSIP: *8UGQMP0 Symbol: TSLA--230609C00255000					
2023-06-09	2.0	206.86	2023-06-09	305.22	17.12 D	-81.24	
CALL U 06/09/23	40	UNITY SOFTWARE INC CUSIP: *8UGQPR2 Symbol: U----230609C00040000					
2023-06-06	2.0	38.86	2023-06-06	120.22	36.12 D	-45.24	
PUT SPY 07/13/23	439	STANDARD & POORS DEPOSITORY CUSIP: *8UGSHF6 Symbol: SPY---230713P00439000					
2023-07-13	1.0	0.93	2023-07-12	7.05	0.00	-6.12	
CALL SPY 05/24/23	418	STANDARD & POORS DEPOSITORY CUSIP: *8UGWTZ5 Symbol: SPY---230524C00418000					
2023-05-23	2.0	113.86	2023-05-23	196.22	35.12 D	-47.24	
CALL BABA 06/30/23	90	ALIBABA GROUP HOLDING LIMITED CUSIP: *8UHFFR4 Symbol: BABA--230630C00090000					
2023-06-27	2.0	117.86	2023-06-26	110.22	2.12 D	9.76	
CALL QQQ 05/25/23	340	INVESCO QQQ TRUST SERIES 1 CUSIP: *8UHLVV3 Symbol: QQQ---230525C00340000					
2023-05-25	1.0	66.93	2023-05-25	62.05	0.00	4.88	
CALL SNOW 06/30/23	185	SNOWFLAKE INC CLASS A CUSIP: *8UHMLH5 Symbol: SNOW--230630C00185000					
2023-06-27	1.0	234.93	2023-06-27	238.05	0.00	-3.12	
PUT AAPL 07/28/23	192.50	APPLE INC CUSIP: *8UJBQD0 Symbol: AAPL--230728P00192500					
2023-07-26	3.0	218.83	2023-07-26	307.15	0.00	-88.32	
CALL SPY 07/24/23	455	STANDARD & POORS DEPOSITORY CUSIP: *8UJDRV3 Symbol: SPY---230724C00455000					
2023-07-24	4.0	137.72	2023-07-24	165.56	25.36 D	-2.48	
CALL UPS 07/28/23	197.50	UNITED PARCEL SVC INC CUSIP: *8UJDXR9 Symbol: UPS---230728C00197500					
2023-07-25	1.0	59.93	2023-07-25	66.05	0.00	-6.12	
CALL TSLA 06/30/23	270	TESLA INC CUSIP: *8UJSCK7 Symbol: TSLA--230630C00270000					
2023-06-26	4.0	459.74	2023-06-26	944.32	194.12 D	-290.46	
CALL TSLA 06/30/23	300	TESLA INC CUSIP: *8UJSCL9 Symbol: TSLA--230630C00300000					
2023-06-22	1.0	139.93	2023-06-22	107.05	0.00	32.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5JU34498

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 02/17/23 390 STANDARD & POORS DEPOSITORY CUSIP: *8Y23367 Symbol: SPY---230217P00390000							
2023-02-13	1.0	32.93	2023-02-13	41.05	0.00	-8.12	
PUT SPY 02/17/23 400 STANDARD & POORS DEPOSITORY CUSIP: *8Y23409 Symbol: SPY---230217P00400000							
2023-02-16	5.0	133.71	Various	73.25	0.00	60.46	
PUT SPY 02/17/23 410 STANDARD & POORS DEPOSITORY CUSIP: *8Y23460 Symbol: SPY---230217P00410000							
2023-02-16	1.0	266.93	2023-02-15	80.05	0.00	186.88	
BED BATH & BEYOND INC COMMON STOCK CUSIP: 075896100 Symbol: #B126811							
2023-02-08	2.0	5.62	2023-02-07	6.18	0.00	-0.56	
PROSHARES TR PROSHARES ULTRAPRO SHORT QQQ CUSIP: 74347G432 Symbol: SQQQ							
2023-01-09	1.0	49.76	2023-01-06	52.40	0.00	-2.64	
2023-02-22	1.0	38.73	2023-02-16	35.70	0.00	3.03	
Security Totals:		88.49		88.10		0.39	
Totals:		47,142.46		54,150.27		-2,024.11	

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2023

5JU34498

2024-02-11

The detailed transactional information below is furnished only for your information. Only the aggregate profit/loss information in each section is reported to the IRS. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 05/09/23 4135 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-09							
CUSIP:		2023-05-09	1.00	Sell	76.09	93.91	17.82
CALL SPXW 05/25/23 4175 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-25							
CUSIP:		2023-05-25	1.00	Sell	131.18	228.82	97.64
PUT SPXW 05/26/23 4170 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-26							
CUSIP:		2023-05-26	2.00	Sell	542.36	507.64	-34.72
CALL SPXW 06/05/23 4300 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-05							
CUSIP:		2023-06-05	4.00	Sell	1,099.72	1,130.28	30.56
PUT SPXW 05/26/23 4160 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-26							
CUSIP:		2023-05-26	2.00	Sell	492.36	357.64	-134.72
CALL SPXW 05/24/23 4135 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-24							
CUSIP:		2023-05-24	1.00	Sell	191.18	158.82	-32.36
PUT SPXW 05/30/23 4125 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-30							
CUSIP:		2023-05-26	1.00	Sell	261.18	278.82	17.64
PUT SPXW 06/02/23 4210 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-02							
CUSIP:		2023-06-02	1.00	Sell	86.09	108.82	22.73
CALL SPXW 06/09/23 4330 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-09							
CUSIP:		2023-06-09	7.00	Sell	1,658.17	1,666.83	8.66
CALL SPXW 06/01/23 4220 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-01							
CUSIP:		2023-06-01	1.00	Sell	331.18	348.82	17.64

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2023

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(continued)

2024-02-11

PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 05/17/23 4085 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-17							
CUSIP:		2023-05-17	1.00	Sell	26.09	48.91	22.82
CALL SPXW 06/23/23 4400 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-23							
CUSIP:		2023-06-22	1.00	Sell	261.18	378.82	117.64
CALL SPXW 05/24/23 4150 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-24							
CUSIP:		2023-05-24	2.00	Sell	277.36	207.64	-69.72
CALL SPXW 05/25/23 4160 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-25							
CUSIP:		2023-05-24	1.00	Sell	301.18	338.82	37.64
CALL SPXW 05/25/23 4165 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-25							
CUSIP:		2023-05-25	2.00	Sell	652.36	667.64	15.28
CALL SPXW 06/05/23 4280 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-05							
CUSIP:		2023-06-05	1.00	Sell	311.18	348.82	37.64
PUT SPXW 06/09/23 4280 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-09							
CUSIP:		2023-06-09	1.00	Sell	221.18	173.82	-47.36
CALL SPXW 05/23/23 4180 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-23							
CUSIP:		2023-05-23	1.00	Sell	296.18	318.82	22.64
CALL SPXW 06/05/23 4290 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-05							
CUSIP:		2023-06-05	1.00	Sell	341.18	358.82	17.64
CALL SPXW 06/08/23 4300 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-08							
CUSIP:		2023-06-08	1.00	Sell	81.09	68.91	-12.18
PUT SPXW 05/25/23 4140 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-25							
CUSIP:		2023-05-25	1.00	Sell	221.18	273.82	52.64

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PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 05/30/23 4210 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-30							
CUSIP:		2023-05-30	1.00	Sell	411.18	438.82	27.64
CALL SPXW 06/23/23 4370 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-23							
CUSIP:		2023-06-23	1.00	Sell	261.18	113.82	-147.36
CALL SPXW 06/23/23 4375 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-23							
CUSIP:		2023-06-23	2.00	Sell	322.36	327.64	5.28
CALL SPXW 06/23/23 4380 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-23							
CUSIP:		2023-06-23	1.00	Sell	46.09	53.91	7.82
CALL SPXW 06/01/23 4205 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-01							
CUSIP:		2023-06-01	1.00	Sell	141.18	143.82	2.64
CALL SPXW 06/07/23 4280 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-07							
CUSIP:		2023-06-07	2.00	Sell	687.36	777.64	90.28
PUT SPXW 06/02/23 4230 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-02							
CUSIP:		2023-06-02	1.00	Sell	311.18	238.82	-72.36
PUT SPXW 06/01/23 4185 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-01							
CUSIP:		2023-06-01	1.00	Sell	61.09	23.91	-37.18
PUT SPXW 06/01/23 4215 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-01							
CUSIP:		2023-06-01	1.00	Sell	246.18	253.82	7.64
CALL SPXW 06/16/23 4445 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-16							
CUSIP:		2023-06-16	1.00	Sell	391.18	148.82	-242.36
CALL SPXW 06/06/23 4280 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-06							
CUSIP:		2023-06-06	1.00	Sell	246.18	263.82	17.64

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PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 06/06/23 4290 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-06							
CUSIP:		2023-06-06	1.00	Sell	371.18	488.82	117.64
CALL SPXW 06/07/23 4310 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-07							
CUSIP:		2023-06-07	2.00	Sell	587.36	637.64	50.28
CALL SPXW 06/12/23 4320 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-12							
CUSIP:		2023-06-12	4.00	Sell	859.72	875.28	15.56
PUT SPXW 06/01/23 4220 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-01							
CUSIP:		2023-06-01	1.00	Sell	331.18	408.82	77.64
PUT SPXW 06/02/23 4220 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-02							
CUSIP:		2023-06-02	1.00	Sell	266.18	298.82	32.64
CALL SPXW 07/07/23 4425 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-07							
CUSIP:		2023-07-07	1.00	Sell	206.18	223.82	17.64
PUT SPXW 06/20/23 4350 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-20							
CUSIP:		2023-06-20	1.00	Sell	66.09	28.91	-37.18
CALL SPXW 06/08/23 4290 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-08							
CUSIP:		2023-06-08	1.00	Sell	341.18	288.82	-52.36
PUT SPXW 06/12/23 4290 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-12							
CUSIP:		2023-06-12	1.00	Sell	241.18	188.82	-52.36
PUT SPXW 06/01/23 4200 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-01							
CUSIP:		2023-06-01	2.00	Sell	342.27	262.73	-79.54
PUT SPXW 06/07/23 4275 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-07							
CUSIP:		2023-06-07	1.00	Sell	431.18	468.82	37.64

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PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 06/07/23 4285 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-07							
CUSIP:		2023-06-07	3.00	Sell	1,178.54	931.46	-247.08
CALL SPXW 06/29/23 4400 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-29							
CUSIP:		2023-06-29	6.00	Sell	1,622.08	1,797.92	175.84
CALL SPXW 06/08/23 4285 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-08							
CUSIP:		2023-06-08	2.00	Sell	647.36	587.64	-59.72
CALL SPXW 07/07/23 4430 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-07							
CUSIP:		2023-07-07	1.00	Sell	131.18	128.82	-2.36
CALL SPXW 06/05/23 4305 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-05							
CUSIP:		2023-06-05	1.00	Sell	211.18	223.82	12.64
CALL SPXW 06/06/23 4285 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-06							
CUSIP:		2023-06-06	4.00	Sell	879.72	915.37	35.65
PUT SPXW 06/07/23 4265 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-07							
CUSIP:		2023-06-07	1.00	Sell	211.18	288.82	77.64
PUT SPXW 06/08/23 4245 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-08							
CUSIP:		2023-06-08	2.00	Sell	82.18	67.82	-14.36
CALL SPXW 06/26/23 4375 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-26							
CUSIP:		2023-06-26	1.00	Sell	151.18	158.82	7.64
CALL SPXW 06/12/23 4315 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-12							
CUSIP:		2023-06-12	1.00	Sell	431.18	438.82	7.64
PUT SPXW 06/08/23 4250 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-08							
CUSIP:		2023-06-08	2.00	Sell	607.36	597.64	-9.72

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 06/13/23 4375 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-13							
CUSIP:		2023-06-13	1.00	Sell	361.18	418.82	57.64
CALL SPXW 06/26/23 4345 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-26							
CUSIP:		2023-06-26	1.00	Sell	301.18	338.82	37.64
CALL SPXW 06/22/23 4380 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-22							
CUSIP:		2023-06-22	1.00	Sell	111.18	128.82	17.64
CALL SPXW 07/05/23 4450 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-05							
CUSIP:		2023-07-05	3.00	Sell	448.54	426.55	-21.99
CALL SPXW 06/26/23 4380 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-26							
CUSIP:		2023-06-26	1.00	Sell	161.18	183.82	22.64
CALL SPXW 06/13/23 4380 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-13							
CUSIP:		2023-06-13	2.00	Sell	497.36	487.64	-9.72
CALL SPXW 06/15/23 4410 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-15							
CUSIP:		2023-06-15	3.00	Sell	698.54	981.46	282.92
CALL SPXW 06/30/23 4455 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-30							
CUSIP:		2023-06-30	1.00	Sell	266.18	318.82	52.64
PUT SPXW 07/07/23 4390 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-07							
CUSIP:		2023-07-07	1.00	Sell	156.18	218.82	62.64
CALL SPXW 06/13/23 4385 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-13							
CUSIP:		2023-06-13	1.00	Sell	296.18	348.82	52.64
CALL SPXW 06/15/23 4430 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-15							
CUSIP:		2023-06-15	1.00	Sell	86.09	98.82	12.73

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 06/21/23 4410 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-21							
CUSIP:		2023-06-21	1.00	Sell	51.09	28.91	-22.18
CALL SPXW 07/10/23 4425 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-10							
CUSIP:		2023-07-10	1.00	Sell	231.18	138.82	-92.36
CALL SPXW 06/26/23 4370 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-26							
CUSIP:		2023-06-26	3.00	Sell	683.54	486.55	-196.99
CALL SPXW 06/27/23 4360 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-27							
CUSIP:		2023-06-27	6.00	Sell	1,402.08	1,532.92	130.84
PUT SPXW 06/14/23 4340 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-14							
CUSIP:		2023-06-14	1.00	Sell	291.18	328.82	37.64
CALL SPXW 06/13/23 4395 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-13							
CUSIP:		2023-06-13	1.00	Sell	71.09	83.91	12.82
PUT SPXW 06/30/23 4390 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-30							
CUSIP:		2023-06-30	1.00	Sell	101.18	78.91	-22.27
PUT SPXW 06/15/23 4370 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-15							
CUSIP:		2023-06-15	3.00	Sell	638.54	391.64	-246.90
CALL SPXW 06/14/23 4430 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-14							
CUSIP:		2023-06-14	1.00	Sell	216.18	233.82	17.64
CALL SPXW 06/20/23 4395 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-20							
CUSIP:		2023-06-20	2.00	Sell	352.36	212.64	-139.72
CALL SPXW 07/12/23 4500 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-12							
CUSIP:		2023-07-12	1.00	Sell	226.18	208.82	-17.36

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 06/21/23 4395 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-21							
CUSIP:		2023-06-21	1.00	Sell	186.18	73.91	-112.27
CALL SPXW 06/14/23 4415 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-14							
CUSIP:		2023-06-14	1.00	Sell	261.18	288.82	27.64
PUT SPXW 07/07/23 4375 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-07							
CUSIP:		2023-07-07	1.00	Sell	161.18	63.91	-97.27
PUT SPXW 06/15/23 4365 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-15							
CUSIP:		2023-06-15	1.00	Sell	76.09	33.91	-42.18
CALL SPXW 06/28/23 4370 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-28							
CUSIP:		2023-06-28	3.00	Sell	953.54	1,036.46	82.92
PUT SPXW 06/28/23 4360 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-28							
CUSIP:		2023-06-28	2.00	Sell	302.27	342.73	40.46
CALL SPXW 06/28/23 4375 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-28							
CUSIP:		2023-06-28	1.00	Sell	231.18	193.82	-37.36
PUT SPXW 07/10/23 4390 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-10							
CUSIP:		2023-07-10	1.00	Sell	166.18	258.82	92.64
CALL SPXW 06/27/23 4365 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-27							
CUSIP:		2023-06-27	1.00	Sell	241.18	193.82	-47.36
CALL SPXW 06/22/23 4385 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-22							
CUSIP:		2023-06-22	9.00	Sell	2,045.62	2,019.38	-26.24
CALL SPXW 06/23/23 4365 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-23							
CUSIP:		2023-06-23	5.00	Sell	1,155.90	974.10	-181.80

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PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 07/12/23 4450 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-12							
CUSIP:		2023-07-12	1.00	Sell	226.18	83.91	-142.27
CALL SPXW 06/28/23 4385 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-28							
CUSIP:		2023-06-28	2.00	Sell	297.36	222.73	-74.63
CALL SPXW 07/03/23 4460 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-03							
CUSIP:		2023-07-03	1.00	Sell	221.18	208.82	-12.36
CALL SPXW 07/17/23 4525 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-17							
CUSIP:		2023-07-17	3.00	Sell	563.54	491.46	-72.08
PUT SPXW 06/21/23 4335 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-21							
CUSIP:		2023-06-21	1.00	Sell	166.18	63.91	-102.27
CALL SPXW 07/05/23 4445 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-05							
CUSIP:		2023-07-05	3.00	Sell	833.54	871.46	37.92
CALL SPXW 07/12/23 4490 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-12							
CUSIP:		2023-07-12	1.00	Sell	111.18	118.82	7.64
PUT SPXW 06/30/23 4415 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-30							
CUSIP:		2023-06-30	1.00	Sell	176.18	188.82	12.64
PUT SPXW 06/26/23 4335 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-26							
CUSIP:		2023-06-26	1.00	Sell	176.18	283.82	107.64
CALL SPXW 07/06/23 4420 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-06							
CUSIP:		2023-07-06	1.00	Sell	101.18	63.91	-37.27
CALL SPXW 07/06/23 4430 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-06							
CUSIP:		2023-07-06	4.00	Sell	769.72	295.64	-474.08

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 07/05/23 4455 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-05							
CUSIP:		2023-07-05	1.00	Sell	86.09	98.82	12.73
CALL SPXW 07/05/23 4465 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-05							
CUSIP:		2023-07-05	1.00	Sell	166.18	188.82	22.64
PUT SPXW 06/30/23 4440 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-30							
CUSIP:		2023-06-30	1.00	Sell	136.18	88.91	-47.27
PUT SPXW 07/10/23 4380 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-10							
CUSIP:		2023-07-10	1.00	Sell	176.18	198.82	22.64
PUT SPXW 07/06/23 4370 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-06							
CUSIP:		2023-07-06	1.00	Sell	171.18	188.82	17.64
CALL SPXW 07/14/23 4535 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-14							
CUSIP:		2023-07-14	1.00	Sell	121.18	98.82	-22.36
CALL SPXW 07/06/23 4415 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-06							
CUSIP:		2023-07-06	1.00	Sell	211.18	168.82	-42.36
PUT SPXW 07/10/23 4385 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-10							
CUSIP:		2023-07-10	1.00	Sell	241.18	193.82	-47.36
CALL SPXW 07/11/23 4435 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-11							
CUSIP:		2023-07-11	1.00	Sell	166.18	183.82	17.64
CALL SPXW 07/12/23 4485 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-12							
CUSIP:		2023-07-12	1.00	Sell	166.18	153.82	-12.36
CALL SPXW 07/07/23 4435 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-07							
CUSIP:		2023-07-07	2.00	Sell	317.36	262.73	-54.63

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PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 07/05/23 4435 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-05							
CUSIP:		2023-07-05	1.00	Sell	171.18	253.82	82.64
CALL SPXW 07/07/23 4445 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-07							
CUSIP:		2023-07-07	1.00	Sell	91.09	98.82	7.73
CALL SPXW 07/13/23 4520 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-13							
CUSIP:		2023-07-13	1.00	Sell	31.09	28.91	-2.18
PUT SPXW 07/12/23 4435 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-12							
CUSIP:		2023-07-12	1.00	Sell	61.09	63.91	2.82
PUT SPXW 07/21/23 4525 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-21							
CUSIP:		2023-07-21	4.00	Sell	734.72	630.28	-104.44
PUT SPXW 07/11/23 4415 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-11							
CUSIP:		2023-07-11	1.00	Sell	41.09	3.91	-37.18
PUT SPXW 07/12/23 4460 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-12							
CUSIP:		2023-07-12	1.00	Sell	116.18	68.91	-47.27
CALL SPXW 07/13/23 4515 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-13							
CUSIP:		2023-07-13	2.00	Sell	342.36	332.64	-9.72
CALL SPXW 07/18/23 4570 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-18							
CUSIP:		2023-07-18	1.00	Sell	41.09	18.91	-22.18
PUT SPXW 07/13/23 4500 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-13							
CUSIP:		2023-07-13	1.00	Sell	176.18	193.82	17.64
PUT SPXW 07/18/23 4550 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-18							
CUSIP:		2023-07-18	1.00	Sell	201.18	218.82	17.64

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PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 07/24/23 4565 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-24							
CUSIP:		2023-07-24	1.00	Sell	301.18	358.82	57.64
CALL SPXW 07/25/23 4575 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-25							
CUSIP:		2023-07-25	1.00	Sell	26.09	33.91	7.82
PUT SPXW 07/19/23 4550 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-19							
CUSIP:		2023-07-19	1.00	Sell	131.18	173.82	42.64
CALL SPXW 03/31/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-31							
CUSIP:		2023-03-31	1.00	Sell	241.18	178.82	-62.36
CALL SPXW 01/31/23 4060 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-31							
CUSIP:		2023-01-31	3.00	Sell	823.51	746.49	-77.02
CALL SPXW 01/20/23 3960 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-20							
CUSIP:		2023-01-20	1.00	Sell	226.17	408.83	182.66
CALL SPXW 01/20/23 3950 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-20							
CUSIP:		2023-01-20	1.00	Sell	186.17	103.83	-82.34
CALL SPXW 01/20/23 3970 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-20							
CUSIP:		2023-01-20	1.00	Sell	156.17	193.83	37.66
PUT SPXW 01/20/23 3900 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-20							
CUSIP:		2023-01-18	1.00	Sell	541.17	718.83	177.66
CUSIP:		2023-01-20	15.00	Sell	1,962.19	1,427.91	-534.28
PUT SPXW 01/20/23 3850 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-20							
CUSIP:		2023-01-19	2.00	Sell	357.34	407.66	50.32

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 02/28/23 3925 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-28							
CUSIP:		2023-02-28	1.00	Sell	156.18	83.91	-72.27
PUT SPXW 02/17/23 4025 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-17							
CUSIP:		2023-02-17	2.00	Sell	492.36	497.64	5.28
PUT SPXW 02/17/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-17							
CUSIP:		2023-02-15	1.00	Sell	276.18	198.82	-77.36
CUSIP:		2023-02-17	1.00	Sell	231.18	858.82	627.64
CALL SPXW 03/17/23 4000 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-17							
CUSIP:		2023-03-17	1.00	Sell	81.09	68.91	-12.18
CALL SPXW 01/06/23 3900 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-06							
CUSIP:		2023-01-06	2.00	Sell	577.34	302.66	-274.68
PUT SPXW 01/06/23 3800 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-06							
CUSIP:		2023-01-06	1.00	Sell	201.17	218.83	17.66
PUT SPXW 01/06/23 3840 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-06							
CUSIP:		2023-01-06	1.00	Sell	146.17	163.83	17.66
CALL SPXW 01/13/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-13							
CUSIP:		2023-01-12	1.00	Sell	341.17	43.92	-297.25
PUT SPXW 01/09/23 3900 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-09							
CUSIP:		2023-01-09	2.00	Sell	562.34	617.66	55.32
CALL SPXW 01/06/23 3880 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-06							
CUSIP:		2023-01-06	1.00	Sell	231.17	158.83	-72.34

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 01/13/23 3900 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-13							
CUSIP:		2023-01-12	1.00	Sell	256.17	263.83	7.66
PUT SPXW 01/13/23 3940 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-13							
CUSIP:		2023-01-13	3.00	Sell	508.51	541.49	32.98
PUT SPXW 03/17/23 3700 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-17							
CUSIP:		2023-03-14	1.00	Sell	311.18	348.82	37.64
PUT SPXW 01/13/23 3800 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-13							
CUSIP:		2023-01-12	1.00	Sell	296.17	33.92	-262.25
PUT SPXW 01/03/23 3800 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-03							
CUSIP:		2023-01-03	2.00	Sell	147.25	187.84	40.59
PUT SPXW 01/20/23 3885 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-20							
CUSIP:		2023-01-20	1.00	Sell	226.17	248.83	22.66
PUT SPXW 01/09/23 3920 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-09							
CUSIP:		2023-01-09	1.00	Sell	121.17	128.83	7.66
PUT SPXW 01/23/23 4025 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-23							
CUSIP:		2023-01-23	1.00	Sell	251.17	458.83	207.66
CALL SPXW 01/03/23 3900 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-03							
CUSIP:		2023-01-03	1.00	Sell	76.08	23.92	-52.16
PUT SPXW 01/06/23 3855 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-06							
CUSIP:		2023-01-06	1.00	Sell	311.17	428.83	117.66
CALL SPXW 01/06/23 3875 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-06							
CUSIP:		2023-01-06	2.00	Sell	517.34	517.66	0.32

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 01/12/23 4030 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-12							
CUSIP:		2023-01-12	2.00	Sell	222.25	187.75	-34.50
PUT SPXW 01/13/23 3910 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-13							
CUSIP:		2023-01-13	4.00	Sell	319.41	115.69	-203.72
CALL SPXW 01/13/23 4000 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-13							
CUSIP:		2023-01-13	3.00	Sell	528.50	496.49	-32.01
CALL SPXW 01/05/23 3850 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-05							
CUSIP:		2023-01-05	6.00	Sell	1,181.93	873.16	-308.77
CALL SPXW 01/13/23 3985 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-13							
CUSIP:		2023-01-13	1.00	Sell	471.17	378.83	-92.34
CALL SPXW 01/05/23 3840 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-05							
CUSIP:		2023-01-05	1.00	Sell	241.17	178.83	-62.34
CALL SPXW 01/27/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-27							
CUSIP:		2023-01-27	1.00	Sell	101.17	133.83	32.66
CALL SPXW 01/23/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-23							
CUSIP:		2023-01-23	3.00	Sell	373.42	436.49	63.07
CALL SPXW 01/03/23 3875 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-03							
CUSIP:		2023-01-03	1.00	Sell	121.17	88.92	-32.25
PUT SPXW 01/10/23 3860 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-10							
CUSIP:		2023-01-10	1.00	Sell	181.17	138.83	-42.34
CALL SPXW 01/10/23 3935 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-10							
CUSIP:		2023-01-10	1.00	Sell	46.08	63.92	17.84

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PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 02/06/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-06							
CUSIP:		2023-02-06	5.00	Sell	785.81	529.28	-256.53
CALL SPXW 01/31/23 4065 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-31							
CUSIP:		2023-01-31	1.00	Sell	191.17	238.83	47.66
CALL SPXW 01/27/23 4075 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-27							
CUSIP:		2023-01-27	1.00	Sell	361.17	378.83	17.66
CALL SPXW 01/30/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-30							
CUSIP:		2023-01-30	5.00	Sell	665.67	584.42	-81.25
CALL SPXW 01/06/23 3870 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-06							
CUSIP:		2023-01-06	1.00	Sell	231.17	218.83	-12.34
CALL SPXW 01/09/23 3955 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-09							
CUSIP:		2023-01-09	1.00	Sell	231.17	308.83	77.66
CALL SPXW 01/10/23 3930 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-10							
CUSIP:		2023-01-10	2.00	Sell	512.34	617.66	105.32
PUT SPXW 01/05/23 3780 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-05							
CUSIP:		2023-01-05	1.00	Sell	236.17	288.83	52.66
CALL SPXW 02/28/23 3985 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-28							
CUSIP:		2023-02-28	1.00	Sell	51.09	43.91	-7.18
CALL SPXW 01/12/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-12							
CUSIP:		2023-01-11	1.00	Sell	551.17	618.83	67.66

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 01/09/23 3950 CBOE S&P 500 CLOSE/EURO INDEX	2023-01-09						
CUSIP:		2023-01-06	1.00	Sell	96.08	153.83	57.75
CUSIP:	2023-01-09	2023-01-09	2.00	Sell	607.34	577.66	-29.68
PUT SPXW 02/10/23 4000 CBOE S&P 500 CLOSE/EURO INDEX	2023-02-10						
CUSIP:		2023-02-10	1.00	Sell	41.09	18.91	-22.18
PUT SPXW 01/26/23 3900 CBOE S&P 500 CLOSE/EURO INDEX	2023-01-26						
CUSIP:		2023-01-25	1.00	Sell	286.17	378.83	92.66
CALL SPXW 01/05/23 3860 CBOE S&P 500 CLOSE/EURO INDEX	2023-01-05						
CUSIP:		2023-01-05	2.00	Sell	192.25	187.75	-4.50
PUT SPXW 01/12/23 3915 CBOE S&P 500 CLOSE/EURO INDEX	2023-01-12						
CUSIP:		2023-01-12	2.00	Sell	222.25	257.66	35.41
PUT SPXW 01/09/23 3905 CBOE S&P 500 CLOSE/EURO INDEX	2023-01-09						
CUSIP:		2023-01-09	1.00	Sell	126.17	63.92	-62.25
CALL SPXW 01/10/23 3920 CBOE S&P 500 CLOSE/EURO INDEX	2023-01-10						
CUSIP:		2023-01-10	6.00	Sell	1,127.02	1,337.99	210.97
CALL SPXW 01/12/23 3980 CBOE S&P 500 CLOSE/EURO INDEX	2023-01-12						
CUSIP:		2023-01-12	1.00	Sell	521.17	518.83	-2.34
PUT SPXW 01/18/23 3975 CBOE S&P 500 CLOSE/EURO INDEX	2023-01-18						
CUSIP:		2023-01-18	1.00	Sell	296.17	358.83	62.66
CALL SPXW 01/25/23 4000 CBOE S&P 500 CLOSE/EURO INDEX	2023-01-25						
CUSIP:		2023-01-25	2.00	Sell	257.34	197.66	-59.68

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PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 01/19/23 3865 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-19							
CUSIP:		2023-01-19	3.00	Sell	573.51	541.49	-32.02
PUT SPXW 01/11/23 3900 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-11							
CUSIP:		2023-01-11	12.00	Sell	1,363.50	1,076.60	-286.90
CALL SPXW 02/24/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-24							
CUSIP:		2023-02-21	1.00	Sell	401.18	358.82	-42.36
PUT SPXW 01/12/23 3970 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-12							
CUSIP:		2023-01-12	3.00	Sell	563.42	626.49	63.07
CALL SPXW 02/10/23 4125 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-10							
CUSIP:		2023-02-10	1.00	Sell	146.18	108.82	-37.36
PUT SPXW 01/11/23 3935 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-11							
CUSIP:		2023-01-11	2.00	Sell	562.34	447.66	-114.68
PUT SPXW 01/25/23 3930 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-25							
CUSIP:		2023-01-25	1.00	Sell	391.17	348.83	-42.34
PUT SPXW 01/25/23 3950 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-25							
CUSIP:		2023-01-25	1.00	Sell	431.17	268.83	-162.34
PUT SPXW 01/13/23 3935 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-13							
CUSIP:		2023-01-13	1.00	Sell	131.17	113.83	-17.34
CALL SPXW 01/18/23 3985 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-18							
CUSIP:		2023-01-18	1.00	Sell	131.17	153.83	22.66
PUT SPXW 01/12/23 3965 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-12							
CUSIP:		2023-01-12	1.00	Sell	196.17	253.83	57.66

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 01/25/23 3990 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-25							
CUSIP:		2023-01-25	2.00	Sell	637.34	607.66	-29.68
CALL SPXW 01/23/23 4055 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-23							
CUSIP:		2023-01-23	1.00	Sell	36.08	8.92	-27.16
PUT SPXW 01/26/23 3980 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-26							
CUSIP:		2023-01-26	1.00	Sell	86.08	198.83	112.75
PUT SPXW 01/26/23 4010 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-26							
CUSIP:		2023-01-26	1.00	Sell	131.17	33.92	-97.25
CALL SPXW 02/28/23 4005 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-28							
CUSIP:		2023-02-28	1.00	Sell	271.18	308.82	37.64
CALL SPXW 01/18/23 3995 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-18							
CUSIP:		2023-01-18	1.00	Sell	251.17	118.83	-132.34
PUT SPXW 01/18/23 3940 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-18							
CUSIP:		2023-01-18	1.00	Sell	161.17	238.83	77.66
CALL SPXW 01/26/23 4075 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-26							
CUSIP:		2023-01-26	1.00	Sell	216.17	158.83	-57.34
PUT SPXW 01/18/23 3955 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-18							
CUSIP:		2023-01-18	1.00	Sell	321.17	308.83	-12.34
PUT SPXW 01/24/23 3990 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-24							
CUSIP:		2023-01-24	1.00	Sell	246.17	58.92	-187.25
CALL SPXW 01/24/23 4040 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-24							
CUSIP:		2023-01-24	3.00	Sell	528.51	706.49	177.98

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PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 01/24/23 3950 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-24							
CUSIP:		2023-01-24	2.00	Sell	257.34	157.75	-99.59
PUT SPXW 01/23/23 4010 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-23							
CUSIP:		2023-01-23	4.00	Sell	369.50	480.41	110.91
PUT SPXW 01/23/23 3990 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-23							
CUSIP:		2023-01-23	7.00	Sell	1,247.83	607.26	-640.57
PUT SPXW 01/26/23 4000 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-26							
CUSIP:		2023-01-26	1.00	Sell	221.17	338.83	117.66
PUT SPXW 01/24/23 3970 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-24							
CUSIP:		2023-01-24	3.00	Sell	758.51	436.67	-321.84
CALL SPXW 02/06/23 4125 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-06							
CUSIP:		2023-02-06	1.00	Sell	176.18	83.91	-92.27
PUT SPXW 02/01/23 3995 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-01							
CUSIP:		2023-02-01	1.00	Sell	221.18	228.82	7.64
CALL SPXW 01/19/23 3935 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-19							
CUSIP:		2023-01-19	2.00	Sell	607.34	477.66	-129.68
CALL SPXW 02/15/23 4150 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-15							
CUSIP:		2023-02-15	2.00	Sell	192.27	257.73	65.46
PUT SPXW 01/27/23 4030 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-27							
CUSIP:		2023-01-27	2.00	Sell	312.34	342.66	30.32
PUT SPXW 02/24/23 3940 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-24							
CUSIP:		2023-02-24	1.00	Sell	56.09	13.91	-42.18

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PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 02/07/23 4200 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-07							
CUSIP:		2023-02-07	1.00	Sell	166.18	173.82	7.64
PUT SPXW 01/26/23 3945 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-26							
CUSIP:		2023-01-26	2.00	Sell	782.34	52.84	-729.50
CALL SPXW 02/01/23 4125 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-01							
CUSIP:		2023-02-01	1.00	Sell	381.18	418.82	37.64
PUT SPXW 01/26/23 4030 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-26							
CUSIP:		2023-01-26	1.00	Sell	261.17	318.83	57.66
PUT SPXW 02/10/23 4040 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-10							
CUSIP:		2023-02-10	1.00	Sell	101.18	38.91	-62.27
CALL SPXW 03/03/23 4040 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-03							
CUSIP:		2023-03-03	1.00	Sell	91.09	98.82	7.73
CALL SPXW 02/22/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-22							
CUSIP:		2023-02-22	3.00	Sell	668.54	591.46	-77.08
PUT SPXW 01/27/23 4025 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-27							
CUSIP:		2023-01-27	2.00	Sell	512.34	397.66	-114.68
PUT SPXW 02/27/23 3900 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-27							
CUSIP:		2023-02-24	1.00	Sell	151.18	258.82	107.64
		2023-02-27					
CUSIP:		2023-02-27	1.00	Sell	151.18	13.91	-137.27
CALL SPXW 02/22/23 4150 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-22							
CUSIP:		2023-02-22	3.00	Sell	38.27	0.00	-38.27

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 02/10/23 4025 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-10							
CUSIP:		2023-02-10	2.00	Sell	227.27	77.82	-149.45
CALL SPXW 02/27/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-27							
CUSIP:		2023-02-27	1.00	Sell	61.09	78.91	17.82
PUT SPXW 02/27/23 3970 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-27							
CUSIP:		2023-02-27	1.00	Sell	196.18	208.82	12.64
PUT SPXW 02/03/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-03							
CUSIP:		2023-02-03	3.00	Sell	758.54	786.55	28.01
CALL SPXW 02/21/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-21							
CUSIP:		2023-02-21	2.00	Sell	327.36	242.64	-84.72
CALL SPXW 02/23/23 4060 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-23							
CUSIP:		2023-02-22	1.00	Sell	141.18	68.91	-72.27
PUT SPXW 02/09/23 4085 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-09							
CUSIP:		2023-02-09	2.00	Sell	152.18	127.82	-24.36
PUT SPXW 02/06/23 4080 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-06							
CUSIP:		2023-02-06	1.00	Sell	171.18	178.82	7.64
PUT SPXW 01/30/23 4030 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-30							
CUSIP:		2023-01-30	1.00	Sell	281.17	308.83	27.66
CALL SPXW 02/21/23 4075 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-21							
CUSIP:		2023-02-21	8.00	Sell	818.99	541.19	-277.80
CALL SPXW 02/06/23 4140 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-06							
CUSIP:		2023-02-06	1.00	Sell	156.18	213.82	57.64

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 02/07/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-07							
CUSIP:		2023-02-07	2.00	Sell	482.36	547.64	65.28
PUT SPXW 02/15/23 4120 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-15							
CUSIP:		2023-02-15	1.00	Sell	171.18	203.82	32.64
PUT SPXW 02/14/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-14							
CUSIP:		2023-02-14	2.00	Sell	207.27	252.64	45.37
CALL SPXW 02/01/23 4135 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-01							
CUSIP:		2023-02-01	1.00	Sell	106.18	118.82	12.64
PUT SPXW 02/14/23 4110 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-14							
CUSIP:		2023-02-14	1.00	Sell	36.09	28.91	-7.18
PUT SPXW 02/13/23 4065 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-13							
CUSIP:		2023-02-13	1.00	Sell	51.09	18.91	-32.18
CALL SPXW 02/15/23 4160 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-15							
CUSIP:		2023-02-15	1.00	Sell	136.18	68.91	-67.27
PUT SPXW 02/14/23 4095 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-14							
CUSIP:		2023-02-14	3.00	Sell	258.36	171.73	-86.63
PUT SPXW 02/14/23 4045 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-14							
CUSIP:		2023-02-14	1.00	Sell	76.09	83.91	7.82
CALL SPXW 02/07/23 4170 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-07							
CUSIP:		2023-02-07	1.00	Sell	256.18	298.82	42.64
PUT SPXW 03/24/23 3900 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-24							
CUSIP:		2023-03-23	1.00	Sell	361.18	233.82	-127.36

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 02/02/23 4150 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-02							
CUSIP:		2023-02-02	6.00	Sell	1,442.08	1,487.92	45.84
PUT SPXW 02/09/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-09							
CUSIP:		2023-02-09	1.00	Sell	161.18	198.82	37.64
CALL SPXW 02/08/23 4170 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-08							
CUSIP:		2023-02-08	1.00	Sell	91.09	18.91	-72.18
PUT SPXW 02/16/23 4090 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-16							
CUSIP:		2023-02-16	1.00	Sell	166.18	128.82	-37.36
CALL SPXW 02/09/23 4210 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-09							
CUSIP:		2023-02-09	1.00	Sell	31.09	3.91	-27.18
PUT SPXW 05/31/23 4150 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-31							
CUSIP:		2023-05-31	2.00	Sell	262.36	322.64	60.28
PUT SPXW 03/03/23 3610 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-03							
CUSIP:		2023-02-22	1.00	Sell	96.09	83.91	-12.18
CALL SPXW 02/07/23 4210 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-07							
CUSIP:		2023-02-07	1.00	Sell	46.09	23.91	-22.18
CALL SPXW 02/08/23 4155 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-08							
CUSIP:		2023-02-08	1.00	Sell	151.18	138.82	-12.36
PUT SPXW 06/16/23 4300 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-16							
CUSIP:		2023-06-15	1.00	Sell	91.09	63.91	-27.18
CALL SPXW 02/16/23 4145 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-16							
CUSIP:		2023-02-16	1.00	Sell	311.18	328.82	17.64

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 02/15/23 4125 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-15							
CUSIP:		2023-02-15	1.00	Sell	116.18	138.82	22.64
CALL SPXW 02/22/23 4025 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-22							
CUSIP:		2023-02-22	1.00	Sell	231.18	58.91	-172.27
CALL SPXW 03/06/23 4080 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-06							
CUSIP:		2023-03-06	1.00	Sell	86.09	38.91	-47.18
PUT VIXW 03/15/23 20 CBOE VOLATILITY INDEX 2023-03-15							
CUSIP:		2023-03-15	1.00	Sell	3.70	0.00	-3.70
PUT SPXW 03/01/23 3935 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-01							
CUSIP:		2023-03-01	3.00	Sell	133.27	46.73	-86.54
CALL SPXW 03/08/23 4020 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-08							
CUSIP:		2023-03-08	2.00	Sell	472.36	267.64	-204.72
CALL SPXW 03/01/23 3960 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-01							
CUSIP:		2023-03-01	1.00	Sell	41.09	48.91	7.82
PUT SPXW 03/08/23 3975 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-08							
CUSIP:		2023-03-08	1.00	Sell	46.09	48.91	2.82
PUT SPXW 03/14/23 3850 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-14							
CUSIP:		2023-03-14	1.00	Sell	56.09	38.91	-17.18
PUT SPXW 06/16/23 4400 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-16							
CUSIP:		2023-06-16	3.00	Sell	408.45	256.64	-151.81
CALL SPXW 02/22/23 4065 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-22							
CUSIP:		2023-02-22	1.00	Sell	51.09	28.91	-22.18

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 03/24/23 3980 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-24							
CUSIP:		2023-03-24	1.00	Sell	181.18	228.82	47.64
CALL SPXW 03/06/23 4085 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-06							
CUSIP:		2023-03-06	1.00	Sell	66.09	43.91	-22.18
CALL SPXW 03/22/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-22							
CUSIP:		2023-03-22	1.00	Sell	81.09	118.82	37.73
CALL SPXW 02/27/23 3990 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-27							
CUSIP:		2023-02-27	2.00	Sell	132.18	42.82	-89.36
CALL SPXW 03/21/23 4000 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-21							
CUSIP:		2023-03-20	1.00	Sell	176.18	198.82	22.64
CALL SPXW 03/23/23 4025 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-23							
CUSIP:		2023-03-23	1.00	Sell	186.18	203.82	17.64
CALL SPXW 03/02/23 3990 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-02							
CUSIP:		2023-03-02	1.00	Sell	51.09	33.91	-17.18
CALL SPXW 03/08/23 4010 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-08							
CUSIP:		2023-03-08	1.00	Sell	371.18	318.82	-52.36
CALL SPXW 03/09/23 3980 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-09							
CUSIP:		2023-03-09	1.00	Sell	186.18	203.82	17.64
PUT SPXW 03/13/23 3860 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-13							
CUSIP:		2023-03-13	1.00	Sell	266.18	293.82	27.64
CALL SPXW 04/21/23 4145 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-21							
CUSIP:		2023-04-21	2.00	Sell	262.36	287.64	25.28

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Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 03/10/23 3955 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-10							
CUSIP:		2023-03-10	1.00	Sell	251.18	258.82	7.64
PUT SPXW 03/09/23 3940 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-09							
CUSIP:		2023-03-09	1.00	Sell	101.18	118.82	17.64
CALL SPXW 06/16/23 4470 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-16							
CUSIP:		2023-06-16	3.00	Sell	413.45	116.73	-296.72
CALL SPXW 03/13/23 3990 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-13							
CUSIP:		2023-03-10	1.00	Sell	251.18	268.82	17.64
CALL SPXW 03/10/23 3935 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-10							
CUSIP:		2023-03-10	1.00	Sell	26.09	28.91	2.82
CALL SPXW 03/13/23 3880 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-13							
CUSIP:		2023-03-13	1.00	Sell	146.18	158.82	12.64
CALL SPXW 03/27/23 4005 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-27							
CUSIP:		2023-03-27	1.00	Sell	146.18	173.82	27.64
PUT SPXW 03/29/23 4020 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-29							
CUSIP:		2023-03-29	1.00	Sell	141.18	108.82	-32.36
CALL SPXW 06/30/23 4460 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-30							
CUSIP:		2023-06-30	5.00	Sell	1,325.90	1,289.10	-36.80
CALL SPXW 03/30/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-30							
CUSIP:		2023-03-30	1.00	Sell	181.18	203.82	22.64
CALL SPXW 03/09/23 3955 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-09							
CUSIP:		2023-03-09	1.00	Sell	111.18	128.82	17.64

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2023

5JU34498

(continued)

2024-02-11

PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 03/21/23 3945 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-21							
CUSIP:		2023-03-21	5.00	Sell	545.63	399.46	-146.17
CALL SPXW 06/16/23 4450 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-16							
CUSIP:		2023-06-16	1.00	Sell	226.18	153.82	-72.36
CALL SPXW 04/14/23 4135 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-14							
CUSIP:		2023-04-14	2.00	Sell	217.36	207.73	-9.63
CALL SPXW 03/16/23 3920 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-16							
CUSIP:		2023-03-16	1.00	Sell	311.18	293.82	-17.36
CALL SPXW 03/28/23 4005 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-28							
CUSIP:		2023-03-28	2.00	Sell	317.36	272.73	-44.63
CALL SPXW 03/20/23 3980 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-20							
CUSIP:		2023-03-20	2.00	Sell	572.36	392.64	-179.72
PUT SPXW 03/28/23 3930 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-28							
CUSIP:		2023-03-28	1.00	Sell	161.18	143.82	-17.36
CALL SPXW 03/28/23 4000 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-28							
CUSIP:		2023-03-28	1.00	Sell	271.18	128.82	-142.36
PUT SPXW 03/22/23 3940 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-22							
CUSIP:		2023-03-22	1.00	Sell	276.18	318.82	42.64
CALL SPXW 03/27/23 3995 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-27							
CUSIP:		2023-03-27	1.00	Sell	191.18	198.82	7.64
CALL SPXW 05/31/23 4185 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-31							
CUSIP:		2023-05-31	1.00	Sell	16.09	33.91	17.82

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2023

5JU34498

(continued)

2024-02-11

PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 05/26/23 4200 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-26							
CUSIP:		2023-05-26	1.00	Sell	681.18	718.82	37.64
PUT SPXW 04/03/23 4090 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-03							
CUSIP:		2023-04-03	1.00	Sell	91.09	128.82	37.73
PUT SPXW 03/30/23 4025 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-30							
CUSIP:		2023-03-30	1.00	Sell	181.18	158.82	-22.36
CALL SPXW 04/20/23 4150 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-20							
CUSIP:		2023-04-20	1.00	Sell	146.18	188.82	42.64
CALL SPXW 04/04/23 4155 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-04							
CUSIP:		2023-04-04	1.00	Sell	126.18	93.91	-32.27
CALL SPXW 05/02/23 4130 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-02							
CUSIP:		2023-05-02	1.00	Sell	126.18	83.91	-42.27
PUT SPXW 04/18/23 4130 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-18							
CUSIP:		2023-04-18	1.00	Sell	91.09	128.82	37.73
CALL SPXW 05/31/23 4195 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-31							
CUSIP:		2023-05-31	1.00	Sell	201.18	208.82	7.64
PUT SPXW 04/18/23 4125 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-18							
CUSIP:		2023-04-18	1.00	Sell	136.18	153.82	17.64
PUT SPXW 04/19/23 4120 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-19							
CUSIP:		2023-04-19	4.00	Sell	614.63	375.46	-239.17
PUT SPXW 05/03/23 4075 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-03							
CUSIP:		2023-05-03	1.00	Sell	106.18	128.82	22.64

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2023

5JU34498

(continued)

2024-02-11

PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 05/02/23 4140 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-02							
CUSIP:		2023-05-02	1.00	Sell	51.09	48.91	-2.18
PUT SPXW 04/25/23 4065 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-25							
CUSIP:		2023-04-25	1.00	Sell	91.09	48.91	-42.18
CALL SPXW 05/04/23 4110 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-04							
CUSIP:		2023-05-04	1.00	Sell	156.18	183.82	27.64
CALL SPXW 05/17/23 4160 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-17							
CUSIP:		2023-05-17	1.00	Sell	41.09	38.91	-2.18
CALL SPXW 06/02/23 4270 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-02							
CUSIP:		2023-06-02	1.00	Sell	331.18	358.82	27.64
CALL SPXW 06/09/23 4320 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-09							
CUSIP:		2023-06-09	1.00	Sell	381.18	398.82	17.64
CALL SPXW 04/25/23 4115 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-25							
CUSIP:		2023-04-25	1.00	Sell	111.18	93.91	-17.27
CALL SPXW 06/09/23 4325 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-09							
CUSIP:		2023-06-09	1.00	Sell	431.18	428.82	-2.36
Short-Term Profit/(Loss) Total: 40%							-2,666.89
Long-Term Profit/(Loss) Total: 60%							-4,000.34
Total:							-6,667.23

Aggregate Short-Term Profit or (Loss): 40% -2,666.89
 Aggregate Long-Term Profit or (Loss): 60% -4,000.34
11 - Aggregate Profit or (Loss) on Contracts -6,667.23

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Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2023 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Future developments. For the latest information about developments related to forms and instructions, such as legislation enacted after they were published, go to www.irs.gov/forms-instructions.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Box 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

Boxes 14-16. State income tax withheld reporting boxes.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

1099-B Instructions to Recipients

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

- Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.
- Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).
- Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.
- Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.
- Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.
- Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.
- Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.
- Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.
- Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**
- Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2023.
- Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2022.
- Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2023. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2023 in 2024.
- Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2023 Form 6781.
- Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.
- Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.
- Boxes 14–16.** Show state(s)/local income tax information.

1099-MISC Instructions to Recipients

- Amounts shown may be subject to self-employment (SE) tax.** Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the appropriate line of their tax returns.
- Form 1099-MISC incorrect?** If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your information correctly.
- Box 1.** Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.
- Box 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.
- Box 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).
- Box 4.** Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.
- Box 5.** Shows the amount paid to you as a fishing boat crew member by the operator, who considers you to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.
- Box 6.** For individuals, report on Schedule C (Form 1040).
- Box 7.** If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).
- Box 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).
- Box 9.** Report this amount on Schedule F (Form 1040).
- Box 10.** Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.
- Box 11.** Shows the amount of cash you received for the sale of fish if you are in the trade or business of catching fish.
- Box 12.** May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A plus any earnings on current and prior year deferrals.
- Box 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.
- Box 14.** Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.
- Box 15.** Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.
- Boxes 16–18.** Show state or local income tax withheld from the payments.

1099-OID Instructions to Recipients

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, you generally must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

- Box 1.** Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.
- Box 2.** Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.
- Box 3.** Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you.

For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR). If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and is issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Boxes 12-14. State income tax withheld reporting.