



Zhekai Li  
699 Spring St  
Atlanta, GA 30308

**Master Account Number for Import:**  
423142421  
**Document ID for Import:**  
16841338024

**Enclosed is your 2023 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:**

423142421 - Robinhood Securities LLC

423142421C - Robinhood Crypto LLC

**New for 2023 Tax Year** - 1099 tax forms for Robinhood Markets Inc. will be displayed using an aggregated format based on key tax lot criteria. We have done this to reduce the size of your overall tax package, as well as to help make your tax documents easier to use. This new format still provides you with all the information you need to file your taxes.

**Robinhood Retirements Accounts** - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2023 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

**Filing Your Taxes** - If filing through tax service providers, such as TurboTax or H&R Block, please utilize the Master Account Number and Document ID listed above. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

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<b>Robinhood Markets Inc. as agent for Robinhood Securities LLC</b> 85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700  PAYER'S TIN: 46-4364776	<b>Tax Information</b> <b>Account 423142421</b>  Zhekai Li 699 Spring St Atlanta, GA 30308  RECIPIENT'S TIN: XXX-XX-9907	Statement Date: 02/12/2024	2023
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**Summary Information** 11 - [ ] FATCA filing requirement (see instructions) 13 - [ ] FATCA filing requirement (see instructions)

<b>DIVIDENDS AND DISTRIBUTIONS 2023 1099-DIV*</b> <span style="float: right;">OMB No. 1545-0110</span>	<b>MISCELLANEOUS INFORMATION 2023 1099-MISC*</b> <span style="float: right;">OMB No. 1545-0115</span>
1a- Total ordinary dividends (includes lines 1b, 5, 2e) 26.71 1b- Qualified dividends 16.42 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00 2b- Unrecaptured Section 1250 gain 0.00 2c- Section 1202 gain 0.00 2d- Collectibles (28%) gain 0.00 2e- Section 897 ordinary dividends 0.00 2f- Section 897 capital gain 0.00 3- Nondividend distributions 0.00 <b>4- Federal income tax withheld 0.00</b> 5- Section 199A dividends 0.00 6- Investment expenses 0.00 8- Foreign country or US possession: See detail 7- Foreign tax paid: 0.48 9- Cash liquidation distributions 0.00 10- Noncash liquidation distributions 0.00 12- Exempt-interest dividends (includes line 13) 0.00 13- Specified private activity bond interest dividends (AMT) 0.00	2- Royalties 0.00 3- Other income 0.00 <b>4- Federal income tax withheld 0.00</b> 8- Substitute payments in lieu of dividends or interest 0.00  <b>SECTION 1256 CONTRACTS 2023 1099-B*</b> <span style="float: right;">OMB No. 1545-0715</span> 8- Profit or (loss) realized in 2023 on closed contracts 0.00 9- Unrealized profit or (loss) on open contracts-12/31/2022 0.00 10- Unrealized profit or (loss) on open contracts-12/31/2023 0.00 11- Aggregate profit or (loss) on contracts 0.00  <i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i> <b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b>

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	26,242.59	26,221.44	0.00	489.34	510.49
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>26,242.59</b>	<b>26,221.44</b>	<b>0.00</b>	<b>489.34</b>	<b>510.49</b>
Long	D (basis reported to the IRS)	0.09	0.09	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.09</b>	<b>0.09</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>26,242.68</b>	<b>26,221.53</b>	<b>0.00</b>	<b>489.34</b>	<b>510.49</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 423142421

## Summary Information

2023

(continued)

02/12/2024

### INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	104.83
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail
FATCA filing requirement [ ]	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	30.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for  
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Account 423142421

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

02/12/2024

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

##### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ACV AUCTIONS INC. CLASS A COMM ON STOCK / CUSIP: 00091G104 / Symbol:							
06/23/23	1.000	17.34	Various	18.63	...	-1.29	Total of 3 transactions
06/23/23	0.743	12.88	Various	13.49	0.61 W	0.00	Total of 2 transactions
<b>Security total:</b>		<b>30.22</b>		<b>32.12</b>	<b>0.61 W</b>	<b>-1.29</b>	
ABM INDUSTRIES, INC. / CUSIP: 000957100 / Symbol:							
06/12/23	0.503	23.07	06/05/23	22.94	...	0.13	Sale <sup>25</sup>
ABBOTT LABORATORIES / CUSIP: 002824100 / Symbol:							
08/29/23	1.000	103.25	Various	105.38	...	-2.13	Total of 3 transactions
08/29/23	0.045	4.65	08/15/23	4.74	0.09 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>107.90</b>		<b>110.12</b>	<b>0.09 W</b>	<b>-2.13</b>	
ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol:							
08/31/23	0.073	40.58	08/15/23	38.10	...	2.48	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 423142421

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol:							
06/12/23	0.259	33.46	Various	30.86	...	2.60	Total of 2 transactions
09/14/23	4.905	530.85	Various	519.02	...	11.83	Total of 7 transactions
09/14/23	6.183	669.14	Various	687.77	18.63 W	0.00	Total of 13 transactions
10/03/23	0.038	3.86	09/07/23	4.26	0.40 W	0.00	Sale <sup>25</sup>
10/09/23	2.306	244.51	Various	241.94	...	2.57	Total of 13 transactions
10/09/23	4.190	444.24	Various	459.77	15.41 W	-0.12	Total of 7 transactions
11/02/23	2.765	298.62	Various	282.12	...	16.50	Total of 8 transactions
11/14/23	0.440	53.24	10/24/23	46.02	...	7.22	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,277.92</b>		<b>2,271.76</b>	<b>34.44 W</b>	<b>40.60</b>	
ALBEMARLE CORPORATION / CUSIP: 012653101 / Symbol:							
08/15/23	0.501	91.97	Various	115.64	...	-23.67	Total of 6 transactions
ALBERTSONS COMPANIES, INC. / CUSIP: 013091103 / Symbol:							
06/22/23	1.481	31.82	Various	31.34	...	0.48	Total of 2 transactions
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
07/31/23	0.066	6.63	Various	5.68	...	0.95	Total of 2 transactions
08/14/23	0.624	58.49	Various	54.72	...	3.77	Total of 5 transactions
11/15/23	0.363	31.58	Various	29.93	...	1.65	Total of 2 transactions
11/15/23	1.934	168.42	Various	176.89	8.47 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>265.12</b>		<b>267.22</b>	<b>8.47 W</b>	<b>6.37</b>	
ALLBIRDS, INC. CLASS A COMMON STOCK / CUSIP: 01675A109 / Symbol:							
09/06/23	6.052	7.77	Various	9.84	...	-2.07	Total of 5 transactions
11/15/23	3.000	2.44	07/31/23	4.48	...	-2.04	Sale <sup>25</sup>
	<b>Security total:</b>	<b>10.21</b>		<b>14.32</b>	...	<b>-4.11</b>	
ALLEGiant TRAVEL COMPANY COMMON STOCK / CUSIP: 01748X102 / Symbol:							
06/22/23	0.281	33.73	Various	32.17	...	1.56	Total of 3 transactions
ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol:							
11/14/23	0.701	95.27	Various	89.37	...	5.90	Total of 5 transactions

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Robinhood Markets Inc. as agent for  
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**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol:							
06/12/23	0.204	24.95	06/05/23	25.85	0.42 W	-0.48	Sale <sup>25</sup>
07/28/23	0.436	57.04	Various	53.88	...	3.16	Total of 2 transactions
11/02/23	1.734	222.91	Various	211.80	...	11.11	Total of 3 transactions
11/02/23	0.041	5.25	08/15/23	5.34	0.09 W	0.00	Sale <sup>25</sup>
11/14/23	0.162	21.83	Various	20.94	...	0.89	Total of 2 transactions
<b>Security total:</b>		<b>331.98</b>		<b>317.81</b>	<b>0.51 W</b>	<b>14.68</b>	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
08/31/23	0.324	43.93	Various	42.65	...	1.28	Total of 2 transactions
09/06/23	0.187	25.39	07/19/23	25.19	...	0.20	Sale <sup>25</sup>
10/03/23	0.032	4.06	07/19/23	4.35	0.29 W	0.00	Sale <sup>25</sup>
10/16/23	3.048	404.34	Various	398.48	...	5.86	Total of 5 transactions
10/16/23	1.474	195.52	Various	207.82	12.30 W	0.00	Total of 19 transactions
11/14/23	0.339	49.72	Various	47.14	...	2.58	Total of 7 transactions
11/14/23	0.032	4.75	09/26/23	5.02	0.27 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>727.71</b>		<b>730.65</b>	<b>12.86 W</b>	<b>9.92</b>	
AMERICAN EXPRESS COMPANY / CUSIP: 025816109 / Symbol:							
09/06/23	0.070	11.11	07/28/23	11.62	0.20 W	-0.31	Sale <sup>25</sup>
10/03/23	0.023	3.38	07/28/23	3.84	...	-0.46	Sale <sup>25</sup>
11/14/23	0.268	41.88	Various	44.93	...	-3.05	Total of 4 transactions
<b>Security total:</b>		<b>56.37</b>		<b>60.39</b>	<b>0.20 W</b>	<b>-3.82</b>	
AMERICAN INTERNATIONAL GROUP, INC. / CUSIP: 026874784 / Symbol:							
07/31/23	1.660	100.39	Various	98.86	...	1.53	Total of 3 transactions
AMERICAN SUPERCONDUCTOR CORPORATION COMMON STOCK / CUSIP: 030111207 / Symbol:							
08/03/23	0.083	0.82	08/03/23	0.82	...	0.00	Sale <sup>25</sup>
08/03/23	81.549	799.16	08/03/23	801.63	2.47 W	0.00	Sale <sup>25</sup>
08/04/23	17.794	199.85	08/03/23	174.91	...	24.94	Sale <sup>25</sup>
08/09/23	0.045	0.38	08/03/23	0.45	...	-0.07	Sale <sup>25</sup>
08/09/23	47.790	399.62	Various	471.15	16.89 W	-54.64	Total of 3 transactions
08/30/23	47.346	451.23	Various	470.40	...	-19.17	Total of 7 transactions
<b>Security total:</b>		<b>1,851.06</b>		<b>1,919.36</b>	<b>19.36 W</b>	<b>-48.94</b>	

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Robinhood Markets Inc. as agent for  
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Account 423142421

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMICUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 03152W109 / Symbol:							
06/22/23	2.413	30.51	Various	31.38	...	-0.87	Total of 2 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
08/15/23	0.116	20.82	Various	21.30	0.48 W	0.00	Total of 2 transactions
11/02/23	0.969	170.00	Various	178.16	8.16 W	0.00	Total of 9 transactions
12/07/23	2.234	433.94	Various	396.55	...	37.39	Total of 16 transactions
	<b>Security total:</b>	<b>624.76</b>		<b>596.01</b>	<b>8.64 W</b>	<b>37.39</b>	
ARM HOLDINGS PLC AMERICAN DEPO SITARY SHARES / CUSIP: 042068205 / Symbol:							
09/18/23	5.000	275.68	09/14/23	255.00	...	20.68	Sale <sup>25</sup>
AVIDXCHANGE HOLDINGS, INC. COM MON STOCK / CUSIP: 05368X102 / Symbol:							
06/22/23	2.737	27.37	Various	30.43	...	-3.06	Total of 3 transactions
AXCELIS TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 054540208 / Symbol:							
07/05/23	0.179	32.19	06/23/23	30.00	...	2.19	Sale <sup>25</sup>
W.R. BERKLEY CORPORATION / CUSIP: 084423102 / Symbol:							
08/31/23	1.595	98.39	Various	97.80	...	0.59	Total of 3 transactions
BLACK KNIGHT, INC. COMMON STOC K / CUSIP: 09215C105 / Symbol:							
07/17/23	0.809	56.70	Various	45.97	...	10.73	Total of 3 transactions
BOSTON SCIENTIFIC CORP. / CUSIP: 101137107 / Symbol:							
08/31/23	0.944	51.52	Various	48.59	...	2.93	Total of 3 transactions
BOWMAN CONSULTING GROUP LTD. C OMMON STOCK / CUSIP: 103002101 / Symbol:							
06/22/23	1.002	32.40	Various	30.12	...	2.28	Total of 4 transactions
BRAZE, INC. CLASS A COMMON STO CK / CUSIP: 10576N102 / Symbol:							
06/22/23	0.796	31.85	Various	32.36	...	-0.51	Total of 3 transactions
CBIZ, INC. / CUSIP: 124805102 / Symbol:							
07/05/23	0.599	31.83	06/23/23	32.70	...	-0.87	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 423142421

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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THE CIGNA GROUP / CUSIP: 125523100 / Symbol:							
06/22/23	0.207	56.46	06/08/23	54.79	...	1.67	Sale <sup>25</sup>
08/14/23	0.144	41.87	Various	38.65	...	3.22	Total of 3 transactions
08/14/23	0.010	2.89	07/31/23	2.92	0.03 W	0.00	Sale <sup>25</sup>
08/31/23	0.012	3.46	08/15/23	3.55	...	-0.09	Sale <sup>25</sup>
08/31/23	0.096	27.19	Various	27.92	0.73 W	0.00	Total of 3 transactions
11/14/23	0.096	27.89	Various	28.56	...	-0.67	Total of 3 transactions
11/15/23	0.000	0.02	08/15/23	0.02	...	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>159.78</b>		<b>156.41</b>	<b>0.76 W</b>	<b>4.13</b>	
CME GROUP INC. CLASS A COMMON STOCK / CUSIP: 12572Q105 / Symbol:							
07/31/23	0.034	6.84	07/19/23	6.51	...	0.33	Sale <sup>25</sup>
08/15/23	0.026	5.23	07/19/23	4.84	...	0.39	Sale <sup>25</sup>
08/29/23	0.060	12.07	07/19/23	11.32	...	0.75	Sale <sup>25</sup>
08/31/23	0.486	98.57	Various	92.99	...	5.58	Total of 5 transactions
	<b>Security total:</b>	<b>122.71</b>		<b>115.66</b>	...	<b>7.05</b>	
CVS HEALTH CORPORATION / CUSIP: 126650100 / Symbol:							
11/14/23	0.351	23.94	Various	25.96	...	-2.02	Total of 2 transactions
COTERRA ENERGY INC. / CUSIP: 127097103 / Symbol:							
08/14/23	0.998	27.88	Various	25.18	...	2.70	Total of 3 transactions
CAMPING WORLD HOLDINGS, INC. / CUSIP: 13462K109 / Symbol:							
07/05/23	1.149	33.86	Various	32.39	...	1.47	Total of 2 transactions
CANADIAN PACIFIC KANSAS CITY L IMITED / CUSIP: 13646K108 / Symbol:							
11/14/23	1.127	79.53	Various	90.52	...	-10.99	Total of 5 transactions
CAPITAL ONE FINANCIAL / CUSIP: 14040H105 / Symbol:							
08/14/23	0.499	54.09	Various	55.89	...	-1.80	Total of 5 transactions
CENTRUS ENERGY CORP. / CUSIP: 15643U104 / Symbol:							
10/03/23	0.158	8.57	Various	5.71	...	2.86	Total of 2 transactions
11/14/23	1.004	53.63	Various	43.11	...	10.52	Total of 7 transactions
	<b>Security total:</b>	<b>62.20</b>		<b>48.82</b>	...	<b>13.38</b>	

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### Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/12/2024

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CHAMPIONX CORPORATION COMMON S TOCK / CUSIP: 15872M104 / Symbol:							
08/15/23	0.147	5.32	07/31/23	5.23	...	0.09	Sale <sup>25</sup>
08/29/23	0.338	12.22	07/31/23	12.00	...	0.22	Sale <sup>25</sup>
08/31/23	2.779	100.84	Various	98.54	...	2.30	Total of 4 transactions
	<b>Security total:</b>	<b>118.38</b>		<b>115.77</b>	...	<b>2.61</b>	
CHART INDUSTRIES, INC. / CUSIP: 16115Q308 / Symbol:							
08/15/23	0.602	98.82	Various	106.98	...	-8.16	Total of 2 transactions
CHARTER COMMUNICATIONS, INC. C LASS A COMMON STOCK NEW / CUSIP: 16119P108 / Symbol:							
11/14/23	0.087	36.46	Various	37.45	...	-0.99	Total of 2 transactions
CHEWY, INC. / CUSIP: 16679L109 / Symbol:							
06/13/23	0.848	32.80	Various	31.08	...	1.72	Total of 3 transactions
CHIPOTLE MEXICAN GRILL, INC. / CUSIP: 169656105 / Symbol:							
11/14/23	0.070	153.24	Various	145.97	...	7.27	Total of 4 transactions
11/15/23	0.042	91.14	Various	81.77	...	9.37	Total of 2 transactions
	<b>Security total:</b>	<b>244.38</b>		<b>227.74</b>	...	<b>16.64</b>	
COCA-COLA COMPANY / CUSIP: 191216100 / Symbol:							
11/02/23	3.155	180.00	Various	186.28	...	-6.28	Total of 4 transactions
11/15/23	3.611	206.12	Various	211.32	...	-5.20	Total of 5 transactions
	<b>Security total:</b>	<b>386.12</b>		<b>397.60</b>	...	<b>-11.48</b>	
COHERENT CORP. / CUSIP: 19247G107 / Symbol:							
08/14/23	0.832	38.73	Various	36.27	...	2.46	Total of 4 transactions
COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol:							
10/03/23	0.053	3.91	07/19/23	5.63	...	-1.72	Sale <sup>25</sup>
11/14/23	0.502	46.93	Various	52.29	...	-5.36	Total of 2 transactions
	<b>Security total:</b>	<b>50.84</b>		<b>57.92</b>	...	<b>-7.08</b>	
CONFLUENT, INC. CLASS A COMMON STOCK / CUSIP: 20717M103 / Symbol:							
07/05/23	0.950	32.54	06/23/23	31.48	...	1.06	Sale <sup>25</sup>

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COVENANT LOGISTICS GROUP, INC. CLASS A COMMON STOCK / CUSIP: 22284P105 / Symbol:							
07/05/23	0.711	31.71	06/23/23	30.00	...	1.71	Sale <sup>25</sup>
CROSS COUNTRY HEALTHCARE, INC. COMMON STOCK \$0.0001 PAR VALU E / CUSIP: 227483104 / Symbol:							
06/13/23	1.116	30.93	Various	29.85	...	1.08	Total of 3 transactions
DICE THERAPEUTICS, INC. COMMON STOCK / CUSIP: 23345J104 / Symbol:							
07/05/23	0.644	29.90	06/23/23	30.00	...	-0.10	Sale <sup>25</sup>
DANAHER CORPORATION / CUSIP: 235851102 / Symbol:							
07/19/23	0.359	87.92	Various	85.22	...	2.70	Total of 3 transactions
DEVON ENERGY CORPORATION / CUSIP: 25179M103 / Symbol:							
07/31/23	1.941	104.51	Various	94.25	...	10.26	Total of 6 transactions
08/14/23	0.321	16.03	Various	16.65	...	-0.62	Total of 2 transactions
08/15/23	0.000	0.01	08/01/23	0.00	...	0.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>120.55</b>		<b>110.90</b>	...	<b>9.65</b>	
DISC MEDICINE, INC. COMMON STOCK / CUSIP: 254604101 / Symbol:							
06/23/23	0.662	35.92	Various	32.00	...	3.92	Total of 3 transactions
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
10/09/23	2.480	210.00	Various	202.98	...	7.02	Total of 3 transactions
10/11/23	1.646	140.91	Various	135.64	...	5.27	Total of 4 transactions
11/02/23	0.481	39.40	10/12/23	40.79	1.38 W	-0.01	Sale <sup>25</sup>
11/14/23	0.476	43.19	11/03/23	41.68	...	1.51	Sale <sup>25</sup>
	<b>Security total:</b>	<b>433.50</b>		<b>421.09</b>	<b>1.38 W</b>	<b>13.79</b>	
DOXIMITY, INC. / CUSIP: 26622P107 / Symbol:							
06/12/23	0.739	23.49	06/05/23	26.27	...	-2.78	Sale <sup>25</sup>
EXLSERVICE HOLDINGS, INC. COMMON STOCK / CUSIP: 302081104 / Symbol:							
06/22/23	0.200	29.87	Various	30.98	...	-1.11	Total of 2 transactions
EXPEDIA GROUP, INC. COMMON STOCK / CUSIP: 30212P303 / Symbol:							
11/14/23	0.350	42.64	Various	38.86	...	3.78	Total of 2 transactions

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(continued)

02/12/2024

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EXP WORLD HOLDINGS, INC. COMMO N STOCK / CUSIP: 30212W100 / Symbol:							
06/22/23	1.000	18.87	Various	20.72	...	-1.85	Total of 5 transactions
06/22/23	0.500	9.43	Various	10.48	1.05 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>28.30</b>		<b>31.20</b>	<b>1.05 W</b>	<b>-1.85</b>	
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
08/29/23	0.110	12.05	08/15/23	11.97	...	0.08	Sale <sup>25</sup>
08/31/23	0.901	99.94	Various	97.87	...	2.07	Total of 2 transactions
	<b>Security total:</b>	<b>111.99</b>		<b>109.84</b>	...	<b>2.15</b>	
META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol:							
08/31/23	0.167	49.73	Various	46.82	...	2.91	Total of 3 transactions
10/03/23	0.024	7.21	Various	6.99	...	0.22	Total of 2 transactions
10/09/23	0.472	147.38	Various	140.26	...	7.12	Total of 5 transactions
10/09/23	0.187	58.45	Various	59.44	0.99 W	0.00	Total of 5 transactions
10/16/23	0.007	2.09	10/10/23	2.13	...	-0.04	Sale <sup>25</sup>
10/16/23	0.154	49.47	Various	49.89	0.23 W	-0.19	Total of 3 transactions
11/14/23	0.160	53.65	Various	51.55	...	2.10	Total of 5 transactions
	<b>Security total:</b>	<b>367.98</b>		<b>357.08</b>	<b>1.22 W</b>	<b>12.12</b>	
FIRSTENERGY CORP. / CUSIP: 337932107 / Symbol:							
08/31/23	2.681	97.74	08/29/23	97.78	...	-0.04	Sale <sup>25</sup>
FORTINET, INC. COMMON STOCK / CUSIP: 34959E109 / Symbol:							
11/15/23	6.235	317.65	Various	300.00	...	17.65	Total of 2 transactions
THE GEO GROUP, INC. / CUSIP: 36162J106 / Symbol:							
08/14/23	0.871	6.46	Various	6.31	...	0.15	Total of 2 transactions
08/14/23	1.624	12.04	Various	12.28	0.24 W	0.00	Total of 3 transactions
09/14/23	2.098	15.33	Various	15.84	0.51 W	0.00	Total of 5 transactions
11/02/23	2.108	19.05	Various	16.12	...	2.93	Total of 7 transactions
11/14/23	2.029	19.21	Various	19.05	...	0.16	Total of 3 transactions
	<b>Security total:</b>	<b>72.09</b>		<b>69.60</b>	<b>0.75 W</b>	<b>3.24</b>	
GMS INC. / CUSIP: 36251C103 / Symbol:							
06/13/23	0.447	30.74	Various	29.12	...	1.62	Total of 2 transactions

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(continued)

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GENERAC HOLDINGS INC / CUSIP: 368736104 / Symbol:							
11/14/23	0.192	20.76	08/15/23	21.22	...	-0.46	Sale <sup>25</sup>
HEALTH EQUITY, INC. COMMON STOCK / CUSIP: 42226A107 / Symbol:							
06/22/23	0.472	29.54	Various	29.90	...	-0.36	Total of 2 transactions
HILTON WORLDWIDE HOLDINGS INC. / CUSIP: 43300A203 / Symbol:							
09/14/23	0.618	95.90	Various	91.16	...	4.74	Total of 3 transactions
09/14/23	0.080	12.35	07/31/23	12.36	0.01 W	0.00	Sale <sup>25</sup>
11/14/23	0.696	115.89	Various	108.80	...	7.09	Total of 2 transactions
	<b>Security total:</b>	<b>224.14</b>		<b>212.32</b>	<b>0.01 W</b>	<b>11.83</b>	
HOWARD HUGHES HOLDINGS INC. / CUSIP: 44267T102 / Symbol:							
11/14/23	1.206	89.50	Various	96.03	...	-6.53	Total of 5 transactions
HUNTINGTON BANCSHARES INCORPORATED COMMON STOCK / CUSIP: 446150104 / Symbol:							
08/14/23	1.231	14.46	Various	14.18	...	0.28	Total of 3 transactions
INNOVATIVE INDUSTRIAL PROPERTIES, INC. COMMON STOCK / CUSIP: 45781V101 / Symbol:							
06/12/23	0.434	30.85	Various	29.55	...	1.30	Total of 2 transactions
INSPIRED ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 45782N108 / Symbol:							
06/12/23	2.001	29.81	Various	29.41	...	0.40	Total of 3 transactions
INVESCO QQQ TRUST, SERIES 1 / CUSIP: 46090E103 / Symbol:							
08/31/23	0.099	37.39	Various	36.46	...	0.93	Total of 3 transactions
INTUITIVE SURGICAL, INC. COMMON STOCK / CUSIP: 46120E602 / Symbol:							
08/31/23	0.126	40.46	08/15/23	39.00	...	1.46	Sale <sup>25</sup>
JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol:							
08/31/23	0.256	37.90	Various	38.23	...	-0.33	Total of 3 transactions
JD.COM, INC. AMERICAN DEPOSITORY SHARES / CUSIP: 47215P106 / Symbol:							
08/14/23	1.664	61.05	Various	61.28	...	-0.23	Total of 7 transactions
JINKOSOLAR HOLDINGS CO / CUSIP: 47759T100 / Symbol:							
10/31/23	6.308	204.56	Various	200.00	...	4.56	Total of 4 transactions

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KKR & CO. INC. / CUSIP: 48251W104 / Symbol:							
07/19/23	1.500	93.48	Various	82.18	...	11.30	Total of 4 transactions
THE KRAFT HEINZ COMPANY COMMON STOCK / CUSIP: 500754106 / Symbol:							
08/15/23	1.000	33.79	Various	36.20	...	-2.41	Total of 4 transactions
08/15/23	0.037	1.24	07/19/23	1.32	0.08 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>35.03</b>		<b>37.52</b>	<b>0.08 W</b>	<b>-2.41</b>	
LI AUTO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 50202M102 / Symbol:							
09/18/23	3.127	122.48	Various	100.00	...	22.48	Total of 2 transactions
09/18/23	4.270	167.24	Various	180.76	13.52 W	0.00	Total of 2 transactions
10/27/23	6.062	213.72	Various	205.96	...	7.76	Total of 3 transactions
10/27/23	8.118	286.21	Various	339.32	53.11 W	0.00	Total of 7 transactions
11/14/23	6.708	265.57	Various	245.56	...	20.01	Total of 5 transactions
11/14/23	3.489	138.15	Various	143.98	5.83 W	0.00	Total of 4 transactions
12/28/23	7.477	280.00	Various	269.87	...	10.13	Total of 8 transactions
	<b>Security total:</b>	<b>1,473.37</b>		<b>1,485.45</b>	<b>72.46 W</b>	<b>60.38</b>	
VITAL ENERGY, INC. / CUSIP: 516806205 / Symbol:							
11/14/23	0.433	20.43	08/15/23	23.91	...	-3.48	Sale <sup>25</sup>
LENNAR CORPORATION CLASS A / CUSIP: 526057104 / Symbol:							
07/05/23	0.247	30.93	06/23/23	30.00	...	0.93	Sale <sup>25</sup>
ELI LILLY & CO. / CUSIP: 532457108 / Symbol:							
07/19/23	0.184	83.09	Various	84.61	...	-1.52	Total of 3 transactions
LOWE'S COMPANIES INC. / CUSIP: 548661107 / Symbol:							
08/15/23	0.128	28.80	07/05/23	28.81	0.01 W	0.00	Sale <sup>25</sup>
09/14/23	0.468	107.59	Various	106.26	...	1.33	Total of 5 transactions
	<b>Security total:</b>	<b>136.39</b>		<b>135.07</b>	<b>0.01 W</b>	<b>1.33</b>	
LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
12/28/23	7.000	189.18	Various	229.05	39.87 W	0.00	Total of 3 transactions
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
11/14/23	0.524	20.63	08/15/23	23.79	...	-3.16	Sale <sup>25</sup>

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Robinhood Markets Inc. as agent for  
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Account 423142421

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARATHON DIGITAL HOLDINGS, INC. COMMON STOCK / CUSIP: 565788106 / Symbol:							
07/28/23	0.038	0.61	07/19/23	0.65	...	-0.04	Sale <sup>25</sup>
07/28/23	0.480	7.80	07/19/23	8.27	0.47 W	0.00	Sale <sup>25</sup>
08/15/23	0.962	13.73	Various	17.05	...	-3.32	Total of 2 transactions
	<b>Security total:</b>	<b>22.14</b>		<b>25.97</b>	<b>0.47 W</b>	<b>-3.36</b>	
MCDONALD'S CORPORATION / CUSIP: 580135101 / Symbol:							
08/16/23	0.018	5.14	06/23/23	5.19	0.05 W	0.00	Sale <sup>25</sup>
08/29/23	0.135	38.18	Various	39.65	...	-1.47	Total of 6 transactions
08/29/23	0.237	66.74	Various	69.66	1.78 W	-1.14	Total of 2 transactions
08/31/23	0.158	44.70	Various	47.06	...	-2.36	Total of 4 transactions
	<b>Security total:</b>	<b>154.76</b>		<b>161.56</b>	<b>1.83 W</b>	<b>-4.97</b>	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
06/12/23	0.076	25.14	06/05/23	25.55	0.41 W	0.00	Sale <sup>25</sup>
07/19/23	0.010	3.44	06/13/23	3.23	...	0.21	Sale <sup>25</sup>
08/16/23	0.016	5.06	06/13/23	5.35	0.29 W	0.00	Sale <sup>25</sup>
08/29/23	0.325	106.42	Various	109.45	3.03 W	0.00	Total of 5 transactions
08/31/23	0.202	66.51	Various	67.49	0.98 W	0.00	Total of 4 transactions
09/06/23	0.070	23.24	Various	23.63	0.39 W	0.00	Total of 3 transactions
10/03/23	0.040	12.53	Various	13.33	0.80 W	0.00	Total of 2 transactions
10/11/23	0.644	213.39	Various	211.11	...	2.28	Total of 9 transactions
10/11/23	0.568	188.04	Various	195.66	7.62 W	0.00	Total of 26 transactions
11/14/23	0.268	98.99	Various	88.06	...	10.93	Total of 15 transactions
12/07/23	0.417	154.60	Various	136.99	...	17.61	Total of 10 transactions
12/07/23	0.392	145.40	11/14/23	145.55	0.15 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,042.76</b>		<b>1,025.40</b>	<b>13.67 W</b>	<b>31.03</b>	
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
06/22/23	4.622	19.06	Various	24.91	...	-5.85	Total of 5 transactions
MICROCHIP TECHNOLOGY INCORPORATED COMMON STOCK / CUSIP: 595017104 / Symbol:							
06/22/23	0.384	31.67	Various	32.37	...	-0.70	Total of 3 transactions
MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol:							
08/15/23	1.476	97.22	Various	105.18	...	-7.96	Total of 4 transactions

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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

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MONGODB, INC. CLASS A COMMON S TOCK / CUSIP: 60937P106 / Symbol:							
06/22/23	0.080	29.52	Various	31.04	...	-1.52	Total of 2 transactions
MONSTER BEVERAGE CORPORATION / CUSIP: 61174X109 / Symbol:							
07/19/23	1.321	75.27	Various	75.10	...	0.17	Total of 5 transactions
07/19/23	0.175	9.99	07/05/23	10.00	0.01 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>85.26</b>		<b>85.10</b>	<b>0.01 W</b>	<b>0.17</b>	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
11/03/23	18.610	149.87	Various	192.15	...	-42.28	Total of 3 transactions
11/15/23	33.666	267.75	Various	307.85	...	-40.10	Total of 3 transactions
	<b>Security total:</b>	<b>417.62</b>		<b>500.00</b>	...	<b>-82.38</b>	
NOV INC. / CUSIP: 62955J103 / Symbol:							
08/14/23	0.897	18.51	Various	14.96	...	3.55	Total of 2 transactions
NATIONAL INSTRUMENTS CORPORATI ON COMMON STOCK / CUSIP: 636518102 / Symbol:							
07/05/23	0.571	32.66	06/23/23	32.69	...	-0.03	Sale <sup>25</sup>
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
06/23/23	0.074	31.37	Various	32.45	...	-1.08	Total of 2 transactions
10/24/23	1.209	494.92	Various	470.00	...	24.92	Total of 4 transactions
	<b>Security total:</b>	<b>526.29</b>		<b>502.45</b>	...	<b>23.84</b>	
NEW YORK COMMUNITY BANCORP, IN C. / CUSIP: 649445103 / Symbol:							
08/14/23	5.303	66.88	Various	58.48	...	8.40	Total of 5 transactions
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
07/19/23	0.017	7.79	06/02/23	6.51	...	1.28	Sale <sup>25</sup>
08/16/23	0.011	5.01	Various	4.54	...	0.47	Total of 2 transactions
08/29/23	0.025	12.33	06/23/23	10.77	...	1.56	Sale <sup>25</sup>
08/31/23	1.052	519.41	Various	453.30	...	66.11	Total of 11 transactions
10/05/23	0.604	269.98	Various	278.31	8.33 W	0.00	Total of 3 transactions
11/15/23	0.614	300.00	Various	281.80	...	18.20	Total of 6 transactions
	<b>Security total:</b>	<b>1,114.52</b>		<b>1,035.23</b>	<b>8.33 W</b>	<b>87.62</b>	

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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
06/22/23	0.432	29.81	Various	31.99	...	-2.18	Total of 4 transactions
ON SEMICONDUCTOR CORPORATION C OMMON STOCK / CUSIP: 682189105 / Symbol:							
12/28/23	2.884	243.39	11/14/23	200.00	...	43.39	Sale <sup>25</sup>
ONEOK, INC. / CUSIP: 682680103 / Symbol:							
08/31/23	1.494	97.60	Various	97.47	...	0.13	Total of 4 transactions
PACWEST BANCORP COMMON STOCK / CUSIP: 695263103 / Symbol:							
08/14/23	1.665	14.09	Various	15.35	...	-1.26	Total of 2 transactions
PALO ALTO NETWORKS, INC. COMMO N STOCK / CUSIP: 697435105 / Symbol:							
08/31/23	0.416	100.13	08/29/23	97.00	...	3.13	Sale <sup>25</sup>
PALOMAR HOLDINGS, INC. COMMON STOCK / CUSIP: 69753M105 / Symbol:							
06/12/23	0.539	32.05	Various	29.36	...	2.69	Total of 2 transactions
PARSONS CORPORATION / CUSIP: 70202L102 / Symbol:							
08/29/23	0.215	12.16	08/15/23	12.00	...	0.16	Sale <sup>25</sup>
08/31/23	1.756	99.76	Various	97.72	...	2.04	Total of 2 transactions
	<b>Security total:</b>	<b>111.92</b>		<b>109.72</b>	...	<b>2.20</b>	
PATTERSON-UTI ENERGY, INC. COM MON STOCK / CUSIP: 703481101 / Symbol:							
09/14/23	1.052	16.87	Various	15.66	...	1.21	Total of 3 transactions
11/15/23	1.040	12.39	Various	16.31	...	-3.92	Total of 3 transactions
	<b>Security total:</b>	<b>29.26</b>		<b>31.97</b>	...	<b>-2.71</b>	
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
09/06/23	0.094	5.95	08/15/23	5.69	...	0.26	Sale <sup>25</sup>
11/14/23	0.522	29.25	Various	31.40	2.15 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>35.20</b>		<b>37.09</b>	<b>2.15 W</b>	<b>0.26</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PDD HOLDINGS INC. AMERICAN DEP OSITARY SHARES / CUSIP: 722304102 / Symbol:							
08/31/23	10.981	1,075.50	Various	900.00	...	175.50	Total of 14 transactions
10/05/23	2.051	200.48	Various	200.00	...	0.48	Total of 2 transactions
12/07/23	1.490	209.15	10/31/23	150.00	...	59.15	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,485.13</b>		<b>1,250.00</b>	...	<b>235.13</b>	
T. ROWE PRICE GROUP, INC. COMM ON STOCK / CUSIP: 74144T108 / Symbol:							
08/15/23	0.806	89.24	Various	101.80	...	-12.56	Total of 2 transactions
PROSHARES SHORT S&P500 / CUSIP: 74347B425 / Symbol:							
07/05/23	2.302	31.98	Various	32.89	0.91 W	0.00	Total of 2 transactions
08/15/23	2.403	33.47	Various	33.44	...	0.03	Total of 8 transactions
08/15/23	17.917	249.40	Various	255.32	5.92 W	0.00	Total of 12 transactions
08/31/23	0.003	0.04	08/14/23	0.04	...	0.00	Sale <sup>25</sup>
08/31/23	10.581	146.03	Various	150.51	4.48 W	0.00	Total of 13 transactions
09/14/23	7.497	104.36	Various	104.09	...	0.27	Total of 2 transactions
09/14/23	10.301	143.38	Various	147.00	3.62 W	0.00	Total of 16 transactions
10/03/23	15.511	225.53	Various	219.56	...	5.97	Total of 15 transactions
10/03/23	2.271	33.02	Various	33.38	0.36 W	0.00	Total of 4 transactions
11/14/23	17.678	246.83	Various	259.34	...	-12.51	Total of 6 transactions
	<b>Security total:</b>	<b>1,214.04</b>		<b>1,235.57</b>	<b>15.29 W</b>	<b>-6.24</b>	
PROSHARES SHORT QQQ / CUSIP: 74347B714 / Symbol:							
08/15/23	11.470	122.15	Various	122.03	...	0.12	Total of 3 transactions
11/14/23	19.414	198.51	Various	206.74	...	-8.23	Total of 4 transactions
	<b>Security total:</b>	<b>320.66</b>		<b>328.77</b>	...	<b>-8.11</b>	
PROSHARES SHORT REAL ESTATE / CUSIP: 74347G366 / Symbol:							
07/28/23	0.405	7.90	06/09/23	8.21	0.31 W	0.00	Sale <sup>25</sup>
08/14/23	0.405	8.17	Various	7.95	...	0.22	Total of 3 transactions
08/14/23	0.466	9.40	Various	9.47	0.07 W	0.00	Total of 2 transactions
09/14/23	1.123	23.10	Various	21.87	...	1.23	Total of 4 transactions
09/14/23	0.717	14.76	09/06/23	14.89	0.13 W	0.00	Sale <sup>25</sup>
10/03/23	0.153	3.45	Various	3.18	...	0.27	Total of 2 transactions
11/14/23	1.875	39.54	Various	38.81	...	0.73	Total of 2 transactions
	<b>Security total:</b>	<b>106.32</b>		<b>104.38</b>	<b>0.51 W</b>	<b>2.45</b>	

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### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PROSHARES BITCOIN STRATEGY ETF / CUSIP: 74347G440 / Symbol:							
07/28/23	1.634	25.43	Various	26.05	...	-0.62	Total of 2 transactions
PROSHARES SHORT HIGH YIELD / CUSIP: 74347R131 / Symbol:							
08/15/23	2.814	51.64	Various	51.69	...	-0.05	Total of 4 transactions
PROSHARES SHORT RUSSELL2000 / CUSIP: 74348A210 / Symbol:							
08/15/23	1.000	22.75	Various	22.51	...	0.24	Total of 4 transactions
08/15/23	0.509	11.58	06/13/23	11.68	0.09 W	-0.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>34.33</b>		<b>34.19</b>	<b>0.09 W</b>	<b>0.23</b>	
PURE STORAGE, INC. CLASS A / CUSIP: 74624M102 / Symbol:							
06/13/23	0.886	32.06	Various	30.83	...	1.23	Total of 2 transactions
QUANEX BUILDING PRODUCTS CORPO RATION / CUSIP: 747619104 / Symbol:							
06/13/23	1.138	30.65	Various	29.19	...	1.46	Total of 3 transactions
RADIAN GROUP INC. / CUSIP: 750236101 / Symbol:							
07/05/23	1.265	31.69	Various	31.59	...	0.10	Total of 3 transactions
RAMBUS INC. COMMON STOCK / CUSIP: 750917106 / Symbol:							
07/05/23	0.549	34.91	06/23/23	32.84	...	2.07	Sale <sup>25</sup>
RESTAURANT BRANDS INTERNATIONA L INC. / CUSIP: 76131D103 / Symbol:							
11/14/23	1.743	121.07	Various	130.86	...	-9.79	Total of 6 transactions
ROBLOX CORPORATION / CUSIP: 771049103 / Symbol:							
09/06/23	1.239	35.60	Various	37.24	...	-1.64	Total of 3 transactions
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
08/15/23	1.000	82.88	Various	96.97	...	-14.09	Total of 4 transactions
08/15/23	0.144	11.95	Various	13.73	1.78 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>94.83</b>		<b>110.70</b>	<b>1.78 W</b>	<b>-14.09</b>	
RYERSON HOLDING CORPORATION / CUSIP: 783754104 / Symbol:							
07/05/23	0.803	33.77	06/23/23	32.05	...	1.72	Sale <sup>25</sup>

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SPDR GOLD TRUST, SPDR GOLD SHA RES / CUSIP: 78463V107 / Symbol: / Note: CL							
08/15/23	0.115	20.23	Various	20.87	...	-0.64	3- Proceeds from collectibles [X] Total of 2 transactions
ENERGY SELECT SECTOR SPDR FUND / CUSIP: 81369Y506 / Symbol:							
09/14/23	0.578	53.88	Various	52.55	...	1.33	Total of 4 transactions
THE SHERWIN-WILLIAMS COMPANY / CUSIP: 824348106 / Symbol:							
08/31/23	0.113	30.75	08/15/23	31.50	...	-0.75	Sale <sup>25</sup>
SHIFT4 PAYMENTS, INC. / CUSIP: 82452J109 / Symbol:							
06/12/23	0.381	24.00	06/05/23	24.46	...	-0.46	Sale <sup>25</sup>
SIBANYE-STILLWATER AMERICAN DE POSITARY SHARES, EACH REPRESEN TING FOUR ORDINARY SHARES / CUSIP: 82575P107 / Symbol:							
08/14/23	4.994	32.07	Various	36.02	...	-3.95	Total of 5 transactions
SKYWORKS SOLUTIONS, INC. COMM O N STOCK / CUSIP: 83088M102 / Symbol:							
11/03/23	1.654	150.00	Various	177.64	27.44 W	-0.20	Total of 4 transactions
11/15/23	3.729	350.00	Various	381.24	...	-31.24	Total of 10 transactions
	<b>Security total:</b>	<b>500.00</b>		<b>558.88</b>	<b>27.44 W</b>	<b>-31.44</b>	
SNAP INC. / CUSIP: 83304A106 / Symbol:							
08/15/23	3.000	28.87	Various	37.47	...	-8.60	Total of 4 transactions
08/15/23	0.111	1.07	07/19/23	1.49	0.42 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>29.94</b>		<b>38.96</b>	<b>0.42 W</b>	<b>-8.60</b>	
SOFI TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 83406F102 / Symbol:							
06/12/23	0.546	5.02	06/05/23	3.89	...	1.13	Sale <sup>25</sup>
06/22/23	3.673	31.14	Various	26.98	...	4.16	Total of 3 transactions
	<b>Security total:</b>	<b>36.16</b>		<b>30.87</b>	...	<b>5.29</b>	
STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol:							
07/19/23	0.860	87.18	Various	84.85	...	2.33	Total of 3 transactions
SUPER MICRO COMPUTER, INC. COM MON STOCK / CUSIP: 86800U104 / Symbol:							
06/22/23	0.118	27.68	Various	28.86	...	-1.18	Total of 3 transactions

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(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TKO GROUP HOLDINGS, INC. / CUSIP: 87256C101 / Symbol:							
09/13/23	0.156	15.81	09/06/23	15.36	...	0.45	Sale <sup>25</sup>
09/13/23	0.370	37.53	Various	39.45	1.92 W	0.00	Total of 3 transactions
10/03/23	0.045	3.82	09/14/23	4.85	1.03 W	0.00	Sale <sup>25</sup>
11/14/23	0.536	43.03	Various	57.44	...	-14.41	Total of 6 transactions
	<b>Security total:</b>	<b>100.19</b>		<b>117.10</b>	<b>2.95 W</b>	<b>-13.96</b>	
T-MOBILE US, INC. COMMON STOCK / CUSIP: 872590104 / Symbol:							
08/31/23	0.352	48.49	Various	48.20	...	0.29	Total of 3 transactions
TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD. / CUSIP: 874039100 / Symbol:							
10/09/23	0.000	0.01	09/06/23	0.01	...	0.00	Sale <sup>25</sup>
10/09/23	3.021	268.30	Various	281.86	13.56 W	0.00	Total of 4 transactions
11/02/23	0.391	35.36	Various	34.96	...	0.40	Total of 2 transactions
11/02/23	1.936	175.16	Various	176.83	1.43 W	-0.24	Total of 4 transactions
11/03/23	2.522	231.34	Various	221.33	...	10.01	Total of 8 transactions
	<b>Security total:</b>	<b>710.17</b>		<b>714.99</b>	<b>14.99 W</b>	<b>10.17</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
06/22/23	0.251	64.47	Various	63.45	...	1.02	Total of 4 transactions
07/28/23	0.176	45.64	Various	44.86	...	0.78	Total of 2 transactions
07/31/23	0.164	43.68	Various	41.97	...	1.71	Total of 2 transactions
07/31/23	0.169	45.08	Various	49.69	4.61 W	0.00	Total of 3 transactions
08/15/23	0.000	0.01	07/19/23	0.01	...	0.00	Sale <sup>25</sup>
08/15/23	0.304	72.88	Various	94.55	21.67 W	0.00	Total of 7 transactions
08/31/23	0.210	54.20	Various	75.37	21.17 W	0.00	Total of 8 transactions
10/05/23	0.942	245.30	Various	231.03	...	14.27	Total of 5 transactions
10/05/23	0.609	158.48	Various	196.82	38.34 W	0.00	Total of 20 transactions
11/02/23	1.865	400.00	Various	474.64	74.64 W	0.00	Total of 15 transactions
11/14/23	0.816	191.77	Various	185.28	...	6.49	Total of 2 transactions
11/14/23	0.886	208.23	Various	241.53	33.30 W	0.00	Total of 14 transactions
	<b>Security total:</b>	<b>1,529.74</b>		<b>1,699.20</b>	<b>193.73 W</b>	<b>24.27</b>	
THE TRADE DESK, INC. CLASS A COMMON STOCK / CUSIP: 88339J105 / Symbol:							
07/31/23	1.128	101.82	Various	98.87	...	2.95	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 423142421

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THE REALREAL, INC. COMMON STOC K / CUSIP: 88339P101 / Symbol:							
08/14/23	3.861	9.51	Various	10.02	0.51 W	0.00	Total of 2 transactions
11/14/23	5.196	10.82	Various	13.08	...	-2.26	Total of 3 transactions
	<b>Security total:</b>	<b>20.33</b>		<b>23.10</b>	<b>0.51 W</b>	<b>-2.26</b>	
THOR INDUSTRIES, INC. / CUSIP: 885160101 / Symbol:							
07/05/23	0.342	35.24	06/23/23	32.49	...	2.75	Sale <sup>25</sup>
TREX COMPANY, INC. / CUSIP: 89531P105 / Symbol:							
08/18/23	1.000	67.65	Various	77.10	...	-9.45	Total of 4 transactions
08/18/23	0.281	19.03	08/15/23	21.11	2.08 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>86.68</b>		<b>98.21</b>	<b>2.08 W</b>	<b>-9.45</b>	
TRIMBLE INC. COMMON STOCK / CUSIP: 896239100 / Symbol:							
08/31/23	1.805	98.27	08/29/23	97.83	...	0.44	Sale <sup>25</sup>
UNION PACIFIC CORP. / CUSIP: 907818108 / Symbol:							
07/31/23	0.459	106.24	Various	94.13	...	12.11	Total of 3 transactions
UNIVERSAL DISPLAY CORPORATION COMMON STOCK / CUSIP: 91347P105 / Symbol:							
06/12/23	0.203	29.38	Various	29.96	...	-0.58	Total of 2 transactions
VEEVA SYSTEMS INC. / CUSIP: 922475108 / Symbol:							
06/12/23	0.128	24.09	06/05/23	24.69	...	-0.60	Sale <sup>25</sup>
VERA THERAPEUTICS, INC. CLASS A COMMON STOCK / CUSIP: 92337R101 / Symbol:							
07/05/23	2.000	32.26	Various	33.64	...	-1.38	Total of 5 transactions
07/05/23	0.105	1.69	06/23/23	1.75	0.06 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>33.95</b>		<b>35.39</b>	<b>0.06 W</b>	<b>-1.38</b>	
VERTEX PHARMACEUTICALS INCORPO RATED COMMON STOCK / CUSIP: 92532F100 / Symbol:							
07/05/23	0.087	30.28	06/23/23	30.00	...	0.28	Sale <sup>25</sup>
VISA INC. / CUSIP: 92826C839 / Symbol:							
08/15/23	0.062	15.11	Various	14.30	...	0.81	Total of 2 transactions
THE VITA COCO COMPANY, INC. CO MMON STOCK / CUSIP: 92846Q107 / Symbol:							
06/13/23	1.134	32.82	Various	31.18	...	1.64	Total of 3 transactions

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 423142421

### Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

#### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WALMART INC. / CUSIP: 931142103 / Symbol:							
08/15/23	0.092	14.78	Various	14.31	...	0.47	Total of 3 transactions
WARBY PARKER INC. / CUSIP: 93403J106 / Symbol:							
09/06/23	2.221	26.30	Various	32.13	...	-5.83	Total of 5 transactions
WARNER BROS. DISCOVERY, INC. S ERIES A COMMON STOCK / CUSIP: 934423104 / Symbol:							
11/14/23	1.298	13.69	08/15/23	17.36	...	-3.67	Sale <sup>25</sup>
WASTE MANAGEMENT, INC. / CUSIP: 94106L109 / Symbol:							
08/29/23	0.688	107.81	Various	109.81	...	-2.00	Total of 2 transactions
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
08/14/23	0.780	34.13	Various	33.41	...	0.72	Total of 3 transactions
WESTERN ALLIANCE BANCORPORATIO N / CUSIP: 957638109 / Symbol:							
08/14/23	0.832	42.58	Various	34.80	...	7.78	Total of 4 transactions
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
08/14/23	0.666	44.71	Various	45.61	...	-0.90	Total of 4 transactions
BIOHAVEN LTD. / CUSIP: G1110E107 / Symbol:							
06/12/23	1.340	32.35	Various	29.69	...	2.66	Total of 4 transactions
SIGNET JEWELERS LIMITED / CUSIP: G81276100 / Symbol:							
08/14/23	0.780	60.60	Various	49.54	...	11.06	Total of 4 transactions
08/14/23	0.015	1.13	07/31/23	1.18	0.05 W	0.00	Sale <sup>25</sup>
11/14/23	0.297	22.21	Various	23.15	...	-0.94	Total of 3 transactions
	<b>Security total:</b>	<b>83.94</b>		<b>73.87</b>	<b>0.05 W</b>	<b>10.12</b>	
LIBERTY LATIN AMERICA LTD. CLA SS C COMMON STOCK / CUSIP: G9001E128 / Symbol:							
08/14/23	5.332	48.84	Various	44.35	...	4.49	Total of 6 transactions
09/14/23	0.017	0.16	08/15/23	0.16	...	0.00	Sale <sup>25</sup>
09/14/23	1.534	13.96	Various	14.17	0.21 W	0.00	Total of 3 transactions
11/14/23	1.572	11.45	Various	14.28	...	-2.83	Total of 4 transactions
	<b>Security total:</b>	<b>74.41</b>		<b>72.96</b>	<b>0.21 W</b>	<b>1.66</b>	

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 423142421

**Proceeds from Broker and Barter Exchange Transactions**

2023 1099-B\* OMB No. 1545-0715

(continued)

02/12/2024

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CRISPR THERAPEUTICS AG COMMON SHARES / CUSIP: H17182108 / Symbol:							
06/22/23	0.499	28.55	Various	30.18	...	-1.63	Total of 3 transactions
ONESPAWORLD HOLDINGS LIMITED C OMMON SHARES / CUSIP: P73684113 / Symbol:							
07/05/23	2.826	33.51	06/23/23	32.21	...	1.30	Sale <sup>25</sup>
<b>Totals :</b>		<b>26,242.59</b>		<b>26,221.44</b>	<b>489.34 W</b>	<b>510.49</b>	

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PROSHARES SHORT S&P500 / CUSIP: 74347B425 / Symbol:							
11/14/23	0.006	0.09	10/04/23	0.09	...	0.00	Sale <sup>25</sup>
<b>Totals :</b>		<b>0.09</b>		<b>0.09</b>		<b>0.00</b>	

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Robinhood Markets Inc. as agent for  
Robinhood Securities LLC  
2023

Account 423142421

### Detail for Dividends and Distributions

02/12/2024

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, Section 897 dividends and capital gains, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. The IRS has indicated that boxes 2e and 2f are not applicable to recipients that are U.S. individuals. You should consult your tax advisor for further information.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AMERICAN EXPRESS COMPANY	025816109		11/10/23	0.16	Qualified dividend	
APPLE INC. COMMON STOCK	037833100		08/17/23 11/16/23	0.38 0.54	Qualified dividend Qualified dividend	
				<b>0.92</b>	<b>Total Dividends &amp; distributions</b>	
THE CIGNA GROUP	125523100		09/21/23	0.12	Qualified dividend	
CVS HEALTH CORPORATION	126650100		11/01/23	0.21	Qualified dividend	
CANADIAN PACIFIC KANSAS CITY L IMITED	13646K108		10/30/23 10/30/23	0.15 -0.02	Nonqualified dividend Foreign tax withheld-CA	
				<b>0.15</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.02</b>	<b>Total Foreign tax withheld</b>	
CAPITAL ONE FINANCIAL	14040H105		08/18/23	0.30	Qualified dividend	
COCA-COLA COMPANY	191216100		10/02/23	3.11	Qualified dividend	
DEVON ENERGY CORPORATION	25179M103		06/30/23	0.14	Qualified dividend	
HILTON WORLDWIDE HOLDINGS INC.	43300A203		09/29/23	0.08	Qualified dividend	
HUNTINGTON BANCSHARES INCORPORATED COMMON STOCK	446150104		07/03/23	0.11	Qualified dividend	
INTEL CORPORATION COMMON STOCK	458140100		12/01/23	0.52	Qualified dividend	
INVESCO QQQ TRUST, SERIES 1	46090E103		07/31/23	0.04	Qualified dividend	
JPMORGAN CHASE & CO.	46625H100		07/31/23	0.07	Qualified dividend	

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 423142421

## Detail for Dividends and Distributions

2023

(continued)

02/12/2024

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
LOWE'S COMPANIES INC.	548661107		08/09/23	0.56	Qualified dividend	
MCDONALD'S CORPORATION	580135101		09/18/23	0.24	Qualified dividend	
MICROSOFT CORPORATION COMMON S TOCK	594918104		09/14/23 12/14/23	0.95 0.72	Qualified dividend Qualified dividend	
				<b>1.67</b>	<b>Total Dividends &amp; distributions</b>	
NOV INC.	62955J103		06/30/23	0.03	Qualified dividend	
NEW YORK COMMUNITY BANCORP, IN C.	649445103		08/17/23	0.90	Qualified dividend	
NVIDIA CORPORATION COMMON STOC K	67066G104		12/28/23	0.05	Qualified dividend	
PACWEST BANCORP COMMON STOCK	695263103		08/31/23	0.02	Qualified dividend	
PATTERSON-UTI ENERGY, INC. COM MON STOCK	703481101		09/21/23	0.08	Qualified dividend	
PROSHARES SHORT S&P500	74347B425		06/28/23 09/27/23	2.35 2.94	Nonqualified dividend Nonqualified dividend	03 03
				<b>5.29</b>	<b>Total Dividends &amp; distributions</b>	
PROSHARES SHORT QQQ	74347B714		09/27/23	2.78	Nonqualified dividend	03
PROSHARES SHORT REAL ESTATE	74347G366		06/28/23 09/27/23	0.10 0.44	Nonqualified dividend Nonqualified dividend	03 03
				<b>0.54</b>	<b>Total Dividends &amp; distributions</b>	
PROSHARES SHORT HIGH YIELD	74347R131		06/28/23	0.31	Nonqualified dividend	03
PROSHARES SHORT RUSSELL2000	74348A210		06/28/23	0.26	Nonqualified dividend	03
RESTAURANT BRANDS INTERNATIONA L INC.	76131D103		10/04/23 10/04/23	0.96 -0.14	Nonqualified dividend Foreign tax withheld-CA	
				<b>0.96</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.14</b>	<b>Total Foreign tax withheld</b>	
THE SHERWIN-WILLIAMS COMPANY	824348106		09/08/23	0.07	Qualified dividend	
SKYWORKS SOLUTIONS, INC. COMMO N STOCK	83088M102		09/19/23 12/12/23	1.77 0.61	Qualified dividend Qualified dividend	
				<b>2.38</b>	<b>Total Dividends &amp; distributions</b>	
TKO GROUP HOLDINGS, INC.	87256C101		09/29/23	2.24	Qualified dividend	

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 423142421

## Detail for Dividends and Distributions

2023

(continued)

02/12/2024

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD.	874039100		10/12/23	1.50	Qualified dividend	
			10/12/23	-0.32	Foreign tax withheld-TW	
				<b>1.50</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.32</b>	<b>Total Foreign tax withheld</b>	
VISA INC.	92826C839		09/01/23	0.03	Qualified dividend	
WALMART INC.	931142103		09/05/23	0.05	Qualified dividend	
WELLS FARGO & CO.	949746101		09/01/23	0.27	Qualified dividend	
WESTERN ALLIANCE BANCORPORATION	957638109		08/25/23	0.30	Qualified dividend	
SIGNET JEWELERS LIMITED	G81276100		08/25/23	0.18	Qualified dividend	
			11/24/23	0.07	Qualified dividend	
				<b>0.25</b>	<b>Total Dividends &amp; distributions</b>	
				<b>26.71</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.48</b>	<b>Total Foreign tax withheld</b>	





Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 423142421

## Fees and Expenses

2023

02/12/2024

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Gold Subscription fee	GLDSUBFEE	07/06/23	-5.00	Subscription expense	
		08/07/23	-5.00	Subscription expense	
		09/05/23	-5.00	Subscription expense	
		10/04/23	-5.00	Subscription expense	
		11/03/23	-5.00	Subscription expense	
		12/04/23	-5.00	Subscription expense	
			-30.00	Total Subscription expense	
			<b>-30.00</b>	<b>Total Subscription expense</b>	

Robinhood Markets Inc. as agent for  
 Robinhood Securities LLC  
 2023

**Mutual Fund and UIT Supplemental Information**

Account 423142421

02/12/2024

*The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

**PROSHARES SHORT S&P500 / 74347B425**

**PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES**

**Fed Source Total** **85.62%** (A detailed breakdown is shown below when available)

<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>
U.S. Treasury	85.62	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

**PROSHARES SHORT QQQ / 74347B714**

**PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES**

**Fed Source Total** **83.44%** (A detailed breakdown is shown below when available)

<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>
U.S. Treasury	83.44	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

**PROSHARES SHORT HIGH YIELD / 74347R131**

**PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES**

**Fed Source Total** **60.69%** (A detailed breakdown is shown below when available)

<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>
U.S. Treasury	60.69	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

**PROSHARES SHORT RUSSELL2000 / 74348A210**

**PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES**

**Fed Source Total** **75.68%** (A detailed breakdown is shown below when available)

<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>
U.S. Treasury	75.68	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

Robinhood Markets Inc. as agent for  
Robinhood Securities LLC

Account 423142421

## Foreign Income and Taxes Summary

2023

02/12/2024

*This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."*

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	CANADIAN PACIFIC KANSAS CITY LIMITED	13646K108	0.15	0.00	0.00	0.15	-0.02	
CA	RESTAURANT BRANDS INTERNATIONAL INC.	76131D103	0.96	0.00	0.00	0.96	-0.14	
<b>Total CANADA</b>			<b>1.11</b>	<b>0.00</b>	<b>0.00</b>	<b>1.11</b>	<b>-0.16</b>	
TW	TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD.	874039100	0.00	1.50	0.00	1.50	-0.32	
<b>Total TAIWAN</b>			<b>0.00</b>	<b>1.50</b>	<b>0.00</b>	<b>1.50</b>	<b>-0.32</b>	
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>2.61</b>				
	<b>Qualified Foreign Source Income**</b>			<b>1.50</b>				
	<b>Foreign Tax</b>						<b>-0.48</b>	

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.



Robinhood Markets Inc. as agent for  
Robinhood Securities LLC  
2023

### Non-Reportable Actions

Account 423142421

02/12/2024

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
THE HOWARD HUGHES CORPORATION	44267D107	08/14/23	-0.96	0.00	Exchange	
HOWARD HUGHES HOLDINGS INC.	44267T102	08/14/23	0.96	0.00	Exchange	
NEXTIER OILFIELD SOLUTIONS INC .	65290C105	09/05/23	-1.40	0.00	Merger	
PATTERSON-UTI ENERGY, INC. COM MON STOCK	703481101	09/05/23	1.05	0.00	Merger	
TKO GROUP HOLDINGS, INC.	87256C101	09/12/23	0.53	0.00	Exchange	
WORLD WRESTLING ENTERTAINMENT	98156Q108	09/12/23	-0.53	0.00	Exchange	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 25 Tax lot closed on a first in first out basis.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
-

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRP.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Instructions for Recipient**

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

**Line 12.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 13.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 12. See the Instructions for Form 6251.

**Lines 14-16.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a

constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Lines 15-17.** State tax withheld reporting lines.

**Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show

## Instructions for Recipient

the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

<b>Robinhood Markets Inc. as agent for Robinhood Crypto LLC</b> 85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700  PAYER'S TIN: 46-4364776	<b>Tax Information</b> <b>Account 423142421C</b>  Zhekai Li 699 Spring St Atlanta, GA 30308  RECIPIENT'S TIN: XXX-XX-9907	Statement Date: 02/12/2024	2023
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**Summary Information** 11 - [ ] FATCA filing requirement (see instructions) 13 - [ ] FATCA filing requirement (see instructions)

DIVIDENDS AND DISTRIBUTIONS	2023 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2023 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00		2- Royalties	0.00	
1b- Qualified dividends	0.00		3- Other income	1.99	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00				
2e- Section 897 ordinary dividends	0.00				
2f- Section 897 capital gain	0.00				
3- Nondividend distributions	0.00				
<b>4- Federal income tax withheld</b>	<b>0.00</b>				
5- Section 199A dividends	0.00				
6- Investment expenses	0.00				
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
12- Exempt-interest dividends (includes line 13)	0.00				
13- Specified private activity bond interest dividends (AMT)	0.00				

SECTION 1256 CONTRACTS	2023 1099-B*	OMB No. 1545-0715
8- Profit or (loss) realized in 2023 on closed contracts	0.00	
9- Unrealized profit or (loss) on open contracts-12/31/2022	0.00	
10- Unrealized profit or (loss) on open contracts-12/31/2023	0.00	
11- Aggregate profit or (loss) on contracts	0.00	

*If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.*

**\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	22.14	20.01	0.00	0.00	2.13
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>22.14</b>	<b>20.01</b>	<b>0.00</b>	<b>0.00</b>	<b>2.13</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>22.14</b>	<b>20.01</b>	<b>0.00</b>	<b>0.00</b>	<b>2.13</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Robinhood Markets Inc. as agent for  
Robinhood Crypto LLC

## Summary Information

Account 423142421C

2023

(continued)

02/12/2024

### INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement [ ]	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

### STATE TAX WITHHELD

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

### ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

### RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for  
Robinhood Crypto LLC

Account 423142421C

## Proceeds from Broker and Barter Exchange Transactions

2023 1099-B\* OMB No. 1545-0715

02/12/2024

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

### SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

#### 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Dogecoin / CUSIP: DOGEUSD / Symbol:							
11/02/23	322.640	22.14	06/11/23	20.01	...	2.13	Sale <sup>25</sup>
<b>Totals :</b>		<b>22.14</b>		<b>20.01</b>		<b>2.13</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for  
Robinhood Crypto LLC

Account 423142421C

## Detail for Miscellaneous Information

2023

02/12/2024

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
Avalanche	AVAXUSD	07/10/23	0.99	Other income	
USD Coin	USDCUSD	07/10/23	1.00	Other income	
			<b>1.99</b>	<b>Total Miscellaneous income</b>	



25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

**Instructions for Recipient**

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**1099-MISC Instructions for Recipient**

**Line 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

Shows backup withholding or withholding on Indian gaming profits.

Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

**Line 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

**Lines 16-18.** Show state or local income tax withheld from the payments.

**Future developments.** For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099MISC](http://www.irs.gov/Form1099MISC).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.