

Apex Clearing
One Dallas Center
350 North St Paul, Ste 1300
Dallas, TX 75201

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
(888) 828-0618

SATHEESH KUMAR VISWANADUNI
1519 S WOLD RD, APT 102
PROSPECT HEIGHTS, IL 60070

IMPORTANT YEAR END MESSAGES

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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Apex Clearing One Dallas Center 350 North St Paul, Ste 1300 Dallas, TX 75201 Customer Service: 214-765-1009 Payer's Federal ID No: 13-2967453 IN ACCOUNT WITH WEBULL FINANCIAL LLC (888) 828-0618	Account 5MZ08472	Form 1099 Composite	2022
	SATHEESH KUMAR VISWANADUNI 1519 S WOLD RD, APT 102 PROSPECT HEIGHTS, IL 60070 RECIPIENT'S ID No: XXX-XX-0934	Statement Date: 02/08/2023 <input type="checkbox"/> Corrected	

FATCA filing Requirement

Tax Reporting Statement

Dividends and Distributions	2022 Form1099DIV	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b)	0.00	
1b- Qualified Dividends	0.00	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d)	0.00	
2b- Unrecaptured Section 1250 Gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 ordinary dividends	0.00	
2f- Section 897 capital gain	0.00	
3- Nondividend Distributions	0.00	
4- Federal Income Tax Withheld	0.00	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign Tax Paid	0.00	
8- Foreign Country or U.S. Possessions		
9- Cash Liquidation Distribution	0.00	
10- Noncash Liquidation Distributions	0.00	
12- Exempt-Interest Dividends	0.00	
13- Specified Private Activity Bond Interest Dividends	0.00	

Interest Income	2022 Form1099INT	OMB No. 1545-0112
1- Interest Income	0.00	
2- Early Withdrawal Penalty	0.00	
3- Interest on US Savings Bonds & Treasury Obligations	0.00	
4- Federal Income Tax Withheld	0.00	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

Miscellaneous Information	2022 Form1099MISC	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
4- Federal Income Tax Withheld	0.00	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS		
	2022 Form1099B	OMB No. 1545-0715
8- Profit or (loss) realized in 2022 on closed contracts	0.00	
9- Unrealized profit or (loss) on open contracts - 12/31/2021	0.00	
10- Unrealized profit or (loss) on open contracts - 12/31/2022	0.00	
11- Aggregate profit or (loss) on contracts	0.00	
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>		

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Dividends may be reclassified for tax purposes after the original Form 1099 is sent in certain cases where information from issuers is received late. This may require us to provide you an amended tax form.

C - Corrected

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Tax Summary

2022

5MZ08472

02/08/2023

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	275,850.09	304,490.60	0.00	9,047.17	-19,593.34
Short-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Short-term	275,850.09	304,490.60	0.00	9,047.17	-19,593.34
Long-term transactions for covered tax lots	0.00	0.00	0.00	0.00	0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term	0.00	0.00	0.00	0.00	0.00

Original Issue Discount

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount - Covered Positions	0.00
Acquisition Premium - Covered Positions	0.00
Bond Premium - Covered Positions	0.00
Tax-Exempt OID - Covered Positions	0.00
Tax Exempt OID - Specified Private Activity Bonds - Covered Positions	0.00
Investment Expenses	0.00

Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the Form1099-OID section of this document.

FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	0.00
1099-DIV Total:	0.00
1099-INT Total:	0.00
1099-MISC Total:	0.00
1099-OID Total:	0.00

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5MZ08472

02/08/2023

OMB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B - Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes", cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as "Box 2 - Ordinary." In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as "Box 3 - Collectibles Box Checked." Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as "Box 7 - Loss Disallowed Box Checked." Disposition of a QOF investment is noted in the Additional Notes column as "Box 3 - QOF."

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement []

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL C 01/21/22	68	CITIGROUP INC CUSIP: *8LDGXT2 Symbol: C-----220121C00068000					
2022-01-13	3.000000	359.86	2022-01-12	309.12	0.00	50.74	
CALL MSFT 01/28/22	310	MICROSOFT CORP CUSIP: *8SJPF4 Symbol: MSFT--220128C00310000					
2022-01-19	2.000000	1,459.90	2022-01-19	1,380.08	0.00	79.82	
PUT TSLA 05/20/22	720	TESLA INC CUSIP: *8NMJKY8 Symbol: TSLA--220520P00720000					
2022-05-18	1.000000	1,134.91	2022-05-17	1,440.05	0.00	-305.14	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5MZ08472

(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL ROKU 01/14/22	185 ROKU INC CUSIP: *8PDNJP8 Symbol: ROKU--220114C00185000						
2022-01-07	4.000000	2,539.80	2022-01-07	2,740.17	0.00	-200.37	
CALL AMD 01/14/22	126 ADVANCED MICRO DEVICES INC CUSIP: *8PHQHY2 Symbol: AMD---220114C00126000						
2022-01-10	3.000000	1,414.86	2022-01-10	1,185.12	0.00	229.74	
CALL ROKU 01/14/22	182.50 ROKU INC CUSIP: *8SKVKZ2 Symbol: ROKU--220114C00182500						
2022-01-07	5.000000	3,699.76	2022-01-07	3,650.21	0.00	49.55	
CALL QQQ 01/12/22	372 INVESCO QQQ TRUST SERIES 1 CUSIP: *8KQRKY3 Symbol: QQQ---220112C00372000						
2022-01-10	7.000000	2,897.67	2022-01-10	2,535.29	0.00	362.38	
CALL SPY 01/19/22	458 STANDARD & POORS DEPOSITORY CUSIP: *8KTXYW5 Symbol: SPY---220119C00458000						
2022-01-18	4.000000	783.81	2022-01-18	776.17	0.00	7.64	
CALL NOW 01/21/22	545 SERVICENOW INC CUSIP: *8LGYPG1 Symbol: NOW---220121C00545000						
2022-01-18	2.000000	1,819.90	2022-01-18	1,800.08	0.00	19.82	
CALL QQQ 01/26/22	373 INVESCO QQQ TRUST SERIES 1 CUSIP: *8LRRYT1 Symbol: QQQ---220126C00373000						
2022-01-19	2.000000	1,149.90	2022-01-19	1,150.08	0.00	-0.18	
CALL SPY 01/26/22	458 STANDARD & POORS DEPOSITORY CUSIP: *8NPMTL3 Symbol: SPY---220126C00458000						
2022-01-19	1.000000	559.94	2022-01-18	491.04	0.00	68.90	
CALL RBLX 01/28/22	80 ROBLOX CORPORATION CUSIP: *8SKPNQ7 Symbol: RBLX--220128C00080000						
2022-01-18	5.000000	2,034.76	2022-01-18	2,225.21	190.45 D	-190.45	
2022-01-20	10.000000	3,474.54	Various	4,246.76	615.89 D	-772.22	
Security Totals:		5,509.30		6,471.97		-962.67	
PUT RBLX 01/28/22	65 ROBLOX CORPORATION CUSIP: *8SLFPJ6 Symbol: RBLX--220128P00065000						
2022-01-25	4.000000	1,215.81	2022-01-25	1,378.16	0.00	-162.35	
PUT XOM 01/21/22	71 EXXON MOBIL CORP CUSIP: *8SKYDK7 Symbol: XOM---220121P00071000						
2022-01-13	5.000000	549.77	2022-01-13	550.21	0.00	-0.44	
CALL PLTR 06/17/22	14 PALANTIR TECHNOLOGIES INC CL A CUSIP: *8PJKSN8 Symbol: PLTR--220617C00014000						
2022-03-29	7.000000	1,161.63	2022-03-10	700.34	0.00	461.29	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NFLX 01/28/22	520	NETFLIX INC CUSIP: *8SKPCC1 Symbol: NFLX--220128C00520000					
2022-01-19	1.000000	2,064.93	2022-01-19	2,000.04	0.00	64.89	
CALL TQQQ 01/21/22	78	PROSHARES ULTRAPRO QQQ ADJ 2:1 STOCK SPLIT CUSIP: *8GCMKV4 Symbol: TQQQ--220121C00078000					
2022-01-13	5.000000	824.77	2022-01-13	1,150.21	0.00	-325.44	
PUT SHOP 01/28/22	920	SHOPIFY INC CUSIP: *8KSQMV7 Symbol: SHOP--220128P00920000					
2022-01-24	1.000000	4,449.92	2022-01-24	5,500.04	0.00	-1,050.12	
CALL TWTR 02/18/22	32	TWITTER INC CUSIP: *8PHPDD0 Symbol: TWTR--220218C00032000					
2022-01-28	3.000000	1,349.86	2022-01-27	1,215.12	0.00	134.74	
CALL BYD 02/18/22	60	BOYD GAMING CORP CUSIP: *8CXPKQ5 Symbol: BYD---220218C00060000					
2022-02-04	2.000000	619.88	2022-02-03	502.10	0.00	117.78	
CALL SPG 02/18/22	150	SIMON PROPERTY GROUP INC CUSIP: *8KTNZT5 Symbol: SPG---220218C00150000					
2022-02-08	5.000000	655.71	Various	1,972.46	747.21 D	-1,316.75	
CALL NET 01/28/22	96	CLOUDFLARE INC CL A CUSIP: *8SKTJC2 Symbol: NET---220128C00096000					
2022-01-19	2.000000	1,279.90	2022-01-18	1,060.08	0.00	219.82	
PUT ISRG 01/21/22	295	INTUITIVE INTUITIVE INC ADJ 3:1 STOCK SPLIT CUSIP: *8SFCZK9 Symbol: ISRG--220121P00295000					
2022-01-20	2.000000	839.90	2022-01-20	840.08	0.00	-0.18	
CALL MU 01/28/22	83	MICRON TECHNOLOGY INC CUSIP: *8SJPGP5 Symbol: MU----220128C00083000					
2022-01-21	5.000000	1,069.77	2022-01-21	1,190.21	0.00	-120.44	
CALL TSLA 01/28/22	880	TESLA INC CUSIP: *8SJRLX4 Symbol: TSLA--220128C00880000					
2022-01-27	2.000000	2,404.88	2022-01-27	3,800.08	0.00	-1,395.20	
CALL UNP 01/28/22	240	UNION PACIFIC CORP CUSIP: *8SJRRK4 Symbol: UNP---220128C00240000					
2022-01-19	1.000000	454.94	2022-01-19	450.04	0.00	4.90	
CALL NFLX 02/04/22	380	NETFLIX INC CUSIP: *8BSPDN8 Symbol: NFLX--220204C00380000					
2022-01-21	1.000000	2,604.93	2022-01-21	2,200.04	0.00	404.89	
CALL SHOP 01/28/22	960	SHOPIFY INC CUSIP: *8KSQMW0 Symbol: SHOP--220128C00960000					
2022-01-21	1.000000	2,509.93	2022-01-21	3,100.04	0.00	-590.11	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5MZ08472

(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL DIS 02/04/22	135	WALT DISNEY CO CUSIP: *8LYYSX5 Symbol: DIS---	220204C00135000				
2022-01-28	5.000000	1,749.77	2022-01-28	1,675.21	0.00	74.56	
PUT AFRM 01/28/22	54	AFFIRM HOLDINGS INC CL A CUSIP: *8PHRYX3 Symbol: AFRM--	220128P00054000				
2022-01-25	2.000000	699.90	2022-01-25	700.08	0.00	-0.18	
CALL QQQ 01/28/22	352	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SKPMR1 Symbol: QQQ---	220128C00352000				
2022-01-21	2.000000	1,451.90	2022-01-21	1,490.08	0.00	-38.18	
CALL RBLX 01/28/22	67	ROBLOX CORPORATION CUSIP: *8GRSSF7 Symbol: RBLX--	220128C00067000				
2022-01-24	3.000000	809.86	2022-01-24	1,050.12	0.00	-240.26	
CALL SHOP 01/28/22	850	SHOPIFY INC CUSIP: *8PHXKZ0 Symbol: SHOP--	220128C00850000				
2022-01-24	2.000000	7,846.85	2022-01-24	7,860.08	0.00	-13.23	
CALL QQQ 01/24/22	344	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SLFNB3 Symbol: QQQ---	220124C00344000				
2022-01-24	5.000000	1,199.77	2022-01-24	1,305.21	0.00	-105.44	
CALL TSLA 05/20/22	730	TESLA INC CUSIP: *8NMJKZ8 Symbol: TSLA--	220520C00730000				
2022-05-19	1.000000	1,689.90	2022-05-19	1,215.05	0.00	474.85	
CALL CVX 02/04/22	134	CHEVRON CORPORATION CUSIP: *8SKHPN6 Symbol: CVX---	220204C00134000				
2022-01-28	2.000000	179.90	2022-01-27	600.08	0.00	-420.18	
PUT TSLA 03/04/22	825	TESLA INC CUSIP: *8SLZQT5 Symbol: TSLA--	220304P00825000				
2022-03-02	1.000000	944.93	2022-03-02	879.05	0.00	65.88	
PUT SHOP 03/11/22	540	SHOPIFY INC CUSIP: *8SLWVK7 Symbol: SHOP--	220311P00540000				
2022-03-10	1.000000	1,079.93	2022-03-10	940.05	0.00	139.88	
PUT TSLA 02/25/22	795	TESLA INC CUSIP: *8SLZQQ3 Symbol: TSLA--	220225P00795000				
2022-02-25	1.000000	479.93	2022-02-25	485.05	0.00	-5.12	
CALL PUBM 03/18/22	35	PUBMATIC INC CUSIP: *8LDWDJ5 Symbol: PUBM--	220318C00035000				
2022-03-01	1.000000	39.93	2022-02-28	160.05	0.00	-120.12	
CALL FB 02/04/22	245	META PLATFORMS INC CL A CUSIP: *8LZFB1 Symbol: FB----	220204C00245000				
2022-02-03	6.000000	1,163.69	2022-02-03	3,240.30	0.00	-2,076.61	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5MZ08472

(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL FB 02/11/22	235 META PLATFORMS INC CL A CUSIP: *8NSGFJ3 Symbol: FB----220211C00235000						
2022-02-07	1.000000	230.93	2022-02-07	300.05	0.00	-69.12	
CALL PINS 02/11/22	27 PINTEREST INC CLASS A CUSIP: *8PJJJM7 Symbol: PINS--220211C00027000						
2022-02-04	2.000000	161.88	2022-02-03	364.10	0.00	-202.22	
PUT TSLA 03/11/22	845 TESLA INC CUSIP: *8SLZQX7 Symbol: TSLA--220311P00845000						
2022-03-09	1.000000	1,474.93	2022-03-09	1,595.05	0.00	-120.12	
PUT TSLA 03/25/22	900 TESLA INC CUSIP: *8SMHJN6 Symbol: TSLA--220325P00900000						
2022-03-22	1.000000	1,119.93	2022-03-21	1,770.05	0.00	-650.12	
PUT TSLA 03/25/22	1000 TESLA INC CUSIP: *8SMHJS2 Symbol: TSLA--220325P01000000						
2022-03-24	1.000000	2,069.92	2022-03-24	1,575.05	0.00	494.87	
PUT TSLA 03/25/22	990 TESLA INC CUSIP: *8SMHJS0 Symbol: TSLA--220325P009900000						
2022-03-25	1.000000	132.93	2022-03-24	700.05	0.00	-567.12	
CALL HUBG 02/18/22	75 HUB GROUP INC - CL A CUSIP: *8G32474 Symbol: HUBG--220218C00075000						
2022-02-08	2.000000	611.88	2022-02-07	500.10	0.00	111.78	
PUT TSLA 02/25/22	735 TESLA INC CUSIP: *8SLZQP1 Symbol: TSLA--220225P00735000						
2022-02-24	1.000000	1,149.93	2022-02-24	1,570.05	0.00	-420.12	
CALL FB 02/25/22	215 META PLATFORMS INC CL A CUSIP: *8SLKZB3 Symbol: FB----220225C00215000						
2022-02-22	1.000000	90.93	2022-02-17	405.05	0.00	-314.12	
PUT TSLA 03/11/22	785 TESLA INC CUSIP: *8SLZQW5 Symbol: TSLA--220311P00785000						
2022-03-07	1.000000	1,719.93	2022-03-07	1,640.05	0.00	79.88	
CALL TSLA 03/11/22	800 TESLA INC CUSIP: *8SLPWX4 Symbol: TSLA--220311C00800000						
2022-03-11	1.000000	283.93	2022-03-11	600.05	0.00	-316.12	
CALL TSLA 03/04/22	855 TESLA INC CUSIP: *8SLZQV0 Symbol: TSLA--220304C00855000						
2022-03-03	1.000000	1,464.93	2022-03-03	1,305.05	0.00	159.88	
CALL TSLA 03/04/22	865 TESLA INC CUSIP: *8SLZQV2 Symbol: TSLA--220304C00865000						
2022-03-04	1.000000	364.93	2022-03-03	990.05	0.00	-625.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5MZ08472

(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 04/01/22 1130 TESLA INC CUSIP: *8SNFBN2 Symbol: TSLA--220401C01130000							
2022-03-30	1.000000	1,409.93	2022-03-30	1,220.05	0.00	189.88	
PUT TSLA 04/01/22 1065 TESLA INC CUSIP: *8SNFBL5 Symbol: TSLA--220401P01065000							
2022-03-30	1.000000	669.93	2022-03-30	880.05	0.00	-210.12	
CALL TSLA 04/01/22 1100 TESLA INC CUSIP: *8SNFBM4 Symbol: TSLA--220401C01100000							
2022-03-31	1.000000	739.93	2022-03-31	885.05	0.00	-145.12	
CALL TSLA 04/14/22 980 TESLA INC CUSIP: *8GWTPR3 Symbol: TSLA--220414C00980000							
2022-04-14	1.000000	1,419.93	2022-04-14	1,355.05	0.00	64.88	
CALL TSLA 04/08/22 1090 TESLA INC CUSIP: *8NQPBS3 Symbol: TSLA--220408C01090000							
2022-04-06	1.000000	344.93	2022-04-06	1,170.05	0.00	-825.12	
CALL IBM 04/22/22 130 INTL BUSINESS MACHINE CUSIP: *8NRYFW6 Symbol: IBM---220422C00130000							
2022-04-20	2.000000	1,279.88	2022-04-19	470.10	0.00	809.78	
PUT TSLA 04/01/22 1090 TESLA INC CUSIP: *8SNFBM3 Symbol: TSLA--220401P01090000							
2022-03-31	1.000000	1,784.93	2022-03-31	1,405.05	0.00	379.88	
PUT TSLA 04/08/22 1090 TESLA INC CUSIP: *8NQPBS6 Symbol: TSLA--220408P01090000							
2022-04-05	1.000000	1,189.93	2022-04-04	1,180.05	0.00	9.88	
PUT SHOP 04/22/22 600 SHOPIFY INC CUSIP: *8PDLTM4 Symbol: SHOP--220422P00600000							
2022-04-19	1.000000	1,289.93	2022-04-19	1,240.05	0.00	49.88	
PUT TSLA 04/29/22 900 TESLA INC CUSIP: *8SPXRC6 Symbol: TSLA--220429P00900000							
2022-04-29	1.000000	1,699.93	2022-04-29	590.05	0.00	1,109.88	
PUT TSLA 04/01/22 1060 TESLA INC CUSIP: *8SNFBL3 Symbol: TSLA--220401P01060000							
2022-03-29	1.000000	1,219.93	2022-03-28	2,205.05	0.00	-985.12	
CALL SNAP 04/29/22 32 SNAP INC CUSIP: *8SPWXB7 Symbol: SNAP--220429C00032000							
2022-04-22	4.000000	187.78	2022-04-21	1,116.20	0.00	-928.42	
CALL SNDL 05/20/22 .50 SUNDIAL GROWERS INC CUSIP: *8KFJMX1 Symbol: SNDL--220520C00000500							
2022-05-17	5.000000	4.73	2022-05-16	20.25	0.00	-15.52	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5MZ08472

(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT TSLA 04/29/22	890	TESLA INC CUSIP: *8SPXRC2 Symbol: TSLA--220429P00890000					
2022-04-29	2.000000	1,126.86	2022-04-29	844.10	0.00	282.76	
PUT SNDL 05/20/22	.50	SUNDIAL GROWERS INC CUSIP: *8KFJMX2 Symbol: SNDL--220520P00000500					
2022-05-17	7.000000	41.62	2022-05-16	63.34	0.00	-21.72	
PUT TSLA 04/01/22	1075	TESLA INC CUSIP: *8SNFBL9 Symbol: TSLA--220401P01075000					
2022-04-01	5.000000	929.71	2022-04-01	3,360.47	1,020.22 D	-2,430.76	
PUT TSLA 05/20/22	675	TESLA INC CUSIP: *8PNDBD6 Symbol: TSLA--220520P00675000					
2022-05-20	1.000000	429.93	2022-05-20	810.05	0.00	-380.12	
PUT TSLA 04/29/22	945	TESLA INC CUSIP: *8SPXRF4 Symbol: TSLA--220429P00945000					
2022-04-25	1.000000	1,794.93	2022-04-22	1,425.05	0.00	369.88	
CALL TSLA 04/29/22	985	TESLA INC CUSIP: *8SPXRG9 Symbol: TSLA--220429C00985000					
2022-04-27	5.000000	1,535.69	Various	7,710.71	3,460.46 D	-6,175.02	
PUT NFLX 04/22/22	240	NETFLIX INC CUSIP: *8PLVXW6 Symbol: NFLX--220422P00240000					
2022-04-20	1.000000	1,339.93	2022-04-20	940.05	0.00	399.88	
PUT TSLA 03/25/22	985	TESLA INC CUSIP: *8SMSFQ5 Symbol: TSLA--220325P00985000					
2022-03-23	1.000000	2,149.92	2022-03-23	1,605.05	0.00	544.87	
CALL CLF 04/29/22	30	CLEVELAND CLIFFS INC CUSIP: *8SPRFD9 Symbol: CLF---220429C00030000					
2022-04-22	7.000000	1,994.62	Various	1,495.35	0.00	499.27	
PUT TSLA 04/22/22	1040	TESLA INC CUSIP: *8PJBHX8 Symbol: TSLA--220422P01040000					
2022-04-21	1.000000	1,149.93	2022-04-21	870.05	0.00	279.88	
PUT AA 04/22/22	85	ALCOA CORPORATION CUSIP: *8NQXDY9 Symbol: AA----220422P00085000					
2022-04-21	1.000000	674.93	2022-04-20	223.05	0.00	451.88	
PUT TSLA 05/06/22	880	TESLA INC CUSIP: *8PKTHP7 Symbol: TSLA--220506P00880000					
2022-05-04	2.000000	599.87	2022-05-04	2,407.10	0.00	-1,807.23	
CALL TSLA 05/06/22	920	TESLA INC CUSIP: *8PKTHR3 Symbol: TSLA--220506C00920000					
2022-05-03	1.000000	2,452.92	2022-05-03	1,765.05	0.00	687.87	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT TSLA 05/06/22	855 TESLA INC CUSIP: *8PKTHL6 Symbol: TSLA--220506P00855000						
2022-05-06	2.000000	749.88	2022-05-06	823.10	0.00	-73.22	
PUT TSLA 05/06/22	890 TESLA INC CUSIP: *8PKTHQ1 Symbol: TSLA--220506P00890000						
2022-05-05	1.000000	719.93	2022-05-05	570.05	0.00	149.88	
CALL TSLA 05/13/22	905 TESLA INC CUSIP: *8PPKSV0 Symbol: TSLA--220513C00905000						
2022-05-12	1.000000	6.93	2022-05-09	700.05	0.00	-693.12	
CALL TSLA 05/13/22	800 TESLA INC CUSIP: *8PPKRH2 Symbol: TSLA--220513C00800000						
2022-05-13	1.000000	115.93	2022-05-13	202.05	0.00	-86.12	
PUT TSLA 05/06/22	840 TESLA INC CUSIP: *8PKTHK2 Symbol: TSLA--220506P00840000						
2022-05-02	1.000000	1,109.93	2022-05-02	2,240.05	0.00	-1,130.12	
PUT TSLA 05/13/22	760 TESLA INC CUSIP: *8PPKRG5 Symbol: TSLA--220513P00760000						
2022-05-13	1.000000	154.93	2022-05-13	155.05	0.00	-0.12	
CALL NFLX 04/22/22	240 NETFLIX INC CUSIP: *8PLVXW5 Symbol: NFLX--220422C00240000						
2022-04-20	1.000000	729.93	2022-04-20	900.05	0.00	-170.12	
PUT TSLA 05/27/22	745 TESLA INC CUSIP: *8SSFDJ1 Symbol: TSLA--220527P00745000						
2022-05-27	1.000000	24.93	2022-05-27	249.05	0.00	-224.12	
PUT TSLA 05/27/22	640 TESLA INC CUSIP: *8SRBHP8 Symbol: TSLA--220527P00640000						
2022-05-27	1.000000	7.93	2022-05-26	113.05	0.00	-105.12	
CALL AMZN 05/13/22	2300 AMAZON.COM INC CUSIP: *8PNRHB1 Symbol: AMZN--220513C02300000						
2022-05-13	1.000000	70.93	2022-05-13	300.05	0.00	-229.12	
PUT TSLA 05/27/22	610 TESLA INC CUSIP: *8SRBHP2 Symbol: TSLA--220527P00610000						
2022-05-24	1.000000	1,710.90	2022-05-23	1,800.05	0.00	-89.15	
PUT TSLA 05/27/22	730 TESLA INC CUSIP: *8SSFDH7 Symbol: TSLA--220527P00730000						
2022-05-27	1.000000	519.93	2022-05-27	700.05	0.00	-180.12	
CALL TSLA 05/13/22	780 TESLA INC CUSIP: *8PPKRG8 Symbol: TSLA--220513C00780000						
2022-05-13	1.000000	854.92	2022-05-13	720.05	0.00	134.87	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SHOP 05/13/22 337.50 SHOPIFY INC CUSIP: *8SSDRB5 Symbol: SHOP--220513P00337500							
2022-05-12	1.000000	1,369.93	2022-05-12	900.05	0.00	469.88	
CALL TSLA 06/03/22 800 TESLA INC CUSIP: *8PQTFW7 Symbol: TSLA--220603C00800000							
2022-05-31	1.000000	804.92	2022-05-31	585.05	0.00	219.87	
2022-06-02	1.000000	629.92	2022-06-01	840.05	0.00	-210.13	
Security Totals:		1,434.84		1,425.10		9.74	
CALL TSLA 06/10/22 790 TESLA INC CUSIP: *8SQXCR4 Symbol: TSLA--220610C00790000							
2022-06-08	1.000000	389.93	2022-06-07	189.05	0.00	200.88	
CALL TSLA 06/03/22 740 TESLA INC CUSIP: *8PQTF59 Symbol: TSLA--220603C00740000							
2022-06-03	1.000000	80.93	2022-06-03	525.05	0.00	-444.12	
CALL TSLA 05/20/22 695 TESLA INC CUSIP: *8KMHVR8 Symbol: TSLA--220520C00695000							
2022-05-20	1.000000	459.92	2022-05-20	545.05	0.00	-85.13	
CALL TSLA 06/10/22 700 TESLA INC CUSIP: *8SQXCQ4 Symbol: TSLA--220610C00700000							
2022-06-10	1.000000	110.93	2022-06-10	279.05	0.00	-168.12	
CALL TSLA 05/27/22 675 TESLA INC CUSIP: *8SSZLP4 Symbol: TSLA--220527C00675000							
2022-05-26	1.000000	989.91	2022-05-26	815.05	0.00	174.86	
PUT TSLA 05/27/22 625 TESLA INC CUSIP: *8STLJT1 Symbol: TSLA--220527P00625000							
2022-05-25	1.000000	694.92	2022-05-25	1,475.05	0.00	-780.13	
CALL TSLA 06/17/22 695 TESLA INC CUSIP: *8STLKM5 Symbol: TSLA--220617C00695000							
2022-06-17	1.000000	44.93	2022-06-16	171.05	0.00	-126.12	
CALL AAPL 06/17/22 136 APPLE INC CUSIP: *8KNCRF7 Symbol: AAPL--220617C00136000							
2022-06-15	1.000000	179.93	2022-06-13	221.05	0.00	-41.12	
MARIN SOFTWARE INCORPORATED COMMON STOCK CUSIP: 56804T205 Symbol: MRIN							
2022-01-14	500.000000	1,756.60	2022-01-12	2,100.00	0.00	-343.40	
NETFLIX INC COM CUSIP: 64110L106 Symbol: NFLX							
2022-01-21	2.000000	809.00	2022-01-20	819.88	0.00	-10.88	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

5MZ08472

(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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PALANTIR TECHNOLOGIES INC CLASS A COMMON STOCK CUSIP: 69608A108 Symbol: PLTR							
2022-01-05	70.000000	1,189.44	2022-01-05	1,205.54	0.00	-16.10	
2022-03-09	100.000000	1,186.98	2022-02-18	1,094.00	0.00	92.98	
Security Totals:		2,376.42		2,299.54		76.88	
SOFI TECHNOLOGIES INC COMMON STOCK CUSIP: 83406F102 Symbol: SOFI							
2022-03-01	100.000000	1,246.98	2022-02-22	1,092.00	0.00	154.98	
2022-03-16	100.000000	827.98	2022-03-11	868.50	0.00	-40.52	
Security Totals:		2,074.96		1,960.50		114.46	
***TDH HOLDINGS INC COMMON SHARES CUSIP: G87084102 Symbol: #T029914							
2022-01-11	500.000000	424.92	2022-01-11	409.50	0.00	15.42	
CALL AMZN 01/14/22 3345 AMAZON.COM INC CUSIP: *8BXXLL0 Symbol: AMZN--220114C03345000							
2022-01-04	1.000000	5,829.92	2022-01-04	5,300.04	0.00	529.88	
PUT SPY 01/21/22 456 STANDARD & POORS DEPOSITORY CUSIP: *8KJDMV2 Symbol: SPY---220121P00456000							
2022-01-20	5.000000	1,109.77	2022-01-20	1,360.21	0.00	-250.44	
PUT TSLA 01/28/22 915 TESLA INC CUSIP: *8KWBMH7 Symbol: TSLA--220128P00915000							
2022-01-25	1.000000	5,099.92	2022-01-25	5,100.04	0.00	-0.12	
CALL TSLA 01/28/22 925 TESLA INC CUSIP: *8KWBMJ0 Symbol: TSLA--220128C00925000							
2022-01-25	1.000000	4,829.92	2022-01-25	5,030.04	0.00	-200.12	
CALL TSLA 02/18/22 895 TESLA INC CUSIP: *8KWBP4 Symbol: TSLA--220218C00895000							
2022-02-17	1.000000	799.93	2022-02-17	1,190.05	0.00	-390.12	
CALL TSLA 04/14/22 1000 TESLA INC CUSIP: *8LCGNQ5 Symbol: TSLA--220414C01000000							
2022-04-13	1.000000	2,149.92	2022-04-13	1,875.05	0.00	274.87	
PUT CRWD 01/07/22 190 CROWDSTRIKE HOLDINGS INC CL A CUSIP: *8LCLBY2 Symbol: CRWD--220107P00190000							
2022-01-06	3.000000	1,244.86	2022-01-06	1,035.12	0.00	209.74	
PUT F 01/07/22 24 FORD MOTOR COMPANY CUSIP: *8LCRKT5 Symbol: F-----220107P00024000							
2022-01-05	60.000000	3,427.36	Various	3,182.48	0.00	244.88	

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Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NVDA 01/07/22	277.50	NVIDIA CORPORATION CUSIP: *8LHDLB9 Symbol: NVDA--220107C00277500					
2022-01-07	4.000000	475.81	2022-01-07	476.17	0.00	-0.36	
PUT ROKU 01/07/22	197.50	ROKU INC CUSIP: *8LJJWR3 Symbol: ROKU--220107P00197500					
2022-01-06	7.000000	3,289.67	2022-01-06	2,830.29	0.00	459.38	
CALL QQQ 01/07/22	385	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LRHMG4 Symbol: QQQ---220107C00385000					
2022-01-06	2.000000	547.90	2022-01-06	520.08	0.00	27.82	
PUT MSFT 01/21/22	310	MICROSOFT CORP CUSIP: *8LWHRX2 Symbol: MSFT--220121P00310000					
2022-01-20	3.000000	1,019.86	2022-01-20	672.12	0.00	347.74	
CALL MSFT 01/21/22	310	MICROSOFT CORP CUSIP: *8LWHPJ1 Symbol: MSFT--220121C00310000					
2022-01-14	5.000000	1,799.77	2022-01-13	2,180.20	0.00	-380.43	
CALL TSLA 01/07/22	1080	TESLA INC CUSIP: *8LXPWR4 Symbol: TSLA--220107C01080000					
2022-01-07	4.000000	1,759.81	2022-01-07	3,200.16	0.00	-1,440.35	
PUT TSLA 01/07/22	1135	TESLA INC CUSIP: *8LXRSV2 Symbol: TSLA--220107P01135000					
2022-01-03	1.000000	2,994.93	2022-01-03	3,570.04	0.00	-575.11	
PUT TSLA 01/07/22	1060	TESLA INC CUSIP: *8LXPJG3 Symbol: TSLA--220107P01060000					
2022-01-06	2.000000	5,919.86	2022-01-06	4,145.08	0.00	1,774.78	
CALL TSLA 01/07/22	1045	TESLA INC CUSIP: *8LXPHT4 Symbol: TSLA--220107C01045000					
2022-01-07	1.000000	839.94	2022-01-07	770.04	0.00	69.90	
PUT TSLA 01/07/22	1065	TESLA INC CUSIP: *8LXPJH7 Symbol: TSLA--220107P01065000					
2022-01-06	3.000000	4,679.84	2022-01-06	4,365.12	0.00	314.72	
CALL TSLA 01/07/22	1060	TESLA INC CUSIP: *8LXPJB1 Symbol: TSLA--220107C01060000					
2022-01-06	1.000000	1,295.94	2022-01-06	1,660.04	0.00	-364.10	
CALL NFLX 01/21/22	535	NETFLIX INC CUSIP: *8LYFWG3 Symbol: NFLX--220121C00535000					
2022-01-14	1.000000	1,254.94	2022-01-13	1,810.04	0.00	-555.10	
PUT TSLA 02/04/22	915	TESLA INC CUSIP: *8NJZJN2 Symbol: TSLA--220204P00915000					
2022-02-01	1.000000	2,864.92	2022-01-31	1,960.04	0.00	904.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT TSLA 05/20/22	700	TESLA INC CUSIP: *8NMJJG5 Symbol: TSLA--220520P00700000					
2022-05-16	1.000000	1,614.90	2022-05-16	1,205.05	0.00	409.85	
2022-05-20	1.000000	839.92	2022-05-20	561.05	0.00	278.87	
Security Totals:		2,454.82		1,766.10		688.72	
CALL TSLA 05/20/22	770	TESLA INC CUSIP: *8NMJLS7 Symbol: TSLA--220520C00770000					
2022-05-19	1.000000	299.93	2022-05-19	122.05	0.00	177.88	
PUT TSLA 01/07/22	1195	TESLA INC CUSIP: *8NNQDW4 Symbol: TSLA--220107P01195000					
2022-01-04	2.000000	9,199.86	Various	6,825.08	0.00	2,374.78	
PUT NFLX 01/07/22	550	NETFLIX INC CUSIP: *8NMLR7 Symbol: NFLX--220107P00550000					
2022-01-06	1.000000	579.94	2022-01-06	500.04	0.00	79.90	
CALL BAC 02/04/22	49	BANK OF AMERICA CORP CUSIP: *8NPFYM0 Symbol: BAC---220204C00049000					
2022-01-12	5.000000	629.77	2022-01-12	630.21	0.00	-0.44	
PUT MU 01/21/22	95	MICRON TECHNOLOGY INC CUSIP: *8NSZBK6 Symbol: MU---220121P00095000					
2022-01-18	3.000000	554.87	2022-01-18	600.12	0.00	-45.25	
CALL ROKU 01/21/22	170	ROKU INC CUSIP: *8NTBDL9 Symbol: ROKU--220121C00170000					
2022-01-18	2.000000	1,259.90	2022-01-18	960.08	0.00	299.82	
PUT FB 01/21/22	325	META PLATFORMS INC CL A CUSIP: *8NWFFC4 Symbol: FB---220121P00325000					
2022-01-20	3.000000	794.86	2022-01-20	780.12	0.00	14.74	
CALL MSFT 01/14/22	325	MICROSOFT CORP CUSIP: *8PDKMJ4 Symbol: MSFT--220114C00325000					
2022-01-05	10.000000	3,301.55	2022-01-05	4,175.42	0.00	-873.87	
PUT NVDA 01/14/22	265	NVIDIA CORPORATION CUSIP: *8PDLRF4 Symbol: NVDA--220114P00265000					
2022-01-10	1.000000	889.94	2022-01-10	800.04	0.00	89.90	
PUT MU 01/14/22	92	MICRON TECHNOLOGY INC CUSIP: *8PDKQK6 Symbol: MU---220114P00092000					
2022-01-10	17.000000	3,561.23	2022-01-10	3,216.70	0.00	344.53	
PUT NVDA 01/14/22	260	NVIDIA CORPORATION CUSIP: *8PDLRF2 Symbol: NVDA--220114P00260000					
2022-01-10	3.000000	2,189.85	2022-01-10	2,400.12	0.00	-210.27	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT TSLA 03/18/22	820	TESLA INC ADJ 5:1 STOCK SPLIT CUSIP: *8PDSSR7 Symbol: TSLA--220318P00820000					
2022-03-16	1.000000	1,379.93	2022-03-16	995.05	0.00	384.88	
PUT TSLA 03/18/22	840	TESLA INC ADJ 5:1 STOCK SPLIT CUSIP: *8PDSSR9 Symbol: TSLA--220318P00840000					
2022-03-17	1.000000	619.93	2022-03-17	710.05	0.00	-90.12	
PUT SPY 01/14/22	472.50	STANDARD & POORS DEPOSITORY CUSIP: *8PDTJG2 Symbol: SPY---220114P00472500					
2022-01-13	4.000000	719.81	2022-01-13	880.17	0.00	-160.36	
PUT TSLA 01/14/22	1060	TESLA INC CUSIP: *8PDVSB0 Symbol: TSLA--220114P01060000					
2022-01-11	2.000000	5,444.86	2022-01-11	6,337.08	0.00	-892.22	
PUT TSLA 01/14/22	1100	TESLA INC CUSIP: *8PDVSC9 Symbol: TSLA--220114P01100000					
2022-01-12	2.000000	2,784.88	2022-01-12	4,836.18	636.10 D	-2,051.30	
PUT TSLA 01/14/22	1090	TESLA INC CUSIP: *8PDVSC4 Symbol: TSLA--220114P01090000					
2022-01-12	3.000000	5,629.81	2022-01-12	6,610.44	400.32 D	-980.63	
PUT AMZN 01/14/22	3300	AMAZON.COM INC CUSIP: *8PFDFG8 Symbol: AMZN--220114P03300000					
2022-01-11	1.000000	2,439.93	2022-01-11	3,100.04	0.00	-660.11	
CALL LCID 01/14/22	43	LUCID GROUP INC CUSIP: *8PGHPL4 Symbol: LCID--220114C00043000					
2022-01-11	5.000000	774.78	2022-01-11	825.21	0.00	-50.43	
PUT TSLA 01/14/22	1025	TESLA INC CUSIP: *8PGLNB3 Symbol: TSLA--220114P01025000					
2022-01-10	1.000000	3,164.93	2022-01-10	3,340.04	0.00	-175.11	
PUT TSLA 01/14/22	1035	TESLA INC CUSIP: *8PGLNB5 Symbol: TSLA--220114P01035000					
2022-01-10	1.000000	2,219.93	2022-01-10	3,140.04	920.11 D	-920.11	
2022-01-11	1.000000	1,990.93	2022-01-11	3,460.15	0.00	-1,469.22	
Security Totals:		4,210.86		6,600.19		-2,389.33	
PUT ZEN 02/18/22	100	ZENDESK INC CUSIP: *8PGPXM7 Symbol: ZEN---220218P00100000					
2022-02-10	1.000000	134.93	2022-02-10	175.05	0.00	-40.12	
PUT AAPL 01/28/22	150	APPLE INC CUSIP: *8PGQBL0 Symbol: AAPL--220128P00150000					
2022-01-28	5.000000	9.77	2022-01-27	515.21	0.00	-505.44	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT AMD 01/14/22	132	ADVANCED MICRO DEVICES INC CUSIP: *8PGZYX4 Symbol: AMD---	220114P00132000				
2022-01-11	3.000000	854.86	2022-01-11	1,020.12	0.00	-165.26	
PUT AMD 01/14/22	133	ADVANCED MICRO DEVICES INC CUSIP: *8PGZYX6 Symbol: AMD---	220114P00133000				
2022-01-11	15.000000	3,899.32	2022-01-11	4,516.07	435.44 D	-616.75	
PUT TSLA 01/21/22	1025	TESLA INC CUSIP: *8PKSGH4 Symbol: TSLA--	220121P01025000				
2022-01-20	1.000000	1,599.94	2022-01-20	1,900.04	0.00	-300.10	
PUT TSLA 01/21/22	1030	TESLA INC CUSIP: *8PKSGH6 Symbol: TSLA--	220121P01030000				
2022-01-20	1.000000	1,279.94	2022-01-20	1,448.04	0.00	-168.10	
CALL RIOT 01/14/22	21	RIOT BLOCKCHAIN INC CUSIP: *8SJLXV5 Symbol: RIOT--	220114C00021000				
2022-01-11	10.000000	819.56	2022-01-11	720.41	0.00	99.15	
PUT SPY 01/12/22	464	STANDARD & POORS DEPOSITORY CUSIP: *8SJQRG3 Symbol: SPY---	220112P00464000				
2022-01-11	2.000000	659.90	2022-01-11	530.08	0.00	129.82	
PUT TSLA 01/14/22	995	TESLA INC CUSIP: *8SJRLV7 Symbol: TSLA--	220114P00995000				
2022-01-10	1.000000	2,949.93	2022-01-10	4,046.04	0.00	-1,096.11	
PUT DDOG 01/28/22	130	DATADOG INC CL A CUSIP: *8SKMVW4 Symbol: DDOG--	220128P00130000				
2022-01-21	5.000000	2,674.76	2022-01-21	2,450.21	0.00	224.55	
PUT ROKU 01/28/22	150	ROKU INC CUSIP: *8SKPQH8 Symbol: ROKU--	220128P00150000				
2022-01-25	3.000000	1,229.86	2022-01-25	2,039.12	0.00	-809.26	
PUT BA 01/07/22	215	BOEING CO CUSIP: *8LCHVF6 Symbol: BA----	220107P00215000				
2022-01-05	30.000000	5,118.68	2022-01-05	4,872.08	120.85 D	246.60	
PUT IBM 01/14/22	135	INTL BUSINESS MACHINE CUSIP: *8PGHCK6 Symbol: IBM---	220114P00135000				
2022-01-07	15.000000	2,549.33	2022-01-07	3,195.63	0.00	-646.30	
PUT BA 01/07/22	217.50	BOEING CO CUSIP: *8PKJXX8 Symbol: BA----	220107P00217500				
2022-01-07	10.000000	479.56	2022-01-07	1,100.41	0.00	-620.85	
PUT BA 01/14/22	217.50	BOEING CO CUSIP: *8PKKBD2 Symbol: BA----	220114P00217500				
2022-01-07	3.000000	1,214.86	2022-01-07	1,275.12	0.00	-60.26	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2022 Form 1099-B*

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(continued)

02/08/2023

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.****1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT QCOM 01/07/22	195 QUALCOMM INC CUSIP: *8LRDJZ1 Symbol: QCOM--220107P00195000						
2022-01-05	10.000000	5,299.54	2022-01-05	4,100.41	0.00	1,199.13	
PUT QCOM 01/14/22	187.50 QUALCOMM INC CUSIP: *8LZYVZ4 Symbol: QCOM--220114P00187500						
2022-01-07	2.000000	879.90	2022-01-07	1,005.08	0.00	-125.18	
CALL SHOP 01/21/22	1200 SHOPIFY INC CUSIP: *8NJVTT2 Symbol: SHOP--220121C01200000						
2022-01-05	1.000000	5,099.92	2022-01-05	5,600.04	500.12 D	-500.12	
2022-01-07	1.000000	3,209.93	2022-01-07	4,400.16	0.00	-1,190.23	
Security Totals:		8,309.85		10,000.20		-1,690.35	
CALL AMD 02/04/22	137 ADVANCED MICRO DEVICES INC CUSIP: *8NPCKW8 Symbol: AMD---220204C00137000						
2022-01-06	2.000000	1,789.90	2022-01-05	1,780.08	0.00	9.82	
CALL CHWY 01/14/22	51 CHEWY INC CLASS A CUSIP: *8PJHML4 Symbol: CHWY--220114C00051000						
2022-01-07	5.000000	639.77	2022-01-07	900.21	0.00	-260.44	
PUT MU 01/21/22	94 MICRON TECHNOLOGY INC CUSIP: *8SKXQW1 Symbol: MU---220121P00094000						
2022-01-18	2.000000	297.90	2022-01-18	340.08	0.00	-42.18	
Totals:		275,850.09		304,490.60		-28,640.51	

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Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2022 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Future developments. For the latest information about developments related to forms and instructions, such as legislation enacted after they were published, go to www.irs.gov/forms-instructions.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Box 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

Boxes 14-16. State income tax withheld reporting boxes.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

1099-B Instructions to Recipients

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

- Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.
- Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).
- Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.
- Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.
- Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.
- Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.
- Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.
- Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.
- Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**
- Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2022.
- Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2021.
- Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2022. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2022 in 2023.
- Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2022 Form 6781.
- Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.
- Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.
- Boxes 14–16.** Show state(s)/local income tax information.

1099-MISC Instructions to Recipients

- Amounts shown may be subject to self-employment (SE) tax.** Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the appropriate line of their tax returns.
- Form 1099-MISC incorrect?** If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your information correctly.
- Box 1.** Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.
- Box 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.
- Box 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).
- Box 4.** Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.
- Box 5.** Shows the amount paid to you as a fishing boat crew member by the operator, who considers you to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.
- Box 6.** For individuals, report on Schedule C (Form 1040).
- Box 7.** If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).
- Box 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).
- Box 9.** Report this amount on Schedule F (Form 1040).
- Box 10.** Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.
- Box 11.** Shows the amount of cash you received for the sale of fish if you are in the trade or business of catching fish.
- Box 12.** May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A plus any earnings on current and prior year deferrals.
- Box 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.
- Box 14.** Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.
- Box 15.** Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.
- Boxes 16–18.** Show state or local income tax withheld from the payments.

1099-OID Instructions to Recipients

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, you generally must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

- Box 1.** Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.
- Box 2.** Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.
- Box 3.** Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you.

For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR). If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and is issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Boxes 12-14. State income tax withheld reporting.