Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Shyju Kallat 3305 River Heights Xing SE Marietta, GA 30067-4856 Master Account Number for Import: 127148708 **Document ID for Import:** 94D1G54F7R3

Enclosed is your 2023 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

127148708 - Robinhood Securities LLC

New for 2023 Tax Year - 1099 tax forms for Robinhood Markets Inc. will be displayed using an aggregated format based on key tax lot criteria. We have done this to reduce the size of your overall tax package, as well as to help make your tax documents easier to use. This new format still provides you with all the information you need to file your taxes.

Robinhood Retirements Accounts - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2023 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

Filing Your Taxes - If filing through tax service providers, such as TurboTax or H&R Block, please utilize the Master Account Number and Document ID listed above. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.



Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

85 Willow Road

Menlo Park, CA 94025 Customer Service: 650-940-2700

PAYER'S TIN: 46-4364776

Tax Information Account 127148708	Statement Date: 02/12/2024	2023
Shyju Kallat 3305 River Heights Xing SE Marietta, GA 30067-4856		
RECIPIENT'S TIN: XXX-XX-0635		

11 - [] FATCA filing requirement (see instructions)	Summar	y Information	13 - [] FATCA filing requirement (see instructions)	
DIVIDENDS AND DISTRIBUTIONS 2023 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2023 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	202.18	2- Royalties		0.00
1b- Qualified dividends	170.27	3- Other income		0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends or int	terest	0.00
2c- Section 1202 gain	0.00			
2d- Collectibles (28%) gain	0.00	SECTION 1256 CONTRACTS	2023 1099-B*	OMB No. 1545-0715
2e- Section 897 ordinary dividends	0.00		tue ete	
2f- Section 897 capital gain	0.00	8- Profit or (loss) realized in 2023 on closed con		0.00
3- Nondividend distributions	10.91	9- Unrealized profit or (loss) on open contracts-1		0.00
4- Federal income tax withheld	0.00	10- Unrealized profit or (loss) on open contracts-1	12/31/2023	0.00
5- Section 199A dividends	24.57	 Aggregate profit or (loss) on contracts 		0.00
6- Investment expenses	0.00	If applicable, proceeds from sale transactions	appear summarized held	aw and are
8- Foreign country or US possession: 7- Foreign tax paid:	0.00	detailed in subsequent sections of this docume		ow and are
9- Cash liquidation distributions	0.00	•		Camilaa
10- Noncash liquidation distributions	0.00	* This is important tax information and is being furnishe		
12- Exempt-interest dividends (includes line 13)	0.00	If you are required to file a return, a negligence penalty		
13- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and the IRS determines that	it it nas not been report	ea.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	0.00	0.00	0.00	0.00	0.00
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	0.00	0.00	0.00	0.00	0.00
Withholding		Amount				
Federal income	e tax withheld	0.00				

8

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
Summary Information
(continued)

Account 127148708

02/12/2024

INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)2- Early withdrawal penalty3- Interest on US Savings Bonds & Treasury obligations	0.00 0.00 0.00
4- Federal income tax withheld	0.00 0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots) 14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement []	0.00

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid	0.00 0.00 0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	111.76
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	9.45
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Detail for Dividends and Distributions

Account 127148708

2023 02/12/2024

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, Section 897 dividends and capital gains, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries, The IRS has indicated that boxes 2e and 2f are not applicable to recipients that are U.S. individuals. You should consult your tax advisor for further information.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
CATALYST BIOSCIENCES, INC. COM MON STOCK	14888D208		01/13/23	87.52	Qualified dividend	
CATALYST BIOSCIENCES, INC. COM MON	148CVR012		03/09/23	2.39	Qualified dividend	
STOCK CVR			06/05/23	40.53	Qualified dividend	
			08/21/23	17.37	Qualified dividend	
				60.29	Total Dividends & distributions	
CREDIT SUISSE HIGH YIELD BOND FUND	22544F103		01/25/23	0.60	Nonqualified dividend	03
			01/25/23	0.07	Nondividend distribution	03
			02/23/23	0.60	Nonqualified dividend	03
			02/23/23	0.07	Nondividend distribution	03
			03/22/23	0.60	Nonqualified dividend	03
			03/22/23	0.07	Nondividend distribution	03
			04/25/23	0.60	Nonqualified dividend	03
			04/25/23	0.07	Nondividend distribution	03
			05/22/23	0.60	Nonqualified dividend	03
			05/22/23	0.07	Nondividend distribution	03
			06/23/23	0.60	Nonqualified dividend	03
			06/23/23	0.07	Nondividend distribution	03
			07/25/23	0.60	Nonqualified dividend	03
			07/25/23	0.07	Nondividend distribution	03
			08/22/23	0.60	Nonqualified dividend	03
			08/22/23	0.07	Nondividend distribution	03
			09/25/23	0.60	Nonqualified dividend	03
			09/25/23	0.07	Nondividend distribution	03
			10/24/23	0.60	Nonqualified dividend	03

8

Robinhood Markets Inc. as agent for Robinhood Securities LLC

Detail for Dividends and Distributions

Account 127148708

2023 02/12/2024 (continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
CREDIT SUISSE HIGH YIELD BOND FUND			10/24/23	0.07	Nondividend distribution	03
(cont'd)			11/24/23	0.67	Nonqualified dividend	03
			12/22/23	0.67	Nonqualified dividend	03
				8.04	Total Dividends & distributions	
MFA FINANCIAL, INC	55272X607		01/31/23	5.86	Section 199A dividend	03
			01/31/23	2.44	Nondividend distribution	03
			04/28/23	6.04	Section 199A dividend	03
			04/28/23	2.51	Nondividend distribution	03
			07/31/23	6.24	Section 199A dividend	03
			07/31/23	2.59	Nondividend distribution	03
			10/31/23	6.43	Section 199A dividend	03
			10/31/23	2.67	Nondividend distribution	03
				34.78	Total Dividends & distributions	
PITNEY BOWES INC.	724479100		03/14/23	5.50	Qualified dividend	
			06/08/23	5.57	Qualified dividend	
			09/08/23	5.65	Qualified dividend	
			12/06/23	5.74	Qualified dividend	
				22.46	Total Dividends & distributions	
				213.09	Total Dividends & distributions	

5 of

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
Other Receipts and Reconciliations

2023

Account 127148708

02/12/2024

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
MFA FINANCIAL, INC	55272X607	01/31/24	9.45	Deferred income payment	03,24
PLAINS ALL AMERICAN PIPELINE, L.P.	726503105	02/14/23	27.11	Partnership distribution	
OMMON UNITS REPRESENTING LIMITED		05/15/23	27.67	Partnership distribution	
PARTNER INTERESTS		08/14/23	28.24	Partnership distribution	
		11/14/23	28.74	Partnership distribution	
			111.76	Total Partnership distribution	
		_	9.45	Total Deferred income payment	
			111.76	Total Partnership distribution	

Page

6 of

8

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
Non-Reportable Actions

02/12/2024

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CATALYST BIOSCIENCES, INC. COM MON STOCK CVR	148CVR012	01/17/23	364.67	0.00	Stock spinoff	
HEXO CORP. COMMON SHARES	428304406	06/23/23	-3.57	0.00	Merger	
TILRAY BRANDS, INC. COMMON STO CK	88688T100	06/23/23	1.55	0.00	Merger	

7 of

8

Robinhood Markets Inc. as agent for Robinhood Securities LLC

2023 End Notes for Account 127148708

03	The tax character of the distribution has been allocated based on information provided by the security issued	r.

Although distributions by mutual funds or REITs are ordinarily reported in the year of record date (2023), the payer will not determine the tax character of this distribution until the conclusion of 2024. It will be reported on your tax statement for that year.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040). If required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a. Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit

on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8338

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 12. See the Instructions for Form 6251.

Lines 14-16. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.