

Apex Clearing

One Dallas Center
350 North St Paul, Ste 1300
Dallas, TX 75201

IMPORTANT TAX DOCUMENTS ENCLOSED

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
888-828-0618

CHIRANJEEVI BHASKARUNI
10535 N MACARTHUR BLVD 1076
IRVING, TX 75063-5296

IMPORTANT YEAR END MESSAGES

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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One Dallas Center
350 North St Paul, Ste 1300
Dallas, TX 75201

Customer Service: 214-765-1009
Payer's Federal ID No: 13-2967453

IN ACCOUNT WITH
WEBULL FINANCIAL LLC
888-828-0618

Account 5KV85164

CHIRANJEEVI BHASKARUNI
10535 N MACARTHUR BLVD 1076
IRVING, TX 75063-5296

RECIPIENT'S ID No: XXX-XX-1436

Form 1099 Composite**2023**

Statement Date: 2024-02-10

 CorrectedFATCA filing Requirement **Tax Reporting Statement**

Dividends and Distributions	2023 Form1099DIV	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b)	157.43	
1b- Qualified Dividends	149.24	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d)	0.00	
2b- Unrecaptured Section 1250 Gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 ordinary dividends	0.00	
2f- Section 897 capital gain	0.00	
3- Nondividend Distributions	0.00	
4- Federal Income Tax Withheld	0.00	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign Tax Paid	4.46	
8- Foreign Country or U.S. Possessions		
9- Cash Liquidation Distribution	0.00	
10- Noncash Liquidation Distributions	0.00	
12- Exempt-Interest Dividends	0.00	
13- Specified Private Activity Bond Interest Dividends	0.00	

Interest Income	2023 Form1099INT	OMB No. 1545-0112
1- Interest Income	0.00	
2- Early Withdrawal Penalty	0.00	
3- Interest on US Savings Bonds & Treasury Obligations	0.00	
4- Federal Income Tax Withheld	0.00	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

Miscellaneous Information	2023 Form1099MISC	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
4- Federal Income Tax Withheld	0.00	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS	
	2023 Form1099B
	OMB No. 1545-0715
8- Profit or (loss) realized in 2023 on closed contracts	-922.76
9- Unrealized profit or (loss) on open contracts - 12/31/2022	0.00
10- Unrealized profit or (loss) on open contracts - 12/31/2023	0.00
11- Aggregate profit or (loss) on contracts	-922.76
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>	

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Dividends may be reclassified for tax purposes after the original Form 1099 is sent in certain cases where information from issuers is received late. This may require us to provide you an amended tax form.

C - Corrected

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Tax Summary

2023

5KV85164

2024-02-10

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	170,805.90	160,313.22	0.00	2,744.33	13,237.01
Short-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Short-term	170,805.90	160,313.22	0.00	2,744.33	13,237.01
Long-term transactions for covered tax lots	3.92	394.16	0.00	0.00	-390.24
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Long-term	3.92	394.16	0.00	0.00	-390.24
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term	0.00	0.00	0.00	0.00	0.00

Original Issue Discount

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount - Covered Positions	0.00
Acquisition Premium - Covered Positions	0.00
Bond Premium - Covered Positions	0.00
Tax-Exempt OID - Covered Positions	0.00
Tax Exempt OID - Specified Private Activity Bonds - Covered Positions	0.00
Investment Expenses	0.00

Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the Form1099-OID section of this document.

FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	0.00
1099-DIV Total:	0.00
1099-INT Total:	0.00
1099-MISC Total:	0.00
1099-OID Total:	0.00

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

2024-02-10

OMB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B - Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes", cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as "Box 2 - Ordinary." In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as "Box 3 - Collectibles Box Checked." Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as "Box 7 - Loss Disallowed Box Checked." Disposition of a QOF investment is noted in the Additional Notes column as "Box 3 - QOF."

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement []

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL BABA 08/18/23	85	ALIBABA GROUP HOLDING LIMITED CUSIP: *8BRTMM3 Symbol: BABA--230818C00085000	2023-07-07	470.05	0.00	424.88	
2023-07-07	1.0	894.93	2023-07-05	470.05	0.00	424.88	
CALL BABA 08/18/23	105	ALIBABA GROUP HOLDING LIMITED CUSIP: *8BRTMR8 Symbol: BABA--230818C00105000	2023-07-28	612.15	0.00	295.66	
2023-07-28	3.0	907.81	2023-07-25	612.15	0.00	295.66	
CALL BABA 08/18/23	110	ALIBABA GROUP HOLDING LIMITED CUSIP: *8BRTMS6 Symbol: BABA--230818C00110000	2023-03-31	1,020.05	0.00	-50.12	
2023-03-31	1.0	969.93	2023-02-17	1,020.05	0.00	-50.12	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL BABA 10/20/23	85	ALIBABA GROUP HOLDING LIMITED CUSIP: *8BRTYW0 Symbol: BABA--231020C00085000					
2023-07-12	1.0	1,319.92	2023-06-28	830.05	0.00	489.87	
CALL BABA 12/15/23	85	ALIBABA GROUP HOLDING LIMITED CUSIP: *8BRVLX7 Symbol: BABA--231215C00085000					
2023-07-24	1.0	1,679.92	2023-06-29	985.05	0.00	694.87	
CALL DIS 08/18/23	95	WALT DISNEY CO CUSIP: *8BRVTX2 Symbol: DIS---230818C00095000					
2023-08-18	10.0	0.00	Various	1,360.50	0.00	-1,360.50	
CALL DIS 08/18/23	105	WALT DISNEY CO CUSIP: *8BRVVW2 Symbol: DIS---230818C00105000					
2023-07-25	3.0	52.82	2023-07-25	54.15	0.00	-1.33	
CALL DIS 05/19/23	105	WALT DISNEY CO CUSIP: *8BRXHY2 Symbol: DIS---230519C00105000					
2023-04-24	1.0	169.93	2023-04-21	155.05	0.00	14.88	
CALL NFLX 01/19/24	410	NETFLIX INC CUSIP: *8BSGQY3 Symbol: NFLX--240119C00410000					
2023-10-10	1.0	2,251.93	2023-09-29	2,487.05	0.00	-235.12	
CALL GOOGL 05/19/23	110	ALPHABET INC CLASS A CUSIP: *8BSPNB2 Symbol: GOOGL-230519C00110000					
2023-03-28	1.0	221.93	2023-03-28	225.05	0.00	-3.12	
CALL AA 10/20/23	40	ALCOA CORPORATION CUSIP: *8BSXDQ4 Symbol: AA----231020C00040000					
2023-07-14	1.0	197.93	2023-07-12	203.05	5.12 D	-0.00	
2023-10-20	1.0	0.00	2023-07-31	176.17	0.00	-176.17	
Security Totals:		197.93		379.22		-176.17	
CALL FSR 09/15/23	10	FISKER INC CL A CUSIP: *8BTVHT7 Symbol: FSR---230915C00010000					
2023-09-15	2.0	0.00	2023-07-31	36.10	0.00	-36.10	
CALL FSR 12/15/23	7	FISKER INC CL A CUSIP: *8BTWFP1 Symbol: FSR---231215C00007000					
2023-12-15	2.0	0.00	Various	244.10	0.00	-244.10	
CALL SE 03/15/24	50	SEA LTD CUSIP: *8BVCFR3 Symbol: SE----240315C00050000					
2023-10-17	1.0	729.94	2023-09-13	310.05	0.00	419.89	
CALL IWM 07/26/23	197	ISHARES RUSSELL 2000 ETF CUSIP: *8BVKBR0 Symbol: IWM---230726C00197000					
2023-07-26	2.0	91.88	2023-07-26	50.10	0.00	41.78	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AI 06/30/23	34	C3 AI INC CLASS A CUSIP: *8BVVLC4 Symbol: AI----	2023-06-28	200.10	0.00	139.77	
C3 AI INC CLASS A CUSIP: *8BVVLC4 Symbol: AI----230630C00034000							
PUT KRE 04/06/23	31	SPDR KBW REGIONAL BANKING ETF CUSIP: *8BWLTC8 Symbol: KRE---	2023-03-23	22.10	0.00	23.78	
SPDR KBW REGIONAL BANKING ETF CUSIP: *8BWLTC8 Symbol: KRE---230406P00031000							
CALL QQQ 07/24/23	391	INVESCO QQQ TRUST SERIES 1 CUSIP: *8BWPSS4 Symbol: QQQ---	2023-07-14	94.10	0.00	107.77	
INVESCO QQQ TRUST SERIES 1 CUSIP: *8BWPSS4 Symbol: QQQ---230724C00391000							
CALL ADBE 07/14/23	515	ADOBE INC CUSIP: *8CRYDF2 Symbol: ADBE--	2023-07-12	236.05	85.12 D	0.00	
ADOBE INC CUSIP: *8CRYDF2 Symbol: ADBE--230714C00515000							
	1.0	150.93	2023-07-12	299.17	0.00	-19.24	
	1.0	279.93	2023-07-12				
Security Totals:		430.86		535.22		-19.24	
CALL AI 08/11/23	59	C3 AI INC CLASS A CUSIP: *8CSXCW5 Symbol: AI----	2023-08-11	114.15	0.00	-114.15	
C3 AI INC CLASS A CUSIP: *8CSXCW5 Symbol: AI----230811C00059000							
CALL VERI 02/17/23	10	VERITONE INC CUSIP: *8CTQBJ1 Symbol: VERI--	2023-02-03	110.05	0.00	-5.12	
VERITONE INC CUSIP: *8CTQBJ1 Symbol: VERI--230217C00010000							
CALL BB 09/15/23	5	BLACKBERRY LIMITED CUSIP: *8CVDSR9 Symbol: BB----	2023-07-31	201.35	0.00	78.27	
BLACKBERRY LIMITED CUSIP: *8CVDSR9 Symbol: BB----230915C00005000							
	7.0	279.62	Various	56.10	0.00	59.77	
	2.0	115.87	2023-07-21	28.05	0.00	4.88	
	1.0	32.93	2023-07-21				
Security Totals:		428.42		285.50		142.92	
CALL NKE 10/20/23	135	NIKE INC CLASS B CUSIP: *8G14842 Symbol: NKE---	2023-10-20	120.15	0.00	-120.15	
NIKE INC CLASS B CUSIP: *8G14842 Symbol: NKE---231020C00135000							
CALL COIN 08/18/23	180	COINBASE GLOBAL INC CUSIP: *8G34782 Symbol: COIN--	2023-08-18	48.30	0.00	-48.30	
COINBASE GLOBAL INC CUSIP: *8G34782 Symbol: COIN--230818C00180000							
PUT GS 08/18/23	340	GOLDMAN SACHS GROUP INC CUSIP: *8GBXJF1 Symbol: GS----	2023-07-25	178.05	0.00	11.88	
GOLDMAN SACHS GROUP INC CUSIP: *8GBXJF1 Symbol: GS----230818P00340000							

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL EL 10/20/23	175	ESTEE LAUDER COMPANIES INC CUSIP: *8GDHKW5 Symbol: EL----		231020C00175000			
2023-10-20	1.0	0.00	2023-08-04	940.05	0.00	-940.05	
CALL CRDO 03/17/23	20	CREDO TECHNOLOGY GRP HLDG LTD CUSIP: *8GFLZG8 Symbol: CRDO--		230317C00020000			
2023-02-09	2.0	139.88	2023-02-02	140.10	0.00	-0.22	
CALL RMBS 07/21/23	65	RAMBUS INC CUSIP: *8GLNRJ2 Symbol: RMBS--		230721C00065000			
2023-07-07	1.0	69.93	2023-07-05	148.05	0.00	-78.12	
CALL CRWD 02/17/23	120	CROWDSTRIKE HOLDINGS INC CL A CUSIP: *8GPQCW0 Symbol: CRWD--		230217C00120000			
2023-02-03	2.0	525.86	2023-02-03	658.22	51.12 D	-81.24	
CALL LVS 09/15/23	75	LAS VEGAS SANDS CORP CUSIP: *8GWRRP4 Symbol: LVS---		230915C00075000			
2023-09-15	20.0	0.00	Various	240.98	0.00	-240.98	
PUT AXP 04/21/23	150	AMERICAN EXPRESS COMPANY CUSIP: *8GXTGZ3 Symbol: AXP---		230421P00150000			
2023-03-13	2.0	919.88	2023-03-10	440.10	0.00	479.78	
CALL BABA 04/21/23	90	ALIBABA GROUP HOLDING LIMITED CUSIP: *8GXTTP9 Symbol: BABA--		230421C00090000			
2023-03-17	2.0	403.88	2023-03-16	394.10	0.00	9.78	
PUT XPEV 05/19/23	8	XPENG INC ADR CLASS A CUSIP: *8GYXLC0 Symbol: XPEV--		230519P00008000			
2023-05-08	2.0	3.88	2023-04-21	48.10	0.00	-44.22	
PUT ANET 04/21/23	152.50	ARISTA NETWORKS INC CUSIP: *8H36792 Symbol: ANET--		230421P00152500			
2023-03-31	1.0	99.93	2023-03-24	215.05	0.00	-115.12	
CALL TGT 06/09/23	137	TARGET CORP CUSIP: *8K31827 Symbol: TGT---		230609C00137000			
2023-06-09	1.0	0.00	2023-06-01	93.05	0.00	-93.05	
CALL RIVN 12/08/23	17	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8K70904 Symbol: RIVN--		231208C00017000			
2023-11-28	1.0	77.94	2023-11-28	43.04	0.00	34.90	
CALL JPM 04/21/23	140	JPMORGAN CHASE & CO CUSIP: *8K73032 Symbol: JPM---		230421C00140000			
2023-03-23	2.0	131.88	2023-03-14	360.10	0.00	-228.22	
CALL AMZN 11/17/23	135	AMAZON.COM INC CUSIP: *8KCPKR0 Symbol: AMZN--		231117C00135000			
2023-08-04	1.0	1,494.92	2023-07-21	880.05	0.00	614.87	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL QQQ 06/29/23	365	INVESCO QQQ TRUST SERIES 1 CUSIP: *8KCSRR4 Symbol: QQQ---230629C00365000					
2023-06-29	1.0	44.93	2023-06-29	95.05	0.00	-50.12	
CALL SHOP 08/18/23	70	SHOPIFY INC CL A CUSIP: *8KDRVF4 Symbol: SHOP--230818C00070000					
2023-07-13	1.0	494.93	2023-07-12	310.05	0.00	184.88	
CALL UPST 08/25/23	80	UPSTART HOLDINGS INC CUSIP: *8KFCVF5 Symbol: UPST--230825C00080000					
2023-07-25	1.0	684.93	2023-07-19	400.05	0.00	284.88	
CALL BABA 06/16/23	110	ALIBABA GROUP HOLDING LIMITED CUSIP: *8KFVYT6 Symbol: BABA--230616C00110000					
2023-06-16	5.0	0.00	Various	470.25	0.00	-470.25	
CALL CRM 08/18/23	217.50	SALESFORCE INC CUSIP: *8KHFY1 Symbol: CRM---230818C00217500					
2023-08-04	1.0	359.93	2023-08-04	370.05	0.00	-10.12	
CALL GDV 08/18/23	33.50	VANECK GOLD MINERS ETF CUSIP: *8KHPLW2 Symbol: GDV---230818C00033500					
2023-07-25	3.0	112.80	2023-07-25	111.15	0.12 D	1.77	
2023-07-27	3.0	50.82	2023-07-26	87.27	0.00	-36.45	
Security Totals:		163.62		198.42		-34.68	
CALL QRVO 03/17/23	115	QORVO INC CUSIP: *8KHZGL0 Symbol: QRVO--230317C00115000					
2023-02-09	1.0	164.93	2023-02-09	235.05	0.00	-70.12	
CALL BABA 01/05/24	76	ALIBABA GROUP HOLDING LIMITED CUSIP: *8KJQQS5 Symbol: BABA--240105C00076000					
2023-12-28	5.0	1,224.78	2023-12-27	820.20	0.00	404.58	
CALL AAL 01/19/24	14	AMERICAN AIRLINES GROUP INC CUSIP: *8KJVS55 Symbol: AAL---240119C00014000					
2023-12-14	3.0	283.84	Various	143.13	0.00	140.71	
PUT BA 01/05/24	255	BOEING CO CUSIP: *8KKCPT3 Symbol: BA---240105P00255000					
2023-12-29	1.0	99.94	2023-12-28	193.04	0.00	-93.10	
CALL RBLX 10/20/23	30	ROBLOX CORPORATION CUSIP: *8KKFSF6 Symbol: RBLX--231020C00030000					
2023-09-12	1.0	133.93	2023-08-23	95.05	0.00	38.88	
CALL ENPH 07/07/23	170	ENPHASE ENERGY INC CUSIP: *8KLQHC6 Symbol: ENPH--230707C00170000					
2023-06-30	1.0	210.93	2023-06-28	211.05	0.00	-0.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 02/01/23	414	STANDARD & POORS DEPOSITORY CUSIP: *8KLZDY8 Symbol: SPY---230201C00414000					
2023-02-01	1.0	15.93	2023-02-01	33.05	0.00	-17.12	
CALL SPY 02/23/23	402	STANDARD & POORS DEPOSITORY CUSIP: *8KMVYP6 Symbol: SPY---230223C00402000					
2023-02-23	1.0	49.93	2023-02-23	74.05	0.00	-24.12	
CALL CHPT 11/17/23	10	CHARGEPOINT HOLDINGS INC CUSIP: *8KNMFL9 Symbol: CHPT--231117C00010000					
2023-11-17	3.0	0.00	Various	245.15	0.00	-245.15	
CALL TDOC 10/20/23	30	TELADOC HEALTH INC CUSIP: *8KNMXQ7 Symbol: TDOC--231020C00030000					
2023-07-25	1.0	70.93	2023-07-19	155.05	0.00	-84.12	
CALL M 01/19/24	12	MACYS INC CUSIP: *8KQYDQ9 Symbol: M-----240119C00012000					
2023-11-15	1.0	138.94	2023-09-14	89.05	0.00	49.89	
CALL KD 03/17/23	17.50	KYNDRYL HOLDINGS INCORPORATION CUSIP: *8KRMPW0 Symbol: KD----230317C00017500					
2023-02-14	1.0	54.93	2023-02-13	55.05	0.00	-0.12	
2023-02-15	2.0	109.88	2023-02-13	110.10	0.00	-0.22	
Security Totals:		164.81		165.15		-0.34	
CALL QS 07/21/23	12	QUANTUMSCAPE CORPORATION CL A CUSIP: *8KRPNN2 Symbol: QS----230721C00012000					
2023-07-13	3.0	65.82	2023-07-12	102.15	36.33 D	-0.00	
2023-07-14	3.0	53.83	2023-07-13	114.48	60.65 D	-0.00	
2023-07-18	5.0	84.73	2023-07-17	100.90	0.00	-16.17	
2023-07-21	5.0	0.00	2023-07-17	40.24	0.00	-40.24	
Security Totals:		204.38		357.77		-56.41	
CALL S 01/19/24	19	SENTINELONE INC CUSIP: *8KSFXX6 Symbol: S-----240119C00019000					
2023-11-15	2.0	233.89	Various	255.09	0.00	-21.20	
PUT AAPL 02/17/23	149	APPLE INC CUSIP: *8KSHDF6 Symbol: AAPL--230217P00149000					
2023-02-09	2.0	455.87	2023-02-09	300.10	0.00	155.77	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

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Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AMZN 02/17/23 103 AMAZON.COM INC CUSIP: *8KSMGM7 Symbol: AMZN--230217C00103000							
2023-01-26	1.0	330.94	2023-01-26	330.04	0.00	0.90	
2023-01-27	1.0	484.93	2023-01-26	335.04	0.00	149.89	
Security Totals:		815.87		665.08		150.79	
CALL LCID 03/17/23 12 LUCID GROUP INC CUSIP: *8KSVJY1 Symbol: LCID--230317C00012000							
2023-01-27	1.0	259.94	2023-01-27	150.04	0.00	109.90	
CALL LCID 03/17/23 15 LUCID GROUP INC CUSIP: *8KSVKL1 Symbol: LCID--230317C00015000							
2023-01-27	1.0	319.94	2023-01-27	170.04	0.00	149.90	
2023-02-02	1.0	107.93	2023-01-27	167.04	0.00	-59.11	
Security Totals:		427.87		337.08		90.79	
CALL AMZN 07/21/23 136 AMAZON.COM INC CUSIP: *8KTNPX1 Symbol: AMZN--230721C00136000							
2023-07-13	1.0	122.93	2023-07-12	47.05	0.00	75.88	
CALL PM 03/17/23 105 PHILIP MORRIS INTERNATIONAL CUSIP: *8KVMKV0 Symbol: PM----230317C00105000							
2023-02-01	1.0	299.93	2023-01-25	255.04	0.00	44.89	
2023-02-07	1.0	119.93	2023-02-01	295.05	0.00	-175.12	
Security Totals:		419.86		550.09		-130.23	
CALL AFRM 06/21/24 17.50 AFFIRM HOLDINGS INC CL A CUSIP: *8KVXSS1 Symbol: AFRM--240621C00017500							
2023-11-15	1.0	1,118.94	2023-08-04	545.05	0.00	573.89	
CALL GOOGL 02/17/23 96 ALPHABET INC CLASS A CUSIP: *8KVYFM0 Symbol: GOOGL-230217C00096000							
2023-02-09	2.0	393.88	2023-02-09	374.10	0.00	19.78	
PUT GOOGL 02/17/23 103 ALPHABET INC CLASS A CUSIP: *8KVYKP5 Symbol: GOOGL-230217P00103000							
2023-02-06	2.0	519.86	2023-02-03	240.10	0.00	279.76	
CALL MARA 01/05/24 12.50 MARATHON DIGITAL HOLDINGS INC CUSIP: *8KWSBG3 Symbol: MARA--240105C00012500							
2023-12-08	1.0	417.94	2023-11-28	117.04	0.00	300.90	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL IMAX 05/19/23	21 IMAX CORP CUSIP: *8KWXDC0 Symbol: IMAX--230519C00021000						
2023-04-12	1.0	129.93	2023-03-23	40.05	0.00	89.88	
2023-04-17	1.0	89.93	2023-03-23	40.05	0.00	49.88	
Security Totals:		219.86		80.10		139.76	
CALL BA 07/21/23	215 BOEING CO CUSIP: *8KXJYT4 Symbol: BA----230721C00215000						
2023-07-21	1.0	119.93	2023-07-20	88.05	0.00	31.88	
CALL NVDA 07/07/23	420 NVIDIA CORPORATION CUSIP: *8KXTZF9 Symbol: NVDA--230707C00420000						
2023-06-30	4.0	4,102.74	2023-06-28	2,676.20	0.00	1,426.54	
CALL NVDA 07/07/23	445 NVIDIA CORPORATION CUSIP: *8KXTZV6 Symbol: NVDA--230707C00445000						
2023-06-30	4.0	731.76	2023-06-28	644.20	0.00	87.56	
CALL MDLZ 02/17/23	67 MONDELEZ INTERNATIONAL INC CUSIP: *8KYLVD6 Symbol: MDLZ--230217C00067000						
2023-02-07	2.0	14.87	2023-02-01	200.10	0.00	-185.23	
CALL SE 08/18/23	70 SEA LTD CUSIP: *8KYNLR5 Symbol: SE----230818C00070000						
2023-07-12	1.0	214.93	2023-07-10	148.05	0.00	66.88	
CALL IWM 11/17/23	190 ISHARES RUSSELL 2000 ETF CUSIP: *8KYSSV1 Symbol: IWM---231117C00190000						
2023-11-17	5.0	0.00	Various	350.21	0.00	-350.21	
CALL COIN 12/15/23	90 COINBASE GLOBAL INC CUSIP: *8KYSTB4 Symbol: COIN--231215C00090000						
2023-11-20	1.0	1,724.93	2023-08-04	1,725.05	0.00	-0.12	
CALL PYPL 01/05/24	65 PAYPAL HOLDINGS INC CUSIP: *8KYTLX7 Symbol: PYPL--240105C00065000						
2023-12-29	2.0	37.90	2023-12-18	140.08	0.00	-102.18	
CALL SPY 07/07/23	445 STANDARD & POORS DEPOSITORY CUSIP: *8KZMLK6 Symbol: SPY---230707C00445000						
2023-07-07	1.0	1.93	2023-06-30	147.05	0.00	-145.12	
CALL GS 07/21/23	330 GOLDMAN SACHS GROUP INC CUSIP: *8KZNPP8 Symbol: GS----230721C00330000						
2023-07-10	1.0	291.93	2023-07-07	215.05	0.00	76.88	
CALL SMAR 08/18/23	40 SMARTSHEET INC CLASS A CUSIP: *8KZVYV5 Symbol: SMAR--230818C00040000						
2023-07-12	1.0	299.93	2023-07-03	170.05	0.00	129.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SQQQ 02/17/23	35 PROSHARES ULTRAPRO SHORT QQQ CUSIP: *8LBMNR3 Symbol: SQQQ--230217C00035000						
2023-02-15	3.0	236.83	2023-02-15	258.15	0.00	-21.32	
CALL SQQQ 02/17/23	36 PROSHARES ULTRAPRO SHORT QQQ CUSIP: *8LBMNS7 Symbol: SQQQ--230217C00036000						
2023-02-14	1.0	138.93	2023-02-13	154.05	0.00	-15.12	
CALL DIS 11/17/23	90 WALT DISNEY CO CUSIP: *8LBNSC4 Symbol: DIS---231117C00090000						
2023-11-09	2.0	333.90	Various	277.10	0.00	56.80	
CALL KWEB 11/17/23	32 KRANESHARES CSI CHINA INTERNET CUSIP: *8LCQHG9 Symbol: KWEB--231117C00032000						
2023-11-17	5.0	0.00	2023-08-29	650.25	0.00	-650.25	
CALL TRUP 08/18/23	30 TRUPANION INC CUSIP: *8LDNPK6 Symbol: TRUP--230818C00030000						
2023-07-14	1.0	209.93	2023-07-12	220.05	0.00	-10.12	
CALL AMD 06/30/23	112 ADVANCED MICRO DEVICES INC CUSIP: *8LDVY9 Symbol: AMD---230630C00112000						
2023-06-28	1.0	81.93	2023-06-28	44.05	0.00	37.88	
CALL SPY 03/06/23	400 STANDARD & POORS DEPOSITORY CUSIP: *8LDZVG3 Symbol: SPY---230306C00400000						
2023-03-01	1.0	113.93	2023-03-01	96.05	0.00	17.88	
CALL JD 12/15/23	35 JD.COM INC ADS CUSIP: *8LFWMD9 Symbol: JD----231215C00035000						
2023-07-24	1.0	649.93	2023-06-29	430.05	0.00	219.88	
CALL JD 12/15/23	45 JD.COM INC ADS CUSIP: *8LFWMH7 Symbol: JD----231215C00045000						
2023-07-12	3.0	707.83	Various	478.15	0.00	229.68	
CALL TSM 03/15/24	120 TAIWAN SEMICONDUCTOR MFG CO CUSIP: *8LHKDT4 Symbol: TSM---240315C00120000						
2023-11-15	3.0	293.86	Various	254.13	0.00	39.73	
CALL NKE 01/19/24	97.50 NIKE INC CLASS B CUSIP: *8LKFGX3 Symbol: NKE---240119C00097500						
2023-10-10	1.0	629.94	2023-09-28	315.05	0.00	314.89	
CALL QQQ 03/02/23	291 INVESCO QQQ TRUST SERIES 1 CUSIP: *8LLDMX2 Symbol: QQQ---230302C00291000						
2023-03-02	2.0	79.86	2023-03-02	170.22	32.12 D	-58.24	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AMZN 04/06/23	100	AMAZON.COM INC CUSIP: *8LQTML1 Symbol: AMZN--230406C00100000					
2023-03-21	1.0	264.93	2023-03-21	250.05	0.00	14.88	
2023-03-30	1.0	319.93	2023-03-29	186.05	0.00	133.88	
Security Totals:		584.86		436.10		148.76	
CALL NFLX 09/15/23	485	NETFLIX INC CUSIP: *8LRRTM8 Symbol: NFLX--230915C00485000					
2023-09-15	2.0	0.00	Various	628.10	0.00	-628.10	
CALL SPY 03/17/23	400	STANDARD & POORS DEPOSITORY CUSIP: *8LRZFB8 Symbol: SPY---230317C00400000					
2023-03-17	1.0	0.00	2023-03-17	16.05	0.00	-16.05	
CALL SPY 03/17/23	430	STANDARD & POORS DEPOSITORY CUSIP: *8LRZFZ4 Symbol: SPY---230317C00430000					
2023-02-17	1.0	57.93	2023-02-16	113.05	0.00	-55.12	
CALL QQQ 02/06/23	300	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LSLCT0 Symbol: QQQ---230206C00300000					
2023-02-01	1.0	224.93	2023-02-01	225.05	0.00	-0.12	
PUT CRM 04/06/23	180	SALESFORCE INC CUSIP: *8LSMBG4 Symbol: CRM---230406P00180000					
2023-03-29	1.0	31.93	2023-03-28	75.05	0.00	-43.12	
CALL SQ 02/24/23	86	BLOCK INC CUSIP: *8LSMYN0 Symbol: SQ---230224C00086000					
2023-02-15	2.0	515.87	2023-02-09	544.10	0.00	-28.23	
CALL BABA 12/19/25	95	ALIBABA GROUP HOLDING LIMITED CUSIP: *8LSQRD6 Symbol: BABA--251219C00095000					
2023-07-24	1.0	2,874.91	2023-07-12	2,855.05	0.00	19.86	
CALL BABA 12/19/25	220	ALIBABA GROUP HOLDING LIMITED CUSIP: *8LSQRK3 Symbol: BABA--251219C00220000					
2023-07-13	1.0	579.93	2023-07-12	565.05	0.00	14.88	
CALL AI 03/03/23	24	C3 AI INC CLASS A CUSIP: *8LSXDT9 Symbol: AI----230303C00024000					
2023-02-27	2.0	169.88	2023-02-24	220.10	0.00	-50.22	
CALL CRM 03/10/23	172.50	SALESFORCE INC CUSIP: *8LTCMG4 Symbol: CRM---230310C00172500					
2023-03-01	1.0	454.93	2023-02-24	300.05	0.00	154.88	
PUT GOOGL 04/06/23	101	ALPHABET INC CLASS A CUSIP: *8LTMTL4 Symbol: GOOGL-230406P00101000					
2023-03-24	1.0	114.93	2023-03-23	92.05	0.00	22.88	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL MARA 04/06/23	8	MARATHON DIGITAL HOLDINGS INC CUSIP: *8LTTZX7 Symbol: MARA--230406C00008000	2023-03-27	89.05	0.00	-52.12	
PUT META 04/06/23	190	META PLATFORMS INC CL A CUSIP: *8LTVJX1 Symbol: META--230406P00190000	2023-04-06	175.05	0.00	-175.05	
PUT BAC 04/21/23	25.50	BANK OF AMERICA CORP CUSIP: *8LVSWH6 Symbol: BAC---230421P00025500	2023-03-23	124.10	0.00	55.78	
PUT COF 03/31/23	78	CAPITAL ONE FINANCIAL CORPRTN CUSIP: *8LVWBB0 Symbol: COF---230331P00078000	2023-03-23	55.10	0.00	14.78	
CALL HD 11/17/23	400	HOME DEPOT INC CUSIP: *8LWGMG9 Symbol: HD----231117C00400000	2023-07-25	370.49	0.00	178.99	
CALL SPY 03/15/23	394	STANDARD & POORS DEPOSITORY CUSIP: *8LWHLC4 Symbol: SPY---230315C00394000	2023-03-15	155.05	0.00	-151.12	
CALL DOCU 08/18/23	55	DOCUSIGN INC CUSIP: *8LWPFZ1 Symbol: DOCU--230818C00055000	2023-07-25	99.05	0.00	7.88	
CALL PATH 06/16/23	17.50	UIPATH INC CLASS A CUSIP: *8LWQYK2 Symbol: PATH--230616C00017500	2023-04-25	75.05	0.00	-25.12	
CALL RIVN 12/15/23	17.50	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8LXFGQ9 Symbol: RIVN--231215C00017500	2023-07-25	254.05	0.00	750.88	
CALL TSLA 07/07/23	275	TESLA INC CUSIP: *8LXTZK6 Symbol: TSLA--230707C00275000	2023-06-28	735.10	0.00	94.76	
CALL SQQQ 12/15/23	19	PROSHARES ULTRAPRO SHORT QQQ CUSIP: *8LYBNG0 Symbol: SQQQ--231215C00019000	2023-12-15	258.05	0.00	-258.05	
CALL SPY 07/21/23	459	STANDARD & POORS DEPOSITORY CUSIP: *8LYFHB1 Symbol: SPY---230721C00459000	2023-07-21	40.25	0.00	-40.25	
CALL BABA 07/14/23	90	ALIBABA GROUP HOLDING LIMITED CUSIP: *8LYKVF9 Symbol: BABA--230714C00090000	2023-07-07	520.50	0.00	668.98	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

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Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL GOOGL 07/14/23	120	ALPHABET INC CLASS A CUSIP: *8LYQJM2 Symbol: GOOGL-230714C00120000					
2023-07-13	2.0	241.88	Various	242.10	0.00	-0.22	
CALL UVIX 07/21/23	4.50	2X LONG VIX FUTURES ETF CUSIP: *8LYTRM2 Symbol: UVIX--230721C00004500					
2023-07-06	2.0	259.88	2023-07-03	84.10	0.00	175.78	
2023-07-07	1.0	59.93	2023-07-03	42.05	0.00	17.88	
Security Totals:		319.81		126.15		193.66	
CALL TEAM 12/15/23	185	ATLASSIAN CORPORATION CL A CUSIP: *8LYVSN3 Symbol: TEAM--231215C00185000					
2023-08-04	1.0	3,909.90	2023-07-17	2,580.05	0.00	1,329.85	
CALL ABNB 08/04/23	150	AIRBNB INC CUSIP: *8LYVXT3 Symbol: ABNB--230804C00150000					
2023-07-25	1.0	659.93	2023-07-21	665.05	0.00	-5.12	
CALL AMD 08/04/23	130	ADVANCED MICRO DEVICES INC CUSIP: *8LYWGQ5 Symbol: AMD---230804C00130000					
2023-07-28	1.0	59.93	2023-07-26	62.05	0.00	-2.12	
CALL ZM 10/20/23	80	ZOOM VIDEO COMMUNICATIONS INC CUSIP: *8LYXYK5 Symbol: ZM----231020C00080000					
2023-10-20	1.0	0.00	2023-07-20	415.05	0.00	-415.05	
CALL GOOGL 08/04/23	140	ALPHABET INC CLASS A CUSIP: *8LYZMJ4 Symbol: GOOGL-230804C00140000					
2023-07-14	1.0	87.93	2023-06-30	39.05	0.00	48.88	
CALL IWM 12/15/23	190	ISHARES RUSSELL 2000 ETF CUSIP: *8LZBXM7 Symbol: IWM---231215C00190000					
2023-11-15	3.0	338.86	Various	342.13	0.00	-3.27	
CALL RBLX 08/04/23	50	ROBLOX CORPORATION CUSIP: *8LZFTG5 Symbol: RBLX--230804C00050000					
2023-08-04	10.0	0.00	Various	229.50	0.00	-229.50	
CALL SPY 03/30/23	407	STANDARD & POORS DEPOSITORY CUSIP: *8LZMKP5 Symbol: SPY---230330C00407000					
2023-03-30	3.0	0.00	2023-03-30	24.15	0.00	-24.15	
CALL QQQ 07/11/23	366	INVESCO QQQ TRUST SERIES 1 CUSIP: *8LZNDL1 Symbol: QQQ---230711C00366000					
2023-07-11	5.0	594.71	2023-07-11	495.25	0.00	99.46	
CALL RBLX 08/18/23	42.50	ROBLOX CORPORATION CUSIP: *8LZNF5 Symbol: RBLX--230818C00042500					
2023-08-18	1.0	0.00	2023-07-18	550.05	0.00	-550.05	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL UVXY 09/15/23	27 PROSHARES ULTRA VIX SHORT TERM CUSIP: *8LZNV4 Symbol: UVXY--230915C00027000						
2023-09-15	3.0	0.00	2023-08-29	42.15	0.00	-42.15	
CALL ENPH 10/20/23	180 ENPHASE ENERGY INC CUSIP: *8LZRLK5 Symbol: ENPH--231020C00180000						
2023-07-25	1.0	2,057.92	2023-07-21	1,957.05	0.00	100.87	
CALL RIVN 08/04/23	28 RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8LZYWP1 Symbol: RIVN--230804C00028000						
2023-07-27	1.0	132.93	2023-07-26	103.05	0.00	29.88	
CALL AAPL 09/15/23	182.50 APPLE INC CUSIP: *8NBRSJ6 Symbol: AAPL--230915C00182500						
2023-09-12	1.0	18.93	2023-09-11	96.05	0.00	-77.12	
CALL AAPL 02/03/23	147 APPLE INC CUSIP: *8NCYDD1 Symbol: AAPL--230203C00147000						
2023-01-27	2.0	599.89	2023-01-26	450.08	0.00	149.81	
CALL AI 04/14/23	26 C3 AI INC CLASS A CUSIP: *8NFVRF0 Symbol: AI---230414C00026000						
2023-04-14	2.0	0.00	Various	135.10	0.00	-135.10	
CALL TWLO 07/14/23	68 TWILIO INC CUSIP: *8NKBH4 Symbol: TWLO--230714C00068000						
2023-07-13	2.0	243.86	Various	271.22	74.12 D	46.76	
CALL TSLA 09/15/23	240 TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKKFB9 Symbol: TSLA--230915C00240000						
2023-08-29	3.0	5,707.76	2023-08-18	1,065.15	0.00	4,642.61	
CALL TSLA 01/19/24	240 TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKKXX9 Symbol: TSLA--240119C00240000						
2023-10-10	1.0	4,278.91	2023-09-29	3,638.05	0.00	640.86	
2023-11-15	1.0	1,967.93	2023-10-23	1,188.04	0.00	779.89	
Security Totals:		6,246.84		4,826.09		1,420.75	
CALL TSLA 01/19/24	270 TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *8NKKYP2 Symbol: TSLA--240119C00270000						
2023-12-27	1.0	899.94	2023-12-21	575.04	0.00	324.90	
CALL INTC 04/14/23	31 INTEL CORP CUSIP: *8NNMVH3 Symbol: INTC--230414C00031000						
2023-03-24	1.0	59.93	2023-03-23	30.05	0.00	29.88	
2023-03-27	1.0	42.93	2023-03-23	30.05	0.00	12.88	
Security Totals:		102.86		60.10		42.76	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 02/24/23	197.50	TESLA INC CUSIP: *8NNPHK1 Symbol: TSLA--230224C00197500					
2023-02-24	2.0	143.86	2023-02-24	228.22	37.12 D	-47.24	
PUT TSLA 03/10/23	190	TESLA INC CUSIP: *8NNQVJ0 Symbol: TSLA--230310P00190000					
2023-03-07	1.0	672.93	2023-03-07	433.05	0.00	239.88	
CALL NVDA 07/14/23	480	NVIDIA CORPORATION CUSIP: *8NTLCP0 Symbol: NVDA--230714C00480000					
2023-07-03	1.0	61.93	2023-06-30	99.05	0.00	-37.12	
CALL TSLA 08/18/23	260	TESLA INC CUSIP: *8NVPJM4 Symbol: TSLA--230818C00260000					
2023-08-04	2.0	1,278.86	2023-08-04	2,013.22	257.12 D	-477.24	
CALL TSLA 08/18/23	290	TESLA INC CUSIP: *8NVPQD7 Symbol: TSLA--230818C00290000					
2023-08-04	1.0	78.93	2023-08-04	112.05	0.00	-33.12	
CALL GOOGL 02/03/23	96	ALPHABET INC CLASS A CUSIP: *8NWFJG5 Symbol: GOOGL-230203C00096000					
2023-01-23	1.0	509.93	2023-01-20	380.04	0.00	129.89	
CALL GOOGL 02/03/23	101	ALPHABET INC CLASS A CUSIP: *8NWFJB3 Symbol: GOOGL-230203C00101000					
2023-01-27	2.0	431.89	2023-01-26	306.08	0.00	125.81	
CALL INTC 02/03/23	30	INTEL CORP CUSIP: *8NYMDH5 Symbol: INTC--230203C00030000					
2023-01-27	3.0	8.85	2023-01-26	297.12	0.00	-288.27	
PUT TSLA 02/17/23	180	TESLA INC CUSIP: *8NZCND9 Symbol: TSLA--230217P00180000					
2023-02-06	1.0	437.92	2023-02-06	535.05	97.13 D	0.00	
2023-02-07	1.0	419.93	2023-02-06	562.18	0.00	-142.25	
Security Totals:		857.85		1,097.23		-142.25	
CALL TSLA 02/17/23	215	TESLA INC CUSIP: *8NZCQD7 Symbol: TSLA--230217C00215000					
2023-02-15	1.0	414.93	2023-02-15	415.05	0.00	-0.12	
CALL TRLY 08/18/23	2.50	TILRAY BRANDS INC CUSIP: *8PBFSF1 Symbol: TRLY--230818C00002500					
2023-07-27	10.0	149.45	2023-07-25	60.49	0.00	88.96	
PUT NFLX 02/03/23	350	NETFLIX INC CUSIP: *8PCCXQ2 Symbol: NFLX--230203P00350000					
2023-01-27	1.0	299.94	2023-01-27	325.04	0.00	-25.10	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL C 01/19/24	42.50	CITIGROUP INC CUSIP: *8PCPJY7 Symbol: C-----240119C00042500					
2023-09-12	1.0	227.93	2023-09-11	190.05	0.00	37.88	
CALL IWM 01/19/24	190	ISHARES RUSSELL 2000 ETF CUSIP: *8PCRNN5 Symbol: IWM---240119C00190000					
2023-11-15	1.0	215.94	2023-09-22	278.05	0.00	-62.11	
CALL NFLX 01/19/24	390	NETFLIX INC CUSIP: *8PCSMM4 Symbol: NFLX--240119C00390000					
2023-10-10	1.0	3,061.92	2023-09-29	3,298.05	0.00	-236.13	
CALL PNC 01/19/24	130	PNC FINANCIAL SVCS GROUP INC CUSIP: *8PCTCS6 Symbol: PNC---240119C00130000					
2023-07-14	1.0	919.93	2023-07-03	990.05	0.00	-70.12	
CALL TGT 01/19/24	130	TARGET CORP CUSIP: *8PCVSX0 Symbol: TGT---240119C00130000					
2023-11-15	3.0	1,978.83	Various	1,225.23	182.10 D	935.70	
CALL SPY 02/03/23	415	STANDARD & POORS DEPOSITORY CUSIP: *8PDLX3 Symbol: SPY---230203C00415000					
2023-02-01	1.0	142.93	2023-01-30	54.04	0.00	88.89	
CALL BA 04/28/23	230	BOEING CO CUSIP: *8PDMZZ4 Symbol: BA---230428C00230000					
2023-04-28	1.0	0.00	2023-04-05	132.05	0.00	-132.05	
CALL BABA 03/31/23	87	ALIBABA GROUP HOLDING LIMITED CUSIP: *8PDYNF4 Symbol: BABA--230331C00087000					
2023-03-27	1.0	200.93	2023-03-24	286.05	0.00	-85.12	
PUT SPY 01/13/23	396	STANDARD & POORS DEPOSITORY CUSIP: *8PFGDG7 Symbol: SPY---230113P00396000					
2023-01-13	1.0	106.94	2023-01-13	90.04	0.00	16.90	
CALL TGT 08/18/23	140	TARGET CORP CUSIP: *8PHSWH0 Symbol: TGT---230818C00140000					
2023-07-20	2.0	719.88	Various	617.10	0.00	102.78	
CALL TGT 08/18/23	150	TARGET CORP CUSIP: *8PHSWH7 Symbol: TGT---230818C00150000					
2023-07-18	1.0	80.93	2023-07-14	102.05	0.00	-21.12	
CALL XLE 08/25/23	87	ENERGY SELECT SCTR SPDR FD TR CUSIP: *8PJXKM1 Symbol: XLE---230825C00087000					
2023-08-25	1.0	28.93	2023-08-04	257.05	0.00	-228.12	
CALL MSFT 05/19/23	315	MICROSOFT CORP CUSIP: *8PKLSB3 Symbol: MSFT--230519C00315000					
2023-04-26	2.0	137.88	2023-04-25	90.10	0.00	47.78	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AMD 07/28/23	120	ADVANCED MICRO DEVICES INC CUSIP: *8PLSMV9 Symbol: AMD---230728C00120000					
2023-07-14	1.0	464.93	2023-07-14	340.05	0.00	124.88	
2023-07-21	5.0	284.73	Various	799.25	0.00	-514.52	
Security Totals:		749.66		1,139.30		-389.64	
CALL AMZN 07/14/23	136	AMAZON.COM INC CUSIP: *8PLSRX1 Symbol: AMZN--230714C00136000					
2023-07-13	10.0	299.48	2023-07-12	60.50	0.00	238.98	
CALL AMZN 07/28/23	135	AMAZON.COM INC CUSIP: *8PLSVN0 Symbol: AMZN--230728C00135000					
2023-07-27	3.0	86.83	2023-07-26	42.15	0.00	44.68	
CALL CRWD 08/25/23	160	CROWDSTRIKE HOLDINGS INC CL A CUSIP: *8PMCHM3 Symbol: CRWD--230825C00160000					
2023-08-25	1.0	0.93	2023-08-14	137.05	0.00	-136.12	
CALL F 08/25/23	12.50	FORD MOTOR COMPANY CUSIP: *8PMJYJ8 Symbol: F-----230825C00012500					
2023-08-25	10.0	0.00	2023-08-14	130.50	0.00	-130.50	
CALL FXI 08/18/23	27.85	ISHARES CHINA LARGE CAP ETF ADJ CASH DISTRIBUTION CUSIP: *8PNBMP4 Symbol: FXI---230818C00027850					
2023-07-25	10.0	1,339.44	2023-07-07	680.50	0.00	658.94	
CALL FXI 09/15/23	29.85	ISHARES CHINA LARGE CAP ETF ADJ CASH DISTRIBUTION CUSIP: *8PNBNL9 Symbol: FXI---230915C00029850					
2023-07-25	10.0	769.46	2023-07-19	550.49	0.00	218.97	
CALL FXI 12/15/23	31.85	ISHARES CHINA LARGE CAP ETF ADJ CASH DISTRIBUTION CUSIP: *8PNBTS4 Symbol: FXI---231215C00031850					
2023-07-25	2.0	175.88	2023-07-19	136.10	0.00	39.78	
CALL SPY 05/09/23	412	STANDARD & POORS DEPOSITORY CUSIP: *8PNCGR2 Symbol: SPY---230509C00412000					
2023-05-09	1.0	48.93	2023-05-09	49.05	0.00	-0.12	
PUT SPY 10/20/23	449	STANDARD & POORS DEPOSITORY CUSIP: *8PNJXX3 Symbol: SPY---231020P00449000					
2023-09-21	1.0	1,461.92	2023-08-29	786.05	0.00	675.87	
CALL NFLX 06/16/23	360	NETFLIX INC CUSIP: *8PNMKJ2 Symbol: NFLX--230616C00360000					
2023-05-23	1.0	1,227.93	2023-05-23	1,287.05	0.00	-59.12	
CALL SOFI 03/15/24	15	SOFI TECHNOLOGIES INC CUSIP: *8PNQBG8 Symbol: SOFI--240315C00015000					
2023-08-04	1.0	67.93	2023-08-03	84.05	0.00	-16.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 02/15/23	413	STANDARD & POORS DEPOSITORY CUSIP: *8PPHXZ8 Symbol: SPY---230215C00413000	2023-02-15	100.10	0.00	-26.22	
2023-02-15	2.0	73.88	2023-02-15	100.10	0.00	-26.22	
PUT QQQ 03/31/23	290	INVESCO QQQ TRUST SERIES 1 CUSIP: *8PPHYW9 Symbol: QQQ---230331P00290000	2023-03-20	237.05	0.00	-15.12	
2023-03-20	1.0	221.93	2023-03-17	237.05	0.00	-15.12	
CALL TGT 07/28/23	137	TARGET CORP CUSIP: *8PPJLG5 Symbol: TGT---230728C00137000	2023-07-27	120.10	0.00	45.78	
2023-07-27	2.0	165.88	2023-07-27	120.10	0.00	45.78	
CALL SPY 03/31/23	400	STANDARD & POORS DEPOSITORY CUSIP: *8PPJZM3 Symbol: SPY---230331C00400000	2023-03-27	209.05	0.00	67.88	
2023-03-27	1.0	276.93	2023-03-24	209.05	0.00	67.88	
PUT SPY 03/31/23	410	STANDARD & POORS DEPOSITORY CUSIP: *8PPJZM9 Symbol: SPY---230331P00410000	2023-02-15	890.05	0.00	-0.14	
2023-02-15	1.0	889.91	2023-02-15	890.05	0.00	-0.14	
CALL RIVN 08/18/23	27	RIVIAN AUTOMOTIVE INC CLASS A CUSIP: *8PQKSQ9 Symbol: RIVN--230818C00027000	2023-08-02	210.05	0.00	-50.12	
2023-08-02	1.0	159.93	2023-08-01	210.05	0.00	-50.12	
PUT AAPL 03/24/23	160	APPLE INC CUSIP: *8PSRVJ6 Symbol: AAPL--230324P00160000	2023-03-23	93.05	0.00	-9.12	
2023-03-23	1.0	83.93	2023-03-23	93.05	0.00	-9.12	
CALL BYON 01/19/24	22.50	BEYOND INC CUSIP: *8PSZQQ2 Symbol: BYON--240119C00022500	2023-12-06	415.20	25.11 D	149.79	
2023-12-06	2.0	539.88	Various	415.20	25.11 D	149.79	
CALL MU 12/22/23	82	MICRON TECHNOLOGY INC CUSIP: *8PVCVN2 Symbol: MU----231222C00082000	2023-12-21	172.04	0.00	177.90	
2023-12-21	1.0	349.94	2023-12-20	172.04	0.00	177.90	
CALL BILL 05/19/23	90	BILL HOLDINGS INC CUSIP: *8PWQST0 Symbol: BILL--230519C00090000	2023-04-26	330.05	0.00	29.88	
2023-04-26	1.0	359.93	2023-04-20	330.05	0.00	29.88	
PUT TLT 02/17/23	103	ISHS 20 PLUS YEAR TREASURY BD CUSIP: *8PWZGQ4 Symbol: TLT---230217P00103000	2023-02-06	195.25	0.00	-0.52	
2023-02-06	5.0	194.73	2023-02-01	195.25	0.00	-0.52	
CALL DIS 02/17/23	117	WALT DISNEY CO CUSIP: *8PXLXV8 Symbol: DIS---230217C00117000	2023-02-17	440.15	0.00	-440.15	
2023-02-17	3.0	0.00	Various	440.15	0.00	-440.15	
PUT ABNB 02/17/23	90	AIRBNB INC CUSIP: *8PYLBL0 Symbol: ABNB--230217P00090000	2023-01-19	206.04	0.00	61.90	
2023-01-19	1.0	267.94	2023-01-18	206.04	0.00	61.90	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

Table with columns: 1c - Date Sold or Disposed, Quantity, 1d - Proceeds, 1b - Date Acquired, 1e - Cost or Other Basis, 1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D), Gain or Loss (-), Additional Notes. Rows include transactions for BABA, SPY, QQQ, AEM, AMD, ANY, ARDX, BA, DAL, DLTR.

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL ENPH 01/19/24	130	ENPHASE ENERGY INC CUSIP: *8SDSKK3 Symbol: ENPH--240119C00130000					
2023-10-17	1.0	1,648.93	2023-08-28	1,643.05	0.00	5.88	
CALL LUV 01/19/24	30	SOUTHWEST AIRLINES CO CUSIP: *8SDVTJ1 Symbol: LUV---240119C00030000					
2023-12-07	7.0	702.68	Various	481.48	115.18 D	336.38	
2023-12-12	3.0	350.85	2023-11-28	30.12	0.00	320.73	
Security Totals:		1,053.53		511.60		657.11	
CALL NFLX 01/19/24	490	NETFLIX INC CUSIP: *8SDWKD9 Symbol: NFLX--240119C00490000					
2023-10-10	1.0	516.94	2023-09-29	634.05	0.00	-117.11	
CALL SPY 12/29/23	445	STANDARD & POORS DEPOSITORY CUSIP: *8SFCFQ8 Symbol: SPY---231229C00445000					
2023-10-06	1.0	706.94	2023-10-02	582.04	0.00	124.90	
CALL SPY 12/29/23	465	STANDARD & POORS DEPOSITORY CUSIP: *8SFCFS1 Symbol: SPY---231229C00465000					
2023-10-06	1.0	163.94	2023-10-02	123.04	0.00	40.90	
CALL AMZN 03/24/23	104	AMAZON.COM INC CUSIP: *8SFJZP0 Symbol: AMZN--230324C00104000					
2023-03-22	2.0	71.87	2023-03-22	78.10	0.00	-6.23	
PUT SPY 01/25/23	394	STANDARD & POORS DEPOSITORY CUSIP: *8SFKLD0 Symbol: SPY---230125P00394000					
2023-01-25	1.0	33.94	2023-01-25	82.04	0.00	-48.10	
CALL BA 02/10/23	212.50	BOEING CO CUSIP: *8SFVFD6 Symbol: BA----230210C00212500					
2023-02-10	1.0	154.93	2023-02-10	118.05	0.00	36.88	
CALL BA 02/10/23	215	BOEING CO CUSIP: *8SFVFH2 Symbol: BA----230210C00215000					
2023-02-10	4.0	103.76	Various	171.31	25.11 D	-42.44	
CALL BA 02/10/23	220	BOEING CO CUSIP: *8SFVFL3 Symbol: BA----230210C00220000					
2023-02-03	2.0	93.88	2023-02-02	204.10	0.00	-110.22	
PUT GOOGL 02/03/23	104	ALPHABET INC CLASS A CUSIP: *8SGDLD9 Symbol: GOOGL-230203P00104000					
2023-02-03	2.0	29.88	2023-02-03	34.10	0.00	-4.22	
CALL CRM 03/24/23	197.50	SALESFORCE INC CUSIP: *8SGKDW0 Symbol: CRM---230324C00197500					
2023-03-23	2.0	13.87	2023-03-23	26.10	0.00	-12.23	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 03/24/23	197.50	TESLA INC CUSIP: *8SHDDZ0 Symbol: TSLA--230324C00197500					
2023-03-23	1.0	147.93	2023-03-23	207.05	0.00	-59.12	
PUT QQQ 02/10/23	270	INVESCO QQQ TRUST SERIES 1 CUSIP: *8SHHRM0 Symbol: QQQ---230210P00270000					
2023-01-19	3.0	1,249.82	2023-01-17	819.11	0.00	430.71	
PUT SPY 02/10/23	405	STANDARD & POORS DEPOSITORY CUSIP: *8SHPFT6 Symbol: SPY---230210P00405000					
2023-02-10	1.0	77.93	2023-02-10	115.05	0.00	-37.12	
CALL SHOP 07/28/23	70	SHOPIFY INC CL A CUSIP: *8SHSZR1 Symbol: SHOP--230728C00070000					
2023-07-07	1.0	69.93	2023-07-05	150.05	0.00	-80.12	
CALL TSLA 09/15/23	290	TESLA INC CUSIP: *8SJLSZ9 Symbol: TSLA--230915C00290000					
2023-08-29	3.0	388.79	2023-08-18	108.15	0.00	280.64	
CALL SPY 04/05/23	408	STANDARD & POORS DEPOSITORY CUSIP: *8SKFWZ5 Symbol: SPY---230405C00408000					
2023-04-05	1.0	6.93	2023-04-05	25.05	0.00	-18.12	
CALL SPY 07/28/23	450	STANDARD & POORS DEPOSITORY CUSIP: *8SKSRH8 Symbol: SPY---230728C00450000					
2023-07-07	1.0	133.93	2023-07-06	151.05	0.00	-17.12	
CALL PYPL 05/12/23	72	PAYPAL HOLDINGS INC CUSIP: *8SLBGZ4 Symbol: PYPL--230512C00072000					
2023-05-09	3.0	56.83	2023-05-09	63.15	0.00	-6.32	
PUT SNAP 04/28/23	9	SNAP INC CUSIP: *8SLDSP1 Symbol: SNAP--230428P00009000					
2023-04-27	1.0	27.93	2023-04-26	49.05	0.00	-21.12	
CALL ENPH 08/04/23	175	ENPHASE ENERGY INC CUSIP: *8SLGVM2 Symbol: ENPH--230804C00175000					
2023-07-14	1.0	1,440.92	2023-07-10	1,338.05	0.00	102.87	
CALL ENPH 08/04/23	220	ENPHASE ENERGY INC CUSIP: *8SLGWK3 Symbol: ENPH--230804C00220000					
2023-07-14	1.0	227.93	2023-07-10	224.05	0.00	3.88	
CALL TSLA 04/28/23	195	TESLA INC CUSIP: *8SLHDR6 Symbol: TSLA--230428C00195000					
2023-04-28	2.0	0.00	Various	462.10	0.00	-462.10	
CALL LULU 06/09/23	400	LULULEMON ATHLETICA INC CUSIP: *8SLNGQ7 Symbol: LULU--230609C00400000					
2023-06-09	1.0	0.00	2023-06-01	98.05	0.00	-98.05	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 05/10/23	412	STANDARD & POORS DEPOSITORY CUSIP: *8SLYDY7 Symbol: SPY---230510C00412000					
2023-05-09	1.0	154.93	2023-05-09	150.05	0.00	4.88	
CALL TSLA 12/19/25	390	TESLA INC CUSIP: *8SMGKR4 Symbol: TSLA--251219C00390000					
2023-12-29	1.0	3,654.92	2023-12-13	3,205.04	0.00	449.88	
CALL ADBE 07/21/23	550	ADOBE INC CUSIP: *8SMWWS6 Symbol: ADBE--230721C00550000					
2023-07-14	1.0	95.93	2023-07-14	102.05	0.00	-6.12	
CALL JD 08/18/23	37.50	JD.COM INC ADS CUSIP: *8SMYXZ5 Symbol: JD----230818C00037500					
2023-07-13	4.0	1,279.75	2023-07-10	656.20	0.00	623.55	
CALL SPY 08/15/23	459	STANDARD & POORS DEPOSITORY CUSIP: *8SNRZD1 Symbol: SPY---230815C00459000					
2023-08-15	2.0	0.00	Various	322.10	0.00	-322.10	
CALL GOOGL 08/04/23	133	ALPHABET INC CLASS A CUSIP: *8SNSWS7 Symbol: GOOGL-230804C00133000					
2023-07-26	3.0	362.80	2023-07-24	270.15	0.00	92.65	
CALL TSLA 01/16/26	15.73	DIREXION DAILY TSLA BULL 1.5X ADJ CASH DISTRIBUTIO CUSIP: *8SNVXP5 Symbol: TSLA--260116C00015730					
2023-12-29	1.0	531.94	2023-12-13	480.04	0.00	51.90	
PUT SNAP 03/15/24	11	SNAP INC CUSIP: *8SNYPH9 Symbol: SNAP--240315P00011000					
2023-12-29	2.0	37.89	2023-12-28	40.08	0.00	-2.19	
CALL EL 11/17/23	195	ESTEE LAUDER COMPANIES INC CUSIP: *8SPCKN6 Symbol: EL----231117C00195000					
2023-07-13	1.0	1,405.92	2023-07-13	1,424.05	0.00	-18.13	
CALL IWM 08/04/23	189	ISHARES RUSSELL 2000 ETF CUSIP: *8SPGWC5 Symbol: IWM---230804C00189000					
2023-07-12	3.0	1,937.82	2023-07-06	402.15	0.00	1,535.67	
CALL IWM 08/04/23	202.50	ISHARES RUSSELL 2000 ETF CUSIP: *8SPGXL1 Symbol: IWM---230804C00202500					
2023-07-12	3.0	206.83	2023-07-06	30.15	0.00	176.68	
CALL QCOM 08/04/23	130	QUALCOMM INC CUSIP: *8SPMRX9 Symbol: QCOM--230804C00130000					
2023-07-25	1.0	229.93	2023-07-24	236.05	0.00	-6.12	
CALL SE 08/04/23	63	SEA LTD CUSIP: *8SPNKX3 Symbol: SE----230804C00063000					
2023-07-28	1.0	180.93	2023-07-28	185.05	0.00	-4.12	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 07/06/23	440	STANDARD & POORS DEPOSITORY CUSIP: *8SPPHL9 Symbol: SPY---230706C00440000					
2023-07-06	10.0	269.46	2023-07-06	220.49	0.00	48.97	
CALL SQQQ 08/04/23	18.50	PROSHARES ULTRAPRO SHORT QQQ CUSIP: *8SPPJW8 Symbol: SQQQ--230804C00018500					
2023-08-03	1.0	22.93	2023-07-12	102.05	0.00	-79.12	
CALL TEAM 08/04/23	210	ATLASSIAN CORPORATION CL A CUSIP: *8SPPVD6 Symbol: TEAM--230804C00210000					
2023-08-04	1.0	44.93	2023-08-04	90.05	0.00	-45.12	
CALL U 07/28/23	50	UNITY SOFTWARE INC CUSIP: *8SPVGD6 Symbol: U-----230728C00050000					
2023-07-28	2.0	0.00	Various	222.10	0.00	-222.10	
CALL TSM 08/04/23	110	TAIWAN SEMICONDUCTOR MFG CO CUSIP: *8SPZML2 Symbol: TSM---230804C00110000					
2023-07-12	1.0	152.93	2023-07-05	120.05	0.00	32.88	
CALL SEDG 03/15/24	100	SOLAREEDGE TECHNOLOGIES INC CUSIP: *8SQYWM4 Symbol: SEDG--240315C00100000					
2023-12-19	1.0	1,456.93	2023-12-14	1,410.04	0.00	46.89	
PUT SMH 09/22/23	154	VANECK SEMICONDUCTOR ETF CUSIP: *8SQZFF7 Symbol: SMH---230922P00154000					
2023-09-07	1.0	524.93	2023-08-30	335.05	0.00	189.88	
CALL CRM 09/08/23	225	SALESFORCE INC CUSIP: *8SRKYP1 Symbol: CRM---230908C00225000					
2023-08-30	1.0	309.93	2023-08-29	315.05	0.00	-5.12	
CALL GOOGL 09/08/23	136	ALPHABET INC CLASS A CUSIP: *8SRPSK4 Symbol: GOOGL-230908C00136000					
2023-09-08	1.0	17.93	2023-09-08	24.05	0.00	-6.12	
CALL MSFT 07/14/23	332.50	MICROSOFT CORP CUSIP: *8SRXLX8 Symbol: MSFT--230714C00332500					
2023-07-11	1.0	258.93	2023-07-11	290.05	0.00	-31.12	
CALL NFLX 10/27/23	385	NETFLIX INC CUSIP: *8SSWGJ6 Symbol: NFLX--231027C00385000					
2023-10-10	1.0	1,718.93	2023-09-29	1,859.05	0.00	-140.12	
CALL NFLX 10/27/23	485	NETFLIX INC CUSIP: *8SSWGR2 Symbol: NFLX--231027C00485000					
2023-10-10	1.0	46.94	2023-09-29	81.05	0.00	-34.11	
CALL UPS 09/22/23	157.50	UNITED PARCEL SVC INC CUSIP: *8STPV55 Symbol: UPS---230922C00157500					
2023-09-14	1.0	314.93	2023-09-13	196.05	0.00	118.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL UVXY 09/08/23	16.50	PROSHARES ULTRA VIX SHORT TERM	CUSIP: *8SVFPY3 Symbol: UVXY--230908C00016500				
2023-09-08	3.0	0.00	2023-08-29	162.15	0.00	-162.15	
PUT NVDA 02/17/23	195	NVIDIA CORPORATION	CUSIP: *8SWQBM0 Symbol: NVDA--230217P00195000				
2023-02-06	2.0	609.86	2023-02-03	530.10	0.00	79.76	
PUT NVDA 02/17/23	200	NVIDIA CORPORATION	CUSIP: *8SWQBM2 Symbol: NVDA--230217P00200000				
2023-02-09	1.0	94.93	2023-02-08	94.05	0.00	0.88	
CALL BABA 12/29/23	80	ALIBABA GROUP HOLDING LIMITED	CUSIP: *8SWSQY0 Symbol: BABA--231229C00080000				
2023-12-29	3.0	0.00	2023-12-21	93.12	0.00	-93.12	
CALL ROKU 12/29/23	95	ROKU INC	CUSIP: *8SXJDP7 Symbol: ROKU--231229C00095000				
2023-12-29	1.0	0.00	2023-12-26	131.04	0.00	-131.04	
CALL SCHW 03/17/23	82.50	CHARLES SCHWAB CORP NEW	CUSIP: *8SYRKD1 Symbol: SCHW--230317C00082500				
2023-02-07	1.0	198.93	2023-02-06	200.05	0.00	-1.12	
CALL GOOGL 04/21/23	105	ALPHABET INC CLASS A	CUSIP: *8SYVHP5 Symbol: GOOGL-230421C00105000				
2023-04-20	1.0	46.93	2023-04-20	60.05	0.00	-13.12	
CALL HOOD 01/17/25	12	ROBINHOOD MARKET INC	CUSIP: *8SZFTY9 Symbol: HOOD--250117C00012000				
2023-07-25	1.0	421.93	2023-07-10	325.05	0.00	96.88	
CALL NIO 01/17/25	10	NIO INC ADS	CUSIP: *8SZJCY7 Symbol: NIO--250117C00010000				
2023-07-25	1.0	509.93	2023-06-20	305.05	0.00	204.88	
CALL RIVN 01/17/25	40	RIVIAN AUTOMOTIVE INC CLASS A	CUSIP: *8SZKLZ1 Symbol: RIVN--250117C00040000				
2023-12-19	1.0	324.94	2023-12-14	235.04	0.00	89.90	
CALL SNAP 01/17/25	25	SNAP INC	CUSIP: *8SZKZL7 Symbol: SNAP--250117C00025000				
2023-12-29	3.0	599.86	Various	300.14	0.00	299.72	
CALL T 01/17/25	17	AT&T INC	CUSIP: *8SZMJC5 Symbol: T-----250117C00017000				
2023-07-25	2.0	178.87	2023-07-19	180.10	0.00	-1.23	
CALL RMBS 05/19/23	55	RAMBUS INC	CUSIP: *8UBLPD0 Symbol: RMBS--230519C00055000				
2023-04-24	2.0	69.88	2023-04-21	100.10	0.00	-30.22	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

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CALL NKE 09/15/23	135	NIKE INC CLASS B CUSIP: *8UBYPZ1 Symbol: NKE---230915C00135000					
2023-09-15	10.0	0.00	2023-07-31	70.49	0.00	-70.49	
PUT SBUX 02/17/23	90	STARBUCKS CORPORATION CUSIP: *8UBZBQ2 Symbol: SBUX--230217P00090000					
2023-01-20	1.0	40.94	2023-01-18	39.04	0.00	1.90	
CALL MSFT 03/03/23	250	MICROSOFT CORP CUSIP: *8UCBNW0 Symbol: MSFT--230303C00250000					
2023-03-02	1.0	52.93	2023-03-02	77.05	0.00	-24.12	
PUT CRM 02/10/23	170	SALESFORCE INC CUSIP: *8UCCRY6 Symbol: CRM---230210P00170000					
2023-02-09	1.0	40.93	2023-02-08	205.05	0.00	-164.12	
CALL SPY 03/03/23	398	STANDARD & POORS DEPOSITORY CUSIP: *8UCDYC8 Symbol: SPY---230303C00398000					
2023-03-03	1.0	205.93	2023-03-01	129.05	0.00	76.88	
CALL AFRM 03/17/23	20	AFFIRM HOLDINGS INC CL A CUSIP: *8UCGPW7 Symbol: AFRM--230317C00020000					
2023-02-02	1.0	449.92	2023-01-27	137.04	0.00	312.88	
CALL META 03/03/23	180	META PLATFORMS INC CL A CUSIP: *8UCJQ50 Symbol: META--230303C00180000					
2023-03-01	1.0	107.93	2023-03-01	130.05	0.00	-22.12	
PUT AMD 02/24/23	77	ADVANCED MICRO DEVICES INC CUSIP: *8UCLJN1 Symbol: AMD---230224P00077000					
2023-02-14	1.0	66.93	2023-02-13	115.05	0.00	-48.12	
CALL BABA 02/24/23	101	ALIBABA GROUP HOLDING LIMITED CUSIP: *8UCLSC4 Symbol: BABA--230224C00101000					
2023-02-21	3.0	551.80	Various	677.15	0.00	-125.35	
PUT DIS 02/10/23	104	WALT DISNEY CO CUSIP: *8UCMZT2 Symbol: DIS---230210P00104000					
2023-02-09	2.0	3.88	2023-02-08	166.10	0.00	-162.22	
CALL SPY 02/24/23	395	STANDARD & POORS DEPOSITORY CUSIP: *8UCTRM3 Symbol: SPY---230224C00395000					
2023-02-24	1.0	104.93	2023-02-24	105.05	0.00	-0.12	
CALL AI 03/31/23	24	C3 AI INC CLASS A CUSIP: *8UDCGZ5 Symbol: AI---230331C00024000					
2023-03-23	1.0	194.93	2023-03-22	100.05	0.00	94.88	
PUT GOOGL 03/31/23	102	ALPHABET INC CLASS A CUSIP: *8UDLPV3 Symbol: GOOGL-230331P00102000					
2023-03-27	2.0	179.88	2023-03-27	132.10	0.00	47.78	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL GOOGL 03/31/23 105 ALPHABET INC CLASS A CUSIP: *8UDLPV8 Symbol: GOOGL-230331C00105000							
2023-02-15	1.0	157.93	2023-02-13	155.05	0.00	2.88	
2023-03-16	7.0	601.62	Various	534.35	132.21 D	199.48	
2023-03-17	2.0	243.87	2023-02-28	182.31	0.00	61.56	
2023-03-24	1.0	194.93	2023-02-28	25.05	0.00	169.88	
Security Totals:		1,198.35		896.76		433.80	
PUT IBM 09/15/23 140 INTL BUSINESS MACHINE CUSIP: *8UDMCH0 Symbol: IBM--230915P00140000							
2023-08-07	4.0	439.77	2023-07-28	680.20	0.00	-240.43	
PUT META 03/31/23 180 META PLATFORMS INC CL A CUSIP: *8UDNWM6 Symbol: META--230331P00180000							
2023-03-31	2.0	0.00	Various	230.10	0.00	-230.10	
CALL SHOP 03/31/23 50 SHOPIFY INC CL A CUSIP: *8UDQHB1 Symbol: SHOP--230331C00050000							
2023-03-21	2.0	43.87	2023-03-17	102.10	0.00	-58.23	
CALL TSLA 03/31/23 190 TESLA INC CUSIP: *8UDQZJ5 Symbol: TSLA--230331C00190000							
2023-03-28	1.0	359.93	2023-03-28	310.05	0.00	49.88	
CALL TSLA 03/31/23 200 TESLA INC CUSIP: *8UDQZK3 Symbol: TSLA--230331C00200000							
2023-03-20	2.0	565.88	2023-03-17	384.10	0.00	181.78	
2023-03-30	1.0	130.93	2023-03-30	127.05	0.00	3.88	
Security Totals:		696.81		511.15		185.66	
CALL AMZN 05/19/23 110 AMAZON.COM INC CUSIP: *8UDSWN2 Symbol: AMZN--230519C00110000							
2023-04-13	2.0	468.86	Various	510.22	39.12 D	-2.24	
PUT BABA 02/17/23 104 ALIBABA GROUP HOLDING LIMITED CUSIP: *8UDSZK9 Symbol: BABA--230217P00104000							
2023-02-10	2.0	411.88	2023-02-09	284.10	0.00	127.78	
CALL QQQ 03/23/23 307 INVESCO QQQ TRUST SERIES 1 CUSIP: *8UFLDV5 Symbol: QQQ---230323C00307000							
2023-03-20	1.0	323.93	2023-03-20	274.05	0.00	49.88	
CALL QQQ 03/23/23 315 INVESCO QQQ TRUST SERIES 1 CUSIP: *8UFLDW3 Symbol: QQQ---230323C00315000							
2023-03-22	1.0	145.93	2023-03-22	126.05	0.00	19.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL QQQ 03/27/23	320	INVESTCO QQQ TRUST SERIES 1 CUSIP: *8UFLFM5 Symbol: QQQ---230327C00320000	2023-03-23	75.88	54.10	0.00	21.78
CALL LCID 12/19/25	7	LUCID GROUP INC CUSIP: *8UFMXD2 Symbol: LCID--251219C00007000	2023-07-25	354.93	320.05	0.00	34.88
CALL NKE 08/11/23	114	NIKE INC CLASS B CUSIP: *8UGMFR5 Symbol: NKE---230811C00114000	2023-08-11	0.00	136.10	0.00	-136.10
CALL SE 08/11/23	62	SEA LTD CUSIP: *8UGRQC7 Symbol: SE----230811C00062000	2023-08-11	0.00	210.10	0.00	-210.10
CALL TDOC 08/11/23	30	TELADOC HEALTH INC CUSIP: *8UGSZS5 Symbol: TDOC--230811C00030000	2023-08-11	0.00	112.05	0.00	-112.05
CALL TSN 08/11/23	55	TYSON FOODS INC-CL A CUSIP: *8UGTLC3 Symbol: TSN---230811C00055000	2023-07-21	107.93	100.05	0.00	7.88
CALL VRM 08/18/23	3	VROOM INC CUSIP: *8UHBVJ8 Symbol: VRM---230818C00003000	2023-08-18	0.00	240.25	0.00	-240.25
CALL CRM 06/30/23	215	SALESFORCE INC CUSIP: *8UHGB3 Symbol: CRM---230630C00215000	2023-06-30	0.00	21.05	0.00	-21.05
CALL TSLA 06/30/23	260	TESLA INC CUSIP: *8UJSCK3 Symbol: TSLA--230630C00260000	2023-06-28	268.93	320.05	0.00	-51.12
CALL WMT 12/01/23	160	WALMART INC CUSIP: *8ULYRV7 Symbol: WMT---231201C00160000	2023-11-28	57.89	50.08	0.00	7.81
PUT SPY 02/17/23	395	STANDARD & POORS DEPOSITORY CUSIP: *8Y23397 Symbol: SPY---230217P00395000	2023-02-14	38.93	85.05	0.00	-46.12
PUT SPY 02/17/23	400	STANDARD & POORS DEPOSITORY CUSIP: *8Y23409 Symbol: SPY---230217P00400000	2023-02-16	9.93	34.05	0.00	-24.12
CALL BABA 08/18/23	115	ALIBABA GROUP HOLDING LIMITED CUSIP: *9BRTNC4 Symbol: BABA--230818C00115000	2023-07-28	221.83	304.15	0.00	-82.32

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL BABA 10/20/23	100	ALIBABA GROUP HOLDING LIMITED CUSIP: *9BRTZJ1 Symbol: BABA--231020C00100000					
2023-07-12	1.0	341.93	2023-06-28	588.05	0.00	-246.12	
CALL NFLX 01/19/24	440	NETFLIX INC CUSIP: *9BSGWT9 Symbol: NFLX--240119C00440000					
2023-10-10	2.0	3,021.86	2023-09-29	2,664.08	0.00	357.78	
CALL NFLX 01/19/24	455	NETFLIX INC CUSIP: *9CSPGF4 Symbol: NFLX--240119C00455000					
2023-10-10	1.0	1,191.93	2023-09-29	1,019.04	0.00	172.89	
CALL NVDA 07/07/23	440	NVIDIA CORPORATION CUSIP: *9KXTZG9 Symbol: NVDA--230707C00440000					
2023-06-30	8.0	1,719.57	2023-06-28	2,146.39	0.00	-426.82	
CALL TSLA 09/15/23	280	TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *9NKKG7 Symbol: TSLA--230915C00280000					
2023-08-29	6.0	302.67	2023-08-18	1,408.30	0.00	-1,105.63	
CALL TSLA 01/19/24	300	TESLA INC ADJ 3:1 STOCK SPLIT CUSIP: *9NKLCB4 Symbol: TSLA--240119C00300000					
2023-10-10	1.0	1,237.93	2023-09-29	1,506.04	268.11 D	0.00	
2023-11-15	1.0	238.94	2023-10-23	596.15	0.00	-357.21	
Security Totals:		1,476.87		2,102.19		-357.21	
PUT TSLA 03/10/23	180	TESLA INC CUSIP: *9NNQTS9 Symbol: TSLA--230310P00180000					
2023-03-07	1.0	117.93	2023-03-07	217.05	0.00	-99.12	
CALL ENPH 10/20/23	220	ENPHASE ENERGY INC CUSIP: *9PMGGT5 Symbol: ENPH--231020C00220000					
2023-07-25	1.0	726.93	2023-07-21	755.05	0.00	-28.12	
CALL FXI 09/15/23	32.85	ISHARES CHINA LARGE CAP ETF ADJ CASH DISTRIBUTION CUSIP: *9PNBNR0 Symbol: FXI---230915C00032850					
2023-07-25	10.0	109.48	2023-07-19	190.50	0.00	-81.02	
CALL NFLX 06/16/23	400	NETFLIX INC CUSIP: *9PNMKS7 Symbol: NFLX--230616C00400000					
2023-05-23	1.0	176.93	2023-05-23	169.05	0.00	7.88	
CALL TSLA 08/18/23	277.50	TESLA INC CUSIP: *9PQXLG8 Symbol: TSLA--230818C00277500					
2023-08-04	2.0	559.88	2023-08-04	378.10	0.00	181.78	
CALL AMD 01/19/24	150	ADVANCED MICRO DEVICES INC CUSIP: *9SDPNV7 Symbol: AMD---240119C00150000					
2023-10-24	1.0	207.93	2023-08-30	51.04	0.00	156.89	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL BA 01/19/24	260	BOEING CO CUSIP: *9SDQFK3 Symbol: BA----	2019C00260000				
2023-10-24	1.0	206.93	2023-09-11	22.04	0.00	184.89	
CALL ENPH 01/19/24	160	ENPHASE ENERGY INC CUSIP: *9SDSKL5 Symbol: ENPH--	240119C00160000				
2023-10-17	1.0	692.93	2023-08-28	612.04	0.00	80.89	
CALL TGT 01/19/24	180	TARGET CORP CUSIP: *9SDZBD4 Symbol: TGT---	240119C00180000				
2023-11-15	3.0	37.81	Various	11.12	0.00	26.69	
CALL SPY 12/29/23	455	STANDARD & POORS DEPOSITORY CUSIP: *9SFCFR5 Symbol: SPY---	231229C00455000				
2023-10-06	2.0	559.90	2023-10-02	724.08	0.00	-164.18	
CALL ENPH 08/04/23	200	ENPHASE ENERGY INC CUSIP: *9SLGVZ8 Symbol: ENPH--	230804C00200000				
2023-07-14	2.0	991.88	2023-07-10	1,056.10	0.00	-64.22	
CALL EL 11/17/23	225	ESTEE LAUDER COMPANIES INC CUSIP: *9SPCKQ5 Symbol: EL----	231117C00225000				
2023-07-13	1.0	398.93	2023-07-13	395.05	0.00	3.88	
CALL IWM 08/04/23	197.50	ISHARES RUSSELL 2000 ETF CUSIP: *9SPGXK1 Symbol: IWM---	230804C00197500				
2023-07-12	6.0	137.67	2023-07-06	1,134.29	0.00	-996.62	
CALL NFLX 10/27/23	435	NETFLIX INC CUSIP: *9SSWGN0 Symbol: NFLX--	231027C00435000				
2023-10-10	2.0	809.88	2023-09-29	596.08	0.00	213.80	
CALL SEDG 03/15/24	140	SOLAREEDGE TECHNOLOGIES INC CUSIP: *9STYTZ4 Symbol: SEDG--	240315C00140000				
2023-12-19	1.0	409.94	2023-12-14	430.04	0.00	-20.10	
CALL SPY 03/03/23	400	STANDARD & POORS DEPOSITORY CUSIP: *9UCDYD2 Symbol: SPY---	230303C00400000				
2023-03-03	1.0	71.93	2023-03-01	98.05	0.00	-26.12	
AMC ENTERTAINMENT HOLDINGS INC AMC PRFRD EQTY UNITS ECH CNSTNG OF DPSTRY SHR RPRS CUSIP: 00165C203 Symbol: #A059348							
2023-02-15	250.0	618.07	2023-02-15	617.48	0.00	0.59	
AFFIRM HOLDINGS INC CLASS A COMMON STOCK CUSIP: 00827B106 Symbol: AFRM							
2023-01-18	50.0	681.98	Various	1,017.32	0.00	-335.34	
ALPHABET INC CLASS A COMMON STOCK CUSIP: 02079K305 Symbol: GOOGL							
2023-12-26	100.0	14,220.87	2023-12-15	13,245.99	0.00	974.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
AMAZON.COM INC CUSIP: 023135106 Symbol: AMZN							
2023-01-11	25.0	2,316.56	2022-12-29	2,082.50	0.00	234.06	
ARDELYX INC COM CUSIP: 039697107 Symbol: ARDX							
2023-02-03	100.0	314.99	2023-02-02	307.00	0.00	7.99	
ARCUTIS BIOTHERAPEUTICS INC COMMON STOCK CUSIP: 03969K108 Symbol: ARQT							
2023-07-12	100.0	975.98	2023-07-06	933.00	0.00	42.98	
ATHIRA PHARMA INC COMMON STOCK CUSIP: 04746L104 Symbol: ATHA							
2023-01-27	100.0	400.03	2023-01-26	392.99	0.00	7.04	
AVROBIO INC COMMON STOCK CUSIP: 05455M100 Symbol: AVRO							
2023-02-09	250.0	417.48	2023-02-08	392.50	0.00	24.98	
GOLDMAN SACHS GROUP INC (THE) FLTG RATE DEPOSITARY SH REPSTG 1/1000TH PFD SER A CUSIP: 38143Y665 Symbol: GSPRA							
2023-07-24	50.0	1,026.35	2023-05-31	1,016.00	0.00	10.35	
***JUMIA TECHNOLOGIES AG AMERICAN DEPOSITARY SHARES ECH REPRESENTING TWO ORDINAR CUSIP: 48138M105 Symbol: JMIA							
2023-02-02	100.0	496.57	2023-02-01	438.98	0.00	57.59	
LIQUIDIA CORPORATION COMMON STOCK CUSIP: 53635D202 Symbol: LQDA							
2023-02-09	100.0	692.97	2023-02-02	695.00	0.00	-2.03	
NEONODE INC COMMON STOCK CUSIP: 64051M709 Symbol: NEON							
2023-01-17	100.0	1,052.96	2023-01-13	985.00	0.00	67.96	
SONDER HOLDINGS INC CLASS A COMMON STOCK CUSIP: 83542D102 Symbol: #5087147							
2023-02-02	100.0	158.99	2023-01-25	140.00	0.00	18.99	
TILRAY BRANDS INC COMMON STOCK CUSIP: 88688T100 Symbol: TLRV							
2023-03-24	100.0	261.99	2023-03-16	260.00	0.00	1.99	
***BORQS TECHNOLOGIES INC ORDINARY SHARES CUSIP: G1466B202 Symbol: #B095599							
2023-02-02	1000.0	325.24	2023-01-26	299.00	0.00	26.24	
Totals:		170,805.90		160,313.22		13,237.01	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B*

5KV85164

(continued)

2024-02-10

OMB No. 1545-0715

LONG-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part II with Box D checked.

Box 2: Type of Gain or Loss - Long-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL ANY 01/19/24	7 SPHERE 3D CORP CUSIP: *8SDPRJ9 Symbol: #8SDPRJ9						
2023-01-23	2.0	3.92	Various	394.16	0.00	-390.24	
Totals:		3.92		394.16		-390.24	

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2023

5KV85164

2024-02-10

The detailed transactional information below is furnished only for your information. Only the aggregate profit/loss information in each section is reported to the IRS. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 09/29/23 4625 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-29							
CUSIP:		2023-09-29	1.00	Sell	2,256.18	0.00	-2,256.18
CALL SPXW 08/04/23 4600 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-04							
CUSIP:		2023-08-03	1.00	Sell	1,029.18	23.91	-1,005.27
CALL SPXW 09/29/23 4685 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-29							
CUSIP:		2023-09-29	1.00	Sell	971.18	0.00	-971.18
CALL SPXW 09/29/23 4655 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-29							
CUSIP:		2023-09-29	-2.00	Buy	0.00	2,987.64	2,987.64
CALL SPXW 08/04/23 4630 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-04							
CUSIP:		2023-08-03	-2.00	Buy	22.18	717.64	695.46
CALL SPXW 08/04/23 4640 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-04							
CUSIP:		2023-08-03	1.00	Sell	243.18	3.91	-239.27
CALL UVXY 03/17/23 5 PROSHARES ULTRA VIX SHORT TERM 2023-03-17							
CUSIP:		2023-01-30	2.00	Sell	166.08	151.90	-14.18
CUSIP:		2023-02-03	3.00	Sell	204.15	194.81	-9.34
CALL VIX 06/21/23 30 CBOE VOLATILITY INDEX 2023-06-21							
CUSIP:		2023-02-14	5.00	Sell	909.50	895.50	-14.00
CALL VIX 06/21/23 40 CBOE VOLATILITY INDEX 2023-06-21							
CUSIP:		2023-02-14	-5.00	Buy	493.85	491.15	-2.70
PUT SPX 01/20/23 3605 S & P 500 INDEX 2023-01-20							
CUSIP:		2023-01-12	1.00	Sell	121.25	63.84	-57.41

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Apex Clearing

Regulated Futures Contracts & Section 1256 Options

2023

5KV85164

(continued)

2024-02-10

PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 01/23/23 4000 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-23							
CUSIP:		2023-01-23	1.00	Sell	86.08	28.92	-57.16
CALL SPXW 03/22/23 4080 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-22							
CUSIP:		2023-03-21	1.00	Sell	226.18	318.82	92.64
CALL SPXW 03/16/23 3970 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-16							
CUSIP:		2023-03-16	1.00	Sell	86.09	113.82	27.73
CALL SPXW 04/05/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-05							
CUSIP:		2023-04-05	1.00	Sell	81.09	13.91	-67.18
PUT SPXW 04/10/23 3815 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-10							
CUSIP:		2023-03-31	1.00	Sell	141.18	108.82	-32.36
Short-Term Profit/(Loss) Total: 40%							-369.10
Long-Term Profit/(Loss) Total: 60%							-553.66
Total:							-922.76

Aggregate Short-Term Profit or (Loss): 40% -369.10
 Aggregate Long-Term Profit or (Loss): 60% -553.66
11 - Aggregate Profit or (Loss) on Contracts -922.76

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Dividends and Distributions - Details of Form 1099-DIV

2023

5KV85164

2024-02-10

This section of the information statement provides payment-level details for dividend-related distributions reported in aggregate on Form 1099-DIV. The information provided below is for your reference only. The break-out details provide payment-level information (including payment date and issuer) for different categories of distributions reported on Form 1099-DIV: ordinary dividends, including portions classified as qualified dividends and section 199A dividends, non-dividend distributions, exempt-interest dividends and liquidation distributions.

Taxes withheld for federal, state or foreign tax purposes are shown as negative numbers but do not offset the income amounts.

For dividends classified as "qualified dividends," the classification is made only from the issuer perspective without accounting for your holding period. Under IRS rules, classification as a qualified dividend for a taxpayer also depends on the taxpayer's holding period in the relevant stock, and a taxpayer is responsible for confirming such qualification based on the taxpayer's actual holding period. Taxpayers are reminded that they are ultimately responsible for the accuracy of their own tax returns.

Description | CUSIP | Symbol

Date	Total Ordinary Dividends (Box 1a)	Qualified Dividends (Box 1b)	Nondividend Distributions (Box 3)	Section 199A Dividends (Box 5)	Investment Expenses (Box 6)	Foreign Tax paid (Box 7)	Foreign Country or US Possession (Box 8)
ISHARES CHINA LARGE CAP ETF CUSIP: 464287184 Symbol: FXI							
2023-12-27	65.20	57.01	0.00	0.00	0.00	-4.46	
Security Totals:	65.20	57.01	0.00	0.00	0.00	-4.46	
KRANESHARES CSI CHINA INTERNET ETF CUSIP: 500767306 Symbol: KWEB							
2023-12-20	83.85	83.85	0.00	0.00	0.00	0.00	
2023-12-29	8.38	8.38	0.00	0.00	0.00	0.00	
Security Totals:	92.23	92.23	0.00	0.00	0.00	0.00	
Totals:	157.43	149.24	0.00	0.00	0.00	-4.46	

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2023 General Instructions for Certain Information Returns.

FreeFile. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Future developments. For the latest information about developments related to forms and instructions, such as legislation enacted after they were published, go to www.irs.gov/forms-instructions.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Box 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

Boxes 14-16. State income tax withheld reporting boxes.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. possession to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

1099-B Instructions to Recipients

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

- Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.
- Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).
- Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.
- Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.
- Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.
- Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.
- Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.
- Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.
- Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**
- Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2023.
- Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2022.
- Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2023. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2023 in 2024.
- Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2023 Form 6781.
- Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.
- Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.
- Boxes 14–16.** Show state(s)/local income tax information.

1099-MISC Instructions to Recipients

- Amounts shown may be subject to self-employment (SE) tax.** Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the appropriate line of their tax returns.
- Form 1099-MISC incorrect?** If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your information correctly.
- Box 1.** Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.
- Box 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.
- Box 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).
- Box 4.** Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.
- Box 5.** Shows the amount paid to you as a fishing boat crew member by the operator, who considers you to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.
- Box 6.** For individuals, report on Schedule C (Form 1040).
- Box 7.** If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).
- Box 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).
- Box 9.** Report this amount on Schedule F (Form 1040).
- Box 10.** Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.
- Box 11.** Shows the amount of cash you received for the sale of fish if you are in the trade or business of catching fish.
- Box 12.** May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A plus any earnings on current and prior year deferrals.
- Box 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.
- Box 14.** Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.
- Box 15.** Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.
- Boxes 16–18.** Show state or local income tax withheld from the payments.

1099-OID Instructions to Recipients

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, you generally must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

- Box 1.** Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.
- Box 2.** Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.
- Box 3.** Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you.

For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR). If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and is issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Boxes 12-14. State income tax withheld reporting.