

J.P. MORGAN SECURITIES LLC  
OH4-RM00  
P.O. BOX 183211  
COLUMBUS, OH 43218  
FOR UNDELIVERABLE MAIL ONLY

Account No: 832-10574  
Account Name: RAHUL PANDEY  
Recipient's TIN: \*\*\*-\*\*-4838  
Account Executive No: D6I  
ORIGINAL: 12/31/2023

**J.P.Morgan**

**CONSOLIDATED 2023 FORMS 1099 AND DETAILS**

RAHUL PANDEY  
23339 SANDPIPER TRL  
SPRING, TX 77373-7473

**YEAR-END MESSAGES**

PLEASE READ THE ENCLOSED TAX GUIDE FOR A COMPLETE EXPLANATION OF THE TAX INFORMATION ON YOUR CONSOLIDATED 1099. WHILE WE VERIFY THE INFORMATION REPORTED, RECLASSIFICATION OF INCOME BY AN ISSUER SUCH AS A MUTUAL FUND MAY REQUIRE A CORRECTED CONSOLIDATED FORM 1099. KINDLY CONTACT YOUR ACCOUNT EXECUTIVE WITH QUESTIONS CONCERNING THE COST BASIS OR ANY OTHER INFORMATION.

See the following page for a full list of all detail sections

Your Account did not receive the following Forms:

1099-INT  
1099-OID  
1099-MISC

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**J.P.Morgan**

**SPECIAL INFORMATION REGARDING YOUR 2023 CONSOLIDATED FORMS 1099 AND DETAIL SUMMARY REPORT**

Additional tax information including income subject to AMT and tax-exempt income by state for shareholders of J.P. Morgan Funds is available at [www.jpmorganfunds.com](http://www.jpmorganfunds.com)

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**J.P.Morgan**

**RECIPIENT'S Name, Street Address, City, State, and Zip Code**

RAHUL PANDEY  
23339 SANDPIPER TRL  
SPRING, TX 77373-7473

**PAYER'S TIN:** 13-4110995

**PAYER'S Name, Street, City, State, Zip Code:**  
JP MORGAN BROKER-DEALER HOLDINGS INC.  
JP MORGAN SECURITIES LLC  
4 METROTECH CENTER  
BROOKLYN, NY 11245-0001  
**Telephone Number:** (800) 392-5749

FATCA Filing Requirement

**2023 CONSOLIDATED FORMS 1099**

<i>2023 FORM 1099-DIV</i>		<i>OMB NO. 1545-0110</i>
<i>IRS Box</i>	<b>DIVIDENDS AND DISTRIBUTIONS</b>	
1a.	Total ordinary dividends (includes Boxes 1b, 5, 6)	\$4,784.31
1b.	Qualified dividends	\$901.79
2a.	Total capital gain distributions (includes Boxes 2b, 2c, 2d)	\$26.37
2b.	Unrecaptured section 1250 gain	\$0.00
2c.	Section 1202 gain	\$0.00
2d.	Collectibles (28%) gain	\$0.00
2e.	Section 897 ordinary dividends	\$0.00
2f.	Section 897 capital gains	\$0.00
3.	Nondividend distributions	\$53.24
<b>4.</b>	<b>Federal income tax withheld</b>	<b>\$0.00</b>
5.	Section 199A dividends	\$2.55
6.	Investment expenses	\$0.00
7.	Foreign tax paid	\$8.42
8.	Foreign country or U.S. possession	Various
9.	Cash liquidation distributions	\$0.00
10.	Noncash liquidation distributions	\$0.00
11.	FATCA filing requirement	Box not Checked
12.	Exempt-interest dividends (includes Box 13)	\$114.43
13.	Specified private activity bond interest dividends (AMT)	\$42.91

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT). KEEP FOR YOUR RECORDS.  
This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### Form 1099-DIV (OMB NO. 1545-0110)

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS. **FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938. **Account number.** May show an account or other unique number the payer assigned to distinguish your account. **Box 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required. **Box 1b.** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose. **Box 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See *How To Report* in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR. **Box 2b.** Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040). **Box 2c.** Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions. **Box 2d.** Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040). **Box 2e.** Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI). **Box 2f.** Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. **Note:** Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return. **Box 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550. **Box 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld. **Box 5.** Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A. **Box 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a. **Box 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on your Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR. **Box 8.** This box should be left blank if a RIC reported the foreign tax shown in box 7. **Boxes 9 and 10.** Show cash and noncash liquidation distributions. **Box 11.** If the FATCA filing requirement box is checked, the payer is reporting on this form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the instructions for Form 8938. **Box 12.** Shows exempt-interest dividends for a mutual fund or other RIC paid to you during the calendar year. See the instructions for Forms 1040 and 1040-SR to where to report. This amount may be subject to backup withholding. See *Box 4* above. **Box 13.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 11. See the Instructions for Form 6251. **Boxes 14-16.** State income tax withheld reporting boxes. **Nominees.** If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2023 General Instructions for Certain Information Returns.

### Form 1099-INT (OMB NO. 1545-0112)

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did not notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you. **Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS. **FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938. **Account number.** May show an account or other unique number the payer assigned to distinguish your account. **Box 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2023 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium. **Box 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Box 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium. **Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld. **Box 5.** Any amount shown is your share of investment expenses of a single class REMIC. This amount is included in box 1. **Note:** This amount is not deductible. **Box 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions. **Box 7.** Shows the country or U.S. possession to which the foreign tax was paid. **Box 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See *Box 4* above. See the instructions above for a tax-exempt covered security acquired at a premium. **Box 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium. **Box 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income. **Box 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Box 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4). **Box 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(iii). **Box 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Boxes 15-17.** State tax withheld reporting boxes. **Nominees.** If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. **Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT). **FreeFile.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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**FORM 1099-B TOTALS SUMMARY**

**REALIZED GAIN / LOSS SUMMARY**

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

SHORT-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART I	PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box A (basis reported to IRS)	\$15,079.39	\$15,095.07	\$0.00	\$0.00	(\$15.68)
Box A - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Short-Term</b>	<b>\$15,079.39</b>	<b>\$15,095.07</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$15.68)</b>
LONG-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART II	PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box D (basis reported to IRS)	\$38,506.01	\$42,951.59	\$0.00	\$0.00	(\$4,445.58)
Box D - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Long-Term</b>	<b>\$38,506.01</b>	<b>\$42,951.59</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$4,445.58)</b>
UNKNOWN TERM - CODE (X) REPORT ON FORM 8949 PART I OR PART II	PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box B or Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B or Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Unknown Term</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

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SPRING, TX 77373-7473

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**PAYER'S Name, Street, City, State, Zip Code:**  
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JP MORGAN SECURITIES LLC  
4 METROTECH CENTER  
BROOKLYN, NY 11245-0001  
**Telephone Number:** (800) 392-5749

FATCA Filing Requirement

**2023 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS**

**OMB NO. 1545-0715**

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: **Taxpayers are ultimately responsible for the accuracy of their tax returns.**

**Covered Short-Term Gains or Losses on Gross Proceeds**  
Report on Form 8949, Part I with Box A checked

**Box 6:** Gross Proceeds

**Box 5:** Box Not Checked (Covered Security)

**Box 12:** Basis Reported to the IRS

**Box 2:** Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description of property CUSIP (Box 1 a)	Quantity Sold	Date Acquired (Box 1 b)	Date Sold or Disposed (Box 1 c)	Proceeds (Box 1 d)	Cost or Other Basis (Box 1 e)	Accrued Market Discount (Box 1 f)	Wash Sale Loss Disallowed (Box 1 g)	Gain/Loss Amount	Additional Information
ISHARES S&P 100 ETF CUSIP: 464287101	1.00000	07/22/2022	01/11/2023	\$174.73	\$181.41	\$0.00	\$0.00	(\$6.68)	X
	2.00000	07/22/2022	06/09/2023	\$401.44	\$362.82	\$0.00	\$0.00	\$38.62	X
	8.00000	07/22/2022	07/13/2023	\$1,673.19	\$1,451.28	\$0.00	\$0.00	\$221.91	X
<b>Subtotals</b>	<b>11.00000</b>			<b>\$2,249.36</b>	<b>\$1,995.51</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$253.85</b>	
ISHARES TRUST ISHARES MSCI EAFE GROWTH ETF CUSIP: 464288885	10.00000	11/17/2022	09/26/2023	\$857.99	\$841.50	\$0.00	\$0.00	\$16.49	X
	4.00000	02/03/2023	04/17/2023	\$379.99	\$369.96	\$0.00	\$0.00	\$10.03	X
	8.00000	02/03/2023	09/26/2023	\$686.39	\$739.92	\$0.00	\$0.00	(\$53.53)	X
<b>Subtotals</b>	<b>22.00000</b>			<b>\$1,924.37</b>	<b>\$1,951.38</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$27.01)</b>	
ISHARES MSCI EUROPE FINANCIA SECTOR CUSIP: 464289180	18.00000	03/13/2023	08/24/2023	\$340.37	\$332.81	\$0.00	\$0.00	\$7.56	X
	16.00000	04/17/2023	08/24/2023	\$302.56	\$310.87	\$0.00	\$0.00	(\$8.31)	X
<b>Subtotals</b>	<b>34.00000</b>			<b>\$642.93</b>	<b>\$643.68</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$0.75)</b>	

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This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

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 Account Executive No: D6I  
 ORIGINAL: 12/31/2023



**2023 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED**

**OMB NO. 1545-0715**

**Covered Short-Term Gains or Losses on Gross Proceeds**  
 Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ISHARES TRUST ISHARES MSCI INTL QUALITY FACTOR ETF CUSIP: 46434V456	8.00000	02/03/2023	03/15/2023	\$261.59	\$281.28	\$0.00	\$0.00	(\$19.69)	X
**JPMORGAN TR I INCOME FD CL R6 CUSIP: 46637K216	8.97500	02/16/2023	10/04/2023	\$71.80	\$74.49	\$0.00	\$0.00	(\$2.69)	X
J P MORGAN EXCHANGE TRADED FUND TRUST JPMORGAN ULTRA SHORT INCOME ETF CUSIP: 46641Q837	48.00000	01/09/2023	05/30/2023	\$2,408.62	\$2,408.64	\$0.00	\$0.00	(\$0.02)	X
**JPMORGAN TR IV JPMORGAN PFD&INC SECS FD CL CUSIP: 46645V261	17.22100 20.69600	08/08/2022	03/13/2023 03/14/2023	\$149.48 \$181.30	\$162.91 \$195.78	\$0.00 \$0.00	\$0.00 \$0.00	(\$13.43) (\$14.48)	X X
<b>Subtotals</b>	<b>37.91700</b>			<b>\$330.78</b>	<b>\$358.69</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$27.91)</b>	
**ROWE T PRICE INTL FDS INC NEW ASIA FD CL I CUSIP: 77956H385	27.18700 5.47400 32.92600	02/03/2023	08/17/2023 08/21/2023 08/21/2023	\$426.02 \$84.74 \$509.69	\$479.03 \$96.45 \$569.62	\$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00	(\$53.01) (\$11.71) (\$59.93)	X X X
<b>Subtotals</b>	<b>65.58700</b>			<b>\$1,020.45</b>	<b>\$1,145.10</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$124.65)</b>	
SCHWAB STRATEGIC TR US TIPS ETF CUSIP: 808524870	43.00000	12/21/2022	06/15/2023	\$2,263.07	\$2,249.32	\$0.00	\$0.00	\$13.75	X
**VANGUARD FIXED INCOME SECS INTER TERM INVT GRADE FD ADMIRAL CL CUSIP: 922031810	132.17800	03/15/2023	05/30/2023	\$1,116.90	\$1,116.90	\$0.00	\$0.00	\$0.00	X
VANGUARD INTL EQUITY IND FD FTSE ALL WORLD EX US FD ETF CUSIP: 922042775	19.00000	06/09/2022	02/03/2023	\$1,030.91	\$1,022.38	\$0.00	\$0.00	\$8.53	X

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 FOR UNDELIVERABLE MAIL ONLY

Account No: 832-10574  
 Account Name: RAHUL PANDEY  
 Recipient's TIN: \*\*\*-\*\*-4838  
 Account Executive No: D6I  
 ORIGINAL: 12/31/2023



**2023 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED**

**OMB NO. 1545-0715**

**Covered Short-Term Gains or Losses on Gross Proceeds**

Report on Form 8949, Part I with **Box A** checked

**Box 6:** Gross Proceeds

**Box 5:** Box Not Checked (Covered Security)

**Box 12:** Basis Reported to the IRS

**Box 2:** Type of Gain or Loss - **Short-Term**

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Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
VANGUARD SCOTTSDALE FUNDS VANGUARD INTERMEDIATE TERM TREASURY ETF CUSIP: 92206C706	30.00000	08/08/2022	07/20/2023	\$1,758.61	\$1,847.70	\$0.00	\$0.00	(\$89.09)	X
<b>20 ITEMS - TOTAL</b>				<b>\$15,079.39</b>	<b>\$15,095.07</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$15.68)</b>	

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2023 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Long-Term Gains or Losses on Gross Proceeds  
 Report on Form 8949, Part II with Box D checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Long-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
**BLACKROCK FDS V	99.68400	11/04/2021	02/10/2023	\$956.96	\$991.85	\$0.00	\$0.00	(\$34.89)	X
FLTING RTE INCM PORT K CUSIP: 09260B739	2.68500	02/01/2022	02/10/2023	\$25.78	\$26.64	\$0.00	\$0.00	(\$0.86)	X
<b>Subtotals</b>	<b>102.36900</b>			<b>\$982.74</b>	<b>\$1,018.49</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$35.75)</b>	
**COHEN & STEERS PFD SECS & INCOME FD INC CL F CUSIP: 19248X604	52.68100	09/29/2020	03/24/2023	\$577.39	\$707.00	\$0.00	\$0.00	(\$129.61)	X
	16.20200	09/29/2020	04/20/2023	\$183.41	\$217.37	\$0.00	\$0.00	(\$33.96)	X
	21.06000	12/01/2020	03/14/2023	\$242.61	\$283.12	\$0.00	\$0.00	(\$40.51)	X
	8.59700	12/01/2020	03/24/2023	\$94.22	\$115.58	\$0.00	\$0.00	(\$21.36)	X
	31.63500	01/26/2021	03/13/2023	\$360.95	\$455.60	\$0.00	\$0.00	(\$94.65)	X
	7.33100	04/19/2021	03/13/2023	\$83.65	\$105.36	\$0.00	\$0.00	(\$21.71)	X
	4.14300	04/19/2021	03/14/2023	\$47.73	\$59.54	\$0.00	\$0.00	(\$11.81)	X
	21.92700	05/18/2021	03/14/2023	\$252.60	\$314.26	\$0.00	\$0.00	(\$61.66)	X
	8.10500	02/14/2022	04/20/2023	\$91.75	\$108.50	\$0.00	\$0.00	(\$16.75)	X
	53.22500	02/14/2022	10/04/2023	\$588.14	\$711.18	\$0.00	\$0.00	(\$123.04)	X
<b>Subtotals</b>	<b>224.90600</b>			<b>\$2,522.45</b>	<b>\$3,077.51</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$555.06)</b>	
**DODGE & COX INCOME FUND CLASS I CUSIP: 256210105	191.68800	09/07/2021	10/20/2023	\$2,227.41	\$2,764.14	\$0.00	\$0.00	(\$536.73)	X
ISHARES S&P 100 ETF CUSIP: 464287101	3.00000	05/19/2022	06/09/2023	\$602.15	\$529.74	\$0.00	\$0.00	\$72.41	X
	3.00000	06/27/2022	07/13/2023	\$627.44	\$532.38	\$0.00	\$0.00	\$95.06	X
<b>Subtotals</b>	<b>6.00000</b>			<b>\$1,229.59</b>	<b>\$1,062.12</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$167.47</b>	
ISHARES TRUST	14.00000	04/19/2021	07/06/2023	\$316.40	\$368.83	\$0.00	\$0.00	(\$52.43)	X
ISHARES U S TREASURY BOND ETF CUSIP: 46429B267	14.00000	05/18/2021	07/06/2023	\$316.40	\$367.89	\$0.00	\$0.00	(\$51.49)	X
	42.00000	09/07/2021	02/27/2023	\$953.81	\$1,122.66	\$0.00	\$0.00	(\$168.85)	X
	7.00000	12/17/2021	02/27/2023	\$158.97	\$186.20	\$0.00	\$0.00	(\$27.23)	X
	38.00000	12/17/2021	07/06/2023	\$858.79	\$1,010.80	\$0.00	\$0.00	(\$152.01)	X
	23.00000	01/18/2022	09/21/2023	\$509.21	\$595.24	\$0.00	\$0.00	(\$86.03)	X

Details for CUSIP 46429B267 continue on the following page

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**J.P.Morgan**

**2023 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED**

**OMB NO. 1545-0715**

**Covered Long-Term Gains or Losses on Gross Proceeds**  
 Report on Form 8949, Part II with Box D checked

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Box 2: Type of Gain or Loss - Long-Term

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Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ISHARES TRUST	33.00000	01/24/2022	07/06/2023	\$745.79	\$860.31	\$0.00	\$0.00	(\$114.52)	X
ISHARES U S TREASURY BOND ETF	23.00000	01/24/2022	09/21/2023	\$509.21	\$599.61	\$0.00	\$0.00	(\$90.40)	X
CUSIP: 46429B267	23.00000	04/19/2022	09/21/2023	\$509.21	\$555.91	\$0.00	\$0.00	(\$46.70)	X
	8.00000	08/24/2022	09/21/2023	\$177.13	\$189.20	\$0.00	\$0.00	(\$12.07)	X
	87.00000	08/24/2022	09/28/2023	\$1,913.98	\$2,057.55	\$0.00	\$0.00	(\$143.57)	X
	27.00000	09/01/2022	09/28/2023	\$593.99	\$633.15	\$0.00	\$0.00	(\$39.16)	X
	59.00000	09/16/2022	09/28/2023	\$1,297.99	\$1,368.21	\$0.00	\$0.00	(\$70.22)	X
<b>Subtotals</b>	<b>398.00000</b>			<b>\$8,860.88</b>	<b>\$9,915.56</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$1,054.68)</b>	
**JPMORGAN TR I INCOME FD CL R6 CUSIP: 46637K216	175.23300	11/18/2020	06/02/2023	\$1,438.66	\$1,637.19	\$0.00	\$0.00	(\$198.53)	X
	121.15900	11/18/2020	07/31/2023	\$991.08	\$1,131.98	\$0.00	\$0.00	(\$140.90)	X
	214.08500	12/01/2020	07/31/2023	\$1,751.22	\$2,000.18	\$0.00	\$0.00	(\$248.96)	X
	10.12200	12/01/2020	10/04/2023	\$80.98	\$94.57	\$0.00	\$0.00	(\$13.59)	X
	132.89700	01/20/2021	06/02/2023	\$1,091.08	\$1,255.88	\$0.00	\$0.00	(\$164.80)	X
	32.54400	01/26/2021	06/02/2023	\$267.19	\$308.52	\$0.00	\$0.00	(\$41.33)	X
	73.82100	02/25/2021	06/02/2023	\$606.07	\$702.04	\$0.00	\$0.00	(\$95.97)	X
	52.92800	08/08/2022	10/04/2023	\$423.42	\$458.36	\$0.00	\$0.00	(\$34.94)	X
<b>Subtotals</b>	<b>812.78900</b>			<b>\$6,649.70</b>	<b>\$7,588.72</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$939.02)</b>	
J P MORGAN EXCHANGE TRADED FUND TRUST JPMORGAN EQUITY PREMIUM INCOME ETF CUSIP: 46641Q332	2.00000	03/02/2021	06/09/2023	\$108.83	\$111.16	\$0.00	\$0.00	(\$2.33)	X
	10.00000	03/10/2021	06/09/2023	\$544.09	\$565.50	\$0.00	\$0.00	(\$21.41)	X
	9.00000	03/16/2021	06/09/2023	\$489.68	\$514.17	\$0.00	\$0.00	(\$24.49)	X
	1.00000	04/19/2021	06/09/2023	\$54.41	\$58.81	\$0.00	\$0.00	(\$4.40)	X
	8.00000	05/06/2021	02/03/2023	\$436.54	\$476.24	\$0.00	\$0.00	(\$39.70)	X
	1.00000	05/06/2021	06/09/2023	\$54.41	\$59.53	\$0.00	\$0.00	(\$5.12)	X
	9.00000	05/13/2021	06/09/2023	\$489.68	\$534.96	\$0.00	\$0.00	(\$45.28)	X
	3.00000	05/18/2021	02/03/2023	\$163.71	\$178.83	\$0.00	\$0.00	(\$15.12)	X
	3.00000	07/23/2021	02/03/2023	\$163.71	\$184.95	\$0.00	\$0.00	(\$21.24)	X
<b>Subtotals</b>	<b>46.00000</b>			<b>\$2,505.06</b>	<b>\$2,684.15</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$179.09)</b>	

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**J.P.Morgan**

**2023 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED**

**OMB NO. 1545-0715**

**Covered Long-Term Gains or Losses on Gross Proceeds**  
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**MAINSTAY FDS MACKAY HGH YLD CORP BD FD R6 CUSIP: 56063N881	52.06200	01/26/2021	07/31/2023	\$262.39	\$291.64	\$0.00	\$0.00	(\$29.25)	X
	45.48000	04/19/2021	07/31/2023	\$229.22	\$255.17	\$0.00	\$0.00	(\$25.95)	X
	48.68000	05/18/2021	07/31/2023	\$245.35	\$273.23	\$0.00	\$0.00	(\$27.88)	X
	64.55600	02/01/2022	07/31/2023	\$325.36	\$352.72	\$0.00	\$0.00	(\$27.36)	X
<b>Subtotals</b>	<b>210.77800</b>			<b>\$1,062.32</b>	<b>\$1,172.76</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$110.44)</b>	
**MANAGED PORTFOLIO SER TRTOIS ENRGY INFRA RTRN INST CUSIP: 56166Y404	36.72100	02/24/2022	06/09/2023	\$479.57	\$428.26	\$0.00	\$0.00	\$51.31	X
**NUVEEN MUN TR SHRT DURATN HIGH YLD MUN BD CUSIP: 67065Q699	116.44400	05/19/2021	02/16/2023	\$1,122.52	\$1,218.00	\$0.00	\$0.00	(\$95.48)	X
	78.78900	05/20/2021	02/13/2023	\$763.47	\$824.13	\$0.00	\$0.00	(\$60.66)	X
	58.89500	05/20/2021	02/16/2023	\$567.75	\$616.04	\$0.00	\$0.00	(\$48.29)	X
	84.79600	10/08/2021	02/13/2023	\$821.67	\$892.05	\$0.00	\$0.00	(\$70.38)	X
<b>Subtotals</b>	<b>338.92400</b>			<b>\$3,275.41</b>	<b>\$3,550.22</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$274.81)</b>	
**NUVEEN INVT TR V NUVEEN PFD SECS & INCM FD R6 CUSIP: 670700814	7.13100	01/26/2021	07/31/2023	\$103.90	\$125.79	\$0.00	\$0.00	(\$21.89)	X
	8.28500	02/01/2021	07/31/2023	\$120.71	\$145.85	\$0.00	\$0.00	(\$25.14)	X
	49.19800	02/24/2021	03/24/2023	\$679.42	\$869.02	\$0.00	\$0.00	(\$189.60)	X
	19.00600	02/24/2021	04/20/2023	\$272.92	\$335.72	\$0.00	\$0.00	(\$62.80)	X
	10.92000	02/24/2021	07/31/2023	\$159.10	\$192.89	\$0.00	\$0.00	(\$33.79)	X
	6.61900	04/19/2021	03/13/2023	\$97.89	\$117.81	\$0.00	\$0.00	(\$19.92)	X
	10.60900	05/18/2021	03/13/2023	\$156.91	\$188.34	\$0.00	\$0.00	(\$31.43)	X
	2.23600	05/18/2021	03/24/2023	\$30.88	\$39.69	\$0.00	\$0.00	(\$8.81)	X
	26.58500	10/13/2021	03/13/2023	\$393.19	\$472.25	\$0.00	\$0.00	(\$79.06)	X
<b>Subtotals</b>	<b>140.58900</b>			<b>\$2,014.92</b>	<b>\$2,487.36</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$472.44)</b>	
SCHWAB STRATEGIC TR US DIVIDEND EQUITY ETF CUSIP: 808524797	3.00000	01/07/2021	10/04/2023	\$207.54	\$197.55	\$0.00	\$0.00	\$9.99	X
	5.00000	02/25/2021	03/13/2023	\$355.85	\$343.64	\$0.00	\$0.00	\$12.21	X
	3.00000	02/25/2021	03/15/2023	\$212.06	\$206.19	\$0.00	\$0.00	\$5.87	X
	8.00000	02/25/2021	10/04/2023	\$553.43	\$549.83	\$0.00	\$0.00	\$3.60	X
	4.00000	07/23/2021	03/13/2023	\$284.68	\$302.92	\$0.00	\$0.00	(\$18.24)	X

**Details for CUSIP 808524797 continue on the following page**

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**J.P.Morgan**

**2023 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED**

**OMB NO. 1545-0715**

**Covered Long-Term Gains or Losses on Gross Proceeds**  
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Schwab Strategic TR US Dividend Equity ETF CUSIP: 808524797	6.00000	08/26/2021	03/13/2023	\$427.02	\$463.44	\$0.00	\$0.00	(\$36.42)	X
<b>Subtotals</b>	<b>29.00000</b>			<b>\$2,040.58</b>	<b>\$2,063.57</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$22.99)</b>	
Vanguard Intl Equity Ind Fd FTSE All World Ex US Fd ETF CUSIP: 922042775	18.00000 6.00000	01/14/2022 06/09/2022	02/03/2023 02/03/2023	\$976.66 \$325.55	\$1,116.36 \$396.25	\$0.00 \$0.00	\$0.00 \$0.00	(\$139.70) (\$70.70)	X X
<b>Subtotals</b>	<b>24.00000</b>			<b>\$1,302.21</b>	<b>\$1,512.61</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$210.40)</b>	
Vanguard Scottsdale Funds Vanguard Intermediate Term Treasury ETF CUSIP: 92206C706	11.00000 7.00000 20.00000	10/14/2021 11/04/2021 11/04/2021	02/27/2023 02/27/2023 07/20/2023	\$640.42 \$407.54 \$1,172.40	\$740.96 \$470.47 \$1,344.20	\$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00	(\$100.54) (\$62.93) (\$171.80)	X X X
<b>Subtotals</b>	<b>38.00000</b>			<b>\$2,220.36</b>	<b>\$2,555.63</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$335.27)</b>	
WisdomTree Japan Hedged Equi Fund CUSIP: 97717W851	4.00000 7.00000 6.00000	12/09/2021 12/09/2021 12/09/2021	01/11/2023 01/17/2023 02/03/2023	\$261.83 \$462.33 \$408.65	\$251.88 \$440.79 \$377.82	\$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00	\$9.95 \$21.54 \$30.83	X X X
<b>Subtotals</b>	<b>17.00000</b>			<b>\$1,132.81</b>	<b>\$1,070.49</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$62.32</b>	
<b>77 ITEMS - TOTAL</b>				<b>\$38,506.01</b>	<b>\$42,951.59</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>(\$4,445.58)</b>	

**FOOTNOTES**

**SHORT SALE** - Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

**DISPOSAL METHODS**

**Blank** - FIFO (First In First Out); **L** - LIFO (Last In First Out); **S** - Specific Match (Versus Purchase Method); **H** - High Cost Method; **C** - Low Cost Method; **X** - High Cost Long-Term

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT). KEEP FOR YOUR RECORDS.  
This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

J.P. MORGAN SECURITIES LLC  
OH4-RM00  
P.O. BOX 183211  
COLUMBUS, OH 43218  
FOR UNDELIVERABLE MAIL ONLY

Account No: 832-10574  
Account Name: RAHUL PANDEY  
Recipient's TIN: \*\*\*-\*\*-4838  
Account Executive No: D6I  
ORIGINAL: 12/31/2023

**J.P.Morgan**

**END OF 2023 FORM 1099-B**

**END OF 2023 CONSOLIDATED FORMS 1099**

**Form 1099-B (OMB NO. 1545-0715)**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

**Box 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Box 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

**Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Box 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means (a) stock purchased before 2011, (b) stock in most mutual funds purchased before 2012, (c) stock purchased in or transferred to a dividend reinvestment plan before 2012, (d) debt acquired before 2014, (e) options granted or acquired before 2014, and (f) securities futures contracts executed before 2014.

**Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

**Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Boxes 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Form 1099-B (OMB NO. 1545-0715)****Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**

**Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2023.

**Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2022.

**Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2023. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2023 in 2024.

**Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2023 Form 6781.

**Free File Program.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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 Account Name: RAHUL PANDEY  
 Recipient's TIN: \*\*\*-\*\*-4838

Account Executive No: D6I

ORIGINAL: 12/31/2023



**DETAILS OF 2023 IRS REPORTABLE INFORMATION**

**DETAILS OF 2023 FORM 1099-DIV**

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
06/30/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	NONQUALIFIED DIVIDEND	\$16.00	-	-	
07/31/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	NONQUALIFIED DIVIDEND	\$20.44	-	-	
08/31/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	NONQUALIFIED DIVIDEND	\$26.65	-	-	
09/29/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	NONQUALIFIED DIVIDEND	\$25.97	-	-	
10/31/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	NONQUALIFIED DIVIDEND	\$27.20	-	-	
11/30/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	NONQUALIFIED DIVIDEND	\$27.16	-	-	
12/29/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	NONQUALIFIED DIVIDEND	\$28.91	-	-	
<b>Subtotals</b>				<b>\$172.33</b>			
01/31/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	NONQUALIFIED DIVIDEND	\$20.65	-	-	
02/28/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	NONQUALIFIED DIVIDEND	\$17.14	-	-	
03/31/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	NONQUALIFIED DIVIDEND	\$16.26	-	-	
04/28/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	NONQUALIFIED DIVIDEND	\$15.61	-	-	
05/31/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	NONQUALIFIED DIVIDEND	\$17.41	-	-	
06/30/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	NONQUALIFIED DIVIDEND	\$17.37	-	-	
07/31/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	NONQUALIFIED DIVIDEND	\$16.66	-	-	
08/31/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	NONQUALIFIED DIVIDEND	\$17.05	-	-	
10/02/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	NONQUALIFIED DIVIDEND	\$16.57	-	-	
10/31/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	NONQUALIFIED DIVIDEND	\$17.23	-	-	
11/30/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	NONQUALIFIED DIVIDEND	\$17.00	-	-	
12/29/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	NONQUALIFIED DIVIDEND	\$17.61	-	-	
<b>Subtotals</b>				<b>\$206.56</b>			
02/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	NONQUALIFIED DIVIDEND	\$4.32	-	-	
03/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	NONQUALIFIED DIVIDEND	\$4.32	-	-	
04/03/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	NONQUALIFIED DIVIDEND	\$3.25	-	-	
05/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	NONQUALIFIED DIVIDEND	\$3.07	-	-	
06/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	NONQUALIFIED DIVIDEND	\$3.07	-	-	
07/03/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	NONQUALIFIED DIVIDEND	\$3.07	-	-	
08/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	NONQUALIFIED DIVIDEND	\$3.07	-	-	
09/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	NONQUALIFIED DIVIDEND	\$3.08	-	-	

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 OH4-RM00  
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Account No: 832-10574  
 Account Name: RAHUL PANDEY  
 Recipient's TIN: \*\*\*-\*\*-4838  
 Account Executive No: D6I  
 ORIGINAL: 12/31/2023



**DETAILS OF 2023 FORM 1099-DIV, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
10/02/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	NONQUALIFIED DIVIDEND	\$3.08	-	-	
11/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	NONQUALIFIED DIVIDEND	\$2.69	-	-	
12/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	NONQUALIFIED DIVIDEND	\$2.69	-	-	
12/15/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	NONQUALIFIED DIVIDEND	\$2.70	-	-	
<b>Subtotals</b>				<b>\$38.41</b>			
03/30/23	**DODGE & COX INCOME FUND CLASS I	256210105	NONQUALIFIED DIVIDEND	\$95.04	-	-	
06/29/23	**DODGE & COX INCOME FUND CLASS I	256210105	NONQUALIFIED DIVIDEND	\$103.68	-	-	
09/28/23	**DODGE & COX INCOME FUND CLASS I	256210105	NONQUALIFIED DIVIDEND	\$110.59	-	-	
12/20/23	**DODGE & COX INCOME FUND CLASS I	256210105	NONQUALIFIED DIVIDEND	\$86.73	-	-	
<b>Subtotals</b>				<b>\$396.04</b>			
08/07/23	ISHARES TRUST ISHARES 3 TO 7 YEAR	464288661	NONQUALIFIED DIVIDEND	\$11.39	-	-	
09/08/23	ISHARES TRUST ISHARES 3 TO 7 YEAR	464288661	NONQUALIFIED DIVIDEND	\$11.58	-	-	
10/06/23	ISHARES TRUST ISHARES 3 TO 7 YEAR	464288661	NONQUALIFIED DIVIDEND	\$12.14	-	-	
11/07/23	ISHARES TRUST ISHARES 3 TO 7 YEAR	464288661	NONQUALIFIED DIVIDEND	\$13.74	-	-	
12/07/23	ISHARES TRUST ISHARES 3 TO 7 YEAR	464288661	NONQUALIFIED DIVIDEND	\$13.75	-	-	
12/20/23	ISHARES TRUST ISHARES 3 TO 7 YEAR	464288661	NONQUALIFIED DIVIDEND	\$14.58	-	-	
<b>Subtotals</b>				<b>\$77.18</b>			
06/13/23	ISHARES TRUST ISHARES MSCI EAFE GR	464288885	NONQUALIFIED DIVIDEND	\$0.06	\$0.06	-	Box 8: VARIOUS
06/13/23	ISHARES MSCI EUROPE FINANCIAL SECT	464289180	NONQUALIFIED DIVIDEND	\$1.49	\$0.10	-	Box 8: VARIOUS
02/07/23	ISHARES TRUST ISHARES U S TREASURY	46429B267	NONQUALIFIED DIVIDEND	\$17.47	-	-	
03/07/23	ISHARES TRUST ISHARES U S TREASURY	46429B267	NONQUALIFIED DIVIDEND	\$14.13	-	-	
04/10/23	ISHARES TRUST ISHARES U S TREASURY	46429B267	NONQUALIFIED DIVIDEND	\$15.18	-	-	
05/05/23	ISHARES TRUST ISHARES U S TREASURY	46429B267	NONQUALIFIED DIVIDEND	\$17.06	-	-	
06/07/23	ISHARES TRUST ISHARES U S TREASURY	46429B267	NONQUALIFIED DIVIDEND	\$17.57	-	-	
07/10/23	ISHARES TRUST ISHARES U S TREASURY	46429B267	NONQUALIFIED DIVIDEND	\$17.67	-	-	
08/07/23	ISHARES TRUST ISHARES U S TREASURY	46429B267	NONQUALIFIED DIVIDEND	\$14.94	-	-	

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Account Executive No: D6I

ORIGINAL: 12/31/2023



**DETAILS OF 2023 FORM 1099-DIV, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
09/11/23	ISHARES TRUST ISHARES U S TREASURY	46429B267	NONQUALIFIED DIVIDEND	\$13.25	-	-	
<b>Subtotals</b>				<b>\$127.27</b>			
06/13/23	ISHARES TRUST ISHARES MSCI INTL QU	46434V456	NONQUALIFIED DIVIDEND	\$1.38	\$0.12	-	Box 8: VARIOUS
12/27/23	ISHARES TRUST ISHARES MSCI INTL QU	46434V456	NONQUALIFIED DIVIDEND	\$0.50	\$0.04	-	Box 8: VARIOUS
<b>Subtotals</b>				<b>\$1.88</b>			
12/20/23	ISHARES TR CORE INTL AGGREGATE BD	46435G672	NONQUALIFIED DIVIDEND	\$102.80	\$0.16	-	Box 8: VARIOUS
02/01/23	**JPMORGAN TR   INCOME FD CL R6	46637K216	NONQUALIFIED DIVIDEND	\$29.93	-	-	
03/01/23	**JPMORGAN TR   INCOME FD CL R6	46637K216	NONQUALIFIED DIVIDEND	\$34.48	-	-	
04/03/23	**JPMORGAN TR   INCOME FD CL R6	46637K216	NONQUALIFIED DIVIDEND	\$40.54	-	-	
05/01/23	**JPMORGAN TR   INCOME FD CL R6	46637K216	NONQUALIFIED DIVIDEND	\$40.54	-	-	
06/01/23	**JPMORGAN TR   INCOME FD CL R6	46637K216	NONQUALIFIED DIVIDEND	\$40.54	-	-	
07/03/23	**JPMORGAN TR   INCOME FD CL R6	46637K216	NONQUALIFIED DIVIDEND	\$27.31	-	-	
08/01/23	**JPMORGAN TR   INCOME FD CL R6	46637K216	NONQUALIFIED DIVIDEND	\$25.27	-	-	
09/01/23	**JPMORGAN TR   INCOME FD CL R6	46637K216	NONQUALIFIED DIVIDEND	\$12.93	-	-	
10/02/23	**JPMORGAN TR   INCOME FD CL R6	46637K216	NONQUALIFIED DIVIDEND	\$12.93	-	-	
11/01/23	**JPMORGAN TR   INCOME FD CL R6	46637K216	NONQUALIFIED DIVIDEND	\$10.62	-	-	
12/01/23	**JPMORGAN TR   INCOME FD CL R6	46637K216	NONQUALIFIED DIVIDEND	\$10.28	-	-	
12/29/23	**JPMORGAN TR   INCOME FD CL R6	46637K216	NONQUALIFIED DIVIDEND	\$11.91	-	-	
<b>Subtotals</b>				<b>\$297.28</b>			
02/06/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	NONQUALIFIED DIVIDEND	\$28.28	-	-	
03/06/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	NONQUALIFIED DIVIDEND	\$21.43	-	-	
04/06/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	NONQUALIFIED DIVIDEND	\$23.20	-	-	
05/04/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	NONQUALIFIED DIVIDEND	\$22.13	-	-	
06/06/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	NONQUALIFIED DIVIDEND	\$19.04	-	-	
07/07/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	NONQUALIFIED DIVIDEND	\$9.22	-	-	
08/04/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	NONQUALIFIED DIVIDEND	\$7.45	-	-	
09/07/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	NONQUALIFIED DIVIDEND	\$8.67	-	-	

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Account Executive No: D6I

ORIGINAL: 12/31/2023

**J.P.Morgan**

**DETAILS OF 2023 FORM 1099-DIV, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
10/05/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	NONQUALIFIED DIVIDEND	\$12.62	-	-	
11/06/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	NONQUALIFIED DIVIDEND	\$16.93	-	-	
12/06/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	NONQUALIFIED DIVIDEND	\$18.40	-	-	
12/29/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	NONQUALIFIED DIVIDEND	\$20.18	-	-	
<b>Subtotals</b>				<b>\$207.55</b>			
02/06/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q837	NONQUALIFIED DIVIDEND	\$8.21	-	-	
03/06/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q837	NONQUALIFIED DIVIDEND	\$7.41	-	-	
04/06/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q837	NONQUALIFIED DIVIDEND	\$8.57	-	-	
05/04/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q837	NONQUALIFIED DIVIDEND	\$8.96	-	-	
10/05/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q837	NONQUALIFIED DIVIDEND	\$7.21	-	-	
11/06/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q837	NONQUALIFIED DIVIDEND	\$11.74	-	-	
12/06/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q837	NONQUALIFIED DIVIDEND	\$11.75	-	-	
12/29/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q837	NONQUALIFIED DIVIDEND	\$12.55	-	-	
<b>Subtotals</b>				<b>\$76.40</b>			
02/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	NONQUALIFIED DIVIDEND	\$3.07	-	-	
03/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	NONQUALIFIED DIVIDEND	\$3.07	-	-	
04/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	NONQUALIFIED DIVIDEND	\$2.86	-	-	
05/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	NONQUALIFIED DIVIDEND	\$2.68	-	-	
06/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	NONQUALIFIED DIVIDEND	\$2.69	-	-	
07/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	NONQUALIFIED DIVIDEND	\$3.01	-	-	
08/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	NONQUALIFIED DIVIDEND	\$3.01	-	-	
09/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	NONQUALIFIED DIVIDEND	\$4.54	-	-	
10/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	NONQUALIFIED DIVIDEND	\$4.54	-	-	
11/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	NONQUALIFIED DIVIDEND	\$4.54	-	-	
12/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	NONQUALIFIED DIVIDEND	\$4.54	-	-	
12/29/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	NONQUALIFIED DIVIDEND	\$9.82	-	-	
<b>Subtotals</b>				<b>\$48.37</b>			
01/30/23	**JPMORGAN TR II MTG BACKED SECS F	4812C0159	NONQUALIFIED DIVIDEND	\$19.45	-	-	

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 Account Name: RAHUL PANDEY  
 Recipient's TIN: \*\*\*-\*\*-4838

Account Executive No: D6I

ORIGINAL: 12/31/2023



**DETAILS OF 2023 FORM 1099-DIV, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
02/27/23	**JPMORGAN TR    MTG BACKED SECS F	4812C0159	NONQUALIFIED DIVIDEND	\$20.67	-	-	
03/30/23	**JPMORGAN TR    MTG BACKED SECS F	4812C0159	NONQUALIFIED DIVIDEND	\$20.19	-	-	
04/27/23	**JPMORGAN TR    MTG BACKED SECS F	4812C0159	NONQUALIFIED DIVIDEND	\$24.73	-	-	
05/30/23	**JPMORGAN TR    MTG BACKED SECS F	4812C0159	NONQUALIFIED DIVIDEND	\$21.84	-	-	
06/29/23	**JPMORGAN TR    MTG BACKED SECS F	4812C0159	NONQUALIFIED DIVIDEND	\$21.60	-	-	
07/28/23	**JPMORGAN TR    MTG BACKED SECS F	4812C0159	NONQUALIFIED DIVIDEND	\$21.39	-	-	
08/30/23	**JPMORGAN TR    MTG BACKED SECS F	4812C0159	NONQUALIFIED DIVIDEND	\$23.49	-	-	
09/28/23	**JPMORGAN TR    MTG BACKED SECS F	4812C0159	NONQUALIFIED DIVIDEND	\$23.81	-	-	
10/30/23	**JPMORGAN TR    MTG BACKED SECS F	4812C0159	NONQUALIFIED DIVIDEND	\$23.08	-	-	
11/29/23	**JPMORGAN TR    MTG BACKED SECS F	4812C0159	NONQUALIFIED DIVIDEND	\$23.29	-	-	
12/28/23	**JPMORGAN TR    MTG BACKED SECS F	4812C0159	NONQUALIFIED DIVIDEND	\$27.03	-	-	
<b>Subtotals</b>				<b>\$270.57</b>			
02/01/23	JPMORGAN TR    MUN MONEY MKT FD IN	4812C0241	NONQUALIFIED DIVIDEND	\$0.45	-	-	
03/01/23	JPMORGAN TR    MUN MONEY MKT FD IN	4812C0241	NONQUALIFIED DIVIDEND	\$0.43	-	-	
04/01/23	JPMORGAN TR    MUN MONEY MKT FD IN	4812C0241	NONQUALIFIED DIVIDEND	\$0.09	-	-	
05/01/23	JPMORGAN TR    MUN MONEY MKT FD IN	4812C0241	NONQUALIFIED DIVIDEND	\$0.10	-	-	
06/01/23	JPMORGAN TR    MUN MONEY MKT FD IN	4812C0241	NONQUALIFIED DIVIDEND	\$0.11	-	-	
07/01/23	JPMORGAN TR    MUN MONEY MKT FD IN	4812C0241	NONQUALIFIED DIVIDEND	\$0.09	-	-	
08/01/23	JPMORGAN TR    MUN MONEY MKT FD IN	4812C0241	NONQUALIFIED DIVIDEND	\$0.05	-	-	
09/01/23	JPMORGAN TR    MUN MONEY MKT FD IN	4812C0241	NONQUALIFIED DIVIDEND	\$0.04	-	-	
10/01/23	JPMORGAN TR    MUN MONEY MKT FD IN	4812C0241	NONQUALIFIED DIVIDEND	\$0.04	-	-	
11/01/23	JPMORGAN TR    MUN MONEY MKT FD IN	4812C0241	NONQUALIFIED DIVIDEND	\$0.04	-	-	
12/01/23	JPMORGAN TR    MUN MONEY MKT FD IN	4812C0241	NONQUALIFIED DIVIDEND	\$0.04	-	-	
12/31/23	JPMORGAN TR    MUN MONEY MKT FD IN	4812C0241	NONQUALIFIED DIVIDEND	\$0.04	-	-	
<b>Subtotals</b>				<b>\$1.52</b>			
01/31/23	**MAINSTAY FDS MACKAY GHG YLD CORP	56063N881	NONQUALIFIED DIVIDEND	\$35.42	-	-	
02/28/23	**MAINSTAY FDS MACKAY GHG YLD CORP	56063N881	NONQUALIFIED DIVIDEND	\$33.69	-	-	
03/31/23	**MAINSTAY FDS MACKAY GHG YLD CORP	56063N881	NONQUALIFIED DIVIDEND	\$37.36	-	-	
04/28/23	**MAINSTAY FDS MACKAY GHG YLD CORP	56063N881	NONQUALIFIED DIVIDEND	\$39.16	-	-	

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**DETAILS OF 2023 FORM 1099-DIV, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
05/31/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	NONQUALIFIED DIVIDEND	\$42.75	-	-	
06/30/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	NONQUALIFIED DIVIDEND	\$39.98	-	-	
07/31/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	NONQUALIFIED DIVIDEND	\$41.77	-	-	
08/31/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	NONQUALIFIED DIVIDEND	\$36.84	-	-	
09/29/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	NONQUALIFIED DIVIDEND	\$35.42	-	-	
10/31/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	NONQUALIFIED DIVIDEND	\$47.52	-	-	
11/30/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	NONQUALIFIED DIVIDEND	\$43.96	-	-	
12/21/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	NONQUALIFIED DIVIDEND	\$53.05	-	-	
<b>Subtotals</b>				<b>\$486.92</b>			
02/23/23	**MANAGED PORTFOLIO SER TRTOIS ENR	56166Y404	NONQUALIFIED DIVIDEND	\$2.03	-	-	
05/25/23	**MANAGED PORTFOLIO SER TRTOIS ENR	56166Y404	NONQUALIFIED DIVIDEND	\$2.03	-	-	
08/24/23	**MANAGED PORTFOLIO SER TRTOIS ENR	56166Y404	NONQUALIFIED DIVIDEND	\$1.42	-	-	
11/28/23	**MANAGED PORTFOLIO SER TRTOIS ENR	56166Y404	NONQUALIFIED DIVIDEND	\$1.42	-	-	
12/28/23	**MANAGED PORTFOLIO SER TRTOIS ENR	56166Y404	NONQUALIFIED DIVIDEND	\$3.70	-	-	
<b>Subtotals</b>				<b>\$10.60</b>			
02/01/23	**NUVEEN MUN TR SHRT DURATN HIGH Y	67065Q699	NONQUALIFIED DIVIDEND	\$0.44	-	-	
03/01/23	**NUVEEN MUN TR SHRT DURATN HIGH Y	67065Q699	NONQUALIFIED DIVIDEND	\$0.24	-	-	
<b>Subtotals</b>				<b>\$0.68</b>			
02/01/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	NONQUALIFIED DIVIDEND	\$0.74	-	-	
03/01/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	NONQUALIFIED DIVIDEND	\$0.75	-	-	
04/03/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	NONQUALIFIED DIVIDEND	\$0.67	-	-	
05/01/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	NONQUALIFIED DIVIDEND	\$0.52	-	-	
06/01/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	NONQUALIFIED DIVIDEND	\$0.49	-	-	
07/03/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	NONQUALIFIED DIVIDEND	\$0.49	-	-	
08/01/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	NONQUALIFIED DIVIDEND	\$0.49	-	-	
09/01/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	NONQUALIFIED DIVIDEND	\$0.43	-	-	
10/02/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	NONQUALIFIED DIVIDEND	\$0.43	-	-	
11/01/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	NONQUALIFIED DIVIDEND	\$0.43	-	-	

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**DETAILS OF 2023 FORM 1099-DIV, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
12/01/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	NONQUALIFIED DIVIDEND	\$0.44	-	-	
12/29/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	NONQUALIFIED DIVIDEND	\$0.44	-	-	
<b>Subtotals</b>				<b>\$6.32</b>			
03/07/23	PGIM ETF TRUST PGIM ULTRA SHORT BO	69344A107	NONQUALIFIED DIVIDEND	\$4.14	-	-	
04/10/23	PGIM ETF TRUST PGIM ULTRA SHORT BO	69344A107	NONQUALIFIED DIVIDEND	\$4.92	-	-	
05/05/23	PGIM ETF TRUST PGIM ULTRA SHORT BO	69344A107	NONQUALIFIED DIVIDEND	\$5.05	-	-	
06/07/23	PGIM ETF TRUST PGIM ULTRA SHORT BO	69344A107	NONQUALIFIED DIVIDEND	\$12.83	-	-	
07/10/23	PGIM ETF TRUST PGIM ULTRA SHORT BO	69344A107	NONQUALIFIED DIVIDEND	\$13.16	-	-	
08/07/23	PGIM ETF TRUST PGIM ULTRA SHORT BO	69344A107	NONQUALIFIED DIVIDEND	\$13.34	-	-	
09/08/23	PGIM ETF TRUST PGIM ULTRA SHORT BO	69344A107	NONQUALIFIED DIVIDEND	\$13.02	-	-	
10/06/23	PGIM ETF TRUST PGIM ULTRA SHORT BO	69344A107	NONQUALIFIED DIVIDEND	\$12.76	-	-	
11/07/23	PGIM ETF TRUST PGIM ULTRA SHORT BO	69344A107	NONQUALIFIED DIVIDEND	\$13.54	-	-	
12/07/23	PGIM ETF TRUST PGIM ULTRA SHORT BO	69344A107	NONQUALIFIED DIVIDEND	\$13.66	-	-	
12/28/23	PGIM ETF TRUST PGIM ULTRA SHORT BO	69344A107	NONQUALIFIED DIVIDEND	\$14.78	-	-	
<b>Subtotals</b>				<b>\$121.20</b>			
01/31/23	**PIMCO MORTGAGE OPPORTUNITIES AND	72201U638	NONQUALIFIED DIVIDEND	\$28.57	-	-	
02/28/23	**PIMCO MORTGAGE OPPORTUNITIES AND	72201U638	NONQUALIFIED DIVIDEND	\$27.90	-	-	
03/31/23	**PIMCO MORTGAGE OPPORTUNITIES AND	72201U638	NONQUALIFIED DIVIDEND	\$32.60	-	-	
05/01/23	**PIMCO MORTGAGE OPPORTUNITIES AND	72201U638	NONQUALIFIED DIVIDEND	\$27.23	-	-	
06/01/23	**PIMCO MORTGAGE OPPORTUNITIES AND	72201U638	NONQUALIFIED DIVIDEND	\$27.54	-	-	
07/03/23	**PIMCO MORTGAGE OPPORTUNITIES AND	72201U638	NONQUALIFIED DIVIDEND	\$28.23	-	-	
08/01/23	**PIMCO MORTGAGE OPPORTUNITIES AND	72201U638	NONQUALIFIED DIVIDEND	\$25.62	-	-	
09/01/23	**PIMCO MORTGAGE OPPORTUNITIES AND	72201U638	NONQUALIFIED DIVIDEND	\$31.73	-	-	
10/02/23	**PIMCO MORTGAGE OPPORTUNITIES AND	72201U638	NONQUALIFIED DIVIDEND	\$36.01	-	-	
11/01/23	**PIMCO MORTGAGE OPPORTUNITIES AND	72201U638	NONQUALIFIED DIVIDEND	\$40.75	-	-	
12/01/23	**PIMCO MORTGAGE OPPORTUNITIES AND	72201U638	NONQUALIFIED DIVIDEND	\$39.86	-	-	
12/27/23	**PIMCO MORTGAGE OPPORTUNITIES AND	72201U638	NONQUALIFIED DIVIDEND	\$18.80	-	-	
12/29/23	**PIMCO MORTGAGE OPPORTUNITIES AND	72201U638	NONQUALIFIED DIVIDEND	\$43.79	-	-	
<b>Subtotals</b>				<b>\$408.63</b>			

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 Recipient's TIN: \*\*\*-\*\*-4838

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**DETAILS OF 2023 FORM 1099-DIV, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
02/01/23	**PROFESSIONALLY MNGD PRTFLS MUZIN	74316P132	NONQUALIFIED DIVIDEND	\$2.16	-	-	
03/01/23	**PROFESSIONALLY MNGD PRTFLS MUZIN	74316P132	NONQUALIFIED DIVIDEND	\$5.75	-	-	
04/03/23	**PROFESSIONALLY MNGD PRTFLS MUZIN	74316P132	NONQUALIFIED DIVIDEND	\$7.22	-	-	
05/01/23	**PROFESSIONALLY MNGD PRTFLS MUZIN	74316P132	NONQUALIFIED DIVIDEND	\$7.23	-	-	
06/01/23	**PROFESSIONALLY MNGD PRTFLS MUZIN	74316P132	NONQUALIFIED DIVIDEND	\$14.51	-	-	
07/03/23	**PROFESSIONALLY MNGD PRTFLS MUZIN	74316P132	NONQUALIFIED DIVIDEND	\$14.62	-	-	
08/01/23	**PROFESSIONALLY MNGD PRTFLS MUZIN	74316P132	NONQUALIFIED DIVIDEND	\$15.05	-	-	
09/01/23	**PROFESSIONALLY MNGD PRTFLS MUZIN	74316P132	NONQUALIFIED DIVIDEND	\$18.97	-	-	
10/02/23	**PROFESSIONALLY MNGD PRTFLS MUZIN	74316P132	NONQUALIFIED DIVIDEND	\$19.18	-	-	
11/01/23	**PROFESSIONALLY MNGD PRTFLS MUZIN	74316P132	NONQUALIFIED DIVIDEND	\$19.65	-	-	
12/01/23	**PROFESSIONALLY MNGD PRTFLS MUZIN	74316P132	NONQUALIFIED DIVIDEND	\$38.86	-	-	
12/28/23	**PROFESSIONALLY MNGD PRTFLS MUZIN	74316P132	NONQUALIFIED DIVIDEND	\$214.86	-	-	
<b>Subtotals</b>				<b>\$378.06</b>			
04/10/23	SCHWAB STRATEGIC TR US TIPS ETF	808524870	NONQUALIFIED DIVIDEND	\$3.68	-	-	
05/05/23	SCHWAB STRATEGIC TR US TIPS ETF	808524870	NONQUALIFIED DIVIDEND	\$7.36	-	-	
06/07/23	SCHWAB STRATEGIC TR US TIPS ETF	808524870	NONQUALIFIED DIVIDEND	\$7.17	-	-	
<b>Subtotals</b>				<b>\$18.21</b>			
02/06/23	VANGUARD SHORT TERM BOND ETF	921937827	NONQUALIFIED DIVIDEND	\$7.06	-	-	
03/06/23	VANGUARD SHORT TERM BOND ETF	921937827	NONQUALIFIED DIVIDEND	\$11.45	-	-	
04/06/23	VANGUARD SHORT TERM BOND ETF	921937827	NONQUALIFIED DIVIDEND	\$13.42	-	-	
05/04/23	VANGUARD SHORT TERM BOND ETF	921937827	NONQUALIFIED DIVIDEND	\$13.43	-	-	
06/06/23	VANGUARD SHORT TERM BOND ETF	921937827	NONQUALIFIED DIVIDEND	\$14.19	-	-	
07/07/23	VANGUARD SHORT TERM BOND ETF	921937827	NONQUALIFIED DIVIDEND	\$18.72	-	-	
08/04/23	VANGUARD SHORT TERM BOND ETF	921937827	NONQUALIFIED DIVIDEND	\$21.25	-	-	
09/07/23	VANGUARD SHORT TERM BOND ETF	921937827	NONQUALIFIED DIVIDEND	\$21.66	-	-	
10/05/23	VANGUARD SHORT TERM BOND ETF	921937827	NONQUALIFIED DIVIDEND	\$21.82	-	-	
11/06/23	VANGUARD SHORT TERM BOND ETF	921937827	NONQUALIFIED DIVIDEND	\$23.64	-	-	
12/06/23	VANGUARD SHORT TERM BOND ETF	921937827	NONQUALIFIED DIVIDEND	\$23.53	-	-	
12/28/23	VANGUARD SHORT TERM BOND ETF	921937827	NONQUALIFIED DIVIDEND	\$24.76	-	-	

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Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
<b>Subtotals</b>				<b>\$214.93</b>			
04/03/23	**VANGUARD FIXED INCOME SECS INTER	922031810	NONQUALIFIED DIVIDEND	\$1.80	-	-	
05/01/23	**VANGUARD FIXED INCOME SECS INTER	922031810	NONQUALIFIED DIVIDEND	\$3.60	-	-	
06/01/23	**VANGUARD FIXED INCOME SECS INTER	922031810	NONQUALIFIED DIVIDEND	\$3.60	-	-	
<b>Subtotals</b>				<b>\$9.00</b>			
08/04/23	VANGUARD SCOTTSDALE FUNDS VANGUARD	92206C102	NONQUALIFIED DIVIDEND	\$6.67	-	-	
09/07/23	VANGUARD SCOTTSDALE FUNDS VANGUARD	92206C102	NONQUALIFIED DIVIDEND	\$6.79	-	-	
10/05/23	VANGUARD SCOTTSDALE FUNDS VANGUARD	92206C102	NONQUALIFIED DIVIDEND	\$6.97	-	-	
11/06/23	VANGUARD SCOTTSDALE FUNDS VANGUARD	92206C102	NONQUALIFIED DIVIDEND	\$7.23	-	-	
12/06/23	VANGUARD SCOTTSDALE FUNDS VANGUARD	92206C102	NONQUALIFIED DIVIDEND	\$7.26	-	-	
12/28/23	VANGUARD SCOTTSDALE FUNDS VANGUARD	92206C102	NONQUALIFIED DIVIDEND	\$7.60	-	-	
<b>Subtotals</b>				<b>\$42.52</b>			
06/06/23	VANGUARD SHORT TERM CORPORATE BOND	92206C409	NONQUALIFIED DIVIDEND	\$8.78	-	-	
07/07/23	VANGUARD SHORT TERM CORPORATE BOND	92206C409	NONQUALIFIED DIVIDEND	\$8.65	-	-	
08/04/23	VANGUARD SHORT TERM CORPORATE BOND	92206C409	NONQUALIFIED DIVIDEND	\$8.92	-	-	
09/07/23	VANGUARD SHORT TERM CORPORATE BOND	92206C409	NONQUALIFIED DIVIDEND	\$9.43	-	-	
10/05/23	VANGUARD SHORT TERM CORPORATE BOND	92206C409	NONQUALIFIED DIVIDEND	\$12.88	-	-	
11/06/23	VANGUARD SHORT TERM CORPORATE BOND	92206C409	NONQUALIFIED DIVIDEND	\$13.60	-	-	
12/06/23	VANGUARD SHORT TERM CORPORATE BOND	92206C409	NONQUALIFIED DIVIDEND	\$12.98	-	-	
12/28/23	VANGUARD SHORT TERM CORPORATE BOND	92206C409	NONQUALIFIED DIVIDEND	\$13.87	-	-	
<b>Subtotals</b>				<b>\$89.11</b>			
02/06/23	VANGUARD SCOTTSDALE FUNDS VANGUARD	92206C706	NONQUALIFIED DIVIDEND	\$8.27	-	-	
03/06/23	VANGUARD SCOTTSDALE FUNDS VANGUARD	92206C706	NONQUALIFIED DIVIDEND	\$5.77	-	-	
04/06/23	VANGUARD SCOTTSDALE FUNDS VANGUARD	92206C706	NONQUALIFIED DIVIDEND	\$6.23	-	-	
05/04/23	VANGUARD SCOTTSDALE FUNDS VANGUARD	92206C706	NONQUALIFIED DIVIDEND	\$6.12	-	-	
06/06/23	VANGUARD SCOTTSDALE FUNDS VANGUARD	92206C706	NONQUALIFIED DIVIDEND	\$6.45	-	-	

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07/07/23	VANGUARD SCOTTSDALE FUNDS VANGUARD	92206C706	NONQUALIFIED DIVIDEND	\$6.42	-	-	
10/05/23	VANGUARD SCOTTSDALE FUNDS VANGUARD	92206C706	NONQUALIFIED DIVIDEND	\$6.49	-	-	
11/06/23	VANGUARD SCOTTSDALE FUNDS VANGUARD	92206C706	NONQUALIFIED DIVIDEND	\$7.02	-	-	
12/06/23	VANGUARD SCOTTSDALE FUNDS VANGUARD	92206C706	NONQUALIFIED DIVIDEND	\$7.44	-	-	
12/28/23	VANGUARD SCOTTSDALE FUNDS VANGUARD	92206C706	NONQUALIFIED DIVIDEND	\$7.87	-	-	
<b>Subtotals</b>				<b>\$68.08</b>			
<b>Total Non-Qualified Dividends (included in Box 1a)</b>				<b>\$3,879.97</b>			
06/30/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	QUALIFIED DIVIDEND	\$0.21	-	-	
07/31/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	QUALIFIED DIVIDEND	\$0.27	-	-	
08/31/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	QUALIFIED DIVIDEND	\$0.36	-	-	
09/29/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	QUALIFIED DIVIDEND	\$0.34	-	-	
10/31/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	QUALIFIED DIVIDEND	\$0.24	-	-	
11/30/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	QUALIFIED DIVIDEND	\$0.24	-	-	
12/29/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	QUALIFIED DIVIDEND	\$0.25	-	-	
<b>Subtotals</b>				<b>\$1.91</b>			
08/31/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	QUALIFIED DIVIDEND	\$0.01	-	-	
10/31/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	QUALIFIED DIVIDEND	\$0.01	-	-	
11/30/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	QUALIFIED DIVIDEND	\$0.01	-	-	
12/29/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	QUALIFIED DIVIDEND	\$0.01	-	-	
<b>Subtotals</b>				<b>\$0.04</b>			
12/26/23	**BROWN ADVISORY SUSTAINABLE GROWT	115233207	QUALIFIED DIVIDEND	\$0.36	-	-	
02/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	QUALIFIED DIVIDEND	\$27.13	-	-	
03/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	QUALIFIED DIVIDEND	\$27.13	-	-	
04/03/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	QUALIFIED DIVIDEND	\$20.43	-	-	
05/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	QUALIFIED DIVIDEND	\$19.30	-	-	

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OH4-RM00  
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FOR UNDELIVERABLE MAIL ONLY

Account No: 832-10574  
Account Name: RAHUL PANDEY  
Recipient's TIN: \*\*\*-\*\*-4838

Account Executive No: D6I

ORIGINAL: 12/31/2023

**J.P.Morgan**

**DETAILS OF 2023 FORM 1099-DIV, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
06/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	QUALIFIED DIVIDEND	\$19.30	-	-	
07/03/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	QUALIFIED DIVIDEND	\$19.30	-	-	
08/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	QUALIFIED DIVIDEND	\$19.30	-	-	
09/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	QUALIFIED DIVIDEND	\$19.33	-	-	
10/02/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	QUALIFIED DIVIDEND	\$19.33	-	-	
11/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	QUALIFIED DIVIDEND	\$16.91	-	-	
12/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	QUALIFIED DIVIDEND	\$16.88	-	-	
12/15/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	QUALIFIED DIVIDEND	\$16.94	-	-	
<b>Subtotals</b>				<b>\$241.28</b>			
03/29/23	ISHARES S&P 100 ETF	464287101	QUALIFIED DIVIDEND	\$10.51	-	-	
06/13/23	ISHARES S&P 100 ETF	464287101	QUALIFIED DIVIDEND	\$9.52	-	-	
<b>Subtotals</b>				<b>\$20.03</b>			
06/13/23	ISHARES TRUST ISHARES MSCI EAFE GR	464288885	QUALIFIED DIVIDEND	\$17.52	\$0.98	-	Box 8: VARIOUS
06/13/23	ISHARES MSCI EUROPE FINANCIAL SECT	464289180	QUALIFIED DIVIDEND	\$24.18	\$0.79	-	Box 8: VARIOUS
06/13/23	ISHARES TRUST ISHARES MSCI INTL QU	46434V456	QUALIFIED DIVIDEND	\$31.48	\$2.72	-	Box 8: VARIOUS
12/27/23	ISHARES TRUST ISHARES MSCI INTL QU	46434V456	QUALIFIED DIVIDEND	\$39.88	\$3.45	-	Box 8: VARIOUS
<b>Subtotals</b>				<b>\$71.36</b>			
02/06/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	QUALIFIED DIVIDEND	\$5.90	-	-	
03/06/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	QUALIFIED DIVIDEND	\$4.47	-	-	
04/06/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	QUALIFIED DIVIDEND	\$4.84	-	-	
05/04/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	QUALIFIED DIVIDEND	\$4.62	-	-	
06/06/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	QUALIFIED DIVIDEND	\$3.98	-	-	
07/07/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	QUALIFIED DIVIDEND	\$1.92	-	-	
08/04/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	QUALIFIED DIVIDEND	\$1.55	-	-	
09/07/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	QUALIFIED DIVIDEND	\$1.81	-	-	
10/05/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	QUALIFIED DIVIDEND	\$2.64	-	-	

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**DETAILS OF 2023 FORM 1099-DIV, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
11/06/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	QUALIFIED DIVIDEND	\$3.53	-	-	
12/06/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	QUALIFIED DIVIDEND	\$3.84	-	-	
12/29/23	J P MORGAN EXCHANGE TRADED FUND TR	46641Q332	QUALIFIED DIVIDEND	\$4.21	-	-	
<b>Subtotals</b>				<b>\$43.31</b>			
02/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	QUALIFIED DIVIDEND	\$7.46	-	-	
03/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	QUALIFIED DIVIDEND	\$7.46	-	-	
04/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	QUALIFIED DIVIDEND	\$6.93	-	-	
05/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	QUALIFIED DIVIDEND	\$6.52	-	-	
06/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	QUALIFIED DIVIDEND	\$6.52	-	-	
07/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	QUALIFIED DIVIDEND	\$7.31	-	-	
08/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	QUALIFIED DIVIDEND	\$7.32	-	-	
09/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	QUALIFIED DIVIDEND	\$11.02	-	-	
10/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	QUALIFIED DIVIDEND	\$11.02	-	-	
11/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	QUALIFIED DIVIDEND	\$11.02	-	-	
12/01/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	QUALIFIED DIVIDEND	\$11.02	-	-	
12/29/23	**JPMORGAN TR IV JPMORGAN PFD&INC	46645V261	QUALIFIED DIVIDEND	\$23.84	-	-	
<b>Subtotals</b>				<b>\$117.44</b>			
01/31/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$1.25	-	-	
02/28/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$1.19	-	-	
03/31/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$1.32	-	-	
04/28/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$1.38	-	-	
05/31/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$1.51	-	-	
06/30/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$1.41	-	-	
07/31/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$1.47	-	-	
08/31/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$1.30	-	-	
09/29/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$1.25	-	-	
10/31/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$1.67	-	-	
11/30/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$1.55	-	-	
12/21/23	**MAINSTAY FDS MACKAY HGH YLD CORP	56063N881	QUALIFIED DIVIDEND	\$1.87	-	-	

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**J.P.Morgan**

**DETAILS OF 2023 FORM 1099-DIV, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
<b>Subtotals</b>				<b>\$17.17</b>			
02/23/23	**MANAGED PORTFOLIO SER TRTOIS ENR	56166Y404	QUALIFIED DIVIDEND	\$5.43	-	-	
05/25/23	**MANAGED PORTFOLIO SER TRTOIS ENR	56166Y404	QUALIFIED DIVIDEND	\$5.43	-	-	
08/24/23	**MANAGED PORTFOLIO SER TRTOIS ENR	56166Y404	QUALIFIED DIVIDEND	\$3.80	-	-	
11/28/23	**MANAGED PORTFOLIO SER TRTOIS ENR	56166Y404	QUALIFIED DIVIDEND	\$3.80	-	-	
12/28/23	**MANAGED PORTFOLIO SER TRTOIS ENR	56166Y404	QUALIFIED DIVIDEND	\$9.88	-	-	
<b>Subtotals</b>				<b>\$28.34</b>			
02/01/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	QUALIFIED DIVIDEND	\$23.24	-	-	
03/01/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	QUALIFIED DIVIDEND	\$23.40	-	-	
04/03/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	QUALIFIED DIVIDEND	\$20.98	-	-	
05/01/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	QUALIFIED DIVIDEND	\$16.11	-	-	
06/01/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	QUALIFIED DIVIDEND	\$15.21	-	-	
07/03/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	QUALIFIED DIVIDEND	\$15.21	-	-	
08/01/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	QUALIFIED DIVIDEND	\$15.21	-	-	
09/01/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	QUALIFIED DIVIDEND	\$13.32	-	-	
10/02/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	QUALIFIED DIVIDEND	\$13.32	-	-	
11/01/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	QUALIFIED DIVIDEND	\$13.32	-	-	
12/01/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	QUALIFIED DIVIDEND	\$13.86	-	-	
12/29/23	**NUVEEN INVT TR V NUVEEN PFD SECS	670700814	QUALIFIED DIVIDEND	\$13.86	-	-	
<b>Subtotals</b>				<b>\$197.04</b>			
03/27/23	SCHWAB STRATEGIC TR US DIVIDEND EQ	808524797	QUALIFIED DIVIDEND	\$17.90	-	-	
06/26/23	SCHWAB STRATEGIC TR US DIVIDEND EQ	808524797	QUALIFIED DIVIDEND	\$24.59	-	-	
09/25/23	SCHWAB STRATEGIC TR US DIVIDEND EQ	808524797	QUALIFIED DIVIDEND	\$24.22	-	-	
12/11/23	SCHWAB STRATEGIC TR US DIVIDEND EQ	808524797	QUALIFIED DIVIDEND	\$19.30	-	-	
<b>Subtotals</b>				<b>\$86.01</b>			
12/21/23	SELECT SECTOR SPDR TRUST THE UTILI	81369Y886	QUALIFIED DIVIDEND	\$11.94	-	-	

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 ORIGINAL: 12/31/2023



**DETAILS OF 2023 FORM 1099-DIV, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
09/26/23	VANGUARD INDEX FUNDS VANGUARD TOTA	922908769	QUALIFIED DIVIDEND	\$10.59	-	-	
12/27/23	VANGUARD INDEX FUNDS VANGUARD TOTA	922908769	QUALIFIED DIVIDEND	\$13.27	-	-	
<b>Subtotals</b>				<b>\$23.86</b>			
<b>Total Qualified Dividends (Box 1b included in Box 1a)</b>				<b>\$901.79</b>			
06/30/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	SECTION 199A DIVIDEND	\$0.02	-	-	
07/31/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	SECTION 199A DIVIDEND	\$0.03	-	-	
08/31/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	SECTION 199A DIVIDEND	\$0.03	-	-	
09/29/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	SECTION 199A DIVIDEND	\$0.03	-	-	
10/31/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	SECTION 199A DIVIDEND	\$0.03	-	-	
11/30/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	SECTION 199A DIVIDEND	\$0.03	-	-	
12/29/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	SECTION 199A DIVIDEND	\$0.04	-	-	
<b>Subtotals</b>				<b>\$0.21</b>			
02/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	SECTION 199A DIVIDEND	\$0.11	-	-	
03/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	SECTION 199A DIVIDEND	\$0.11	-	-	
04/03/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	SECTION 199A DIVIDEND	\$0.09	-	-	
05/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	SECTION 199A DIVIDEND	\$0.08	-	-	
06/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	SECTION 199A DIVIDEND	\$0.08	-	-	
07/03/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	SECTION 199A DIVIDEND	\$0.08	-	-	
08/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	SECTION 199A DIVIDEND	\$0.08	-	-	
09/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	SECTION 199A DIVIDEND	\$0.08	-	-	
10/02/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	SECTION 199A DIVIDEND	\$0.08	-	-	
11/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	SECTION 199A DIVIDEND	\$0.07	-	-	
12/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	SECTION 199A DIVIDEND	\$0.07	-	-	
12/15/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	SECTION 199A DIVIDEND	\$0.07	-	-	
<b>Subtotals</b>				<b>\$1.00</b>			

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**J.P.Morgan**

**DETAILS OF 2023 FORM 1099-DIV, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
09/26/23	VANGUARD INDEX FUNDS VANGUARD TOTA	922908769	SECTION 199A DIVIDEND	\$0.59	-	-	
12/27/23	VANGUARD INDEX FUNDS VANGUARD TOTA	922908769	SECTION 199A DIVIDEND	\$0.75	-	-	
<b>Subtotals</b>				<b>\$1.34</b>			
<b>Total Section 199A Dividends (Box 5 included in Box 1a)</b>				<b>\$2.55</b>			
<b>Total Ordinary Dividends (Box 1a)</b>				<b>\$4,784.31</b>			
12/14/23	**J P MORGAN MUT FD INVT TR GROWTH	46640W108	LONG TERM GAIN	\$26.37	-	-	
<b>Total Capital Gains Distributions (included in Box 2a)</b>				<b>\$26.37</b>			
<b>Total Capital Gains (Box 2a)</b>				<b>\$26.37</b>			
06/30/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	RETURN OF CAPITAL	\$0.15	-	-	
07/31/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	RETURN OF CAPITAL	\$0.19	-	-	
08/31/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	RETURN OF CAPITAL	\$0.25	-	-	
09/29/23	**BLACKROCK FDS V HGH YLD BND PORT	09260B614	RETURN OF CAPITAL	\$0.25	-	-	
<b>Subtotals</b>				<b>\$0.84</b>			
01/31/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	RETURN OF CAPITAL	\$0.03	-	-	
02/28/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	RETURN OF CAPITAL	\$0.02	-	-	
03/31/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	RETURN OF CAPITAL	\$0.02	-	-	
04/28/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	RETURN OF CAPITAL	\$0.02	-	-	
05/31/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	RETURN OF CAPITAL	\$0.02	-	-	
06/30/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	RETURN OF CAPITAL	\$0.02	-	-	
07/31/23	**BLACKROCK FDS V FLTING RTE INCM	09260B739	RETURN OF CAPITAL	\$0.02	-	-	
<b>Subtotals</b>				<b>\$0.15</b>			

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**DETAILS OF 2023 FORM 1099-DIV, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
02/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	RETURN OF CAPITAL	\$2.53	-	-	
03/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	RETURN OF CAPITAL	\$2.53	-	-	
04/03/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	RETURN OF CAPITAL	\$1.91	-	-	
05/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	RETURN OF CAPITAL	\$1.80	-	-	
06/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	RETURN OF CAPITAL	\$1.80	-	-	
07/03/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	RETURN OF CAPITAL	\$1.80	-	-	
08/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	RETURN OF CAPITAL	\$1.80	-	-	
09/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	RETURN OF CAPITAL	\$1.81	-	-	
10/02/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	RETURN OF CAPITAL	\$1.81	-	-	
11/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	RETURN OF CAPITAL	\$1.58	-	-	
12/01/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	RETURN OF CAPITAL	\$1.58	-	-	
12/15/23	**COHEN & STEERS PFD SECS & INCOME	19248X604	RETURN OF CAPITAL	\$1.58	-	-	
<b>Subtotals</b>				<b>\$22.53</b>			
02/23/23	**MANAGED PORTFOLIO SER TRTOIS ENR	56166Y404	RETURN OF CAPITAL	\$6.56	-	-	
05/25/23	**MANAGED PORTFOLIO SER TRTOIS ENR	56166Y404	RETURN OF CAPITAL	\$6.56	-	-	
08/24/23	**MANAGED PORTFOLIO SER TRTOIS ENR	56166Y404	RETURN OF CAPITAL	\$4.58	-	-	
11/28/23	**MANAGED PORTFOLIO SER TRTOIS ENR	56166Y404	RETURN OF CAPITAL	\$4.58	-	-	
<b>Subtotals</b>				<b>\$22.28</b>			
01/31/23	**PIMCO MORTGAGE OPPORTUNITIES AND	72201U638	RETURN OF CAPITAL	\$2.39	-	-	
02/28/23	**PIMCO MORTGAGE OPPORTUNITIES AND	72201U638	RETURN OF CAPITAL	\$2.33	-	-	
03/31/23	**PIMCO MORTGAGE OPPORTUNITIES AND	72201U638	RETURN OF CAPITAL	\$2.72	-	-	
<b>Subtotals</b>				<b>\$7.44</b>			
<b>Total Nondividend Distributions (Box 3)</b>				<b>\$53.24</b>			
02/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND	\$5.69	-	-	
03/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND	\$5.41	-	-	
04/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND	\$6.71	-	-	

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 FOR UNDELIVERABLE MAIL ONLY

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 Account Name: RAHUL PANDEY  
 Recipient's TIN: \*\*\*-\*\*-4838

Account Executive No: D6I

ORIGINAL: 12/31/2023



**DETAILS OF 2023 FORM 1099-DIV, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
05/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND	\$7.46	-	-	
06/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND	\$8.54	-	-	
07/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND	\$6.59	-	-	
08/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND	\$4.23	-	-	
09/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND	\$2.71	-	-	
10/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND	\$2.82	-	-	
11/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND	\$3.59	-	-	
12/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND	\$2.76	-	-	
12/31/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND	\$2.43	-	-	
<b>Subtotals</b>				<b>\$58.94</b>			
02/01/23	**NUVEEN MUN TR SHRT DURATN HIGH Y	67065Q699	EXEMPT DIVIDEND	\$8.10	-	-	
03/01/23	**NUVEEN MUN TR SHRT DURATN HIGH Y	67065Q699	EXEMPT DIVIDEND	\$4.48	-	-	
<b>Subtotals</b>				<b>\$12.58</b>			
<b>Total Exempt-interest dividends (Box 12)</b>				<b>\$71.52</b>			
02/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND, AMT	\$3.85	-	-	
03/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND, AMT	\$3.66	-	-	
04/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND, AMT	\$4.54	-	-	
05/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND, AMT	\$5.04	-	-	
06/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND, AMT	\$5.78	-	-	
07/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND, AMT	\$4.45	-	-	
08/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND, AMT	\$2.86	-	-	
09/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND, AMT	\$1.83	-	-	
10/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND, AMT	\$1.91	-	-	
11/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND, AMT	\$2.43	-	-	
12/01/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND, AMT	\$1.86	-	-	
12/31/23	JPMORGAN TR II MUN MONEY MKT FD IN	4812C0241	EXEMPT DIVIDEND, AMT	\$1.64	-	-	
<b>Subtotals</b>				<b>\$39.85</b>			

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**DETAILS OF 2023 FORM 1099-DIV, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
02/01/23	**NUVEEN MUN TR SHRT DURATN HIGH Y	67065Q699	EXEMPT DIVIDEND, AMT	\$1.97	-	-	
03/01/23	**NUVEEN MUN TR SHRT DURATN HIGH Y	67065Q699	EXEMPT DIVIDEND, AMT	\$1.09	-	-	
<b>Subtotals</b>				<b>\$3.06</b>			
<b>Total Specified Private Activity bond interest dividends (AMT, Box 13 included in Box 12)</b>				<b>\$42.91</b>			
<b>Total Foreign Tax Paid (Box 7)</b>					<b>\$8.42</b>		

**END OF 2023 DETAILS OF IRS REPORTABLE INFORMATION**

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**J.P.Morgan**

**DETAILS OF 2023 SUPPLEMENTAL INFORMATION**

**DETAILS OF 2023 FEES & CHARGES**

Date	Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Additional Information
01/24/23	ADVISORY FEE FOR THE PERIOD 12-01-2022		MANAGEMENT FEE	\$71.04		
02/22/23	ADVISORY FEE FOR THE PERIOD 01-01-2023		MANAGEMENT FEE	\$73.54		
03/21/23	ADVISORY FEE FOR THE PERIOD 02-01-2023		MANAGEMENT FEE	\$65.31		
04/24/23	ADVISORY FEE FOR THE PERIOD 03-01-2023		MANAGEMENT FEE	\$72.63		
05/19/23	ADVISORY FEE FOR THE PERIOD 04-01-2023		MANAGEMENT FEE	\$70.76		
06/22/23	ADVISORY FEE FOR THE PERIOD 05-01-2023		MANAGEMENT FEE	\$72.62		
07/24/23	ADVISORY FEE FOR THE PERIOD 06-01-2023		MANAGEMENT FEE	\$70.96		
08/21/23	ADVISORY FEE FOR THE PERIOD 07-01-2023		MANAGEMENT FEE	\$74.10		
09/22/23	ADVISORY FEE FOR THE PERIOD 08-01-2023		MANAGEMENT FEE	\$73.79		
10/20/23	ADVISORY FEE FOR THE PERIOD 09-01-2023		MANAGEMENT FEE	\$70.17		
11/21/23	ADVISORY FEE FOR THE PERIOD 10-01-2023		MANAGEMENT FEE	\$71.77		
12/21/23	ADVISORY FEE FOR THE PERIOD 11-01-2023		MANAGEMENT FEE	\$72.22		
	<b>Subtotals</b>			<b>\$858.91</b>		
	<b>Total Management Fees</b>			<b>\$858.91</b>		

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**2023 INVESTMENT DETAILS**

**DETAILS OF 2023 INVESTMENT ACTIVITY**

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
06/02/23	**BLACKROCK FDS V HGH YLD BND PORTFOLIO	09260B614	PURCHASE	502.129	\$6.76	\$3,394.39	
07/31/23	**BLACKROCK FDS V HGH YLD BND PORTFOLIO	09260B614	PURCHASE	170.520	\$6.84	\$1,166.36	
07/06/23	ISHARES TRUST ISHARES 3 TO 7 YEAR TREAS	464288661	PURCHASE	20.000	\$113.87	\$2,277.40	
07/20/23	ISHARES TRUST ISHARES 3 TO 7 YEAR TREAS	464288661	PURCHASE	30.000	\$115.33	\$3,459.90	
02/03/23	ISHARES TRUST ISHARES MSCI EAFE GROWTH	464288885	PURCHASE	12.000	\$92.49	\$1,109.88	
03/13/23	ISHARES MSCI EUROPE FINANCIAL SECTOR	464289180	PURCHASE	18.000	\$18.49	\$332.81	
04/17/23	ISHARES MSCI EUROPE FINANCIAL SECTOR	464289180	PURCHASE	16.000	\$19.43	\$310.87	
01/11/23	ISHARES TRUST ISHARES MSCI INTL QUALITY	46434V456	PURCHASE	17.000	\$33.99	\$577.82	
01/17/23	ISHARES TRUST ISHARES MSCI INTL QUALITY	46434V456	PURCHASE	12.000	\$34.84	\$418.08	
02/03/23	ISHARES TRUST ISHARES MSCI INTL QUALITY	46434V456	PURCHASE	41.000	\$35.16	\$1,441.56	
08/17/23	ISHARES TRUST ISHARES MSCI INTL QUALITY	46434V456	PURCHASE	8.000	\$34.44	\$275.52	
09/26/23	ISHARES TRUST ISHARES MSCI INTL QUALITY	46434V456	PURCHASE	30.000	\$33.47	\$1,004.10	
03/27/23	ISHARES TR CORE INTL AGGREGATE BD ETF	46435G672	PURCHASE	28.000	\$48.91	\$1,369.48	
04/20/23	ISHARES TR CORE INTL AGGREGATE BD ETF	46435G672	PURCHASE	12.000	\$48.78	\$585.36	
07/20/23	ISHARES TR CORE INTL AGGREGATE BD ETF	46435G672	PURCHASE	6.000	\$49.31	\$295.86	
07/31/23	ISHARES TR CORE INTL AGGREGATE BD ETF	46435G672	PURCHASE	12.000	\$49.31	\$591.72	
02/16/23	**JPMORGAN TR I INCOME FD CL R6	46637K216	PURCHASE	288.107	\$8.30	\$2,391.29	
12/18/23	**JPMORGAN TR I INCOME FD CL R6	46637K216	PURCHASE	105.487	\$8.30	\$875.54	
06/09/23	**J P MORGAN MUT FD INVT TR GROWTH ADVA	46640W108	PURCHASE	94.771	\$29.91	\$2,834.60	
08/24/23	**J P MORGAN MUT FD INVT TR GROWTH ADVA	46640W108	PURCHASE	22.255	\$30.57	\$680.35	
10/30/23	**J P MORGAN MUT FD INVT TR GROWTH ADVA	46640W108	PURCHASE	17.163	\$29.27	\$502.36	
09/26/23	J P MORGAN EXCHANGE TRADED FUND TRUST J	46641Q332	PURCHASE	11.000	\$53.68	\$590.48	
10/04/23	J P MORGAN EXCHANGE TRADED FUND TRUST J	46641Q332	PURCHASE	15.000	\$52.64	\$789.60	
01/09/23	J P MORGAN EXCHANGE TRADED FUND TRUST J	46641Q837	PURCHASE	48.000	\$50.18	\$2,408.64	
09/21/23	J P MORGAN EXCHANGE TRADED FUND TRUST J	46641Q837	PURCHASE	34.000	\$50.14	\$1,704.76	
10/20/23	J P MORGAN EXCHANGE TRADED FUND TRUST J	46641Q837	PURCHASE	19.000	\$50.13	\$952.47	
07/31/23	**JPMORGAN TR IV JPMORGAN PFD&INC SECS	46645V261	PURCHASE	120.722	\$8.95	\$1,080.46	
12/19/23	**JPMORGAN TR IV JPMORGAN PFD&INC SECS	46645V261	PURCHASE	97.373	\$9.18	\$893.88	
08/02/23	**JPMORGAN TR II MTG BACKED SECS FD ULT	4812C0159	PURCHASE	55.551	\$9.89	\$549.40	
03/14/23	**MAINSTAY FDS MACKAY HGH YLD CORP BD F	56063N881	PURCHASE	198.663	\$4.93	\$979.41	
10/20/23	**MAINSTAY FDS MACKAY HGH YLD CORP BD F	56063N881	PURCHASE	271.935	\$4.89	\$1,329.76	
02/16/23	PGIM ETF TRUST PGIM ULTRA SHORT BOND ET	69344A107	PURCHASE	23.000	\$49.40	\$1,136.20	
05/30/23	PGIM ETF TRUST PGIM ULTRA SHORT BOND ET	69344A107	PURCHASE	34.000	\$49.35	\$1,677.90	
08/02/23	**PIMCO MORTGAGE OPPORTUNITIES AND BOND	72201U638	PURCHASE	103.101	\$9.36	\$965.03	

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**DETAILS OF 2023 INVESTMENT ACTIVITY, CONTINUED**

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
02/03/23	**ROWE T PRICE INTL FDS INC NEW ASIA FD	77956H385	PURCHASE	32.661	\$17.62	\$575.48	
02/06/23	**ROWE T PRICE INTL FDS INC NEW ASIA FD	77956H385	PURCHASE	32.926	\$17.30	\$569.62	
06/09/23	SCHWAB STRATEGIC TR US DIVIDEND EQUITY	808524797	PURCHASE	7.000	\$71.73	\$502.11	
10/04/23	SELECT SECTOR SPDR TRUST THE UTILITIES	81369Y886	PURCHASE	20.000	\$56.50	\$1,129.97	
01/05/23	VANGUARD SHORT TERM BOND ETF	921937827	PURCHASE	15.000	\$75.37	\$1,130.55	
02/10/23	VANGUARD SHORT TERM BOND ETF	921937827	PURCHASE	13.000	\$75.59	\$982.67	
02/27/23	VANGUARD SHORT TERM BOND ETF	921937827	PURCHASE	29.000	\$75.13	\$2,178.77	
06/15/23	VANGUARD SHORT TERM BOND ETF	921937827	PURCHASE	30.000	\$75.79	\$2,273.69	
03/15/23	**VANGUARD FIXED INCOME SECS INTER TERM	922031810	PURCHASE	132.178	\$8.45	\$1,116.90	
07/06/23	VANGUARD SCOTTSDALE FUNDS VANGUARD SHOR	92206C102	PURCHASE	39.000	\$57.45	\$2,240.55	
05/30/23	VANGUARD SHORT TERM CORPORATE BOND ETF	92206C409	PURCHASE	45.000	\$75.84	\$3,412.80	
09/28/23	VANGUARD SHORT TERM CORPORATE BOND ETF	92206C409	PURCHASE	15.000	\$75.15	\$1,127.25	
09/28/23	VANGUARD SCOTTSDALE FUNDS VANGUARD INTE	92206C706	PURCHASE	47.000	\$57.03	\$2,680.41	
07/13/23	VANGUARD INDEX FUNDS VANGUARD TOTAL STO	922908769	PURCHASE	10.000	\$223.87	\$2,238.70	
08/17/23	VANGUARD INDEX FUNDS VANGUARD TOTAL STO	922908769	PURCHASE	4.000	\$218.05	\$872.20	

**END OF 2023 DETAILS OF NON-REPORTABLE INFORMATION**

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