



Raj kumar Mittal
350 N Ervay St
Dallas, TX 75201

Master Account Number for Import:

642476667

Document ID for Import:

X5BXW03EXSQ

Enclosed is your 2023 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

642476667 - Robinhood Securities LLC

642476667C - Robinhood Crypto LLC

New for 2023 Tax Year - 1099 tax forms for Robinhood Markets Inc. will be displayed using an aggregated format based on key tax lot criteria. We have done this to reduce the size of your overall tax package, as well as to help make your tax documents easier to use. This new format still provides you with all the information you need to file your taxes.

Robinhood Retirements Accounts - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2023 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

Filing Your Taxes - If filing through tax service providers, such as TurboTax or H&R Block, please utilize the Master Account Number and Document ID listed above. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

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Robinhood Markets Inc. as agent for Robinhood Securities LLC 85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700 PAYER'S TIN: 46-4364776	Tax Information Account 642476667	Statement Date: 02/12/2024	2023
	Raj kumar Mittal 350 N Ervay St Dallas, TX 75201 RECIPIENT'S TIN: XXX-XX-0520		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2023 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>12- Exempt-interest dividends (includes line 13) 0.00</p> <p>13- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2023 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2023 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2023 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2022 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2023 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	28,636.30	30,376.65	0.00	256.67	-1,483.68
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	28,636.30	30,376.65	0.00	256.67	-1,483.68
Long	D (basis reported to the IRS)	254.86	403.53	0.00	112.27	-36.40
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	254.86	403.53	0.00	112.27	-36.40
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	28,891.16	30,780.18	0.00	368.94	-1,520.08
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Robinhood Markets Inc. as agent for
 Robinhood Securities LLC
 2023

Summary Information
 (continued)

Account 642476667
 02/12/2024

INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 642476667

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

02/12/2024

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AA 09/29/2023 CALL \$27.50 / CUSIP: / Symbol:							
09/25/23	1.000	23.98	09/25/23	24.00	...	-0.02	Option sale to close-call ²⁵
AAPL 09/15/2023 PUT \$175.00 / CUSIP: / Symbol:							
09/13/23	1.000	148.98	09/13/23	148.00	...	0.98	Option sale to close-put ²⁵
AAPL 09/29/2023 PUT \$165.00 / CUSIP: / Symbol:							
09/27/23	3.000	83.98	09/27/23	78.00	...	5.98	Option sale to close-put ²⁵
AAPL 11/03/2023 CALL \$175.00 / CUSIP: / Symbol:							
11/03/23	3.000	386.89	Various	429.09	...	-42.20	Total of 3 transactions
AAPL 11/10/2023 CALL \$180.00 / CUSIP: / Symbol:							
11/07/23	6.000	980.78	Various	932.18	...	48.60	Total of 4 transactions

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 642476667

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 11/10/2023 CALL \$182.50 / CUSIP: / Symbol:							
11/08/23	3.000	428.90	Various	485.17	...	-56.27	Total of 2 transactions
11/08/23	3.000	427.88	Various	477.09	49.21 W	0.00	Total of 2 transactions
11/10/23	2.000	419.92	11/10/23	430.19	...	-10.27	Option sale to close-call ²⁵
	Security total:	1,276.70		1,392.45	49.21 W	-66.54	
AAPL 11/17/2023 CALL \$185.00 / CUSIP: / Symbol:							
11/13/23	2.000	307.92	11/13/23	288.06	...	19.86	Option sale to close-call ²⁵
ABBV 09/15/2023 CALL \$152.50 / CUSIP: / Symbol:							
09/13/23	1.000	21.98	09/13/23	16.00	...	5.98	Option sale to close-call ²⁵
ABBV 09/15/2023 CALL \$155.00 / CUSIP: / Symbol:							
09/14/23	5.000	59.98	Various	47.00	...	12.98	Total of 2 transactions
ABBV 09/22/2023 CALL \$155.00 / CUSIP: / Symbol:							
09/19/23	1.000	48.98	09/18/23	73.00	...	-24.02	Option sale to close-call ²⁵
ALGN 09/15/2023 PUT \$320.00 / CUSIP: / Symbol:							
09/12/23	1.000	253.98	09/12/23	175.00	...	78.98	Option sale to close-put ²⁵
AMD 09/15/2023 PUT \$102.00 / CUSIP: / Symbol:							
09/15/23	5.000	164.98	09/15/23	140.00	...	24.98	Option sale to close-put ²⁵
AMD 09/15/2023 PUT \$103.00 / CUSIP: / Symbol:							
09/15/23	1.000	27.98	09/15/23	41.00	...	-13.02	Option sale to close-put ²⁵
AMD 09/15/2023 PUT \$104.00 / CUSIP: / Symbol:							
09/15/23	1.000	49.98	09/15/23	63.00	...	-13.02	Option sale to close-put ²⁵
AMD 09/22/2023 PUT \$95.00 / CUSIP: / Symbol:							
09/21/23	5.000	224.94	Various	210.00	...	14.94	Total of 3 transactions
AMD 11/03/2023 CALL \$106.00 / CUSIP: / Symbol:							
11/02/23	2.000	385.92	11/02/23	338.06	...	47.86	Option sale to close-call ²⁵
AMZN 07/07/2023 CALL \$130.00 / CUSIP: / Symbol:							
07/07/23	3.000	47.98	07/07/23	99.00	...	-51.02	Option sale to close-call ²⁵

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 642476667

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 09/22/2023 CALL \$148.00 / CUSIP: / Symbol: 09/14/23	1.000	72.98	09/14/23	121.00	...	-48.02	Option sale to close-call ²⁵
AMZN 09/29/2023 PUT \$123.00 / CUSIP: / Symbol: 09/27/23	2.000	139.98	09/27/23	118.00	...	21.98	Option sale to close-put ²⁵
AMZN 11/03/2023 CALL \$135.00 / CUSIP: / Symbol: 11/01/23	2.000	289.92	Various	332.06	...	-42.14	Total of 2 transactions
AMZN 11/03/2023 CALL \$136.00 / CUSIP: / Symbol: 11/02/23	2.000	333.92	11/02/23	300.06	...	33.86	Option sale to close-call ²⁵
AMZN 11/03/2023 CALL \$137.00 / CUSIP: / Symbol: 11/02/23	2.000	349.92	11/02/23	332.06	...	17.86	Option sale to close-call ²⁵
AMZN 11/10/2023 CALL \$140.00 / CUSIP: / Symbol: 11/06/23	6.000	1,163.78	Various	1,158.18	...	5.60	Total of 2 transactions
AMZN 11/17/2023 CALL \$147.00 / CUSIP: / Symbol: 11/14/23	3.000	350.89	11/14/23	447.09	...	-96.20	Option sale to close-call ²⁵
AXP 10/20/2023 PUT \$147.00 / CUSIP: / Symbol: 10/20/23	1.000	283.98	10/19/23	188.00	...	95.98	Option sale to close-put ²⁵
BA 09/22/2023 PUT \$205.00 / CUSIP: / Symbol: 09/18/23	1.000	149.98	09/18/23	184.00	...	-34.02	Option sale to close-put ²⁵
BA 10/27/2023 PUT \$172.50 / CUSIP: / Symbol: 10/25/23	1.000	9.95	10/24/23	99.03	...	-89.08	Option sale to close-put ²⁵
CCL 11/03/2023 CALL \$11.50 / CUSIP: / Symbol: 11/01/23	20.000	419.32	Various	400.60	...	18.72	Total of 2 transactions
CLF 12/15/2023 CALL \$18.00 / CUSIP: / Symbol: 11/15/23	8.000	339.66	Various	336.24	...	3.42	Total of 5 transactions
CVX 10/06/2023 PUT \$157.50 / CUSIP: / Symbol: 10/04/23	2.000	39.98	10/04/23	38.00	...	1.98	Option sale to close-put ²⁵

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 642476667

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DDOG 09/15/2023 CALL \$101.00 / CUSIP: / Symbol:							
09/08/23	1.000	109.98	09/08/23	98.00	...	11.98	Option sale to close-call ²⁵
DIS 11/10/2023 CALL \$89.00 / CUSIP: / Symbol:							
11/09/23	6.000	695.78	Various	669.18	...	26.60	Total of 2 transactions
DIS 11/10/2023 CALL \$90.00 / CUSIP: / Symbol:							
11/09/23	5.000	394.83	Various	620.15	...	-225.32	Total of 2 transactions
ENPH 09/29/2023 PUT \$111.00 / CUSIP: / Symbol:							
09/26/23	2.000	63.96	Various	92.00	...	-28.04	Total of 2 transactions
F 11/10/2023 CALL \$9.00 / CUSIP: / Symbol:							
10/31/23	5.000	463.81	Various	425.15	...	38.66	Total of 2 transactions
GDX 09/29/2023 CALL \$28.50 / CUSIP: / Symbol:							
09/26/23	1.000	20.98	09/25/23	41.00	...	-20.02	Option sale to close-call ²⁵
GM 11/03/2023 PUT \$27.00 / CUSIP: / Symbol:							
10/30/23	7.000	440.75	Various	413.21	...	27.54	Total of 2 transactions
GOOGL 09/15/2023 PUT \$134.00 / CUSIP: / Symbol:							
09/13/23	1.000	41.98	09/13/23	85.00	...	-43.02	Option sale to close-put ²⁵
GOOGL 09/29/2023 PUT \$125.00 / CUSIP: / Symbol:							
09/26/23	1.000	51.98	09/26/23	72.00	...	-20.02	Option sale to close-put ²⁵
HD 09/22/2023 PUT \$315.00 / CUSIP: / Symbol:							
09/18/23	1.000	109.98	09/18/23	160.00	...	-50.02	Option sale to close-put ²⁵
INTC 09/22/2023 PUT \$33.00 / CUSIP: / Symbol:							
09/21/23	1.000	1.98	09/20/23	4.00	...	-2.02	Option sale to close-put ²⁵
INTC 09/22/2023 PUT \$33.50 / CUSIP: / Symbol:							
09/21/23	1.000	6.98	09/20/23	8.00	...	-1.02	Option sale to close-put ²⁵
INTC 09/22/2023 PUT \$34.00 / CUSIP: / Symbol:							
09/21/23	1.000	15.98	09/20/23	17.00	...	-1.02	Option sale to close-put ²⁵

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Robinhood Markets Inc. as agent for
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Account 642476667

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTC 09/22/2023 PUT \$34.50 / CUSIP: / Symbol: 09/22/23	5.000	144.98	Various	205.00	...	-60.02	Total of 2 transactions
INTC 09/22/2023 PUT \$35.00 / CUSIP: / Symbol: 09/20/23	3.000	83.98	09/20/23	120.00	...	-36.02	Option sale to close-put ²⁵
INTC 09/22/2023 PUT \$35.50 / CUSIP: / Symbol: 09/20/23	10.000	359.95	Various	300.00	...	59.95	Total of 7 transactions
JD 09/29/2023 PUT \$29.00 / CUSIP: / Symbol: 09/25/23	5.000	134.98	Various	190.00	...	-55.02	Total of 2 transactions
JPM 07/14/2023 CALL \$152.50 / CUSIP: / Symbol: 07/14/23	1.000	50.98	07/14/23	68.00	...	-17.02	Option sale to close-call ²⁵
KO 12/15/2023 CALL \$57.50 / CUSIP: / Symbol: 11/13/23	5.000	329.83	11/13/23	320.15	...	9.68	Option sale to close-call ²⁵
MDT 11/17/2023 CALL \$71.00 / CUSIP: / Symbol: 10/31/23	2.000	295.92	10/31/23	272.06	...	23.86	Option sale to close-call ²⁵
META 09/01/2023 PUT \$285.00 / CUSIP: / Symbol: 08/30/23	1.000	57.98	08/30/23	60.02	...	-2.04	Option sale to close-put ²⁵
08/30/23	1.000	57.98	08/30/23	59.00	1.02 W	0.00	Option sale to close-put ²⁵
Security total:		115.96		119.02	1.02 W	-2.04	
META 09/22/2023 CALL \$310.00 / CUSIP: / Symbol: 09/22/23	10.000	209.92	Various	280.00	...	-70.08	Total of 4 transactions
MMM 11/17/2023 PUT \$88.00 / CUSIP: / Symbol: 11/10/23	1.000	28.95	11/09/23	51.03	...	-22.08	Option sale to close-put ²⁵
MSFT 10/27/2023 CALL \$357.50 / CUSIP: / Symbol: 10/23/23	1.000	51.98	10/23/23	52.00	...	-0.02	Option sale to close-call ²⁵
MSFT 11/10/2023 CALL \$360.00 / CUSIP: / Symbol: 11/07/23	1.000	210.95	11/07/23	227.03	...	-16.08	Option sale to close-call ²⁵

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Robinhood Markets Inc. as agent for
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Account 642476667

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

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02/12/2024

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MSFT 11/10/2023 CALL \$362.50 / CUSIP: / Symbol: 11/07/23	2.000	423.92	11/07/23	412.06	...	11.86	Option sale to close-call ²⁵
NFLX 09/29/2023 CALL \$397.50 / CUSIP: / Symbol: 09/26/23	1.000	74.98	09/26/23	106.00	...	-31.02	Option sale to close-call ²⁵
NVDA 11/03/2023 PUT \$377.50 / CUSIP: / Symbol: 10/31/23	1.000	304.95	10/31/23	340.03	...	-35.08	Option sale to close-put ²⁵
ORCL 10/27/2023 PUT \$103.00 / CUSIP: / Symbol: 10/23/23	2.000	209.96	Various	244.00	...	-34.04	Total of 2 transactions
PEP 09/22/2023 PUT \$175.00 / CUSIP: / Symbol: 09/20/23	1.000	9.98	09/19/23	19.00	...	-9.02	Option sale to close-put ²⁵
PEP 11/24/2023 CALL \$170.00 / CUSIP: / Symbol: 11/01/23	5.000	379.83	11/01/23	355.15	...	24.68	Option sale to close-call ²⁵
PLUG 11/03/2023 PUT \$6.00 / CUSIP: / Symbol: 10/30/23	10.000	364.56	Various	330.30	...	34.26	Total of 7 transactions
PYPL 09/15/2023 CALL \$65.00 / CUSIP: / Symbol: 09/15/23	1.000	37.98	09/15/23	36.00	...	1.98	Option sale to close-call ²⁵
PYPL 09/22/2023 CALL \$66.00 / CUSIP: / Symbol: 09/15/23	1.000	62.98	09/15/23	59.00	...	3.98	Option sale to close-call ²⁵
PYPL 09/22/2023 PUT \$58.00 / CUSIP: / Symbol: 09/21/23	10.000	189.94	Various	180.00	...	9.94	Total of 3 transactions
QQQ 08/24/2023 PUT \$367.00 / CUSIP: / Symbol: 08/24/23	4.000	139.98	08/24/23	180.00	...	-40.02	Option sale to close-put ²⁵
QQQ 08/28/2023 PUT \$363.00 / CUSIP: / Symbol: 08/28/23	2.000	39.98	08/28/23	80.00	...	-40.02	Option sale to close-put ²⁵
QQQ 09/05/2023 CALL \$378.00 / CUSIP: / Symbol: 09/05/23	1.000	72.98	09/05/23	68.00	...	4.98	Option sale to close-call ²⁵

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QQQ 09/05/2023 PUT \$375.00 / CUSIP: / Symbol:							
09/05/23	1.000	67.98	09/05/23	82.02	...	-14.04	Option sale to close-put ²⁵
09/05/23	1.000	39.98	09/05/23	62.00	22.02 W	0.00	Option sale to close-put ²⁵
	Security total:	107.96		144.02	22.02 W	-14.04	
QQQ 09/07/2023 PUT \$367.00 / CUSIP: / Symbol:							
09/07/23	1.000	40.98	09/07/23	39.00	...	1.98	Option sale to close-put ²⁵
QQQ 09/11/2023 CALL \$376.00 / CUSIP: / Symbol:							
09/11/23	2.000	80.96	Various	70.00	...	10.96	Total of 2 transactions
QQQ 09/12/2023 CALL \$377.00 / CUSIP: / Symbol:							
09/12/23	1.000	76.98	09/12/23	69.00	...	7.98	Option sale to close-call ²⁵
QQQ 09/13/2023 PUT \$372.00 / CUSIP: / Symbol:							
09/13/23	1.000	29.98	09/13/23	39.00	...	-9.02	Option sale to close-put ²⁵
QQQ 09/19/2023 CALL \$369.00 / CUSIP: / Symbol:							
09/19/23	2.000	163.98	09/19/23	224.00	...	-60.02	Option sale to close-call ²⁵
QQQ 09/19/2023 PUT \$368.00 / CUSIP: / Symbol:							
09/19/23	2.000	201.96	Various	174.00	...	27.96	Total of 2 transactions
QQQ 11/08/2023 PUT \$374.00 / CUSIP: / Symbol:							
11/08/23	5.000	604.83	Various	655.15	...	-50.32	Total of 2 transactions
QQQ 11/13/2023 PUT \$366.00 / CUSIP: / Symbol:							
11/06/23	2.000	415.90	Various	396.06	...	19.84	Total of 2 transactions
ROKU 11/10/2023 CALL \$89.00 / CUSIP: / Symbol:							
11/09/23	10.000	169.66	Various	270.30	...	-100.64	Total of 2 transactions
SCHW 09/15/2023 PUT \$57.00 / CUSIP: / Symbol:							
09/15/23	1.000	33.98	09/15/23	56.00	...	-22.02	Option sale to close-put ²⁵
SNAP 07/07/2023 PUT \$12.00 / CUSIP: / Symbol:							
07/06/23	1.000	23.98	07/06/23	22.00	...	1.98	Option sale to close-put ²⁵

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SPY 08/18/2023 CALL \$437.00 / CUSIP: / Symbol: 08/18/23	1.000	46.98	08/18/23	38.00	...	8.98	Option sale to close-call ²⁵
SPY 08/21/2023 CALL \$440.00 / CUSIP: / Symbol: 08/21/23	1.000	42.98	08/21/23	39.00	...	3.98	Option sale to close-call ²⁵
SPY 08/22/2023 CALL \$442.00 / CUSIP: / Symbol: 08/22/23	4.000	129.96	Various	158.08	...	-28.12	Total of 3 transactions
08/22/23	6.000	204.92	Various	243.03	38.11 W	0.00	Total of 4 transactions
Security total:		334.88		401.11	38.11 W	-28.12	
SPY 08/23/2023 PUT \$438.00 / CUSIP: / Symbol: 08/23/23	4.000	151.98	08/23/23	172.00	...	-20.02	Option sale to close-put ²⁵
SPY 08/23/2023 PUT \$439.00 / CUSIP: / Symbol: 08/23/23	3.000	155.96	Various	195.00	...	-39.04	Total of 2 transactions
SPY 08/28/2023 CALL \$443.00 / CUSIP: / Symbol: 08/28/23	2.000	71.98	08/28/23	60.00	...	11.98	Option sale to close-call ²⁵
SPY 08/29/2023 CALL \$447.00 / CUSIP: / Symbol: 08/29/23	2.000	91.98	08/29/23	84.00	...	7.98	Option sale to close-call ²⁵
SPY 08/30/2023 PUT \$448.00 / CUSIP: / Symbol: 08/30/23	2.000	99.98	Various	96.00	...	3.98	Total of 2 transactions
SPY 08/30/2023 PUT \$449.00 / CUSIP: / Symbol: 08/30/23	1.000	36.98	08/30/23	34.00	...	2.98	Option sale to close-put ²⁵
SPY 08/31/2023 CALL \$454.00 / CUSIP: / Symbol: 08/31/23	2.000	51.98	08/31/23	64.00	...	-12.02	Option sale to close-call ²⁵
SPY 09/01/2023 PUT \$451.00 / CUSIP: / Symbol: 09/01/23	4.000	267.94	Various	220.00	...	47.94	Total of 3 transactions
SPY 09/05/2023 PUT \$449.00 / CUSIP: / Symbol: 09/05/23	1.000	59.98	09/05/23	69.00	...	-9.02	Option sale to close-put ²⁵

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SPY 09/06/2023 CALL \$449.00 / CUSIP: / Symbol:							
09/06/23	2.000	113.98	09/06/23	106.00	...	7.98	Option sale to close-call ²⁵
SPY 09/06/2023 PUT \$445.00 / CUSIP: / Symbol:							
09/06/23	2.000	81.98	09/06/23	72.00	...	9.98	Option sale to close-put ²⁵
SPY 09/06/2023 PUT \$446.00 / CUSIP: / Symbol:							
09/06/23	2.000	93.98	09/06/23	66.00	...	27.98	Option sale to close-put ²⁵
SPY 09/07/2023 CALL \$445.00 / CUSIP: / Symbol:							
09/07/23	2.000	45.98	Various	78.02	...	-32.04	Total of 2 transactions
09/07/23	2.000	53.98	09/07/23	78.00	24.02 W	0.00	Option sale to close-call ²⁵
	Security total:	99.96		156.02	24.02 W	-32.04	
SPY 09/07/2023 PUT \$441.00 / CUSIP: / Symbol:							
09/07/23	4.000	99.98	09/07/23	124.00	...	-24.02	Option sale to close-put ²⁵
SPY 09/07/2023 PUT \$444.00 / CUSIP: / Symbol:							
09/07/23	1.000	11.98	09/07/23	10.00	...	1.98	Option sale to close-put ²⁵
SPY 09/08/2023 CALL \$447.00 / CUSIP: / Symbol:							
09/08/23	1.000	34.98	09/08/23	64.02	...	-29.04	Option sale to close-call ²⁵
09/08/23	1.000	44.98	09/08/23	53.00	8.02 W	0.00	Option sale to close-call ²⁵
	Security total:	79.96		117.02	8.02 W	-29.04	
SPY 09/08/2023 PUT \$445.00 / CUSIP: / Symbol:							
09/08/23	1.000	19.98	09/08/23	33.00	...	-13.02	Option sale to close-put ²⁵
SPY 09/11/2023 CALL \$449.00 / CUSIP: / Symbol:							
09/11/23	2.000	25.98	Various	43.02	...	-17.04	Total of 2 transactions
09/11/23	1.000	14.98	09/11/23	20.00	5.02 W	0.00	Option sale to close-call ²⁵
	Security total:	40.96		63.02	5.02 W	-17.04	
SPY 09/11/2023 PUT \$446.00 / CUSIP: / Symbol:							
09/11/23	2.000	55.96	Various	82.00	...	-26.04	Total of 2 transactions
SPY 09/12/2023 CALL \$449.00 / CUSIP: / Symbol:							
09/12/23	1.000	21.98	09/12/23	31.00	...	-9.02	Option sale to close-call ²⁵

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SPY 09/12/2023 PUT \$447.00 / CUSIP: / Symbol: 09/12/23	1.000	45.98	09/12/23	42.00	...	3.98	Option sale to close-put ²⁵
SPY 09/13/2023 PUT \$444.00 / CUSIP: / Symbol: 09/13/23	1.000	33.98	09/13/23	32.00	...	1.98	Option sale to close-put ²⁵
SPY 09/15/2023 CALL \$448.00 / CUSIP: / Symbol: 09/15/23	1.000	29.98	09/15/23	37.00	...	-7.02	Option sale to close-call ²⁵
SPY 09/19/2023 PUT \$442.00 / CUSIP: / Symbol: 09/19/23	1.000	23.98	09/19/23	36.00	...	-12.02	Option sale to close-put ²⁵
SPY 11/17/2023 PUT \$432.00 / CUSIP: / Symbol: 11/10/23	2.000	299.92	11/10/23	404.06	...	-104.14	Option sale to close-put ²⁵
T 10/06/2023 PUT \$15.00 / CUSIP: / Symbol: 10/03/23	1.000	56.98	10/03/23	56.00	...	0.98	Option sale to close-put ²⁵
TMUS 10/27/2023 CALL \$146.00 / CUSIP: / Symbol: 10/25/23	1.000	16.95	10/24/23	99.03	...	-82.08	Option sale to close-call ²⁵
TMUS 10/27/2023 CALL \$148.00 / CUSIP: / Symbol: 10/25/23	1.000	10.95	10/24/23	63.03	...	-52.08	Option sale to close-call ²⁵
TSLA 07/07/2023 PUT \$267.50 / CUSIP: / Symbol: 07/06/23	1.000	95.98	07/06/23	84.00	...	11.98	Option sale to close-put ²⁵
TSLA 08/18/2023 CALL \$217.50 / CUSIP: / Symbol: 08/18/23	1.000	15.98	08/18/23	35.00	...	-19.02	Option sale to close-call ²⁵
TSLA 09/01/2023 PUT \$255.00 / CUSIP: / Symbol: 08/31/23	1.000	166.98	08/31/23	181.00	...	-14.02	Option sale to close-put ²⁵
TSLA 09/29/2023 CALL \$260.00 / CUSIP: / Symbol: 09/25/23	1.000	118.98	09/25/23	118.00	...	0.98	Option sale to close-call ²⁵
TSLA 11/03/2023 CALL \$225.00 / CUSIP: / Symbol: 11/03/23	3.000	434.89	11/03/23	372.09	...	62.80	Option sale to close-call ²⁵

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TSLA 11/17/2023 PUT \$220.00 / CUSIP: / Symbol:							
11/14/23	3.000	272.89	11/14/23	324.09	...	-51.20	Option sale to close-put ²⁵
UAL 09/22/2023 CALL \$48.50 / CUSIP: / Symbol:							
09/12/23	1.000	53.98	09/12/23	73.00	...	-19.02	Option sale to close-call ²⁵
V 09/15/2023 CALL \$250.00 / CUSIP: / Symbol:							
09/13/23	1.000	99.98	09/13/23	85.00	...	14.98	Option sale to close-call ²⁵
V 09/15/2023 PUT \$237.50 / CUSIP: / Symbol:							
09/14/23	4.000	279.98	Various	226.00	...	53.98	Total of 2 transactions
VZ 11/17/2023 CALL \$36.00 / CUSIP: / Symbol:							
11/06/23	4.000	119.86	11/06/23	136.12	...	-16.26	Option sale to close-call ²⁵
WFC 11/24/2023 CALL \$42.50 / CUSIP: / Symbol:							
11/15/23	5.000	294.75	Various	285.15	...	9.60	Total of 5 transactions
11/16/23	6.000	257.80	Various	288.18	...	-30.38	Total of 2 transactions
	Security total:	552.55		573.33	...	-20.78	
WMT 09/22/2023 CALL \$167.50 / CUSIP: / Symbol:							
09/14/23	1.000	29.98	09/14/23	29.00	...	0.98	Option sale to close-call ²⁵
WMT 10/06/2023 PUT \$152.50 / CUSIP: / Symbol:							
10/06/23	2.000	47.98	10/06/23	88.00	...	-40.02	Option sale to close-put ²⁵
XOM 09/29/2023 CALL \$121.00 / CUSIP: / Symbol:							
09/28/23	2.000	69.98	09/28/23	92.00	...	-22.02	Option sale to close-call ²⁵
XOM 10/06/2023 PUT \$108.00 / CUSIP: / Symbol:							
10/05/23	2.000	77.98	10/05/23	62.00	...	15.98	Option sale to close-put ²⁵
ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol:							
01/26/23	0.113	41.47	Various	50.00	...	-8.53	Total of 2 transactions
ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol:							
01/26/23	0.466	35.19	04/05/22	50.00	...	-14.81	Sale ²⁵

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"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AGENUS INC. COMMON STOCK / CUSIP: 00847G705 / Symbol:							
01/20/23	1.000	2.78	01/21/22	2.72	...	0.06	Sale ²⁵
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol:							
01/26/23	0.588	56.98	Various	66.40	...	-9.42	Total of 3 transactions
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
01/26/23	0.143	14.17	01/27/22	20.00	5.83 W	0.00	Sale ²⁵
01/31/23	9.073	934.62	Various	912.24	...	22.38	Total of 2 transactions
	Security total:	948.79		932.24	5.83 W	22.38	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
01/26/23	0.492	70.64	11/14/22	73.35	...	-2.71	Sale ²⁵
ATLISSIAN CORPORATION CLASS A COMMON STOCK / CUSIP: 049468101 / Symbol:							
01/26/23	0.355	55.77	04/12/22	100.00	...	-44.23	Sale ²⁵
CATALYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14888U101 / Symbol:							
01/20/23	1.000	20.62	01/26/22	5.64	...	14.98	Sale ²⁵
META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol:							
01/26/23	0.442	63.71	Various	100.00	36.29 W	0.00	Total of 2 transactions
02/08/23	5.164	956.56	Various	985.85	...	-29.29	Total of 4 transactions
	Security total:	1,020.27		1,085.85	36.29 W	-29.29	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
01/26/23	0.333	81.80	Various	100.07	...	-18.27	Total of 2 transactions
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
01/26/23	0.132	48.45	01/26/22	50.00	...	-1.55	Sale ²⁵
NIKE, INC. / CUSIP: 654106103 / Symbol:							
01/26/23	0.376	47.65	04/05/22	50.00	...	-2.35	Sale ²⁵
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
01/26/23	0.246	48.10	Various	55.03	...	-6.93	Total of 3 transactions

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 642476667

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
01/20/23	1.000	1.48	01/27/22	2.91	...	-1.43	Sale ²⁵
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
01/26/23	1.517	121.41	Various	200.00	...	-78.59	Total of 4 transactions
SPDR S&P 500 ETF TRUST / CUSIP: 78462F103 / Symbol:							
01/26/23	0.066	26.70	04/05/22	30.00	...	-3.30	Sale ²⁵
SNAP INC. / CUSIP: 83304A106 / Symbol:							
01/26/23	1.571	15.76	01/31/22	50.00	...	-34.24	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
01/26/23	0.138	21.83	Various	38.55	16.72 W	0.00	Total of 4 transactions
02/02/23	4.674	903.99	02/02/23	893.44	...	10.55	Sale ²⁵
02/02/23	0.127	24.61	Various	39.76	15.15 W	0.00	Total of 4 transactions
02/03/23	4.681	922.78	Various	942.96	20.18 W	0.00	Total of 5 transactions
02/10/23	4.567	912.07	Various	909.37	...	2.70	Total of 3 transactions
02/10/23	0.127	25.41	Various	40.49	15.08 W	0.00	Total of 4 transactions
02/14/23	4.722	925.95	Various	952.74	...	-26.79	Total of 5 transactions
	Security total:	3,736.64		3,817.31	67.13 W	-13.54	
NU HOLDINGS LTD. / CUSIP: G6683N103 / Symbol:							
01/26/23	5.664	24.10	Various	50.00	...	-25.90	Total of 2 transactions
Totals :		28,636.30		30,376.65	256.67 W	-1,483.68	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
01/31/23	0.143	14.73	01/27/23	20.21	...	-5.48	Sale ²⁵

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 642476667

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
01/26/23	0.323	46.40	01/25/22	50.99	...	-4.59	Sale ²⁵
META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol:							
01/26/23	0.032	4.65	01/21/22	10.00	5.35 W	0.00	Sale ²⁵
02/08/23	0.032	5.97	02/08/23	11.27	...	-5.30	Sale ²⁵
	Security total:	10.62		21.27	5.35 W	-5.30	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
01/26/23	0.332	52.66	Various	97.37	44.71 W	0.00	Total of 2 transactions
02/02/23	0.166	32.09	02/02/23	52.80	20.71 W	0.00	Sale ²⁵
02/03/23	0.166	32.70	02/03/23	53.59	20.89 W	0.00	Sale ²⁵
02/10/23	0.166	33.13	02/10/23	53.74	20.61 W	0.00	Sale ²⁵
02/14/23	0.166	32.53	02/14/23	53.56	...	-21.03	Sale ²⁵
	Security total:	183.11		311.06	106.92 W	-21.03	
Totals :		254.86		403.53	112.27 W	-36.40	

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25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

Robinhood Markets Inc. as agent for Robinhood Crypto LLC 85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700 PAYER'S TIN: 46-4364776	Tax Information Account 642476667C	Statement Date: 02/12/2024	2023
	Raj kumar Mittal 350 N Ervay St Dallas, TX 75201 RECIPIENT'S TIN: XXX-XX-0520		

11 - [] FATCA filing requirement (see instructions) **Summary Information** 13 - [] FATCA filing requirement (see instructions)

DIVIDENDS AND DISTRIBUTIONS		2023 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION		2023 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)		0.00		2- Royalties		0.00	
1b- Qualified dividends		0.00		3- Other income		1.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)		0.00		4- Federal income tax withheld		0.00	
2b- Unrecaptured Section 1250 gain		0.00		8- Substitute payments in lieu of dividends or interest		0.00	
2c- Section 1202 gain		0.00					
2d- Collectibles (28%) gain		0.00					
2e- Section 897 ordinary dividends		0.00					
2f- Section 897 capital gain		0.00					
3- Nondividend distributions		0.00					
4- Federal income tax withheld		0.00					
5- Section 199A dividends		0.00					
6- Investment expenses		0.00					
8- Foreign country or US possession:	7- Foreign tax paid:	0.00					
9- Cash liquidation distributions		0.00					
10- Noncash liquidation distributions		0.00					
12- Exempt-interest dividends (includes line 13)		0.00					
13- Specified private activity bond interest dividends (AMT)		0.00					

SECTION 1256 CONTRACTS		2023 1099-B*	OMB No. 1545-0715
8- Profit or (loss) realized in 2023 on closed contracts		0.00	
9- Unrealized profit or (loss) on open contracts-12/31/2022		0.00	
10- Unrealized profit or (loss) on open contracts-12/31/2023		0.00	
11- Aggregate profit or (loss) on contracts		0.00	

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	8.91	9.40	0.00	0.00	-0.49
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	8.91	9.40	0.00	0.00	-0.49
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	49.20	79.01	0.00	0.00	-29.81
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	49.20	79.01	0.00	0.00	-29.81
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	58.11	88.41	0.00	0.00	-30.30

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Robinhood Markets Inc. as agent for
Robinhood Crypto LLC
2023

Summary Information
(continued)

Account 642476667C
02/12/2024

INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for
Robinhood Crypto LLC

Account 642476667C

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

02/12/2024

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Bitcoin / CUSIP: BTCUSD / Symbol:							
06/20/23	0.00028314	7.91	05/05/23	8.40	...	-0.49	Sale ²⁵
USD Coin / CUSIP: USDCUSD / Symbol:							
11/08/23	1.000	1.00	09/22/23	1.00	...	0.00	Sale ²⁵
Totals :		8.91		9.40		-0.49	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Crypto LLC

Account 642476667C

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

LONG TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
Bitcoin / CUSIP: BTCUSD / Symbol:							
01/26/23	0.001862	43.01	Various	68.98	...	-25.97	Total of 2 transactions
06/20/23	0.00000057	0.02	01/25/22	0.02	...	0.00	Sale ²⁵
	Security total:	43.03		69.00	...	-25.97	
Ethereum / CUSIP: ETHUSD / Symbol:							
01/26/23	0.003838	6.17	01/22/22	10.01	...	-3.84	Sale ²⁵
Totals :		49.20		79.01		-29.81	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Crypto LLC 2023	Detail for Miscellaneous Information	Account 642476667C 02/12/2024
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<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
USD Coin	USDCUSD	09/22/23	1.00	Other income	
			1.00	Total Miscellaneous income	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, box 1g may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Shows backup withholding or withholding on Indian gaming profits.

Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

Line 13. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Lines 16-18. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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