

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 125171264	Statement Date: 02/14/2021 Document ID: UBG8 VW4 6823	2020
	Prabhu kiran Bandi 11251 Campfield Dr 4103 Jacksonville, FL 32256 RECIPIENT'S TIN: XXX-XX-4871		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 24.22</p> <p>1b- Qualified dividends 3.57</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.67</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	229,441.99	294,473.69	0.00	21,660.84	-43,370.86
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	5.20	44.92	0.00	0.00	-39.72
	Total Short-term	229,447.19	294,518.61	0.00	21,660.84	-43,410.58
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	229,447.19	294,518.61	0.00	21,660.84	-43,410.58
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 125171264

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	54.68
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 12/11/2020 PUT \$12.00 / CUSIP: / Symbol:							
12/11/20	3.000	0.00	11/30/20	30.00	...	-30.00	Option expiration- long put
BTU 01/15/2021 PUT \$2.00 / CUSIP: / Symbol:							
3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	10.000	199.97	12/28/20	240.00	40.03 W	0.00	1 of 3 - Option sale to close-put ²⁵
	10.000	199.98	12/28/20	280.03	80.05 W	0.00	2 of 3 - Option sale to close-put ²⁵
	10.000	199.97	12/28/20	200.00	0.03 W	0.00	3 of 3 - Option sale to close-put ²⁵
12/28/20	30.000	599.92	Various	720.03	120.11 W	0.00	Total of 3 transactions
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	10.000	269.97	12/28/20	290.05	...	-20.08	1 of 2 - Option sale to close-put ²⁵
	10.000	269.97	12/28/20	210.03	...	59.94	2 of 2 - Option sale to close-put ²⁵
12/29/20	20.000	539.94	Various	500.08	...	39.86	Total of 2 transactions
	Security total:	1,139.86		1,220.11	120.11 W	39.86	

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Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CNET 12/18/2020 PUT \$2.00 / CUSIP: / Symbol:							
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	34.98	12/09/20	37.00	...	-2.02	1 of 3 - Option sale to close-put ²⁵
	11.000	417.97	12/09/20	407.00	...	10.97	2 of 3 - Option sale to close-put ²⁵
	12.000	455.96	12/09/20	384.00	...	71.96	3 of 3 - Option sale to close-put ²⁵
12/09/20	24.000	908.91	Various	828.00	...	80.91	Total of 3 transactions
CRIS 01/15/2021 PUT \$2.50 / CUSIP: / Symbol:							
12/09/20	20.000	399.95	12/08/20	400.00	...	-0.05	Option sale to close-put ²⁵
F 12/31/2020 PUT \$9.00 / CUSIP: / Symbol:							
6 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	4.000	107.99	12/14/20	124.00	...	-16.01	1 of 6 - Option sale to close-put ²⁵
	2.000	53.99	12/14/20	62.00	...	-8.01	2 of 6 - Option sale to close-put ²⁵
	2.000	54.00	12/14/20	62.00	...	-8.00	3 of 6 - Option sale to close-put ²⁵
	1.000	26.99	12/14/20	31.00	...	-4.01	4 of 6 - Option sale to close-put ²⁵
	1.000	27.00	12/14/20	31.00	...	-4.00	5 of 6 - Option sale to close-put ²⁵
	1.000	27.00	12/14/20	31.00	...	-4.00	6 of 6 - Option sale to close-put ²⁵
12/15/20	11.000	296.97	Various	341.00	...	-44.03	Total of 6 transactions
FOSL 11/27/2020 PUT \$6.50 / CUSIP: / Symbol:							
11/27/20	12.000	0.00	11/12/20	300.00	...	-300.00	Option expiration- long put
GE 07/31/2020 PUT \$7.00 / CUSIP: / Symbol:							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	2.000	65.99	07/22/20	64.00	...	1.99	1 of 2 - Option sale to close-put ²⁵
	28.000	923.92	07/22/20	896.00	...	27.92	2 of 2 - Option sale to close-put ²⁵
07/22/20	30.000	989.91	Various	960.00	...	29.91	Total of 2 transactions
HBP 11/20/2020 PUT \$2.50 / CUSIP: / Symbol:							
11/20/20	50.000	0.00	10/15/20	500.00	...	-500.00	Option expiration- long put

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEX 07/17/2020 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	20.000	1,299.93	07/08/20	1,300.00	...	-0.07	1 of 2 - Option sale to close-call ²⁵
	2.000	129.99	07/08/20	120.00	...	9.99	2 of 2 - Option sale to close-call ²⁵
07/08/20	22.000	1,429.92	Various	1,420.00	...	9.92	Total of 2 transactions
IGC 01/15/2021 PUT \$1.50 / CUSIP: / Symbol:							
12/14/20	15.000	299.96	12/10/20	450.00	...	-150.04	Option sale to close-put ²⁵
IGC 08/21/2020 CALL \$5.00 / CUSIP: / Symbol:							
8 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	3.000	14.99	08/13/20	315.00	300.01 W	0.00	1 of 8 - Option sale to close-call ²⁵
	1.000	5.00	08/13/20	105.00	100.00 W	0.00	2 of 8 - Option sale to close-call ²⁵
	16.000	79.96	08/13/20	1,680.00	1,600.04 W	0.00	3 of 8 - Option sale to close-call ²⁵
	1.000	5.00	08/13/20	205.00	200.00 W	0.00	4 of 8 - Option sale to close-call ²⁵
	3.000	14.99	08/13/20	615.01	600.02 W	0.00	5 of 8 - Option sale to close-call ²⁵
	1.000	5.00	08/13/20	205.00	200.00 W	0.00	6 of 8 - Option sale to close-call ²⁵
	3.000	14.99	08/13/20	600.01	585.02 W	0.00	7 of 8 - Option sale to close-call ²⁵
	2.000	9.99	08/13/20	400.01	390.02 W	0.00	8 of 8 - Option sale to close-call ²⁵
08/18/20	30.000	149.92	Various	4,125.03	3,975.11 W	0.00	Total of 8 transactions
88 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	08/13/20	2,000.02	...	-2,000.02	1 of 88 - Option expiration- long call
	60.000	0.00	08/13/20	1,800.00	...	-1,800.00	2 of 88 - Option expiration- long call
	27.000	0.00	08/13/20	1,755.00	...	-1,755.00	3 of 88 - Option expiration- long call
	3.000	0.00	08/13/20	900.02	...	-900.02	4 of 88 - Option expiration- long call
	11.000	0.00	08/13/20	880.00	...	-880.00	5 of 88 - Option expiration- long call
	3.000	0.00	08/13/20	825.02	...	-825.02	6 of 88 - Option expiration- long call
	6.000	0.00	08/13/20	480.00	...	-480.00	7 of 88 - Option expiration- long call

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Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IGC 08/21/2020 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	08/13/20	300.00	...	-300.00	8 of 88 - Option expiration- long call
	1.000	0.00	08/13/20	300.00	...	-300.00	9 of 88 - Option expiration- long call
	5.000	0.00	08/13/20	300.00	...	-300.00	10 of 88 - Option expiration- long call
	1.000	0.00	08/13/20	270.01	...	-270.01	11 of 88 - Option expiration- long call
	1.000	0.00	08/13/20	270.01	...	-270.01	12 of 88 - Option expiration- long call
	5.000	0.00	08/13/20	225.00	...	-225.00	13 of 88 - Option expiration- long call
	3.000	0.00	08/13/20	180.00	...	-180.00	14 of 88 - Option expiration- long call
	3.000	0.00	08/13/20	135.00	...	-135.00	15 of 88 - Option expiration- long call
	2.000	0.00	08/13/20	130.00	...	-130.00	16 of 88 - Option expiration- long call
	2.000	0.00	08/13/20	120.00	...	-120.00	17 of 88 - Option expiration- long call
	4.000	0.00	08/13/20	100.00	...	-100.00	18 of 88 - Option expiration- long call
	2.000	0.00	08/13/20	90.00	...	-90.00	19 of 88 - Option expiration- long call
	1.000	0.00	08/13/20	65.00	...	-65.00	20 of 88 - Option expiration- long call
	2.000	0.00	08/13/20	50.00	...	-50.00	21 of 88 - Option expiration- long call
	1.000	0.00	08/13/20	25.00	...	-25.00	22 of 88 - Option expiration- long call
	1.000	0.00	08/13/20	25.00	...	-25.00	23 of 88 - Option expiration- long call
	146.000	0.00	08/14/20	1,460.00	...	-1,460.00	24 of 88 - Option expiration- long call
	127.000	0.00	08/14/20	1,270.00	...	-1,270.00	25 of 88 - Option expiration- long call

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IGC 08/21/2020 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	92.000	0.00	08/14/20	920.00	...	-920.00	26 of 88 - Option expiration- long call
	57.000	0.00	08/14/20	570.00	...	-570.00	27 of 88 - Option expiration- long call
	26.000	0.00	08/14/20	390.00	...	-390.00	28 of 88 - Option expiration- long call
	30.000	0.00	08/14/20	300.00	...	-300.00	29 of 88 - Option expiration- long call
	30.000	0.00	08/14/20	300.00	...	-300.00	30 of 88 - Option expiration- long call
	30.000	0.00	08/14/20	300.00	...	-300.00	31 of 88 - Option expiration- long call
	26.000	0.00	08/14/20	260.00	...	-260.00	32 of 88 - Option expiration- long call
	25.000	0.00	08/14/20	250.00	...	-250.00	33 of 88 - Option expiration- long call
	22.000	0.00	08/14/20	220.00	...	-220.00	34 of 88 - Option expiration- long call
	20.000	0.00	08/14/20	200.00	...	-200.00	35 of 88 - Option expiration- long call
	11.000	0.00	08/14/20	165.00	...	-165.00	36 of 88 - Option expiration- long call
	10.000	0.00	08/14/20	150.00	...	-150.00	37 of 88 - Option expiration- long call
	10.000	0.00	08/14/20	150.00	...	-150.00	38 of 88 - Option expiration- long call
	10.000	0.00	08/14/20	150.00	...	-150.00	39 of 88 - Option expiration- long call
	15.000	0.00	08/14/20	150.00	...	-150.00	40 of 88 - Option expiration- long call
	30.000	0.00	08/14/20	150.00	...	-150.00	41 of 88 - Option expiration- long call
	12.000	0.00	08/14/20	120.00	...	-120.00	42 of 88 - Option expiration- long call
	10.000	0.00	08/14/20	100.00	...	-100.00	43 of 88 - Option expiration- long call

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IGC 08/21/2020 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	10.000	0.00	08/14/20	100.00	...	-100.00	44 of 88 - Option expiration- long call
	10.000	0.00	08/14/20	100.00	...	-100.00	45 of 88 - Option expiration- long call
	10.000	0.00	08/14/20	90.00	...	-90.00	46 of 88 - Option expiration- long call
	10.000	0.00	08/14/20	90.00	...	-90.00	47 of 88 - Option expiration- long call
	9.000	0.00	08/14/20	90.00	...	-90.00	48 of 88 - Option expiration- long call
	5.000	0.00	08/14/20	75.00	...	-75.00	49 of 88 - Option expiration- long call
	5.000	0.00	08/14/20	75.00	...	-75.00	50 of 88 - Option expiration- long call
	5.000	0.00	08/14/20	75.00	...	-75.00	51 of 88 - Option expiration- long call
	6.000	0.00	08/14/20	60.00	...	-60.00	52 of 88 - Option expiration- long call
	5.000	0.00	08/14/20	50.00	...	-50.00	53 of 88 - Option expiration- long call
	5.000	0.00	08/14/20	50.00	...	-50.00	54 of 88 - Option expiration- long call
	5.000	0.00	08/14/20	50.00	...	-50.00	55 of 88 - Option expiration- long call
	5.000	0.00	08/14/20	50.00	...	-50.00	56 of 88 - Option expiration- long call
	4.000	0.00	08/14/20	40.00	...	-40.00	57 of 88 - Option expiration- long call
	2.000	0.00	08/14/20	30.00	...	-30.00	58 of 88 - Option expiration- long call
	2.000	0.00	08/14/20	30.00	...	-30.00	59 of 88 - Option expiration- long call
	2.000	0.00	08/14/20	30.00	...	-30.00	60 of 88 - Option expiration- long call
	3.000	0.00	08/14/20	30.00	...	-30.00	61 of 88 - Option expiration- long call

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IGC 08/21/2020 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	5.000	0.00	08/14/20	25.00	...	-25.00	62 of 88 - Option expiration- long call
	2.000	0.00	08/14/20	20.00	...	-20.00	63 of 88 - Option expiration- long call
	2.000	0.00	08/14/20	20.00	...	-20.00	64 of 88 - Option expiration- long call
	2.000	0.00	08/14/20	20.00	...	-20.00	65 of 88 - Option expiration- long call
	2.000	0.00	08/14/20	20.00	...	-20.00	66 of 88 - Option expiration- long call
	2.000	0.00	08/14/20	16.00	...	-16.00	67 of 88 - Option expiration- long call
	2.000	0.00	08/14/20	16.00	...	-16.00	68 of 88 - Option expiration- long call
	1.000	0.00	08/14/20	15.00	...	-15.00	69 of 88 - Option expiration- long call
	1.000	0.00	08/14/20	15.00	...	-15.00	70 of 88 - Option expiration- long call
	1.000	0.00	08/14/20	15.00	...	-15.00	71 of 88 - Option expiration- long call
	1.000	0.00	08/14/20	15.00	...	-15.00	72 of 88 - Option expiration- long call
	1.000	0.00	08/14/20	15.00	...	-15.00	73 of 88 - Option expiration- long call
	1.000	0.00	08/14/20	15.00	...	-15.00	74 of 88 - Option expiration- long call
	1.000	0.00	08/14/20	15.00	...	-15.00	75 of 88 - Option expiration- long call
	1.000	0.00	08/14/20	10.00	...	-10.00	76 of 88 - Option expiration- long call
	1.000	0.00	08/14/20	10.00	...	-10.00	77 of 88 - Option expiration- long call
	1.000	0.00	08/14/20	10.00	...	-10.00	78 of 88 - Option expiration- long call
	1.000	0.00	08/14/20	10.00	...	-10.00	79 of 88 - Option expiration- long call

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IGC 08/21/2020 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	08/14/20	10.00	...	-10.00	80 of 88 - Option expiration- long call
	1.000	0.00	08/14/20	10.00	...	-10.00	81 of 88 - Option expiration- long call
	2.000	0.00	08/14/20	10.00	...	-10.00	82 of 88 - Option expiration- long call
	1.000	0.00	08/14/20	8.00	...	-8.00	83 of 88 - Option expiration- long call
	1.000	0.00	08/14/20	8.00	...	-8.00	84 of 88 - Option expiration- long call
	1.000	0.00	08/14/20	5.00	...	-5.00	85 of 88 - Option expiration- long call
	1.000	0.00	08/14/20	5.00	...	-5.00	86 of 88 - Option expiration- long call
	1.000	0.00	08/14/20	5.00	...	-5.00	87 of 88 - Option expiration- long call
	1.000	0.00	08/17/20	4.00	...	-4.00	88 of 88 - Option expiration- long call
08/21/20	1,035.000	0.00	Various	20,262.08	...	-20,262.08	Total of 88 transactions
	Security total:	149.92		24,387.11	3,975.11 W	-20,262.08	
IGC 09/18/2020 CALL \$2.50 / CUSIP: / Symbol:							
10 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	144.000	0.00	09/03/20	2,880.00	...	-2,880.00	1 of 10 - Option expiration- long call
	16.000	0.00	09/03/20	320.00	...	-320.00	2 of 10 - Option expiration- long call
	10.000	0.00	09/03/20	200.00	...	-200.00	3 of 10 - Option expiration- long call
	75.000	0.00	09/09/20	375.00	...	-375.00	4 of 10 - Option expiration- long call
	8.000	0.00	09/09/20	40.00	...	-40.00	5 of 10 - Option expiration- long call
	8.000	0.00	09/09/20	40.00	...	-40.00	6 of 10 - Option expiration- long call

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IGC 09/18/2020 CALL \$2.50 / CUSIP: / Symbol: (cont'd)							
	7.000	0.00	09/09/20	35.00	...	-35.00	7 of 10 - Option expiration- long call
	1.000	0.00	09/09/20	5.00	...	-5.00	8 of 10 - Option expiration- long call
	1.000	0.00	09/09/20	5.00	...	-5.00	9 of 10 - Option expiration- long call
	1.000	0.00	09/09/20	4.00	...	-4.00	10 of 10 - Option expiration- long call
09/18/20	271.000	0.00	Various	3,904.00	...	-3,904.00	Total of 10 transactions
IGC 12/18/2020 PUT \$1.50 / CUSIP: / Symbol:							
3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	2.000	22.00	12/02/20	20.00	...	2.00	1 of 3 - Option sale to close-put ²⁵
	8.000	87.98	12/02/20	80.00	...	7.98	2 of 3 - Option sale to close-put ²⁵
	25.000	274.94	12/02/20	250.00	...	24.94	3 of 3 - Option sale to close-put ²⁵
12/04/20	35.000	384.92	Various	350.00	...	34.92	Total of 3 transactions
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	10.000	99.97	12/07/20	90.00	...	9.97	1 of 2 - Option sale to close-put ²⁵
	25.000	249.94	12/07/20	225.00	...	24.94	2 of 2 - Option sale to close-put ²⁵
12/08/20	35.000	349.91	Various	315.00	...	34.91	Total of 2 transactions
	Security total:	734.83		665.00	...	69.83	
NIO 07/10/2020 CALL \$7.00 / CUSIP: / Symbol:							
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	474.97	07/06/20	465.00	...	9.97	1 of 3 - Option sale to close-call ²⁵
	1.000	474.99	07/06/20	465.00	...	9.99	2 of 3 - Option sale to close-call ²⁵
	3.000	1,424.95	07/06/20	1,395.00	...	29.95	3 of 3 - Option sale to close-call ²⁵
07/06/20	5.000	2,374.91	Various	2,325.00	...	49.91	Total of 3 transactions
NMTR 10/16/2020 CALL \$1.00 / CUSIP: / Symbol:							
6 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	10.000	99.97	09/14/20	100.00	...	-0.03	1 of 6 - Option sale to close-call ²⁵
	10.000	99.97	09/14/20	100.00	...	-0.03	2 of 6 - Option sale to close-call ²⁵
	10.000	99.98	09/14/20	100.00	...	-0.02	3 of 6 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NMTR 10/16/2020 CALL \$1.00 / CUSIP: / Symbol: (cont'd)							
	10.000	99.98	09/14/20	100.00	...	-0.02	4 of 6 - Option sale to close-call ²⁵
	4.000	39.99	09/14/20	40.00	...	-0.01	5 of 6 - Option sale to close-call ²⁵
	5.000	49.99	09/14/20	50.00	...	-0.01	6 of 6 - Option sale to close-call ²⁵
09/18/20	49.000	489.88	Various	490.00	...	-0.12	Total of 6 transactions
09/23/20	1.000	9.98	09/14/20	10.00	...	-0.02	Option sale to close-call ²⁵
	Security total:	499.86		500.00	...	-0.14	
OASQ1 11/20/2020 CALL \$0.50 / CUSIP: / Symbol:							
11/20/20	30.000	0.00	09/18/20	450.00	...	-450.00	Option expiration- long call
OCX 01/15/2021 PUT \$2.50 / CUSIP: / Symbol:							
12/16/20	10.000	449.97	12/15/20	300.00	...	149.97	Option sale to close-put ²⁵
RKDA 01/15/2021 CALL \$2.50 / CUSIP: / Symbol:							
12/21/20	15.000	374.96	12/18/20	330.00	...	44.96	Option sale to close-call ²⁵
RP 01/15/2021 PUT \$85.00 / CUSIP: / Symbol:							
12/22/20	15.000	524.95	12/21/20	375.00	...	149.95	Option sale to close-put ²⁵
RP 02/19/2021 CALL \$90.00 / CUSIP: / Symbol:							
6 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	5.000	99.98	12/21/20	130.01	...	-30.03	1 of 6 - Option sale to close-call ²⁵
	13.000	324.96	12/21/20	338.03	...	-13.07	2 of 6 - Option sale to close-call ²⁵
	2.000	39.98	12/21/20	52.01	...	-12.03	3 of 6 - Option sale to close-call ²⁵
	2.000	39.98	12/21/20	52.01	...	-12.03	4 of 6 - Option sale to close-call ²⁵
	1.000	19.98	12/21/20	26.00	...	-6.02	5 of 6 - Option sale to close-call ²⁵
	2.000	49.98	12/21/20	52.01	...	-2.03	6 of 6 - Option sale to close-call ²⁵
12/21/20	25.000	574.86	Various	650.07	...	-75.21	Total of 6 transactions
12/21/20	25.000	499.93	12/21/20	525.00	25.07 W	0.00	Option sale to close-call ²⁵
	Security total:	1,074.79		1,175.07	25.07 W	-75.21	

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(continued)

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SAVA 09/18/2020 PUT \$5.00 / CUSIP: / Symbol:							
3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	10.000	399.97	09/14/20	400.00	...	-0.03	1 of 3 - Option sale to close-put ²⁵
	1.000	39.98	09/14/20	40.00	...	-0.02	2 of 3 - Option sale to close-put ²⁵
	1.000	39.98	09/14/20	40.00	...	-0.02	3 of 3 - Option sale to close-put ²⁵
09/14/20	12.000	479.93	Various	480.00	...	-0.07	Total of 3 transactions
SNAP 11/20/2020 PUT \$34.00 / CUSIP: / Symbol:							
11 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	27.000	1,214.92	11/04/20	513.00	...	701.92	1 of 11 - Option sale to close-put ²⁵
	1.000	45.00	11/06/20	6.00	...	39.00	2 of 11 - Option sale to close-put ²⁵
	2.000	89.99	11/06/20	12.00	...	77.99	3 of 11 - Option sale to close-put ²⁵
	2.000	89.99	11/06/20	12.00	...	77.99	4 of 11 - Option sale to close-put ²⁵
	3.000	134.99	11/06/20	18.00	...	116.99	5 of 11 - Option sale to close-put ²⁵
	4.000	179.99	11/06/20	24.00	...	155.99	6 of 11 - Option sale to close-put ²⁵
	4.000	179.99	11/06/20	24.00	...	155.99	7 of 11 - Option sale to close-put ²⁵
	5.000	224.99	11/06/20	30.00	...	194.99	8 of 11 - Option sale to close-put ²⁵
	10.000	449.97	11/06/20	60.00	...	389.97	9 of 11 - Option sale to close-put ²⁵
	10.000	449.97	11/06/20	60.00	...	389.97	10 of 11 - Option sale to close-put ²⁵
	10.000	449.97	11/06/20	60.00	...	389.97	11 of 11 - Option sale to close-put ²⁵
11/10/20	78.000	3,509.77	Various	819.00	...	2,690.77	Total of 11 transactions
4 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	2.000	89.99	10/21/20	248.00	158.01 W	0.00	1 of 4 - Option sale to close-put ²⁵
	22.000	989.93	10/21/20	2,728.00	1,738.07 W	0.00	2 of 4 - Option sale to close-put ²⁵
	1.000	45.00	10/21/20	123.00	78.00 W	0.00	3 of 4 - Option sale to close-put ²⁵
	13.000	584.96	10/23/20	702.00	117.04 W	0.00	4 of 4 - Option sale to close-put ²⁵
11/10/20	38.000	1,709.88	Various	3,801.00	2,091.12 W	0.00	Total of 4 transactions
31 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	6.000	0.00	11/10/20	708.02	...	-708.02	1 of 31 - Option expiration- long put
	20.000	0.00	11/10/20	500.00	...	-500.00	2 of 31 - Option expiration- long put

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SNAP 11/20/2020 PUT \$34.00 / CUSIP: / Symbol: (cont'd)							
	4.000	0.00	11/10/20	472.01	...	-472.01	3 of 31 - Option expiration- long put
	12.000	0.00	11/10/20	468.00	...	-468.00	4 of 31 - Option expiration- long put
	9.000	0.00	11/10/20	432.03	...	-432.03	5 of 31 - Option expiration- long put
	3.000	0.00	11/10/20	354.01	...	-354.01	6 of 31 - Option expiration- long put
	10.000	0.00	11/10/20	250.00	...	-250.00	7 of 31 - Option expiration- long put
	2.000	0.00	11/10/20	236.01	...	-236.01	8 of 31 - Option expiration- long put
	2.000	0.00	11/10/20	236.01	...	-236.01	9 of 31 - Option expiration- long put
	2.000	0.00	11/10/20	236.01	...	-236.01	10 of 31 - Option expiration- long put
	1.000	0.00	11/10/20	118.01	...	-118.01	11 of 31 - Option expiration- long put
	1.000	0.00	11/10/20	118.00	...	-118.00	12 of 31 - Option expiration- long put
	1.000	0.00	11/10/20	118.00	...	-118.00	13 of 31 - Option expiration- long put
	1.000	0.00	11/10/20	118.00	...	-118.00	14 of 31 - Option expiration- long put
	1.000	0.00	11/10/20	118.00	...	-118.00	15 of 31 - Option expiration- long put
	1.000	0.00	11/10/20	117.00	...	-117.00	16 of 31 - Option expiration- long put
	1.000	0.00	11/10/20	48.01	...	-48.01	17 of 31 - Option expiration- long put
	1.000	0.00	11/10/20	48.00	...	-48.00	18 of 31 - Option expiration- long put
	1.000	0.00	11/10/20	48.00	...	-48.00	19 of 31 - Option expiration- long put
	1.000	0.00	11/10/20	48.00	...	-48.00	20 of 31 - Option expiration- long put

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SNAP 11/20/2020 PUT \$34.00 / CUSIP: / Symbol: (cont'd)							
	25.000	0.00	11/11/20	300.00	...	-300.00	21 of 31 - Option expiration- long put
	67.000	0.00	11/12/20	402.00	...	-402.00	22 of 31 - Option expiration- long put
	13.000	0.00	11/12/20	78.00	...	-78.00	23 of 31 - Option expiration- long put
	12.000	0.00	11/12/20	72.00	...	-72.00	24 of 31 - Option expiration- long put
	7.000	0.00	11/12/20	42.00	...	-42.00	25 of 31 - Option expiration- long put
	1.000	0.00	11/12/20	6.00	...	-6.00	26 of 31 - Option expiration- long put
	39.000	0.00	11/13/20	195.00	...	-195.00	27 of 31 - Option expiration- long put
	11.000	0.00	11/13/20	55.00	...	-55.00	28 of 31 - Option expiration- long put
	10.000	0.00	11/13/20	50.00	...	-50.00	29 of 31 - Option expiration- long put
	30.000	0.00	11/16/20	90.00	...	-90.00	30 of 31 - Option expiration- long put
	20.000	0.00	11/16/20	60.00	...	-60.00	31 of 31 - Option expiration- long put
11/20/20	315.000	0.00	Various	6,141.12	...	-6,141.12	Total of 31 transactions
	Security total:	5,219.65		10,761.12	2,091.12 W	-3,450.35	
SNAP 11/27/2020 PUT \$40.00 / CUSIP: / Symbol:							
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	54.000	0.00	11/20/20	594.00	...	-594.00	1 of 2 - Option expiration- long put
	1.000	0.00	11/20/20	10.00	...	-10.00	2 of 2 - Option expiration- long put
11/27/20	55.000	0.00	Various	604.00	...	-604.00	Total of 2 transactions
SNAP 12/04/2020 PUT \$39.50 / CUSIP: / Symbol:							
13 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	12.98	11/30/20	9.00	...	3.98	1 of 13 - Option sale to close-put ²⁵
	1.000	12.98	11/30/20	9.00	...	3.98	2 of 13 - Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SNAP 12/04/2020 PUT \$39.50 / CUSIP: / Symbol: (cont'd)							
	1.000	12.98	11/30/20	9.00	...	3.98	3 of 13 - Option sale to close-put ²⁵
	1.000	12.98	11/30/20	9.00	...	3.98	4 of 13 - Option sale to close-put ²⁵
	1.000	12.98	11/30/20	9.00	...	3.98	5 of 13 - Option sale to close-put ²⁵
	1.000	12.98	11/30/20	9.00	...	3.98	6 of 13 - Option sale to close-put ²⁵
	1.000	12.98	11/30/20	9.00	...	3.98	7 of 13 - Option sale to close-put ²⁵
	1.000	12.98	11/30/20	9.00	...	3.98	8 of 13 - Option sale to close-put ²⁵
	1.000	12.98	11/30/20	9.00	...	3.98	9 of 13 - Option sale to close-put ²⁵
	3.000	38.98	11/30/20	27.00	...	11.98	10 of 13 - Option sale to close-put ²⁵
	3.000	38.98	11/30/20	27.00	...	11.98	11 of 13 - Option sale to close-put ²⁵
	4.000	51.98	11/30/20	36.00	...	15.98	12 of 13 - Option sale to close-put ²⁵
	5.000	64.98	11/30/20	45.00	...	19.98	13 of 13 - Option sale to close-put ²⁵
11/30/20	24.000	311.74	Various	216.00	...	95.74	Total of 13 transactions
	2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	9.000	89.98	11/30/20	72.00	...	17.98	1 of 2 - Option sale to close-put ²⁵
	11.000	109.97	11/30/20	88.00	...	21.97	2 of 2 - Option sale to close-put ²⁵
12/01/20	20.000	199.95	Various	160.00	...	39.95	Total of 2 transactions
	Security total:	511.69		376.00	...	135.69	
SNAP 12/04/2020 PUT \$40.00 / CUSIP: / Symbol:							
	4 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	1.000	11.00	11/27/20	8.00	...	3.00	1 of 4 - Option sale to close-put ²⁵
	1.000	11.00	11/27/20	8.00	...	3.00	2 of 4 - Option sale to close-put ²⁵
	10.000	109.97	11/27/20	80.00	...	29.97	3 of 4 - Option sale to close-put ²⁵
	13.000	142.97	11/27/20	104.00	...	38.97	4 of 4 - Option sale to close-put ²⁵
11/30/20	25.000	274.94	Various	200.00	...	74.94	Total of 4 transactions
SOLO 07/17/2020 CALL \$2.50 / CUSIP: / Symbol:							
07/06/20	1.000	204.98	07/06/20	295.00	...	-90.02	Option sale to close-call ²⁵
07/07/20	9.000	1,349.95	07/06/20	2,655.00	...	-1,305.05	Option sale to close-call ²⁵
	Security total:	1,554.93		2,950.00	...	-1,395.07	

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WBAI 01/15/2021 PUT \$2.50 / CUSIP: / Symbol:							
12/22/20	1.000	9.98	12/21/20	20.00	...	-10.02	Option sale to close-put ²⁵
ACORDA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00484M106 / Symbol:							
11 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	41.000	23.77	09/25/20	31.52	7.75 W	0.00	1 of 11 - Sale ²⁵
	100.000	57.99	09/25/20	96.58	38.59 W	0.00	2 of 11 - Sale ²⁵
	293.000	169.90	09/25/20	263.36	93.46 W	0.00	3 of 11 - Sale ²⁵
	407.000	236.01	09/25/20	365.82	129.81 W	0.00	4 of 11 - Sale ²⁵
	459.000	266.16	09/25/20	356.06	89.90 W	0.00	5 of 11 - Sale ²⁵
	507.000	294.00	09/25/20	389.73	95.73 W	0.00	6 of 11 - Sale ²⁵
	1,400.000	811.81	09/25/20	1,076.18	264.37 W	0.00	7 of 11 - Sale ²⁵
	52.000	30.15	09/25/20	39.97	9.82 W	0.00	8 of 11 - Sale ²⁵
	16.000	9.28	09/25/20	12.58	3.30 W	0.00	9 of 11 - Sale ²⁵
	391.000	226.73	09/25/20	303.31	76.58 W	0.00	10 of 11 - Sale ²⁵
	9.000	5.22	09/25/20	7.07	1.85 W	0.00	11 of 11 - Sale ²⁵
09/25/20	3,675.000	2,131.02	Various	2,942.18	811.16 W	0.00	Total of 11 transactions
12 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	407.000	358.91	10/20/20	601.93	...	-243.02	1 of 12 - Sale ²⁵
	293.000	258.38	10/20/20	433.34	...	-174.96	2 of 12 - Sale ²⁵
	317.000	279.54	10/20/20	429.81	...	-150.27	3 of 12 - Sale ²⁵
	284.000	250.45	10/20/20	385.06	...	-134.61	4 of 12 - Sale ²⁵
	232.000	204.59	10/20/20	312.93	...	-108.34	5 of 12 - Sale ²⁵
	175.000	154.33	10/20/20	237.28	...	-82.95	6 of 12 - Sale ²⁵
	100.000	88.19	10/20/20	154.59	...	-66.40	7 of 12 - Sale ²⁵
	74.000	65.26	10/20/20	100.33	...	-35.07	8 of 12 - Sale ²⁵
	52.000	45.86	10/20/20	70.14	...	-24.28	9 of 12 - Sale ²⁵
	41.000	36.16	10/20/20	55.31	...	-19.15	10 of 12 - Sale ²⁵
	16.000	14.11	10/20/20	21.86	...	-7.75	11 of 12 - Sale ²⁵
	9.000	7.94	10/20/20	12.29	...	-4.35	12 of 12 - Sale ²⁵
10/21/20	2,000.000	1,763.72	Various	2,814.87	...	-1,051.15	Total of 12 transactions
	Security total:	3,894.74		5,757.05	811.16 W	-1,051.15	

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W107 / Symbol:							
<i>5 transactions for 05/12/20. Total proceeds and cost reported to the IRS.</i>							
	1,838.000	342.74	05/11/20	343.71	...	-0.97	1 of 5 - Sale ²⁵
	300.000	55.94	05/11/20	56.26	...	-0.32	2 of 5 - Sale ²⁵
	2,462.000	459.11	05/11/20	459.22	...	-0.11	3 of 5 - Sale ²⁵
	100.000	18.65	05/11/20	18.65	...	0.00	4 of 5 - Sale ²⁵
	438.000	81.67	05/11/20	81.47	...	0.20	5 of 5 - Sale ²⁵
05/12/20	5,138.000	958.11	Various	959.31	...	-1.20	Total of 5 transactions
<i>2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.</i>							
	2,762.000	515.04	05/11/20	516.49	1.45 W	0.00	1 of 2 - Sale ²⁵
	100.000	18.65	05/11/20	18.70	0.05 W	0.00	2 of 2 - Sale ²⁵
05/12/20	2,862.000	533.69	Various	535.19	1.50 W	0.00	Total of 2 transactions
	Security total:	1,491.80		1,494.50	1.50 W	-1.20	
AMBOW EDUCATION HOLDING LTD. A MERICAN DEPOSITORY SHARES, EAC H REPRESENTING TWO CLASS A ORD / CUSIP: 02322P200 / Symbol:							
<i>7 transactions for 09/28/20. Total proceeds and cost reported to the IRS.</i>							
	14.000	72.80	09/28/20	57.82	...	14.98	1 of 7 - Sale ²⁵
	27.000	140.39	09/28/20	111.78	...	28.61	2 of 7 - Sale ²⁵
	73.000	379.58	09/28/20	302.22	...	77.36	3 of 7 - Sale ²⁵
	86.000	447.18	09/28/20	356.90	...	90.28	4 of 7 - Sale ²⁵
	100.000	519.97	09/28/20	415.00	...	104.97	5 of 7 - Sale ²⁵
	100.000	519.98	09/28/20	415.00	...	104.98	6 of 7 - Sale ²⁵
	100.000	519.97	09/28/20	414.00	...	105.97	7 of 7 - Sale ²⁵
09/28/20	500.000	2,599.87	Various	2,072.72	...	527.15	Total of 7 transactions
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
05/06/20	10.000	93.00	04/06/20	92.20	...	0.80	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
<i>4 transactions for 05/21/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	319.59	03/02/20	279.60	...	39.99	1 of 4 - Sale ²⁵
	1.000	319.60	03/09/20	262.43	...	57.17	2 of 4 - Sale ²⁵
	1.000	319.59	03/11/20	275.66	...	43.93	3 of 4 - Sale ²⁵
	1.000	319.60	03/17/20	248.40	...	71.20	4 of 4 - Sale ²⁵
05/21/20	4.000	1,278.38	Various	1,066.09	...	212.29	Total of 4 transactions

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(continued)

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AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol:							
05/04/20	250.000	387.47	04/27/20	450.00	...	-62.53	Sale ²⁵
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
<i>5 transactions for 07/15/20. Total proceeds and cost reported to the IRS.</i>							
	270.000	126.86	07/08/20	118.26	...	8.60	1 of 5 - Sale ²⁵
	500.000	234.94	07/08/20	220.70	...	14.24	2 of 5 - Sale ²⁵
	2,500.000	1,174.70	07/08/20	1,103.50	...	71.20	3 of 5 - Sale ²⁵
	7.000	3.46	07/15/20	3.41	...	0.05	4 of 5 - Sale ²⁵
	120.000	59.27	07/15/20	58.80	...	0.47	5 of 5 - Sale ²⁵
07/15/20	3,397.000	1,599.23	Various	1,504.67	...	94.56	Total of 5 transactions
<i>35 transactions for 07/15/20. Total proceeds and cost reported to the IRS.</i>							
	2,000.000	939.76	07/08/20	1,040.00	100.24 W	0.00	1 of 35 - Sale ²⁵
	100.000	46.99	07/08/20	49.60	2.61 W	0.00	2 of 35 - Sale ²⁵
	100.000	46.99	07/08/20	49.60	2.61 W	0.00	3 of 35 - Sale ²⁵
	100.000	46.99	07/08/20	49.60	2.61 W	0.00	4 of 35 - Sale ²⁵
	100.000	46.99	07/08/20	49.60	2.61 W	0.00	5 of 35 - Sale ²⁵
	200.000	93.98	07/08/20	99.20	5.22 W	0.00	6 of 35 - Sale ²⁵
	200.000	93.98	07/08/20	99.20	5.22 W	0.00	7 of 35 - Sale ²⁵
	100.000	46.99	07/08/20	49.60	2.61 W	0.00	8 of 35 - Sale ²⁵
	100.000	46.99	07/08/20	49.60	2.61 W	0.00	9 of 35 - Sale ²⁵
	130.000	61.08	07/08/20	62.26	1.18 W	0.00	10 of 35 - Sale ²⁵
	300.000	140.96	07/08/20	145.23	4.27 W	0.00	11 of 35 - Sale ²⁵
	400.000	187.95	07/08/20	193.64	5.69 W	0.00	12 of 35 - Sale ²⁵
	300.000	140.96	07/08/20	145.23	4.27 W	0.00	13 of 35 - Sale ²⁵
	600.000	296.38	07/15/20	323.95	27.57 W	0.00	14 of 35 - Sale ²⁵
	390.000	192.65	07/15/20	210.49	17.84 W	0.00	15 of 35 - Sale ²⁵
	310.000	153.13	07/15/20	167.38	14.25 W	0.00	16 of 35 - Sale ²⁵
	200.000	98.79	07/15/20	107.98	9.19 W	0.00	17 of 35 - Sale ²⁵
	90.000	44.46	07/15/20	46.43	1.97 W	0.00	18 of 35 - Sale ²⁵
	100.000	49.40	07/15/20	51.59	2.19 W	0.00	19 of 35 - Sale ²⁵
	100.000	49.40	07/15/20	51.59	2.19 W	0.00	20 of 35 - Sale ²⁵
	100.000	49.40	07/15/20	51.59	2.19 W	0.00	21 of 35 - Sale ²⁵
	500.000	246.98	07/15/20	269.96	22.98 W	0.00	22 of 35 - Sale ²⁵
	10.000	4.94	07/15/20	5.18	0.24 W	0.00	23 of 35 - Sale ²⁵

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BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol: (cont'd)							
	200.000	98.79	07/15/20	103.62	4.83 W	0.00	24 of 35 - Sale ²⁵
	90.000	44.46	07/15/20	46.63	2.17 W	0.00	25 of 35 - Sale ²⁵
	200.000	98.79	07/15/20	103.62	4.83 W	0.00	26 of 35 - Sale ²⁵
	10.000	4.94	07/15/20	5.18	0.24 W	0.00	27 of 35 - Sale ²⁵
	40.000	19.76	07/15/20	20.72	0.96 W	0.00	28 of 35 - Sale ²⁵
	10.000	4.94	07/15/20	5.10	0.16 W	0.00	29 of 35 - Sale ²⁵
	60.000	29.64	07/15/20	31.31	1.67 W	0.00	30 of 35 - Sale ²⁵
	130.000	64.22	07/15/20	65.60	1.38 W	0.00	31 of 35 - Sale ²⁵
	290.000	143.25	07/15/20	148.90	5.65 W	0.00	32 of 35 - Sale ²⁵
	300.000	148.19	07/15/20	154.03	5.84 W	0.00	33 of 35 - Sale ²⁵
	400.000	197.59	07/15/20	205.37	7.78 W	0.00	34 of 35 - Sale ²⁵
	2,770.000	1,368.29	07/15/20	1,382.78	14.49 W	0.00	35 of 35 - Sale ²⁵
07/15/20	11,030.000	5,349.00	Various	5,641.36	292.36 W	0.00	Total of 35 transactions
	125 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	870.000	361.20	07/15/20	445.29	...	-84.09	1 of 125 - Sale ²⁵
	400.000	166.07	07/15/20	210.42	...	-44.35	2 of 125 - Sale ²⁵
	300.000	124.55	07/15/20	157.82	...	-33.27	3 of 125 - Sale ²⁵
	290.000	120.40	07/15/20	152.56	...	-32.16	4 of 125 - Sale ²⁵
	200.000	83.03	07/15/20	110.51	...	-27.48	5 of 125 - Sale ²⁵
	200.000	83.03	07/15/20	106.15	...	-23.12	6 of 125 - Sale ²⁵
	200.000	83.03	07/15/20	106.15	...	-23.12	7 of 125 - Sale ²⁵
	130.000	53.97	07/15/20	67.24	...	-13.27	8 of 125 - Sale ²⁵
	100.000	41.52	07/15/20	52.85	...	-11.33	9 of 125 - Sale ²⁵
	100.000	41.52	07/15/20	52.85	...	-11.33	10 of 125 - Sale ²⁵
	100.000	41.52	07/15/20	52.85	...	-11.33	11 of 125 - Sale ²⁵
	90.000	37.37	07/15/20	47.76	...	-10.39	12 of 125 - Sale ²⁵
	90.000	37.37	07/15/20	47.56	...	-10.19	13 of 125 - Sale ²⁵
	60.000	24.91	07/15/20	32.07	...	-7.16	14 of 125 - Sale ²⁵
	40.000	16.61	07/15/20	21.22	...	-4.61	15 of 125 - Sale ²⁵
	20.000	8.30	07/15/20	11.05	...	-2.75	16 of 125 - Sale ²⁵
	10.000	4.15	07/15/20	5.31	...	-1.16	17 of 125 - Sale ²⁵
	10.000	4.15	07/15/20	5.31	...	-1.16	18 of 125 - Sale ²⁵
	10.000	4.15	07/15/20	5.23	...	-1.08	19 of 125 - Sale ²⁵
	300.000	124.55	07/22/20	170.90	...	-46.35	20 of 125 - Sale ²⁵

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol: (cont'd)							
	2,100.000	871.82	07/22/20	912.66	...	-40.84	21 of 125 - Sale ²⁵
	1,600.000	664.25	07/22/20	693.76	...	-29.51	22 of 125 - Sale ²⁵
	180.000	74.73	07/22/20	102.50	...	-27.77	23 of 125 - Sale ²⁵
	120.000	49.82	07/22/20	68.23	...	-18.41	24 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	56.97	...	-15.45	25 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	56.96	...	-15.44	26 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	56.95	...	-15.43	27 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	56.88	...	-15.36	28 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	56.88	...	-15.36	29 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	56.88	...	-15.36	30 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	56.88	...	-15.36	31 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	56.88	...	-15.36	32 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	56.88	...	-15.36	33 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	56.87	...	-15.35	34 of 125 - Sale ²⁵
	90.000	37.37	07/22/20	51.17	...	-13.80	35 of 125 - Sale ²⁵
	80.000	33.21	07/22/20	45.50	...	-12.29	36 of 125 - Sale ²⁵
	600.000	249.09	07/22/20	260.16	...	-11.07	37 of 125 - Sale ²⁵
	494.000	205.08	07/22/20	215.38	...	-10.30	38 of 125 - Sale ²⁵
	500.000	207.58	07/22/20	216.80	...	-9.22	39 of 125 - Sale ²⁵
	400.000	166.07	07/22/20	172.84	...	-6.77	40 of 125 - Sale ²⁵
	300.000	124.55	07/22/20	131.20	...	-6.65	41 of 125 - Sale ²⁵
	300.000	124.55	07/22/20	130.08	...	-5.53	42 of 125 - Sale ²⁵
	300.000	124.55	07/22/20	129.63	...	-5.08	43 of 125 - Sale ²⁵
	300.000	124.55	07/22/20	129.63	...	-5.08	44 of 125 - Sale ²⁵
	200.000	83.03	07/22/20	87.47	...	-4.44	45 of 125 - Sale ²⁵
	220.000	91.34	07/22/20	95.06	...	-3.72	46 of 125 - Sale ²⁵
	200.000	83.03	07/22/20	86.72	...	-3.69	47 of 125 - Sale ²⁵
	200.000	83.03	07/22/20	86.72	...	-3.69	48 of 125 - Sale ²⁵
	200.000	83.03	07/22/20	86.42	...	-3.39	49 of 125 - Sale ²⁵
	200.000	83.03	07/22/20	86.42	...	-3.39	50 of 125 - Sale ²⁵
	200.000	83.03	07/22/20	86.42	...	-3.39	51 of 125 - Sale ²⁵
	200.000	83.03	07/22/20	86.42	...	-3.39	52 of 125 - Sale ²⁵
	200.000	83.03	07/22/20	86.42	...	-3.39	53 of 125 - Sale ²⁵
	200.000	83.03	07/22/20	86.42	...	-3.39	54 of 125 - Sale ²⁵

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol: (cont'd)							
100.000		41.52	07/22/20	43.74	...	-2.22	55 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.74	...	-2.22	56 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.74	...	-2.22	57 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.74	...	-2.22	58 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.73	...	-2.21	59 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.73	...	-2.21	60 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.73	...	-2.21	61 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.73	...	-2.21	62 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.73	...	-2.21	63 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.73	...	-2.21	64 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.73	...	-2.21	65 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.73	...	-2.21	66 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.73	...	-2.21	67 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.73	...	-2.21	68 of 125 - Sale ²⁵
100.000		41.51	07/22/20	43.36	...	-1.85	69 of 125 - Sale ²⁵
100.000		41.51	07/22/20	43.36	...	-1.85	70 of 125 - Sale ²⁵
100.000		41.51	07/22/20	43.36	...	-1.85	71 of 125 - Sale ²⁵
100.000		41.51	07/22/20	43.36	...	-1.85	72 of 125 - Sale ²⁵
100.000		41.51	07/22/20	43.36	...	-1.85	73 of 125 - Sale ²⁵
100.000		41.51	07/22/20	43.36	...	-1.85	74 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.36	...	-1.84	75 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.36	...	-1.84	76 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.36	...	-1.84	77 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.36	...	-1.84	78 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.36	...	-1.84	79 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.36	...	-1.84	80 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.36	...	-1.84	81 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.36	...	-1.84	82 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.36	...	-1.84	83 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.36	...	-1.84	84 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.21	...	-1.69	85 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.21	...	-1.69	86 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.21	...	-1.69	87 of 125 - Sale ²⁵
100.000		41.52	07/22/20	43.21	...	-1.69	88 of 125 - Sale ²⁵

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol: (cont'd)							
	100.000	41.52	07/22/20	43.21	...	-1.69	89 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	90 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	91 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	92 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	93 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	94 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	95 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	96 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	97 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	98 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	99 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	100 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	101 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	102 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	103 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	104 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	105 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	106 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	107 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	108 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	109 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	110 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	111 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	112 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	113 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	114 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	115 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	116 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	117 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	118 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	119 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	120 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	121 of 125 - Sale ²⁵
	100.000	41.52	07/22/20	43.21	...	-1.69	122 of 125 - Sale ²⁵

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(continued)

02/14/2021

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BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol: (cont'd)							
	10.000	4.15	07/22/20	5.69	...	-1.54	123 of 125 - Sale ²⁵
	6.000	2.49	07/22/20	2.62	...	-0.13	124 of 125 - Sale ²⁵
	850.000	352.88	08/13/20	355.30	...	-2.42	125 of 125 - Sale ²⁵
08/13/20	21,570.000	8,955.25	Various	9,875.77	...	-920.52	Total of 125 transactions
	8 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	100.000	41.52	07/15/20	55.26	13.74 W	0.00	1 of 8 - Sale ²⁵
	100.000	41.51	07/15/20	55.26	13.75 W	0.00	2 of 8 - Sale ²⁵
	180.000	74.70	07/15/20	99.42	24.72 W	0.00	3 of 8 - Sale ²⁵
	400.000	165.99	07/15/20	221.02	55.03 W	0.00	4 of 8 - Sale ²⁵
	200.000	83.18	07/15/20	110.51	27.33 W	0.00	5 of 8 - Sale ²⁵
	310.000	128.92	07/15/20	171.30	42.38 W	0.00	6 of 8 - Sale ²⁵
	210.000	87.33	07/15/20	115.99	28.66 W	0.00	7 of 8 - Sale ²⁵
	280.000	116.45	07/15/20	154.72	38.27 W	0.00	8 of 8 - Sale ²⁵
08/13/20	1,780.000	739.60	Various	983.48	243.88 W	0.00	Total of 8 transactions
	Security total:	16,643.08		18,005.28	536.24 W	-825.96	
BLONDER TONGUE LABORATORIES IN C / CUSIP: 093698108 / Symbol:							
	3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	300.000	626.98	08/19/20	468.00	...	158.98	1 of 3 - Sale ²⁵
	500.000	1,044.96	08/19/20	780.00	...	264.96	2 of 3 - Sale ²⁵
	500.000	1,699.95	08/19/20	1,374.95	...	325.00	3 of 3 - Sale ²⁵
08/19/20	1,300.000	3,371.89	Various	2,622.95	...	748.94	Total of 3 transactions
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol:							
	16 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	73.000	210.96	07/14/20	199.21	...	11.75	1 of 16 - Sale ²⁵
	77.000	222.52	07/14/20	210.13	...	12.39	2 of 16 - Sale ²⁵
	8.000	23.12	07/15/20	19.28	...	3.84	3 of 16 - Sale ²⁵
	9.000	26.01	07/15/20	20.47	...	5.54	4 of 16 - Sale ²⁵
	27.000	78.02	07/15/20	61.43	...	16.59	5 of 16 - Sale ²⁵
	78.000	225.40	07/15/20	187.98	...	37.42	6 of 16 - Sale ²⁵
	50.000	144.49	07/15/20	106.75	...	37.74	7 of 16 - Sale ²⁵
	100.000	288.98	07/15/20	228.01	...	60.97	8 of 16 - Sale ²⁵
	100.000	288.98	07/15/20	228.01	...	60.97	9 of 16 - Sale ²⁵

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(continued)

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	188.000	543.29	07/15/20	428.65	...	114.64	10 of 16 - Sale ²⁵
	212.000	612.64	07/15/20	483.37	...	129.27	11 of 16 - Sale ²⁵
	349.000	1,008.54	07/15/20	841.12	...	167.42	12 of 16 - Sale ²⁵
	150.000	433.47	07/15/20	257.98	...	175.49	13 of 16 - Sale ²⁵
	415.000	1,199.27	07/15/20	1,000.18	...	199.09	14 of 16 - Sale ²⁵
	400.000	1,155.93	07/15/20	863.24	...	292.69	15 of 16 - Sale ²⁵
	350.000	1,011.44	07/15/20	692.60	...	318.84	16 of 16 - Sale ²⁵
07/15/20	2,586.000	7,473.06	Various	5,828.41	...	1,644.65	Total of 16 transactions
	9 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	499.000	888.14	07/14/20	1,232.53	344.39 W	0.00	1 of 9 - Sale ²⁵
	493.000	877.46	07/14/20	1,217.71	340.25 W	0.00	2 of 9 - Sale ²⁵
	8.000	14.24	07/14/20	19.76	5.52 W	0.00	3 of 9 - Sale ²⁵
	100.000	177.98	07/14/20	234.00	56.02 W	0.00	4 of 9 - Sale ²⁵
	212.000	377.33	07/14/20	496.08	118.75 W	0.00	5 of 9 - Sale ²⁵
	100.000	177.98	07/14/20	234.00	56.02 W	0.00	6 of 9 - Sale ²⁵
	188.000	334.61	07/14/20	439.92	105.31 W	0.00	7 of 9 - Sale ²⁵
	50.000	88.99	07/14/20	109.75	20.76 W	0.00	8 of 9 - Sale ²⁵
	350.000	622.95	07/14/20	713.58	90.63 W	0.00	9 of 9 - Sale ²⁵
07/15/20	2,000.000	3,559.68	Various	4,697.33	1,137.65 W	0.00	Total of 9 transactions
	Security total:	11,032.74		10,525.74	1,137.65 W	1,644.65	
CSX CORPORATION COMMON STOCK / CUSIP: 126408103 / Symbol:							
05/14/20	4.000	242.49	04/16/20	238.67	...	3.82	Sale ²⁵
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol:							
	18 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	0.035	0.50	12/06/19	5.11	...	-4.61	1 of 18 - Sale ²⁵
	0.010	0.14	12/06/19	1.50	...	-1.36	2 of 18 - Sale ²⁵
	0.080	1.15	12/16/19	12.64	...	-11.49	3 of 18 - Sale ²⁵
	0.100	1.43	02/20/20	9.62	...	-8.19	4 of 18 - Sale ²⁵
	0.020	0.29	02/20/20	1.95	...	-1.66	5 of 18 - Sale ²⁵
	0.005	0.07	02/26/20	0.30	...	-0.23	6 of 18 - Sale ²⁵
	0.015	0.21	03/02/20	0.86	...	-0.65	7 of 18 - Sale ²⁵
	0.005	0.07	03/05/20	0.24	...	-0.17	8 of 18 - Sale ²⁵

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol: (cont'd)							
	0.020	0.29	03/10/20	0.68	...	-0.39	9 of 18 - Sale ²⁵
	0.120	1.72	03/11/20	3.50	...	-1.78	10 of 18 - Sale ²⁵
	0.020	0.29	03/17/20	0.78	...	-0.49	11 of 18 - Sale ²⁵
	0.020	0.29	03/17/20	0.77	...	-0.48	12 of 18 - Sale ²⁵
	0.300	4.29	04/06/20	10.01	...	-5.72	13 of 18 - Sale ²⁵
	0.170	2.43	04/06/20	5.67	...	-3.24	14 of 18 - Sale ²⁵
	0.040	0.57	04/06/20	1.33	...	-0.76	15 of 18 - Sale ²⁵
	0.030	0.43	04/06/20	1.00	...	-0.57	16 of 18 - Sale ²⁵
	0.005	0.07	04/06/20	0.17	...	-0.10	17 of 18 - Sale ²⁵
	0.005	0.07	04/14/20	0.15	...	-0.08	18 of 18 - Sale ²⁵
05/08/20	1.000	14.31	Various	56.28	...	-41.97	Total of 18 transactions
CODE CHAIN NEW CONTINENT LIMIT ED COMMON STOCK / CUSIP: 19200A105 / Symbol:							
3 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	100.000	256.99	10/19/20	255.00	...	1.99	1 of 3 - Sale ²⁵
	500.000	1,282.41	10/19/20	1,275.00	...	7.41	2 of 3 - Sale ²⁵
	400.000	1,031.92	10/19/20	1,020.00	...	11.92	3 of 3 - Sale ²⁵
10/19/20	1,000.000	2,571.32	Various	2,550.00	...	21.32	Total of 3 transactions
CREATIVE REALITIES, INC. COMMO N STOCK / CUSIP: 22530J200 / Symbol:							
10 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	1.000	2.43	04/28/20	2.03	...	0.40	1 of 10 - Sale ²⁵
	12.000	29.16	04/28/20	21.00	...	8.16	2 of 10 - Sale ²⁵
	100.000	242.98	04/28/20	213.00	...	29.98	3 of 10 - Sale ²⁵
	47.000	114.20	04/28/20	82.25	...	31.95	4 of 10 - Sale ²⁵
	175.000	425.22	04/28/20	358.75	...	66.47	5 of 10 - Sale ²⁵
	200.000	485.96	04/28/20	410.00	...	75.96	6 of 10 - Sale ²⁵
	484.000	1,176.04	04/28/20	1,030.92	...	145.12	7 of 10 - Sale ²⁵
	627.000	1,523.50	04/28/20	1,360.59	...	162.91	8 of 10 - Sale ²⁵
	241.000	585.59	04/28/20	421.75	...	163.84	9 of 10 - Sale ²⁵
	272.000	660.91	04/28/20	476.00	...	184.91	10 of 10 - Sale ²⁵
04/28/20	2,159.000	5,245.99	Various	4,376.29	...	869.70	Total of 10 transactions

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Robinhood Securities LLC

Account 125171264

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2020 1099-B* OMB No. 1545-0715

(continued)

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CREATIVE REALITIES, INC. COMMO N STOCK / CUSIP: 22530J200 / Symbol: (cont'd)							
14 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	74.000	192.39	06/11/20	269.38	...	-76.99	1 of 14 - Sale ²⁵
	89.000	231.38	06/11/20	292.83	...	-61.45	2 of 14 - Sale ²⁵
	59.000	153.39	06/11/20	197.67	...	-44.28	3 of 14 - Sale ²⁵
	50.000	130.00	06/11/20	168.02	...	-38.02	4 of 14 - Sale ²⁵
	50.000	130.00	06/11/20	168.02	...	-38.02	5 of 14 - Sale ²⁵
	30.000	77.99	06/11/20	109.20	...	-31.21	6 of 14 - Sale ²⁵
	41.000	106.59	06/11/20	137.37	...	-30.78	7 of 14 - Sale ²⁵
	26.000	67.60	06/11/20	94.65	...	-27.05	8 of 14 - Sale ²⁵
	20.000	52.00	06/11/20	67.21	...	-15.21	9 of 14 - Sale ²⁵
	11.000	28.60	06/11/20	40.04	...	-11.44	10 of 14 - Sale ²⁵
	15.000	38.99	06/11/20	50.41	...	-11.42	11 of 14 - Sale ²⁵
	10.000	26.00	06/11/20	33.60	...	-7.60	12 of 14 - Sale ²⁵
	11.000	28.60	06/11/20	32.45	...	-3.85	13 of 14 - Sale ²⁵
	5.000	13.00	06/11/20	16.80	...	-3.80	14 of 14 - Sale ²⁵
06/11/20	491.000	1,276.53	Various	1,677.65	...	-401.12	Total of 14 transactions
11 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	144.000	374.37	06/11/20	473.79	93.21 W	-6.21	1 of 11 - Sale ²⁵
	100.000	259.99	06/11/20	294.00	34.01 W	0.00	2 of 11 - Sale ²⁵
	100.000	259.99	06/11/20	294.00	34.01 W	0.00	3 of 11 - Sale ²⁵
	200.000	519.96	06/11/20	588.00	68.04 W	0.00	4 of 11 - Sale ²⁵
	89.000	231.38	06/11/20	261.66	30.28 W	0.00	5 of 11 - Sale ²⁵
	11.000	28.60	06/11/20	36.19	7.59 W	0.00	6 of 11 - Sale ²⁵
	100.000	259.98	06/11/20	329.01	69.03 W	0.00	7 of 11 - Sale ²⁵
	30.000	78.00	06/11/20	98.70	20.70 W	0.00	8 of 11 - Sale ²⁵
	59.000	153.39	06/11/20	194.12	40.73 W	0.00	9 of 11 - Sale ²⁵
	51.000	132.59	06/11/20	167.80	35.21 W	0.00	10 of 11 - Sale ²⁵
	5.000	13.00	06/11/20	16.45	3.45 W	0.00	11 of 11 - Sale ²⁵
06/11/20	889.000	2,311.25	Various	2,753.72	436.26 W	-6.21	Total of 11 transactions
	Security total:	8,833.77		8,807.66	436.26 W	462.37	

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Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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DPW HOLDINGS, INC. / CUSIP: 26140E600 / Symbol:							
<i>5 transactions for 10/09/20. Total proceeds and cost reported to the IRS.</i>							
	1,000.000	2,259.84	10/07/20	2,500.00	...	-240.16	1 of 5 - Sale ²⁵
	495.000	1,118.62	10/07/20	1,237.45	...	-118.83	2 of 5 - Sale ²⁵
	105.000	237.28	10/07/20	262.50	...	-25.22	3 of 5 - Sale ²⁵
	100.000	225.98	10/07/20	250.00	...	-24.02	4 of 5 - Sale ²⁵
	100.000	225.98	10/07/20	250.00	...	-24.02	5 of 5 - Sale ²⁵
10/09/20	1,800.000	4,067.70	Various	4,499.95	...	-432.25	Total of 5 transactions
EMAGIN CORPORATION / CUSIP: 29076N206 / Symbol:							
<i>6 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>							
	900.000	1,101.64	06/12/20	1,197.00	...	-95.36	1 of 6 - Sale ²⁵
	500.000	612.03	06/12/20	665.00	...	-52.97	2 of 6 - Sale ²⁵
	200.000	244.81	06/12/20	266.00	...	-21.19	3 of 6 - Sale ²⁵
	39.000	47.74	06/12/20	51.87	...	-4.13	4 of 6 - Sale ²⁵
	23.000	28.15	06/12/20	30.59	...	-2.44	5 of 6 - Sale ²⁵
	16.000	19.59	06/12/20	21.28	...	-1.69	6 of 6 - Sale ²⁵
06/12/20	1,678.000	2,053.96	Various	2,231.74	...	-177.78	Total of 6 transactions
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V102 / Symbol:							
<i>26 transactions for 05/08/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	101.96	05/01/20	95.26	...	6.70	1 of 26 - Sale ²⁵
	139.000	47.81	05/01/20	40.30	...	7.51	2 of 26 - Sale ²⁵
	500.000	168.74	05/01/20	157.50	...	11.24	3 of 26 - Sale ²⁵
	577.000	196.16	05/01/20	183.22	...	12.94	4 of 26 - Sale ²⁵
	500.000	169.99	05/01/20	155.05	...	14.94	5 of 26 - Sale ²⁵
	1,000.000	339.97	05/01/20	312.63	...	27.34	6 of 26 - Sale ²⁵
	1,000.000	339.98	05/01/20	311.53	...	28.45	7 of 26 - Sale ²⁵
	534.000	183.68	05/01/20	154.22	...	29.46	8 of 26 - Sale ²⁵
	666.000	226.42	05/01/20	192.34	...	34.08	9 of 26 - Sale ²⁵
	1,623.000	551.78	05/01/20	515.34	...	36.44	10 of 26 - Sale ²⁵
	2,500.000	849.93	05/01/20	781.56	...	68.37	11 of 26 - Sale ²⁵
	10.000	3.44	05/04/20	2.84	...	0.60	12 of 26 - Sale ²⁵
	100.000	34.40	05/04/20	28.44	...	5.96	13 of 26 - Sale ²⁵
	100.000	34.40	05/04/20	28.44	...	5.96	14 of 26 - Sale ²⁵

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Account 125171264

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2020 1099-B* OMB No. 1545-0715

(continued)

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EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V102 / Symbol: (cont'd)							
	100.000	34.40	05/04/20	28.44	...	5.96	15 of 26 - Sale ²⁵
	100.000	34.40	05/04/20	28.44	...	5.96	16 of 26 - Sale ²⁵
	950.000	326.77	05/04/20	270.18	...	56.59	17 of 26 - Sale ²⁵
	41.000	13.93	05/05/20	11.43	...	2.50	18 of 26 - Sale ²⁵
	100.000	34.40	05/05/20	27.44	...	6.96	19 of 26 - Sale ²⁵
	100.000	34.40	05/05/20	27.44	...	6.96	20 of 26 - Sale ²⁵
	333.000	113.18	05/05/20	91.38	...	21.80	21 of 26 - Sale ²⁵
	857.000	291.27	05/05/20	239.10	...	52.17	22 of 26 - Sale ²⁵
	967.000	332.61	05/05/20	265.34	...	67.27	23 of 26 - Sale ²⁵
	21.000	7.14	05/06/20	5.88	...	1.26	24 of 26 - Sale ²⁵
	100.000	33.99	05/06/20	28.02	...	5.97	25 of 26 - Sale ²⁵
	448.000	152.26	05/06/20	125.57	...	26.69	26 of 26 - Sale ²⁵
05/08/20	13,666.000	4,657.41	Various	4,107.33	...	550.08	Total of 26 transactions
	4 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	877.000	295.96	04/30/20	298.18	2.22 W	0.00	1 of 4 - Sale ²⁵
	4,123.000	1,391.41	04/30/20	1,401.82	10.41 W	0.00	2 of 4 - Sale ²⁵
	1,000.000	337.47	04/30/20	340.00	2.53 W	0.00	3 of 4 - Sale ²⁵
	1,000.000	337.47	04/30/20	338.90	1.43 W	0.00	4 of 4 - Sale ²⁵
05/08/20	7,000.000	2,362.31	Various	2,378.90	16.59 W	0.00	Total of 4 transactions
	Security total:	7,019.72		6,486.23	16.59 W	550.08	
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
	5 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	1.000	4.93	03/23/20	4.35	...	0.58	1 of 5 - Sale ²⁵
	11.922	58.80	04/23/20	58.98	...	-0.18	2 of 5 - Sale ²⁵
	0.055	0.27	04/23/20	0.27	...	0.00	3 of 5 - Sale ²⁵
	2.000	9.87	04/23/20	9.58	...	0.29	4 of 5 - Sale ²⁵
	18.000	88.79	04/23/20	86.13	...	2.66	5 of 5 - Sale ²⁵
05/06/20	32.976	162.66	Various	159.31	...	3.35	Total of 5 transactions
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
	44 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	2.000	7.90	06/02/20	6.72	...	1.18	1 of 44 - Sale ²⁵
	3.000	11.85	06/02/20	10.11	...	1.74	2 of 44 - Sale ²⁵

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(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	8.000	37.36	06/02/20	34.96	...	2.40	3 of 44 - Sale ²⁵
	7.000	27.65	06/02/20	23.31	...	4.34	4 of 44 - Sale ²⁵
	10.000	39.50	06/02/20	33.60	...	5.90	5 of 44 - Sale ²⁵
	13.000	51.35	06/02/20	43.68	...	7.67	6 of 44 - Sale ²⁵
	13.000	51.35	06/02/20	43.55	...	7.80	7 of 44 - Sale ²⁵
	13.000	51.35	06/02/20	43.55	...	7.80	8 of 44 - Sale ²⁵
	30.000	118.49	06/02/20	101.10	...	17.39	9 of 44 - Sale ²⁵
	48.000	189.59	06/02/20	161.76	...	27.83	10 of 44 - Sale ²⁵
	49.000	193.54	06/02/20	165.13	...	28.41	11 of 44 - Sale ²⁵
	49.000	193.54	06/02/20	165.13	...	28.41	12 of 44 - Sale ²⁵
	49.000	193.54	06/02/20	164.64	...	28.90	13 of 44 - Sale ²⁵
	100.000	466.98	06/02/20	438.00	...	28.98	14 of 44 - Sale ²⁵
	51.000	201.44	06/02/20	171.87	...	29.57	15 of 44 - Sale ²⁵
	100.000	466.98	06/02/20	437.00	...	29.98	16 of 44 - Sale ²⁵
	51.000	201.44	06/02/20	171.36	...	30.08	17 of 44 - Sale ²⁵
	51.000	201.44	06/02/20	171.36	...	30.08	18 of 44 - Sale ²⁵
	52.000	205.39	06/02/20	175.24	...	30.15	19 of 44 - Sale ²⁵
	109.000	509.01	06/02/20	477.42	...	31.59	20 of 44 - Sale ²⁵
	60.000	236.99	06/02/20	201.60	...	35.39	21 of 44 - Sale ²⁵
	80.000	315.98	06/02/20	271.18	...	44.80	22 of 44 - Sale ²⁵
	87.000	343.63	06/02/20	291.45	...	52.18	23 of 44 - Sale ²⁵
	87.000	343.63	06/02/20	291.45	...	52.18	24 of 44 - Sale ²⁵
	89.000	351.53	06/02/20	299.04	...	52.49	25 of 44 - Sale ²⁵
	100.000	394.98	06/02/20	337.00	...	57.98	26 of 44 - Sale ²⁵
	100.000	394.98	06/02/20	337.00	...	57.98	27 of 44 - Sale ²⁵
	100.000	394.99	06/02/20	337.00	...	57.99	28 of 44 - Sale ²⁵
	100.000	394.97	06/02/20	336.00	...	58.97	29 of 44 - Sale ²⁵
	100.000	394.97	06/02/20	336.00	...	58.97	30 of 44 - Sale ²⁵
	100.000	394.98	06/02/20	336.00	...	58.98	31 of 44 - Sale ²⁵
	100.000	394.98	06/02/20	335.00	...	59.98	32 of 44 - Sale ²⁵
	111.000	438.42	06/02/20	372.96	...	65.46	33 of 44 - Sale ²⁵
	113.000	446.33	06/02/20	378.55	...	67.78	34 of 44 - Sale ²⁵
	118.000	466.08	06/02/20	397.66	...	68.42	35 of 44 - Sale ²⁵
	151.000	596.42	06/02/20	508.87	...	87.55	36 of 44 - Sale ²⁵

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	149.000	588.52	06/02/20	500.64	...	87.88	37 of 44 - Sale ²⁵
	187.000	738.61	06/02/20	628.32	...	110.29	38 of 44 - Sale ²⁵
	187.000	738.61	06/02/20	626.45	...	112.16	39 of 44 - Sale ²⁵
	383.000	1,788.52	06/02/20	1,673.71	...	114.81	40 of 44 - Sale ²⁵
	287.000	1,133.58	06/02/20	967.19	...	166.39	41 of 44 - Sale ²⁵
	300.000	1,184.93	06/02/20	1,016.94	...	167.99	42 of 44 - Sale ²⁵
	750.000	3,502.33	06/02/20	3,277.50	...	224.83	43 of 44 - Sale ²⁵
	1,000.000	4,669.77	06/02/20	4,380.00	...	289.77	44 of 44 - Sale ²⁵
06/02/20	5,647.000	24,068.42	Various	21,477.00	...	2,591.42	Total of 44 transactions
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
4 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	10.000	11.05	08/20/20	8.20	...	2.85	1 of 4 - Sale ²⁵
	100.000	110.49	08/20/20	82.00	...	28.49	2 of 4 - Sale ²⁵
	200.000	220.97	08/20/20	164.00	...	56.97	3 of 4 - Sale ²⁵
	1,190.000	1,314.77	08/20/20	975.80	...	338.97	4 of 4 - Sale ²⁵
08/20/20	1,500.000	1,657.28	Various	1,230.00	...	427.28	Total of 4 transactions
GREENPRO CAPITAL CORP. COMMON STOCK / CUSIP: 39540F101 / Symbol:							
10/05/20	600.000	1,697.89	10/05/20	1,620.00	...	77.89	Sale ²⁵
HELIUS MEDICAL TECHNOLOGIES, I NC. CLASS A COMMON STOCK (DE) / CUSIP: 42328V504 / Symbol:							
9 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	3,000.000	1,566.84	05/12/20	2,052.90	...	-486.06	1 of 9 - Sale ²⁵
	2,000.000	1,044.55	05/12/20	1,239.80	...	-195.25	2 of 9 - Sale ²⁵
	1,000.000	522.28	05/12/20	674.80	...	-152.52	3 of 9 - Sale ²⁵
	1,120.000	584.96	05/12/20	650.27	...	-65.31	4 of 9 - Sale ²⁵
	700.000	365.59	05/12/20	406.42	...	-40.83	5 of 9 - Sale ²⁵
	500.000	261.14	05/12/20	285.00	...	-23.86	6 of 9 - Sale ²⁵
	400.000	208.91	05/12/20	228.00	...	-19.09	7 of 9 - Sale ²⁵
	100.000	52.23	05/12/20	57.00	...	-4.77	8 of 9 - Sale ²⁵
	230.000	120.12	05/14/20	119.58	...	0.54	9 of 9 - Sale ²⁵
05/19/20	9,050.000	4,726.62	Various	5,713.77	...	-987.15	Total of 9 transactions

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U209 / Symbol:							
5 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	50.000	234.25	09/29/20	235.25	...	-1.00	1 of 5 - Sale ²⁵
	50.000	234.24	09/29/20	229.01	...	5.23	2 of 5 - Sale ²⁵
	50.000	234.24	09/29/20	228.51	...	5.73	3 of 5 - Sale ²⁵
	50.000	234.24	09/29/20	228.50	...	5.74	4 of 5 - Sale ²⁵
	100.000	468.47	09/29/20	457.01	...	11.46	5 of 5 - Sale ²⁵
09/29/20	300.000	1,405.44	Various	1,378.28	...	27.16	Total of 5 transactions
3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	100.000	465.99	09/29/20	470.50	2.26 W	-2.25	1 of 3 - Sale ²⁵
	100.000	466.99	09/29/20	470.50	3.51 W	0.00	2 of 3 - Sale ²⁵
	100.000	466.99	09/29/20	470.50	3.51 W	0.00	3 of 3 - Sale ²⁵
09/29/20	300.000	1,399.97	Various	1,411.50	9.28 W	-2.25	Total of 3 transactions
	Security total:	2,805.41		2,789.78	9.28 W	24.91	
IZEA WORLDWIDE, INC. COMMON STOCK / CUSIP: 46604H105 / Symbol:							
05/07/20	105.000	57.85	05/06/20	59.64	...	-1.79	Sale ²⁵
05/07/20	2,160.000	1,189.87	05/06/20	1,199.88	4.63 W	-5.38	Sale ²⁵
05/08/20	1,000.000	560.16	05/08/20	571.43	...	-11.27	Sale ²⁵
3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	300.000	815.94	06/11/20	767.97	...	47.97	1 of 3 - Sale ²⁵
	500.000	1,359.90	06/11/20	1,279.95	...	79.95	2 of 3 - Sale ²⁵
	600.000	1,631.89	06/11/20	1,535.94	...	95.95	3 of 3 - Sale ²⁵
06/12/20	1,400.000	3,807.73	Various	3,583.86	...	223.87	Total of 3 transactions
6 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	2,400.000	3,407.87	06/12/20	5,543.76	...	-2,135.89	1 of 6 - Sale ²⁵
	800.000	1,135.96	06/12/20	2,303.92	...	-1,167.96	2 of 6 - Sale ²⁵
	430.000	610.58	06/12/20	1,238.36	...	-627.78	3 of 6 - Sale ²⁵
	77.000	109.34	06/12/20	177.87	...	-68.53	4 of 6 - Sale ²⁵
	35.000	49.70	06/12/20	95.38	...	-45.68	5 of 6 - Sale ²⁵
	23.000	32.66	06/12/20	53.13	...	-20.47	6 of 6 - Sale ²⁵
08/14/20	3,765.000	5,346.11	Various	9,412.42	...	-4,066.31	Total of 6 transactions
	Security total:	10,961.72		14,827.23	4.63 W	-3,860.88	

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES A COMMON STOCK / CUSIP: 531465102 / Symbol:							
3 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	40.000	85.34	04/16/20	112.80	...	-27.46	1 of 3 - Sale ²⁵
	20.000	42.67	04/16/20	45.60	...	-2.93	2 of 3 - Sale ²⁵
	64.000	136.56	04/21/20	135.68	...	0.88	3 of 3 - Sale ²⁵
04/21/20	124.000	264.57	Various	294.08	...	-29.51	Total of 3 transactions
MESOBLAST LIMITED AMERICAN DEP OSITARY SHARES / CUSIP: 590717104 / Symbol:							
7 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	7.000	105.00	04/24/20	102.90	...	2.10	1 of 7 - Sale ²⁵
	35.000	524.98	04/24/20	520.12	...	4.86	2 of 7 - Sale ²⁵
	21.000	314.99	04/24/20	304.51	...	10.48	3 of 7 - Sale ²⁵
	37.000	554.98	04/24/20	512.82	...	42.16	4 of 7 - Sale ²⁵
	29.000	434.99	04/24/20	391.50	...	43.49	5 of 7 - Sale ²⁵
	34.000	509.98	04/24/20	459.00	...	50.98	6 of 7 - Sale ²⁵
	50.000	749.98	04/24/20	675.00	...	74.98	7 of 7 - Sale ²⁵
04/24/20	213.000	3,194.90	Various	2,965.85	...	229.05	Total of 7 transactions
04/24/20	56.000	839.97	04/24/20	896.00	56.03 W	0.00	Sale ²⁵
	Security total:	4,034.87		3,861.85	56.03 W	229.05	
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
3 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	100.000	23.00	04/16/20	25.00	...	-2.00	1 of 3 - Sale ²⁵
	50.000	11.50	04/16/20	12.24	...	-0.74	2 of 3 - Sale ²⁵
	6.000	1.38	04/16/20	1.47	...	-0.09	3 of 3 - Sale ²⁵
04/21/20	156.000	35.88	Various	38.71	...	-2.83	Total of 3 transactions
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
21 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	9.000	30.78	05/19/20	27.54	...	3.24	1 of 21 - Sale ²⁵
	33.000	113.84	05/19/20	94.38	...	19.46	2 of 21 - Sale ²⁵
	89.000	304.36	05/19/20	272.34	...	32.02	3 of 21 - Sale ²⁵
	97.000	331.72	05/19/20	296.82	...	34.90	4 of 21 - Sale ²⁵
	60.000	206.99	05/19/20	169.80	...	37.19	5 of 21 - Sale ²⁵
	100.000	344.98	05/19/20	283.00	...	61.98	6 of 21 - Sale ²⁵

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(continued)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	189.000	646.34	05/19/20	578.34	...	68.00	7 of 21 - Sale ²⁵
	200.000	683.96	05/19/20	612.00	...	71.96	8 of 21 - Sale ²⁵
	200.000	683.96	05/19/20	612.00	...	71.96	9 of 21 - Sale ²⁵
	211.000	721.57	05/19/20	645.66	...	75.91	10 of 21 - Sale ²⁵
	300.000	1,025.94	05/19/20	918.00	...	107.94	11 of 21 - Sale ²⁵
	311.000	1,063.56	05/19/20	951.66	...	111.90	12 of 21 - Sale ²⁵
	200.000	689.96	05/19/20	572.00	...	117.96	13 of 21 - Sale ²⁵
	200.000	689.96	05/19/20	572.00	...	117.96	14 of 21 - Sale ²⁵
	400.000	1,367.92	05/19/20	1,224.00	...	143.92	15 of 21 - Sale ²⁵
	300.000	1,034.94	05/19/20	858.00	...	176.94	16 of 21 - Sale ²⁵
	300.000	1,034.94	05/19/20	858.00	...	176.94	17 of 21 - Sale ²⁵
	367.000	1,266.08	05/19/20	1,049.62	...	216.46	18 of 21 - Sale ²⁵
	400.000	1,379.92	05/19/20	1,144.00	...	235.92	19 of 21 - Sale ²⁵
	400.000	1,379.92	05/19/20	1,144.00	...	235.92	20 of 21 - Sale ²⁵
	400.000	1,379.92	05/19/20	1,144.00	...	235.92	21 of 21 - Sale ²⁵
05/19/20	4,766.000	16,381.56	Various	14,027.16	...	2,354.40	Total of 21 transactions
3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	500.000	1,204.91	05/20/20	1,892.50	687.59 W	0.00	1 of 3 - Sale ²⁵
	200.000	481.96	05/20/20	757.00	275.04 W	0.00	2 of 3 - Sale ²⁵
	459.000	1,106.11	05/20/20	1,744.20	638.09 W	0.00	3 of 3 - Sale ²⁵
06/01/20	1,159.000	2,792.98	Various	4,393.70	1,600.72 W	0.00	Total of 3 transactions
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	464.000	1,336.23	06/02/20	1,410.64	...	-74.41	1 of 2 - Sale ²⁵
	59.000	169.91	06/02/20	179.37	...	-9.46	2 of 2 - Sale ²⁵
06/02/20	523.000	1,506.14	Various	1,590.01	...	-83.87	Total of 2 transactions
73 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	82.000	193.92	05/20/20	424.36	230.44 W	0.00	1 of 73 - Sale ²⁵
	100.000	235.99	05/20/20	517.52	281.53 W	0.00	2 of 73 - Sale ²⁵
	100.000	235.99	05/20/20	517.52	281.53 W	0.00	3 of 73 - Sale ²⁵
	159.000	375.21	05/20/20	822.85	447.64 W	0.00	4 of 73 - Sale ²⁵
	59.000	139.23	05/20/20	305.34	166.11 W	0.00	5 of 73 - Sale ²⁵
	64.000	151.03	05/20/20	331.21	180.18 W	0.00	6 of 73 - Sale ²⁵
	100.000	235.99	05/20/20	517.52	281.53 W	0.00	7 of 73 - Sale ²⁵

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(continued)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	64.000	151.03	05/20/20	332.17	181.14 W	0.00	8 of 73 - Sale ²⁵
	36.000	84.96	05/20/20	186.31	101.35 W	0.00	9 of 73 - Sale ²⁵
	77.000	181.71	05/20/20	399.64	217.93 W	0.00	10 of 73 - Sale ²⁵
	6.000	14.16	05/20/20	30.06	15.90 W	0.00	11 of 73 - Sale ²⁵
	17.000	40.12	05/20/20	73.19	33.07 W	0.00	12 of 73 - Sale ²⁵
	100.000	234.99	05/20/20	430.52	195.53 W	0.00	13 of 73 - Sale ²⁵
	35.000	82.24	05/20/20	150.68	68.44 W	0.00	14 of 73 - Sale ²⁵
	100.000	234.98	05/20/20	430.52	195.54 W	0.00	15 of 73 - Sale ²⁵
	40.000	93.99	05/20/20	229.01	135.02 W	0.00	16 of 73 - Sale ²⁵
	60.000	140.99	05/20/20	258.31	117.32 W	0.00	17 of 73 - Sale ²⁵
	42.000	98.69	05/20/20	240.46	141.77 W	0.00	18 of 73 - Sale ²⁵
	58.000	136.29	05/20/20	332.36	196.07 W	0.00	19 of 73 - Sale ²⁵
	100.000	234.98	05/20/20	573.03	338.05 W	0.00	20 of 73 - Sale ²⁵
	42.000	98.69	05/20/20	240.67	141.98 W	0.00	21 of 73 - Sale ²⁵
	58.000	136.29	05/20/20	332.36	196.07 W	0.00	22 of 73 - Sale ²⁵
	47.000	110.44	05/20/20	269.33	158.89 W	0.00	23 of 73 - Sale ²⁵
	101.000	237.33	05/20/20	578.76	341.43 W	0.00	24 of 73 - Sale ²⁵
	12.000	28.20	05/20/20	68.77	40.57 W	0.00	25 of 73 - Sale ²⁵
	48.000	112.79	05/20/20	275.06	162.27 W	0.00	26 of 73 - Sale ²⁵
	16.000	37.60	05/21/20	83.28	45.68 W	0.00	27 of 73 - Sale ²⁵
	24.000	56.40	05/21/20	125.29	68.89 W	0.00	28 of 73 - Sale ²⁵
	36.000	84.59	05/21/20	187.39	102.80 W	0.00	29 of 73 - Sale ²⁵
	64.000	150.39	05/21/20	334.10	183.71 W	0.00	30 of 73 - Sale ²⁵
	100.000	234.98	05/21/20	520.53	285.55 W	0.00	31 of 73 - Sale ²⁵
	6.000	14.10	05/21/20	30.24	16.14 W	0.00	32 of 73 - Sale ²⁵
	17.000	39.95	05/21/20	73.70	33.75 W	0.00	33 of 73 - Sale ²⁵
	53.000	124.54	05/21/20	276.67	152.13 W	0.00	34 of 73 - Sale ²⁵
	100.000	234.98	05/21/20	434.53	199.55 W	0.00	35 of 73 - Sale ²⁵
	324.000	761.35	05/21/20	774.36	13.01 W	0.00	36 of 73 - Sale ²⁵
	400.000	939.94	05/21/20	956.00	16.06 W	0.00	37 of 73 - Sale ²⁵
	10.000	23.50	05/21/20	23.90	0.40 W	0.00	38 of 73 - Sale ²⁵
	21.000	49.35	05/27/20	54.92	5.57 W	0.00	39 of 73 - Sale ²⁵
	63.000	148.04	06/01/20	153.72	5.68 W	0.00	40 of 73 - Sale ²⁵
	1,077.000	2,530.77	06/01/20	2,627.88	97.11 W	0.00	41 of 73 - Sale ²⁵

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	63.000	181.42	06/02/20	191.53	5.14 W	-4.97	42 of 73 - Sale ²⁵
	40.000	115.19	06/02/20	253.02	137.83 W	0.00	43 of 73 - Sale ²⁵
	26.000	74.88	06/02/20	164.46	89.58 W	0.00	44 of 73 - Sale ²⁵
	12.000	34.56	06/02/20	75.97	41.41 W	0.00	45 of 73 - Sale ²⁵
	16.000	46.08	06/02/20	101.21	55.13 W	0.00	46 of 73 - Sale ²⁵
	42.000	120.95	06/02/20	265.88	144.93 W	0.00	47 of 73 - Sale ²⁵
	47.000	135.35	06/02/20	297.54	162.19 W	0.00	48 of 73 - Sale ²⁵
	48.000	138.23	06/02/20	303.87	165.64 W	0.00	49 of 73 - Sale ²⁵
	58.000	167.03	06/02/20	367.17	200.14 W	0.00	50 of 73 - Sale ²⁵
	58.000	167.03	06/02/20	367.17	200.14 W	0.00	51 of 73 - Sale ²⁵
	100.000	287.98	06/02/20	633.05	345.07 W	0.00	52 of 73 - Sale ²⁵
	101.000	290.86	06/02/20	639.38	348.52 W	0.00	53 of 73 - Sale ²⁵
	16.000	46.08	06/02/20	92.88	46.80 W	0.00	54 of 73 - Sale ²⁵
	57.000	164.14	06/02/20	330.91	166.77 W	0.00	55 of 73 - Sale ²⁵
	10.000	28.80	06/02/20	58.06	29.26 W	0.00	56 of 73 - Sale ²⁵
	6.000	17.28	06/02/20	33.84	16.56 W	0.00	57 of 73 - Sale ²⁵
	17.000	48.96	06/02/20	83.90	34.94 W	0.00	58 of 73 - Sale ²⁵
	24.000	69.12	06/02/20	139.69	70.57 W	0.00	59 of 73 - Sale ²⁵
	36.000	103.67	06/02/20	209.00	105.33 W	0.00	60 of 73 - Sale ²⁵
	53.000	152.63	06/02/20	308.48	155.85 W	0.00	61 of 73 - Sale ²⁵
	64.000	184.31	06/02/20	372.51	188.20 W	0.00	62 of 73 - Sale ²⁵
	33.000	95.03	06/02/20	191.58	96.55 W	0.00	63 of 73 - Sale ²⁵
	100.000	287.98	06/02/20	494.55	206.57 W	0.00	64 of 73 - Sale ²⁵
	35.000	100.79	06/02/20	171.69	70.90 W	0.00	65 of 73 - Sale ²⁵
	60.000	172.79	06/02/20	294.32	121.53 W	0.00	66 of 73 - Sale ²⁵
	100.000	287.98	06/02/20	490.54	202.56 W	0.00	67 of 73 - Sale ²⁵
	296.000	852.42	06/02/20	885.09	32.67 W	0.00	68 of 73 - Sale ²⁵
	28.000	80.64	06/02/20	83.72	3.08 W	0.00	69 of 73 - Sale ²⁵
	53.000	152.63	06/02/20	158.48	5.85 W	0.00	70 of 73 - Sale ²⁵
	10.000	28.80	06/02/20	29.90	1.10 W	0.00	71 of 73 - Sale ²⁵
	347.000	999.29	06/02/20	1,037.58	38.29 W	0.00	72 of 73 - Sale ²⁵
	21.000	60.48	06/02/20	67.52	7.04 W	0.00	73 of 73 - Sale ²⁵
06/02/20	5,995.000	15,144.09	Various	24,718.53	9,569.47 W	-4.97	Total of 73 transactions

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
33 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
554.000		1,282.41	06/02/20	1,706.41	...	-424.00	1 of 33 - Sale ²⁵
101.000		233.80	06/02/20	650.51	...	-416.71	2 of 33 - Sale ²⁵
100.000		231.48	06/02/20	644.07	...	-412.59	3 of 33 - Sale ²⁵
100.000		231.48	06/02/20	505.57	...	-274.09	4 of 33 - Sale ²⁵
347.000		803.24	06/02/20	1,075.82	...	-272.58	5 of 33 - Sale ²⁵
100.000		231.48	06/02/20	501.56	...	-270.08	6 of 33 - Sale ²⁵
58.000		134.26	06/02/20	373.56	...	-239.30	7 of 33 - Sale ²⁵
58.000		134.26	06/02/20	373.56	...	-239.30	8 of 33 - Sale ²⁵
296.000		685.19	06/02/20	917.71	...	-232.52	9 of 33 - Sale ²⁵
64.000		148.15	06/02/20	379.56	...	-231.41	10 of 33 - Sale ²⁵
57.000		131.94	06/02/20	337.20	...	-205.26	11 of 33 - Sale ²⁵
48.000		111.11	06/02/20	309.16	...	-198.05	12 of 33 - Sale ²⁵
47.000		108.80	06/02/20	302.72	...	-193.92	13 of 33 - Sale ²⁵
53.000		122.69	06/02/20	314.32	...	-191.63	14 of 33 - Sale ²⁵
42.000		97.22	06/02/20	270.51	...	-173.29	15 of 33 - Sale ²⁵
40.000		92.59	06/02/20	257.43	...	-164.84	16 of 33 - Sale ²⁵
60.000		138.89	06/02/20	300.93	...	-162.04	17 of 33 - Sale ²⁵
36.000		83.33	06/02/20	212.97	...	-129.64	18 of 33 - Sale ²⁵
33.000		76.39	06/02/20	195.22	...	-118.83	19 of 33 - Sale ²⁵
26.000		60.19	06/02/20	167.32	...	-107.13	20 of 33 - Sale ²⁵
35.000		81.02	06/02/20	175.55	...	-94.53	21 of 33 - Sale ²⁵
24.000		55.56	06/02/20	142.33	...	-86.77	22 of 33 - Sale ²⁵
16.000		37.04	06/02/20	102.97	...	-65.93	23 of 33 - Sale ²⁵
16.000		37.04	06/02/20	94.64	...	-57.60	24 of 33 - Sale ²⁵
12.000		27.78	06/02/20	77.29	...	-49.51	25 of 33 - Sale ²⁵
17.000		39.35	06/02/20	85.77	...	-46.42	26 of 33 - Sale ²⁵
53.000		122.69	06/02/20	164.32	...	-41.63	27 of 33 - Sale ²⁵
10.000		23.15	06/02/20	59.16	...	-36.01	28 of 33 - Sale ²⁵
32.000		74.07	06/02/20	100.82	...	-26.75	29 of 33 - Sale ²⁵
28.000		64.82	06/02/20	86.80	...	-21.98	30 of 33 - Sale ²⁵
21.000		48.61	06/02/20	69.83	...	-21.22	31 of 33 - Sale ²⁵
6.000		13.89	06/02/20	34.50	...	-20.61	32 of 33 - Sale ²⁵

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	10.000	23.15	06/02/20	31.00	...	-7.85	33 of 33 - Sale ²⁵
06/12/20	2,500.000	5,787.07	Various	11,021.09	...	-5,234.02	Total of 33 transactions
	Security total:	41,611.84		55,750.49	11,170.19 W	-2,968.46	
NEW CONCEPT ENERGY INC / CUSIP: 643611106 / Symbol:							
5 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	550.000	1,033.91	10/21/20	1,127.50	...	-93.59	1 of 5 - Sale ²⁵
	150.000	281.98	10/21/20	332.61	...	-50.63	2 of 5 - Sale ²⁵
	100.000	187.98	10/21/20	206.02	...	-18.04	3 of 5 - Sale ²⁵
	50.000	93.99	10/21/20	103.51	...	-9.52	4 of 5 - Sale ²⁵
	450.000	845.93	10/21/20	855.00	...	-9.07	5 of 5 - Sale ²⁵
10/21/20	1,300.000	2,443.79	Various	2,624.64	...	-180.85	Total of 5 transactions
10/21/20	300.000	563.94	10/21/20	615.00	51.06 W	0.00	Sale ²⁵
	Security total:	3,007.73		3,239.64	51.06 W	-180.85	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
5 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	5.000	17.42	03/02/20	19.50	...	-2.08	1 of 5 - Sale ²⁵
	16.000	55.72	03/11/20	49.72	...	6.00	2 of 5 - Sale ²⁵
	5.000	17.42	03/17/20	12.74	...	4.68	3 of 5 - Sale ²⁵
	1.000	3.48	04/23/20	3.44	...	0.04	4 of 5 - Sale ²⁵
	1.000	3.48	04/23/20	3.38	...	0.10	5 of 5 - Sale ²⁵
05/06/20	28.000	97.52	Various	88.78	...	8.74	Total of 5 transactions
NORTHERN DYNASTY MINERALS, LTD . / CUSIP: 66510M204 / Symbol:							
08/26/20	2,000.000	1,759.52	08/26/20	1,698.60	...	60.92	Sale ²⁵
NXT-ID, INC. COMMON STOCK / CUSIP: 67091J206 / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	300.000	170.97	12/02/20	191.99	...	-21.02	1 of 2 - Sale ²⁵
	300.000	170.96	12/02/20	170.25	...	0.71	2 of 2 - Sale ²⁵
12/02/20	600.000	341.93	Various	362.24	...	-20.31	Total of 2 transactions

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OASIS PETROLEUM INC. COMMON STOCK / CUSIP: 674215108 / Symbol:							
2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	8.000	4.64	04/23/20	4.00	...	0.64	1 of 2 - Sale ²⁵
	100.000	57.99	04/23/20	50.60	...	7.39	2 of 2 - Sale ²⁵
04/23/20	108.000	62.63	Various	54.60	...	8.03	Total of 2 transactions
4 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	251.000	166.15	04/23/20	158.10	...	8.05	1 of 4 - Sale ²⁵
	100.000	66.20	04/24/20	56.74	...	9.46	2 of 4 - Sale ²⁵
	148.000	97.97	04/24/20	83.98	...	13.99	3 of 4 - Sale ²⁵
	500.000	330.98	04/24/20	282.25	...	48.73	4 of 4 - Sale ²⁵
05/05/20	999.000	661.30	Various	581.07	...	80.23	Total of 4 transactions
05/05/20	1.000	0.66	04/24/20	0.69	0.03 W	0.00	Sale ²⁵
7 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	0.57	05/11/20	0.56	...	0.01	1 of 7 - Sale ²⁵
	1,089.000	621.68	05/11/20	577.17	...	44.51	2 of 7 - Sale ²⁵
	1,000.000	570.87	05/11/20	520.10	...	50.77	3 of 7 - Sale ²⁵
	1,410.000	804.92	05/11/20	747.16	...	57.76	4 of 7 - Sale ²⁵
	299.000	170.69	05/12/20	143.76	...	26.93	5 of 7 - Sale ²⁵
	701.000	400.18	05/12/20	337.04	...	63.14	6 of 7 - Sale ²⁵
	1,000.000	570.87	05/12/20	481.47	...	89.40	7 of 7 - Sale ²⁵
05/18/20	5,500.000	3,139.78	Various	2,807.26	...	332.52	Total of 7 transactions
	Security total:	3,864.37		3,443.62	0.03 W	420.78	
RAVE RESTAURANT GROUP, INC. COMMON STOCK / CUSIP: 754198109 / Symbol:							
13 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	600.000	594.51	10/07/20	954.00	...	-359.49	1 of 13 - Sale ²⁵
	600.000	594.51	10/07/20	941.94	...	-347.43	2 of 13 - Sale ²⁵
	1,000.000	990.85	10/07/20	1,179.90	...	-189.05	3 of 13 - Sale ²⁵
	400.000	396.34	10/07/20	555.96	...	-159.62	4 of 13 - Sale ²⁵
	695.000	688.64	10/07/20	820.03	...	-131.39	5 of 13 - Sale ²⁵
	45.000	44.59	10/07/20	84.60	...	-40.01	6 of 13 - Sale ²⁵
	100.000	99.09	10/07/20	138.99	...	-39.90	7 of 13 - Sale ²⁵
	100.000	99.09	10/07/20	138.50	...	-39.41	8 of 13 - Sale ²⁵
	100.000	99.09	10/07/20	138.50	...	-39.41	9 of 13 - Sale ²⁵

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(continued)

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RAVE RESTAURANT GROUP, INC. CO MMON STOCK / CUSIP: 754198109 / Symbol: (cont'd)							
	30.000	29.72	10/07/20	66.72	...	-37.00	10 of 13 - Sale ²⁵
	30.000	29.73	10/07/20	47.25	...	-17.52	11 of 13 - Sale ²⁵
	30.000	29.73	10/07/20	45.75	...	-16.02	12 of 13 - Sale ²⁵
	5.000	4.95	10/07/20	11.15	...	-6.20	13 of 13 - Sale ²⁵
10/07/20	3,735.000	3,700.84	Various	5,123.29	...	-1,422.45	Total of 13 transactions
10/07/20	800.000	792.69	10/07/20	1,632.00	36.72 W	-802.59	Sale ²⁵
	Security total:	4,493.53		6,755.29	36.72 W	-2,225.04	
RITTER PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 767836307 / Symbol:							
4 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	500.000	249.94	05/07/20	247.15	...	2.79	1 of 4 - Sale ²⁵
	240.000	119.97	05/07/20	117.12	...	2.85	2 of 4 - Sale ²⁵
	1,000.000	499.88	05/07/20	494.30	...	5.58	3 of 4 - Sale ²⁵
	1,000.000	499.88	05/07/20	494.30	...	5.58	4 of 4 - Sale ²⁵
05/08/20	2,740.000	1,369.67	Various	1,352.87	...	16.80	Total of 4 transactions
SCWORX CORP. COMMON STOCK / CUSIP: 78396V109 / Symbol:							
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	990.000	2,118.53	08/26/20	2,344.32	...	-225.79	1 of 3 - Sale ²⁵
	190.000	406.59	08/26/20	418.00	...	-11.41	2 of 3 - Sale ²⁵
	10.000	21.40	08/26/20	24.28	...	-2.88	3 of 3 - Sale ²⁵
08/26/20	1,190.000	2,546.52	Various	2,786.60	...	-240.08	Total of 3 transactions
08/26/20	10.000	21.40	08/26/20	23.68	2.28 W	0.00	Sale ²⁵
	Security total:	2,567.92		2,810.28	2.28 W	-240.08	
SANDRIDGE PERMIAN TRUST / CUSIP: 80007A102 / Symbol:							
4 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	2,500.000	944.93	08/27/20	1,900.00	...	-955.07	1 of 4 - Sale ²⁵
	3,800.000	1,436.30	08/27/20	2,006.02	...	-569.72	2 of 4 - Sale ²⁵
	1,700.000	642.55	08/27/20	1,011.16	...	-368.61	3 of 4 - Sale ²⁵
	1,000.000	377.97	08/27/20	710.00	...	-332.03	4 of 4 - Sale ²⁵
09/03/20	9,000.000	3,401.75	Various	5,627.18	...	-2,225.43	Total of 4 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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SENMIAO TECHNOLOGY LIMITED COM MON STOCK / CUSIP: 817225105 / Symbol:							
<i>10 transactions for 10/14/20. Total proceeds and cost reported to the IRS.</i>							
	900.000	710.88	10/14/20	734.97	...	-24.09	1 of 10 - Sale ²⁵
	100.000	78.99	10/14/20	81.66	...	-2.67	2 of 10 - Sale ²⁵
	100.000	78.98	10/14/20	79.61	...	-0.63	3 of 10 - Sale ²⁵
	1,100.000	868.84	10/14/20	869.00	...	-0.16	4 of 10 - Sale ²⁵
	20.000	15.80	10/14/20	15.92	...	-0.12	5 of 10 - Sale ²⁵
	20.000	15.80	10/14/20	15.70	...	0.10	6 of 10 - Sale ²⁵
	103.000	81.36	10/14/20	80.86	...	0.50	7 of 10 - Sale ²⁵
	197.000	155.60	10/14/20	154.45	...	1.15	8 of 10 - Sale ²⁵
	280.000	221.16	10/14/20	219.80	...	1.36	9 of 10 - Sale ²⁵
	300.000	236.96	10/14/20	235.20	...	1.76	10 of 10 - Sale ²⁵
10/14/20	3,120.000	2,464.37	Various	2,487.17	...	-22.80	Total of 10 transactions
<i>3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.</i>							
	2,000.000	1,579.72	10/14/20	1,634.00	3.25 W	-51.03	1 of 3 - Sale ²⁵
	900.000	710.88	10/14/20	734.85	23.97 W	0.00	2 of 3 - Sale ²⁵
	100.000	78.99	10/14/20	81.65	2.66 W	0.00	3 of 3 - Sale ²⁵
10/14/20	3,000.000	2,369.59	Various	2,450.50	29.88 W	-51.03	Total of 3 transactions
	Security total:	4,833.96		4,937.67	29.88 W	-73.83	
SIGMA LABS, INC. COMMON STOCK / CUSIP: 826598500 / Symbol:							
<i>3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>							
	350.000	913.79	06/01/20	1,011.50	...	-97.71	1 of 3 - Sale ²⁵
	100.000	261.08	06/01/20	285.00	...	-23.92	2 of 3 - Sale ²⁵
	46.000	120.10	06/01/20	131.10	...	-11.00	3 of 3 - Sale ²⁵
06/02/20	496.000	1,294.97	Various	1,427.60	...	-132.63	Total of 3 transactions
SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol:							
<i>8 transactions for 05/21/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	51.83	11/20/19	69.30	...	-17.47	1 of 8 - Sale ²⁵
	4.000	20.73	11/20/19	27.72	...	-6.99	2 of 8 - Sale ²⁵
	8.000	41.46	12/06/19	54.92	...	-13.46	3 of 8 - Sale ²⁵
	3.000	15.55	02/20/20	22.10	...	-6.55	4 of 8 - Sale ²⁵
	1.000	5.18	03/09/20	6.04	...	-0.86	5 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol: (cont'd)							
	12.000	62.20	04/06/20	56.97	...	5.23	6 of 8 - Sale ²⁵
	10.000	51.83	04/16/20	53.50	...	-1.67	7 of 8 - Sale ²⁵
	2.000	10.36	04/16/20	10.56	...	-0.20	8 of 8 - Sale ²⁵
05/21/20	50.000	259.14	Various	301.11	...	-41.97	Total of 8 transactions
SNAP INC. / CUSIP: 83304A106 / Symbol:							
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	2.000	35.21	11/18/19	29.43	...	5.78	1 of 2 - Sale ²⁵
	5.000	88.01	03/09/20	56.00	...	32.01	2 of 2 - Sale ²⁵
05/06/20	7.000	123.22	Various	85.43	...	37.79	Total of 2 transactions
TOUGHBUILT INDUSTRIES, INC. CO MMON STOCK / CUSIP: 89157G504 / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1,400.000	1,072.34	07/07/20	1,159.06	...	-86.72	1 of 2 - Sale ²⁵
	500.000	382.98	07/07/20	436.71	...	-53.73	2 of 2 - Sale ²⁵
07/08/20	1,900.000	1,455.32	Various	1,595.77	...	-140.45	Total of 2 transactions
07/08/20	600.000	459.53	07/07/20	496.74	31.01 W	-6.20	Sale ²⁵
	Security total:	1,914.85		2,092.51	31.01 W	-146.65	
U.S. WELL SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 91274U101 / Symbol:							
24 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	20.000	9.25	10/09/20	9.20	...	0.05	1 of 24 - Sale ²⁵
	20.000	9.25	10/09/20	9.20	...	0.05	2 of 24 - Sale ²⁵
	3.000	1.39	10/09/20	1.27	...	0.12	3 of 24 - Sale ²⁵
	3.000	1.39	10/09/20	1.27	...	0.12	4 of 24 - Sale ²⁵
	4.000	1.85	10/09/20	1.70	...	0.15	5 of 24 - Sale ²⁵
	100.000	46.24	10/09/20	46.00	...	0.24	6 of 24 - Sale ²⁵
	100.000	46.24	10/09/20	45.99	...	0.25	7 of 24 - Sale ²⁵
	100.000	46.24	10/09/20	45.99	...	0.25	8 of 24 - Sale ²⁵
	100.000	46.24	10/09/20	45.99	...	0.25	9 of 24 - Sale ²⁵
	100.000	46.24	10/09/20	45.99	...	0.25	10 of 24 - Sale ²⁵
	100.000	46.24	10/09/20	45.99	...	0.25	11 of 24 - Sale ²⁵
	100.000	46.24	10/09/20	45.99	...	0.25	12 of 24 - Sale ²⁵
	231.000	106.81	10/09/20	106.23	...	0.58	13 of 24 - Sale ²⁵

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
U.S. WELL SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 91274U101 / Symbol: (cont'd)							
	300.000	138.71	10/09/20	137.97	...	0.74	14 of 24 - Sale ²⁵
	299.000	138.25	10/09/20	137.51	...	0.74	15 of 24 - Sale ²⁵
	400.000	184.96	10/09/20	184.00	...	0.96	16 of 24 - Sale ²⁵
	800.000	369.91	10/09/20	367.92	...	1.99	17 of 24 - Sale ²⁵
	59.000	27.28	10/09/20	25.08	...	2.20	18 of 24 - Sale ²⁵
	900.000	416.15	10/09/20	413.91	...	2.24	19 of 24 - Sale ²⁵
	109.000	49.14	10/09/20	46.33	...	2.81	20 of 24 - Sale ²⁵
	200.000	92.48	10/09/20	85.02	...	7.46	21 of 24 - Sale ²⁵
	3,330.000	1,539.73	10/09/20	1,531.47	...	8.26	22 of 24 - Sale ²⁵
	331.000	153.05	10/09/20	140.71	...	12.34	23 of 24 - Sale ²⁵
	1,291.000	582.07	10/09/20	549.19	...	32.88	24 of 24 - Sale ²⁵
10/09/20	9,000.000	4,145.35	Various	4,069.92	...	75.43	Total of 24 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
5 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	12.000	216.98	02/20/20	466.08	...	-249.10	1 of 5 - Sale ²⁵
	10.000	180.82	03/09/20	175.00	...	5.82	2 of 5 - Sale ²⁵
	5.000	90.41	03/11/20	81.32	...	9.09	3 of 5 - Sale ²⁵
	3.000	54.25	03/17/20	37.34	...	16.91	4 of 5 - Sale ²⁵
	10.000	180.81	04/06/20	133.60	...	47.21	5 of 5 - Sale ²⁵
06/01/20	40.000	723.27	Various	893.34	...	-170.07	Total of 5 transactions
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol:							
4 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	641.229	1,508.64	06/04/20	4,807.50	...	-3,298.86	1 of 4 - Sale ²⁵
	231.583	544.85	06/04/20	1,736.25	...	-1,191.40	2 of 4 - Sale ²⁵
	44.182	103.95	06/04/20	331.25	...	-227.30	3 of 4 - Sale ²⁵
	15.005	35.30	06/09/20	77.75	...	-42.45	4 of 4 - Sale ²⁵
08/14/20	932.000	2,192.74	Various	6,952.75	...	-4,760.01	Total of 4 transactions

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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XTANT MEDICAL HOLDINGS, INC. / CUSIP: 98420P308 / Symbol:							
2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	175.000	367.47	10/02/20	332.50	...	34.97	1 of 2 - Sale ²⁵
	575.000	1,207.40	10/02/20	1,092.50	...	114.90	2 of 2 - Sale ²⁵
10/02/20	750.000	1,574.87	Various	1,425.00	...	149.87	Total of 2 transactions
AURIS MEDICAL HOLDING LTD. COM MON SHARES 0.01 SF (BERMUDA) / CUSIP: G07025201 / Symbol:							
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	41.000	126.28	12/01/20	119.89	...	6.39	1 of 2 - Sale ²⁵
	59.000	181.71	12/01/20	172.53	...	9.18	2 of 2 - Sale ²⁵
12/01/20	100.000	307.99	Various	292.42	...	15.57	Total of 2 transactions
HERMITAGE OFFSHORE SERVICES LT D. / CUSIP: G4511M108 / Symbol:							
4 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	48.000	23.35	09/22/20	21.25	...	2.10	1 of 4 - Sale ²⁵
	200.000	97.29	09/22/20	93.42	...	3.87	2 of 4 - Sale ²⁵
	1,900.000	924.30	09/22/20	889.01	...	35.29	3 of 4 - Sale ²⁵
	1,152.000	560.41	09/22/20	488.91	...	71.50	4 of 4 - Sale ²⁵
09/22/20	3,300.000	1,605.35	Various	1,492.59	...	112.76	Total of 4 transactions
HUDSON CAPITAL INC. ORDINARY S HARES / CUSIP: G4645C109 / Symbol:							
3 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	100.000	95.14	10/12/20	76.01	...	19.13	1 of 3 - Sale ²⁵
	200.000	190.28	10/12/20	152.02	...	38.26	2 of 3 - Sale ²⁵
	3,700.000	3,525.95	10/12/20	2,812.37	...	713.58	3 of 3 - Sale ²⁵
10/12/20	4,000.000	3,811.37	Various	3,040.40	...	770.97	Total of 3 transactions
KAIXIN AUTO HOLDINGS ORDINARY SHARE / CUSIP: G5223X100 / Symbol:							
3 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	500.000	2,174.89	10/19/20	2,530.00	...	-355.11	1 of 3 - Sale ²⁵
	100.000	436.99	10/19/20	506.00	...	-69.01	2 of 3 - Sale ²⁵
	100.000	436.99	10/19/20	506.00	...	-69.01	3 of 3 - Sale ²⁵
10/19/20	700.000	3,048.87	Various	3,542.00	...	-493.13	Total of 3 transactions

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TORM PLC CLASS A COMMON STOCK / CUSIP: G89479102 / Symbol:							
<i>10 transactions for 05/06/20. Total proceeds and cost reported to the IRS.</i>							
	12.303	93.50	04/22/20	501.14	...	-407.64	1 of 10 - Sale ²⁵
	25.518	193.94	04/22/20	503.58	...	-309.64	2 of 10 - Sale ²⁵
	17.482	132.86	04/22/20	344.99	...	-212.13	3 of 10 - Sale ²⁵
	18.000	136.80	04/22/20	257.22	...	-120.42	4 of 10 - Sale ²⁵
	7.000	53.20	04/22/20	138.14	...	-84.94	5 of 10 - Sale ²⁵
	3.000	22.80	04/22/20	51.10	...	-28.30	6 of 10 - Sale ²⁵
	32.482	248.15	04/22/20	271.99	...	-23.84	7 of 10 - Sale ²⁵
	2.000	15.20	04/22/20	28.03	...	-12.83	8 of 10 - Sale ²⁵
	0.215	1.64	04/22/20	8.77	...	-7.13	9 of 10 - Sale ²⁵
	1.000	7.60	04/22/20	13.75	...	-6.15	10 of 10 - Sale ²⁵
05/06/20	119.000	905.69	Various	2,118.71	...	-1,213.02	Total of 10 transactions
<i>6 transactions for 05/06/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	15.28	04/21/20	25.48	5.10 W	-5.10	1 of 6 - Sale ²⁵
	0.215	1.64	04/21/20	8.61	6.97 W	0.00	2 of 6 - Sale ²⁵
	12.303	93.99	04/21/20	492.11	398.12 W	0.00	3 of 6 - Sale ²⁵
	50.000	381.98	04/21/20	950.00	568.02 W	0.00	4 of 6 - Sale ²⁵
	3.000	22.92	04/21/20	48.90	25.98 W	0.00	5 of 6 - Sale ²⁵
	20.000	152.80	04/21/20	265.60	112.80 W	0.00	6 of 6 - Sale ²⁵
05/06/20	87.518	668.61	Various	1,790.70	1,116.99 W	-5.10	Total of 6 transactions
	Security total:	1,574.30		3,909.41	1,116.99 W	-1,218.12	
UTSTARCOM HOLDINGS CORP. / CUSIP: G9310A114 / Symbol:							
12/10/20	150.000	365.23	12/10/20	374.67	...	-9.44	Sale ²⁵
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol:							
<i>6 transactions for 09/18/20. Total proceeds and cost reported to the IRS.</i>							
	700.000	227.49	09/18/20	250.33	...	-22.84	1 of 6 - Sale ²⁵
	401.000	130.32	09/18/20	143.40	...	-13.08	2 of 6 - Sale ²⁵
	201.000	65.32	09/18/20	68.32	...	-3.00	3 of 6 - Sale ²⁵
	48.000	15.60	09/18/20	17.17	...	-1.57	4 of 6 - Sale ²⁵
	49.000	15.93	09/18/20	16.73	...	-0.80	5 of 6 - Sale ²⁵
	1.000	0.32	09/18/20	0.36	...	-0.04	6 of 6 - Sale ²⁵
09/18/20	1,400.000	454.98	Various	496.31	...	-41.33	Total of 6 transactions

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Robinhood Securities LLC

Account 125171264

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol: (cont'd)							
09/18/20	1,200.000	424.67	09/18/20	426.60	1.93 W	0.00	Sale ²⁵
	Security total:	879.65		922.91	1.93 W	-41.33	
GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265407 / Symbol:							
<i>4 transactions for 09/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.14	09/25/20	0.13	...	0.01	1 of 4 - Sale ²⁵
	600.000	83.62	09/25/20	75.00	...	8.62	2 of 4 - Sale ²⁵
	6,753.000	941.21	09/25/20	864.38	...	76.83	3 of 4 - Sale ²⁵
	9,246.000	1,288.69	09/25/20	1,183.49	...	105.20	4 of 4 - Sale ²⁵
09/28/20	16,600.000	2,313.66	Various	2,123.00	...	190.66	Total of 4 transactions
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol:							
<i>2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.17	04/23/20	0.16	...	0.01	1 of 2 - Sale ²⁵
	1,511.000	244.90	04/23/20	241.61	...	3.29	2 of 2 - Sale ²⁵
04/28/20	1,512.000	245.07	Various	241.77	...	3.30	Total of 2 transactions
Totals :		229,441.99		294,473.69	21,660.84 W	-43,370.86	

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Robinhood Securities LLC

Account 125171264

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol:							
2 transactions for 04/27/20							
	0.055	0.89	11/20/19	6.27	...	-5.38	Gain or loss
	0.265	4.31	12/06/19	38.65	...	-34.34	Gain or loss
04/27/20	0.320	5.20	Various	44.92	...	-39.72	Total of 2 transactions
Totals:		5.20		44.92	...	-39.72	

Robinhood Securities LLC

Account 125171264

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		05/14/20	3.28	Qualified dividend	
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103		02/28/20	0.29	Qualified dividend	
TORM PLC CLASS A COMMON STOCK	G89479102		05/15/20	20.65	Nonqualified dividend	
				24.22	Total Dividends & distributions	

Robinhood Securities LLC

Account 125171264

Detail for Miscellaneous Income

2020

02/14/2021

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103	05/29/20	0.67	Substitute payment	
			0.67	Total Miscellaneous income	

Robinhood Securities LLC

Account 125171264

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/22/20	-5.00	Margin interest paid	
		05/22/20	-0.60	Margin interest paid	
		05/22/20	-5.00	Margin interest paid	
		06/22/20	-5.00	Margin interest paid	
		07/14/20	0.01	Adj- Margin interest paid	
		07/21/20	-5.00	Margin interest paid	
		08/20/20	-3.76	Margin interest paid	
		08/20/20	-5.00	Margin interest paid	
		09/21/20	-0.33	Margin interest paid	
		09/21/20	-5.00	Margin interest paid	
		10/19/20	-5.00	Margin interest paid	
		10/19/20	-5.00	Margin interest paid	
		11/18/20	-5.00	Margin interest paid	
		12/18/20	-5.00	Margin interest paid	
			-54.68	Total Margin interest paid	
			-54.68	Total Margin interest paid	

Robinhood Securities LLC

Account 125171264

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
UK	TORM PLC CLASS A COMMON STOCK	G89479102	20.65	0.00		0.00	20.65	0.00
Total UNITED KINGDOM			20.65	0.00		0.00	20.65	0.00
Grand Total	Total Foreign Source Income*			20.65				
	Qualified Foreign Source Income**			0.00				
	Foreign Tax			0.00				

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 125171264

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
OAS 11/20/2020 CALL \$0.50	OAS 11/20/20 C 0.500	10/12/20	-30.00	0.00	Merger	
OASPQ 11/20/2020 CALL \$0.50	OASPQ 11/20/20 C 0.500	10/12/20	30.00	0.00	Merger	
		11/20/20	-30.00	0.00	Merger	
OASQ1 11/20/2020 CALL \$0.50	OASQ1 11/20/20 C 0.500	11/20/20	30.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a

premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.

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