

**Apex Clearing**

One Dallas Center  
350 North St Paul, Ste 1300  
Dallas, TX 75201

**IMPORTANT TAX DOCUMENTS ENCLOSED**

IN ACCOUNT WITH  
**WEBULL FINANCIAL LLC**  
**888-828-0618**

RAKESH CHERUKURI  
3596 BELLMEADE CT  
WESLEY CHAPEL, FL 33543-2732

**IMPORTANT YEAR END MESSAGES**

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

This page is intentionally left blank.

<b>Apex Clearing</b> One Dallas Center 350 North St Paul, Ste 1300 Dallas, TX 75201  Customer Service: 214-765-1009 Payer's Federal ID No: 13-2967453  IN ACCOUNT WITH <b>WEBULL FINANCIAL LLC</b> <b>888-828-0618</b>	<b>Account 5MT20719</b>  RAKESH CHERUKURI 3596 BELLMEADE CT WESLEY CHAPEL, FL 33543-2732   RECIPIENT'S ID No: XXX-XX-0082	<b>Form 1099 Composite</b>  Statement Date: 2024-02-11 <input type="checkbox"/> Corrected	<b>2023</b>
--	--	---	-------------

FATCA filing Requirement

### Tax Reporting Statement

Dividends and Distributions	2023 Form1099DIV	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b)	253.96	
1b- Qualified Dividends	217.30	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d)	0.00	
2b- Unrecaptured Section 1250 Gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 ordinary dividends	0.00	
2f- Section 897 capital gain	0.00	
3- Nondividend Distributions	0.00	
<b>4- Federal Income Tax Withheld</b>	<b>0.00</b>	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign Tax Paid	0.00	
8- Foreign Country or U.S. Possessions		
9- Cash Liquidation Distribution	0.00	
10- Noncash Liquidation Distributions	0.00	
12- Exempt-Interest Dividends	0.00	
13- Specified Private Activity Bond Interest Dividends	0.00	

Interest Income	2023 Form1099INT	OMB No. 1545-0112
1- Interest Income	19.68	
2- Early Withdrawal Penalty	0.00	
3- Interest on US Savings Bonds & Treasury Obligations	0.00	
<b>4- Federal Income Tax Withheld</b>	<b>0.00</b>	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

Miscellaneous Information	2023 Form1099MISC	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
<b>4- Federal Income Tax Withheld</b>	<b>0.00</b>	
8- Substitute Payments in Lieu of Dividends or Interest	104.03	

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS	
	2023 Form1099B
	OMB No. 1545-0715
8- Profit or (loss) realized in 2023 on closed contracts	-23,491.19
9- Unrealized profit or (loss) on open contracts - 12/31/2022	0.00
10- Unrealized profit or (loss) on open contracts - 12/31/2023	43.62
11- Aggregate profit or (loss) on contracts	-23,447.57
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns. Dividends may be reclassified for tax purposes after the original Form 1099 is sent in certain cases where information from issuers is received late. This may require us to provide you an amended tax form.  
C - Corrected

Apex Clearing

# Tax Summary

2023

5MT20719

2024-02-11

## Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	1,102,260.14	1,120,133.55	0.00	17,524.26	-349.15
Short-term transactions for noncovered tax lots	4,133.94	4,066.88	0.00	0.00	67.06
<b>Total Short-term</b>	<b>1,106,394.08</b>	<b>1,124,200.43</b>	<b>0.00</b>	<b>17,524.26</b>	<b>-282.09</b>
Long-term transactions for covered tax lots	0.00	0.00	0.00	0.00	0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

### Original Issue Discount

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount - Covered Positions	0.00
Acquisition Premium - Covered Positions	0.00
Bond Premium - Covered Positions	0.00
Tax-Exempt OID - Covered Positions	0.00
Tax Exempt OID - Specified Private Activity Bonds - Covered Positions	0.00
Investment Expenses	0.00

Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the Form1099-OID section of this document.

### FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	<b>0.00</b>
1099-DIV Total:	<b>0.00</b>
1099-INT Total:	<b>0.00</b>
1099-MISC Total:	<b>0.00</b>
1099-OID Total:	<b>0.00</b>

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

2024-02-11

OMB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B - Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes", cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as "Box 2 - Ordinary." In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as "Box 3 - Collectibles Box Checked." Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as "Box 7 - Loss Disallowed Box Checked." Disposition of a QOF investment is noted in the Additional Notes column as "Box 3 - QOF."

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement [ ]

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL LYV 08/18/23	110	LIVE NATION ENTERTAINMENT INC   CUSIP: *8BRXWS7   Symbol: LYV---230818C00110000					
2023-07-28	1.0	34.93	2023-07-28	30.05	0.00	4.88	
CALL NVDA 08/25/23	497.50	NVIDIA CORPORATION   CUSIP: *8BTTWP8   Symbol: NVDA--230825C00497500					
2023-08-25	1.0	0.00	2023-08-23	1,242.05	0.00	-1,242.05	
CALL UPWK 01/19/24	15	UPWORK INC   CUSIP: *8BVBCM4   Symbol: UPWK--240119C00015000					
2023-04-04	1.0	109.93	2023-04-03	115.05	0.00	-5.12	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 08/30/23	451	STANDARD & POORS DEPOSITORY   CUSIP: *8BVGPN5   Symbol: SPY---230830C00451000	2023-08-30	67.93	55.05	0.00	12.88
PUT AAPL 06/02/23	170	APPLE INC   CUSIP: *8BXVQQ7   Symbol: AAPL--230602P00170000	2023-05-26	109.88	Various	0.00	-78.22
PUT AMD 02/10/23	83	ADVANCED MICRO DEVICES INC   CUSIP: *8BZBLL7   Symbol: AMD---230210P00083000	2023-02-06	97.93	2023-02-06	0.00	13.88
CALL ABT 02/16/24	110	ABBOTT LABORATORIES   CUSIP: *8BZXTL9   Symbol: ABT---240216C00110000	2023-10-04	109.94	2023-10-03	18.10 D	0.00
	1.0		2023-10-06	119.94	2023-10-04	0.00	-16.20
<b>Security Totals:</b>		<b>229.88</b>		<b>264.18</b>			<b>-16.20</b>
CALL TLT 09/15/23	95	ISHS 20 PLUS YEAR TREASURY BD   CUSIP: *8C14446   Symbol: TLT---230915C00095000	2023-08-23	164.93	2023-08-18	0.00	42.88
CALL BA 09/01/23	230	BOEING CO   CUSIP: *8CWLNG2   Symbol: BA---230901C00230000	2023-08-29	161.93	2023-08-29	0.00	11.88
CALL TLRV 10/20/23	2.50	TILRAY BRANDS INC   CUSIP: *8CWM7M7   Symbol: TLRV--231020C00002500	2023-09-28	19.93	2023-09-27	0.00	-5.12
CALL SNOW 05/19/23	160	SNOWFLAKE INC CLASS A   CUSIP: *8CXNPZ5   Symbol: SNOW--230519C00160000	2023-03-14	554.93	2023-03-10	0.00	138.88
CALL SHOP 05/19/23	50	SHOPIFY INC CL A   CUSIP: *8CXTDN0   Symbol: SHOP--230519C00050000	2023-03-27	273.93	2023-03-24	0.00	21.88
CALL TSLQ 10/20/23	33	AXS TSLA BEAR DAILY ETF   CUSIP: *8CXVYB6   Symbol: TSLQ--231020C00033000	2023-09-19	114.93	2023-09-13	0.00	9.88
CALL SNAP 07/21/23	11	SNAP INC   CUSIP: *8CZDFL6   Symbol: SNAP--230721C00011000	2023-06-02	74.93	2023-05-31	0.00	5.88
CALL SNAP 05/19/23	12	SNAP INC   CUSIP: *8CZWTF7   Symbol: SNAP--230519C00012000	2023-03-29	84.93	2023-03-29	0.00	-8.12

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT FDX 09/01/23	245 FEDEX CORP   CUSIP: *8D16114   Symbol: FDX---230901P00245000						
2023-08-25	1.0	50.93	2023-08-25	55.05	0.00	-4.12	
CALL DAL 04/21/23	36 DELTA AIR LINES INC DEL   CUSIP: *8D58680   Symbol: DAL---230421C00036000						
2023-03-16	1.0	80.93	2023-03-16	81.05	0.00	-0.12	
CALL SPXS 07/21/23	18 DIREXION DAILY S&P 500 BEAR 3X   CUSIP: *8D85731   Symbol: SPXS--230721C00018000						
2023-07-21	2.0	0.00	Various	131.10	0.00	-131.10	
CALL FHN 02/16/24	13 FIRST HORIZON CORPORATION   CUSIP: *8E56188   Symbol: FHN---240216C00013000						
2023-08-23	1.0	99.93	2023-08-22	105.05	0.00	-5.12	
CALL GOOGL 09/01/23	132 ALPHABET INC CLASS A   CUSIP: *8F06648   Symbol: GOOGL-230901C00132000						
2023-08-28	1.0	109.93	2023-08-28	139.05	0.00	-29.12	
CALL TDOC 07/21/23	30 TELADOC HEALTH INC   CUSIP: *8F10278   Symbol: TDOC--230721C00030000						
2023-05-24	1.0	29.93	2023-05-23	39.05	0.00	-9.12	
CALL SPY 03/30/23	404 STANDARD & POORS DEPOSITORY   CUSIP: *8G67095   Symbol: SPY---230330C00404000						
2023-03-30	1.0	69.93	2023-03-30	66.05	0.00	3.88	
CALL TDOC 05/19/23	30 TELADOC HEALTH INC   CUSIP: *8GDYMC9   Symbol: TDOC--230519C00030000						
2023-03-27	1.0	95.93	2023-03-24	104.05	0.00	-8.12	
CALL AMC2 01/19/24	3 AMC ENTERTAINMENT HOLDINGS INC FA 1:10 R/S D:11 AMC &   CUSIP: *8GFFDL2   Symbol: AMC2--240119C00003000						
2023-08-24	1.0	12.93	2023-08-22	45.05	0.00	-32.12	
PUT AMD 10/06/23	99 ADVANCED MICRO DEVICES INC   CUSIP: *8GFKJK2   Symbol: AMD---231006P00099000						
2023-10-04	1.0	75.94	2023-10-04	75.04	0.00	0.90	
CALL AMZN 10/06/23	130 AMAZON.COM INC   CUSIP: *8GFKZF4   Symbol: AMZN--231006C00130000						
2023-09-29	1.0	150.93	2023-09-28	106.05	0.00	44.88	
PUT PLTR 09/01/23	14 PALANTIR TECHNOLOGIES INC CL A   CUSIP: *8GFTZV9   Symbol: PLTR--230901P00014000						
2023-08-24	1.0	48.93	2023-08-23	14.05	0.00	34.88	
PUT COIN 10/06/23	69 COINBASE GLOBAL INC   CUSIP: *8GFXGC4   Symbol: COIN--231006P00069000						
2023-10-04	1.0	66.94	2023-10-04	89.04	0.00	-22.10	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT COIN 10/06/23	71 COINBASE GLOBAL INC   CUSIP: *8GFXGP3   Symbol: COIN--231006P00071000						
2023-10-04	1.0	143.94	2023-10-04	135.04	0.00	8.90	
CALL CRM 10/06/23	205 SALESFORCE INC   CUSIP: *8GGBGF6   Symbol: CRM---231006C00205000						
2023-10-05	1.0	39.94	2023-10-04	57.04	0.00	-17.10	
CALL ROKU 08/11/23	87 ROKU INC   CUSIP: *8GGHLB9   Symbol: ROKU--230811C00087000						
2023-08-08	1.0	164.93	2023-08-08	186.05	0.00	-21.12	
CALL ROKU 09/01/23	82 ROKU INC   CUSIP: *8GGHQH3   Symbol: ROKU--230901C00082000						
2023-08-30	1.0	75.93	2023-08-30	72.05	0.00	3.88	
PUT SNOW 09/01/23	145 SNOWFLAKE INC CLASS A   CUSIP: *8GGPJN9   Symbol: SNOW--230901P00145000						
2023-08-28	1.0	116.93	2023-08-28	137.05	0.00	-20.12	
CALL PLUG 01/17/25	7.50 PLUG POWER INC   CUSIP: *8GGWHM9   Symbol: PLUG--250117C00007500						
2023-10-06	1.0	179.94	2023-10-04	164.04	0.00	15.90	
CALL GOOGL 10/06/23	136 ALPHABET INC CLASS A   CUSIP: *8GGYGC4   Symbol: GOOGL-231006C00136000						
2023-10-05	8.0	255.66	Various	314.32	0.00	-58.66	
CALL TSLA 09/01/23	240 TESLA INC   CUSIP: *8GHFMH7   Symbol: TSLA--230901C00240000						
2023-08-28	4.0	1,699.72	2023-08-28	1,760.32	50.12 D	-10.48	
CALL TSLA 09/01/23	260 TESLA INC   CUSIP: *8GHFMK0   Symbol: TSLA--230901C00260000						
2023-08-30	1.0	329.93	2023-08-30	340.05	0.00	-10.12	
CALL U 09/01/23	36 UNITY SOFTWARE INC   CUSIP: *8GHPMY7   Symbol: U-----230901C00036000						
2023-08-28	1.0	27.93	2023-08-28	52.05	0.00	-24.12	
CALL NFLX 10/06/23	400 NETFLIX INC   CUSIP: *8GHVSW0   Symbol: NFLX--231006C00400000						
2023-10-03	1.0	120.94	2023-10-03	110.04	0.00	10.90	
PUT CAT 10/06/23	262.50 CATERPILLAR INC   CUSIP: *8GJBFC1   Symbol: CAT---231006P00262500						
2023-10-03	2.0	295.88	2023-10-03	259.08	0.00	36.80	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.



Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL DELL 10/20/23	71.50	DELL TECHNOLOGIES INC CL C   CUSIP: *8GJNLB9   Symbol: DELL--231020C00071500					
2023-10-04	1.0	59.94	2023-10-03	75.04	15.10 D	-0.00	
2023-10-05	1.0	24.94	2023-10-04	80.14	0.00	-55.20	
<b>Security Totals:</b>		<b>84.88</b>		<b>155.18</b>		<b>-55.20</b>	
CALL FVRR 10/20/23	25	FIVERR INTERNATIONAL LTD   CUSIP: *8GKCZQ5   Symbol: FVRR--231020C00025000					
2023-10-06	1.0	54.94	2023-10-05	70.04	0.00	-15.10	
CALL NFLX 10/06/23	392.50	NETFLIX INC   CUSIP: *8GLTML6   Symbol: NFLX--231006C00392500					
2023-10-03	2.0	226.88	2023-10-03	236.08	0.00	-9.20	
CALL NVDA 10/06/23	452.50	NVIDIA CORPORATION   CUSIP: *8GLWXR7   Symbol: NVDA--231006C00452500					
2023-10-05	1.0	208.94	2023-10-05	184.04	0.00	24.90	
CALL ETSY 09/15/23	85	ETSY INC   CUSIP: *8GMRRX7   Symbol: ETSY--230915C00085000					
2023-09-15	2.0	0.00	Various	191.10	0.00	-191.10	
PUT SPY 09/28/23	425	STANDARD & POORS DEPOSITORY   CUSIP: *8GMSPX0   Symbol: SPY---230928P00425000					
2023-09-28	1.0	40.93	2023-09-28	49.05	0.00	-8.12	
CALL GDV 05/19/23	30	VANECK GOLD MINERS ETF   CUSIP: *8GQRGG5   Symbol: GDV---230519C00030000					
2023-03-17	1.0	215.93	2023-03-10	75.05	0.00	140.88	
CALL GDV 09/15/23	40	VANECK GOLD MINERS ETF   CUSIP: *8GQRMT1   Symbol: GDV---230915C00040000					
2023-04-05	1.0	155.93	2023-03-30	115.05	0.00	40.88	
PUT AAPL 03/17/23	145	APPLE INC   CUSIP: *8GVBT9   Symbol: AAPL--230317P00145000					
2023-03-14	2.0	29.88	Various	84.10	0.00	-54.22	
PUT META 08/18/23	275	META PLATFORMS INC CL A   CUSIP: *8GVZPD7   Symbol: META--230818P00275000					
2023-08-18	2.0	236.86	2023-08-18	252.22	20.12 D	4.76	
CALL ZM 07/21/23	80	ZOOM VIDEO COMMUNICATIONS INC   CUSIP: *8H09381   Symbol: ZM----230721C00080000					
2023-05-24	1.0	56.93	2023-05-23	82.05	0.00	-25.12	
CALL SNOW 08/18/23	160	SNOWFLAKE INC CLASS A   CUSIP: *8K27791   Symbol: SNOW--230818C00160000					
2023-08-18	3.0	0.00	Various	356.15	0.00	-356.15	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NFLX 08/18/23	400	NETFLIX INC   CUSIP: *8KBMXK0   Symbol: NFLX--230818P00400000					
2023-08-17	1.0	99.93	2023-08-17	42.05	0.00	57.88	
CALL ROKU 08/18/23	85	ROKU INC   CUSIP: *8KDICY4   Symbol: ROKU--230818C00085000					
2023-08-15	1.0	49.93	2023-08-15	58.05	0.00	-8.12	
CALL JMIA 08/18/23	4	JUMIA TECHNOLOGIES AG   CUSIP: *8KFWVB5   Symbol: JMIA--230818C00004000					
2023-05-24	1.0	12.93	2023-05-22	25.05	0.00	-12.12	
CALL DRN 05/19/23	11	DIREXION DLY REAL ESTATE BULL   CUSIP: *8KKNMS9   Symbol: DRN---230519C00011000					
2023-03-15	1.0	44.93	2023-03-10	40.05	0.00	4.88	
CALL EQT 06/16/23	34	EQT CORPORATION   CUSIP: *8KKSJW4   Symbol: EQT---230616C00034000					
2023-04-03	1.0	198.93	2023-04-03	206.05	0.00	-7.12	
CALL SMH 02/03/23	252.50	VANECK SEMICONDUCTOR ETF   CUSIP: *8KLDPL2   Symbol: SMH---230203C00252500					
2023-02-02	1.0	199.93	2023-02-02	185.05	0.00	14.88	
CALL SOXL 03/17/23	16	DIREXION DLY SEMICONDUCTOR BUL   CUSIP: *8KLMPG8   Symbol: SOXL--230317C00016000					
2023-01-23	1.0	152.94	2023-01-23	159.04	6.10 D	0.00	
2023-02-21	1.0	79.93	2023-02-21	96.15	0.00	-16.22	
<b>Security Totals:</b>		<b>232.87</b>		<b>255.19</b>		<b>-16.22</b>	
CALL MO 10/20/23	42.50	ALTRIA GROUP INC   CUSIP: *8KLTGH3   Symbol: MO----231020C00042500					
2023-10-02	1.0	38.94	2023-09-28	62.05	0.00	-23.11	
CALL SNAP 01/19/24	10	SNAP INC   CUSIP: *8KMFLF8   Symbol: SNAP--240119C00010000					
2023-10-06	1.0	72.94	2023-09-15	92.05	0.00	-19.11	
PUT PLTR 08/18/23	10	PALANTIR TECHNOLOGIES INC CL A   CUSIP: *8KNHRQ6   Symbol: PLTR--230818P00010000					
2023-08-18	1.0	0.00	2023-05-23	46.05	0.00	-46.05	
CALL FHN 01/17/25	12	FIRST HORIZON CORPORATION   CUSIP: *8KPHFV4   Symbol: FHN---250117C00012000					
2023-10-06	1.0	104.94	2023-10-03	115.04	0.00	-10.10	
CALL QS 08/18/23	12	QUANTUMSCAPE CORPORATION CL A   CUSIP: *8KQGGR2   Symbol: QS----230818C00012000					
2023-07-28	1.0	124.93	2023-07-28	100.05	0.00	24.88	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NVDA 10/06/23	450	NVIDIA CORPORATION   CUSIP: *8KRFFW3   Symbol: NVDA--231006C00450000	2023-10-05	314.94	310.04	0.00	4.90
PUT QQQ 09/07/23	371	INVESCO QQQ TRUST SERIES 1   CUSIP: *8KRSRH4   Symbol: QQQ---230907P00371000	2023-09-07	83.93	60.05	0.00	23.88
CALL YANG 03/17/23	8	DIREXION DAILY FTSE CHINA BEAR   CUSIP: *8KSBYJ3   Symbol: YANG--230317C00008000	2023-01-30	89.94	58.04	0.00	31.90
PUT SPY 05/02/23	415	STANDARD & POORS DEPOSITORY   CUSIP: *8KSCNLO   Symbol: SPY---230502P00415000	2023-05-01	79.93	68.05	0.00	11.88
CALL AMD 05/19/23	104	ADVANCED MICRO DEVICES INC   CUSIP: *8KTHXR5   Symbol: AMD---230519C00104000	2023-05-17	119.93	131.05	0.00	-11.12
CALL CVNA 02/17/23	8	CARVANA COMPANY   CUSIP: *8KTPKB3   Symbol: CVNA--230217C00008000	2023-01-25	51.94	100.04	0.00	-48.10
CALL SQ 10/06/23	45	BLOCK INC   CUSIP: *8KYHSR5   Symbol: SQ---231006C00045000	2023-09-29	110.93	91.05	0.00	19.88
CALL RBLX 01/20/23	35	ROBLOX CORPORATION   CUSIP: *8KYKSV7   Symbol: RBLX--230120C00035000	2023-01-06	47.94	60.04	12.10 D	-0.00
			2023-01-09	34.94	33.14	0.00	1.80
<b>Security Totals:</b>		<b>82.88</b>		<b>93.18</b>			<b>1.80</b>
CALL CHPT 01/19/24	6	CHARGEPOINT HOLDINGS INC   CUSIP: *8KYNGF3   Symbol: CHPT--240119C00006000	2023-10-06	51.89	78.09	0.00	-26.20
PUT DASH 06/16/23	55	DOORDASH INC CL A   CUSIP: *8KZDQL4   Symbol: DASH--230616P00055000	2023-06-16	0.00	125.10	0.00	-125.10
CALL DLO 06/16/23	13	DLOCAL LTD   CUSIP: *8KZPKV9   Symbol: DLO---230616C00013000	2023-05-30	64.93	60.05	0.00	4.88
PUT COIN 02/10/23	67	COINBASE GLOBAL INC   CUSIP: *8LBRNK2   Symbol: COIN--230210P00067000	2023-02-07	224.93	258.05	0.00	-33.12

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AMC 01/19/24	5	AMC ENTERTAINMENT HOLDINGS INC   CUSIP: *8LCCNB0   Symbol: #8LCCNB0					
2023-03-16	1.0	97.93	2023-03-15	114.05	0.00	-16.12	
CALL AMD 03/17/23	87	ADVANCED MICRO DEVICES INC   CUSIP: *8LCSGK7   Symbol: AMD---230317C00087000					
2023-03-14	1.0	162.93	2023-03-14	131.05	0.00	31.88	
CALL TQQQ 02/17/23	20	PROSHARES ULTRAPRO QQQ   CUSIP: *8LDGKG3   Symbol: TQQQ--230217C00020000					
2023-01-18	1.0	135.94	2023-01-18	146.04	0.00	-10.10	
CALL QQQ 03/07/23	305	INVESCO QQQ TRUST SERIES 1   CUSIP: *8LDKQF7   Symbol: QQQ---230307C00305000					
2023-03-06	1.0	43.93	2023-03-06	48.05	0.00	-4.12	
CALL MARA 05/19/23	9	MARATHON DIGITAL HOLDINGS INC   CUSIP: *8LDLMW2   Symbol: MARA--230519C00009000					
2023-05-16	1.0	38.93	2023-05-15	72.05	0.00	-33.12	
CALL AI 06/16/23	43	C3 AI INC CLASS A   CUSIP: *8LDMCV2   Symbol: AI---230616C00043000					
2023-06-14	1.0	134.93	2023-06-14	145.05	0.00	-10.12	
CALL GLBE 06/16/23	40	GLOBAL ONLINE LTD   CUSIP: *8LDTTX3   Symbol: GLBE--230616C00040000					
2023-05-22	1.0	49.93	2023-05-22	55.05	0.00	-5.12	
CALL SPY 03/07/23	402	STANDARD & POORS DEPOSITORY   CUSIP: *8LDZWF1   Symbol: SPY---230307C00402000					
2023-03-07	1.0	48.93	2023-03-07	65.05	0.00	-16.12	
PUT SPY 02/02/23	412	STANDARD & POORS DEPOSITORY   CUSIP: *8LGPYJ2   Symbol: SPY---230202P00412000					
2023-02-02	2.0	163.86	2023-02-02	144.10	0.00	19.76	
CALL NVAX 06/16/23	8.50	NOVAVAX INC   CUSIP: *8LHBJT3   Symbol: NVAX--230616C00008500					
2023-05-24	1.0	30.93	2023-05-23	63.05	0.00	-32.12	
CALL OKTA 06/16/23	79	OKTA INC   CUSIP: *8LHDMV1   Symbol: OKTA--230616C00079000					
2023-06-14	3.0	95.81	2023-06-14	171.15	0.00	-75.34	
CALL PETS 06/16/23	17.50	PETMED EXPRESS INC   CUSIP: *8LHWJ4   Symbol: PETS--230616C00017500					
2023-05-23	1.0	14.93	2023-05-22	30.05	0.00	-15.12	
CALL GNRC 09/15/23	120	GENERAC HOLDINGS INC   CUSIP: *8LJBTQ6   Symbol: GNRC--230915C00120000					
2023-08-18	1.0	432.93	2023-08-17	270.05	0.00	162.88	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT TXN 12/15/23	140	TEXAS INSTRUMENTS INC   CUSIP: *8LLDCN5   Symbol: TXN---231215P00140000					
2023-09-26	1.0	188.93	2023-09-25	170.05	0.00	18.88	
PUT QQQ 03/08/23	297	INVESCO QQQ TRUST SERIES 1   CUSIP: *8LLDYJ6   Symbol: QQQ---230308P00297000					
2023-03-08	1.0	58.93	2023-03-08	57.05	0.00	1.88	
CALL AMZN 10/13/23	130	AMAZON.COM INC   CUSIP: *8LLQHV7   Symbol: AMZN--231013C00130000					
2023-10-05	1.0	80.94	2023-10-05	88.04	0.00	-7.10	
CALL SPY 03/08/23	397	STANDARD & POORS DEPOSITORY   CUSIP: *8LMLWX7   Symbol: SPY---230308C00397000					
2023-03-08	1.0	57.93	2023-03-08	65.05	0.00	-7.12	
PUT SPY 03/08/23	397	STANDARD & POORS DEPOSITORY   CUSIP: *8LMLWX9   Symbol: SPY---230308P00397000					
2023-03-08	1.0	30.93	2023-03-08	37.05	0.00	-6.12	
CALL FSLR 01/06/23	157.50	FIRST SOLAR INC   CUSIP: *8LQDSM0   Symbol: FSLR--230106C00157500					
2023-01-06	1.0	29.94	2023-01-06	50.04	0.00	-20.10	
CALL META 01/06/23	125	META PLATFORMS INC CL A   CUSIP: *8LQNHX4   Symbol: META--230106C00125000					
2023-01-03	2.0	281.89	2023-01-03	355.08	0.00	-73.19	
CALL YANG 02/17/23	11	DIREXION DAILY FTSE CHINA BEAR   CUSIP: *8LQXPD1   Symbol: YANG--230217C00011000					
2023-01-19	1.0	15.94	2023-01-11	42.04	0.00	-26.10	
CALL AMC 09/15/23	8	AMC ENTERTAINMENT HOLDINGS INC   CUSIP: *8LQZMN0   Symbol: #8LQZMN0					
2023-03-28	1.0	73.93	2023-03-28	82.05	0.00	-8.12	
CALL SPY 03/17/23	395	STANDARD & POORS DEPOSITORY   CUSIP: *8LRZFB6   Symbol: SPY---230317C00395000					
2023-03-14	1.0	143.93	2023-03-14	154.05	0.00	-10.12	
CALL MPW 01/19/24	7	MEDICAL PROPERTIES TRUST INC   CUSIP: *8LSFPT0   Symbol: MPW---240119C00007000					
2023-08-18	1.0	69.93	2023-08-18	76.05	0.00	-6.12	
PUT COIN 04/06/23	60	COINBASE GLOBAL INC   CUSIP: *8LSGSS5   Symbol: COIN--230406P00060000					
2023-04-03	2.0	237.88	2023-04-03	263.10	0.00	-25.22	
PUT QQQ 02/06/23	300	INVESCO QQQ TRUST SERIES 1   CUSIP: *8LSLCT1   Symbol: QQQ---230206P00300000					
2023-02-03	2.0	77.88	2023-02-03	70.10	0.00	7.78	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 02/06/23	406	STANDARD & POORS DEPOSITORY   CUSIP: *8LSMTW9   Symbol: SPY---230206P00406000	2023-02-03	109.15	0.00	-46.32	
2023-02-03	3.0	62.83	2023-02-03	109.15	0.00	-46.32	
CALL SPY 02/06/23	410	STANDARD & POORS DEPOSITORY   CUSIP: *8LSMTX9   Symbol: SPY---230206C00410000	2023-02-06	77.05	0.00	0.88	
2023-02-06	1.0	77.93	2023-02-06	77.05	0.00	0.88	
PUT SPY 02/07/23	406	STANDARD & POORS DEPOSITORY   CUSIP: *8LSMVN3   Symbol: SPY---230207P00406000	2023-02-07	76.05	0.00	-9.12	
2023-02-07	1.0	66.93	2023-02-07	76.05	0.00	-9.12	
CALL TSLA 05/19/23	210	TESLA INC   CUSIP: *8LSVBJ4   Symbol: TSLA--230519C00210000	2023-03-14	752.05	0.00	97.88	
2023-03-14	1.0	849.93	2023-03-10	752.05	0.00	97.88	
CALL TSL 09/15/23	15	DIREXION DAILY TSLA BULL 1.5X   CUSIP: *8LSVBV5   Symbol: TSL--230915C00015000	2023-08-22	40.05	0.00	59.88	
2023-08-22	1.0	99.93	2023-08-17	40.05	0.00	59.88	
PUT AAPL 03/10/23	150	APPLE INC   CUSIP: *8LSVWX9   Symbol: AAPL--230310P00150000	2023-03-06	34.05	0.00	15.88	
2023-03-06	1.0	49.93	2023-03-06	34.05	0.00	15.88	
PUT AMD 03/10/23	79	ADVANCED MICRO DEVICES INC   CUSIP: *8LSXTL3   Symbol: AMD---230310P00079000	2023-03-07	46.05	0.00	-14.12	
2023-03-07	1.0	31.93	2023-03-07	46.05	0.00	-14.12	
CALL RUN 04/06/23	22	SUNRUN INC   CUSIP: *8LTYPF1   Symbol: RUN---230406C00022000	2023-03-31	29.05	0.00	-6.12	
2023-03-31	1.0	22.93	2023-03-31	29.05	0.00	-6.12	
CALL UNH 04/06/23	500	UNITEDHEALTH GROUP INC   CUSIP: *8LVFJJ8   Symbol: UNH---230406C00500000	2023-04-03	170.05	0.00	-20.12	
2023-04-03	1.0	149.93	2023-04-03	170.05	0.00	-20.12	
CALL NIO 06/21/24	10	NIO INC ADS   CUSIP: *8LVTXZ8   Symbol: NIO---240621C00010000	2023-05-26	160.05	0.00	5.88	
2023-05-26	1.0	165.93	2023-05-25	160.05	0.00	5.88	
PUT QQQ 09/13/23	372	INVESCO QQQ TRUST SERIES 1   CUSIP: *8LVWYM4   Symbol: QQQ---230913P00372000	2023-09-13	55.05	0.00	19.88	
2023-09-13	1.0	74.93	2023-09-13	55.05	0.00	19.88	
CALL SPY 03/15/23	389	STANDARD & POORS DEPOSITORY   CUSIP: *8LWHKD4   Symbol: SPY---230315C00389000	2023-03-15	82.05	0.00	-7.12	
2023-03-15	1.0	74.93	2023-03-15	82.05	0.00	-7.12	
CALL META 04/21/23	222.50	META PLATFORMS INC CL A   CUSIP: *8LWLPP0   Symbol: META--230421C00222500	2023-04-21	99.05	0.00	-99.05	
2023-04-21	1.0	0.00	2023-04-18	99.05	0.00	-99.05	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NVDA 04/06/23	282.50	NVIDIA CORPORATION   CUSIP: *8LWPJM2   Symbol: NVDA--230406C00282500	2023-04-03	177.93	158.05	0.00	19.88
CALL NVDA 06/16/23	435	NVIDIA CORPORATION   CUSIP: *8LXFVM1   Symbol: NVDA--230616C00435000	2023-06-14	158.93	129.05	0.00	29.88
CALL QQQ 04/03/23	319	INVESCO QQQ TRUST SERIES 1   CUSIP: *8LXJHL4   Symbol: QQQ---230403C00319000	2023-04-03	49.93	48.05	0.00	1.88
CALL SOFI 01/19/24	6	SOFI TECHNOLOGIES INC   CUSIP: *8LXNSS8   Symbol: SOFI--240119C00006000	2023-05-03	72.93	119.05	0.00	-46.12
CALL SMCI 06/30/23	250	SUPER MICRO COMPUTER INC   CUSIP: *8LXSRK5   Symbol: SMCI--230630C00250000	2023-06-29	199.93	190.05	0.00	9.88
CALL SQ 12/15/23	60	BLOCK INC   CUSIP: *8LYBNQ8   Symbol: SQ----231215C00060000	2023-10-06	113.89	Various 298.10	0.00	-184.21
CALL BA 07/14/23	220	BOEING CO   CUSIP: *8LYKWR0   Symbol: BA----230714C00220000	2023-07-11	1,199.44	1,300.49	0.00	-101.05
CALL BYND 07/14/23	15.50	BEYOND MEAT INC   CUSIP: *8LYLQM3   Symbol: BYND--230714C00015500	2023-07-11	56.93	55.05	0.00	1.88
CALL VXX1 03/31/23	12	IPATH SERIES B S&P 500 VIX ADJ 1:4 REV SPLIT D:25 VXX   CUSIP: *8LYMBX9   Symbol: VXX1--230331C00012000	2023-03-08	45.93	46.05	0.00	-0.12
CALL LULU 07/14/23	390	LULULEMON ATHLETICA INC   CUSIP: *8LYVGT1   Symbol: LULU--230714C00390000	2023-07-13	27.93	53.05	0.00	-25.12
CALL DDOG 08/04/23	115	DATADOG INC CL A   CUSIP: *8LYYK8   Symbol: DDOG--230804C00115000	2023-08-04	50.93	70.05	0.00	-19.12
CALL NIO 08/04/23	15	NIO INC ADS   CUSIP: *8LZDVM8   Symbol: NIO---230804C00015000	2023-08-03	74.93	50.05	0.00	24.88
PUT TSLA 10/06/23	247.50	TESLA INC   CUSIP: *8NBDQR4   Symbol: TSLA--231006P00247500	2023-10-06	2,086.22	2,148.35	163.81 D	101.68

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 10/06/23	262.50	TESLA INC   CUSIP: *8NBDQR9   Symbol: TSLA--231006C00262500					
2023-10-06	1.0	0.00	2023-10-05	213.04	0.00	-213.04	
PUT AMD 08/25/23	99	ADVANCED MICRO DEVICES INC   CUSIP: *8NBGSL7   Symbol: AMD---230825P00099000					
2023-08-25	2.0	75.87	2023-08-25	50.10	0.00	25.77	
CALL SPY 10/03/23	422	STANDARD & POORS DEPOSITORY   CUSIP: *8NBRMD5   Symbol: SPY---231003C00422000					
2023-10-03	1.0	93.94	2023-10-03	72.04	0.00	21.90	
PUT SPY 10/03/23	424	STANDARD & POORS DEPOSITORY   CUSIP: *8NBRMF0   Symbol: SPY---231003P00424000					
2023-10-03	1.0	74.94	2023-10-03	50.04	0.00	24.90	
CALL AAPL 09/15/23	187.50	APPLE INC   CUSIP: *8NBRWV9   Symbol: AAPL--230915C00187500					
2023-09-13	2.0	7.88	Various	114.10	0.00	-106.22	
PUT AAPL 09/15/23	170	APPLE INC   CUSIP: *8NCMKH4   Symbol: AAPL--230915P00170000					
2023-09-15	1.0	0.00	2023-09-12	41.05	0.00	-41.05	
CALL DIS 11/03/23	82	WALT DISNEY CO   CUSIP: *8NFZHT4   Symbol: DIS---231103C00082000					
2023-10-03	1.0	183.94	2023-10-03	195.04	0.00	-11.10	
CALL CHPT 01/20/23	10	CHARGEPOINT HOLDINGS INC   CUSIP: *8NJRLD8   Symbol: CHPT--230120C00010000					
2023-01-03	1.0	57.94	2022-12-21	65.04	7.10 D	-0.00	
2023-01-09	2.0	97.89	Various	50.18	0.00	47.71	
<b>Security Totals:</b>		<b>155.83</b>		<b>115.22</b>		<b>47.71</b>	
PUT TSLA 01/20/23	125	TESLA INC ADJ 3:1 STOCK SPLIT   CUSIP: *8NKFSV1   Symbol: TSLA--230120P00125000					
2023-01-18	2.0	242.88	2023-01-18	248.18	21.10 D	15.80	
2023-01-20	3.0	14.87	2023-01-20	77.12	0.00	-62.25	
<b>Security Totals:</b>		<b>257.75</b>		<b>325.30</b>		<b>-46.45</b>	
CALL TSLA 01/20/23	160	TESLA INC ADJ 3:1 STOCK SPLIT   CUSIP: *8NKFVM2   Symbol: TSLA--230120C00160000					
2023-01-20	2.0	0.00	Various	782.08	0.00	-782.08	
PUT TSLA 09/15/23	225	TESLA INC ADJ 3:1 STOCK SPLIT   CUSIP: *8NKDJ2   Symbol: TSLA--230915P00225000					
2023-09-07	2.0	171.86	2023-09-07	165.10	0.00	6.76	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.



Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 09/15/23	275	TESLA INC ADJ 3:1 STOCK SPLIT   CUSIP: *8NKKGF2   Symbol: TSLA--230915C00275000	2023-09-15	279.88	274.10	0.00	5.78
CALL SPY 03/10/23	392.50	STANDARD & POORS DEPOSITORY   CUSIP: *8NLVVF9   Symbol: SPY---230310C00392500	2023-03-10	68.93	76.05	0.00	-7.12
PUT TSLA 03/03/23	182.50	TESLA INC   CUSIP: *8NNPX55   Symbol: TSLA--230303P00182500	2023-03-02	125.87	144.10	0.00	-18.23
PUT TSLA 03/10/23	190	TESLA INC   CUSIP: *8NNQVJ0   Symbol: TSLA--230310P00190000	2023-03-06	355.93	297.05	0.00	58.88
CALL FUBO 01/19/24	3	FUBOTV INC   CUSIP: *8NPYYM9   Symbol: FUBO--240119C00003000	2023-01-13	145.90	Various 204.08	0.00	-58.18
CALL IWM 06/14/23	189	ISHARES RUSSELL 2000 ETF   CUSIP: *8NRZQM2   Symbol: IWM---230614C00189000	2023-06-13	116.93	125.05	0.00	-8.12
CALL SPY 04/04/23	411	STANDARD & POORS DEPOSITORY   CUSIP: *8NSDVS3   Symbol: SPY---230404C00411000	2023-04-04	59.93	60.05	0.00	-0.12
CALL SPY 04/05/23	410	STANDARD & POORS DEPOSITORY   CUSIP: *8NSFQS8   Symbol: SPY---230405C00410000	2023-04-04	63.93	72.05	0.00	-8.12
CALL RIVN 04/14/23	13.50	RIVIAN AUTOMOTIVE INC CLASS A   CUSIP: *8NSNWX4   Symbol: RIVN--230414C00013500	2023-03-16	110.93	116.05	0.00	-5.12
PUT SPY 03/13/23	381	STANDARD & POORS DEPOSITORY   CUSIP: *8NSYPN9   Symbol: SPY---230313P00381000	2023-03-10	79.93	99.05	0.00	-19.12
PUT SPY 03/15/23	384	STANDARD & POORS DEPOSITORY   CUSIP: *8NSZHD0   Symbol: SPY---230315P00384000	2023-03-15	53.83	121.15	0.00	-67.32
PUT SPY 03/16/23	391	STANDARD & POORS DEPOSITORY   CUSIP: *8NSZMM5   Symbol: SPY---230316P00391000	2023-03-16	76.93	75.05	0.00	1.88
CALL GOOGL 09/15/23	137	ALPHABET INC CLASS A   CUSIP: *8NVNBG1   Symbol: GOOGL-230915C00137000	2023-09-13	69.93	50.05	0.00	19.88

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT AMD 04/21/23	80	ADVANCED MICRO DEVICES INC   CUSIP: *8NWMJR2   Symbol: AMD---230421P00080000					
2023-03-16	1.0	171.93	2023-03-16	201.05	0.00	-29.12	
PUT META 09/08/23	297.50	META PLATFORMS INC CL A   CUSIP: *8NYTYK9   Symbol: META--230908P00297500					
2023-09-07	1.0	63.93	2023-09-07	45.05	0.00	18.88	
CALL SPY 03/22/23	405	STANDARD & POORS DEPOSITORY   CUSIP: *8PBDVN6   Symbol: SPY---230322C00405000					
2023-03-22	1.0	42.93	2023-03-22	49.05	0.00	-6.12	
CALL MTCH 05/19/23	37	MATCH GROUP INC   CUSIP: *8PBJDW9   Symbol: MTCH--230519C00037000					
2023-05-03	1.0	63.93	2023-05-03	78.05	0.00	-14.12	
CALL COIN 01/13/23	35	COINBASE GLOBAL INC   CUSIP: *8PBPDD8   Symbol: COIN--230113C00035000					
2023-01-06	1.0	88.94	2023-01-06	94.04	0.00	-5.10	
CALL NVDA 02/03/23	200	NVIDIA CORPORATION   CUSIP: *8PCDZL4   Symbol: NVDA--230203C00200000					
2023-01-31	2.0	472.88	2023-01-31	529.18	2.10 D	-54.20	
PUT SNAP 02/03/23	9	SNAP INC   CUSIP: *8PDBKN1   Symbol: SNAP--230203P00009000					
2023-02-03	1.0	0.00	2023-01-31	28.04	0.00	-28.04	
CALL PANW 03/10/23	190	PALO ALTO NETWORKS INC   CUSIP: *8PDJCT4   Symbol: PANW--230310C00190000					
2023-03-07	1.0	217.93	2023-03-07	210.05	0.00	7.88	
PUT QQQ 02/06/23	303	INVESCO QQQ TRUST SERIES 1   CUSIP: *8PDLJF2   Symbol: QQQ---230206P00303000					
2023-02-06	4.0	228.74	2023-02-06	239.32	11.12 D	0.54	
PUT QQQ 02/07/23	307	INVESCO QQQ TRUST SERIES 1   CUSIP: *8PDLPC9   Symbol: QQQ---230207P00307000					
2023-02-07	1.0	16.93	2023-02-07	60.05	0.00	-43.12	
PUT SPY 02/07/23	411	STANDARD & POORS DEPOSITORY   CUSIP: *8PDZMZ8   Symbol: SPY---230207P00411000					
2023-02-07	5.0	24.72	2023-02-07	202.25	0.00	-177.53	
PUT SPY 02/09/23	411	STANDARD & POORS DEPOSITORY   CUSIP: *8PFBLH8   Symbol: SPY---230209P00411000					
2023-02-09	1.0	68.93	2023-02-09	68.05	0.00	0.88	
CALL CRWD 03/10/23	133	CROWDSTRIKE HOLDINGS INC CL A   CUSIP: *8PFLFC2   Symbol: CRWD--230310C00133000					
2023-03-08	1.0	125.93	2023-03-08	100.05	0.00	25.88	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NFLX 09/15/23	397.50	NETFLIX INC   CUSIP: *8PFWDJ5   Symbol: NFLX--230915P00397500					
2023-09-15	1.0	106.93	2023-09-15	74.05	0.00	32.88	
CALL ENPH 03/10/23	237.50	ENPHASE ENERGY INC   CUSIP: *8PFWKY1   Symbol: ENPH--230310C00237500					
2023-03-06	1.0	279.93	2023-03-06	270.05	0.00	9.88	
CALL MPW 01/19/24	8	MEDICAL PROPERTIES TRUST INC   CUSIP: *8PFYXK9   Symbol: MPW---240119C00008000					
2023-03-27	1.0	124.93	2023-03-23	115.05	0.00	9.88	
CALL JPM 03/31/23	128	JPMORGAN CHASE & CO   CUSIP: *8PGBQQ4   Symbol: JPM---230331C00128000					
2023-03-27	1.0	147.93	2023-03-27	186.05	0.00	-38.12	
CALL ABNB 05/12/23	130	AIRBNB INC   CUSIP: *8PGCHV2   Symbol: ABNB--230512C00130000					
2023-05-09	1.0	389.93	2023-05-09	350.05	0.00	39.88	
CALL NIO 01/19/24	10	NIO INC ADS   CUSIP: *8PGJLS0   Symbol: NIO---240119C00010000					
2023-03-03	1.0	240.93	2023-03-02	200.05	0.00	40.88	
2023-10-06	1.0	82.94	2023-09-19	97.05	0.00	-14.11	
<b>Security Totals:</b>		<b>323.87</b>		<b>297.10</b>		<b>26.77</b>	
PUT NIO 01/19/24	10	NIO INC ADS   CUSIP: *8PGJLS3   Symbol: NIO---240119P00010000					
2023-08-14	1.0	99.93	2023-08-03	56.05	0.00	43.88	
CALL NIO 01/19/24	12.50	NIO INC ADS   CUSIP: *8PGJLS4   Symbol: NIO---240119C00012500					
2023-09-13	2.0	175.88	Various	278.10	0.00	-102.22	
CALL PLUG 01/20/23	15	PLUG POWER INC   CUSIP: *8PGVJW8   Symbol: PLUG--230120C00015000					
2023-01-09	2.0	67.90	Various	66.08	0.00	1.82	
PUT TSLA 11/17/23	245	TESLA INC   CUSIP: *8PGXPR3   Symbol: TSLA--231117P00245000					
2023-09-19	1.0	1,152.93	2023-09-13	1,140.05	0.00	12.88	
CALL ZS 01/20/23	150	ZSCALER INC   CUSIP: *8PGYMF0   Symbol: ZS---230120C00150000					
2023-01-20	5.0	0.00	Various	710.20	0.00	-710.20	
CALL AMD 05/12/23	100	ADVANCED MICRO DEVICES INC   CUSIP: *8PGZKY5   Symbol: AMD---230512C00100000					
2023-05-08	1.0	54.93	2023-05-08	63.05	0.00	-8.12	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SNOW 03/31/23	147	SNOWFLAKE INC CLASS A   CUSIP: *8PHJTH4   Symbol: SNOW--230331C00147000					
2023-03-30	2.0	107.88	2023-03-30	155.10	0.00	-47.22	
CALL ENPH 05/12/23	160	ENPHASE ENERGY INC   CUSIP: *8PHSGM7   Symbol: ENPH--230512C00160000					
2023-05-08	1.0	339.93	2023-05-08	380.05	0.00	-40.12	
CALL CRWD 04/28/23	140	CROWDSTRIKE HOLDINGS INC CL A   CUSIP: *8PHZHB3   Symbol: CRWD--230428C00140000					
2023-03-14	1.0	342.93	2023-03-10	243.05	0.00	99.88	
PUT PANW 09/15/23	245	PALO ALTO NETWORKS INC   CUSIP: *8PHZZW1   Symbol: PANW--230915P00245000					
2023-09-12	1.0	146.93	2023-09-12	119.05	0.00	27.88	
CALL HOOD 05/12/23	9	ROBINHOOD MARKET INC   CUSIP: *8PJCSX5   Symbol: HOOD--230512C00009000					
2023-05-11	1.0	78.93	2023-05-05	31.05	0.00	47.88	
CALL BLNK 01/19/24	10	BLINK CHARGING CO   CUSIP: *8PJFZF5   Symbol: BLNK--240119C00010000					
2023-03-03	1.0	192.93	2023-03-02	172.05	0.00	20.88	
PUT QQQ 08/03/23	370	INVESCO QQQ TRUST SERIES 1   CUSIP: *8PJHQW4   Symbol: QQQ---230803P00370000					
2023-08-03	1.0	50.93	2023-08-03	65.05	0.00	-14.12	
CALL LABU 01/19/24	5	DIREXION DLY S&P BIOTCH BUL 3X   CUSIP: *8PJPCR6   Symbol: #8PJPCR6					
2023-04-13	1.0	188.93	2023-03-10	171.05	0.00	17.88	
PUT AAPL 05/05/23	160	APPLE INC   CUSIP: *8PJPLX5   Symbol: AAPL--230505P00160000					
2023-05-03	1.0	58.93	2023-05-03	52.05	0.00	6.88	
CALL AAPL 05/05/23	180	APPLE INC   CUSIP: *8PJPNNA   Symbol: AAPL--230505C00180000					
2023-05-03	1.0	27.93	2023-05-03	40.05	0.00	-12.12	
CALL PLUG 01/19/24	10	PLUG POWER INC   CUSIP: *8PJRP8   Symbol: PLUG--240119C00010000					
2023-10-06	2.0	55.89	Various	96.09	0.00	-40.20	
CALL PYPL 01/19/24	80	PAYPAL HOLDINGS INC   CUSIP: *8PJRTY4   Symbol: PYPL--240119C00080000					
2023-08-16	1.0	123.93	2023-08-16	115.05	0.00	8.88	
CALL SNAP 01/19/24	15	SNAP INC   CUSIP: *8PJVMR5   Symbol: SNAP--240119C00015000					
2023-08-07	1.0	59.93	2023-07-31	84.05	0.00	-24.12	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL CVNA 08/04/23	50	CARVANA COMPANY   CUSIP: *8PJVSP9   Symbol: CVNA--230804C00050000					
2023-07-28	1.0	147.93	2023-07-28	152.05	0.00	-4.12	
PUT MSFT 05/19/23	250	MICROSOFT CORP   CUSIP: *8PKFCJ8   Symbol: MSFT--230519P00250000					
2023-03-20	1.0	561.93	2023-03-16	516.05	0.00	45.88	
PUT ROKU 09/15/23	77	ROKU INC   CUSIP: *8PKQLY9   Symbol: ROKU--230915P00077000					
2023-09-14	1.0	43.93	2023-09-14	48.05	0.00	-4.12	
PUT ROKU 09/15/23	78	ROKU INC   CUSIP: *8PKQLZ3   Symbol: ROKU--230915P00078000					
2023-09-13	2.0	159.88	2023-09-13	146.10	0.00	13.78	
CALL ADBE 06/16/23	520	ADOBE INC   CUSIP: *8PKVGV7   Symbol: ADBE--230616C00520000					
2023-06-16	2.0	7.87	2023-06-16	107.10	0.00	-99.23	
PUT NVDA 07/21/23	330	NVIDIA CORPORATION   CUSIP: *8PKWTL5   Symbol: NVDA--230721P00330000					
2023-05-25	2.0	1,665.86	2023-05-25	2,040.22	374.36 D	-0.00	
2023-05-31	2.0	1,279.86	Various	1,748.70	468.84 D	-0.00	
2023-06-07	1.0	454.93	2023-05-26	844.53	389.60 D	0.00	
2023-07-21	6.0	0.00	Various	2,033.90	0.00	-2,033.90	
<b>Security Totals:</b>		<b>3,400.65</b>		<b>6,667.35</b>		<b>-2,033.90</b>	
CALL AMD 08/25/23	111	ADVANCED MICRO DEVICES INC   CUSIP: *8PKZMT9   Symbol: AMD---230825C00111000					
2023-08-21	1.0	142.93	2023-08-21	151.05	0.00	-8.12	
CALL AMD 08/25/23	113	ADVANCED MICRO DEVICES INC   CUSIP: *8PKZMW8   Symbol: AMD---230825C00113000					
2023-08-22	1.0	70.93	2023-08-21	95.05	0.00	-24.12	
CALL XLE 11/03/23	86	ENERGY SELECT SCTR SPDR FD TR   CUSIP: *8PLNDG1   Symbol: XLE---231103C00086000					
2023-10-30	1.0	85.94	2023-10-27	85.04	0.00	0.90	
PUT BA 08/25/23	215	BOEING CO   CUSIP: *8PLPMB7   Symbol: BA---230825P00215000					
2023-08-25	1.0	124.93	2023-08-25	90.05	0.00	34.88	
PUT FDX 08/25/23	255	FEDEX CORP   CUSIP: *8PMJCZ2   Symbol: FDX---230825P00255000					
2023-08-23	1.0	82.93	2023-08-23	100.05	0.00	-17.12	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>Security Totals:</b>							
PUT SPY 03/31/23	305	STANDARD & POORS DEPOSITORY   CUSIP: *8PMMNY9   Symbol: SPY---230331P00305000					
2023-02-21	1.0	27.93	2022-12-30	133.04	105.11 D	0.00	
2023-03-31	4.0	0.00	Various	291.28	0.00	-291.28	
		<b>27.93</b>		<b>424.32</b>		<b>-291.28</b>	
CALL MA 08/25/23	405	MASTERCARD INC   CUSIP: *8PMSVF2   Symbol: MA----230825C00405000					
2023-08-23	1.0	97.93	2023-08-23	107.05	0.00	-9.12	
PUT NVDA 08/25/23	450	NVIDIA CORPORATION   CUSIP: *8PNCDD1   Symbol: NVDA--230825P00450000					
2023-08-25	2.0	119.88	2023-08-25	72.10	0.00	47.78	
CALL OKTA 08/25/23	75	OKTA INC   CUSIP: *8PNDRY7   Symbol: OKTA--230825C00075000					
2023-08-21	1.0	61.93	2023-08-21	70.05	0.00	-8.12	
CALL PFE 08/25/23	37	PFIZER INC   CUSIP: *8PNGSY0   Symbol: PFE---230825C00037000					
2023-08-21	1.0	40.93	2023-08-21	31.05	0.00	9.88	
CALL PYPL 08/25/23	61	PAYPAL HOLDINGS INC   CUSIP: *8PNJZN6   Symbol: PYPL--230825C00061000					
2023-08-22	1.0	69.93	2023-08-21	40.05	0.00	29.88	
PUT NFLX 06/16/23	300	NETFLIX INC   CUSIP: *8PNMJM4   Symbol: NFLX--230616P00300000					
2023-06-16	2.0	0.00	Various	525.10	0.00	-525.10	
PUT SPY 08/25/23	434	STANDARD & POORS DEPOSITORY   CUSIP: *8PPGMD9   Symbol: SPY---230825P00434000					
2023-08-25	1.0	48.93	2023-08-25	38.05	0.00	10.88	
CALL SPY 08/25/23	445	STANDARD & POORS DEPOSITORY   CUSIP: *8PPGML9   Symbol: SPY---230825C00445000					
2023-08-25	1.0	0.00	2023-08-24	34.05	0.00	-34.05	
CALL SQ 08/25/23	60	BLOCK INC   CUSIP: *8PPGPM9   Symbol: SQ----230825C00060000					
2023-08-17	1.0	44.93	2023-08-16	72.05	27.12 D	0.00	
2023-08-22	1.0	14.93	2023-08-21	52.17	0.00	-37.24	
		<b>59.86</b>		<b>124.22</b>		<b>-37.24</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 03/31/23 345 STANDARD & POORS DEPOSITORY   CUSIP: *8PPJZF6   Symbol: SPY---230331P00345000							
2023-02-21	1.0	97.93	2022-12-30	542.04	444.11 D	0.00	
2023-03-31	4.0	0.00	Various	1,076.28	0.00	-1,076.28	
<b>Security Totals:</b>		<b>97.93</b>		<b>1,618.32</b>		<b>-1,076.28</b>	
PUT SPY 03/31/23 400 STANDARD & POORS DEPOSITORY   CUSIP: *8PPJZM5   Symbol: SPY---230331P00400000							
2023-03-31	1.0	0.00	2023-03-30	62.05	0.00	-62.05	
PUT TSLA 09/08/23 237.50 TESLA INC   CUSIP: *8PPNMH4   Symbol: TSLA--230908P00237500							
2023-09-07	1.0	60.93	2023-09-07	78.05	0.00	-17.12	
PUT TSLA 09/08/23 242.50 TESLA INC   CUSIP: *8PPNMH7   Symbol: TSLA--230908P00242500							
2023-09-07	2.0	126.86	2023-09-07	132.10	0.00	-5.24	
CALL AMD 08/04/23 112 ADVANCED MICRO DEVICES INC   CUSIP: *8PQCF57   Symbol: AMD---230804C00112000							
2023-08-03	1.0	181.93	2023-08-03	151.05	0.00	30.88	
PUT LMT 10/13/23 385 LOCKHEED MARTIN CORP   CUSIP: *8PQVPL2   Symbol: LMT---231013P00385000							
2023-10-05	1.0	109.94	2023-10-05	140.04	0.00	-30.10	
CALL TGT 11/17/23 135 TARGET CORP   CUSIP: *8PQWDN9   Symbol: TGT---231117C00135000							
2023-11-03	2.0	47.90	Various	193.10	0.00	-145.20	
CALL RBLX 10/13/23 30 ROBLOX CORPORATION   CUSIP: *8PRMWN9   Symbol: RBLX--231013C00030000							
2023-10-05	1.0	99.94	2023-10-04	58.04	0.00	41.90	
PUT SPY 10/13/23 420 STANDARD & POORS DEPOSITORY   CUSIP: *8PRXYB1   Symbol: SPY---231013P00420000							
2023-10-05	1.0	328.94	2023-10-05	310.04	0.00	18.90	
CALL BILI 08/04/23 18.50 BILIBILI INC ADS   CUSIP: *8PSMVL6   Symbol: BILI--230804C00018500							
2023-08-03	1.0	29.93	2023-08-03	26.05	0.00	3.88	
CALL ETSY 09/29/23 64 ETSY INC   CUSIP: *8PTFLM4   Symbol: ETSY--230929C00064000							
2023-09-27	1.0	34.93	2023-09-27	47.05	0.00	-12.12	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SHOP 06/16/23 55 SHOPIFY INC CL A   CUSIP: *8PVNLS0   Symbol: SHOP--230616P00055000							
2023-05-23	1.0	140.93	2023-05-08	131.05	0.00	9.88	
2023-05-24	1.0	188.93	2023-05-22	59.05	0.00	129.88	
<b>Security Totals:</b>		<b>329.86</b>		<b>190.10</b>		<b>139.76</b>	
CALL ABNB 11/10/23 125 AIRBNB INC   CUSIP: *8PVVDZ9   Symbol: ABNB--231110C00125000							
2023-11-03	1.0	84.94	2023-11-02	75.04	0.00	9.90	
PUT CCL 03/17/23 9 CARNIVAL CORP   CUSIP: *8PWFJR8   Symbol: CCL---230317P00009000							
2023-01-19	1.0	51.94	2023-01-18	44.04	0.00	7.90	
CALL NVDA 02/10/23 232.50 NVIDIA CORPORATION   CUSIP: *8PZPYD9   Symbol: NVDA--230210C00232500							
2023-02-09	1.0	182.93	2023-02-09	199.05	0.00	-16.12	
CALL PDD 09/15/23 100 PDD HOLDINGS INC ADS   CUSIP: *8PZTXM9   Symbol: PDD---230915C00100000							
2023-09-07	1.0	131.93	2023-09-07	137.05	0.00	-5.12	
CALL SOUN 02/17/23 5 SOUNDHOUND AI INC   CUSIP: *8SBGLT0   Symbol: SOUN--230217C00005000							
2023-02-06	1.0	54.93	2023-02-06	90.05	0.00	-35.12	
CALL CHWY 01/27/23 47 CHEWY INC CLASS A   CUSIP: *8SBMXL5   Symbol: CHWY--230127C00047000							
2023-01-27	1.0	34.94	2023-01-27	54.04	0.00	-19.10	
PUT CVX 01/27/23 180 CHEVRON CORPORATION   CUSIP: *8SBQVN8   Symbol: CVX---230127P00180000							
2023-01-27	1.0	69.94	2023-01-27	44.04	0.00	25.90	
CALL PSX 01/27/23 111 PHILLIPS 66   CUSIP: *8SCFBR7   Symbol: PSX---230127C00111000							
2023-01-27	2.0	69.90	2023-01-27	130.08	0.00	-60.18	
CALL XOM 01/27/23 114 EXXON MOBIL CORP   CUSIP: *8SCVXX6   Symbol: XOM---230127C00114000							
2023-01-18	1.0	144.94	2023-01-18	153.04	0.00	-8.10	
CALL AGI 06/16/23 15 ALAMOS GOLD INC   CUSIP: *8SCWJV0   Symbol: AGI---230616C00015000							
2023-04-03	1.0	35.93	2023-04-03	45.05	0.00	-9.12	
CALL MARA 01/19/24 4.50 MARATHON DIGITAL HOLDINGS INC   CUSIP: *8SDFGX7   Symbol: MARA--240119C00004500							
2023-01-09	1.0	197.94	2023-01-06	163.04	0.00	34.90	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.



Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 05/19/23	180	TESLA INC   CUSIP: *8SDHHR9   Symbol: TSLA--230519C00180000					
2023-05-19	2.0	96.86	2023-05-19	93.22	13.12 D	16.76	
CALL ETSY 01/19/24	100	ETSY INC   CUSIP: *8SDKBP6   Symbol: ETSY--240119C00100000					
2023-08-24	1.0	211.93	2023-08-23	243.05	0.00	-31.12	
CALL QQQ 05/19/23	338	INVESCO QQQ TRUST SERIES 1   CUSIP: *8SDRYG6   Symbol: QQQ---230519C00338000					
2023-05-19	1.0	64.93	2023-05-19	71.05	0.00	-6.12	
CALL F 01/19/24	15	FORD MOTOR COMPANY   CUSIP: *8SDTBR3   Symbol: #8SDTBR3					
2023-01-09	1.0	119.94	2022-12-19	92.04	0.00	27.90	
CALL GDV 01/19/24	35	VANECK GOLD MINERS ETF   CUSIP: *8SDTCP9   Symbol: GDV---240119C00035000					
2023-05-26	1.0	193.93	2023-05-26	199.05	0.00	-5.12	
CALL ICLN 01/19/24	17	ISHARES GLOBAL CLEAN ENRGY ETF   CUSIP: *8SDTVD3   Symbol: ICLN--240119C00017000					
2023-10-06	1.0	9.94	2023-09-25	30.05	0.00	-20.11	
CALL MO 01/19/24	45	ALTRIA GROUP INC   CUSIP: *8SDWCM3   Symbol: MO----240119C00045000					
2023-10-06	2.0	87.90	Various	86.09	0.00	1.81	
CALL PLUG 01/19/24	12.50	PLUG POWER INC   CUSIP: *8SDXGV9   Symbol: PLUG--240119C00012500					
2023-08-29	1.0	53.93	2023-08-16	63.05	0.00	-9.12	
CALL PLUG 01/19/24	15	PLUG POWER INC   CUSIP: *8SDXGW1   Symbol: PLUG--240119C00015000					
2023-03-03	1.0	319.93	2023-03-02	260.05	0.00	59.88	
CALL SOFI 01/19/24	5	SOFI TECHNOLOGIES INC   CUSIP: *8SDYJR8   Symbol: SOFI--240119C00005000					
2023-01-11	1.0	157.94	2022-11-18	178.04	0.00	-20.10	
2023-05-17	1.0	109.93	2023-05-15	101.05	0.00	8.88	
<b>Security Totals:</b>		<b>267.87</b>		<b>279.09</b>		<b>-11.22</b>	
PUT SPY 01/19/24	420	STANDARD & POORS DEPOSITORY   CUSIP: *8SDYPT3   Symbol: SPY---240119P00420000					
2023-10-05	1.0	1,178.94	2023-10-05	1,255.04	0.00	-76.10	
CALL WBA 01/19/24	30	WALGREENS BOOTS ALLIANCE INC   CUSIP: *8SFBBK8   Symbol: WBA---240119C00030000					
2023-08-29	1.0	50.93	2023-08-28	55.05	0.00	-4.12	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL XLF 01/19/24	38	SECTOR SPDR FINANCIAL ETF   CUSIP: *8SFBJW8   Symbol: XLF---240119C00038000					
2023-03-16	1.0	81.93	2023-03-10	99.05	0.00	-17.12	
CALL AAPL 03/03/23	146	APPLE INC   CUSIP: *8SFMWS5   Symbol: AAPL--230303C00146000					
2023-03-02	1.0	93.93	2023-03-02	81.05	0.00	12.88	
CALL AVGO 03/03/23	630	BROADCOM INC   CUSIP: *8SFNXH7   Symbol: AVGO--230303C00630000					
2023-03-03	1.0	49.93	2023-03-03	50.05	0.00	-0.12	
PUT FSLR 02/10/23	160	FIRST SOLAR INC   CUSIP: *8SFZJV4   Symbol: FSLR--230210P00160000					
2023-02-02	1.0	209.93	2023-02-02	157.05	0.00	52.88	
CALL MRNA 01/19/24	200	MODERNA INC   CUSIP: *8SGFNP8   Symbol: MRNA--240119C00200000					
2023-08-22	2.0	193.88	Various	267.10	0.00	-73.22	
CALL SNAP 02/03/23	14	SNAP INC   CUSIP: *8SGHFX8   Symbol: SNAP--230203C00014000					
2023-02-03	1.0	0.00	2023-01-31	40.04	0.00	-40.04	
PUT GOOGL 10/20/23	130	ALPHABET INC CLASS A   CUSIP: *8SGSGT8   Symbol: GOOGL-231020P00130000					
2023-10-20	2.0	0.00	Various	217.08	0.00	-217.08	
CALL MSFT 03/24/23	290	MICROSOFT CORP   CUSIP: *8SGVVR0   Symbol: MSFT--230324C00290000					
2023-03-22	1.0	50.93	2023-03-22	39.05	0.00	11.88	
PUT QQQ 03/24/23	307	INVESCO QQQ TRUST SERIES 1   CUSIP: *8SGYTC3   Symbol: QQQ---230324P00307000					
2023-03-24	1.0	75.93	2023-03-24	70.05	0.00	5.88	
CALL ROKU 03/10/23	66	ROKU INC   CUSIP: *8SGZCH7   Symbol: ROKU--230310C00066000					
2023-03-07	1.0	115.93	2023-03-07	145.05	0.00	-29.12	
PUT SPY 03/24/23	390	STANDARD & POORS DEPOSITORY   CUSIP: *8SHBSG0   Symbol: SPY---230324P00390000					
2023-03-24	2.0	171.86	2023-03-24	162.10	0.00	9.76	
CALL SPY 03/24/23	392	STANDARD & POORS DEPOSITORY   CUSIP: *8SHBSG4   Symbol: SPY---230324C00392000					
2023-03-24	1.0	102.93	2023-03-24	99.05	0.00	3.88	
CALL SQQQ 02/24/23	37	PROSHARES ULTRAPRO SHORT QQQ   CUSIP: *8SHBSZ0   Symbol: SQQQ--230224C00037000					
2023-02-17	1.0	148.93	2023-02-16	53.05	0.00	95.88	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 03/24/23	205	TESLA INC   CUSIP: *8SHDDZ4   Symbol: TSLA--230324C00205000					
2023-02-22	1.0	1,404.90	2023-02-22	1,385.05	0.00	19.85	
PUT GOOG 05/05/23	104	ALPHABET INC CLASS C   CUSIP: *8SHGFM8   Symbol: GOOG--230505P00104000					
2023-04-26	1.0	141.93	2023-04-26	143.05	0.00	-1.12	
PUT UBER 02/10/23	30	UBER TECHNOLOGIES INC   CUSIP: *8SHQYG1   Symbol: UBER--230210P00030000					
2023-02-07	1.0	27.93	2023-02-06	36.05	0.00	-8.12	
CALL ENPH 05/12/23	162.50	ENPHASE ENERGY INC   CUSIP: *8SHWBD9   Symbol: ENPH--230512C00162500					
2023-05-11	1.0	174.93	2023-05-11	150.05	0.00	24.88	
CALL TSLA 09/15/23	290	TESLA INC   CUSIP: *8SJLSZ9   Symbol: TSLA--230915C00290000					
2023-09-12	5.0	455.70	2023-09-12	600.37	10.12 D	-134.55	
CALL PLTR 01/19/24	10	PALANTIR TECHNOLOGIES INC CL A   CUSIP: *8SKPLC5   Symbol: PLTR--240119C00010000					
2023-01-11	1.0	86.94	2022-12-16	89.04	0.00	-2.10	
PUT META 05/12/23	225	META PLATFORMS INC CL A   CUSIP: *8SKYNJ2   Symbol: META--230512P00225000					
2023-05-08	1.0	109.93	2023-05-08	140.05	0.00	-30.12	
PUT NVDA 05/12/23	280	NVIDIA CORPORATION   CUSIP: *8SKZPK3   Symbol: NVDA--230512P00280000					
2023-05-09	1.0	177.93	2023-05-09	196.05	0.00	-18.12	
CALL PLTR 05/12/23	8	PALANTIR TECHNOLOGIES INC CL A   CUSIP: *8SLBCK3   Symbol: PLTR--230512C00008000					
2023-05-09	1.0	100.93	2023-05-05	26.05	0.00	74.88	
CALL SPY 03/23/23	395	STANDARD & POORS DEPOSITORY   CUSIP: *8SLFFD8   Symbol: SPY---230323C00395000					
2023-03-23	1.0	77.93	2023-03-23	82.05	0.00	-4.12	
CALL SPY 05/11/23	412	STANDARD & POORS DEPOSITORY   CUSIP: *8SLVRX7   Symbol: SPY---230511C00412000					
2023-05-11	1.0	41.93	2023-05-11	49.05	0.00	-7.12	
PUT SPY 05/10/23	409	STANDARD & POORS DEPOSITORY   CUSIP: *8SLYDY2   Symbol: SPY---230510P00409000					
2023-05-10	2.0	115.88	Various	111.10	0.00	4.78	
CALL TSLA 05/12/23	172.50	TESLA INC   CUSIP: *8SMMVQ3   Symbol: TSLA--230512C00172500					
2023-05-08	1.0	298.93	2023-05-08	315.05	0.00	-16.12	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT UPS 05/12/23	170	UNITED PARCEL SVC INC   CUSIP: *8SMNHKO   Symbol: UPS---230512P00170000					
2023-05-09	1.0	93.93	2023-05-08	80.05	0.00	13.88	
PUT FSLR 08/04/23	190	FIRST SOLAR INC   CUSIP: *8SMPZC4   Symbol: FSLR--230804P00190000					
2023-08-03	1.0	84.93	2023-08-03	80.05	0.00	4.88	
PUT AMD 06/02/23	108	ADVANCED MICRO DEVICES INC   CUSIP: *8SMQFJ7   Symbol: AMD---230602P00108000					
2023-06-02	5.0	0.00	Various	235.25	0.00	-235.25	
CALL SNAP 01/19/24	13	SNAP INC   CUSIP: *8SMRVM0   Symbol: SNAP--240119C00013000					
2023-08-29	1.0	51.93	2023-08-16	52.05	0.00	-0.12	
CALL AFRM 01/19/24	25	AFFIRM HOLDINGS INC CL A   CUSIP: *8SNGNC3   Symbol: AFRM--240119C00025000					
2023-10-06	1.0	94.94	2023-10-03	145.04	0.00	-50.10	
PUT SPY 04/17/23	396	STANDARD & POORS DEPOSITORY   CUSIP: *8SNHJW4   Symbol: SPY---230417P00396000					
2023-04-06	1.0	71.93	2023-04-06	80.05	0.00	-8.12	
PUT COIN 07/28/23	85	COINBASE GLOBAL INC   CUSIP: *8SNNWZ0   Symbol: COIN--230728P00085000					
2023-07-18	2.0	113.87	Various	169.10	0.00	-55.23	
CALL ENPH 06/23/23	175	ENPHASE ENERGY INC   CUSIP: *8SNQRQ7   Symbol: ENPH--230623C00175000					
2023-06-20	2.0	447.88	2023-06-20	444.10	0.00	3.78	
PUT SPY 08/14/23	443	STANDARD & POORS DEPOSITORY   CUSIP: *8SNRYP3   Symbol: SPY---230814P00443000					
2023-08-14	1.0	23.93	2023-08-14	28.05	0.00	-4.12	
CALL GOOGL 08/04/23	130	ALPHABET INC CLASS A   CUSIP: *8SNSBM8   Symbol: GOOGL-230804C00130000					
2023-08-03	1.0	37.93	2023-08-03	48.05	0.00	-10.12	
CALL NVDA 08/04/23	460	NVIDIA CORPORATION   CUSIP: *8SPLVR8   Symbol: NVDA--230804C00460000					
2023-08-04	1.0	27.93	2023-08-04	53.05	0.00	-25.12	
CALL SHOP 08/04/23	63	SHOPIFY INC CL A   CUSIP: *8SPNND4   Symbol: SHOP--230804C00063000					
2023-08-03	1.0	72.93	2023-08-03	84.05	0.00	-11.12	
CALL TTD 07/28/23	90	TRADE DESK INC CLASS A   CUSIP: *8SPTXW1   Symbol: TTD---230728C00090000					
2023-07-28	1.0	49.93	2023-07-28	30.05	0.00	19.88	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

# Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

### 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL V 08/04/23	235	VISA INC   CUSIP: *8SQBND8   Symbol: V-----230804C00235000					
2023-08-03	1.0	244.93	2023-08-03	188.05	0.00	56.88	
PUT TSLA 08/25/23	230	TESLA INC   CUSIP: *8SQCXB6   Symbol: TSLA--230825P00230000					
2023-08-15	1.0	404.93	2023-08-14	370.05	0.00	34.88	
CALL UPST 08/25/23	33	UPSTART HOLDINGS INC   CUSIP: *8SQDLC2   Symbol: UPST--230825C00033000					
2023-08-23	1.0	62.93	2023-08-23	74.05	0.00	-11.12	
CALL UPST 08/25/23	34	UPSTART HOLDINGS INC   CUSIP: *8SQDLC6   Symbol: UPST--230825C00034000					
2023-08-23	1.0	45.93	2023-08-23	49.05	0.00	-3.12	
CALL ZM 08/25/23	71	ZOOM VIDEO COMMUNICATIONS INC   CUSIP: *8SQGNW6   Symbol: ZM----230825C00071000					
2023-08-25	1.0	0.00	2023-08-21	180.05	0.00	-180.05	
PUT MSFT 09/22/23	320	MICROSOFT CORP   CUSIP: *8SQWMJ4   Symbol: MSFT--230922P00320000					
2023-09-18	1.0	83.93	2023-09-18	80.05	0.00	3.88	
PUT SPY 09/22/23	430	STANDARD & POORS DEPOSITORY   CUSIP: *8SQZZW1   Symbol: SPY---230922P00430000					
2023-09-22	1.0	29.93	2023-09-22	34.05	0.00	-4.12	
PUT AMD 09/01/23	99	ADVANCED MICRO DEVICES INC   CUSIP: *8SRCRM1   Symbol: AMD---230901P00099000					
2023-08-28	1.0	81.93	2023-08-28	83.05	0.00	-1.12	
PUT GME 09/08/23	18	GAMESTOP CORPORATION   CUSIP: *8SRPNW6   Symbol: GME---230908P00018000					
2023-09-07	1.0	9.93	2023-09-07	14.05	0.00	-4.12	
CALL FUBO 01/19/24	1	FUBOTV INC   CUSIP: *8SRVDH8   Symbol: FUBO--240119C00001000					
2023-04-11	1.0	66.93	2023-03-20	53.05	0.00	13.88	
CALL RIVN 06/16/23	15	RIVIAN AUTOMOTIVE INC CLASS A   CUSIP: *8SRWSN1   Symbol: RIVN--230616C00015000					
2023-05-18	1.0	44.93	2023-05-17	42.05	0.00	2.88	
PUT AFRM 01/19/24	12.50	AFFIRM HOLDINGS INC CL A   CUSIP: *8SRXLP5   Symbol: AFRM--240119P00012500					
2023-09-21	1.0	47.93	2023-09-12	37.05	0.00	10.88	
CALL APPS 01/19/24	12.50	DIGITAL TURBINE INC   CUSIP: *8SRXMN6   Symbol: APPS--240119C00012500					
2023-05-26	1.0	93.93	2023-05-25	74.05	0.00	19.88	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL META 09/08/23	305 META PLATFORMS INC CL A	CUSIP: *8SSKKZ3   Symbol: META--230908C00305000					
2023-09-07	1.0	69.93	2023-09-07	89.05	0.00	-19.12	
PUT BA 09/22/23	197.50 BOEING CO	CUSIP: *8SSZNC2   Symbol: BA----230922P00197500					
2023-09-22	1.0	41.93	2023-09-22	31.05	0.00	10.88	
CALL CVNA 09/22/23	47.50 CARVANA COMPANY	CUSIP: *8STBTQ1   Symbol: CVNA--230922C00047500					
2023-09-20	1.0	97.93	2023-09-20	112.05	0.00	-14.12	
CALL SQ 11/17/23	45 BLOCK INC	CUSIP: *8STPMC9   Symbol: SQ----231117C00045000					
2023-10-03	1.0	238.94	2023-10-03	252.04	13.10 D	0.00	
2023-10-06	1.0	283.94	2023-10-03	253.14	0.00	30.80	
<b>Security Totals:</b>		<b>522.88</b>		<b>505.18</b>		<b>30.80</b>	
CALL TSLA 09/15/23	272.50 TESLA INC	CUSIP: *8STPSB2   Symbol: TSLA--230915C00272500					
2023-09-13	1.0	344.93	2023-09-13	360.05	0.00	-15.12	
PUT TSLA 09/22/23	252.50 TESLA INC	CUSIP: *8STPSD3   Symbol: TSLA--230922P00252500					
2023-09-21	1.0	78.93	2023-09-21	74.05	0.00	4.88	
PUT AMD 10/27/23	90 ADVANCED MICRO DEVICES INC	CUSIP: *8STWXK3   Symbol: AMD---231027P00090000					
2023-10-03	1.0	131.94	2023-10-03	112.04	0.00	19.90	
PUT SPY 09/15/23	440 STANDARD & POORS DEPOSITORY	CUSIP: *8SVPQS8   Symbol: SPY---230915P00440000					
2023-08-30	1.0	128.93	2023-08-30	138.05	9.12 D	-0.00	
2023-09-15	1.0	0.00	2023-09-07	223.17	0.00	-223.17	
<b>Security Totals:</b>		<b>128.93</b>		<b>361.22</b>		<b>-223.17</b>	
PUT SPY 03/31/23	383 STANDARD & POORS DEPOSITORY	CUSIP: *8SWLPC4   Symbol: SPY---230331P00383000					
2023-03-23	1.0	173.93	2023-03-23	98.05	0.00	75.88	
CALL MMM 06/16/23	120 3M COMPANY	CUSIP: *8SXPCW0   Symbol: MMM---230616C00120000					
2023-03-03	1.0	282.93	2023-03-02	257.05	0.00	25.88	
CALL MULN 01/17/25	.50 MULLEN AUTOMOTIVE INC	CUSIP: *8SZHYG1   Symbol: #8SZHYG1					
2023-05-03	10.0	39.48	2023-03-23	90.49	0.00	-51.01	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NIO 01/17/25	10 NIO INC ADS   CUSIP: *8SZJCY7   Symbol: NIO---250117C00010000						
2023-05-01	1.0	217.93	2023-05-01	224.05	6.12 D	-0.00	
2023-05-02	1.0	205.93	2023-05-01	225.17	0.00	-19.24	
<b>Security Totals:</b>		<b>423.86</b>		<b>449.22</b>		<b>-19.24</b>	
CALL SOFI 01/17/25	5 SOFI TECHNOLOGIES INC   CUSIP: *8SZKZZ7   Symbol: SOFI--250117C00005000						
2023-05-26	1.0	207.93	2023-05-15	170.05	0.00	37.88	
PUT BIDU 01/20/23	122 BAIDU INC   CUSIP: *8UBNWS8   Symbol: BIDU--230120P00122000						
2023-01-19	2.0	21.90	Various	67.08	0.00	-45.18	
PUT CAT 01/20/23	247.50 CATERPILLAR INC   CUSIP: *8UBPDZ0   Symbol: CAT---230120P00247500						
2023-01-19	1.0	199.94	2023-01-19	142.04	0.00	57.90	
CALL COF 01/20/23	101 CAPITAL ONE FINANCIAL CORPRTN   CUSIP: *8UBPKC7   Symbol: COF---230120C00101000						
2023-01-20	1.0	77.94	2023-01-20	75.04	0.00	2.90	
PUT UNP 01/20/23	202.50 UNION PACIFIC CORP   CUSIP: *8UBTYD0   Symbol: UNP---230120P00202500						
2023-01-20	1.0	34.94	2023-01-20	60.04	0.00	-25.10	
CALL AEM 07/21/23	65 AGNICO EAGLE MINES LTD   CUSIP: *8UCCPH9   Symbol: AEM---230721C00065000						
2023-04-04	1.0	144.93	2023-04-04	150.05	0.00	-5.12	
PUT SPY 07/21/23	440 STANDARD & POORS DEPOSITORY   CUSIP: *8UCDYW3   Symbol: SPY---230721P00440000						
2023-07-21	1.0	0.00	2023-07-14	31.05	0.00	-31.05	
PUT SPY 07/21/23	450 STANDARD & POORS DEPOSITORY   CUSIP: *8UCDYW7   Symbol: SPY---230721P00450000						
2023-07-21	1.0	0.00	2023-07-20	50.05	0.00	-50.05	
CALL TSLA 03/03/23	200 TESLA INC   CUSIP: *8UCKWK6   Symbol: TSLA--230303C00200000						
2023-03-03	1.0	48.93	2023-03-03	63.05	0.00	-14.12	
PUT BABA 02/10/23	102 ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRV5   Symbol: BABA--230210P00102000						
2023-02-06	2.0	211.88	2023-02-06	315.10	0.00	-103.22	
CALL SPY 01/11/23	394 STANDARD & POORS DEPOSITORY   CUSIP: *8UCPJR2   Symbol: SPY---230111C00394000						
2023-01-11	2.0	57.90	2023-01-11	82.08	0.00	-24.18	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 02/10/23	406	STANDARD & POORS DEPOSITORY   CUSIP: *8UCPKL9   Symbol: SPY---230210P00406000					
2023-02-10	1.0	31.93	2023-02-10	45.05	0.00	-13.12	
CALL PANW 01/27/23	157.50	PALO ALTO NETWORKS INC   CUSIP: *8UCSNF9   Symbol: PANW--230127C00157500					
2023-01-26	2.0	214.88	2023-01-26	236.18	26.10 D	4.80	
CALL SPY 02/24/23	397	STANDARD & POORS DEPOSITORY   CUSIP: *8UCTRM7   Symbol: SPY---230224C00397000					
2023-02-24	1.0	41.93	2023-02-24	36.05	0.00	5.88	
PUT SPY 01/30/23	402	STANDARD & POORS DEPOSITORY   CUSIP: *8UCYQR9   Symbol: SPY---230130P00402000					
2023-01-30	2.0	107.88	2023-01-30	87.08	0.00	20.80	
CALL SPY 01/31/23	405	STANDARD & POORS DEPOSITORY   CUSIP: *8UCYQZ4   Symbol: SPY---230131C00405000					
2023-01-31	1.0	29.94	2023-01-31	36.04	0.00	-6.10	
PUT SPY 02/22/23	397	STANDARD & POORS DEPOSITORY   CUSIP: *8UCZYV8   Symbol: SPY---230222P00397000					
2023-02-22	1.0	56.93	2023-02-22	63.05	0.00	-6.12	
CALL SPY 02/22/23	403	STANDARD & POORS DEPOSITORY   CUSIP: *8UCZYW7   Symbol: SPY---230222C00403000					
2023-02-22	1.0	45.93	2023-02-22	52.05	0.00	-6.12	
PUT SPY 01/18/23	393	STANDARD & POORS DEPOSITORY   CUSIP: *8UDHVV3   Symbol: SPY---230118P00393000					
2023-01-18	1.0	51.94	2023-01-18	43.04	0.00	8.90	
CALL TSLA 02/24/23	195	TESLA INC   CUSIP: *8UDJGT0   Symbol: TSLA--230224C00195000					
2023-02-22	1.0	464.92	2023-02-22	430.05	0.00	34.87	
CALL DDOG 03/31/23	73	DATADOG INC CL A   CUSIP: *8UDKRJ0   Symbol: DDOG--230331C00073000					
2023-03-31	1.0	24.93	2023-03-31	37.05	0.00	-12.12	
PUT MARA 03/31/23	8	MARATHON DIGITAL HOLDINGS INC   CUSIP: *8UDNSR8   Symbol: MARA--230331P00008000					
2023-03-27	1.0	79.93	2023-03-27	63.05	0.00	16.88	
CALL NFLX 03/31/23	335	NETFLIX INC   CUSIP: *8UDPGM7   Symbol: NFLX--230331C00335000					
2023-03-28	2.0	376.86	2023-03-28	443.22	18.12 D	-48.24	
CALL TSLA 03/31/23	205	TESLA INC   CUSIP: *8UDQZK7   Symbol: TSLA--230331C00205000					
2023-03-27	1.0	199.93	2023-03-27	238.05	0.00	-38.12	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.



Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AMZN 05/19/23	120	AMAZON.COM INC   CUSIP: *8UDSWN6   Symbol: AMZN--230519C00120000					
2023-04-10	1.0	57.93	2023-04-06	79.05	0.00	-21.12	
CALL RIVN 01/27/23	21.50	RIVIAN AUTOMOTIVE INC CLASS A   CUSIP: *8UDYQP8   Symbol: RIVN--230127C00021500					
2023-01-27	1.0	29.94	2023-01-27	52.04	0.00	-22.10	
CALL SQQQ 06/23/23	25	PROSHARES ULTRAPRO SHORT QQQ   CUSIP: *8UFSPW6   Symbol: SQQQ--230623C00025000					
2023-06-23	3.0	0.00	Various	193.15	0.00	-193.15	
CALL SHOP 05/19/23	61	SHOPIFY INC CL A   CUSIP: *8UFXNF6   Symbol: SHOP--230519C00061000					
2023-05-19	1.0	28.93	2023-05-19	34.05	0.00	-5.12	
CALL SHOP 05/26/23	62	SHOPIFY INC CL A   CUSIP: *8UFXNJ2   Symbol: SHOP--230526C00062000					
2023-05-23	1.0	88.93	2023-05-23	88.05	0.00	0.88	
CALL AAPL 05/26/23	175	APPLE INC   CUSIP: *8UFYYS0   Symbol: AAPL--230526C00175000					
2023-05-18	1.0	138.93	2023-05-18	106.05	0.00	32.88	
CALL ABNB 05/26/23	106	AIRBNB INC   CUSIP: *8UFZBF2   Symbol: ABNB--230526C00106000					
2023-05-15	1.0	239.93	2023-05-15	262.05	0.00	-22.12	
PUT AI 05/26/23	27	C3 AI INC CLASS A   CUSIP: *8UFZGB1   Symbol: AI----230526P00027000					
2023-05-25	1.0	49.93	2023-05-25	40.05	0.00	9.88	
CALL AVGO 05/26/23	700	BROADCOM INC   CUSIP: *8UFZNZ3   Symbol: AVGO--230526C00700000					
2023-05-23	1.0	289.93	2023-05-23	250.05	0.00	39.88	
CALL CVX 05/26/23	160	CHEVRON CORPORATION   CUSIP: *8UGBPP8   Symbol: CVX---230526C00160000					
2023-05-23	1.0	47.93	2023-05-23	53.05	0.00	-5.12	
PUT DE 05/26/23	350	DEERE & CO   CUSIP: *8UGBRV1   Symbol: DE----230526P00350000					
2023-05-22	5.0	503.69	2023-05-22	959.47	218.34 D	-237.44	
2023-05-23	1.0	207.93	2023-05-22	150.17	0.00	57.76	
<b>Security Totals:</b>		<b>711.62</b>		<b>1,109.64</b>		<b>-179.68</b>	
PUT SPY 05/26/23	410	STANDARD & POORS DEPOSITORY   CUSIP: *8UGGSY7   Symbol: SPY---230526P00410000					
2023-05-25	1.0	45.93	2023-05-25	52.05	0.00	-6.12	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NVDA 08/11/23	435	NVIDIA CORPORATION   CUSIP: *8UGMLH9   Symbol: NVDA--230811C00435000	2023-08-10	118.93	125.05	0.00	-6.12
CALL TSLA 06/09/23	230	TESLA INC   CUSIP: *8UGQMN0   Symbol: TSLA--230609C00230000	2023-06-01	105.93	109.05	0.00	-3.12
CALL BA 06/30/23	230	BOEING CO   CUSIP: *8UHFGK4   Symbol: BA----230630C00230000	2023-06-08	299.88	Various 185.10	0.00	114.78
CALL ENPH 06/30/23	200	ENPHASE ENERGY INC   CUSIP: *8UHGV7   Symbol: ENPH--230630C00200000	2023-06-16	193.93	2023-06-15 192.05	0.00	1.88
CALL GOOGL 05/19/23	124	ALPHABET INC CLASS A   CUSIP: *8UHHKS1   Symbol: GOOGL-230519C00124000	2023-05-19	63.93	60.05	0.00	3.88
CALL GOOG 06/23/23	123	ALPHABET INC CLASS C   CUSIP: *8UHHLG7   Symbol: GOOG--230623C00123000	2023-06-22	39.93	45.05	0.00	-5.12
CALL NIO 07/21/23	10	NIO INC ADS   CUSIP: *8UHKBP3   Symbol: NIO---230721C00010000	2023-06-21	46.93	54.05	0.00	-7.12
CALL NIO 01/19/24	11	NIO INC ADS   CUSIP: *8UHKBV5   Symbol: NIO---240119C00011000	2023-06-27	130.93	2023-06-23 101.05	0.00	29.88
CALL SHOP 06/30/23	65	SHOPIFY INC CL A   CUSIP: *8UHMHR9   Symbol: SHOP--230630C00065000	2023-06-26	99.93	2023-06-26 136.05	0.00	-36.12
CALL SRPT 06/30/23	130	SAREPTA THERAPEUTICS INC   CUSIP: *8UHMRJ7   Symbol: SRPT--230630C00130000	2023-06-22	199.93	2023-06-22 165.05	0.00	34.88
PUT SPY 09/22/23	432	STANDARD & POORS DEPOSITORY   CUSIP: *8UHQZF7   Symbol: SPY---230922P00432000	2023-09-22	149.88	2023-09-22 136.10	0.00	13.78
CALL AMD 09/29/23	105	ADVANCED MICRO DEVICES INC   CUSIP: *8UHTSD7   Symbol: AMD---230929C00105000	2023-09-29	61.87	2023-09-29 61.10	0.00	0.77
CALL AMZN 09/29/23	127	AMAZON.COM INC   CUSIP: *8UHRTL3   Symbol: AMZN--230929C00127000	2023-09-28	68.93	2023-09-28 66.05	0.00	2.88

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 08/09/23 443 STANDARD & POORS DEPOSITORY   CUSIP: *8UHZRY6   Symbol: SPY---230809P00443000 2023-08-08	1.0	35.93	2023-08-08	69.05	0.00	-33.12	
PUT SPY 08/09/23 444 STANDARD & POORS DEPOSITORY   CUSIP: *8UHZRY8   Symbol: SPY---230809P00444000 2023-08-08	1.0	88.93	2023-08-08	98.05	0.00	-9.12	
PUT SPY 08/10/23 441 STANDARD & POORS DEPOSITORY   CUSIP: *8UHZST3   Symbol: SPY---230810P00441000 2023-08-10	1.0	0.00	2023-08-09	42.05	0.00	-42.05	
CALL DIS 12/15/23 95 WALT DISNEY CO   CUSIP: *8UJFKF1   Symbol: DIS---231215C00095000 2023-10-06	1.0	94.94	2023-09-25	86.05	0.00	8.89	
CALL GOOGL 06/02/23 126 ALPHABET INC CLASS A   CUSIP: *8UJJNF8   Symbol: GOOGL-230602C00126000 2023-05-26	1.0	122.93	2023-05-26	123.05	0.00	-0.12	
CALL ETSY 09/08/23 69 ETSY INC   CUSIP: *8UJMDW9   Symbol: ETSY--230908C00069000 2023-09-07	1.0	62.93	2023-09-07	84.05	0.00	-21.12	
PUT MSTR 08/18/23 317.50 MICROSTRATEGY INC   CUSIP: *8UJPHG6   Symbol: MSTR--230818P00317500 2023-08-18	1.0	82.93	2023-08-18	55.05	0.00	27.88	
CALL TSLA 06/30/23 270 TESLA INC   CUSIP: *8UJSCK7   Symbol: TSLA--230630C00270000 2023-06-21	2.0	1,469.86	2023-06-21	1,525.10	65.12 D	9.88	
2023-06-22	1.0	699.93	2023-06-21	675.17	0.00	24.76	
<b>Security Totals:</b>		<b>2,169.79</b>		<b>2,200.27</b>		<b>34.64</b>	
CALL NFLX 09/29/23 385 NETFLIX INC   CUSIP: *8UJVDK4   Symbol: NFLX--230929C00385000 2023-09-29	26.0	182.55	2023-09-29	495.31	0.00	-312.76	
CALL NVDA 09/29/23 440 NVIDIA CORPORATION   CUSIP: *8UJVJD3   Symbol: NVDA--230929C00440000 2023-09-26	1.0	168.93	2023-09-25	166.05	0.00	2.88	
CALL META 08/25/23 297.50 META PLATFORMS INC CL A   CUSIP: *8UJXLY4   Symbol: META--230825C00297500 2023-08-23	1.0	344.93	2023-08-23	239.05	0.00	105.88	
PUT NVDA 08/25/23 427.50 NVIDIA CORPORATION   CUSIP: *8UJXRD8   Symbol: NVDA--230825P00427500 2023-08-25	1.0	0.00	2023-08-23	702.05	0.00	-702.05	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL RBLX 09/29/23	28	ROBLOX CORPORATION   CUSIP: *8UJYTM5   Symbol: RBLX--230929C00028000					
2023-09-27	1.0	25.93	2023-09-27	34.05	0.00	-8.12	
PUT SPY 08/24/23	439	STANDARD & POORS DEPOSITORY   CUSIP: *8UJZLG6   Symbol: SPY---230824P00439000					
2023-08-23	1.0	37.93	2023-08-23	44.05	6.12 D	0.00	
2023-08-24	1.0	54.93	2023-08-24	44.17	0.00	10.76	
<b>Security Totals:</b>		<b>92.86</b>		<b>88.22</b>		<b>10.76</b>	
PUT TSLA 08/25/23	222.50	TESLA INC   CUSIP: *8UJZXK9   Symbol: TSLA--230825P00222500					
2023-08-22	1.0	120.93	2023-08-22	122.05	0.00	-1.12	
PUT TSLA 09/29/23	230	TESLA INC   CUSIP: *8UJZXN3   Symbol: TSLA--230929P00230000					
2023-09-25	14.0	2,268.20	2023-09-25	2,349.45	196.07 D	114.82	
2023-09-27	1.0	110.93	2023-09-27	118.37	0.00	-7.44	
<b>Security Totals:</b>		<b>2,379.13</b>		<b>2,467.82</b>		<b>107.38</b>	
CALL TSLA 09/29/23	255	TESLA INC   CUSIP: *8UJZXP2   Symbol: TSLA--230929C00255000					
2023-09-29	2.0	199.88	2023-09-29	131.10	0.00	68.78	
CALL UPST 08/18/23	33.50	UPSTART HOLDINGS INC   CUSIP: *8UKBFB2   Symbol: UPST--230818C00033500					
2023-08-17	1.0	36.93	2023-08-17	48.05	0.00	-11.12	
CALL AAPL 09/01/23	187.50	APPLE INC   CUSIP: *8UKCCB4   Symbol: AAPL--230901C00187500					
2023-08-30	1.0	56.93	2023-08-30	55.05	0.00	1.88	
CALL BA 09/01/23	227.50	BOEING CO   CUSIP: *8UKCLM6   Symbol: BA----230901C00227500					
2023-08-28	1.0	129.93	2023-08-28	144.05	0.00	-14.12	
CALL FSLR 09/01/23	187.50	FIRST SOLAR INC   CUSIP: *8UKDKH6   Symbol: FSLR--230901C00187500					
2023-08-29	1.0	99.93	2023-08-29	100.05	0.00	-0.12	
CALL JD 09/01/23	34.50	JD.COM INC ADS   CUSIP: *8UKDVZ0   Symbol: JD----230901C00034500					
2023-08-22	1.0	47.93	2023-08-21	55.05	0.00	-7.12	
PUT TSLA 09/01/23	232.50	TESLA INC   CUSIP: *8UKGPF3   Symbol: TSLA--230901P00232500					
2023-08-28	1.0	223.93	2023-08-28	240.05	0.00	-16.12	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 09/01/23	242.50	TESLA INC   CUSIP: *8UKGPF6   Symbol: TSLA--230901C00242500					
2023-08-28	1.0	359.93	2023-08-28	440.05	0.00	-80.12	
CALL TSLA 09/01/23	262.50	TESLA INC   CUSIP: *8UKGPG4   Symbol: TSLA--230901C00262500					
2023-08-30	1.0	227.93	2023-08-30	228.05	0.00	-0.12	
CALL LABU 01/16/26	5	DIREXION DLY S&P BIOTCH BUL 3X   CUSIP: *8UKKGM7   Symbol: #8UKKGM7					
2023-11-03	1.0	110.94	2023-09-25	132.05	0.00	-21.11	
PUT QQQ 09/21/23	359	INVESCO QQQ TRUST SERIES 1   CUSIP: *8UKNFJ6   Symbol: QQQ---230921P00359000					
2023-09-21	1.0	74.93	2023-09-21	51.05	0.00	23.88	
PUT SPY 09/21/23	433	STANDARD & POORS DEPOSITORY   CUSIP: *8UKPRZ8   Symbol: SPY---230921P00433000					
2023-09-21	3.0	137.79	2023-09-21	106.15	0.00	31.64	
CALL QQQ 09/29/23	360	INVESCO QQQ TRUST SERIES 1   CUSIP: *8W83028   Symbol: QQQ---230929C00360000					
2023-09-27	1.0	113.93	2023-09-26	98.05	0.00	15.88	
CALL TLT 09/15/23	100	ISHS 20 PLUS YEAR TREASURY BD   CUSIP: *9C14793   Symbol: TLT---230915C00100000					
2023-08-23	1.0	16.93	2023-08-18	24.05	0.00	-7.12	
CALL SNOW 05/19/23	170	SNOWFLAKE INC CLASS A   CUSIP: *9CXNRB5   Symbol: SNOW--230519C00170000					
2023-03-14	1.0	263.93	2023-03-10	353.05	0.00	-89.12	
CALL LCID 07/21/23	8	LUCID GROUP INC   CUSIP: *9D10321   Symbol: LCID--230721C00008000					
2023-07-11	2.0	23.88	2023-06-26	112.10	0.00	-88.22	
CALL LCID 07/21/23	10	LUCID GROUP INC   CUSIP: *9D10329   Symbol: LCID--230721C00010000					
2023-06-22	2.0	30.86	Various	12.10	0.00	18.76	
CALL TSLA 01/20/23	165	TESLA INC   CUSIP: *9F79944   Symbol: TSLA--230120C00165000					
2023-01-20	2.0	613.88	Various	0.00	0.00	613.88	
CALL LCID 02/17/23	13	LUCID GROUP INC   CUSIP: *9G67883   Symbol: LCID--230217C00013000					
2023-02-17	1.0	10.93	2023-02-15	0.00	0.00	10.93	
CALL MARA 10/20/23	10.50	MARATHON DIGITAL HOLDINGS INC   CUSIP: *9GLKWY4   Symbol: MARA--231020C00010500					
2023-10-20	1.0	10.94	2023-10-06	0.00	0.00	10.94	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL ETSY 09/15/23	90 ETSY INC   CUSIP: *9GMRSD2   Symbol: ETSY--230915C00090000						
2023-09-15	2.0	90.86	Various	0.00	0.00	90.86	
CALL INMD 03/17/23	32.50 INMODE LTD   CUSIP: *9GTFCB1   Symbol: INMD--230317C00032500						
2023-03-17	1.0	19.93	2023-03-16	0.00	0.00	19.93	
CALL INMD 12/15/23	27.50 INMODE LTD   CUSIP: *9GTWHY5   Symbol: INMD--231215C00027500						
2023-12-15	1.0	19.94	2023-11-02	0.00	0.00	19.94	
PUT NFLX 06/16/23	295 NETFLIX INC   CUSIP: *9K57005   Symbol: NFLX--230616P00295000						
2023-06-16	2.0	425.86	Various	0.00	0.00	425.86	
PUT MTCH 05/05/23	34.50 MATCH GROUP INC   CUSIP: *9KBRJK7   Symbol: MTCH--230505P00034500						
2023-05-03	1.0	19.93	2023-05-03	23.05	0.00	-3.12	
CALL APPS 02/17/23	13.50 DIGITAL TURBINE INC   CUSIP: *9KSNMB4   Symbol: APPS--230217C00013500						
2023-02-17	1.0	8.93	2023-02-15	0.00	0.00	8.93	
PUT DASH 06/16/23	50 DOORDASH INC CL A   CUSIP: *9KZDQH0   Symbol: DASH--230616P00050000						
2023-06-16	2.0	50.86	Various	0.00	0.00	50.86	
CALL GDX 09/15/23	50 VANECK GOLD MINERS ETF   CUSIP: *9LBVKP5   Symbol: GDX---230915C00050000						
2023-04-05	1.0	28.93	2023-03-30	41.05	0.00	-12.12	
CALL AMC 01/20/23	7 AMC ENTERTAINMENT HOLDINGS INC   CUSIP: *9LBZWW5   Symbol: AMC---230120C00007000						
2023-01-17	1.0	9.94	2023-01-17	26.04	0.00	-16.10	
CALL AMC 02/17/23	7 AMC ENTERTAINMENT HOLDINGS INC   CUSIP: *9LCBDB5   Symbol: AMC---230217C00007000						
2023-02-17	1.0	3.93	2023-02-15	0.00	0.00	3.93	
CALL TSLA 10/06/23	265 TESLA INC   CUSIP: *9LCDMR9   Symbol: TSLA--231006C00265000						
2023-10-06	1.0	123.94	2023-10-05	0.00	0.00	123.94	
CALL LCID 06/16/23	9.50 LUCID GROUP INC   CUSIP: *9LGVDQ4   Symbol: LCID--230616C00009500						
2023-06-13	1.0	8.93	2023-05-22	1.05	0.00	7.88	
CALL LCID 01/19/24	7 LUCID GROUP INC   CUSIP: *9LGYGG2   Symbol: LCID--240119C00007000						
2023-09-27	1.0	36.93	2023-09-27	38.05	0.00	-1.12	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL LCID 01/19/24	9 LUCID GROUP INC   CUSIP: *9LGYGG5   Symbol: LCID--240119C00009000						
2023-09-25	1.0	38.93	2023-08-29	15.05	0.00	23.88	
CALL GNRC 09/15/23	125 GENERAC HOLDINGS INC   CUSIP: *9LJBTX0   Symbol: GNRC--230915C00125000						
2023-08-18	1.0	164.93	2023-08-17	283.05	0.00	-118.12	
PUT TXN 12/15/23	135 TEXAS INSTRUMENTS INC   CUSIP: *9LLDCL2   Symbol: TXN---231215P00135000						
2023-09-26	1.0	112.93	2023-09-25	128.05	0.00	-15.12	
CALL LAC 09/15/23	20 LITHIUM AMERICAS CORP   CUSIP: *9LNSKG5   Symbol: LAC---230915C00020000						
2023-09-11	1.0	9.93	2023-09-11	15.05	0.00	-5.12	
CALL SQ 12/15/23	62.50 BLOCK INC   CUSIP: *9LYBNR4   Symbol: SQ----231215C00062500						
2023-10-06	2.0	220.86	Various	88.08	0.00	132.78	
CALL LCID 07/14/23	9 LUCID GROUP INC   CUSIP: *9LYSPF4   Symbol: LCID--230714C00009000						
2023-07-14	1.0	8.93	2023-07-11	0.00	0.00	8.93	
PUT AA 09/01/23	26.50 ALCOA CORPORATION   CUSIP: *9NBTJG7   Symbol: AA----230901P00026500						
2023-08-24	1.0	14.93	2023-08-24	15.05	0.00	-0.12	
PUT TSLA 03/10/23	185 TESLA INC   CUSIP: *9NNQTY4   Symbol: TSLA--230310P00185000						
2023-03-06	1.0	170.93	2023-03-03	198.05	0.00	-27.12	
CALL NVDA 08/25/23	500 NVIDIA CORPORATION   CUSIP: *9NTWDZ8   Symbol: NVDA--230825C00500000						
2023-08-25	1.0	1,169.93	2023-08-23	0.00	0.00	1,169.93	
PUT AMD 04/21/23	75 ADVANCED MICRO DEVICES INC   CUSIP: *9NWMJQ9   Symbol: AMD---230421P00075000						
2023-03-16	1.0	114.93	2023-03-16	100.05	0.00	14.88	
CALL AMC 01/13/23	6 AMC ENTERTAINMENT HOLDINGS INC   CUSIP: *9PBFML7   Symbol: AMC---230113C00006000						
2023-01-13	1.0	8.94	2023-01-11	0.00	0.00	8.94	
CALL NIO 01/13/23	12 NIO INC ADS   CUSIP: *9PCLKK3   Symbol: NIO---230113C00012000						
2023-01-10	1.0	11.94	2023-01-09	17.04	0.00	-5.10	
CALL ETSY 01/19/24	125 ETSY INC   CUSIP: *9PCQDM9   Symbol: ETSY--240119C00125000						
2023-08-24	1.0	75.93	2023-08-23	68.05	0.00	7.88	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 05/19/23	220	TESLA INC   CUSIP: *9PFRTX8   Symbol: TSLA--230519C00220000					
2023-03-14	1.0	559.93	2023-03-10	625.05	0.00	-65.12	
PUT TSLA 11/17/23	240	TESLA INC   CUSIP: *9PGXPR1   Symbol: TSLA--231117P00240000					
2023-09-19	1.0	984.93	2023-09-13	992.05	0.00	-7.12	
PUT MSFT 05/19/23	240	MICROSOFT CORP   CUSIP: *9PKBWS2   Symbol: MSFT--230519P00240000					
2023-03-20	1.0	347.93	2023-03-16	387.05	0.00	-39.12	
PUT NVDA 08/25/23	425	NVIDIA CORPORATION   CUSIP: *9PNCDB0   Symbol: NVDA--230825P00425000					
2023-08-25	1.0	646.93	2023-08-23	0.00	0.00	646.93	
CALL SPY 08/25/23	447	STANDARD & POORS DEPOSITORY   CUSIP: *9PPGMN5   Symbol: SPY---230825C00447000					
2023-08-25	1.0	13.93	2023-08-24	0.00	0.00	13.93	
PUT SPY 03/31/23	325	STANDARD & POORS DEPOSITORY   CUSIP: *9PPJZD2   Symbol: SPY---230331P00325000					
2023-02-21	2.0	523.89	2022-12-30	100.10	0.00	423.79	
2023-03-31	8.0	635.58	Various	0.00	0.00	635.58	
<b>Security Totals:</b>		<b>1,159.47</b>		<b>100.10</b>		<b>1,059.37</b>	
CALL TGT 11/17/23	140	TARGET CORP   CUSIP: *9PQWDS1   Symbol: TGT---231117C00140000					
2023-11-03	2.0	114.86	Various	26.08	0.00	88.78	
PUT SHOP 06/16/23	50	SHOPIFY INC CL A   CUSIP: *9PVDKH4   Symbol: SHOP--230616P00050000					
2023-05-23	1.0	58.93	2023-05-08	50.05	0.00	8.88	
2023-05-24	1.0	20.93	2023-05-22	69.05	0.00	-48.12	
<b>Security Totals:</b>		<b>79.86</b>		<b>119.10</b>		<b>-39.24</b>	
PUT SPY 01/19/24	410	STANDARD & POORS DEPOSITORY   CUSIP: *9SDYPS9   Symbol: SPY---240119P00410000					
2023-10-05	1.0	957.94	2023-10-05	897.04	0.00	60.90	
CALL APPS 03/24/23	12	DIGITAL TURBINE INC   CUSIP: *9SFKFT3   Symbol: APPS--230324C00012000					
2023-03-24	1.0	7.93	2023-03-21	0.00	0.00	7.93	
CALL AMC 02/10/23	8	AMC ENTERTAINMENT HOLDINGS INC   CUSIP: *9SFTJM3   Symbol: AMC---230210C00008000					
2023-02-10	1.0	5.93	2023-02-08	0.00	0.00	5.93	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.



Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NEM 02/10/23	45	NEWMONT CORPORATION   CUSIP: *9SGWTL3   Symbol: NEM---230210P00045000					
2023-02-10	1.0	13.93	2023-02-06	0.00	0.00	13.93	
CALL NIO 02/10/23	12	NIO INC ADS   CUSIP: *9SGXBG4   Symbol: NIO---230210C00012000					
2023-02-10	1.0	7.93	2023-02-06	0.00	0.00	7.93	
CALL TSLA 03/24/23	210	TESLA INC   CUSIP: *9SHDDZ7   Symbol: TSLA--230324C00210000					
2023-02-22	1.0	1,184.91	2023-02-22	1,205.05	0.00	-20.14	
PUT MTCH 05/05/23	33	MATCH GROUP INC   CUSIP: *9SJWVM2   Symbol: MTCH--230505P00033000					
2023-05-03	1.0	19.93	2023-05-03	23.05	0.00	-3.12	
CALL LCID 04/28/23	10	LUCID GROUP INC   CUSIP: *9SKNHP0   Symbol: LCID--230428C00010000					
2023-04-28	2.0	13.88	2023-04-26	0.00	0.00	13.88	
CALL RIVN 04/28/23	13	RIVIAN AUTOMOTIVE INC CLASS A   CUSIP: *9SLBLP2   Symbol: RIVN--230428C00013000					
2023-04-25	1.0	6.93	2023-04-25	8.05	0.00	-1.12	
CALL ZM 08/25/23	72	ZOOM VIDEO COMMUNICATIONS INC   CUSIP: *9SQGNW8   Symbol: ZM----230825C00072000					
2023-08-25	1.0	149.93	2023-08-21	0.00	0.00	149.93	
CALL MARA 10/27/23	10.50	MARATHON DIGITAL HOLDINGS INC   CUSIP: *9SSTYJ1   Symbol: MARA--231027C00010500					
2023-10-27	1.0	23.94	2023-10-25	0.00	0.00	23.94	
CALL MARA 10/27/23	11.50	MARATHON DIGITAL HOLDINGS INC   CUSIP: *9SSTYJ6   Symbol: MARA--231027C00011500					
2023-10-27	1.0	10.94	2023-10-24	0.00	0.00	10.94	
CALL RBLX 09/08/23	30	ROBLOX CORPORATION   CUSIP: *9STKVC1   Symbol: RBLX--230908C00030000					
2023-09-06	1.0	12.93	2023-09-05	12.05	0.00	0.88	
PUT SPY 09/15/23	435	STANDARD & POORS DEPOSITORY   CUSIP: *9SVPQS6   Symbol: SPY---230915P00435000					
2023-09-15	1.0	108.93	2023-09-07	0.00	0.00	108.93	
CALL MARA 11/10/23	11	MARATHON DIGITAL HOLDINGS INC   CUSIP: *9SWSKS3   Symbol: MARA--231110C00011000					
2023-11-09	1.0	21.94	2023-11-02	18.04	0.00	3.90	
CALL MARA 11/10/23	12	MARATHON DIGITAL HOLDINGS INC   CUSIP: *9SWSKY1   Symbol: MARA--231110C00012000					
2023-11-03	1.0	13.94	2023-11-02	11.04	0.00	2.90	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL MMM 06/16/23	130	3M COMPANY   CUSIP: *95XPCW4   Symbol: MMM---230616C00130000					
2023-03-03	1.0	81.93	2023-03-02	93.05	0.00	-11.12	
CALL AMC 02/10/23	10	AMC ENTERTAINMENT HOLDINGS INC   CUSIP: *9UCGQR0   Symbol: AMC---230210C00010000					
2023-02-06	1.0	42.93	2023-02-06	34.05	0.00	8.88	
PUT TSLA 03/03/23	150	TESLA INC   CUSIP: *9UCKWH7   Symbol: TSLA--230303P00150000					
2023-02-22	1.0	45.93	2023-02-21	57.05	0.00	-11.12	
CALL AMC 02/24/23	7.50	AMC ENTERTAINMENT HOLDINGS INC   CUSIP: *9UCLJF2   Symbol: AMC---230224C00007500					
2023-02-22	1.0	11.93	2023-02-21	17.05	0.00	-5.12	
PUT SQ 03/31/23	50	BLOCK INC   CUSIP: *9UCZZT6   Symbol: SQ----230331P00050000					
2023-03-24	1.0	65.93	2023-03-23	56.05	0.00	9.88	
CALL LCID 02/24/23	14.50	LUCID GROUP INC   CUSIP: *9UDTXS6   Symbol: LCID--230224C00014500					
2023-02-22	1.0	21.93	2023-02-21	13.05	0.00	8.88	
CALL CRWD 04/28/23	150	CROWDSTRIKE HOLDINGS INC CL A   CUSIP: *9UFDLM5   Symbol: CRWD--230428C00150000					
2023-03-14	1.0	119.93	2023-03-10	168.05	0.00	-48.12	
PUT AMD 05/12/23	75	ADVANCED MICRO DEVICES INC   CUSIP: *9UFPHV2   Symbol: AMD---230512P00075000					
2023-05-03	1.0	22.93	2023-05-03	25.05	0.00	-2.12	
PUT GDV 05/26/23	31	VANECK GOLD MINERS ETF   CUSIP: *9UGCMV1   Symbol: GDV---230526P00031000					
2023-05-24	1.0	11.93	2023-05-22	25.05	0.00	-13.12	
CALL DIS 12/15/23	105	WALT DISNEY CO   CUSIP: *9UJFKF5   Symbol: DIS---231215C00105000					
2023-10-06	1.0	25.93	2023-09-25	29.04	0.00	-3.11	
PUT UPST 09/22/23	26.50	UPSTART HOLDINGS INC   CUSIP: *9UKQX2   Symbol: UPST--230922P00026500					
2023-09-20	2.0	25.86	Various	16.10	0.00	9.76	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>AMC ENTERTAINMENT HOLDINGS INC CL A COM   CUSIP: 00165C104   Symbol: #A001843</b>							
2023-01-13	150.0	751.48	Various	986.52	235.04 D	0.00	
2023-01-17	100.0	593.97	Various	692.99	104.13 D	5.11	
2023-02-02	100.0	604.97	2023-01-27	634.91	49.94 D	20.00	
2023-02-03	50.0	329.98	2023-02-03	343.58	15.84 D	2.24	
2023-02-06	100.0	699.97	2023-02-06	775.65	75.68 D	0.00	
2023-02-22	100.0	619.97	2023-02-07	692.68	0.00	-72.71	
2023-04-03	100.0	494.98	2023-03-28	516.92	0.00	-21.94	
2023-08-16	300.0	1,115.95	Various	1,120.50	0.00	-4.55	
<b>Security Totals:</b>		<b>5,211.27</b>		<b>5,763.75</b>		<b>-71.85</b>	
<b>AT&amp;T INC   CUSIP: 00206R102   Symbol: T</b>							
2023-07-18	50.0	687.48	2023-07-18	693.50	0.00	-6.02	
<b>ABBVIE INC   CUSIP: 00287Y109   Symbol: ABBV</b>							
2023-06-05	15.0	2,047.04	2023-06-05	2,061.15	14.11 D	-0.00	
2023-06-13	10.0	1,379.97	2023-06-13	1,390.61	3.19 D	-7.45	
2023-06-28	8.0	1,058.94	2023-06-27	1,072.69	0.00	-13.75	
<b>Security Totals:</b>		<b>4,485.95</b>		<b>4,524.45</b>		<b>-21.20</b>	
<b>ADVANCE AUTO PARTS INC   CUSIP: 00751Y106   Symbol: AAP</b>							
2023-06-07	15.0	981.58	2023-06-02	1,020.00	38.42 D	0.00	
2023-06-23	25.0	1,674.97	2023-06-23	1,723.67	0.00	-48.70	
2023-07-31	25.0	1,845.40	2023-07-31	1,852.25	0.00	-6.85	
<b>Security Totals:</b>		<b>4,501.95</b>		<b>4,595.92</b>		<b>-55.55</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>ADVANCED MICRO DEVICES INC COM   CUSIP: 007903107   Symbol: AMD</b>							
2023-01-09	35.0	2,396.73	Various	2,711.01	0.00	-314.28	
2023-05-03	10.0	833.88	2023-05-03	835.00	1.12 D	-0.00	
2023-05-08	10.0	942.58	2023-05-03	819.02	0.00	123.56	
2023-06-27	10.0	1,103.69	Various	1,098.55	1.70 D	6.84	
2023-07-14	5.0	608.98	2023-06-28	546.45	0.00	62.53	
2023-08-02	10.0	1,120.32	Various	1,109.00	0.00	11.32	
2023-08-09	5.0	555.28	2023-08-03	571.44	16.16 D	-0.00	
2023-08-30	15.0	1,606.79	Various	1,684.21	77.42 D	-0.00	
2023-09-29	25.0	2,590.21	Various	2,727.47	154.05 D	16.79	
2023-10-06	25.0	2,641.79	2023-09-18	2,674.05	88.76 D	56.50	
2023-10-26	25.0	2,347.47	2023-10-26	2,445.74	98.27 D	0.00	
2023-10-27	25.0	2,415.70	2023-10-26	2,466.52	0.00	-50.82	
<b>Security Totals:</b>		<b>19,163.42</b>		<b>19,688.46</b>		<b>-87.56</b>	
<b>***AGNICO EAGLE MINES LIMITED   CUSIP: 008474108   Symbol: AEM</b>							
2023-04-04	25.0	1,360.22	2023-04-03	1,284.25	0.00	75.97	
<b>AIRBNB INC CLASS A COMMON STOCK   CUSIP: 009066101   Symbol: ABBN</b>							
2023-01-05	10.0	882.86	2022-12-22	888.46	0.00	-5.60	
2023-04-06	10.0	1,096.58	2023-04-06	1,104.10	0.00	-7.52	
2023-06-01	15.0	1,687.80	2023-06-01	1,698.27	0.00	-10.47	
<b>Security Totals:</b>		<b>3,667.24</b>		<b>3,690.83</b>		<b>-23.59</b>	
<b>ALCOA CORPORATION COMMON STOCK   CUSIP: 013872106   Symbol: AA</b>							
2023-08-28	50.0	1,425.47	2023-08-24	1,427.00	0.00	-1.53	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>***ALIBABA GROUP HOLDING LTD SPONSORED ADR   CUSIP: 01609W102   Symbol: BABA</b>							
2023-02-24	10.0	894.28	2023-02-24	896.16	1.88 D	0.00	
2023-03-02	10.0	888.58	2023-02-24	887.68	0.00	0.90	
2023-03-03	25.0	2,226.97	2023-03-03	2,217.25	0.00	9.72	
2023-05-19	25.0	2,099.97	2023-05-19	2,107.50	0.00	-7.53	
<b>Security Totals:</b>		<b>6,109.80</b>		<b>6,108.59</b>		<b>3.09</b>	
<b>ALPHABET INC CLASS C CAPITAL STOCK   CUSIP: 02079K107   Symbol: GOOG</b>							
2023-06-16	35.0	4,378.14	Various	4,375.37	0.00	2.77	
2023-10-25	15.0	1,910.07	2023-10-25	1,919.39	0.00	-9.32	
<b>Security Totals:</b>		<b>6,288.21</b>		<b>6,294.76</b>		<b>-6.55</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
ALPHABET INC CLASS A COMMON STOCK   CUSIP: 02079K305   Symbol: GOOGL							
2023-01-18	25.0	2,310.43	Various	2,666.31	355.88 D	-0.00	
2023-01-20	25.0	2,389.95	Various	2,561.23	184.24 D	12.96	
2023-01-26	5.0	477.77	2023-01-10	528.19	50.42 D	-0.00	
2023-01-27	20.0	1,979.52	Various	2,091.92	112.40 D	-0.00	
2023-02-02	10.0	1,072.06	Various	999.62	0.00	72.44	
2023-02-16	25.0	2,428.93	Various	2,557.78	160.12 D	31.27	
2023-02-17	25.0	2,349.43	Various	2,618.72	269.29 D	0.00	
2023-03-03	10.0	931.88	2023-02-17	1,100.27	168.39 D	0.00	
2023-03-07	25.0	2,359.97	Various	2,593.09	233.12 D	-0.00	
2023-04-03	25.0	2,561.71	2023-03-30	2,739.10	0.00	-177.39	
2023-06-14	10.0	1,220.13	2023-06-08	1,220.90	0.77 D	0.00	
2023-07-13	12.0	1,494.69	Various	1,433.39	0.00	61.30	
2023-07-25	13.0	1,676.71	Various	1,532.61	0.00	144.10	
2023-08-30	110.0	14,854.78	2023-08-30	14,944.33	9.93 D	-79.62	
2023-11-02	10.0	1,269.87	2023-10-25	1,253.00	0.00	16.87	
2023-12-22	15.0	2,115.12	2023-10-26	1,844.55	0.00	270.57	
<b>Security Totals:</b>		<b>41,492.95</b>		<b>42,685.01</b>		<b>352.50</b>	
ALTERYX INC CLASS A COMMON STOCK   CUSIP: 02156B103   Symbol: AYX							
2023-04-28	10.0	425.08	2023-04-28	423.30	0.00	1.78	
AMAZON.COM INC   CUSIP: 023135106   Symbol: AMZN							
2023-01-09	30.0	2,651.62	Various	2,811.37	161.43 D	1.68	
2023-01-11	30.0	2,774.90	Various	2,712.79	15.86 D	77.97	
2023-03-02	50.0	4,553.71	2023-03-02	4,574.01	20.30 D	-0.00	
2023-03-06	50.0	4,757.53	2023-03-06	4,755.29	0.00	2.24	
2023-05-22	25.0	2,879.66	2023-05-22	2,890.50	0.00	-10.84	
2023-08-30	10.0	1,341.97	2023-08-30	1,351.30	0.00	-9.33	
<b>Security Totals:</b>		<b>18,959.39</b>		<b>19,095.26</b>		<b>61.72</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>APPLE INC   CUSIP: 037833100   Symbol: AAPL</b>							
2023-01-20	525.0	70,742.04	2023-01-20	70,722.75	0.00	19.29	
2023-01-23	10.0	1,416.65	2023-01-20	1,351.60	0.00	65.05	
<b>Security Totals:</b>		<b>72,158.69</b>		<b>72,074.35</b>		<b>84.34</b>	
<b>***ARM HOLDINGS PLC AMERICAN DEPOSITARY SHARES   CUSIP: 042068205   Symbol: ARM</b>							
2023-09-14	25.0	1,447.47	2023-09-14	1,395.25	0.00	52.22	
2023-09-15	25.0	1,574.72	2023-09-14	1,517.00	0.00	57.72	
<b>Security Totals:</b>		<b>3,022.19</b>		<b>2,912.25</b>		<b>109.94</b>	
<b>BANK OF AMERICA CORP   CUSIP: 060505104   Symbol: BAC</b>							
2023-06-13	50.0	1,461.97	2023-06-13	1,462.50	0.00	-0.53	
<b>***BANK OF MONTREAL MICROSECTORS FANG TM INDEX 3X LEVERAGED ETNS   CUSIP: 063679534   Symbol: FNGU</b>							
2023-11-02	8.0	1,239.98	2023-10-31	1,098.72	0.00	141.26	
<b>BAXTER INTERNATIONAL INC   CUSIP: 071813109   Symbol: BAX</b>							
2023-02-16	23.0	916.91	2023-02-10	939.76	22.85 D	-0.00	
2023-02-24	27.0	1,088.08	Various	1,113.06	0.00	-24.98	
<b>Security Totals:</b>		<b>2,004.99</b>		<b>2,052.82</b>		<b>-24.98</b>	
<b>BEAM THERAPEUTICS INC COMMON STOCK   CUSIP: 07373V105   Symbol: BEAM</b>							
2023-04-10	25.0	738.19	2023-04-06	751.25	0.00	-13.06	
<b>BEST BUY COMPANY INC   CUSIP: 086516101   Symbol: BBY</b>							
2023-06-16	25.0	1,994.72	2023-06-16	1,989.00	0.00	5.72	
<b>***BILIBILI INC AMERICAN DEPOSITARY SHARES   CUSIP: 090040106   Symbol: BILI</b>							
2023-08-29	100.0	1,588.97	2023-08-28	1,476.56	0.00	112.41	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>BILL HOLDINGS INC COMMON STOCK   CUSIP: 090043100   Symbol: BILL</b>							
2023-03-03	10.0	880.48	2023-03-01	844.50	0.00	35.98	
2023-03-08	25.0	2,074.81	2023-03-08	2,080.81	0.00	-6.00	
2023-04-18	15.0	1,175.68	2023-04-17	1,164.30	0.00	11.38	
<b>Security Totals:</b>		<b>4,130.97</b>		<b>4,089.61</b>		<b>41.36</b>	
<b>BIOGEN INC   CUSIP: 09062X103   Symbol: BIIB</b>							
2023-01-09	10.0	2,809.92	2023-01-06	2,794.50	0.00	15.42	
<b>BLINK CHARGING CO COMMON STOCK   CUSIP: 09354A100   Symbol: BLNK</b>							
2023-03-02	200.0	1,681.96	2023-03-02	1,730.02	48.06 D	0.00	
2023-03-16	100.0	818.21	Various	883.54	0.00	-65.33	
2023-05-18	50.0	359.48	2023-05-17	363.37	0.00	-3.89	
<b>Security Totals:</b>		<b>2,859.65</b>		<b>2,976.93</b>		<b>-69.22</b>	
<b>BOEING CO   CUSIP: 097023105   Symbol: BA</b>							
2023-07-11	4.0	864.28	2023-07-11	863.84	0.00	0.44	
<b>***BROOKFIELD CORP VTG SHS CL A   CUSIP: 11271J107   Symbol: BN</b>							
2023-06-30	50.0	1,661.97	2023-06-16	1,674.18	0.00	-12.21	
<b>BULLFROG AI HOLDINGS INC COMMON STOCK   CUSIP: 12021E109   Symbol: BFRG</b>							
2023-02-17	46.0	215.73	2023-02-14	299.00	0.00	-83.27	
<b>WTS BULLFROG AI HOLDINGS INC WARRANTS   CUSIP: 12021E117   Symbol: BFRGW</b>							
2023-02-17	46.0	38.63	2023-02-14	0.46	0.00	38.17	
<b>C3 AI INC CLASS A COMMON STOCK   CUSIP: 12468P104   Symbol: AI</b>							
2023-02-06	50.0	1,498.45	2023-02-06	1,510.00	0.00	-11.55	
2023-04-04	50.0	1,334.97	2023-04-04	1,334.50	0.00	0.47	
<b>Security Totals:</b>		<b>2,833.42</b>		<b>2,844.50</b>		<b>-11.08</b>	
<b>CIGNA GROUP (THE) COMMON STOCK   CUSIP: 125523100   Symbol: CI</b>							
2023-06-01	5.0	1,260.47	2023-05-26	1,220.80	0.00	39.67	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.



Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>CVS HEALTH CORPORATION   CUSIP: 126650100   Symbol: CVS</b>							
2023-05-01	10.0	731.72	2023-04-28	734.80	0.00	-3.08	
2023-06-07	10.0	715.79	2023-04-28	734.80	19.01 D	0.00	
2023-06-16	25.0	1,725.07	2023-06-14	1,691.01	0.00	34.06	
<b>Security Totals:</b>		<b>3,172.58</b>		<b>3,160.61</b>		<b>30.98</b>	
<b>***CANADA GOOSE HLDGS INC SHS SUB VTG   CUSIP: 135086106   Symbol: GOOS</b>							
2023-08-08	100.0	1,621.37	2023-08-04	1,699.00	0.00	-77.63	
<b>CARVANA CO CLASS A COMMON STOCK   CUSIP: 146869102   Symbol: CVNA</b>							
2023-01-23	100.0	725.97	2023-01-23	735.99	10.02 D	0.00	
2023-01-30	100.0	938.44	2023-01-30	890.02	0.00	48.42	
2023-02-02	50.0	895.46	2023-02-02	918.50	23.04 D	0.00	
2023-03-03	200.0	1,997.96	2023-03-03	2,089.07	40.05 D	-51.06	
2023-06-08	300.0	7,667.88	2023-06-08	7,635.77	25.04 D	57.15	
2023-06-14	50.0	1,175.48	2023-06-14	1,199.02	23.54 D	0.00	
2023-06-20	200.0	4,753.21	2023-06-20	4,864.55	111.34 D	-0.00	
2023-06-22	100.0	2,458.97	2023-06-22	2,546.63	87.66 D	-0.00	
2023-06-27	50.0	1,141.48	2023-06-23	1,135.06	0.00	6.42	
2023-06-29	50.0	1,274.97	2023-06-29	1,336.84	61.87 D	0.00	
2023-07-28	50.0	2,149.72	2023-07-28	2,228.86	79.14 D	-0.00	
2023-08-02	100.0	5,099.94	2023-08-02	5,211.14	111.20 D	-0.00	
2023-08-28	100.0	4,476.95	2023-08-28	4,633.20	156.25 D	0.00	
2023-08-30	450.0	22,275.36	2023-08-30	23,291.26	933.07 D	-82.83	
2023-09-20	75.0	3,520.50	2023-09-20	3,702.44	0.00	-181.94	
<b>Security Totals:</b>		<b>60,552.29</b>		<b>62,418.35</b>		<b>-203.84</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>CHARGEPOINT HOLDINGS INC CLASS A COMMON STOCK   CUSIP: 15961R105   Symbol: CHPT</b>							
2023-01-10	100.0	962.96	2023-01-10	989.50	13.27 D	-13.27	
2023-01-19	50.0	531.98	2023-01-19	549.77	0.00	-17.79	
2023-05-18	50.0	412.61	2023-05-17	414.50	0.00	-1.89	
<b>Security Totals:</b>		<b>1,907.55</b>		<b>1,953.77</b>		<b>-32.95</b>	
<b>CHEGG INC   CUSIP: 163092109   Symbol: CHGG</b>							
2023-02-06	50.0	842.47	2023-02-06	860.00	0.00	-17.53	
<b>CHIPOTLE MEXICAN GRILL INC COMMON STOCK   CUSIP: 169656105   Symbol: CMG</b>							
2023-05-09	1.0	2,037.77	2023-05-05	2,033.48	0.00	4.29	
<b>COINBASE GLOBAL INC CLASS A COMMON STOCK   CUSIP: 19260Q107   Symbol: COIN</b>							
2023-03-07	10.0	609.48	2023-03-07	636.20	0.00	-26.72	
2023-04-26	25.0	1,443.69	2023-04-25	1,377.00	0.00	66.69	
<b>Security Totals:</b>		<b>2,053.17</b>		<b>2,013.20</b>		<b>39.97</b>	
<b>CROCS INC   CUSIP: 227046109   Symbol: CROX</b>							
2023-06-21	10.0	1,085.68	2023-06-21	1,082.20	0.00	3.48	
2023-06-23	10.0	1,055.08	2023-06-22	1,075.30	10.11 D	-10.11	
2023-07-11	5.0	600.71	2023-06-28	539.41	0.00	61.30	
2023-08-02	15.0	1,576.31	2023-07-27	1,572.06	9.12 D	13.37	
2023-08-23	10.0	953.08	2023-08-22	977.06	23.98 D	0.00	
2023-08-28	10.0	964.18	2023-08-25	969.88	5.70 D	-0.00	
2023-09-11	25.0	2,319.47	2023-09-11	2,328.95	9.48 D	-0.00	
2023-09-14	25.0	2,225.22	2023-09-14	2,256.98	31.76 D	-0.00	
2023-09-18	15.0	1,303.44	2023-09-15	1,340.75	0.00	-37.31	
2023-09-28	10.0	853.78	2023-09-28	864.61	0.00	-10.83	
<b>Security Totals:</b>		<b>12,936.95</b>		<b>13,007.20</b>		<b>19.90</b>	
<b>CROWDSTRIKE HOLDINGS INC CLASS A COMMON STOCK   CUSIP: 22788C105   Symbol: CRWD</b>							
2023-03-08	20.0	2,531.11	2023-03-08	2,526.50	0.00	4.61	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
***DADA NEXUS LIMITED AMERICAN DEPOSITARY SHARES   CUSIP: 23344D108   Symbol: DADA							
2023-01-23	50.0	734.47	2023-01-23	720.50	0.00	13.97	
DESKTOP METAL INC CLASS A COMMON STOCK   CUSIP: 25058X105   Symbol: DM							
2023-08-30	1800.0	3,125.69	2023-08-30	3,159.24	39.74 D	6.19	
DEVON ENERGY CORPORATION NEW   CUSIP: 25179M103   Symbol: DVN							
2023-03-03	15.0	855.28	2023-02-22	807.30	0.00	47.98	
2023-03-10	10.0	532.05	2023-02-22	538.20	0.00	-6.15	
<b>Security Totals:</b>		<b>1,387.33</b>		<b>1,345.50</b>		<b>41.83</b>	
DIGITAL TURBINE INC COM NEW   CUSIP: 25400W102   Symbol: APPS							
2023-02-09	100.0	1,237.96	2023-02-09	1,289.00	51.04 D	0.00	
2023-03-23	50.0	575.49	2023-02-10	635.52	60.03 D	0.00	
2023-03-27	50.0	567.48	2023-02-10	643.51	0.00	-76.03	
2023-03-28	50.0	562.99	2023-03-15	586.43	0.00	-23.44	
<b>Security Totals:</b>		<b>2,943.92</b>		<b>3,154.46</b>		<b>-99.47</b>	
DIREXION DAILY TECHNOLOGY BULL 3X SHS   CUSIP: 25459W102   Symbol: TECL							
2023-01-03	50.0	1,134.96	2022-12-30	1,083.98	0.00	50.98	
2023-01-04	50.0	1,084.47	2023-01-03	1,077.50	0.00	6.97	
2023-01-05	50.0	1,016.46	2023-01-05	1,019.47	0.00	-3.01	
2023-09-25	25.0	1,081.49	2023-09-21	1,093.25	11.76 D	0.00	
2023-09-27	50.0	2,107.25	Various	2,114.00	10.24 D	3.49	
2023-09-29	25.0	1,112.73	2023-09-27	1,054.00	0.00	58.73	
2023-10-05	50.0	2,178.98	Various	2,155.70	0.23 D	23.51	
2023-10-31	50.0	2,069.72	2023-10-27	1,985.48	0.00	84.24	
<b>Security Totals:</b>		<b>11,786.06</b>		<b>11,583.38</b>		<b>224.91</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

# Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

### 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
DIREXION FDS DAILY SEMICONDUCTOR BULL 3X   CUSIP: 25459W458   Symbol: SOXL							
2023-01-03	100.0	989.96	2022-12-22	936.00	0.00	53.96	
2023-09-25	50.0	889.98	2023-09-21	893.50	3.52 D	0.00	
2023-09-27	125.0	2,223.16	Various	2,196.21	0.00	26.95	
2023-09-29	25.0	485.61	2023-09-27	441.75	0.00	43.86	
2023-10-05	50.0	925.98	2023-10-03	885.50	0.00	40.48	
<b>Security Totals:</b>		<b>5,514.69</b>		<b>5,352.96</b>		<b>165.25</b>	
DIREXION DAILY SML CAP BULL 3X SHARES   CUSIP: 25459W847   Symbol: TNA							
2023-07-12	30.0	1,144.03	2023-07-12	1,142.10	0.00	1.93	
DIREXION DAILY S&P 500 BULL 3X SHARES   CUSIP: 25459W862   Symbol: SPXL							
2023-03-09	10.0	645.08	2023-03-09	647.98	0.00	-2.90	
2023-09-27	25.0	1,950.81	Various	1,937.43	0.00	13.38	
2023-09-29	10.0	804.08	2023-09-27	777.20	0.00	26.88	
2023-10-06	10.0	746.28	2023-10-05	753.40	0.00	-7.12	
<b>Security Totals:</b>		<b>4,146.25</b>		<b>4,116.01</b>		<b>30.24</b>	
DIREXION SHS ETF TR DAILY FINL BULL 3X SHS   CUSIP: 25459Y694   Symbol: FAS							
2023-03-13	10.0	567.98	2023-03-10	635.30	67.32 D	0.00	
2023-03-24	25.0	1,265.76	2023-03-24	1,346.07	0.00	-80.31	
<b>Security Totals:</b>		<b>1,833.74</b>		<b>1,981.37</b>		<b>-80.31</b>	
DIREXION SHARES ETF TRUST DIREXION DAILY SMALL CAP BEAR SHARES   CUSIP: 25460E232   Symbol: TZA							
2023-02-10	50.0	1,363.45	Various	1,438.25	74.80 D	0.00	
2023-02-13	50.0	1,306.46	Various	1,335.30	0.00	-28.84	
<b>Security Totals:</b>		<b>2,669.91</b>		<b>2,773.55</b>		<b>-28.84</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
DIREXION SHARES ETF TRUST DIREXION DAILY S&P 500 BEAR 3X SHARES   CUSIP: 25460E265   Symbol: SPXS							
2023-01-19	50.0	1,062.46	2023-01-17	990.00	0.00	72.46	
2023-02-17	150.0	2,812.41	Various	2,846.74	0.00	-34.33	
2023-04-26	25.0	462.48	2023-04-13	431.72	0.00	30.76	
2023-05-25	25.0	431.98	2023-05-22	414.50	0.00	17.48	
2023-06-16	50.0	713.48	2023-06-16	714.50	1.02 D	0.00	
2023-08-03	100.0	1,367.02	2023-07-14	1,357.01	0.00	10.01	
<b>Security Totals:</b>		<b>6,849.83</b>		<b>6,754.47</b>		<b>96.38</b>	
DIREXION SHARES ETF TRUST DIREXION DAILY FTSE CHINA BULL 3X SHARES   CUSIP: 25460G195   Symbol: YINN							
2023-02-24	25.0	1,032.73	2023-02-24	1,037.75	5.02 D	0.00	
2023-03-10	25.0	973.98	2023-03-10	990.52	16.54 D	0.00	
2023-03-14	25.0	994.99	2023-03-10	987.04	0.00	7.95	
2023-05-08	10.0	435.38	2023-04-10	450.80	15.42 D	-0.00	
2023-06-02	17.0	611.30	Various	724.92	113.62 D	0.00	
2023-06-13	18.0	694.78	Various	714.38	48.62 D	29.02	
2023-06-30	25.0	860.75	2023-06-28	929.96	69.21 D	-0.00	
2023-07-11	25.0	856.73	2023-07-06	870.96	38.78 D	24.55	
2023-08-03	35.0	1,435.67	Various	1,468.98	0.00	-33.31	
<b>Security Totals:</b>		<b>7,896.31</b>		<b>8,175.31</b>		<b>28.21</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>DIREXION SHS ETF TR DIREXION DAILY TSLA BULL 1.5X SHARES   CUSIP: 25460G286   Symbol: TSLL</b>							
2023-01-03	100.0	586.97	2022-12-28	550.00	0.00	36.97	
2023-01-04	100.0	529.97	2023-01-03	532.91	0.00	-2.94	
2023-03-09	100.0	1,054.96	Various	1,061.27	6.31 D	0.00	
2023-03-10	50.0	493.48	2023-03-09	502.29	0.00	-8.81	
2023-07-14	50.0	946.48	2023-07-12	938.50	0.00	7.98	
2023-08-18	50.0	632.21	2023-08-18	633.46	1.25 D	0.00	
2023-08-28	150.0	2,195.94	2023-08-28	2,244.45	48.51 D	0.00	
2023-09-29	50.0	789.49	2023-09-14	917.99	128.50 D	0.00	
2023-10-10	75.0	1,267.47	Various	1,255.68	53.42 D	65.21	
2023-10-19	50.0	629.99	2023-10-19	757.67	0.00	-127.68	
<b>Security Totals:</b>		<b>9,126.96</b>		<b>9,394.22</b>		<b>-29.27</b>	
<b>DIREXION SHARES ETF TRUST DIREXION DAILY SEMICONDUCTOR BEAR 3X SHARES   CUSIP: 25460G336   Symbol: SOXS</b>							
2023-01-19	25.0	770.72	2023-01-17	708.25	0.00	62.47	
2023-02-21	225.0	5,176.85	Various	5,621.99	395.56 D	-49.58	
2023-04-25	12.0	259.78	2023-04-13	222.96	0.00	36.82	
2023-04-27	13.0	277.27	2023-04-13	241.54	0.00	35.73	
2023-05-24	50.0	898.46	2023-05-19	820.50	0.00	77.96	
2023-06-14	100.0	963.17	2023-06-14	970.91	7.74 D	-0.00	
2023-06-16	100.0	987.98	2023-06-14	983.73	0.00	4.25	
2023-09-06	100.0	939.48	2023-08-30	955.98	0.00	-16.50	
<b>Security Totals:</b>		<b>10,273.71</b>		<b>10,525.86</b>		<b>151.15</b>	
<b>DIREXION SHARES ETF TRUST DIREXION DAILY S&amp;P BIOTECH BEAR 3X SHARES   CUSIP: 25460G716   Symbol: LABD</b>							
2023-01-30	100.0	1,407.95	Various	1,438.00	0.00	-30.05	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>DIREXION SHARES ETF TRUST DIREXION DAILY REGIONAL BANKS BULL 3X SHARES   CUSIP: 25460G864   Symbol: #D048141</b>							
2023-01-19	50.0	1,070.96	2023-01-19	1,082.00	0.00	-11.04	
2023-03-13	50.0	497.48	2023-03-10	632.00	134.52 D	0.00	
2023-03-14	25.0	272.48	2023-03-13	288.51	16.03 D	0.00	
2023-05-23	250.0	1,461.19	Various	1,631.29	0.00	-170.10	
<b>Security Totals:</b>		<b>3,302.11</b>		<b>3,633.80</b>		<b>-181.14</b>	
<b>WALT DISNEY CO   CUSIP: 254687106   Symbol: DIS</b>							
2023-06-13	15.0	1,409.82	2023-06-13	1,408.05	0.00	1.77	
2023-06-20	10.0	899.18	2023-06-20	900.20	1.02 D	-0.00	
2023-06-21	10.0	886.29	2023-06-21	891.32	5.03 D	-0.00	
2023-06-23	12.0	1,057.18	2023-06-22	1,068.94	11.76 D	0.00	
2023-07-14	15.0	1,337.67	Various	1,346.51	11.46 D	2.62	
2023-09-18	25.0	2,140.47	Various	2,165.76	0.00	-25.29	
<b>Security Totals:</b>		<b>7,730.61</b>		<b>7,780.78</b>		<b>-20.90</b>	
<b>DIREXION SHARES ETF TRUST DIREXION DAILY S&amp;P BIOTECH BULL 3X SHARES   CUSIP: 25490K323   Symbol: #D040794</b>							
2023-01-03	100.0	699.97	2022-12-22	679.98	0.00	19.99	
2023-01-13	100.0	869.97	2023-01-11	727.99	0.00	141.98	
2023-01-24	100.0	829.97	2023-01-24	820.99	0.00	8.98	
<b>Security Totals:</b>		<b>2,399.91</b>		<b>2,228.96</b>		<b>170.95</b>	
<b>DROPBOX INC CLASS A COMMON STOCK   CUSIP: 26210C104   Symbol: DBX</b>							
2023-04-28	35.0	705.25	2023-04-28	705.60	0.00	-0.35	
<b>DOXIMITY INC CLASS A COMMON STOCK   CUSIP: 26622P107   Symbol: DOCS</b>							
2023-06-01	50.0	1,564.98	2023-06-01	1,574.50	4.76 D	-4.76	
2023-06-13	25.0	823.98	2023-06-07	830.76	0.00	-6.78	
<b>Security Totals:</b>		<b>2,388.96</b>		<b>2,405.26</b>		<b>-11.54</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

# Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

### 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>EBIX INC COMMON STOCK   CUSIP: 278715206   Symbol: EBIXQ</b>							
2023-03-16	50.0	689.98	2023-03-15	610.00	0.00	79.98	
2023-10-04	100.0	598.98	2023-10-04	564.00	0.00	34.98	
<b>Security Totals:</b>		<b>1,288.96</b>		<b>1,174.00</b>		<b>114.96</b>	
<b>ELECTRONIC ARTS INC   CUSIP: 285512109   Symbol: EA</b>							
2023-08-17	20.0	2,382.68	2023-08-17	2,393.12	4.22 D	-6.22	
<b>ENPHASE ENERGY INC   CUSIP: 29355A107   Symbol: ENPH</b>							
2023-02-02	10.0	2,292.93	Various	2,073.05	0.00	219.88	
2023-03-16	10.0	2,000.57	2023-03-16	2,002.50	0.97 D	-0.96	
2023-04-06	5.0	954.18	2023-04-05	969.17	0.00	-14.99	
2023-06-30	10.0	1,679.87	2023-06-22	1,582.00	0.00	97.87	
<b>Security Totals:</b>		<b>6,927.55</b>		<b>6,626.72</b>		<b>301.80</b>	
<b>ETSY INC COM   CUSIP: 29786A106   Symbol: ETSY</b>							
2023-08-30	75.0	5,571.43	2023-08-30	5,606.53	35.10 D	0.00	
2023-09-06	25.0	1,811.22	2023-09-05	1,850.30	39.08 D	0.00	
2023-09-07	75.0	5,247.41	2023-09-07	5,369.15	121.74 D	0.00	
2023-09-14	25.0	1,729.47	2023-09-07	1,804.11	0.00	-74.64	
2023-09-29	12.0	783.58	2023-09-12	807.39	0.00	-23.81	
2023-10-17	13.0	902.18	2023-09-12	874.67	0.00	27.51	
<b>Security Totals:</b>		<b>16,045.29</b>		<b>16,312.15</b>		<b>-70.94</b>	
<b>EVGO INC CLASS A COMMON STOCK   CUSIP: 30052F100   Symbol: EVGO</b>							
2023-03-31	100.0	802.98	2023-03-31	775.92	0.00	27.06	
<b>META PLATFORMS INC CLASS A COMMON STOCK   CUSIP: 30303M102   Symbol: META</b>							
2023-03-15	10.0	1,918.87	2023-03-15	1,926.70	0.00	-7.83	
<b>FAZE HOLDINGS INC COMMON STOCK   CUSIP: 31423J102   Symbol: FAZE</b>							
2023-09-25	1000.0	207.46	2023-09-25	256.60	0.00	-49.14	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.



Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>FEDEX CORP   CUSIP: 31428X106   Symbol: FDX</b>							
2023-09-25	10.0	2,643.64	2023-09-21	2,620.00	0.00	23.64	
<b>FIRST REPUBLIC BANK SAN FRANCISCO CALIF NEW COM   CUSIP: 33616C100   Symbol: FRCB</b>							
2023-03-16	50.0	1,389.47	2023-03-16	1,342.50	0.00	46.97	
<b>FORD MOTOR CO PAR \$0.01   CUSIP: 345370860   Symbol: F</b>							
2023-03-30	100.0	1,232.06	2023-03-30	1,237.92	0.00	-5.86	
2023-06-02	50.0	621.48	2023-06-01	603.87	0.00	17.61	
<b>Security Totals:</b>		<b>1,853.54</b>		<b>1,841.79</b>		<b>11.75</b>	
<b>FRESHWORKS INC CLASS A COMMON STOCK   CUSIP: 358054104   Symbol: FRSH</b>							
2023-05-08	50.0	677.49	2023-05-05	650.50	0.00	26.99	
<b>FUBOTV INC COMMON STOCK   CUSIP: 35953D104   Symbol: FUBO</b>							
2023-01-11	250.0	479.94	2023-01-11	496.25	6.52 D	-9.79	
2023-01-30	100.0	239.98	2023-01-30	256.02	0.00	-16.04	
<b>Security Totals:</b>		<b>719.92</b>		<b>752.27</b>		<b>-25.83</b>	
<b>GAMESTOP CORP CLASS A   CUSIP: 36467W109   Symbol: GME</b>							
2023-02-06	50.0	1,111.96	2023-02-06	1,124.50	0.00	-12.54	
<b>GENERAL MOTORS COMPANY   CUSIP: 37045V100   Symbol: GM</b>							
2023-03-06	25.0	1,020.48	2023-03-06	1,021.25	0.00	-0.77	
2023-06-13	50.0	1,847.97	2023-06-13	1,847.49	0.00	0.48	
2023-08-09	25.0	917.06	2023-07-27	980.97	0.00	-63.91	
<b>Security Totals:</b>		<b>3,785.51</b>		<b>3,849.71</b>		<b>-64.20</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

# Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

### 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
GITLAB INC CLASS A COMMON STOCK   CUSIP: 37637K108   Symbol: GTLB							
2023-03-22	50.0	1,828.47	2023-03-22	1,835.00	6.53 D	0.00	
2023-03-27	25.0	848.73	2023-03-27	858.02	9.29 D	0.00	
2023-03-28	25.0	830.73	2023-03-27	858.01	27.28 D	0.00	
2023-03-29	25.0	838.73	2023-03-29	839.29	0.56 D	0.00	
2023-03-30	50.0	1,671.96	2023-03-30	1,715.34	43.38 D	0.00	
2023-04-06	25.0	816.73	2023-04-05	866.98	0.00	-50.25	
2023-04-11	25.0	829.48	2023-04-11	826.83	0.00	2.65	
2023-07-19	25.0	1,309.22	2023-07-19	1,319.00	0.00	-9.78	
<b>Security Totals:</b>		<b>8,974.05</b>		<b>9,118.47</b>		<b>-57.38</b>	
***HDFC BK LTD ADR REPSTG 3 SHS   CUSIP: 40415F101   Symbol: HDB							
2023-07-14	20.0	1,342.17	2023-07-12	1,344.96	0.00	-2.79	
HOSTESS BRANDS INC CLASS A COMMON STOCK   CUSIP: 44109J106   Symbol: #H051401							
2023-01-20	50.0	1,107.96	2023-01-19	1,106.00	0.00	1.96	
INVESTMENT MANAGERS SER TR II AXS 1.25X NVDA BEAR DAILY ETF   CUSIP: 46144X420   Symbol: NVDS							
2023-10-05	25.0	959.48	2023-10-04	979.75	20.27 D	0.00	
2023-10-06	25.0	949.98	2023-10-05	979.26	0.00	-29.28	
<b>Security Totals:</b>		<b>1,909.46</b>		<b>1,959.01</b>		<b>-29.28</b>	
INVESTMENT MANAGERS SER TR II AXS 1.25X NVDA BEAR DAILY ETF   CUSIP: 46144X842   Symbol: #A059253							
2023-07-20	200.0	1,533.95	2023-07-14	1,447.99	0.00	85.96	
INVESTMENT MANAGERS SER TR II AXS TSLA BEAR DAILY ETF   CUSIP: 46144X867   Symbol: TSLQ							
2023-06-20	25.0	810.98	2023-06-20	811.75	0.00	-0.77	
2023-08-30	25.0	801.76	2023-08-30	813.00	0.00	-11.24	
2023-09-01	25.0	838.53	2023-08-30	813.00	0.00	25.53	
2023-10-04	25.0	787.98	2023-10-04	787.50	0.00	0.48	
<b>Security Totals:</b>		<b>3,239.25</b>		<b>3,225.25</b>		<b>14.00</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>ISHARES S&amp;P GLOBAL CLEAN ENERGY INDEX FUND   CUSIP: 464288224   Symbol: ICLN</b>							
2023-01-27	50.0	1,021.71	2023-01-26	1,039.33	0.00	-17.62	
2023-06-21	50.0	911.49	2023-06-20	917.50	6.01 D	0.00	
2023-06-30	50.0	909.09	2023-06-28	909.92	0.00	-0.83	
<b>Security Totals:</b>		<b>2,842.29</b>		<b>2,866.75</b>		<b>-18.45</b>	
<b>ISHARES TRUST ISHARES CYBERSECURITY AND TECH ETF   CUSIP: 46435U135   Symbol: IHAK</b>							
2023-01-09	50.0	1,630.45	2023-01-06	1,629.75	0.00	0.70	
<b>***JD.COM INC SPONSORED ADR REPSTG COM CL A   CUSIP: 47215P106   Symbol: JD</b>							
2023-06-06	100.0	3,618.96	2023-06-06	3,631.99	0.00	-13.03	
2023-07-27	25.0	958.29	2023-07-26	973.46	0.00	-15.17	
2023-08-30	50.0	1,696.50	2023-08-30	1,699.00	0.00	-2.50	
<b>Security Totals:</b>		<b>6,273.75</b>		<b>6,304.45</b>		<b>-30.70</b>	
<b>***JMIA TECHNOLOGIES AG AMERICAN DEPOSITARY SHARES ECH REPRESENTING TWO ORDINAR   CUSIP: 48138M105   Symbol: JMIA</b>							
2023-01-11	350.0	1,338.41	2023-01-11	1,362.98	7.03 D	-17.54	
<b>ESTEE LAUDER COMPANIES INC CL A   CUSIP: 518439104   Symbol: EL</b>							
2023-07-27	10.0	1,746.77	2023-07-27	1,785.00	0.00	-38.23	
2023-09-28	10.0	1,389.77	2023-09-27	1,401.88	0.00	-12.11	
<b>Security Totals:</b>		<b>3,136.54</b>		<b>3,186.88</b>		<b>-50.34</b>	
<b>***LIGHTSPEED COMM INC SUB VTG SHS   CUSIP: 53229C107   Symbol: LSPD</b>							
2023-08-30	100.0	1,565.97	2023-08-30	1,573.00	3.51 D	-3.52	
2023-09-29	50.0	709.98	2023-09-15	776.51	0.00	-66.53	
<b>Security Totals:</b>		<b>2,275.95</b>		<b>2,349.51</b>		<b>-70.05</b>	
<b>***LITHIUM AMERICAS CORP COM   CUSIP: 53680Q207   Symbol: #L022603</b>							
2023-09-11	100.0	1,938.98	Various	1,944.94	0.00	-5.96	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>LUCID GROUP INC COMMON STOCK   CUSIP: 549498103   Symbol: LCID</b>							
2023-01-04	50.0	324.98	2022-12-28	318.75	0.00	6.23	
2023-01-17	100.0	799.97	2023-01-17	812.00	12.03 D	0.00	
2023-01-27	250.0	3,232.87	Various	2,736.03	0.00	496.84	
2023-02-02	50.0	616.47	2023-01-27	670.00	53.53 D	0.00	
2023-05-26	100.0	786.98	Various	1,204.38	417.40 D	-0.00	
2023-07-11	200.0	1,627.95	Various	1,861.35	267.51 D	34.11	
2023-10-06	200.0	1,030.06	Various	1,652.00	0.00	-621.94	
<b>Security Totals:</b>		<b>8,419.28</b>		<b>9,254.51</b>		<b>-84.76</b>	
<b>LUMEN TECHNOLOGIES INC COMMON STOCK   CUSIP: 550241103   Symbol: LUMN</b>							
2023-02-08	100.0	400.99	2023-02-08	400.00	0.00	0.99	
<b>LUMINAR TECHNOLOGIES INC CLASS A COMMON STOCK   CUSIP: 550424105   Symbol: LAZR</b>							
2023-02-22	100.0	706.97	2023-02-22	681.86	0.00	25.11	
<b>LYFT INC CLASS A COMMON STOCK   CUSIP: 55087P104   Symbol: LYFT</b>							
2023-07-13	50.0	576.98	2023-07-12	591.00	0.00	-14.02	
<b>MADRIGAL PHARMACEUTICALS INC COM   CUSIP: 558868105   Symbol: MDGL</b>							
2023-01-31	1.0	304.92	2023-01-27	293.16	0.00	11.76	
<b>MAISON SOLUTIONS INC CLASS A COMMON STOCK   CUSIP: 560667107   Symbol: MSS</b>							
2023-10-05	100.0	711.98	2023-10-05	618.00	0.00	93.98	
<b>MARATHON DIGITAL HLDGS INC COMMON STOCK   CUSIP: 565788106   Symbol: MARA</b>							
2023-01-19	100.0	699.97	2023-01-19	709.00	0.00	-9.03	
2023-04-26	100.0	1,005.46	2023-04-25	908.99	0.00	96.47	
2023-06-20	100.0	1,117.98	2023-06-20	1,062.00	0.00	55.98	
2023-08-09	100.0	1,600.21	2023-08-04	1,666.89	24.97 D	-41.71	
2023-11-03	100.0	972.98	Various	1,300.42	0.00	-327.44	
2023-11-09	100.0	1,019.98	2023-09-27	827.00	0.00	192.98	
<b>Security Totals:</b>		<b>6,416.58</b>		<b>6,474.30</b>		<b>-32.75</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>MATCH GROUP INC COMMON STOCK   CUSIP: 57667L107   Symbol: MTCH</b>							
2023-05-03	50.0	1,828.05	2023-05-03	1,824.00	0.00	4.05	
2023-05-10	25.0	794.77	2023-05-03	868.40	73.63 D	0.00	
2023-05-22	25.0	822.48	2023-05-09	871.63	0.00	-49.15	
<b>Security Totals:</b>		<b>3,445.30</b>		<b>3,564.03</b>		<b>-45.10</b>	
<b>MEDICAL PROPERTIES TRUST INC   CUSIP: 58463J304   Symbol: MPW</b>							
2023-08-18	100.0	660.01	2023-08-18	676.00	0.00	-15.99	
<b>MICRON TECHNOLOGY INC   CUSIP: 595112103   Symbol: MU</b>							
2023-04-06	25.0	1,431.97	2023-04-05	1,417.50	0.00	14.47	
<b>MULLEN AUTOMOTIVE INC COMMON STOCK   CUSIP: 62526P109   Symbol: #M070854</b>							
2023-03-09	1000.0	182.04	2023-03-06	225.00	42.96 D	-0.00	
2023-03-23	2500.0	289.11	2023-03-23	342.96	0.00	-53.85	
<b>Security Totals:</b>		<b>471.15</b>		<b>567.96</b>		<b>-53.85</b>	
<b>MULLEN AUTOMOTIVE INC COMMON STOCK   CUSIP: 62526P406   Symbol: MULN</b>							
2023-12-21	125.0	1,947.46	2023-12-21	1,889.75	0.00	57.71	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
***NIO INC AMERICAN DEPOSITARY SHARES ECH RPRSNTNG ONE CL A ORD SHARE   CUSIP: 62914V106   Symbol: NIO							
2023-01-10	50.0	558.22	Various	609.35	51.13 D	0.00	
2023-01-11	100.0	1,136.03	Various	1,131.36	15.13 D	19.80	
2023-01-13	50.0	573.48	2023-01-12	725.54	152.06 D	0.00	
2023-01-18	100.0	1,129.96	2023-01-18	1,311.64	181.68 D	-0.00	
2023-01-19	50.0	549.97	2023-01-19	657.76	107.79 D	0.00	
2023-01-23	50.0	599.97	2023-01-19	605.88	26.09 D	20.18	
2023-02-16	50.0	527.50	2023-01-24	705.79	178.29 D	0.00	
2023-03-31	200.0	2,070.96	Various	2,387.79	240.90 D	-75.93	
2023-04-10	50.0	443.48	2023-04-04	491.85	48.37 D	-0.00	
2023-05-01	50.0	395.98	2023-05-01	445.37	49.39 D	0.00	
2023-05-17	100.0	796.98	2023-05-10	860.39	63.41 D	0.00	
2023-05-18	100.0	791.98	2023-05-17	866.40	74.42 D	0.00	
2023-06-13	100.0	870.06	2023-06-13	948.28	78.22 D	-0.00	
2023-06-23	100.0	863.99	2023-06-22	972.21	0.00	-108.22	
<b>Security Totals:</b>		<b>11,308.56</b>		<b>12,719.61</b>		<b>-144.17</b>	
NETFLIX INC COM   CUSIP: 64110L106   Symbol: NFLX							
2023-02-14	2.0	702.20	2023-02-10	696.58	0.00	5.62	
NEWMONT CORPORATION COMMON STOCK   CUSIP: 651639106   Symbol: NEM							
2023-09-15	50.0	2,018.57	2023-08-28	1,952.87	0.00	65.70	
NOVABAY PHARMACEUTICALS INC COMMON STOCK   CUSIP: 66987P300   Symbol: NBY							
2023-09-11	2000.0	1,539.89	2023-09-11	2,026.50	0.00	-486.61	
NVIDIA CORP   CUSIP: 67066G104   Symbol: NVDA							
2023-02-28	1.0	236.51	2023-02-24	230.74	0.00	5.77	
2023-05-05	2.0	561.56	2023-05-03	558.19	0.00	3.37	
2023-08-29	280.0	136,114.60	2023-08-29	135,940.17	140.07 D	314.50	
<b>Security Totals:</b>		<b>136,912.67</b>		<b>136,729.10</b>		<b>323.64</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
***NUTRIEN LTD COM   CUSIP: 67077M108   Symbol: NTR							
2023-08-02	10.0	665.91	2023-08-01	668.20	0.00	-2.29	
OCCIDENTAL PETE CORP   CUSIP: 674599105   Symbol: OXY							
2023-03-16	25.0	1,411.22	2023-03-16	1,423.00	11.78 D	0.00	
2023-04-03	25.0	1,644.56	2023-03-31	1,578.78	0.00	65.78	
2023-06-22	10.0	566.78	2023-06-20	570.80	0.00	-4.02	
<b>Security Totals:</b>		<b>3,622.56</b>		<b>3,572.58</b>		<b>61.76</b>	
OKTA INC CLASS A COMMON STOCK   CUSIP: 679295105   Symbol: OKTA							
2023-07-19	20.0	1,401.17	2023-07-19	1,406.80	0.00	-5.63	
BEYOND INC COMMON STOCK   CUSIP: 690370101   Symbol: BYON							
2023-09-07	50.0	995.99	2023-09-07	1,003.50	0.00	-7.51	
PALANTIR TECHNOLOGIES INC CLASS A COMMON STOCK   CUSIP: 69608A108   Symbol: PLTR							
2023-06-14	100.0	1,607.97	2023-06-13	1,575.00	0.00	32.97	
2023-08-23	100.0	1,590.97	2023-08-23	1,587.00	0.00	3.97	
2023-08-29	100.0	1,532.97	2023-08-29	1,513.50	0.00	19.47	
2023-09-13	50.0	788.98	2023-09-13	786.50	0.00	2.48	
<b>Security Totals:</b>		<b>5,520.89</b>		<b>5,462.00</b>		<b>58.89</b>	
PAYPAL HOLDINGS INC COM   CUSIP: 70450Y103   Symbol: PYPL							
2023-01-04	15.0	1,137.71	2022-12-29	1,020.00	0.00	117.71	
2023-01-05	10.0	772.47	2022-12-29	680.00	0.00	92.47	
2023-08-03	25.0	1,624.99	2023-08-03	1,641.50	16.51 D	0.00	
2023-08-15	25.0	1,550.47	2023-08-11	1,566.26	15.79 D	0.00	
2023-08-18	25.0	1,481.24	2023-08-16	1,514.49	0.00	-33.25	
2023-11-02	25.0	1,379.97	2023-10-27	1,258.75	0.00	121.22	
2023-11-03	25.0	1,401.97	2023-10-27	1,258.75	0.00	143.22	
2023-11-30	25.0	1,437.47	2023-11-28	1,433.50	0.00	3.97	
<b>Security Totals:</b>		<b>10,786.29</b>		<b>10,373.25</b>		<b>445.34</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>PENN ENTERTAINMENT INC COMMON STOCK   CUSIP: 707569109   Symbol: PENN</b>							
2023-08-09	50.0	1,443.47	2023-08-09	1,380.50	0.00	62.97	
<b>PFIZER INC   CUSIP: 717081103   Symbol: PFE</b>							
2023-03-28	25.0	1,000.73	2023-03-28	1,004.50	3.77 D	0.00	
2023-03-29	25.0	1,001.48	2023-03-28	1,008.50	7.02 D	0.00	
2023-04-04	25.0	1,034.23	2023-04-03	1,025.02	0.00	9.21	
<b>Security Totals:</b>		<b>3,036.44</b>		<b>3,038.02</b>		<b>9.21</b>	
<b>***PDD HOLDINGS INC AMERICAN DEPOSITARY SHARES   CUSIP: 722304102   Symbol: PDD</b>							
2023-04-04	25.0	1,831.22	2023-04-04	1,831.69	0.00	-0.47	
2023-07-13	25.0	2,023.97	Various	1,739.28	0.00	284.69	
2023-07-19	12.0	919.43	2023-07-19	932.88	0.00	-13.45	
2023-07-28	13.0	1,153.34	2023-07-19	1,010.62	0.00	142.72	
<b>Security Totals:</b>		<b>5,927.96</b>		<b>5,514.47</b>		<b>413.49</b>	
<b>PLUG POWER INC   CUSIP: 72919P202   Symbol: PLUG</b>							
2023-01-19	50.0	765.72	2023-01-19	768.00	0.00	-2.28	
2023-03-02	100.0	1,258.97	2023-03-02	1,254.00	0.00	4.97	
2023-04-17	50.0	469.48	2023-04-11	471.27	0.00	-1.79	
2023-06-13	50.0	542.99	2023-06-08	469.48	0.00	73.51	
2023-07-13	25.0	308.98	2023-06-30	250.00	0.00	58.98	
2023-08-17	75.0	640.48	Various	675.00	0.00	-34.52	
<b>Security Totals:</b>		<b>3,986.62</b>		<b>3,887.75</b>		<b>98.87</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.



Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>PROSHARES TR PROSHARES ULTRAPRO SHORT QQQ   CUSIP: 74347G432   Symbol: SQQQ</b>							
2023-02-10	37.0	1,368.58	2023-02-06	1,307.21	0.00	61.37	
2023-02-13	38.0	1,342.87	Various	1,298.54	0.00	44.33	
2023-03-16	25.0	869.73	2023-03-16	879.00	9.27 D	0.00	
2023-04-05	25.0	774.98	2023-03-30	784.50	5.71 D	-3.81	
2023-04-25	7.0	223.42	2023-04-13	211.61	0.00	11.81	
2023-04-27	8.0	231.90	2023-04-13	241.85	0.00	-9.95	
2023-06-26	50.0	1,024.03	2023-06-14	990.50	0.00	33.53	
2023-09-07	50.0	945.23	2023-08-30	903.00	0.00	42.23	
2023-10-09	100.0	1,900.02	2023-10-06	2,035.24	99.24 D	-35.98	
<b>Security Totals:</b>		<b>8,680.76</b>		<b>8,651.45</b>		<b>143.53</b>	
<b>PROSHARES TRUST ULTRAPRO QQQ   CUSIP: 74347X831   Symbol: TQQQ</b>							
2023-01-04	100.0	1,699.95	2023-01-04	1,723.91	0.00	-23.96	
2023-10-06	25.0	861.98	2023-10-05	872.75	0.00	-10.77	
<b>Security Totals:</b>		<b>2,561.93</b>		<b>2,596.66</b>		<b>-34.73</b>	
<b>QUALCOMM INC   CUSIP: 747525103   Symbol: QCOM</b>							
2023-09-07	25.0	2,680.50	2023-09-07	2,678.23	0.00	2.27	
<b>REALPHA TECH CORP COMMON STOCK   CUSIP: 75607T105   Symbol: AIRE</b>							
2023-11-14	50.0	774.98	2023-11-14	676.00	0.00	98.98	
<b>REDFIN CORPORATION COMMON STOCK   CUSIP: 75737F108   Symbol: RDFN</b>							
2023-03-14	100.0	793.98	2023-03-14	803.50	0.00	-9.52	
<b>RIOT PLATFORMS INC COMMON STOCK   CUSIP: 767292105   Symbol: RIOT</b>							
2023-04-26	100.0	1,171.46	2023-04-25	1,084.00	0.00	87.46	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
RIVIAN AUTOMOTIVE INC CLASS A COMMON STOCK   CUSIP: 76954A103   Symbol: RIVN							
2023-03-03	50.0	829.48	2023-03-02	804.50	0.00	24.98	
2023-03-06	50.0	866.02	2023-03-06	853.50	0.00	12.52	
2023-03-09	50.0	749.98	2023-03-08	737.50	0.00	12.48	
2023-03-13	100.0	1,374.97	2023-03-13	1,407.00	32.03 D	0.00	
2023-03-16	100.0	1,336.64	2023-03-16	1,354.35	17.71 D	0.00	
2023-03-22	200.0	2,705.94	Various	2,833.93	127.99 D	0.00	
2023-03-23	50.0	689.98	2023-03-23	736.81	46.83 D	0.00	
2023-03-24	50.0	674.98	2023-03-23	711.95	36.97 D	-0.00	
2023-03-30	50.0	715.59	2023-03-29	743.83	28.24 D	-0.00	
2023-03-31	50.0	764.48	2023-03-30	767.47	2.99 D	-0.00	
2023-04-06	50.0	722.48	2023-04-05	761.58	39.10 D	-0.00	
2023-04-25	100.0	1,207.98	Various	1,331.92	123.94 D	-0.00	
2023-05-09	25.0	353.98	2023-05-09	396.42	42.44 D	0.00	
2023-05-10	25.0	369.98	2023-05-09	396.41	26.43 D	-0.00	
2023-05-22	100.0	1,378.97	2023-05-22	1,487.98	68.39 D	-40.62	
2023-06-20	50.0	772.48	2023-06-20	848.14	0.00	-75.66	
2023-10-05	50.0	942.98	2023-10-05	964.38	0.00	-21.40	
<b>Security Totals:</b>		<b>16,456.91</b>		<b>17,137.67</b>		<b>-87.70</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
ROBLOX CORPORATION CLASS A COMMON STOCK   CUSIP: 771049103   Symbol: RBLX							
2023-05-08	25.0	897.73	2023-05-08	902.75	5.02 D	0.00	
2023-05-26	25.0	1,011.23	2023-05-26	1,014.02	2.79 D	0.00	
2023-06-22	25.0	962.80	2023-06-21	978.01	0.00	-15.21	
2023-08-09	25.0	749.98	2023-08-09	750.19	0.21 D	-0.00	
2023-08-14	50.0	1,508.97	Various	1,500.46	0.00	8.51	
2023-08-29	25.0	700.36	2023-08-17	707.00	6.64 D	0.00	
2023-09-06	100.0	2,882.96	Various	2,810.57	0.00	72.39	
2023-09-13	50.0	1,343.47	2023-09-13	1,352.50	9.03 D	0.00	
2023-09-29	25.0	709.48	2023-09-15	701.51	0.00	7.97	
2023-10-02	25.0	737.48	2023-09-20	661.45	0.00	76.03	
<b>Security Totals:</b>		<b>11,504.46</b>		<b>11,378.46</b>		<b>149.69</b>	
ROKU INC CLASS A COMMON STOCK   CUSIP: 77543R102   Symbol: ROKU							
2023-05-30	25.0	1,470.97	2023-05-26	1,395.75	0.00	75.22	
SPDR SER TR S&P REGL BKG ETF   CUSIP: 78464A698   Symbol: KRE							
2023-03-14	30.0	1,458.17	Various	1,463.55	7.66 D	2.28	
***SCHLUMBERGER LTD   CUSIP: 806857108   Symbol: SLB							
2023-04-03	25.0	1,310.34	2023-03-31	1,230.75	0.00	79.59	
***SEA LIMITED AMERICAN DEPOSITARY SHS EACH RPRSNTNG ONE CL A ORD SHARE   CUSIP: 81141R100   Symbol: SE							
2023-05-22	25.0	1,708.47	2023-05-22	1,709.00	0.00	-0.53	
SHIFTPIXY INC COMMON STOCK   CUSIP: 82452L302   Symbol: #S088606							
2023-03-23	100.0	396.98	2023-03-23	452.00	0.00	-55.02	
***SHOPIFY INC CL A   CUSIP: 82509L107   Symbol: SHOP							
2023-08-23	50.0	2,849.20	Various	2,915.92	61.42 D	-5.30	
SILO PHARMA INC COMMON STOCK   CUSIP: 82711P201   Symbol: SILO							
2023-08-08	100.0	255.98	2023-08-08	276.00	0.00	-20.02	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>SILVERGATE CAPITAL CORPORATION CLASS A COMMON STOCK   CUSIP: 82837P408   Symbol: SICP</b>							
2023-01-04	100.0	1,796.94	2023-01-04	1,836.78	39.84 D	0.00	
2023-01-31	200.0	2,799.90	2023-01-31	2,851.34	0.00	-51.44	
<b>Security Totals:</b>		<b>4,596.84</b>		<b>4,688.12</b>		<b>-51.44</b>	
<b>SNAP INC CLASS A COMMON STOCK   CUSIP: 83304A106   Symbol: SNAP</b>							
2023-04-28	100.0	851.98	2023-04-28	859.00	0.00	-7.02	
<b>SNOWFLAKE INC CLASS A COMMON STOCK   CUSIP: 833445109   Symbol: SNOW</b>							
2023-08-22	7.0	1,062.37	2023-08-22	1,081.78	19.41 D	-0.00	
2023-08-24	10.0	1,489.67	2023-08-24	1,522.91	0.00	-33.24	
<b>Security Totals:</b>		<b>2,552.04</b>		<b>2,604.69</b>		<b>-33.24</b>	
<b>SOFI TECHNOLOGIES INC COMMON STOCK   CUSIP: 83406F102   Symbol: SOFI</b>							
2023-06-07	100.0	775.98	2023-06-07	775.99	0.01 D	0.00	
2023-06-16	100.0	899.98	2023-06-15	911.00	0.00	-11.02	
<b>Security Totals:</b>		<b>1,675.96</b>		<b>1,686.99</b>		<b>-11.02</b>	
<b>SPIRIT AIRLINES INC COMMON STOCK   CUSIP: 848577102   Symbol: SAVE</b>							
2023-03-06	25.0	420.23	2023-03-06	415.18	0.00	5.05	
<b>BLOCK INC CLASS A COMMON STOCK   CUSIP: 852234103   Symbol: SQ</b>							
2023-03-22	20.0	1,489.17	2023-03-22	1,486.60	0.00	2.57	
2023-03-23	25.0	1,613.14	2023-03-23	1,454.05	0.00	159.09	
2023-05-22	200.0	11,973.38	2023-05-22	11,947.99	0.00	25.39	
2023-05-30	25.0	1,486.72	2023-05-26	1,495.75	9.03 D	0.00	
2023-06-01	25.0	1,546.72	2023-06-01	1,553.28	0.00	-6.56	
2023-08-04	20.0	1,287.57	2023-08-04	1,300.00	12.43 D	-0.00	
2023-08-09	20.0	1,269.41	2023-08-04	1,292.63	23.22 D	-0.00	
2023-08-24	25.0	1,399.72	2023-08-24	1,424.47	0.00	-24.75	
<b>Security Totals:</b>		<b>22,065.83</b>		<b>21,954.77</b>		<b>155.74</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>WISA TECHNOLOGIES INC COMMON STOCK   CUSIP: 86633R302   Symbol: WISA</b>							
2023-01-30	50.0	544.47	2023-01-30	550.50	0.00	-6.03	
<b>SUNRUN INC COM   CUSIP: 86771W105   Symbol: RUN</b>							
2023-03-31	50.0	1,016.07	2023-03-30	937.75	0.00	78.32	
2023-04-04	50.0	1,002.98	2023-04-03	1,006.46	3.48 D	-0.00	
2023-04-10	50.0	935.48	2023-04-05	951.23	0.00	-15.75	
<b>Security Totals:</b>		<b>2,954.53</b>		<b>2,895.44</b>		<b>62.57</b>	
<b>***TAIWAN SEMICONDUCTOR MFG CO LTD-SPONSORED ADR REPSTG 5 COM   CUSIP: 874039100   Symbol: TSM</b>							
2023-02-17	25.0	2,249.68	2023-02-17	2,251.25	1.57 D	-0.00	
2023-02-21	15.0	1,332.85	2023-02-17	1,345.84	12.99 D	-0.00	
2023-02-22	20.0	1,746.35	Various	1,776.59	30.24 D	-0.00	
2023-02-23	10.0	897.48	2023-02-22	891.36	0.79 D	6.91	
2023-02-28	10.0	869.48	2023-02-24	891.32	21.84 D	-0.00	
2023-03-02	25.0	2,194.57	2023-03-02	2,223.22	28.65 D	0.00	
2023-03-06	25.0	2,249.72	2023-03-06	2,286.07	0.00	-36.35	
<b>Security Totals:</b>		<b>11,540.13</b>		<b>11,665.65</b>		<b>-29.44</b>	
<b>TELADOC HEALTH INC COMMON STOCK   CUSIP: 87918A105   Symbol: TDOC</b>							
2023-05-26	100.0	2,298.97	2023-05-26	2,316.99	18.02 D	0.00	
2023-05-30	50.0	1,142.98	2023-05-26	1,150.01	7.03 D	0.00	
2023-06-16	50.0	1,253.53	2023-06-15	1,257.01	0.00	-3.48	
2023-06-21	50.0	1,214.61	2023-06-16	1,244.03	0.00	-29.42	
<b>Security Totals:</b>		<b>5,910.09</b>		<b>5,968.04</b>		<b>-32.90</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
TESLA INC COMMON STOCK   CUSIP: 88160R101   Symbol: TSLA							
2023-01-23	25.0	3,524.90	Various	4,188.73	502.98 D	-160.85	
2023-01-25	20.0	2,976.80	Various	2,911.68	79.45 D	144.57	
2023-01-26	5.0	782.07	2022-12-20	729.20	0.00	52.87	
2023-01-27	5.0	888.51	2022-12-20	692.25	0.00	196.26	
2023-02-02	10.0	1,919.44	2022-12-22	1,292.70	0.00	626.74	
2023-02-03	5.0	990.76	2022-12-22	629.85	0.00	360.91	
2023-02-09	5.0	1,056.01	2022-12-22	622.90	0.00	433.11	
2023-02-23	5.0	1,017.48	2023-02-23	1,099.35	81.87 D	0.00	
2023-03-01	5.0	1,000.03	2023-03-01	1,112.87	112.84 D	0.00	
2023-03-02	20.0	3,784.94	Various	4,145.57	360.63 D	0.00	
2023-03-03	10.0	1,955.81	2023-03-02	2,081.81	151.96 D	25.96	
2023-03-06	10.0	1,953.17	2023-03-06	2,137.96	184.79 D	0.00	
2023-03-10	18.0	3,129.54	Various	3,786.36	656.82 D	-0.00	
2023-03-13	5.0	865.44	2023-03-10	1,078.15	212.71 D	-0.00	
2023-03-16	10.0	1,827.01	2023-03-13	2,092.09	277.89 D	12.81	
2023-03-30	5.0	975.18	2023-03-28	1,195.18	220.00 D	-0.00	
2023-03-31	5.0	1,021.83	2023-03-28	1,099.91	99.69 D	21.61	
2023-04-03	15.0	2,943.64	2023-04-03	3,509.74	566.10 D	0.00	
2023-04-05	5.0	924.98	2023-04-05	1,126.27	201.29 D	0.00	
2023-04-19	1.0	180.53	2023-04-06	230.99	50.46 D	-0.00	
2023-05-23	5.0	927.48	Various	1,126.44	198.96 D	-0.00	
2023-06-02	5.0	1,060.24	Various	931.86	0.00	128.38	
2023-06-09	25.0	6,198.67	2023-06-08	6,123.76	0.00	74.91	
2023-08-29	35.0	8,865.24	2023-08-29	8,801.25	0.00	63.99	
2023-10-25	5.0	1,095.68	2023-10-20	1,071.65	0.00	24.03	
2023-11-02	5.0	1,084.63	2023-10-20	1,071.65	0.00	12.98	
2023-11-14	5.0	1,199.98	2023-10-20	1,071.65	0.00	128.33	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>TESLA INC COMMON STOCK   CUSIP: 88160R101   Symbol: TSLA</b>							
2023-12-15	10.0	2,519.49	2023-10-20	2,143.30	0.00	376.19	
2023-12-22	5.0	1,286.72	2023-10-26	1,032.59	0.00	254.13	
<b>Security Totals:</b>		<b>57,956.20</b>		<b>59,137.71</b>		<b>2,776.93</b>	
<b>TRADE DESK INC (THE) CLASS A COMMON STOCK   CUSIP: 88339J105   Symbol: TTD</b>							
2023-08-24	15.0	1,134.91	2023-08-24	1,135.20	0.00	-0.29	
<b>3M COMPANY   CUSIP: 88579Y101   Symbol: MMM</b>							
2023-02-02	5.0	598.47	2023-01-31	571.30	0.00	27.17	
2023-02-22	10.0	1,089.92	2023-02-21	1,098.10	8.18 D	0.00	
2023-02-24	30.0	3,233.14	Various	3,282.75	28.37 D	-21.24	
<b>Security Totals:</b>		<b>4,921.53</b>		<b>4,952.15</b>		<b>5.93</b>	
<b>TILRAY BRANDS INC COMMON STOCK   CUSIP: 88688T100   Symbol: TLR</b>							
2023-08-30	3500.0	9,784.92	2023-08-30	9,693.74	4.49 D	95.67	
<b>TOAST INC CLASS A COMMON STOCK   CUSIP: 888787108   Symbol: TOST</b>							
2023-06-16	50.0	1,085.48	2023-06-15	1,103.25	0.00	-17.77	
<b>TWILIO INC CL A   CUSIP: 90138F102   Symbol: TWLO</b>							
2023-07-14	15.0	1,031.38	2023-07-13	1,034.40	3.02 D	0.00	
2023-08-18	50.0	2,909.45	Various	3,051.07	141.62 D	-0.00	
2023-08-22	25.0	1,500.22	2023-08-18	1,544.07	0.00	-43.85	
<b>Security Totals:</b>		<b>5,441.05</b>		<b>5,629.54</b>		<b>-43.85</b>	
<b>U S GOLD CORP COMMON STOCK   CUSIP: 90291C201   Symbol: USAU</b>							
2023-07-18	2.0	8.78	2023-07-17	8.40	0.00	0.38	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
UBER TECHNOLOGIES INC COMMON STOCK   CUSIP: 90353T100   Symbol: UBER							
2023-03-28	50.0	1,512.48	2023-03-24	1,536.00	23.52 D	0.00	
2023-03-30	50.0	1,577.97	2023-03-29	1,543.52	0.00	34.45	
2023-06-07	50.0	1,940.96	2023-06-07	1,942.00	0.00	-1.04	
2023-07-27	50.0	2,371.97	2023-07-27	2,400.00	28.03 D	-0.00	
2023-07-31	25.0	1,225.73	2023-07-28	1,189.52	0.00	36.21	
2023-08-02	25.0	1,168.48	2023-07-28	1,189.51	0.00	-21.03	
<b>Security Totals:</b>		<b>9,797.59</b>		<b>9,800.55</b>		<b>48.59</b>	
UIPATH INC CLASS A COMMON STOCK   CUSIP: 90364P105   Symbol: PATH							
2023-06-14	50.0	882.48	2023-06-13	896.00	0.00	-13.52	
UNICYCIVE THERAPEUTICS INC COMMON STOCK   CUSIP: 90466Y103   Symbol: UNCY							
2023-03-06	100.0	128.98	2023-03-06	125.00	0.00	3.98	
UNITEDHEALTH GROUP INC   CUSIP: 91324P102   Symbol: UNH							
2023-02-07	5.0	2,372.23	2023-02-07	2,370.65	0.00	1.58	
UPSTART HOLDINGS INC COMMON STOCK   CUSIP: 91680M107   Symbol: UPST							
2023-01-31	100.0	1,848.42	2023-01-31	1,873.54	5.54 D	-19.58	
2023-05-08	25.0	337.23	2023-05-08	333.50	0.00	3.73	
2023-07-13	25.0	1,186.23	2023-07-13	1,136.50	0.00	49.73	
2023-08-14	25.0	821.48	2023-08-10	814.00	0.00	7.48	
2023-08-30	1950.0	65,173.64	Various	65,490.81	253.01 D	-64.16	
<b>Security Totals:</b>		<b>69,367.00</b>		<b>69,648.35</b>		<b>-22.80</b>	
VANECK ETF TRUST VANECK GOLD MINERS ETF   CUSIP: 92189F106   Symbol: GDV							
2023-03-10	50.0	1,375.47	2023-02-22	1,381.27	0.00	-5.80	
VICOR CORP   CUSIP: 925815102   Symbol: VICR							
2023-05-25	50.0	2,697.96	2023-05-25	2,601.00	0.00	96.96	
VIRGIN GALACTIC HLDGS INC COMMON STOCK   CUSIP: 92766K106   Symbol: SPCE							
2023-06-16	100.0	568.96	2023-06-15	630.00	0.00	-61.04	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.



Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>WAYFAIR INC CL A   CUSIP: 94419L101   Symbol: W</b>							
2023-02-27	50.0	1,923.21	2023-02-24	1,821.00	0.00	102.21	
2023-09-14	25.0	1,785.37	2023-09-14	1,773.25	0.00	12.12	
<b>Security Totals:</b>		<b>3,708.58</b>		<b>3,594.25</b>		<b>114.33</b>	
<b>WESTERN ALLIANCE BANCORPORATION   CUSIP: 957638109   Symbol: WAL</b>							
2023-05-08	25.0	720.00	2023-05-08	726.38	0.00	-6.38	
<b>WHEELER REAL ESTATE INVESTMENT TRUST INC COMMON STOCK   CUSIP: 963025887   Symbol: WHLR</b>							
2023-09-07	50.0	447.48	2023-09-07	448.83	0.00	-1.35	
<b>WORKHORSE GROUP INC COM   CUSIP: 98138J206   Symbol: WKHS</b>							
2023-09-13	500.0	368.67	2023-09-12	395.00	0.00	-26.33	
<b>XOMETRY INC CLASS A COMMON STOCK   CUSIP: 98423F109   Symbol: XMTR</b>							
2023-05-11	25.0	449.50	2023-05-11	471.38	0.00	-21.88	
<b>ZOOMINFO TECHNOLOGIES INC COMMON STOCK   CUSIP: 98980F104   Symbol: ZI</b>							
2023-02-02	25.0	722.97	2023-01-31	700.00	0.00	22.97	
2023-03-08	50.0	1,227.98	2023-03-08	1,229.25	1.27 D	0.00	
2023-03-09	50.0	1,224.98	2023-03-08	1,228.26	3.28 D	0.00	
2023-03-22	50.0	1,195.99	2023-03-22	1,211.28	0.00	-15.29	
2023-05-05	50.0	1,040.98	2023-05-05	1,052.00	11.02 D	0.00	
2023-05-08	50.0	1,017.98	2023-05-08	1,035.52	0.00	-17.54	
2023-07-11	50.0	1,326.98	2023-06-30	1,271.95	0.00	55.03	
2023-08-02	50.0	942.06	2023-08-02	900.00	0.00	42.06	
2023-08-09	50.0	918.56	2023-08-07	921.50	2.94 D	-0.00	
2023-08-22	50.0	879.00	2023-08-18	880.94	0.00	-1.94	
<b>Security Totals:</b>		<b>10,497.48</b>		<b>10,430.70</b>		<b>85.29</b>	
<b>ZOOM VIDEO COMMUNICATIONS INC CLASS A COMMON STOCK   CUSIP: 98980L101   Symbol: ZM</b>							
2023-01-31	25.0	1,835.19	2023-01-31	1,846.75	0.00	-11.56	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>***CAPRI HOLDINGS LIMITED ORDINARY SHARES NO PAR VALUE   CUSIP: G1890L107   Symbol: CPRI</b>							
2023-07-28	3.0	111.25	2023-07-28	111.15	0.00	0.10	
2023-08-04	30.0	1,085.01	2023-07-28	1,111.50	0.00	-26.49	
<b>Security Totals:</b>		<b>1,196.26</b>		<b>1,222.65</b>		<b>-26.39</b>	
<b>***DLOCAL LIMITED CLASS A COMMON SHARES   CUSIP: G29018101   Symbol: DLO</b>							
2023-01-23	100.0	1,643.94	Various	1,660.00	20.03 D	3.97	
2023-02-02	50.0	872.97	2023-01-31	851.53	0.00	21.44	
2023-03-03	50.0	744.48	2023-03-02	758.00	0.00	-13.52	
2023-05-01	50.0	703.98	2023-04-12	722.50	0.00	-18.52	
<b>Security Totals:</b>		<b>3,965.37</b>		<b>3,992.03</b>		<b>-6.63</b>	
<b>***HITEK GLOBAL INC ORDINARY SHARE   CUSIP: G45139105   Symbol: HKIT</b>							
2023-09-06	150.0	612.46	2023-09-06	504.52	7.02 D	114.96	
<b>***HUADI INTERNATIONAL GROUP CO LTD ORDINARY SHARES   CUSIP: G4645E105   Symbol: HUDI</b>							
2023-03-10	50.0	199.48	Various	401.55	0.00	-202.07	
<b>***INVESCO LTD   CUSIP: G491BT108   Symbol: IVZ</b>							
2023-08-02	50.0	821.51	2023-07-28	828.50	0.00	-6.99	
<b>***NOVOCURE LIMITED ORD SHS   CUSIP: G6674U108   Symbol: NVCR</b>							
2023-03-28	25.0	1,446.22	2023-03-28	1,457.25	0.00	-11.03	
<b>***SOLOWIN HOLDINGS ORDINARY SHARE   CUSIP: G82759104   Symbol: SWIN</b>							
2023-09-07	100.0	834.98	2023-09-07	861.00	0.00	-26.02	
<b>***UBS GROUP AG SHS   CUSIP: H42097107   Symbol: UBS</b>							
2023-03-21	50.0	1,049.98	2023-03-21	1,006.50	0.00	43.48	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
***FIVERR INTERNATIONAL LTD ORDINARY SHARES NO PAR VALUE   CUSIP: M4R82T106   Symbol: FVRR							
2023-05-08	20.0	553.58	2023-05-05	538.40	0.00	15.18	
2023-07-13	50.0	1,511.97	2023-07-12	1,412.50	0.00	99.47	
2023-10-05	50.0	1,232.56	2023-10-04	1,237.50	0.00	-4.94	
<b>Security Totals:</b>		<b>3,298.11</b>		<b>3,188.40</b>		<b>109.71</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
***INMODE LTD ORDINARY SHARES   CUSIP: M5425M103   Symbol: INMD							
2023-01-11	100.0	3,536.80	Various	3,539.40	9.14 D	6.54	
2023-01-17	25.0	864.22	2023-01-11	834.07	0.00	30.15	
2023-01-18	25.0	888.96	2023-01-11	834.06	0.00	54.90	
2023-01-23	25.0	859.72	2023-01-19	844.50	0.00	15.22	
2023-01-27	25.0	888.71	2023-01-23	861.75	0.00	26.96	
2023-02-02	25.0	911.46	2023-01-23	861.75	0.00	49.71	
2023-02-15	50.0	1,947.94	2023-02-13	1,686.00	0.00	261.94	
2023-02-22	25.0	890.71	2023-02-22	891.00	0.29 D	0.00	
2023-02-23	25.0	901.23	2023-02-22	891.00	0.00	10.23	
2023-03-03	30.0	1,058.68	2023-03-01	1,064.34	5.66 D	0.00	
2023-03-06	25.0	897.61	2023-03-06	885.36	0.00	12.25	
2023-03-08	25.0	835.48	2023-03-07	871.10	35.62 D	-0.00	
2023-03-23	50.0	1,571.98	Various	1,644.62	87.13 D	14.49	
2023-03-30	50.0	1,576.09	2023-03-15	1,634.38	72.90 D	14.61	
2023-04-03	50.0	1,643.73	2023-04-03	1,719.40	74.67 D	-1.00	
2023-04-11	25.0	872.73	2023-04-03	892.42	0.00	-19.69	
2023-05-17	50.0	1,657.98	2023-05-17	1,656.43	0.00	1.55	
2023-05-19	25.0	848.73	2023-05-18	822.50	0.00	26.23	
2023-05-26	50.0	1,607.14	2023-05-26	1,605.50	0.00	1.64	
2023-06-02	50.0	1,664.47	2023-06-01	1,604.50	0.00	59.97	
2023-06-14	50.0	1,817.97	2023-06-02	1,671.00	0.00	146.97	
2023-07-12	25.0	1,103.98	2023-07-03	927.50	0.00	176.48	
2023-07-19	50.0	2,299.97	2023-07-19	2,280.00	0.00	19.97	
2023-08-16	50.0	1,945.97	2023-08-16	1,939.00	0.00	6.97	
2023-08-29	25.0	972.98	2023-08-16	973.75	0.77 D	0.00	
2023-09-13	50.0	1,803.97	Various	1,932.77	128.80 D	0.00	
<b>Security Totals:</b>		<b>35,869.21</b>		<b>35,368.10</b>		<b>916.09</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
***VINFAST AUTO LTD COMMON STOCK   CUSIP: Y9390M103   Symbol: VFS							
2023-09-11	50.0	804.98	2023-09-08	873.50	68.52 D	0.00	
2023-09-12	150.0	2,602.75	2023-09-12	2,796.90	83.38 D	-110.77	
<b>Security Totals:</b>		<b>3,407.73</b>		<b>3,670.40</b>		<b>-110.77</b>	
<b>Totals:</b>		<b>1,102,260.14</b>		<b>1,120,133.55</b>		<b>-349.15</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MT20719

(continued)

2024-02-11

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR NONCOVERED TAX LOTS**

Report on Form 8949, Part I with Box B checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Checked (Noncovered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Not Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
PROSHARES TR II ULTRA VIX SHORT TERM FUTURES ETF NEW 2021   CUSIP: 74347Y839   Symbol: #P056078							
2023-05-02	250.0	927.45	2023-04-28	926.40	0.00	1.05	
PROSHARES TRUST II PROSHARES ULTRA BLOOMBERG NATURAL GAS   CUSIP: 74347Y870   Symbol: #P052092							
2023-02-07	100.0	649.97	2023-02-02	649.99	0.00	-0.02	
2023-02-08	150.0	965.95	2023-02-02	974.99	0.00	-9.04	
2023-02-22	100.0	503.99	2023-02-22	464.00	0.00	39.99	
2023-02-24	50.0	286.48	2023-02-22	244.00	0.00	42.48	
2023-06-21	250.0	800.10	2023-06-21	807.50	0.00	-7.40	
<b>Security Totals:</b>		<b>3,206.49</b>		<b>3,140.48</b>		<b>66.01</b>	
<b>Totals:</b>		<b>4,133.94</b>		<b>4,066.88</b>		<b>67.06</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Regulated Futures Contracts & Section 1256 Options**

2023

5MT20719

2024-02-11

The detailed transactional information below is furnished only for your information. Only the aggregate profit/loss information in each section is reported to the IRS. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

**PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS**

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 05/08/23 4145 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-08							
CUSIP:		2023-05-08	4.00	Sell	589.63	610.37	20.74
CALL SPXW 05/22/23 4205 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-22							
CUSIP:		2023-05-22	2.00	Sell	482.36	622.64	140.28
CALL SPXW 08/18/23 4380 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-18							
CUSIP:		2023-08-18	2.00	Sell	302.36	382.73	80.37
CALL SPXW 09/29/23 4340 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-29							
CUSIP:		2023-09-29	1.00	Sell	221.18	208.82	-12.36
PUT SPXW 06/08/23 4265 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-08							
CUSIP:		2023-06-08	1.00	Sell	151.18	158.82	7.64
PUT SPXW 06/13/23 4325 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-13							
CUSIP:		2023-06-13	3.00	Sell	458.54	521.46	62.92
PUT SPXW 08/18/23 4340 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-18							
CUSIP:		2023-08-18	1.00	Sell	91.09	113.82	22.73
PUT SPXW 06/20/23 4360 CBOE S&P 500 CLOSE/EURO INDEX 2023-06-20							
CUSIP:		2023-06-20	3.00	Sell	168.36	26.73	-141.63
PUT SPXW 08/18/23 4335 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-18							
CUSIP:		2023-08-18	2.00	Sell	162.18	157.73	-4.45
PUT SPXW 09/29/23 4310 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-29							
CUSIP:		2023-09-29	1.00	Sell	581.18	528.82	-52.36

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Regulated Futures Contracts &amp; Section 1256 Options

2023

5MT20719

(continued)

2024-02-11

## PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 08/03/23 4510 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-03							
CUSIP:		2023-08-03	1.00	Sell	171.18	158.82	-12.36
CALL SPXW 08/31/23 4520 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-31							
CUSIP:		2023-08-31	1.00	Sell	176.18	128.82	-47.36
CALL SPXW 07/20/23 4535 CBOE S&P 500 CLOSE/EURO INDEX 2023-07-20							
CUSIP:		2023-07-20	1.00	Sell	76.09	123.82	47.73
CALL SPXW 08/14/23 4480 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-14							
CUSIP:		2023-08-14	1.00	Sell	201.18	238.82	37.64
CALL SPXW 08/02/23 4520 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-02							
CUSIP:		2023-08-02	1.00	Sell	101.18	78.91	-22.27
PUT SPXW 08/16/23 4425 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-16							
CUSIP:		2023-08-16	1.00	Sell	321.18	318.82	-2.36
PUT SPXW 09/08/23 4450 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-08							
CUSIP:		2023-09-08	1.00	Sell	226.18	298.82	72.64
PUT SPXW 08/16/23 4400 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-16							
CUSIP:		2023-08-16	3.00	Sell	228.36	206.64	-21.72
CALL SPXW 08/16/23 4460 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-16							
CUSIP:		2023-08-16	1.00	Sell	301.18	233.82	-67.36
CALL SPXW 08/30/23 4525 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-30							
CUSIP:		2023-08-30	11.00	Sell	2,377.98	2,437.11	59.13
PUT SPXW 08/30/23 4500 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-30							
CUSIP:		2023-08-30	1.00	Sell	371.18	398.82	27.64

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.



Apex Clearing

## Regulated Futures Contracts &amp; Section 1256 Options

2023

5MT20719

(continued)

2024-02-11

## PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 08/30/23 4480 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-30							
CUSIP:		2023-08-30	4.00	Sell	309.45	95.64	-213.81
CALL SPXW 08/15/23 4465 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-15							
CUSIP:		2023-08-15	2.00	Sell	492.36	462.64	-29.72
PUT SPXW 09/22/23 4320 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-22							
CUSIP:		2023-09-22	4.00	Sell	544.72	555.28	10.56
CALL SPXW 09/07/23 4460 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-07							
CUSIP:		2023-09-07	3.00	Sell	553.54	566.46	12.92
PUT SPXW 10/06/23 4250 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-06							
CUSIP:		2023-10-06	278.00	Sell	28,561.56	6,829.95	-21,731.61
CALL SPXW 08/16/23 4455 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-16							
CUSIP:		2023-08-16	1.00	Sell	501.18	398.82	-102.36
PUT SPXW 09/07/23 4445 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-07							
CUSIP:		2023-09-07	2.00	Sell	222.27	242.64	20.37
CALL SPXW 08/29/23 4495 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-29							
CUSIP:		2023-08-29	2.00	Sell	752.36	657.64	-94.72
CALL SPXW 08/29/23 4485 CBOE S&P 500 CLOSE/EURO INDEX 2023-08-29							
CUSIP:		2023-08-29	2.00	Sell	1,242.36	1,297.64	55.28
PUT SPXW 09/13/23 4440 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-13							
CUSIP:		2023-09-13	121.00	Sell	4,932.25	5,313.08	380.83
PUT SPXW 10/06/23 4190 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-06							
CUSIP:		2023-10-06	17.00	Sell	1,583.81	308.83	-1,274.98

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Regulated Futures Contracts &amp; Section 1256 Options

2023

5MT20719

(continued)

2024-02-11

## PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 09/22/23 4355 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-22							
CUSIP:		2023-09-22	3.00	Sell	1,213.54	1,296.46	82.92
CALL SPXW 09/25/23 4340 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-25							
CUSIP:		2023-09-25	2.00	Sell	362.36	412.64	50.28
PUT SPXW 09/12/23 4460 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-12							
CUSIP:		2023-09-12	8.00	Sell	844.08	870.56	26.48
CALL SPXW 09/12/23 4485 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-12							
CUSIP:		2023-09-12	3.00	Sell	533.54	396.46	-137.08
CALL SPXW 09/19/23 4445 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-19							
CUSIP:		2023-09-19	8.00	Sell	1,184.08	1,190.56	6.48
CALL SPXW 09/11/23 4490 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-11							
CUSIP:		2023-09-11	3.00	Sell	628.54	731.46	102.92
PUT SPXW 10/04/23 4225 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-04							
CUSIP:		2023-10-04	1.00	Sell	451.17	408.83	-42.34
CALL SPXW 10/02/23 4300 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-02							
CUSIP:		2023-10-02	1.00	Sell	51.08	0.00	-51.08
PUT SPXW 10/04/23 4240 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-04							
CUSIP:		2023-10-04	1.00	Sell	901.17	1,098.83	197.66
PUT SPXW 10/05/23 4260 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-05							
CUSIP:		2023-10-05	1.00	Sell	236.17	278.83	42.66
CALL SPXW 09/28/23 4315 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-28							
CUSIP:		2023-09-28	1.00	Sell	16.09	0.00	-16.09

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Regulated Futures Contracts &amp; Section 1256 Options

2023

5MT20719

(continued)

2024-02-11

## PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 10/05/23 4225 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-05							
CUSIP:		2023-10-05	1.00	Sell	201.17	168.83	-32.34
PUT SPXW 10/05/23 4210 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-05							
CUSIP:		2023-10-05	1.00	Sell	76.08	108.83	32.75
PUT SPXW 10/05/23 4255 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-05							
CUSIP:		2023-10-05	1.00	Sell	201.17	158.83	-42.34
PUT SPXW 10/03/23 4215 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-03							
CUSIP:		2023-10-03	1.00	Sell	611.17	488.83	-122.34
PUT SPXW 10/04/23 4200 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-04							
CUSIP:		2023-10-04	2.00	Sell	387.34	387.66	0.32
CALL SPXW 10/04/23 4260 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-04							
CUSIP:		2023-10-04	4.00	Sell	704.68	715.32	10.64
CALL SPXW 09/27/23 4305 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-27							
CUSIP:		2023-09-27	1.00	Sell	96.09	148.82	52.73
PUT SPXW 10/03/23 4220 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-03							
CUSIP:		2023-10-03	1.00	Sell	251.17	298.83	47.66
PUT SPXW 10/05/23 4220 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-05							
CUSIP:		2023-10-05	1.00	Sell	201.17	203.83	2.66
PUT SPXW 10/03/23 4235 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-03							
CUSIP:		2023-10-03	1.00	Sell	581.17	748.83	167.66
PUT SPXW 10/04/23 4235 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-04							
CUSIP:		2023-10-04	2.00	Sell	62.16	27.84	-34.32

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Regulated Futures Contracts &amp; Section 1256 Options

2023

5MT20719

(continued)

2024-02-11

## PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 10/05/23 4245 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-05							
CUSIP:		2023-10-05	6.00	Sell	3,577.02	3,712.98	135.96
CALL SPXW 10/04/23 4265 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-04							
CUSIP:		2023-10-04	1.00	Sell	41.08	38.92	-2.16
PUT SPXW 10/03/23 4225 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-03							
CUSIP:		2023-10-03	3.00	Sell	2,643.51	2,726.49	82.98
CALL SPXW 09/27/23 4285 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-27							
CUSIP:		2023-09-27	4.00	Sell	524.54	515.27	-9.27
CALL SPXW 10/03/23 4240 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-03							
CUSIP:		2023-10-03	4.00	Sell	529.68	515.32	-14.36
PUT SPXW 10/03/23 4195 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-03							
CUSIP:		2023-10-03	2.00	Sell	237.25	242.75	5.50
PUT SPXW 10/04/23 4195 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-04							
CUSIP:		2023-10-04	1.00	Sell	101.17	73.92	-27.25
PUT SPXW 10/05/23 4230 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-05							
CUSIP:		2023-10-05	2.00	Sell	257.34	257.66	0.32
PUT SPXW 10/05/23 4215 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-05							
CUSIP:		2023-10-05	1.00	Sell	191.17	223.83	32.66
CALL SPXW 10/05/23 4275 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-05							
CUSIP:		2023-10-05	4.00	Sell	334.41	395.50	61.09
CALL SPXW 10/04/23 4235 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-04							
CUSIP:		2023-10-04	1.00	Sell	851.17	888.83	37.66

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Regulated Futures Contracts &amp; Section 1256 Options

2023

5MT20719

(continued)

2024-02-11

## PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 10/05/23 4260 CBOE S&P 500 CLOSE/EURO INDEX 2023-10-05							
CUSIP:		2023-10-05	6.00	Sell	2,392.02	2,432.98	40.96
PUT SPXW 03/31/23 3700 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-31							
CUSIP:		2023-03-23	2.00	Sell	1,970.36	1,464.64	-505.72
CUSIP:		2023-03-31	2.00	Sell	312.27	0.00	-312.27
PUT SPXW 03/31/23 3500 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-31							
CUSIP:		2023-03-23	2.00	Sell	537.27	409.64	-127.63
CUSIP:		2023-03-31	2.00	Sell	81.18	0.00	-81.18
PUT SPXW 09/13/23 4455 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-13							
CUSIP:		2023-09-13	2.00	Sell	37.18	0.00	-37.18
PUT SPXW 03/31/23 3600 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-31							
CUSIP:		2023-03-23	-4.00	Buy	1,488.72	2,003.28	514.56
CUSIP:		2023-03-31	-4.00	Buy	0.00	289.46	289.46
CALL SLV 01/19/24 23 ISHARES SILVER SHARES 2024-01-19							
CUSIP:		2023-03-17	1.00	Sell	152.05	165.93	13.88
CALL VIX 08/16/23 20 CBOE VOLATILITY INDEX 2023-08-16							
CUSIP:		2023-06-02	1.00	Sell	270.05	246.95	-23.10
PUT SPXW 05/31/23 3700 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-31							
CUSIP:		2023-05-19	2.00	Sell	247.27	119.82	-127.45
PUT SPXW 09/29/23 4325 CBOE S&P 500 CLOSE/EURO INDEX 2023-09-29							
CUSIP:		2023-09-29	1.00	Sell	951.18	1,198.82	247.64

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Regulated Futures Contracts &amp; Section 1256 Options

2023

5MT20719

(continued)

2024-02-11

## PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 01/23/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-23							
CUSIP:		2023-01-23	1.00	Sell	156.17	103.83	-52.34
PUT BOIL 01/27/23 9 PROSHARES ULTRA BLMBRG NTRL GS 2023-01-27							
CUSIP:		2023-01-23	-1.00	Buy	40.04	44.94	4.90
PUT SPXW 01/11/23 3910 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-11							
CUSIP:		2023-01-11	4.00	Sell	359.41	165.68	-193.73
CALL BOIL 02/17/23 11 PROSHARES ULTRA BLMBRG NTRL GS 2023-02-17							
CUSIP:		2023-02-17	3.00	Sell	169.12	0.00	-169.12
PUT SPXW 01/24/23 3900 CBOE S&P 500 CLOSE/EURO INDEX 2023-01-24							
CUSIP:		2023-01-24	1.00	Sell	71.08	0.00	-71.08
CALL VIX 06/21/23 17 CBOE VOLATILITY INDEX 2023-06-21							
CUSIP:		2023-06-14	1.00	Sell	36.85	25.15	-11.70
PUT SPXW 02/09/23 4080 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-09							
CUSIP:		2023-02-09	1.00	Sell	61.09	53.91	-7.18
PUT SPXW 03/06/23 4030 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-06							
CUSIP:		2023-03-06	1.00	Sell	61.09	63.91	2.82
PUT SPXW 02/06/23 4090 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-06							
CUSIP:		2023-02-06	3.00	Sell	553.54	571.46	17.92
PUT SPXW 02/07/23 4130 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-07							
CUSIP:		2023-02-07	4.00	Sell	639.63	635.28	-4.35
CALL SPXW 02/09/23 4145 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-09							
CUSIP:		2023-02-09	5.00	Sell	750.81	294.55	-456.26

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

## Regulated Futures Contracts &amp; Section 1256 Options

2023

5MT20719

(continued)

2024-02-11

## PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
PUT SPXW 02/08/23 4115 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-08							
CUSIP:		2023-02-08	1.00	Sell	266.18	318.82	52.64
PUT SPXW 02/09/23 4100 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-09							
CUSIP:		2023-02-09	1.00	Sell	431.18	618.82	187.64
PUT SPXW 05/31/23 3900 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-31							
CUSIP:		2023-05-19	2.00	Sell	782.36	285.64	-496.72
CALL BOIL 01/19/24 4 PROSHARES ULTRA BLMBRG NTRL GS 2024-01-19							
CUSIP:		2023-06-20	2.00	Sell	250.10	189.88	-60.22
CALL SPXW 02/09/23 4165 CBOE S&P 500 CLOSE/EURO INDEX 2023-02-09							
CUSIP:		2023-02-09	1.00	Sell	81.09	48.91	-32.18
PUT SPXW 05/31/23 3800 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-31							
CUSIP:		2023-05-19	-4.00	Buy	364.36	795.28	430.92
CALL SPXW 03/10/23 3880 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-10							
CUSIP:		2023-03-10	3.00	Sell	583.54	146.73	-436.81
PUT SPXW 03/07/23 3990 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-07							
CUSIP:		2023-03-07	1.00	Sell	171.18	218.82	47.64
PUT SPXW 03/03/23 3965 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-03							
CUSIP:		2023-03-03	1.00	Sell	176.18	188.82	12.64
PUT SPXW 03/09/23 3975 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-09							
CUSIP:		2023-03-09	1.00	Sell	116.18	138.82	22.64
PUT SPXW 04/06/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-06							
CUSIP:		2023-04-06	2.00	Sell	302.36	307.64	5.28

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

# Regulated Futures Contracts & Section 1256 Options

2023

5MT20719

(continued)

2024-02-11

**PROFIT OR LOSS REALIZED IN 2023 ON CLOSED CONTRACTS**

Description of property CUSIP	Expiration Date/ Strike Price	Closing Trade Process Date	Number of Contracts	Buy/Sell/Expire	Opening Contract Amount	Closing Contract Amount	Realized Profit/(Loss)
CALL SPXW 03/13/23 3880 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-13							
CUSIP:		2023-03-13	1.00	Sell	136.18	108.82	-27.36
CALL SPXW 03/27/23 4030 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-27							
CUSIP:		2023-03-27	1.00	Sell	196.18	143.82	-52.36
PUT SPXW 03/23/23 3935 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-23							
CUSIP:		2023-03-23	1.00	Sell	106.18	88.91	-17.27
PUT SPXW 04/06/23 4070 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-06							
CUSIP:		2023-04-06	1.00	Sell	71.09	68.91	-2.18
PUT SPXW 04/10/23 4050 CBOE S&P 500 CLOSE/EURO INDEX 2023-04-10							
CUSIP:		2023-04-10	2.00	Sell	307.36	342.64	35.28
PUT SPXW 03/30/23 4015 CBOE S&P 500 CLOSE/EURO INDEX 2023-03-30							
CUSIP:		2023-03-30	1.00	Sell	86.09	108.82	22.73
CALL SPXW 05/03/23 4200 CBOE S&P 500 CLOSE/EURO INDEX 2023-05-03							
CUSIP:		2023-05-03	1.00	Sell	151.18	138.82	-12.36
<b>Short-Term Profit/(Loss) Total: 40%</b>							<b>-9,396.48</b>
<b>Long-Term Profit/(Loss) Total: 60%</b>							<b>-14,094.71</b>
<b>Total:</b>							<b>-23,491.19</b>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.



Apex Clearing

**Regulated Futures Contracts & Section 1256 Options**

2023

5MT20719

(continued)

2024-02-11

**UNREALIZED PROFIT OR LOSS ON OPEN CONTRACTS - 12/31/2023**

Description of property CUSIP	Expiration Date/Strike Price	Number of Contracts	Long or Short	Opening Contract Amount	Market Value 12/31/2023	Unrealized Profit/(Loss)
CALL BOIL 01/12/24 38 PROSHARES ULTRA BLOOMBERG NTRL	2024-01-12					
CUSIP:		-1.00	Short	46.32	89.94	43.62
<b>Short-Term Profit/(Loss) Total: 40%</b>						<b>17.45</b>
<b>Long-Term Profit/(Loss) Total: 60%</b>						<b>26.17</b>
<b>Total:</b>						<b>43.62</b>

Aggregate Short-Term Profit or (Loss): 40%	-9,379.03
Aggregate Long-Term Profit or (Loss): 60%	-14,068.54
<b>11 - Aggregate Profit or (Loss) on Contracts</b>	<b>-23,447.57</b>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Apex Clearing

**Dividends and Distributions - Details of Form 1099-DIV**

2023

5MT20719

2024-02-11

This section of the information statement provides payment-level details for dividend-related distributions reported in aggregate on Form 1099-DIV. The information provided below is for your reference only. The break-out details provide payment-level information (including payment date and issuer) for different categories of distributions reported on Form 1099-DIV: ordinary dividends, including portions classified as qualified dividends and section 199A dividends, non-dividend distributions, exempt-interest dividends and liquidation distributions.

Taxes withheld for federal, state or foreign tax purposes are shown as negative numbers but do not offset the income amounts.

For dividends classified as "qualified dividends," the classification is made only from the issuer perspective without accounting for your holding period. Under IRS rules, classification as a qualified dividend for a taxpayer also depends on the taxpayer's holding period in the relevant stock, and a taxpayer is responsible for confirming such qualification based on the taxpayer's actual holding period. Taxpayers are reminded that they are ultimately responsible for the accuracy of their own tax returns.

**Description | CUSIP | Symbol**

<b>Date</b>	<b>Total Ordinary Dividends (Box 1a)</b>	<b>Qualified Dividends (Box 1b)</b>	<b>Nondividend Distributions (Box 3)</b>	<b>Section 199A Dividends (Box 5)</b>	<b>Investment Expenses (Box 6)</b>	<b>Foreign Tax paid (Box 7)</b>	<b>Foreign Country or US Possession (Box 8)</b>
BAXTER INTERNATIONAL INC   CUSIP: 071813109   Symbol: BAX							
2023-04-03	7.83	7.83	0.00	0.00	0.00	0.00	
<b>Security Totals:</b>	<b>7.83</b>	<b>7.83</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
DIREXION SHARES ETF TRUST DIREXION DAILY REGIONAL BANKS BULL 3X SHARES   CUSIP: 25460G864   Symbol: #D048141							
2023-03-28	1.82	0.00	0.00	0.00	0.00	0.00	
<b>Security Totals:</b>	<b>1.82</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
DIREXION SHARES ETF TRUST DIREXION DAILY SEMICONDUCTOR BEAR 3X SHARES   CUSIP: 25460G336   Symbol: SOXS							
2023-12-29	34.84	0.00	0.00	0.00	0.00	0.00	
<b>Security Totals:</b>	<b>34.84</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
INVESTMENT MANAGERS SER TR II AXS TSLA BEAR DAILY ETF   CUSIP: 46144X867   Symbol: TSLQ							
2023-12-29	186.59	186.59	0.00	0.00	0.00	0.00	
<b>Security Totals:</b>	<b>186.59</b>	<b>186.59</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
MICRON TECHNOLOGY INC   CUSIP: 595112103   Symbol: MU							
2023-04-25	2.88	2.88	0.00	0.00	0.00	0.00	
<b>Security Totals:</b>	<b>2.88</b>	<b>2.88</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
NEWMONT CORPORATION COMMON STOCK   CUSIP: 651639106   Symbol: NEM							
2023-09-21	20.00	20.00	0.00	0.00	0.00	0.00	
<b>Security Totals:</b>	<b>20.00</b>	<b>20.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	
<b>Totals:</b>	<b>253.96</b>	<b>217.30</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	

Apex Clearing

# Interest Income - Details of Form 1099-INT

2023

5MT20719

2024-02-11

This section of the information statement provides the payment-level details for interest that is reported in aggregate on Form 1099-INT. Market discount is provided only if you have made an election to recognize market discount on a current basis. Any such market discount and bond premium are reported on Form 1099-INT for covered lots, as detailed below. For noncovered lots, bond premium and market discount are shown below only for your information and are not reported on Form 1099-INT.

## Interest Income

### Description | CUSIP | Symbol

Date	Interest Income (Box 1)	Investment Expenses (Box 5)	Foreign Tax paid (Box 6)	Foreign Country or US Possession (Box 7)	Market Discount (Box10)	Market Discount (NOT reported to IRS)	Bond Premium (Box 11)	Bond premium (Not reported to IRS)
FULLYPAID LENDING REBATE   CUSIP: *8S87337   Symbol: #8S87337								
2023-01-12	1.15	0.00	0.00		0.00	0.00	0.00	0.00
2023-02-14	4.16	0.00	0.00		0.00	0.00	0.00	0.00
2023-03-14	5.02	0.00	0.00		0.00	0.00	0.00	0.00
2023-04-13	1.38	0.00	0.00		0.00	0.00	0.00	0.00
2023-05-12	2.29	0.00	0.00		0.00	0.00	0.00	0.00
2023-06-14	2.97	0.00	0.00		0.00	0.00	0.00	0.00
2023-07-13	0.33	0.00	0.00		0.00	0.00	0.00	0.00
2023-08-11	0.09	0.00	0.00		0.00	0.00	0.00	0.00
2023-09-14	1.27	0.00	0.00		0.00	0.00	0.00	0.00
2023-10-13	0.51	0.00	0.00		0.00	0.00	0.00	0.00
2023-11-09	0.16	0.00	0.00		0.00	0.00	0.00	0.00
2023-12-12	0.35	0.00	0.00		0.00	0.00	0.00	0.00
<b>Security Totals:</b>	<b>19.68</b>	<b>0.00</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Totals:</b>	<b>19.68</b>	<b>0.00</b>	<b>0.00</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

Apex Clearing

Miscellaneous Income - Details of Form 1099-MISC

2023

5MT20719

2024-02-11

This section of the information statement provides payment-level details for royalty distributions and substitute payments in lieu of dividends and interest that are reported in aggregate on Form 1099-MISC. Links to tax information booklets for royalty trusts relevant to your account, if any, are provided at the end of this information statement.

**Description | CUSIP | Symbol**

<b>Date</b>	<b>Royalties (Box 2)</b>	<b>Other Income (Box 3)</b>	<b>Substitute Payments in Lieu of Dividends or Interest (Box 8)</b>
DIREXION SHARES ETF TRUST DIREXION DAILY S&P 500 BEAR 3X SHARES   CUSIP: 25460E265   Symbol: SPXS			
2023-12-29	0.00	0.00	13.03
DIREXION SHARES ETF TRUST DIREXION DAILY SMALL CAP BEAR SHARES   CUSIP: 25460E232   Symbol: TZA			
2023-12-29	0.00	0.00	15.49
DIREXION SHS ETF TR DIREXION DAILY TSLA BULL 1.5X SHARES   CUSIP: 25460G286   Symbol: TSLL			
2023-09-26	0.00	0.00	5.63
PROSHARES TR PROSHARES ULTRAPRO SHORT QQQ   CUSIP: 74347G432   Symbol: SQQQ			
2023-06-28	0.00	0.00	13.31
2023-12-28	0.00	0.00	56.57
<b>Totals:</b>	<b>0.00</b>	<b>0.00</b>	<b>104.03</b>

Apex Clearing

# Supplemental Information

2023

5MT20719

2024-02-11

The supplemental information provided below contains additional details that may assist in your tax return preparation.

## FEES & EXPENSES

Description	
Date	Amount
<b>ADR Fees</b>	
2023-02-06	-1.00
<b>Total:</b>	<b>-1.00</b>
<b>Short Dividend Paid</b>	
2023-07-14	-1.80
<b>Total:</b>	<b>-1.80</b>

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Nominees.** If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2023 General Instructions for Certain Information Returns.

**FreeFile.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**Future developments.** For the latest information about developments related to forms and instructions, such as legislation enacted after they were published, go to [www.irs.gov/forms-instructions](http://www.irs.gov/forms-instructions).

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Box 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Box 1b.** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Box 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

**Box 2b.** Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Box 2c.** Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Box 2d.** Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Box 2e.** Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Box 2f.** Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Box 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Box 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 5.** Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Box 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

**Box 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

**Box 8.** This box should be left blank if a RIC reported the foreign tax shown in box 7.

**Boxes 9 and 10.** Show cash and noncash liquidation distributions.

**Box 11.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

**Box 12.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

**Box 13.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

**Boxes 14-16.** State income tax withheld reporting boxes.

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Box 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Box 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

**Box 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Box 5.** Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

**Box 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Box 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Box 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Box 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Box 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Box 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Box 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Box 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Box 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Boxes 15-17.** State tax withheld reporting boxes.

**1099-B Instructions to Recipients**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

**Box 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Box 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

- Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.
- Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).
- Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.
- Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.
- Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.
- Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.
- Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.
- Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.
- Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**
- Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2023.
- Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2022.
- Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2023. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2023 in 2024.
- Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2023 Form 6781.
- Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.
- Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.
- Boxes 14–16.** Show state(s)/local income tax information.

#### 1099-MISC Instructions to Recipients

- Amounts shown may be subject to self-employment (SE) tax.** Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the appropriate line of their tax returns.
- Form 1099-MISC incorrect?** If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your information correctly.
- Box 1.** Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.
- Box 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.
- Box 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).
- Box 4.** Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.
- Box 5.** Shows the amount paid to you as a fishing boat crew member by the operator, who considers you to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.
- Box 6.** For individuals, report on Schedule C (Form 1040).
- Box 7.** If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).
- Box 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).
- Box 9.** Report this amount on Schedule F (Form 1040).
- Box 10.** Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.
- Box 11.** Shows the amount of cash you received for the sale of fish if you are in the trade or business of catching fish.
- Box 12.** May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A plus any earnings on current and prior year deferrals.
- Box 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.
- Box 14.** Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.
- Box 15.** Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.
- Boxes 16–18.** Show state or local income tax withheld from the payments.

#### 1099-OID Instructions to Recipients

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, you generally must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

- Box 1.** Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.
- Box 2.** Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.
- Box 3.** Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.
- Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.
- Box 5.** For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you.

For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Box 6.** For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR). If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and is issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

**Box 7.** Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

**Box 8.** Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

**Box 9.** Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

**Box 10.** For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

**Box 11.** For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

**Boxes 12-14.** State income tax withheld reporting.