



Srinivasa reddy Akiti
513 S Dodson Rd Apt S4
Rogers, AR 72758

Master Account Number for Import:

401684022

Document ID for Import:

70Y7111224F

Enclosed is your 2023 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

401684022 - Robinhood Securities LLC

401684022C - Robinhood Crypto LLC

New for 2023 Tax Year - 1099 tax forms for Robinhood Markets Inc. will be displayed using an aggregated format based on key tax lot criteria. We have done this to reduce the size of your overall tax package, as well as to help make your tax documents easier to use. This new format still provides you with all the information you need to file your taxes.

Robinhood Retirements Accounts - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2023 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

Filing Your Taxes - If filing through tax service providers, such as TurboTax or H&R Block, please utilize the Master Account Number and Document ID listed above. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.

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| | | | |
|--|---|----------------------------|------|
| Robinhood Markets Inc. as agent for Robinhood Securities LLC 85 Willow Road Menlo Park, CA 94025 Customer Service: 650-940-2700 PAYER'S TIN: 46-4364776 | Tax Information Account 401684022 Srinivasa reddy Akiti 513 S Dodson Rd Apt S4 Rogers, AR 72758 RECIPIENT'S TIN: XXX-XX-3427 | Statement Date: 02/12/2024 | 2023 |
|--|---|----------------------------|------|

Summary Information

| | |
|--|---|
| <p>DIVIDENDS AND DISTRIBUTIONS 2023 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.07</p> <p>1b- Qualified dividends 0.07</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>12- Exempt-interest dividends (includes line 13) 0.00</p> <p>13- Specified private activity bond interest dividends (AMT) 0.00</p> | <p>MISCELLANEOUS INFORMATION 2023 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2023 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2023 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2022 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2023 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p> |
|--|---|

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

| Term | Form 8949 type | Proceeds | Cost basis | Market discount | Wash sale loss disallowed | Net gain or loss(-) |
|--------------------|--|-------------------|-------------------|-----------------|---------------------------|---------------------|
| Short | A (basis reported to the IRS) | 328,689.04 | 312,983.87 | 0.00 | 11,344.96 | 27,050.13 |
| Short | B (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Short | C (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Short-term | 328,689.04 | 312,983.87 | 0.00 | 11,344.96 | 27,050.13 |
| Long | D (basis reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Long-term | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Undetermined | B or E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Undetermined | C or F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Undetermined-term | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Grand total | 328,689.04 | 312,983.87 | 0.00 | 11,344.96 | 27,050.13 |
| Withholding | | Amount | | | | |
| | Federal income tax withheld | 0.00 | | | | |

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 401684022

Summary Information

2023

(continued)

02/12/2024

INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

| | |
|---|-------------|
| 1- Interest income (not included in line 3) | 0.00 |
| 2- Early withdrawal penalty | 0.00 |
| 3- Interest on US Savings Bonds & Treasury obligations | 0.00 |
| 4- Federal income tax withheld | 0.00 |
| 5- Investment expenses | 0.00 |
| 7- Foreign country or US possession: 6- Foreign tax paid: | 0.00 |
| 8- Tax-exempt interest (includes line 9) | 0.00 |
| 9- Specified private activity bond interest (AMT) | 0.00 |
| 10- Market discount (covered lots) | 0.00 |
| 11- Bond premium (covered lots) | 0.00 |
| 12- Bond premium on Treasury obligations (covered lots) | 0.00 |
| 13- Bond premium on tax-exempt bonds (categorized below) | 0.00 |
| <i>Tax-exempt obligations (covered lots)</i> | 0.00 |
| <i>Tax-exempt private activity obligations (AMT, covered lots)</i> | 0.00 |
| 14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement [] | |

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

| | |
|---|------|
| Taxable accrued interest paid | 0.00 |
| Taxable accrued Treasury interest paid | 0.00 |
| Tax-exempt accrued interest paid | 0.00 |
| Tax-exempt accrued interest paid (AMT) | 0.00 |
| Taxable accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid (AMT) | 0.00 |
| Nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest (AMT) | 0.00 |
| Interest shortfall on contingent payment debt | 0.00 |
| Bond premium- Non Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (AMT, noncovered lots) | 0.00 |
| Market discount (noncovered lots) | 0.00 |

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

| | |
|--------------------------|------|
| 1099-DIV total withheld | 0.00 |
| 1099-INT total withheld | 0.00 |
| 1099-OID total withheld | 0.00 |
| 1099-MISC total withheld | 0.00 |
| 1099-B total withheld | 0.00 |

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

| | |
|--|------|
| Original issue discount for the year | 0.00 |
| Acquisition premium (covered lots) | 0.00 |
| Acquisition premium (noncovered lots) | 0.00 |
| Original issue discount on Treasury obligations | 0.00 |
| Acquisition premium, Treasury obligations (covered lots) | 0.00 |
| Acquisition premium, Treasury obligations (noncovered lots) | 0.00 |
| Tax-exempt OID | 0.00 |
| Tax-exempt OID (lots not reported) | 0.00 |
| Acquisition premium (covered) | 0.00 |
| Acquisition premium (lots not reported) | 0.00 |
| Tax-exempt OID on private activity bonds | 0.00 |
| Tax-exempt OID on private activity bonds (lots not reported) | 0.00 |
| Acquisition premium (AMT, covered) | 0.00 |
| Acquisition premium (AMT, lots not reported) | 0.00 |
| Market discount (all lots) | 0.00 |
| Early withdrawal penalty | 0.00 |
| Investment expenses | 0.00 |

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

| | |
|---|-------|
| Other Receipts & Reconciliations- Partnership distributions | 0.00 |
| Other Receipts & Reconciliations- Foreign tax paid- partnership | 0.00 |
| Other Receipts & Reconciliations- Return of principal | 0.00 |
| Other Receipts & Reconciliations- Deferred income payment | 0.00 |
| Other Receipts & Reconciliations- Deemed premium | 0.00 |
| Other Receipts & Reconciliations- Income accrual- UIT | 0.00 |
| Other Receipts & Reconciliations- Basis adjustments | 0.00 |
| Other Receipts & Reconciliations- Foreign tax pd beyond treaty | 0.00 |
| Fees & Expenses- Margin interest | 8.67 |
| Fees & Expenses- Dividends paid on short position | 0.00 |
| Fees & Expenses- Interest paid on short position | 0.00 |
| Fees & Expenses- Non reportable distribution expense | 0.00 |
| Fees & Expenses- Other expenses | 65.00 |
| Fees & Expenses- Severance tax | 0.00 |
| Fees & Expenses- Organizational expense | 0.00 |
| Fees & Expenses- Miscellaneous fees | 0.00 |
| Fees & Expenses- Tax-exempt investment expense | 0.00 |
| Foreign Exchange Gains & Losses- Foreign currency gain/loss | 0.00 |

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 401684022

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

02/12/2024

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | 7- Loss not allowed (X) also not reported (Z) | Gain or loss(-) & Additional information |
|--|------------------------|---|-------------------|-------------------------|--|---|--|
| AAL 01/19/2024 CALL \$13.00 / CUSIP: / Symbol: | | | | | | | |
| 12/01/23 | 10.000 | 749.66 | Various | 490.30 | ... | 259.36 | Total of 3 transactions |
| AAL 01/19/2024 CALL \$15.00 / CUSIP: / Symbol: | | | | | | | |
| 12/06/23 | 9.000 | 233.63 | Various | 135.27 | ... | 98.36 | Total of 5 transactions |
| 12/07/23 | 1.000 | 36.95 | 12/01/23 | 15.03 | ... | 21.92 | Option sale to close-call ²⁵ |
| | Security total: | 270.58 | | 150.30 | ... | 120.28 | |
| AAL 11/10/2023 CALL \$12.50 / CUSIP: / Symbol: | | | | | | | |
| 11/08/23 | 50.000 | 98.34 | Various | 201.50 | ... | -103.16 | Total of 3 transactions |
| AAL 11/24/2023 CALL \$12.50 / CUSIP: / Symbol: | | | | | | | |
| 11/21/23 | 5.000 | 9.83 | Various | 100.47 | ... | -90.64 | Total of 5 transactions |
| 11/21/23 | 5.000 | 9.83 | Various | 55.15 | 45.32 W | 0.00 | Total of 2 transactions |
| | Security total: | 19.66 | | 155.62 | 45.32 W | -90.64 | |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 401684022

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|-------------------|-------------------------|--|---|---|
| AAL 12/29/2023 CALL \$15.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 30.000 | 299.01 | Various | 240.90 | ... | 58.11 | Total of 3 transactions |
| AAPL 02/03/2023 CALL \$148.00 / CUSIP: / Symbol: | | | | | | | |
| 02/02/23 | 1.000 | 349.98 | 02/01/23 | 129.00 | ... | 220.98 | Option sale to close-call ²⁵ |
| AAPL 07/21/2023 CALL \$197.50 / CUSIP: / Symbol: | | | | | | | |
| 07/19/23 | 6.000 | 287.94 | Various | 505.06 | ... | -217.12 | Total of 5 transactions |
| 07/19/23 | 4.000 | 194.94 | Various | 299.02 | 104.08 W | 0.00 | Total of 4 transactions |
| | Security total: | 482.88 | | 804.08 | 104.08 W | -217.12 | |
| AAPL 08/04/2023 CALL \$202.50 / CUSIP: / Symbol: | | | | | | | |
| 08/04/23 | 1.000 | 0.00 | 08/03/23 | 30.00 | ... | -30.00 | Option expiration- long call |
| AAPL 11/17/2023 CALL \$192.50 / CUSIP: / Symbol: | | | | | | | |
| 11/17/23 | 20.000 | 19.34 | Various | 370.60 | ... | -351.26 | Total of 5 transactions |
| ABNB 12/08/2023 CALL \$132.00 / CUSIP: / Symbol: | | | | | | | |
| 12/04/23 | 2.000 | 859.92 | 12/01/23 | 344.06 | ... | 515.86 | Option sale to close-call ²⁵ |
| ABNB 12/22/2023 CALL \$145.00 / CUSIP: / Symbol: | | | | | | | |
| 12/22/23 | 13.000 | 12.57 | Various | 430.39 | ... | -417.82 | Total of 3 transactions |
| ADBE 12/15/2023 CALL \$600.00 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 1.000 | 24.95 | 12/14/23 | 218.03 | ... | -193.08 | Option sale to close-call ²⁵ |
| ADBE 12/22/2023 CALL \$610.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 1.000 | 304.95 | 12/18/23 | 380.03 | ... | -75.08 | Option sale to close-call ²⁵ |
| AFRM 05/12/2023 PUT \$9.50 / CUSIP: / Symbol: | | | | | | | |
| 05/10/23 | 10.000 | 9.94 | Various | 250.00 | ... | -240.06 | Total of 3 transactions |
| AFRM 12/01/2023 CALL \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 11/28/23 | 1.000 | 81.95 | 11/27/23 | 86.03 | ... | -4.08 | Option sale to close-call ²⁵ |
| AFRM 12/15/2023 CALL \$50.00 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 5.000 | 4.83 | 12/14/23 | 220.15 | ... | -215.32 | Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 401684022

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|---|
| AFRM 12/22/2023 CALL \$45.00 / CUSIP: / Symbol: 12/19/23 | 3.000 | 1,304.88 | 12/18/23 | 228.09 | ... | 1,076.79 | Option sale to close-call ²⁵ |
| AFRM 12/22/2023 CALL \$46.00 / CUSIP: / Symbol: 12/19/23 | 1.000 | 381.95 | 12/15/23 | 166.03 | ... | 215.92 | Option sale to close-call ²⁵ |
| AFRM 12/22/2023 CALL \$48.00 / CUSIP: / Symbol: 12/20/23 | 1.000 | 294.95 | 12/19/23 | 185.03 | ... | 109.92 | Option sale to close-call ²⁵ |
| AFRM 12/22/2023 CALL \$49.00 / CUSIP: / Symbol: 12/22/23 | 3.000 | 305.87 | Various | 216.09 | ... | 89.78 | Total of 2 transactions |
| AFRM 12/22/2023 CALL \$50.00 / CUSIP: / Symbol: 12/20/23 | 2.000 | 389.90 | Various | 352.06 | ... | 37.84 | Total of 2 transactions |
| AFRM 12/29/2023 CALL \$45.00 / CUSIP: / Symbol: 12/19/23 | 2.000 | 1,019.92 | 12/18/23 | 338.06 | ... | 681.86 | Option sale to close-call ²⁵ |
| AI 02/03/2023 CALL \$20.00 / CUSIP: / Symbol: 02/01/23 | 1.000 | 174.98 | 01/31/23 | 118.00 | ... | 56.98 | Option sale to close-call ²⁵ |
| AI 05/26/2023 CALL \$31.00 / CUSIP: / Symbol: 05/26/23 | 3.000 | 299.98 | 05/26/23 | 135.00 | ... | 164.98 | Option sale to close-call ²⁵ |
| AI 06/02/2023 CALL \$50.00 / CUSIP: / Symbol: 06/02/23 | 1.000 | 0.00 | 05/31/23 | 175.00 | ... | -175.00 | Option expiration- long call |
| AI 12/08/2023 CALL \$33.00 / CUSIP: / Symbol: 12/06/23 | 1.000 | 84.95 | 12/01/23 | 129.03 | ... | -44.08 | Option sale to close-call ²⁵ |
| 12/06/23 | 1.000 | 84.95 | 12/01/23 | 129.03 | 44.08 W | 0.00 | Option sale to close-call ²⁵ |
| 12/07/23 | 1.000 | 0.95 | 12/04/23 | 168.11 | ... | -167.16 | Option sale to close-call ²⁵ |
| Security total: | | 170.85 | | 426.17 | 44.08 W | -211.24 | |
| AI 12/15/2023 CALL \$32.00 / CUSIP: / Symbol: 12/15/23 | 10.000 | 274.58 | Various | 190.30 | ... | 84.28 | Total of 6 transactions |
| AI 12/22/2023 CALL \$32.00 / CUSIP: / Symbol: 12/19/23 | 5.000 | 799.81 | Various | 260.15 | ... | 539.66 | Total of 3 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 401684022

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|----------|---|-------------------|-------------------------|--|---|---|
| AI 12/22/2023 CALL \$34.00 / CUSIP: / Symbol: | | | | | | | |
| 12/22/23 | 8.000 | 0.00 | Various | 492.24 | ... | -492.24 | Total of 2 transactions |
| AI 12/22/2023 PUT \$33.00 / CUSIP: / Symbol: | | | | | | | |
| 12/21/23 | 2.000 | 523.92 | 12/20/23 | 294.06 | ... | 229.86 | Option sale to close-put ²⁵ |
| AMC 01/05/2024 CALL \$7.50 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 5.000 | 109.83 | 12/18/23 | 175.47 | ... | -65.64 | Option sale to close-call ²⁵ |
| 12/20/23 | 5.000 | 109.83 | 12/18/23 | 145.15 | 35.32 W | 0.00 | Option sale to close-call ²⁵ |
| Security total: | | 219.66 | | 320.62 | 35.32 W | -65.64 | |
| AMC 02/03/2023 CALL \$6.00 / CUSIP: / Symbol: | | | | | | | |
| 01/30/23 | 20.000 | 199.94 | Various | 370.00 | ... | -170.06 | Total of 3 transactions |
| AMC 02/17/2023 CALL \$5.50 / CUSIP: / Symbol: | | | | | | | |
| 02/16/23 | 5.000 | 64.96 | Various | 105.00 | ... | -40.04 | Total of 2 transactions |
| AMC 12/08/2023 CALL \$7.00 / CUSIP: / Symbol: | | | | | | | |
| 12/04/23 | 5.000 | 311.79 | Various | 195.15 | ... | 116.64 | Total of 4 transactions |
| AMC 12/08/2023 CALL \$8.00 / CUSIP: / Symbol: | | | | | | | |
| 12/05/23 | 10.000 | 159.67 | 12/04/23 | 220.30 | ... | -60.63 | Option sale to close-call ²⁵ |
| AMC 12/15/2023 CALL \$7.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 20.000 | 339.32 | Various | 280.60 | ... | 58.72 | Total of 3 transactions |
| AMC 12/15/2023 CALL \$7.50 / CUSIP: / Symbol: | | | | | | | |
| 11/30/23 | 10.000 | 329.63 | Various | 250.30 | ... | 79.33 | Total of 3 transactions |
| AMC 12/22/2023 CALL \$7.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 20.000 | 59.34 | Various | 320.60 | ... | -261.26 | Total of 2 transactions |
| AMC 12/29/2023 CALL \$6.50 / CUSIP: / Symbol: | | | | | | | |
| 12/29/23 | 10.000 | 9.66 | Various | 130.30 | ... | -120.64 | Total of 2 transactions |
| AMC 12/29/2023 CALL \$7.50 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 10.000 | 159.67 | 12/18/23 | 210.30 | ... | -50.63 | Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 401684022

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|---|------------------------|---|-------------------|-------------------------|--|---|---|
| AMD 02/03/2023 CALL \$79.00 / CUSIP: / Symbol: 01/23/23 | 1.000 | 199.98 | 01/13/23 | 105.00 | ... | 94.98 | Option sale to close-call ²⁵ |
| AMD 02/03/2023 CALL \$80.00 / CUSIP: / Symbol: 02/01/23 | 7.000 | 1,429.92 | Various | 740.00 | ... | 689.92 | Total of 4 transactions |
| AMD 02/03/2023 CALL \$85.00 / CUSIP: / Symbol: 02/02/23 | 5.000 | 389.92 | Various | 230.00 | ... | 159.92 | Total of 4 transactions |
| AMD 05/05/2023 CALL \$100.00 / CUSIP: / Symbol: 05/03/23 | 5.000 | 4.92 | Various | 275.00 | ... | -270.08 | Total of 4 transactions |
| AMD 05/05/2023 CALL \$90.00 / CUSIP: / Symbol: 05/05/23 | 2.000 | 13.98 | Various | 94.00 | ... | -80.02 | Total of 2 transactions |
| AMD 05/05/2023 CALL \$95.00 / CUSIP: / Symbol: 05/03/23 | 2.000 | 3.98 | 04/27/23 | 144.00 | ... | -140.02 | Option sale to close-call ²⁵ |
| AMD 05/26/2023 PUT \$117.00 / CUSIP: / Symbol: 05/25/23 | 1.000 | 78.98 | 05/25/23 | 96.00 | ... | -17.02 | Option sale to close-put ²⁵ |
| AMD 05/26/2023 PUT \$118.00 / CUSIP: / Symbol: 05/26/23 | 1.000 | 15.98 | 05/25/23 | 104.00 | ... | -88.02 | Option sale to close-put ²⁵ |
| AMD 07/28/2023 CALL \$130.00 / CUSIP: / Symbol: 07/25/23 | 11.000 | 54.95 | Various | 610.06 | ... | -555.11 | Total of 8 transactions |
| 07/25/23 | 19.000 | 94.93 | Various | 915.03 | 800.09 W | -20.01 | Total of 7 transactions |
| | Security total: | 149.88 | | 1,525.09 | 800.09 W | -575.12 | |
| AMD 08/04/2023 CALL \$130.00 / CUSIP: / Symbol: 08/02/23 | 2.000 | 17.98 | 07/26/23 | 120.00 | ... | -102.02 | Option sale to close-call ²⁵ |
| AMD 09/22/2023 CALL \$100.00 / CUSIP: / Symbol: 09/22/23 | 1.000 | 0.00 | 09/21/23 | 34.00 | ... | -34.00 | Option expiration- long call |
| AMD 11/10/2023 CALL \$115.00 / CUSIP: / Symbol: 10/25/23 | 1.000 | 73.95 | 10/23/23 | 113.00 | ... | -39.05 | Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
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Account 401684022

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|---|
| AMD 11/17/2023 CALL \$121.00 / CUSIP: / Symbol: | | | | | | | |
| 11/16/23 | 1.000 | 67.95 | 11/15/23 | 119.03 | ... | -51.08 | Option sale to close-call ²⁵ |
| AMD 12/01/2023 CALL \$125.00 / CUSIP: / Symbol: | | | | | | | |
| 12/01/23 | 2.000 | 0.00 | 11/27/23 | 270.06 | ... | -270.06 | Option expiration- long call |
| AMD 12/08/2023 CALL \$120.00 / CUSIP: / Symbol: | | | | | | | |
| 12/06/23 | 1.000 | 350.95 | 12/05/23 | 158.03 | ... | 192.92 | Option sale to close-call ²⁵ |
| 12/07/23 | 1.000 | 284.95 | 12/06/23 | 185.03 | ... | 99.92 | Option sale to close-call ²⁵ |
| | Security total: | 635.90 | | 343.06 | ... | 292.84 | |
| AMD 12/08/2023 CALL \$125.00 / CUSIP: / Symbol: | | | | | | | |
| 12/07/23 | 2.000 | 739.92 | Various | 120.06 | ... | 619.86 | Total of 2 transactions |
| AMD 12/08/2023 CALL \$130.00 / CUSIP: / Symbol: | | | | | | | |
| 12/08/23 | 1.000 | 79.95 | 12/07/23 | 89.11 | ... | -9.16 | Option sale to close-call ²⁵ |
| 12/08/23 | 1.000 | 79.95 | 12/07/23 | 99.03 | 19.08 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 159.90 | | 188.14 | 19.08 W | -9.16 | |
| AMD 12/15/2023 CALL \$130.00 / CUSIP: / Symbol: | | | | | | | |
| 12/11/23 | 1.000 | 449.95 | 12/08/23 | 288.03 | ... | 161.92 | Option sale to close-call ²⁵ |
| AMD 12/15/2023 CALL \$140.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 1.000 | 229.95 | 12/12/23 | 197.03 | ... | 32.92 | Option sale to close-call ²⁵ |
| AMD 12/22/2023 CALL \$140.00 / CUSIP: / Symbol: | | | | | | | |
| 12/12/23 | 2.000 | 599.92 | Various | 310.06 | ... | 289.86 | Total of 2 transactions |
| 12/21/23 | 2.000 | 179.92 | 12/20/23 | 262.06 | ... | -82.14 | Option sale to close-call ²⁵ |
| | Security total: | 779.84 | | 572.12 | ... | 207.72 | |
| AMZN 02/03/2023 CALL \$110.00 / CUSIP: / Symbol: | | | | | | | |
| 02/02/23 | 2.000 | 967.94 | Various | 406.00 | ... | 561.94 | Total of 2 transactions |
| AMZN 02/03/2023 CALL \$120.00 / CUSIP: / Symbol: | | | | | | | |
| 02/02/23 | 4.000 | 951.96 | Various | 520.00 | ... | 431.96 | Total of 4 transactions |
| 02/03/23 | 5.000 | 0.00 | Various | 838.00 | ... | -838.00 | Total of 2 transactions |
| | Security total: | 951.96 | | 1,358.00 | ... | -406.04 | |

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Robinhood Markets Inc. as agent for
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Account 401684022

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

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02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| AMZN 04/28/2023 CALL \$107.00 / CUSIP: / Symbol: | | | | | | | |
| 04/28/23 | 4.000 | 27.98 | Various | 226.00 | ... | -198.02 | Total of 3 transactions |
| AMZN 04/28/2023 CALL \$117.00 / CUSIP: / Symbol: | | | | | | | |
| 04/28/23 | 1.000 | 0.98 | 04/26/23 | 47.00 | ... | -46.02 | Option sale to close-call ²⁵ |
| AMZN 10/27/2023 CALL \$135.00 / CUSIP: / Symbol: | | | | | | | |
| 10/26/23 | 5.000 | 154.83 | Various | 473.12 | ... | -318.29 | Total of 5 transactions |
| AMZN 12/22/2023 CALL \$155.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 2.000 | 275.90 | Various | 190.06 | ... | 85.84 | Total of 2 transactions |
| 12/22/23 | 2.000 | 15.90 | Various | 238.06 | ... | -222.16 | Total of 2 transactions |
| Security total: | | 291.80 | | 428.12 | ... | -136.32 | |
| ANET 12/22/2023 CALL \$245.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 2.000 | 159.92 | 12/18/23 | 230.06 | ... | -70.14 | Option sale to close-call ²⁵ |
| ARM 10/27/2023 CALL \$51.50 / CUSIP: / Symbol: | | | | | | | |
| 10/25/23 | 2.000 | 161.90 | Various | 300.00 | ... | -138.10 | Total of 2 transactions |
| AVGO 12/22/2023 PUT \$1,075.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 1.000 | 114.95 | 12/18/23 | 370.03 | ... | -255.08 | Option sale to close-put ²⁵ |
| BA 11/17/2023 CALL \$207.50 / CUSIP: / Symbol: | | | | | | | |
| 11/14/23 | 1.000 | 234.95 | 11/13/23 | 236.11 | ... | -1.16 | Option sale to close-call ²⁵ |
| 11/14/23 | 1.000 | 234.95 | 11/13/23 | 296.03 | 61.08 W | 0.00 | Option sale to close-call ²⁵ |
| Security total: | | 469.90 | | 532.14 | 61.08 W | -1.16 | |
| BA 12/08/2023 PUT \$232.50 / CUSIP: / Symbol: | | | | | | | |
| 12/08/23 | 1.000 | 1.95 | 12/07/23 | 138.03 | ... | -136.08 | Option sale to close-put ²⁵ |
| BA 12/15/2023 CALL \$255.00 / CUSIP: / Symbol: | | | | | | | |
| 12/13/23 | 1.000 | 80.95 | 12/12/23 | 143.03 | ... | -62.08 | Option sale to close-call ²⁵ |
| BABA 01/19/2024 CALL \$90.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 5.000 | 139.83 | 12/19/23 | 185.15 | ... | -45.32 | Option sale to close-call ²⁵ |

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Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| BABA 11/10/2023 CALL \$90.00 / CUSIP: / Symbol: | | | | | | | |
| 11/06/23 | 10.000 | 159.67 | 11/03/23 | 260.30 | ... | -100.63 | Option sale to close-call ²⁵ |
| BABA 11/17/2023 CALL \$90.00 / CUSIP: / Symbol: | | | | | | | |
| 11/14/23 | 5.000 | 284.83 | Various | 320.15 | 35.32 W | 0.00 | Total of 2 transactions |
| 11/15/23 | 7.000 | 737.75 | Various | 294.53 | ... | 443.22 | Total of 4 transactions |
| 11/16/23 | 1.000 | 1.95 | 11/10/23 | 34.03 | ... | -32.08 | Option sale to close-call ²⁵ |
| | Security total: | 1,024.53 | | 648.71 | 35.32 W | 411.14 | |
| BABA 11/17/2023 CALL \$92.00 / CUSIP: / Symbol: | | | | | | | |
| 11/16/23 | 2.000 | 1.92 | 11/15/23 | 144.06 | ... | -142.14 | Option sale to close-call ²⁵ |
| BABA 11/17/2023 CALL \$95.00 / CUSIP: / Symbol: | | | | | | | |
| 11/10/23 | 1.000 | 9.95 | 11/06/23 | 37.03 | 27.08 W | 0.00 | Option sale to close-call ²⁵ |
| 11/14/23 | 5.000 | 34.81 | Various | 242.29 | ... | -207.48 | Total of 3 transactions |
| 11/14/23 | 4.000 | 27.87 | 11/06/23 | 148.12 | 30.06 W | -90.19 | Option sale to close-call ²⁵ |
| | Security total: | 72.63 | | 427.44 | 57.14 W | -297.67 | |
| BABA 11/24/2023 CALL \$80.00 / CUSIP: / Symbol: | | | | | | | |
| 11/21/23 | 5.000 | 169.83 | Various | 313.15 | ... | -143.32 | Total of 3 transactions |
| BABA 12/08/2023 CALL \$73.00 / CUSIP: / Symbol: | | | | | | | |
| 12/08/23 | 2.000 | 17.92 | Various | 115.06 | ... | -97.14 | Total of 2 transactions |
| BABA 12/15/2023 CALL \$71.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 2.000 | 319.92 | 12/13/23 | 106.06 | ... | 213.86 | Option sale to close-call ²⁵ |
| BABA 12/15/2023 CALL \$72.00 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 2.000 | 699.92 | 12/12/23 | 128.06 | ... | 571.86 | Option sale to close-call ²⁵ |
| BABA 12/15/2023 CALL \$75.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 5.000 | 44.81 | Various | 333.47 | ... | -288.66 | Total of 4 transactions |
| 12/14/23 | 5.000 | 44.83 | Various | 351.21 | 306.38 W | 0.00 | Total of 4 transactions |
| | Security total: | 89.64 | | 684.68 | 306.38 W | -288.66 | |

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Account 401684022

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

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02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| BABA 12/15/2023 CALL \$80.00 / CUSIP: / Symbol: | | | | | | | |
| 12/05/23 | 5.000 | 104.77 | Various | 215.47 | ... | -110.70 | Total of 5 transactions |
| 12/05/23 | 5.000 | 104.83 | Various | 160.15 | 55.32 W | 0.00 | Total of 2 transactions |
| | Security total: | 209.60 | | 375.62 | 55.32 W | -110.70 | |
| BABA 12/22/2023 CALL \$75.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 10.000 | 714.56 | Various | 615.30 | ... | 99.26 | Total of 7 transactions |
| BABA 12/22/2023 CALL \$76.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 3.000 | 56.89 | 12/19/23 | 141.09 | 84.20 W | 0.00 | Option sale to close-call ²⁵ |
| 12/22/23 | 3.000 | 14.88 | Various | 282.44 | ... | -267.56 | Total of 3 transactions |
| 12/22/23 | 2.000 | 9.91 | Various | 140.19 | 130.28 W | 0.00 | Total of 2 transactions |
| | Security total: | 81.68 | | 563.72 | 214.48 W | -267.56 | |
| BABA 12/29/2023 CALL \$77.00 / CUSIP: / Symbol: | | | | | | | |
| 12/28/23 | 2.000 | 183.92 | Various | 162.06 | ... | 21.86 | Total of 2 transactions |
| BABA 12/29/2023 CALL \$78.00 / CUSIP: / Symbol: | | | | | | | |
| 12/29/23 | 5.000 | 24.83 | Various | 297.15 | ... | -272.32 | Total of 2 transactions |
| BABA 12/29/2023 CALL \$80.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 10.000 | 319.67 | Various | 410.30 | 90.63 W | 0.00 | Total of 2 transactions |
| 12/20/23 | 5.000 | 149.81 | Various | 215.47 | 65.66 W | 0.00 | Total of 4 transactions |
| 12/22/23 | 10.000 | 189.67 | Various | 411.27 | 221.60 W | 0.00 | Total of 5 transactions |
| 12/27/23 | 10.000 | 69.65 | Various | 411.90 | ... | -342.25 | Total of 7 transactions |
| | Security total: | 728.80 | | 1,448.94 | 377.89 W | -342.25 | |
| BAC 01/05/2024 CALL \$35.00 / CUSIP: / Symbol: | | | | | | | |
| 12/22/23 | 10.000 | 149.67 | 12/20/23 | 190.30 | ... | -40.63 | Option sale to close-call ²⁵ |
| BAC 12/15/2023 CALL \$32.00 / CUSIP: / Symbol: | | | | | | | |
| 12/12/23 | 10.000 | 19.60 | Various | 260.64 | 241.04 W | 0.00 | Total of 5 transactions |
| 12/14/23 | 10.000 | 1,279.66 | Various | 321.00 | ... | 958.66 | Total of 4 transactions |
| | Security total: | 1,299.26 | | 581.64 | 241.04 W | 958.66 | |
| BAC 12/22/2023 CALL \$31.50 / CUSIP: / Symbol: | | | | | | | |
| 12/13/23 | 10.000 | 638.65 | Various | 190.30 | ... | 448.35 | Total of 6 transactions |

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Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

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|--|----------|---|-------------------|-------------------------|--|---|---|
| BAC 12/22/2023 CALL \$34.00 / CUSIP: / Symbol: 12/19/23 | 10.000 | 129.67 | Various | 206.30 | ... | -76.63 | Total of 4 transactions |
| BIDU 05/19/2023 CALL \$130.00 / CUSIP: / Symbol: 05/16/23 | 1.000 | 449.98 | 05/16/23 | 178.00 | ... | 271.98 | Option sale to close-call ²⁵ |
| BIDU 05/19/2023 CALL \$140.00 / CUSIP: / Symbol: 05/17/23 | 5.000 | 64.98 | Various | 295.00 | ... | -230.02 | Total of 4 transactions |
| BIDU 06/02/2023 CALL \$125.00 / CUSIP: / Symbol: 05/31/23 | 1.000 | 64.98 | 05/30/23 | 184.00 | ... | -119.02 | Option sale to close-call ²⁵ |
| BIDU 11/17/2023 CALL \$115.00 / CUSIP: / Symbol: 11/16/23 | 3.000 | 14.89 | Various | 312.09 | ... | -297.20 | Total of 2 transactions |
| BIDU 11/24/2023 CALL \$115.00 / CUSIP: / Symbol: 11/22/23 | 1.000 | 299.95 | 11/20/23 | 214.03 | ... | 85.92 | Option sale to close-call ²⁵ |
| BIDU 12/22/2023 CALL \$117.00 / CUSIP: / Symbol: 12/20/23 | 1.000 | 33.95 | 12/15/23 | 229.03 | ... | -195.08 | Option sale to close-call ²⁵ |
| BILL 11/10/2023 CALL \$58.00 / CUSIP: / Symbol: 11/09/23 | 3.000 | 404.89 | Various | 325.09 | ... | 79.80 | Total of 3 transactions |
| BILL 11/17/2023 CALL \$60.00 / CUSIP: / Symbol: 11/10/23 | 1.000 | 29.95 | 11/09/23 | 160.03 | ... | -130.08 | Option sale to close-call ²⁵ |
| BILL 12/08/2023 CALL \$67.00 / CUSIP: / Symbol: 12/04/23 | 2.000 | 699.92 | 12/01/23 | 270.06 | ... | 429.86 | Option sale to close-call ²⁵ |
| BILL 12/08/2023 CALL \$72.00 / CUSIP: / Symbol: 12/05/23 | 2.000 | 199.92 | 12/04/23 | 190.06 | ... | 9.86 | Option sale to close-call ²⁵ |
| BLNK 12/22/2023 CALL \$3.50 / CUSIP: / Symbol: 12/20/23 | 10.000 | 229.65 | Various | 120.30 | ... | 109.35 | Total of 3 transactions |
| BLNK 12/29/2023 CALL \$3.50 / CUSIP: / Symbol: 12/20/23 | 5.000 | 174.83 | Various | 90.15 | ... | 84.68 | Total of 5 transactions |

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02/12/2024

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|---|----------|---|-------------------|-------------------------|--|---|---|
| BYND 01/19/2024 CALL \$15.00 / CUSIP: / Symbol: 12/14/23 | 2.000 | 101.92 | Various | 120.06 | ... | -18.14 | Total of 2 transactions |
| BYND 02/24/2023 CALL \$25.00 / CUSIP: / Symbol: 02/24/23 | 10.000 | 49.97 | Various | 160.00 | ... | -110.03 | Total of 4 transactions |
| BYND 07/14/2023 CALL \$18.00 / CUSIP: / Symbol: 07/14/23 | 1.000 | 26.98 | 07/12/23 | 94.02 | ... | -67.04 | Option sale to close-call ²⁵ |
| 07/14/23 | 1.000 | 27.98 | 07/12/23 | 61.00 | 33.02 W | 0.00 | Option sale to close-call ²⁵ |
| Security total: | | 54.96 | | 155.02 | 33.02 W | -67.04 | |
| BYND 12/08/2023 CALL \$7.50 / CUSIP: / Symbol: 12/04/23 | 5.000 | 674.83 | 12/01/23 | 290.15 | ... | 384.68 | Option sale to close-call ²⁵ |
| BYND 12/15/2023 CALL \$10.00 / CUSIP: / Symbol: 12/14/23 | 3.000 | 236.89 | 12/13/23 | 99.09 | ... | 137.80 | Option sale to close-call ²⁵ |
| BYND 12/22/2023 CALL \$10.50 / CUSIP: / Symbol: 12/19/23 | 3.000 | 74.89 | 12/18/23 | 150.09 | ... | -75.20 | Option sale to close-call ²⁵ |
| C 12/22/2023 CALL \$50.00 / CUSIP: / Symbol: 12/19/23 | 5.000 | 334.83 | 12/18/23 | 255.15 | ... | 79.68 | Option sale to close-call ²⁵ |
| CAVA 12/22/2023 CALL \$44.00 / CUSIP: / Symbol: 12/20/23 | 5.000 | 124.83 | Various | 275.15 | ... | -150.32 | Total of 2 transactions |
| CELH 01/19/2024 CALL \$60.00 / CUSIP: / Symbol: 12/27/23 | 5.000 | 474.83 | Various | 175.15 | ... | 299.68 | Total of 2 transactions |
| CELH 12/22/2023 CALL \$51.67 / CUSIP: / Symbol: 12/19/23 | 3.000 | 194.89 | 12/18/23 | 150.09 | ... | 44.80 | Option sale to close-call ²⁵ |
| CHPT 11/17/2023 CALL \$3.50 / CUSIP: / Symbol: 11/15/23 | 10.000 | 59.65 | Various | 40.30 | ... | 19.35 | Total of 4 transactions |
| CHPT 11/24/2023 CALL \$3.50 / CUSIP: / Symbol: 11/17/23 | 10.000 | 9.64 | Various | 70.30 | ... | -60.66 | Total of 3 transactions |

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Robinhood Markets Inc. as agent for
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Account 401684022

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

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|--|------------------------|---|-------------------|-------------------------|--|---|---|
| CHPT 12/01/2023 CALL \$2.00 / CUSIP: / Symbol: | | | | | | | |
| 11/30/23 | 10.000 | 29.65 | Various | 40.30 | ... | -10.65 | Total of 2 transactions |
| CHPT 12/08/2023 CALL \$2.00 / CUSIP: / Symbol: | | | | | | | |
| 12/06/23 | 10.000 | 299.67 | Various | 180.30 | ... | 119.37 | Total of 3 transactions |
| CHPT 12/15/2023 CALL \$2.50 / CUSIP: / Symbol: | | | | | | | |
| 12/07/23 | 5.000 | 19.83 | Various | 140.34 | ... | -120.51 | Total of 2 transactions |
| 12/07/23 | 5.000 | 19.83 | 12/06/23 | 95.15 | 45.19 W | -30.13 | Option sale to close-call ²⁵ |
| | Security total: | 39.66 | | 235.49 | 45.19 W | -150.64 | |
| CHPT 12/22/2023 CALL \$2.50 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 3.000 | 62.88 | Various | 111.16 | ... | -48.28 | Total of 2 transactions |
| 12/19/23 | 2.000 | 41.93 | 12/15/23 | 66.06 | 12.07 W | -12.06 | Option sale to close-call ²⁵ |
| | Security total: | 104.81 | | 177.22 | 12.07 W | -60.34 | |
| CHWY 12/22/2023 CALL \$23.50 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 3.000 | 119.89 | 12/19/23 | 168.09 | ... | -48.20 | Option sale to close-call ²⁵ |
| COIN 11/17/2023 CALL \$105.00 / CUSIP: / Symbol: | | | | | | | |
| 11/17/23 | 1.000 | 1.95 | 11/15/23 | 104.03 | ... | -102.08 | Option sale to close-call ²⁵ |
| COIN 11/24/2023 CALL \$105.00 / CUSIP: / Symbol: | | | | | | | |
| 11/22/23 | 2.000 | 679.92 | Various | 360.06 | ... | 319.86 | Total of 2 transactions |
| COIN 11/24/2023 CALL \$110.00 / CUSIP: / Symbol: | | | | | | | |
| 11/24/23 | 1.000 | 549.95 | 11/22/23 | 93.03 | ... | 456.92 | Option sale to close-call ²⁵ |
| COIN 12/01/2023 CALL \$120.00 / CUSIP: / Symbol: | | | | | | | |
| 11/27/23 | 1.000 | 389.95 | 11/24/23 | 305.03 | ... | 84.92 | Option sale to close-call ²⁵ |
| COIN 12/15/2023 CALL \$142.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 1.000 | 999.95 | 12/12/23 | 293.03 | ... | 706.92 | Option sale to close-call ²⁵ |
| COIN 12/15/2023 CALL \$145.00 / CUSIP: / Symbol: | | | | | | | |
| 12/12/23 | 1.000 | 240.95 | 12/11/23 | 234.03 | ... | 6.92 | Option sale to close-call ²⁵ |

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|--|------------------------|---|-------------------|-------------------------|--|---|---|
| COIN 12/15/2023 CALL \$150.00 / CUSIP: / Symbol: | | | | | | | |
| 12/11/23 | 1.000 | 189.95 | 12/08/23 | 370.03 | ... | -180.08 | Option sale to close-call ²⁵ |
| COST 11/17/2023 CALL \$600.00 / CUSIP: / Symbol: | | | | | | | |
| 11/17/23 | 1.000 | 0.95 | 11/15/23 | 330.03 | ... | -329.08 | Option sale to close-call ²⁵ |
| COST 12/01/2023 CALL \$610.00 / CUSIP: / Symbol: | | | | | | | |
| 11/30/23 | 1.000 | 1.95 | 11/28/23 | 89.03 | ... | -87.08 | Option sale to close-call ²⁵ |
| COST 12/15/2023 CALL \$635.00 / CUSIP: / Symbol: | | | | | | | |
| 12/07/23 | 2.000 | 459.92 | Various | 170.06 | ... | 289.86 | Total of 2 transactions |
| 12/11/23 | 1.000 | 509.95 | 12/04/23 | 85.03 | ... | 424.92 | Option sale to close-call ²⁵ |
| | Security total: | 969.87 | | 255.09 | ... | 714.78 | |
| COST 12/15/2023 CALL \$650.00 / CUSIP: / Symbol: | | | | | | | |
| 12/13/23 | 2.000 | 769.92 | Various | 169.06 | ... | 600.86 | Total of 2 transactions |
| 12/14/23 | 3.000 | 1,079.89 | Various | 451.09 | ... | 628.80 | Total of 2 transactions |
| | Security total: | 1,849.81 | | 620.15 | ... | 1,229.66 | |
| COST 12/15/2023 CALL \$660.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 1.000 | 155.95 | 12/13/23 | 197.03 | ... | -41.08 | Option sale to close-call ²⁵ |
| COST 12/15/2023 CALL \$675.00 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 1.000 | 5.95 | 12/14/23 | 76.03 | ... | -70.08 | Option sale to close-call ²⁵ |
| COST 12/15/2023 PUT \$600.00 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 1.000 | 3.95 | 12/14/23 | 75.03 | ... | -71.08 | Option sale to close-put ²⁵ |
| COST 12/22/2023 CALL \$660.00 / CUSIP: / Symbol: | | | | | | | |
| 12/18/23 | 1.000 | 1,499.94 | 12/15/23 | 450.03 | ... | 1,049.91 | Option sale to close-call ²⁵ |
| COST 12/22/2023 CALL \$700.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 1.000 | 74.95 | 12/18/23 | 150.03 | ... | -75.08 | Option sale to close-call ²⁵ |
| COST 12/29/2023 CALL \$700.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 2.000 | 205.92 | 12/18/23 | 410.06 | ... | -204.14 | Option sale to close-call ²⁵ |

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|---|
| CRM 02/10/2023 CALL \$175.00 / CUSIP: / Symbol: 02/09/23 | 3.000 | 479.97 | 02/08/23 | 195.00 | ... | 284.97 | Option sale to close-call ²⁵ |
| CRM 12/01/2023 CALL \$245.00 / CUSIP: / Symbol: 11/30/23 | 1.000 | 649.95 | 11/29/23 | 163.03 | ... | 486.92 | Option sale to close-call ²⁵ |
| CRM 12/01/2023 CALL \$250.00 / CUSIP: / Symbol: 12/01/23 | 1.000 | 499.95 | 11/30/23 | 115.03 | ... | 384.92 | Option sale to close-call ²⁵ |
| CRM 12/15/2023 CALL \$257.50 / CUSIP: / Symbol: 12/13/23 | 1.000 | 209.95 | 12/12/23 | 127.03 | ... | 82.92 | Option sale to close-call ²⁵ |
| CRWD 11/10/2023 CALL \$195.00 / CUSIP: / Symbol: 11/08/23 | 1.000 | 219.95 | 11/07/23 | 235.03 | ... | -15.08 | Option sale to close-call ²⁵ |
| CVNA 02/24/2023 CALL \$15.00 / CUSIP: / Symbol: 02/23/23 | 10.000 | 169.97 | Various | 346.00 | ... | -176.03 | Total of 3 transactions |
| CVNA 12/08/2023 CALL \$40.00 / CUSIP: / Symbol: 12/08/23 | 1.000 | 129.95 | 12/07/23 | 73.03 | ... | 56.92 | Option sale to close-call ²⁵ |
| CVNA 12/15/2023 CALL \$42.00 / CUSIP: / Symbol: 12/14/23 | 2.000 | 1,699.91 | Various | 143.06 | ... | 1,556.85 | Total of 2 transactions |
| CVNA 12/15/2023 CALL \$50.00 / CUSIP: / Symbol: 12/05/23 | 2.000 | 65.92 | 12/04/23 | 134.06 | ... | -68.14 | Option sale to close-call ²⁵ |
| CVNA 12/22/2023 CALL \$54.00 / CUSIP: / Symbol: 12/19/23 | 1.000 | 659.95 | 12/15/23 | 245.03 | ... | 414.92 | Option sale to close-call ²⁵ |
| CVNA 12/22/2023 CALL \$60.00 / CUSIP: / Symbol: 12/22/23 | 2.000 | 9.92 | 12/21/23 | 150.06 | ... | -140.14 | Option sale to close-call ²⁵ |
| CVNA 12/22/2023 CALL \$62.00 / CUSIP: / Symbol: 12/20/23 | 1.000 | 81.95 | 12/19/23 | 260.03 | ... | -178.08 | Option sale to close-call ²⁵ |
| CVNA 12/22/2023 CALL \$65.00 / CUSIP: / Symbol: 12/22/23 | 1.000 | 0.95 | 12/20/23 | 185.03 | ... | -184.08 | Option sale to close-call ²⁵ |

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|--|------------------------|---|-------------------|-------------------------|--|---|---|
| CVNA 12/29/2023 CALL \$57.00 / CUSIP: / Symbol: | | | | | | | |
| 12/28/23 | 2.000 | 109.92 | 12/27/23 | 220.06 | ... | -110.14 | Option sale to close-call ²⁵ |
| CVNA 12/29/2023 CALL \$60.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 1.000 | 484.95 | 12/18/23 | 185.03 | ... | 299.92 | Option sale to close-call ²⁵ |
| 12/28/23 | 5.000 | 69.83 | 12/27/23 | 165.15 | ... | -95.32 | Option sale to close-call ²⁵ |
| | Security total: | 554.78 | | 350.18 | ... | 204.60 | |
| CVS 12/22/2023 CALL \$78.00 / CUSIP: / Symbol: | | | | | | | |
| 12/21/23 | 3.000 | 158.85 | Various | 162.09 | ... | -3.24 | Total of 3 transactions |
| DASH 12/15/2023 CALL \$105.00 / CUSIP: / Symbol: | | | | | | | |
| 12/12/23 | 2.000 | 89.92 | 12/11/23 | 194.06 | ... | -104.14 | Option sale to close-call ²⁵ |
| DEO 11/17/2023 CALL \$140.00 / CUSIP: / Symbol: | | | | | | | |
| 11/13/23 | 1.000 | 349.95 | 11/10/23 | 165.03 | ... | 184.92 | Option sale to close-call ²⁵ |
| DIS 10/27/2023 CALL \$84.00 / CUSIP: / Symbol: | | | | | | | |
| 10/25/23 | 10.000 | 159.67 | Various | 320.30 | ... | -160.63 | Total of 4 transactions |
| DIS 11/10/2023 CALL \$90.00 / CUSIP: / Symbol: | | | | | | | |
| 11/08/23 | 5.000 | 369.83 | Various | 335.15 | ... | 34.68 | Total of 3 transactions |
| 11/10/23 | 3.000 | 62.89 | Various | 278.09 | ... | -215.20 | Total of 2 transactions |
| | Security total: | 432.72 | | 613.24 | ... | -180.52 | |
| DOCU 12/01/2023 CALL \$43.00 / CUSIP: / Symbol: | | | | | | | |
| 12/01/23 | 5.000 | 999.83 | Various | 175.15 | ... | 824.68 | Total of 2 transactions |
| DOCU 12/08/2023 CALL \$50.00 / CUSIP: / Symbol: | | | | | | | |
| 12/06/23 | 5.000 | 924.79 | Various | 563.15 | ... | 361.64 | Total of 3 transactions |
| DOCU 12/08/2023 CALL \$52.00 / CUSIP: / Symbol: | | | | | | | |
| 12/08/23 | 2.000 | 1.92 | Various | 148.06 | ... | -146.14 | Total of 2 transactions |
| DOCU 12/15/2023 CALL \$52.00 / CUSIP: / Symbol: | | | | | | | |
| 12/11/23 | 1.000 | 117.95 | 12/07/23 | 97.03 | ... | 20.92 | Option sale to close-call ²⁵ |

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|--|------------------------|---|-------------------|-------------------------|--|---|--|
| DOCU 12/22/2023 PUT \$60.00 / CUSIP: / Symbol: | | | | | | | |
| 12/18/23 | 2.000 | 219.92 | Various | 172.06 | ... | 47.86 | Total of 2 transactions |
| DOCU 12/29/2023 CALL \$66.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 3.000 | 179.89 | 12/19/23 | 390.09 | ... | -210.20 | Option sale to close-call ²⁵ |
| ENPH 12/01/2023 CALL \$103.00 / CUSIP: / Symbol: | | | | | | | |
| 11/28/23 | 1.000 | 28.95 | 11/27/23 | 110.03 | ... | -81.08 | Option sale to close-call ²⁵ |
| ENPH 12/08/2023 CALL \$108.00 / CUSIP: / Symbol: | | | | | | | |
| 12/04/23 | 1.000 | 339.95 | 12/01/23 | 227.03 | ... | 112.92 | Option sale to close-call ²⁵ |
| ENPH 12/22/2023 CALL \$130.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 1.000 | 529.95 | 12/18/23 | 275.03 | ... | 254.92 | Option sale to close-call ²⁵ |
| ETSY 12/01/2023 CALL \$75.00 / CUSIP: / Symbol: | | | | | | | |
| 11/28/23 | 5.000 | 699.83 | 11/27/23 | 375.15 | ... | 324.68 | Option sale to close-call ²⁵ |
| ETSY 12/15/2023 CALL \$82.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 1.000 | 299.95 | 12/13/23 | 110.03 | ... | 189.92 | Option sale to close-call ²⁵ |
| ETSY 12/29/2023 CALL \$85.00 / CUSIP: / Symbol: | | | | | | | |
| 12/27/23 | 1.000 | 25.95 | 12/26/23 | 146.11 | ... | -120.16 | Option sale to close-call ²⁵ |
| 12/27/23 | 1.000 | 25.95 | 12/26/23 | 84.03 | 58.08 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 51.90 | | 230.14 | 58.08 W | -120.16 | |
| EXAS 01/19/2024 CALL \$67.50 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 1.000 | 899.95 | 12/19/23 | 400.03 | ... | 499.92 | Option sale to close-call ²⁵ |
| EXAS 01/19/2024 CALL \$70.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 1.000 | 749.95 | 12/19/23 | 310.03 | ... | 439.92 | Option sale to close-call ²⁵ |
| FDX 12/15/2023 CALL \$275.00 / CUSIP: / Symbol: | | | | | | | |
| 12/12/23 | 2.000 | 599.92 | Various | 385.06 | ... | 214.86 | Total of 2 transactions |
| 12/14/23 | 2.000 | 799.92 | 12/13/23 | 186.06 | ... | 613.86 | Option sale to close-call ²⁵ |
| | Security total: | 1,399.84 | | 571.12 | ... | 828.72 | |

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|--|------------------------|---|-------------------|-------------------------|--|---|---|
| FDX 12/22/2023 CALL \$300.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 1.000 | 149.95 | 12/18/23 | 173.03 | ... | -23.08 | Option sale to close-call ²⁵ |
| FDX 12/22/2023 PUT \$265.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 1.000 | 1,449.94 | 12/19/23 | 105.03 | ... | 1,344.91 | Option sale to close-put ²⁵ |
| FIVN 12/15/2023 PUT \$75.00 / CUSIP: / Symbol: | | | | | | | |
| 12/06/23 | 1.000 | 69.95 | 12/05/23 | 102.03 | ... | -32.08 | Option sale to close-put ²⁵ |
| FOUR 12/15/2023 CALL \$75.00 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 1.000 | 69.95 | 12/14/23 | 165.03 | ... | -95.08 | Option sale to close-call ²⁵ |
| FSLR 12/15/2023 CALL \$143.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 2.000 | 2,399.91 | 12/13/23 | 394.06 | ... | 2,005.85 | Option sale to close-call ²⁵ |
| FSR 12/08/2023 CALL \$1.50 / CUSIP: / Symbol: | | | | | | | |
| 12/08/23 | 7.000 | 6.75 | Various | 107.42 | ... | -100.67 | Total of 4 transactions |
| 12/08/23 | 3.000 | 2.88 | Various | 33.09 | 30.21 W | 0.00 | Total of 2 transactions |
| | Security total: | 9.63 | | 140.51 | 30.21 W | -100.67 | |
| FSR 12/15/2023 CALL \$1.50 / CUSIP: / Symbol: | | | | | | | |
| 12/12/23 | 10.000 | 89.67 | Various | 130.30 | ... | -40.63 | Total of 2 transactions |
| FSR 12/15/2023 CALL \$2.00 / CUSIP: / Symbol: | | | | | | | |
| 12/12/23 | 13.000 | 12.57 | Various | 133.85 | ... | -121.28 | Total of 7 transactions |
| 12/12/23 | 7.000 | 6.75 | Various | 67.41 | 60.66 W | 0.00 | Total of 3 transactions |
| | Security total: | 19.32 | | 201.26 | 60.66 W | -121.28 | |
| GM 12/08/2023 CALL \$33.50 / CUSIP: / Symbol: | | | | | | | |
| 12/05/23 | 10.000 | 299.67 | Various | 320.30 | ... | -20.63 | Total of 2 transactions |
| GME 12/01/2023 CALL \$13.50 / CUSIP: / Symbol: | | | | | | | |
| 11/29/23 | 10.000 | 2,149.66 | Various | 360.30 | ... | 1,789.36 | Total of 4 transactions |
| GME 12/01/2023 CALL \$15.50 / CUSIP: / Symbol: | | | | | | | |
| 12/01/23 | 1.000 | 15.95 | 11/30/23 | 139.35 | ... | -123.40 | Option sale to close-call ²⁵ |
| 12/01/23 | 4.000 | 63.80 | Various | 307.60 | 243.80 W | 0.00 | Total of 4 transactions |
| | Security total: | 79.75 | | 446.95 | 243.80 W | -123.40 | |

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Robinhood Markets Inc. as agent for
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Account 401684022

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|--|
| GME 12/01/2023 CALL \$18.00 / CUSIP: / Symbol: | | | | | | | |
| 11/29/23 | 10.000 | 1,049.67 | Various | 555.30 | ... | 494.37 | Total of 3 transactions |
| 12/01/23 | 9.000 | 21.68 | Various | 532.60 | ... | -510.92 | Total of 6 transactions |
| 12/01/23 | 5.000 | 19.82 | Various | 412.23 | 392.41 W | 0.00 | Total of 4 transactions |
| | Security total: | 1,091.17 | | 1,500.13 | 392.41 W | -16.55 | |
| GME 12/08/2023 CALL \$14.00 / CUSIP: / Symbol: | | | | | | | |
| 11/29/23 | 3.000 | 794.89 | 11/28/23 | 249.09 | ... | 545.80 | Option sale to close-call ²⁵ |
| GME 12/08/2023 CALL \$15.50 / CUSIP: / Symbol: | | | | | | | |
| 12/08/23 | 4.000 | 235.84 | Various | 224.12 | ... | 11.72 | Total of 3 transactions |
| GME 12/08/2023 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 12/04/23 | 1.000 | 209.95 | 11/30/23 | 126.03 | ... | 83.92 | Option sale to close-call ²⁵ |
| 12/08/23 | 1.000 | 39.95 | 12/07/23 | 54.03 | ... | -14.08 | Option sale to close-call ²⁵ |
| | Security total: | 249.90 | | 180.06 | ... | 69.84 | |
| GME 12/08/2023 CALL \$20.00 / CUSIP: / Symbol: | | | | | | | |
| 11/30/23 | 5.000 | 189.83 | 11/29/23 | 345.15 | ... | -155.32 | Option sale to close-call ²⁵ |
| GME 12/15/2023 CALL \$17.50 / CUSIP: / Symbol: | | | | | | | |
| 12/05/23 | 1.000 | 134.95 | 12/01/23 | 124.03 | ... | 10.92 | Option sale to close-call ²⁵ |
| GME 12/15/2023 CALL \$18.00 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 2.000 | 17.91 | Various | 253.27 | ... | -235.36 | Total of 2 transactions |
| 12/15/23 | 3.000 | 26.88 | Various | 215.16 | 188.28 W | 0.00 | Total of 3 transactions |
| | Security total: | 44.79 | | 468.43 | 188.28 W | -235.36 | |
| GME 12/22/2023 CALL \$18.50 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 2.000 | 49.92 | Various | 160.06 | 110.14 W | 0.00 | Total of 2 transactions |
| 12/21/23 | 5.000 | 29.83 | Various | 370.29 | ... | -340.46 | Total of 3 transactions |
| | Security total: | 79.75 | | 530.35 | 110.14 W | -340.46 | |
| GME 12/22/2023 CALL \$20.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 1.000 | 5.95 | 12/06/23 | 93.03 | ... | -87.08 | Option sale to close-call ²⁵ |

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| GME 12/29/2023 CALL \$18.00 / CUSIP: / Symbol: | | | | | | | |
| 12/28/23 | 5.000 | 214.83 | Various | 145.15 | ... | 69.68 | Total of 2 transactions |
| GME 12/29/2023 CALL \$18.50 / CUSIP: / Symbol: | | | | | | | |
| 12/28/23 | 10.000 | 249.67 | Various | 290.30 | 20.31 W | -20.32 | Total of 2 transactions |
| 12/29/23 | 5.000 | 34.83 | Various | 170.46 | ... | -135.63 | Total of 2 transactions |
| | Security total: | 284.50 | | 460.76 | 20.31 W | -155.95 | |
| GME 12/29/2023 CALL \$20.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 5.000 | 154.83 | 12/18/23 | 245.15 | ... | -90.32 | Option sale to close-call ²⁵ |
| GOOG 02/10/2023 CALL \$96.00 / CUSIP: / Symbol: | | | | | | | |
| 02/10/23 | 3.000 | 35.98 | 02/09/23 | 180.00 | ... | -144.02 | Option sale to close-call ²⁵ |
| GOOG 02/10/2023 CALL \$98.00 / CUSIP: / Symbol: | | | | | | | |
| 02/10/23 | 1.000 | 22.98 | 02/09/23 | 77.00 | ... | -54.02 | Option sale to close-call ²⁵ |
| GOOGL 12/15/2023 CALL \$134.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 5.000 | 129.83 | 12/13/23 | 255.15 | ... | -125.32 | Option sale to close-call ²⁵ |
| GOOGL 12/22/2023 CALL \$134.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 2.000 | 139.92 | 12/12/23 | 286.06 | 73.07 W | -73.07 | Option sale to close-call ²⁵ |
| 12/19/23 | 1.000 | 324.95 | 12/18/23 | 206.10 | ... | 118.85 | Option sale to close-call ²⁵ |
| | Security total: | 464.87 | | 492.16 | 73.07 W | 45.78 | |
| GS 12/15/2023 CALL \$357.50 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 1.000 | 1,549.94 | 12/13/23 | 195.03 | ... | 1,354.91 | Option sale to close-call ²⁵ |
| GS 12/15/2023 CALL \$360.00 / CUSIP: / Symbol: | | | | | | | |
| 12/12/23 | 2.000 | 107.90 | Various | 212.06 | ... | -104.16 | Total of 2 transactions |
| GTLB 12/08/2023 CALL \$55.00 / CUSIP: / Symbol: | | | | | | | |
| 12/04/23 | 1.000 | 399.95 | 12/01/23 | 280.03 | ... | 119.92 | Option sale to close-call ²⁵ |
| GTLB 12/08/2023 CALL \$60.00 / CUSIP: / Symbol: | | | | | | | |
| 12/06/23 | 1.000 | 129.95 | 12/05/23 | 200.03 | ... | -70.08 | Option sale to close-call ²⁵ |

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Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

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|--|------------------------|---|-------------------|-------------------------|--|---|---|
| HOOD 12/08/2023 CALL \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 12/06/23 | 10.000 | 859.64 | Various | 250.30 | ... | 609.34 | Total of 3 transactions |
| HOOD 12/22/2023 CALL \$12.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 15.000 | 869.49 | Various | 420.45 | ... | 449.04 | Total of 3 transactions |
| HOOD 12/22/2023 CALL \$13.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 6.000 | 233.80 | 12/19/23 | 150.18 | ... | 83.62 | Option sale to close-call ²⁵ |
| 12/22/23 | 10.000 | 79.67 | 12/21/23 | 180.30 | ... | -100.63 | Option sale to close-call ²⁵ |
| | Security total: | 313.47 | | 330.48 | ... | -17.01 | |
| ILMN 12/22/2023 CALL \$135.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 1.000 | 749.95 | 12/19/23 | 249.03 | ... | 500.92 | Option sale to close-call ²⁵ |
| INTC 12/15/2023 CALL \$45.00 / CUSIP: / Symbol: | | | | | | | |
| 12/11/23 | 5.000 | 319.83 | 12/08/23 | 115.15 | ... | 204.68 | Option sale to close-call ²⁵ |
| 12/12/23 | 5.000 | 214.83 | 12/08/23 | 115.15 | ... | 99.68 | Option sale to close-call ²⁵ |
| | Security total: | 534.66 | | 230.30 | ... | 304.36 | |
| INTC 12/15/2023 CALL \$46.00 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 1.000 | 63.95 | 12/14/23 | 48.03 | ... | 15.92 | Option sale to close-call ²⁵ |
| IOT 12/15/2023 CALL \$35.00 / CUSIP: / Symbol: | | | | | | | |
| 12/06/23 | 2.000 | 223.92 | 12/05/23 | 266.06 | ... | -42.14 | Option sale to close-call ²⁵ |
| IWM 01/05/2024 CALL \$200.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 5.000 | 1,624.82 | 12/04/23 | 310.15 | ... | 1,314.67 | Option sale to close-call ²⁵ |
| IWM 01/12/2024 CALL \$205.00 / CUSIP: / Symbol: | | | | | | | |
| 12/27/23 | 1.000 | 349.95 | 12/26/23 | 317.03 | ... | 32.92 | Option sale to close-call ²⁵ |
| IWM 11/15/2023 CALL \$179.00 / CUSIP: / Symbol: | | | | | | | |
| 11/15/23 | 2.000 | 509.92 | 11/14/23 | 148.06 | ... | 361.86 | Option sale to close-call ²⁵ |
| IWM 11/17/2023 CALL \$183.00 / CUSIP: / Symbol: | | | | | | | |
| 11/16/23 | 1.000 | 8.95 | 11/15/23 | 113.03 | ... | -104.08 | Option sale to close-call ²⁵ |

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| IWM 11/22/2023 CALL \$180.00 / CUSIP: / Symbol: | | | | | | | |
| 11/21/23 | 3.000 | 68.89 | Various | 276.09 | ... | -207.20 | Total of 2 transactions |
| IWM 11/27/2023 CALL \$180.00 / CUSIP: / Symbol: | | | | | | | |
| 11/27/23 | 5.000 | 0.00 | 11/24/23 | 260.15 | ... | -260.15 | Option expiration- long call |
| IWM 12/01/2023 CALL \$180.00 / CUSIP: / Symbol: | | | | | | | |
| 11/29/23 | 5.000 | 999.83 | Various | 375.15 | ... | 624.68 | Total of 3 transactions |
| IWM 12/01/2023 CALL \$182.50 / CUSIP: / Symbol: | | | | | | | |
| 11/30/23 | 3.000 | 89.89 | 11/29/23 | 249.09 | ... | -159.20 | Option sale to close-call ²⁵ |
| IWM 12/04/2023 CALL \$183.00 / CUSIP: / Symbol: | | | | | | | |
| 12/04/23 | 4.000 | 1,079.86 | Various | 178.12 | ... | 901.74 | Total of 2 transactions |
| IWM 12/06/2023 CALL \$185.00 / CUSIP: / Symbol: | | | | | | | |
| 12/04/23 | 3.000 | 607.87 | Various | 273.09 | ... | 334.78 | Total of 2 transactions |
| IWM 12/08/2023 CALL \$186.00 / CUSIP: / Symbol: | | | | | | | |
| 12/08/23 | 1.000 | 47.95 | 12/07/23 | 104.03 | ... | -56.08 | Option sale to close-call ²⁵ |
| IWM 12/11/2023 CALL \$190.00 / CUSIP: / Symbol: | | | | | | | |
| 12/08/23 | 5.000 | 49.83 | 12/07/23 | 150.15 | ... | -100.32 | Option sale to close-call ²⁵ |
| IWM 12/13/2023 CALL \$190.00 / CUSIP: / Symbol: | | | | | | | |
| 12/12/23 | 9.000 | 197.59 | Various | 1,284.59 | 1,087.00 W | 0.00 | Total of 7 transactions |
| 12/13/23 | 10.000 | 999.67 | Various | 984.98 | ... | 14.69 | Total of 5 transactions |
| | Security total: | 1,197.26 | | 2,269.57 | 1,087.00 W | 14.69 | |
| IWM 12/15/2023 CALL \$190.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 4.000 | 3,599.84 | Various | 197.12 | ... | 3,402.72 | Total of 4 transactions |
| IWM 12/15/2023 CALL \$192.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 2.000 | 1,399.91 | Various | 232.06 | ... | 1,167.85 | Total of 2 transactions |
| IWM 12/18/2023 CALL \$200.00 / CUSIP: / Symbol: | | | | | | | |
| 12/18/23 | 2.000 | 11.92 | 12/15/23 | 206.06 | ... | -194.14 | Option sale to close-call ²⁵ |

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|---|----------|---|-------------------|-------------------------|--|---|---|
| IWM 12/20/2023 CALL \$201.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 5.000 | 109.83 | Various | 255.15 | ... | -145.32 | Total of 2 transactions |
| IWM 12/20/2023 CALL \$202.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 10.000 | 0.00 | 12/20/23 | 320.30 | ... | -320.30 | Option expiration- long call |
| IWM 12/22/2023 CALL \$200.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 5.000 | 749.83 | Various | 478.15 | ... | 271.68 | Total of 3 transactions |
| 12/22/23 | 5.000 | 559.83 | 12/21/23 | 390.15 | ... | 169.68 | Option sale to close-call ²⁵ |
| Security total: | | 1,309.66 | | 868.30 | ... | 441.36 | |
| IWM 12/22/2023 CALL \$201.00 / CUSIP: / Symbol: | | | | | | | |
| 12/22/23 | 3.000 | 344.89 | Various | 447.09 | ... | -102.20 | Total of 2 transactions |
| IWM 12/22/2023 CALL \$202.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 1.000 | 63.95 | 12/19/23 | 108.11 | ... | -44.16 | Option sale to close-call ²⁵ |
| 12/20/23 | 1.000 | 63.95 | 12/19/23 | 86.03 | 22.08 W | 0.00 | Option sale to close-call ²⁵ |
| Security total: | | 127.90 | | 194.14 | 22.08 W | -44.16 | |
| IWM 12/29/2023 CALL \$205.00 / CUSIP: / Symbol: | | | | | | | |
| 12/28/23 | 1.000 | 84.95 | 12/27/23 | 136.03 | ... | -51.08 | Option sale to close-call ²⁵ |
| JD 11/17/2023 CALL \$28.50 / CUSIP: / Symbol: | | | | | | | |
| 11/15/23 | 5.000 | 239.83 | 11/14/23 | 150.15 | ... | 89.68 | Option sale to close-call ²⁵ |
| JD 11/17/2023 CALL \$29.00 / CUSIP: / Symbol: | | | | | | | |
| 11/16/23 | 2.000 | 3.92 | Various | 70.06 | ... | -66.14 | Total of 2 transactions |
| JD 11/17/2023 CALL \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 11/14/23 | 10.000 | 99.67 | Various | 240.30 | 140.63 W | 0.00 | Total of 5 transactions |
| 11/16/23 | 10.000 | 9.62 | Various | 370.93 | ... | -361.31 | Total of 6 transactions |
| Security total: | | 109.29 | | 611.23 | 140.63 W | -361.31 | |
| JNJ 10/13/2023 CALL \$157.50 / CUSIP: / Symbol: | | | | | | | |
| 10/12/23 | 1.000 | 17.98 | 10/11/23 | 65.00 | ... | -47.02 | Option sale to close-call ²⁵ |
| JWN 11/24/2023 CALL \$16.50 / CUSIP: / Symbol: | | | | | | | |
| 11/22/23 | 10.000 | 9.67 | 11/20/23 | 480.30 | ... | -470.63 | Option sale to close-call ²⁵ |

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| LCID 01/27/2023 CALL \$10.00 / CUSIP: / Symbol: 01/27/23 | 20.000 | 1,999.90 | Various | 320.00 | ... | 1,679.90 | Total of 2 transactions |
| LCID 02/03/2023 CALL \$11.50 / CUSIP: / Symbol: 01/30/23 | 1.000 | 199.98 | 01/27/23 | 104.00 | ... | 95.98 | Option sale to close-call ²⁵ |
| LCID 02/03/2023 CALL \$15.00 / CUSIP: / Symbol: 02/03/23 | 10.000 | 39.95 | Various | 150.00 | ... | -110.05 | Total of 2 transactions |
| LCID 02/17/2023 CALL \$12.50 / CUSIP: / Symbol: 02/16/23 | 10.000 | 49.97 | 02/15/23 | 110.00 | ... | -60.03 | Option sale to close-call ²⁵ |
| LCID 02/24/2023 CALL \$12.00 / CUSIP: / Symbol: 02/24/23 | 1.000 | 0.00 | 02/16/23 | 72.00 | ... | -72.00 | Option expiration- long call |
| LCID 04/28/2023 CALL \$8.00 / CUSIP: / Symbol: 04/26/23 | 5.000 | 44.98 | Various | 195.00 | ... | -150.02 | Total of 2 transactions |
| LCID 08/18/2023 CALL \$10.00 / CUSIP: / Symbol: 08/07/23 | 2.000 | 7.98 | Various | 14.00 | ... | -6.02 | Total of 2 transactions |
| LCID 11/03/2023 CALL \$4.50 / CUSIP: / Symbol: 11/03/23 | 10.000 | 109.64 | Various | 80.30 | ... | 29.34 | Total of 5 transactions |
| LCID 11/10/2023 CALL \$5.00 / CUSIP: / Symbol: 11/06/23 | 10.000 | 109.67 | Various | 310.98 | ... | -201.31 | Total of 4 transactions |
| 11/06/23 | 10.000 | 109.62 | Various | 397.14 | 287.52 W | 0.00 | Total of 9 transactions |
| | Security total: | 219.29 | | 708.12 | 287.52 W | -201.31 | |
| LCID 11/24/2023 CALL \$4.50 / CUSIP: / Symbol: 11/22/23 | 20.000 | 19.34 | Various | 140.60 | ... | -121.26 | Total of 6 transactions |
| LCID 12/15/2023 CALL \$4.50 / CUSIP: / Symbol: 12/14/23 | 10.000 | 429.67 | 12/13/23 | 40.30 | ... | 389.37 | Option sale to close-call ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 401684022

Proceeds from Broker and Barter Exchange Transactions

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02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|---|
| LCID 12/15/2023 CALL \$5.00 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 6.000 | 29.78 | Various | 100.44 | ... | -70.66 | Total of 4 transactions |
| 12/15/23 | 4.000 | 19.86 | Various | 55.19 | 35.33 W | 0.00 | Total of 3 transactions |
| | Security total: | 49.64 | | 155.63 | 35.33 W | -70.66 | |
| LCID 12/22/2023 CALL \$4.50 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 10.000 | 549.67 | Various | 130.30 | ... | 419.37 | Total of 5 transactions |
| LLAP 03/17/2023 CALL \$4.00 / CUSIP: / Symbol: | | | | | | | |
| 03/01/23 | 1.000 | 4.98 | 02/27/23 | 40.00 | ... | -35.02 | Option sale to close-call ²⁵ |
| LULU 12/15/2023 CALL \$500.00 / CUSIP: / Symbol: | | | | | | | |
| 12/06/23 | 1.000 | 400.95 | 12/05/23 | 485.03 | ... | -84.08 | Option sale to close-call ²⁵ |
| LVS 12/22/2023 CALL \$49.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 3.000 | 179.85 | Various | 165.09 | ... | 14.76 | Total of 3 transactions |
| LVS 12/29/2023 CALL \$49.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 3.000 | 260.89 | 12/19/23 | 249.09 | ... | 11.80 | Option sale to close-call ²⁵ |
| M 11/17/2023 CALL \$13.00 / CUSIP: / Symbol: | | | | | | | |
| 11/16/23 | 5.000 | 359.79 | Various | 230.15 | ... | 129.64 | Total of 3 transactions |
| M 11/17/2023 CALL \$14.00 / CUSIP: / Symbol: | | | | | | | |
| 11/17/23 | 10.000 | 199.67 | Various | 230.30 | ... | -30.63 | Total of 8 transactions |
| MA 12/22/2023 CALL \$430.00 / CUSIP: / Symbol: | | | | | | | |
| 12/22/23 | 2.000 | 7.92 | 12/20/23 | 196.06 | ... | -188.14 | Option sale to close-call ²⁵ |
| MARA 02/16/2024 CALL \$20.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 1.000 | 504.95 | 12/04/23 | 150.03 | ... | 354.92 | Option sale to close-call ²⁵ |
| MARA 02/16/2024 CALL \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 2.000 | 650.90 | Various | 416.06 | ... | 234.84 | Total of 2 transactions |
| MARA 04/28/2023 CALL \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 04/26/23 | 1.000 | 44.98 | 04/25/23 | 20.00 | ... | 24.98 | Option sale to close-call ²⁵ |

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| MARA 05/05/2023 CALL \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 05/03/23 | 5.000 | 109.98 | Various | 130.00 | ... | -20.02 | Total of 3 transactions |
| MARA 11/17/2023 CALL \$11.00 / CUSIP: / Symbol: | | | | | | | |
| 11/16/23 | 1.000 | 3.95 | 11/15/23 | 19.03 | ... | -15.08 | Option sale to close-call ²⁵ |
| MARA 11/24/2023 CALL \$9.50 / CUSIP: / Symbol: | | | | | | | |
| 11/15/23 | 5.000 | 364.79 | Various | 220.15 | ... | 144.64 | Total of 4 transactions |
| MARA 12/08/2023 CALL \$12.00 / CUSIP: / Symbol: | | | | | | | |
| 11/28/23 | 10.000 | 849.67 | Various | 440.30 | ... | 409.37 | Total of 4 transactions |
| MARA 12/08/2023 CALL \$12.50 / CUSIP: / Symbol: | | | | | | | |
| 12/01/23 | 8.000 | 919.70 | Various | 534.24 | ... | 385.46 | Total of 4 transactions |
| MARA 12/08/2023 CALL \$15.00 / CUSIP: / Symbol: | | | | | | | |
| 12/04/23 | 15.000 | 1,084.50 | Various | 340.45 | ... | 744.05 | Total of 9 transactions |
| MARA 12/08/2023 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 12/05/23 | 5.000 | 294.81 | Various | 210.15 | ... | 84.66 | Total of 2 transactions |
| 12/06/23 | 3.000 | 143.89 | 12/05/23 | 222.09 | ... | -78.20 | Option sale to close-call ²⁵ |
| | Security total: | 438.70 | | 432.24 | ... | 6.46 | |
| MARA 12/15/2023 CALL \$15.50 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 5.000 | 699.79 | Various | 205.15 | ... | 494.64 | Total of 3 transactions |
| MARA 12/15/2023 CALL \$16.50 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 2.000 | 132.90 | Various | 186.06 | ... | -53.16 | Total of 2 transactions |
| MARA 12/15/2023 CALL \$17.50 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 3.000 | 185.89 | Various | 126.09 | ... | 59.80 | Total of 3 transactions |
| MARA 12/22/2023 CALL \$15.50 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 2.000 | 413.92 | Various | 176.06 | ... | 237.86 | Total of 2 transactions |
| MARA 12/22/2023 CALL \$20.00 / CUSIP: / Symbol: | | | | | | | |
| 12/18/23 | 5.000 | 434.81 | Various | 280.15 | ... | 154.66 | Total of 4 transactions |

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|--|----------|---|-------------------|-------------------------|--|---|---|
| MDB 12/08/2023 CALL \$500.00 / CUSIP: / Symbol: | | | | | | | |
| 12/05/23 | 1.000 | 293.95 | 12/04/23 | 430.03 | ... | -136.08 | Option sale to close-call ²⁵ |
| META 12/15/2023 CALL \$335.00 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 1.000 | 251.95 | 12/14/23 | 162.03 | ... | 89.92 | Option sale to close-call ²⁵ |
| META 12/15/2023 CALL \$340.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 1.000 | 49.95 | 12/13/23 | 392.11 | ... | -342.16 | Option sale to close-call ²⁵ |
| 12/14/23 | 1.000 | 49.95 | 12/13/23 | 265.03 | 215.08 W | 0.00 | Option sale to close-call ²⁵ |
| Security total: | | 99.90 | | 657.14 | 215.08 W | -342.16 | |
| MRNA 12/29/2023 CALL \$103.00 / CUSIP: / Symbol: | | | | | | | |
| 12/28/23 | 2.000 | 69.92 | 12/27/23 | 144.06 | ... | -74.14 | Option sale to close-call ²⁵ |
| MSFT 01/27/2023 CALL \$250.00 / CUSIP: / Symbol: | | | | | | | |
| 01/24/23 | 1.000 | 281.98 | 01/24/23 | 295.00 | ... | -13.02 | Option sale to close-call ²⁵ |
| MSFT 07/21/2023 CALL \$370.00 / CUSIP: / Symbol: | | | | | | | |
| 07/18/23 | 1.000 | 234.98 | 07/18/23 | 94.00 | ... | 140.98 | Option sale to close-call ²⁵ |
| MSFT 12/15/2023 PUT \$372.50 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 1.000 | 399.95 | 12/13/23 | 175.03 | ... | 224.92 | Option sale to close-put ²⁵ |
| MSFT 12/22/2023 CALL \$375.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 3.000 | 674.89 | 12/19/23 | 549.09 | ... | 125.80 | Option sale to close-call ²⁵ |
| MSOS 12/22/2023 CALL \$8.00 / CUSIP: / Symbol: | | | | | | | |
| 12/12/23 | 5.000 | 114.83 | Various | 220.15 | ... | -105.32 | Total of 2 transactions |
| MSTR 12/08/2023 CALL \$550.00 / CUSIP: / Symbol: | | | | | | | |
| 12/04/23 | 1.000 | 2,114.94 | 11/30/23 | 440.03 | ... | 1,674.91 | Option sale to close-call ²⁵ |
| MSTR 12/08/2023 CALL \$600.00 / CUSIP: / Symbol: | | | | | | | |
| 12/05/23 | 1.000 | 659.95 | 12/04/23 | 590.03 | ... | 69.92 | Option sale to close-call ²⁵ |
| NFLX 01/20/2023 CALL \$380.00 / CUSIP: / Symbol: | | | | | | | |
| 01/19/23 | 1.000 | 74.98 | 01/18/23 | 196.00 | ... | -121.02 | Option sale to close-call ²⁵ |

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|--|------------------------|---|-------------------|-------------------------|--|---|---|
| NIO 01/26/2024 CALL \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 5.000 | 139.81 | Various | 200.15 | ... | -60.34 | Total of 2 transactions |
| NIO 12/08/2023 CALL \$8.00 / CUSIP: / Symbol: | | | | | | | |
| 12/06/23 | 10.000 | 69.63 | Various | 190.30 | ... | -120.67 | Total of 3 transactions |
| NIO 12/15/2023 CALL \$8.00 / CUSIP: / Symbol: | | | | | | | |
| 12/12/23 | 10.000 | 39.60 | Various | 100.30 | ... | -60.70 | Total of 5 transactions |
| NIO 12/22/2023 CALL \$8.50 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 10.000 | 379.66 | Various | 150.30 | ... | 229.36 | Total of 2 transactions |
| 12/22/23 | 10.000 | 89.63 | Various | 120.30 | ... | -30.67 | Total of 3 transactions |
| | Security total: | 469.29 | | 270.60 | ... | 198.69 | |
| NIO 12/22/2023 CALL \$9.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 10.000 | 39.67 | Various | 250.30 | ... | -210.63 | Total of 2 transactions |
| NIO 12/29/2023 CALL \$8.50 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 5.000 | 304.83 | Various | 215.15 | ... | 89.68 | Total of 4 transactions |
| 12/22/23 | 10.000 | 319.63 | Various | 251.30 | ... | 68.33 | Total of 4 transactions |
| | Security total: | 624.46 | | 466.45 | ... | 158.01 | |
| NIO 12/29/2023 CALL \$9.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 9.000 | 125.70 | Various | 336.35 | ... | -210.65 | Total of 6 transactions |
| 12/20/23 | 1.000 | 13.95 | 12/19/23 | 35.03 | 21.08 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 139.65 | | 371.38 | 21.08 W | -210.65 | |
| NTES 01/19/2024 CALL \$90.00 / CUSIP: / Symbol: | | | | | | | |
| 12/26/23 | 2.000 | 1,299.91 | Various | 853.06 | ... | 446.85 | Total of 2 transactions |
| NVDA 05/26/2023 CALL \$345.00 / CUSIP: / Symbol: | | | | | | | |
| 05/18/23 | 1.000 | 325.98 | 05/18/23 | 317.00 | ... | 8.98 | Option sale to close-call ²⁵ |
| NVDA 10/20/2023 CALL \$450.00 / CUSIP: / Symbol: | | | | | | | |
| 10/18/23 | 1.000 | 62.98 | 10/17/23 | 313.00 | ... | -250.02 | Option sale to close-call ²⁵ |

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|--|------------------------|---|-------------------|-------------------------|--|---|---|
| NVDA 11/17/2023 CALL \$500.00 / CUSIP: / Symbol: | | | | | | | |
| 11/15/23 | 1.000 | 206.95 | 11/14/23 | 560.03 | 353.08 W | 0.00 | Option sale to close-call ²⁵ |
| 11/17/23 | 1.000 | 19.95 | 11/15/23 | 566.11 | ... | -546.16 | Option sale to close-call ²⁵ |
| | Security total: | 226.90 | | 1,126.14 | 353.08 W | -546.16 | |
| NVDA 11/24/2023 CALL \$500.00 / CUSIP: / Symbol: | | | | | | | |
| 11/24/23 | 1.000 | 0.00 | 11/22/23 | 103.03 | ... | -103.03 | Option expiration- long call |
| NVDA 11/24/2023 CALL \$505.00 / CUSIP: / Symbol: | | | | | | | |
| 11/24/23 | 2.000 | 11.92 | Various | 649.06 | ... | -637.14 | Total of 2 transactions |
| NVDA 11/24/2023 CALL \$540.00 / CUSIP: / Symbol: | | | | | | | |
| 11/21/23 | 1.000 | 474.95 | 11/20/23 | 498.03 | ... | -23.08 | Option sale to close-call ²⁵ |
| NVDA 11/24/2023 CALL \$550.00 / CUSIP: / Symbol: | | | | | | | |
| 11/22/23 | 1.000 | 5.95 | 11/21/23 | 380.03 | ... | -374.08 | Option sale to close-call ²⁵ |
| NVDA 11/24/2023 CALL \$600.00 / CUSIP: / Symbol: | | | | | | | |
| 11/21/23 | 10.000 | 709.60 | Various | 980.30 | ... | -270.70 | Total of 5 transactions |
| NVDA 12/01/2023 CALL \$485.00 / CUSIP: / Symbol: | | | | | | | |
| 11/30/23 | 1.000 | 144.95 | 11/28/23 | 283.03 | ... | -138.08 | Option sale to close-call ²⁵ |
| NVDA 12/01/2023 CALL \$490.00 / CUSIP: / Symbol: | | | | | | | |
| 11/28/23 | 1.000 | 167.95 | 11/27/23 | 484.03 | ... | -316.08 | Option sale to close-call ²⁵ |
| NVDA 12/08/2023 CALL \$465.00 / CUSIP: / Symbol: | | | | | | | |
| 12/05/23 | 1.000 | 584.95 | 12/04/23 | 345.03 | ... | 239.92 | Option sale to close-call ²⁵ |
| NVDA 12/08/2023 CALL \$475.00 / CUSIP: / Symbol: | | | | | | | |
| 12/06/23 | 1.000 | 374.95 | 12/05/23 | 284.03 | ... | 90.92 | Option sale to close-call ²⁵ |
| NVDA 12/08/2023 CALL \$480.00 / CUSIP: / Symbol: | | | | | | | |
| 12/05/23 | 1.000 | 120.95 | 12/01/23 | 365.03 | ... | -244.08 | Option sale to close-call ²⁵ |
| NVDA 12/08/2023 CALL \$500.00 / CUSIP: / Symbol: | | | | | | | |
| 12/05/23 | 2.000 | 65.92 | 11/30/23 | 228.06 | ... | -162.14 | Option sale to close-call ²⁵ |

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|--|------------------------|---|-------------------|-------------------------|--|---|---|
| NVDA 12/15/2023 CALL \$480.00 / CUSIP: / Symbol: | | | | | | | |
| 12/12/23 | 1.000 | 244.96 | 12/11/23 | 250.03 | ... | -5.07 | Option sale to close-call ²⁵ |
| 12/12/23 | 1.000 | 244.96 | 12/11/23 | 410.03 | 165.07 W | 0.00 | Option sale to close-call ²⁵ |
| 12/13/23 | 1.000 | 709.95 | 12/12/23 | 437.10 | ... | 272.85 | Option sale to close-call ²⁵ |
| | Security total: | 1,199.87 | | 1,097.16 | 165.07 W | 267.78 | |
| NVDA 12/15/2023 CALL \$485.00 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 1.000 | 749.95 | 12/14/23 | 258.03 | ... | 491.92 | Option sale to close-call ²⁵ |
| NVDA 12/15/2023 CALL \$500.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 5.000 | 129.83 | Various | 469.15 | ... | -339.32 | Total of 5 transactions |
| NVDA 12/22/2023 CALL \$500.00 / CUSIP: / Symbol: | | | | | | | |
| 12/18/23 | 1.000 | 899.95 | 12/15/23 | 560.03 | ... | 339.92 | Option sale to close-call ²⁵ |
| NVDA 12/22/2023 CALL \$505.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 1.000 | 228.95 | 12/18/23 | 500.03 | ... | -271.08 | Option sale to close-call ²⁵ |
| NVDA 12/22/2023 CALL \$510.00 / CUSIP: / Symbol: | | | | | | | |
| 12/12/23 | 2.000 | 230.90 | Various | 595.14 | 364.24 W | 0.00 | Total of 2 transactions |
| 12/19/23 | 1.000 | 227.95 | 12/18/23 | 696.19 | ... | -468.24 | Option sale to close-call ²⁵ |
| | Security total: | 458.85 | | 1,291.33 | 364.24 W | -468.24 | |
| NVDA 12/29/2023 CALL \$500.00 / CUSIP: / Symbol: | | | | | | | |
| 12/27/23 | 1.000 | 185.95 | 12/26/23 | 409.03 | 223.08 W | 0.00 | Option sale to close-call ²⁵ |
| 12/29/23 | 2.000 | 103.92 | Various | 646.14 | ... | -542.22 | Total of 2 transactions |
| | Security total: | 289.87 | | 1,055.17 | 223.08 W | -542.22 | |
| OKTA 10/27/2023 PUT \$78.00 / CUSIP: / Symbol: | | | | | | | |
| 10/23/23 | 1.000 | 814.98 | 10/20/23 | 206.00 | ... | 608.98 | Option sale to close-put ²⁵ |
| OKTA 12/22/2023 CALL \$89.00 / CUSIP: / Symbol: | | | | | | | |
| 12/22/23 | 2.000 | 89.92 | 12/20/23 | 126.06 | ... | -36.14 | Option sale to close-call ²⁵ |
| OPEN 12/22/2023 CALL \$4.50 / CUSIP: / Symbol: | | | | | | | |
| 12/22/23 | 10.000 | 39.67 | 12/20/23 | 140.30 | ... | -100.63 | Option sale to close-call ²⁵ |

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|--|----------|---|-------------------|-------------------------|--|---|---|
| ORCL 12/15/2023 CALL \$102.00 / CUSIP: / Symbol: 12/14/23 | 1.000 | 67.95 | 12/13/23 | 51.03 | ... | 16.92 | Option sale to close-call ²⁵ |
| ORCL 12/15/2023 CALL \$116.00 / CUSIP: / Symbol: 12/11/23 | 2.000 | 599.92 | Various | 438.06 | ... | 161.86 | Total of 2 transactions |
| ORCL 12/15/2023 CALL \$120.00 / CUSIP: / Symbol: 12/15/23 | 1.000 | 0.00 | 12/11/23 | 168.03 | ... | -168.03 | Option expiration- long call |
| OXY 12/08/2023 CALL \$60.00 / CUSIP: / Symbol: 12/04/23 | 5.000 | 49.81 | Various | 205.15 | ... | -155.34 | Total of 2 transactions |
| PANW 12/08/2023 CALL \$295.00 / CUSIP: / Symbol: 12/05/23 | 1.000 | 104.95 | 12/04/23 | 153.03 | ... | -48.08 | Option sale to close-call ²⁵ |
| PATH 12/01/2023 CALL \$22.00 / CUSIP: / Symbol: 12/01/23 | 6.000 | 899.80 | Various | 235.18 | ... | 664.62 | Total of 2 transactions |
| PDD 12/01/2023 PUT \$105.00 / CUSIP: / Symbol: 11/28/23 | 2.000 | 1.92 | 11/27/23 | 198.06 | ... | -196.14 | Option sale to close-put ²⁵ |
| PDD 12/15/2023 CALL \$150.00 / CUSIP: / Symbol: 12/14/23 | 1.000 | 77.95 | 12/12/23 | 68.03 | ... | 9.92 | Option sale to close-call ²⁵ |
| PLTR 01/19/2024 CALL \$25.00 / CUSIP: / Symbol: 12/19/23 | 10.000 | 99.67 | Various | 150.30 | ... | -50.63 | Total of 2 transactions |
| PLUG 11/17/2023 CALL \$4.00 / CUSIP: / Symbol: 11/14/23 | 10.000 | 209.67 | 11/10/23 | 200.30 | ... | 9.37 | Option sale to close-call ²⁵ |
| PYPL 11/03/2023 PUT \$45.00 / CUSIP: / Symbol: 11/03/23 | 10.000 | 0.00 | Various | 280.30 | ... | -280.30 | Total of 2 transactions |
| PYPL 12/08/2023 PUT \$58.00 / CUSIP: / Symbol: 12/08/23 | 2.000 | 101.90 | Various | 88.06 | ... | 13.84 | Total of 2 transactions |
| QQQ 01/06/2023 PUT \$260.00 / CUSIP: / Symbol: 01/05/23 | 1.000 | 103.98 | 01/04/23 | 131.00 | ... | -27.02 | Option sale to close-put ²⁵ |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 401684022

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| QQQ 01/12/2023 CALL \$280.00 / CUSIP: / Symbol: 01/12/23 | 10.000 | 89.97 | 01/12/23 | 240.00 | ... | -150.03 | Option sale to close-call ²⁵ |
| QQQ 01/26/2023 CALL \$293.00 / CUSIP: / Symbol: 01/26/23 | 10.000 | 189.92 | Various | 110.00 | ... | 79.92 | Total of 6 transactions |
| QQQ 02/01/2023 PUT \$295.00 / CUSIP: / Symbol: 02/01/23 | 10.000 | 109.97 | Various | 250.00 | ... | -140.03 | Total of 2 transactions |
| QQQ 02/02/2023 PUT \$290.00 / CUSIP: / Symbol: 02/02/23 | 1.000 | 1.98 | 02/01/23 | 170.00 | ... | -168.02 | Option sale to close-put ²⁵ |
| QQQ 02/08/2023 PUT \$294.00 / CUSIP: / Symbol: 02/08/23 | 13.000 | 0.00 | Various | 332.04 | ... | -332.04 | Total of 5 transactions |
| 02/08/23 | 8.000 | 7.96 | Various | 152.00 | 144.04 W | 0.00 | Total of 2 transactions |
| Security total: | | 7.96 | | 484.04 | 144.04 W | -332.04 | |
| QQQ 02/10/2023 CALL \$300.00 / CUSIP: / Symbol: 02/10/23 | 10.000 | 99.97 | Various | 300.00 | ... | -200.03 | Total of 2 transactions |
| QQQ 02/14/2023 CALL \$310.00 / CUSIP: / Symbol: 02/14/23 | 1.000 | 9.98 | 02/13/23 | 122.00 | ... | -112.02 | Option sale to close-call ²⁵ |
| QQQ 02/14/2023 PUT \$290.00 / CUSIP: / Symbol: 02/14/23 | 11.000 | 43.96 | Various | 274.00 | ... | -230.04 | Total of 2 transactions |
| QQQ 02/14/2023 PUT \$296.00 / CUSIP: / Symbol: 02/14/23 | 2.000 | 5.98 | 02/13/23 | 198.00 | ... | -192.02 | Option sale to close-put ²⁵ |
| QQQ 02/14/2023 PUT \$303.00 / CUSIP: / Symbol: 02/14/23 | 10.000 | 209.96 | Various | 500.00 | ... | -290.04 | Total of 2 transactions |
| QQQ 02/17/2023 PUT \$296.00 / CUSIP: / Symbol: 02/17/23 | 10.000 | 39.97 | 02/17/23 | 230.00 | ... | -190.03 | Option sale to close-put ²⁵ |
| QQQ 03/03/2023 CALL \$300.00 / CUSIP: / Symbol: 03/03/23 | 1.000 | 11.98 | 03/03/23 | 12.00 | ... | -0.02 | Option sale to close-call ²⁵ |

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Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

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02/12/2024

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|---|----------|---|-------------------|-------------------------|--|---|---|
| QQQ 03/07/2023 PUT \$291.00 / CUSIP: / Symbol: 03/07/23 | 1.000 | 0.00 | 03/07/23 | 11.00 | ... | -11.00 | Option expiration- long put |
| QQQ 04/12/2023 CALL \$319.00 / CUSIP: / Symbol: 04/12/23 | 2.000 | 0.00 | Various | 30.00 | ... | -30.00 | Total of 2 transactions |
| QQQ 04/21/2023 CALL \$317.00 / CUSIP: / Symbol: 04/21/23 | 1.000 | 13.98 | 04/21/23 | 41.00 | ... | -27.02 | Option sale to close-call ²⁵ |
| QQQ 04/28/2023 CALL \$322.00 / CUSIP: / Symbol: 04/28/23 | 10.000 | 189.97 | 04/28/23 | 390.00 | ... | -200.03 | Option sale to close-call ²⁵ |
| QQQ 05/03/2023 PUT \$319.00 / CUSIP: / Symbol: 05/03/23 | 1.000 | 60.98 | 05/03/23 | 101.00 | ... | -40.02 | Option sale to close-put ²⁵ |
| QQQ 05/05/2023 CALL \$322.50 / CUSIP: / Symbol: 05/05/23 | 5.000 | 464.98 | 05/05/23 | 400.00 | ... | 64.98 | Option sale to close-call ²⁵ |
| QQQ 05/19/2023 PUT \$335.00 / CUSIP: / Symbol: 05/19/23 | 5.000 | 39.98 | 05/19/23 | 250.00 | ... | -210.02 | Option sale to close-put ²⁵ |
| QQQ 09/20/2023 CALL \$375.00 / CUSIP: / Symbol: 09/20/23 | 99.000 | 395.73 | Various | 896.00 | ... | -500.27 | Total of 4 transactions |
| 09/20/23 | 1.000 | 4.00 | 09/20/23 | 9.00 | 5.00 W | 0.00 | Option sale to close-call ²⁵ |
| Security total: | | 399.73 | | 905.00 | 5.00 W | -500.27 | |
| QQQ 09/28/2023 PUT \$358.00 / CUSIP: / Symbol: 09/28/23 | 5.000 | 99.98 | 09/28/23 | 185.00 | ... | -85.02 | Option sale to close-put ²⁵ |
| QQQ 09/29/2023 PUT \$358.00 / CUSIP: / Symbol: 09/29/23 | 3.000 | 110.98 | 09/29/23 | 126.00 | ... | -15.02 | Option sale to close-put ²⁵ |
| QQQ 09/29/2023 PUT \$360.00 / CUSIP: / Symbol: 09/29/23 | 2.000 | 181.98 | 09/29/23 | 126.00 | ... | 55.98 | Option sale to close-put ²⁵ |
| QQQ 10/06/2023 CALL \$366.00 / CUSIP: / Symbol: 10/06/23 | 7.000 | 181.97 | 10/06/23 | 161.00 | ... | 20.97 | Option sale to close-call ²⁵ |

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Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

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02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|---|----------|---|-------------------|-------------------------|--|---|---|
| QQQ 10/13/2023 CALL \$367.50 / CUSIP: / Symbol: 10/13/23 | 10.000 | 519.97 | 10/13/23 | 400.00 | ... | 119.97 | Option sale to close-call ²⁵ |
| QQQ 10/16/2023 PUT \$368.00 / CUSIP: / Symbol: 10/16/23 | 10.000 | 749.97 | 10/16/23 | 200.00 | ... | 549.97 | Option sale to close-put ²⁵ |
| QQQ 10/19/2023 PUT \$360.00 / CUSIP: / Symbol: 10/19/23 | 20.000 | 299.94 | Various | 250.00 | ... | 49.94 | Total of 5 transactions |
| QQQ 10/20/2023 PUT \$355.00 / CUSIP: / Symbol: 10/20/23 | 10.000 | 399.97 | Various | 350.00 | ... | 49.97 | Total of 2 transactions |
| QQQ 10/20/2023 PUT \$360.00 / CUSIP: / Symbol: 10/20/23 | 1.000 | 319.98 | 10/19/23 | 141.00 | ... | 178.98 | Option sale to close-put ²⁵ |
| QQQ 10/24/2023 CALL \$362.00 / CUSIP: / Symbol: 10/24/23 | 20.000 | 59.34 | Various | 300.60 | ... | -241.26 | Total of 2 transactions |
| QQQ 10/26/2023 CALL \$347.00 / CUSIP: / Symbol: 10/26/23 | 10.000 | 255.64 | Various | 340.30 | ... | -84.66 | Total of 3 transactions |
| QQQ 10/27/2023 CALL \$346.00 / CUSIP: / Symbol: 10/27/23 | 10.000 | 199.60 | Various | 300.30 | ... | -100.70 | Total of 5 transactions |
| QQQ 11/01/2023 CALL \$357.00 / CUSIP: / Symbol: 11/01/23 | 10.000 | 359.67 | 11/01/23 | 330.30 | ... | 29.37 | Option sale to close-call ²⁵ |
| QQQ 11/02/2023 CALL \$355.00 / CUSIP: / Symbol: 11/02/23 | 1.000 | 597.95 | 11/01/23 | 142.03 | ... | 455.92 | Option sale to close-call ²⁵ |
| QQQ 11/02/2023 CALL \$359.00 / CUSIP: / Symbol: 11/02/23 | 5.000 | 1,194.83 | 11/01/23 | 470.15 | ... | 724.68 | Option sale to close-call ²⁵ |
| QQQ 11/02/2023 CALL \$364.00 / CUSIP: / Symbol: 11/02/23 | 10.000 | 519.67 | 11/02/23 | 260.30 | ... | 259.37 | Option sale to close-call ²⁵ |
| QQQ 11/03/2023 CALL \$369.00 / CUSIP: / Symbol: 11/03/23 | 100.000 | 2,321.69 | Various | 2,003.00 | ... | 318.69 | Total of 6 transactions |

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| QQQ 11/06/2023 CALL \$368.00 / CUSIP: / Symbol: 11/03/23 | 5.000 | 699.83 | 11/02/23 | 330.15 | ... | 369.68 | Option sale to close-call ²⁵ |
| QQQ 11/09/2023 PUT \$370.00 / CUSIP: / Symbol: 11/09/23 | 32.000 | 958.95 | Various | 636.96 | ... | 321.99 | Total of 5 transactions |
| QQQ 11/10/2023 CALL \$378.00 / CUSIP: / Symbol: 11/10/23 | 10.000 | 399.67 | Various | 240.30 | ... | 159.37 | Total of 2 transactions |
| QQQ 11/10/2023 PUT \$369.00 / CUSIP: / Symbol: 11/10/23 | 3.000 | 113.89 | Various | 245.09 | ... | -131.20 | Total of 2 transactions |
| QQQ 11/14/2023 CALL \$382.00 / CUSIP: / Symbol: 11/14/23 | 10.000 | 2,999.65 | 11/13/23 | 380.30 | ... | 2,619.35 | Option sale to close-call ²⁵ |
| QQQ 11/15/2023 CALL \$386.00 / CUSIP: / Symbol: 11/15/23 | 6.000 | 1,247.80 | Various | 668.18 | ... | 579.62 | Total of 5 transactions |
| QQQ 11/17/2023 CALL \$386.00 / CUSIP: / Symbol: 11/17/23 | 30.000 | 1,839.01 | Various | 1,200.90 | ... | 638.11 | Total of 4 transactions |
| QQQ 11/17/2023 CALL \$388.00 / CUSIP: / Symbol: 11/17/23 | 5.000 | 19.83 | 11/16/23 | 290.15 | ... | -270.32 | Option sale to close-call ²⁵ |
| QQQ 11/20/2023 CALL \$390.00 / CUSIP: / Symbol: 11/20/23 | 10.000 | 399.67 | 11/17/23 | 200.93 | ... | 198.74 | Option sale to close-call ²⁵ |
| 11/20/23 | 10.000 | 299.67 | 11/17/23 | 320.30 | 20.63 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 699.34 | | 521.23 | 20.63 W | 198.74 | |
| QQQ 11/21/2023 CALL \$392.00 / CUSIP: / Symbol: 11/21/23 | 2.000 | 39.90 | Various | 170.06 | ... | -130.16 | Total of 2 transactions |
| QQQ 11/22/2023 CALL \$392.00 / CUSIP: / Symbol: 11/22/23 | 100.000 | 1,446.73 | Various | 2,703.00 | ... | -1,256.27 | Total of 2 transactions |

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Proceeds from Broker and Barter Exchange Transactions

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| QQQ 11/29/2023 CALL \$393.00 / CUSIP: / Symbol: | | | | | | | |
| 11/29/23 | 20.000 | 99.34 | Various | 751.86 | ... | -652.52 | Total of 2 transactions |
| 11/29/23 | 20.000 | 99.34 | Various | 630.60 | 531.26 W | 0.00 | Total of 2 transactions |
| | Security total: | 198.68 | | 1,382.46 | 531.26 W | -652.52 | |
| QQQ 11/29/2023 PUT \$386.00 / CUSIP: / Symbol: | | | | | | | |
| 11/29/23 | 10.000 | 19.67 | 11/28/23 | 350.30 | ... | -330.63 | Option sale to close-put ²⁵ |
| QQQ 12/01/2023 CALL \$390.00 / CUSIP: / Symbol: | | | | | | | |
| 12/01/23 | 18.000 | 467.41 | Various | 706.16 | ... | -238.75 | Total of 10 transactions |
| 12/01/23 | 17.000 | 441.44 | Various | 635.51 | 165.62 W | -28.45 | Total of 2 transactions |
| | Security total: | 908.85 | | 1,341.67 | 165.62 W | -267.20 | |
| QQQ 12/01/2023 PUT \$384.00 / CUSIP: / Symbol: | | | | | | | |
| 12/01/23 | 1.000 | 0.95 | 11/30/23 | 78.03 | ... | -77.08 | Option sale to close-put ²⁵ |
| QQQ 12/08/2023 PUT \$389.00 / CUSIP: / Symbol: | | | | | | | |
| 12/08/23 | 140.000 | 555.45 | Various | 1,904.20 | ... | -1,348.75 | Total of 6 transactions |
| QQQ 12/13/2023 CALL \$400.00 / CUSIP: / Symbol: | | | | | | | |
| 12/13/23 | 1.000 | 233.95 | 12/12/23 | 96.03 | ... | 137.92 | Option sale to close-call ²⁵ |
| QQQ 12/13/2023 CALL \$404.00 / CUSIP: / Symbol: | | | | | | | |
| 12/13/23 | 41.000 | 203.66 | Various | 1,766.23 | ... | -1,562.57 | Total of 6 transactions |
| QQQ 12/14/2023 CALL \$404.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 3.000 | 599.87 | Various | 153.09 | ... | 446.78 | Total of 2 transactions |
| QQQ 12/14/2023 PUT \$395.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 3.000 | 2.89 | 12/13/23 | 165.09 | ... | -162.20 | Option sale to close-put ²⁵ |
| QQQ 12/15/2023 PUT \$404.00 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 40.000 | 318.69 | Various | 691.20 | ... | -372.51 | Total of 11 transactions |
| QQQ 12/15/2023 PUT \$405.00 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 10.000 | 759.67 | 12/15/23 | 380.30 | ... | 379.37 | Option sale to close-put ²⁵ |

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|---|----------|---|-------------------|-------------------------|--|---|---|
| QQQ 12/21/2023 PUT \$404.00 / CUSIP: / Symbol: 12/21/23 | 4.000 | 71.86 | Various | 579.12 | ... | -507.26 | Total of 3 transactions |
| QQQ 12/22/2023 CALL \$407.00 / CUSIP: / Symbol: 12/22/23 | 4.000 | 987.84 | Various | 652.12 | ... | 335.72 | Total of 2 transactions |
| QQQ 12/22/2023 CALL \$410.00 / CUSIP: / Symbol: 12/22/23 | 3.000 | 23.88 | Various | 152.15 | ... | -128.27 | Total of 2 transactions |
| 12/22/23 | 7.000 | 55.77 | 12/21/23 | 280.21 | 32.06 W | -192.38 | Option sale to close-call ²⁵ |
| Security total: | | 79.65 | | 432.36 | 32.06 W | -320.65 | |
| QQQ 12/29/2023 CALL \$410.00 / CUSIP: / Symbol: 12/29/23 | 30.000 | 989.00 | Various | 1,080.90 | ... | -91.90 | Total of 2 transactions |
| RBLX 01/19/2024 CALL \$55.00 / CUSIP: / Symbol: 12/14/23 | 8.000 | 135.72 | Various | 136.31 | ... | -0.59 | Total of 4 transactions |
| 12/14/23 | 2.000 | 33.93 | 12/13/23 | 34.06 | 0.07 W | -0.06 | Option sale to close-call ²⁵ |
| Security total: | | 169.65 | | 170.37 | 0.07 W | -0.65 | |
| RBLX 02/24/2023 CALL \$42.00 / CUSIP: / Symbol: 02/21/23 | 1.000 | 24.98 | 02/17/23 | 104.00 | ... | -79.02 | Option sale to close-call ²⁵ |
| RBLX 03/31/2023 PUT \$42.50 / CUSIP: / Symbol: 03/31/23 | 5.000 | 27.96 | Various | 195.00 | ... | -167.04 | Total of 2 transactions |
| RBLX 12/15/2023 CALL \$41.50 / CUSIP: / Symbol: 12/13/23 | 2.000 | 399.92 | 12/12/23 | 136.06 | ... | 263.86 | Option sale to close-call ²⁵ |
| RIOT 01/12/2024 CALL \$20.00 / CUSIP: / Symbol: 12/29/23 | 2.000 | 189.92 | 12/26/23 | 260.06 | ... | -70.14 | Option sale to close-call ²⁵ |
| RIOT 12/08/2023 CALL \$15.50 / CUSIP: / Symbol: 12/05/23 | 10.000 | 799.67 | Various | 505.30 | ... | 294.37 | Total of 4 transactions |
| RIOT 12/15/2023 CALL \$16.00 / CUSIP: / Symbol: 12/15/23 | 5.000 | 14.81 | Various | 110.15 | ... | -95.34 | Total of 2 transactions |

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|--|----------|---|-------------------|-------------------------|--|---|--|
| RIOT 12/22/2023 CALL \$16.00 / CUSIP: / Symbol: 12/19/23 | 5.000 | 649.83 | 12/15/23 | 320.15 | ... | 329.68 | Option sale to close-call ²⁵ |
| RIOT 12/22/2023 CALL \$17.00 / CUSIP: / Symbol: 12/22/23 | 10.000 | 369.67 | 12/21/23 | 270.30 | ... | 99.37 | Option sale to close-call ²⁵ |
| RIOT 12/22/2023 CALL \$18.00 / CUSIP: / Symbol: 12/20/23 | 8.000 | 159.73 | 12/19/23 | 312.24 | ... | -152.51 | Option sale to close-call ²⁵ |
| RIOT 12/29/2023 CALL \$18.00 / CUSIP: / Symbol: 12/28/23 | 4.000 | 155.86 | 12/26/23 | 208.12 | ... | -52.26 | Option sale to close-call ²⁵ |
| RIOT 12/29/2023 CALL \$18.50 / CUSIP: / Symbol: 12/29/23 | 5.000 | 65.78 | Various | 325.50 | ... | -259.72 | Total of 5 transactions |
| 12/29/23 | 5.000 | 64.80 | Various | 195.15 | 130.35 W | 0.00 | Total of 3 transactions |
| Security total: | | 130.58 | | 520.65 | 130.35 W | -259.72 | |
| RIVN 02/03/2023 CALL \$22.00 / CUSIP: / Symbol: 01/31/23 | 1.000 | 12.98 | 01/27/23 | 101.00 | 88.02 W | 0.00 | Option sale to close-call ²⁵ |
| 02/03/23 | 5.000 | 9.98 | Various | 188.02 | ... | -178.04 | Total of 2 transactions |
| Security total: | | 22.96 | | 289.02 | 88.02 W | -178.04 | |
| RIVN 12/15/2023 CALL \$22.50 / CUSIP: / Symbol: 12/15/23 | 3.000 | 41.89 | 12/14/23 | 147.09 | ... | -105.20 | Option sale to close-call ²⁵ |
| ROKU 12/01/2023 CALL \$100.00 / CUSIP: / Symbol: 11/28/23 | 1.000 | 649.95 | 11/27/23 | 121.03 | ... | 528.92 | Option sale to close-call ²⁵ |
| ROKU 12/01/2023 CALL \$105.00 / CUSIP: / Symbol: 11/28/23 | 1.000 | 235.95 | 11/27/23 | 163.03 | ... | 72.92 | Option sale to close-call ²⁵ |
| ROKU 12/01/2023 CALL \$110.00 / CUSIP: / Symbol: 11/30/23 | 2.000 | 83.92 | Various | 198.06 | ... | -114.14 | Total of 2 transactions |
| ROKU 12/08/2023 CALL \$109.00 / CUSIP: / Symbol: 12/05/23 | 2.000 | 143.90 | Various | 288.06 | ... | -144.16 | Total of 2 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 401684022

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|---|
| ROKU 12/08/2023 CALL \$110.00 / CUSIP: / Symbol: 12/04/23 | 2.000 | 201.92 | Various | 218.06 | ... | -16.14 | Total of 2 transactions |
| ROKU 12/15/2023 CALL \$105.00 / CUSIP: / Symbol: 12/14/23 | 2.000 | 579.92 | Various | 346.06 | ... | 233.86 | Total of 2 transactions |
| ROKU 12/15/2023 CALL \$110.00 / CUSIP: / Symbol: 12/15/23 | 3.000 | 0.00 | 12/14/23 | 282.09 | ... | -282.09 | Option expiration- long call |
| ROKU 12/22/2023 CALL \$105.00 / CUSIP: / Symbol: 12/18/23 | 1.000 | 26.95 | 12/15/23 | 115.03 | ... | -88.08 | Option sale to close-call ²⁵ |
| ROKU 12/29/2023 CALL \$96.00 / CUSIP: / Symbol: 12/28/23 | 2.000 | 115.92 | 12/27/23 | 198.06 | ... | -82.14 | Option sale to close-call ²⁵ |
| RTX 12/01/2023 CALL \$81.00 / CUSIP: / Symbol: 11/28/23 | 10.000 | 239.67 | 11/27/23 | 220.30 | ... | 19.37 | Option sale to close-call ²⁵ |
| SAVA 01/19/2024 CALL \$35.00 / CUSIP: / Symbol: 12/14/23 | 1.000 | 129.95 | 12/13/23 | 144.03 | ... | -14.08 | Option sale to close-call ²⁵ |
| SAVA 12/01/2023 CALL \$23.00 / CUSIP: / Symbol: 12/01/23 | 10.000 | 0.00 | Various | 110.30 | ... | -110.30 | Total of 2 transactions |
| SAVA 12/08/2023 CALL \$23.00 / CUSIP: / Symbol: 12/05/23 | 1.000 | 24.95 | 12/04/23 | 28.03 | ... | -3.08 | Option sale to close-call ²⁵ |
| SAVA 12/15/2023 CALL \$30.00 / CUSIP: / Symbol: 12/12/23 | 3.000 | 579.85 | Various | 140.09 | ... | 439.76 | Total of 3 transactions |
| SAVE 12/08/2023 CALL \$13.50 / CUSIP: / Symbol: 12/06/23 | 1.000 | 84.95 | 12/05/23 | 130.03 | ... | -45.08 | Option sale to close-call ²⁵ |
| SE 11/17/2023 CALL \$50.00 / CUSIP: / Symbol: 11/14/23 | 1.000 | 0.95 | 11/03/23 | 314.11 | ... | -313.16 | Option sale to close-call ²⁵ |
| 11/14/23 | 1.000 | 7.95 | 11/03/23 | 161.03 | 153.08 W | 0.00 | Option sale to close-call ²⁵ |
| Security total: | | 8.90 | | 475.14 | 153.08 W | -313.16 | |

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| SE 12/08/2023 CALL \$39.50 / CUSIP: / Symbol: | | | | | | | |
| 12/06/23 | 2.000 | 69.90 | Various | 110.06 | 40.16 W | 0.00 | Total of 2 transactions |
| 12/08/23 | 3.000 | 152.89 | Various | 148.39 | ... | 4.50 | Total of 3 transactions |
| 12/08/23 | 2.000 | 101.92 | Various | 124.22 | 22.30 W | 0.00 | Total of 2 transactions |
| | Security total: | 324.71 | | 382.67 | 62.46 W | 4.50 | |
| SE 12/08/2023 CALL \$40.00 / CUSIP: / Symbol: | | | | | | | |
| 12/05/23 | 7.000 | 76.76 | Various | 297.43 | ... | -220.67 | Total of 3 transactions |
| 12/05/23 | 3.000 | 32.87 | Various | 121.17 | 88.30 W | 0.00 | Total of 3 transactions |
| | Security total: | 109.63 | | 418.60 | 88.30 W | -220.67 | |
| SE 12/15/2023 CALL \$38.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 2.000 | 85.90 | Various | 132.06 | ... | -46.16 | Total of 2 transactions |
| SE 12/15/2023 CALL \$38.50 / CUSIP: / Symbol: | | | | | | | |
| 12/12/23 | 2.000 | 139.92 | 12/11/23 | 144.06 | ... | -4.14 | Option sale to close-call ²⁵ |
| SE 12/15/2023 CALL \$40.00 / CUSIP: / Symbol: | | | | | | | |
| 12/06/23 | 3.000 | 221.89 | 12/05/23 | 240.09 | ... | -18.20 | Option sale to close-call ²⁵ |
| SE 12/15/2023 PUT \$36.50 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 5.000 | 99.83 | 12/14/23 | 125.15 | ... | -25.32 | Option sale to close-put ²⁵ |
| SE 12/22/2023 CALL \$37.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 3.000 | 146.85 | Various | 219.09 | ... | -72.24 | Total of 3 transactions |
| SE 12/29/2023 CALL \$40.00 / CUSIP: / Symbol: | | | | | | | |
| 12/27/23 | 5.000 | 684.83 | Various | 405.15 | ... | 279.68 | Total of 3 transactions |
| SHOP 02/17/2023 CALL \$45.00 / CUSIP: / Symbol: | | | | | | | |
| 02/17/23 | 3.000 | 44.98 | Various | 263.00 | ... | -218.02 | Total of 2 transactions |
| SHOP 12/01/2023 CALL \$73.00 / CUSIP: / Symbol: | | | | | | | |
| 11/28/23 | 2.000 | 297.90 | Various | 256.06 | ... | 41.84 | Total of 2 transactions |
| SHOP 12/08/2023 CALL \$72.00 / CUSIP: / Symbol: | | | | | | | |
| 12/08/23 | 2.000 | 79.92 | Various | 138.06 | ... | -58.14 | Total of 2 transactions |

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Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|------------------------|---|-------------------|-------------------------|--|---|---|
| SHOP 12/08/2023 CALL \$75.00 / CUSIP: / Symbol: | | | | | | | |
| 12/08/23 | 3.000 | 5.89 | Various | 288.09 | ... | -282.20 | Total of 3 transactions |
| SHOP 12/15/2023 CALL \$74.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 3.000 | 644.89 | Various | 142.09 | ... | 502.80 | Total of 2 transactions |
| SHOP 12/15/2023 CALL \$77.00 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 3.000 | 205.85 | Various | 222.09 | ... | -16.24 | Total of 3 transactions |
| SHOP 12/22/2023 CALL \$80.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 3.000 | 47.89 | Various | 201.09 | ... | -153.20 | Total of 3 transactions |
| SI 02/03/2023 CALL \$15.00 / CUSIP: / Symbol: | | | | | | | |
| 02/01/23 | 3.000 | 179.97 | Various | 195.01 | ... | -15.04 | Total of 2 transactions |
| 02/01/23 | 2.000 | 129.99 | 01/31/23 | 130.00 | 0.01 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 309.96 | | 325.01 | 0.01 W | -15.04 | |
| SIGA 05/19/2023 CALL \$4.55 / CUSIP: / Symbol: | | | | | | | |
| 05/19/23 | 1.000 | 109.98 | 05/18/23 | 110.00 | ... | -0.02 | Option sale to close-call ²⁵ |
| SMCI 12/08/2023 CALL \$320.00 / CUSIP: / Symbol: | | | | | | | |
| 11/29/23 | 1.000 | 559.95 | 11/27/23 | 332.03 | ... | 227.92 | Option sale to close-call ²⁵ |
| 11/30/23 | 1.000 | 79.95 | 11/28/23 | 235.03 | ... | -155.08 | Option sale to close-call ²⁵ |
| | Security total: | 639.90 | | 567.06 | ... | 72.84 | |
| SMCI 12/15/2023 CALL \$290.00 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 1.000 | 739.95 | 12/14/23 | 207.03 | ... | 532.92 | Option sale to close-call ²⁵ |
| SMCI 12/15/2023 CALL \$300.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 1.000 | 79.95 | 12/08/23 | 110.03 | ... | -30.08 | Option sale to close-call ²⁵ |
| SMCI 12/22/2023 CALL \$320.00 / CUSIP: / Symbol: | | | | | | | |
| 12/18/23 | 1.000 | 999.95 | 12/15/23 | 370.03 | ... | 629.92 | Option sale to close-call ²⁵ |
| SMTC 12/15/2023 CALL \$20.00 / CUSIP: / Symbol: | | | | | | | |
| 12/07/23 | 5.000 | 349.83 | 12/06/23 | 220.15 | ... | 129.68 | Option sale to close-call ²⁵ |

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|--|------------------------|---|-------------------|-------------------------|--|---|---|
| SNAP 02/03/2023 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 02/03/23 | 10.000 | 0.00 | 01/31/23 | 130.00 | ... | -130.00 | Option expiration- long call |
| SNAP 02/03/2023 PUT \$8.00 / CUSIP: / Symbol: | | | | | | | |
| 02/03/23 | 10.000 | 0.00 | Various | 100.00 | ... | -100.00 | Total of 3 transactions |
| SNAP 03/03/2023 CALL \$10.50 / CUSIP: / Symbol: | | | | | | | |
| 03/01/23 | 1.000 | 8.98 | 02/27/23 | 17.00 | ... | -8.02 | Option sale to close-call ²⁵ |
| SNAP 07/28/2023 CALL \$15.00 / CUSIP: / Symbol: | | | | | | | |
| 07/28/23 | 1.000 | 0.00 | 07/18/23 | 86.00 | ... | -86.00 | Option expiration- long call |
| SNAP 07/28/2023 CALL \$16.00 / CUSIP: / Symbol: | | | | | | | |
| 07/28/23 | 8.000 | 0.00 | 07/24/23 | 440.00 | ... | -440.00 | Option expiration- long call |
| SNAP 07/28/2023 CALL \$17.00 / CUSIP: / Symbol: | | | | | | | |
| 07/28/23 | 3.000 | 0.00 | Various | 147.00 | ... | -147.00 | Total of 2 transactions |
| SNAP 10/27/2023 CALL \$12.00 / CUSIP: / Symbol: | | | | | | | |
| 10/25/23 | 8.000 | 15.72 | Various | 259.31 | ... | -243.59 | Total of 4 transactions |
| 10/25/23 | 2.000 | 3.93 | 10/24/23 | 58.06 | 27.07 W | -27.06 | Option sale to close-call ²⁵ |
| | Security total: | 19.65 | | 317.37 | 27.07 W | -270.65 | |
| SNOW 12/01/2023 CALL \$195.00 / CUSIP: / Symbol: | | | | | | | |
| 12/01/23 | 2.000 | 3.92 | Various | 306.06 | ... | -302.14 | Total of 2 transactions |
| SNOW 12/08/2023 CALL \$192.50 / CUSIP: / Symbol: | | | | | | | |
| 12/06/23 | 1.000 | 79.95 | 12/05/23 | 106.03 | ... | -26.08 | Option sale to close-call ²⁵ |
| SOFI 02/03/2023 CALL \$6.50 / CUSIP: / Symbol: | | | | | | | |
| 01/30/23 | 10.000 | 429.96 | Various | 260.00 | ... | 169.96 | Total of 5 transactions |
| 02/01/23 | 5.000 | 245.96 | Various | 118.00 | ... | 127.96 | Total of 3 transactions |
| | Security total: | 675.92 | | 378.00 | ... | 297.92 | |
| SOFI 02/03/2023 CALL \$7.50 / CUSIP: / Symbol: | | | | | | | |
| 01/31/23 | 10.000 | 39.97 | Various | 100.00 | ... | -60.03 | Total of 5 transactions |

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| SOFI 11/17/2023 CALL \$7.50 / CUSIP: / Symbol: | | | | | | | |
| 11/17/23 | 20.000 | 0.00 | Various | 260.60 | ... | -260.60 | Total of 6 transactions |
| SOFI 12/08/2023 CALL \$8.00 / CUSIP: / Symbol: | | | | | | | |
| 12/04/23 | 10.000 | 220.63 | Various | 120.30 | ... | 100.33 | Total of 4 transactions |
| SOFI 12/15/2023 CALL \$8.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 10.000 | 1,479.62 | Various | 230.30 | ... | 1,249.32 | Total of 4 transactions |
| SOFI 12/15/2023 CALL \$9.50 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 10.000 | 29.65 | Various | 130.30 | ... | -100.65 | Total of 2 transactions |
| SOFI 12/22/2023 CALL \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 10.000 | 139.67 | Various | 110.30 | ... | 29.37 | Total of 2 transactions |
| SOFI 12/22/2023 CALL \$9.50 / CUSIP: / Symbol: | | | | | | | |
| 12/22/23 | 5.000 | 99.83 | Various | 115.15 | ... | -15.32 | Total of 2 transactions |
| SOFI 12/29/2023 CALL \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 10.000 | 329.65 | Various | 240.30 | ... | 89.35 | Total of 3 transactions |
| 12/27/23 | 10.000 | 289.63 | Various | 230.30 | ... | 59.33 | Total of 6 transactions |
| | Security total: | 619.28 | | 470.60 | ... | 148.68 | |
| SOFI 12/29/2023 CALL \$10.50 / CUSIP: / Symbol: | | | | | | | |
| 12/28/23 | 10.000 | 69.67 | Various | 190.30 | ... | -120.63 | Total of 4 transactions |
| SPCE 05/26/2023 CALL \$5.00 / CUSIP: / Symbol: | | | | | | | |
| 05/23/23 | 5.000 | 124.98 | 05/22/23 | 85.00 | ... | 39.98 | Option sale to close-call ²⁵ |
| SPY 10/11/2023 CALL \$436.00 / CUSIP: / Symbol: | | | | | | | |
| 10/11/23 | 6.000 | 83.96 | Various | 161.00 | ... | -77.04 | Total of 3 transactions |
| 10/11/23 | 1.000 | 14.00 | 10/11/23 | 25.00 | 11.00 W | 0.00 | Option sale to close-call ²⁵ |
| | Security total: | 97.96 | | 186.00 | 11.00 W | -77.04 | |
| SPY 12/14/2023 CALL \$466.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 1.000 | 699.95 | 12/13/23 | 123.03 | ... | 576.92 | Option sale to close-call ²⁵ |

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| SPY 12/20/2023 PUT \$470.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 10.000 | 29.67 | Various | 140.30 | ... | -110.63 | Total of 2 transactions |
| SPY 12/21/2023 PUT \$470.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 10.000 | 49.67 | 12/19/23 | 280.30 | ... | -230.63 | Option sale to close-put ²⁵ |
| SPY 12/22/2023 PUT \$470.00 / CUSIP: / Symbol: | | | | | | | |
| 12/21/23 | 2.000 | 175.92 | Various | 202.06 | ... | -26.14 | Total of 2 transactions |
| SPY 12/22/2023 PUT \$472.00 / CUSIP: / Symbol: | | | | | | | |
| 12/22/23 | 20.000 | 839.34 | Various | 760.60 | ... | 78.74 | Total of 4 transactions |
| SPY 12/29/2023 PUT \$474.00 / CUSIP: / Symbol: | | | | | | | |
| 12/29/23 | 5.000 | 354.82 | Various | 397.21 | ... | -42.39 | Total of 2 transactions |
| 12/29/23 | 5.000 | 354.83 | 12/29/23 | 390.15 | 7.06 W | -28.26 | Option sale to close-put ²⁵ |
| | Security total: | 709.65 | | 787.36 | 7.06 W | -70.65 | |
| SQ 11/03/2023 CALL \$50.00 / CUSIP: / Symbol: | | | | | | | |
| 11/03/23 | 2.000 | 83.92 | 11/02/23 | 90.06 | ... | -6.14 | Option sale to close-call ²⁵ |
| SQ 12/22/2023 CALL \$70.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 1.000 | 521.95 | 12/13/23 | 96.03 | ... | 425.92 | Option sale to close-call ²⁵ |
| SRPT 12/08/2023 CALL \$88.00 / CUSIP: / Symbol: | | | | | | | |
| 12/05/23 | 1.000 | 124.95 | 12/04/23 | 170.03 | ... | -45.08 | Option sale to close-call ²⁵ |
| TGT 12/22/2023 CALL \$140.00 / CUSIP: / Symbol: | | | | | | | |
| 12/22/23 | 10.000 | 199.67 | Various | 485.30 | ... | -285.63 | Total of 2 transactions |
| TJX 12/22/2023 CALL \$92.00 / CUSIP: / Symbol: | | | | | | | |
| 12/22/23 | 10.000 | 39.64 | Various | 160.30 | ... | -120.66 | Total of 3 transactions |
| TLRY 12/22/2023 CALL \$2.00 / CUSIP: / Symbol: | | | | | | | |
| 12/22/23 | 10.000 | 159.67 | Various | 250.30 | ... | -90.63 | Total of 4 transactions |
| TLRY 12/29/2023 CALL \$2.50 / CUSIP: / Symbol: | | | | | | | |
| 12/29/23 | 20.000 | 59.34 | Various | 140.60 | ... | -81.26 | Total of 3 transactions |

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Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Proceeds from Broker and Barter Exchange Transactions

Account 401684022

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|---|
| TSLA 01/13/2023 CALL \$125.00 / CUSIP: / Symbol: 01/13/23 | 1.000 | 7.98 | 01/12/23 | 82.00 | ... | -74.02 | Option sale to close-call ²⁵ |
| TSLA 01/27/2023 CALL \$152.50 / CUSIP: / Symbol: 01/26/23 | 1.000 | 639.97 | 01/24/23 | 329.00 | ... | 310.97 | Option sale to close-call ²⁵ |
| TSLA 01/27/2023 CALL \$162.50 / CUSIP: / Symbol: 01/27/23 | 1.000 | 329.98 | 01/26/23 | 177.00 | ... | 152.98 | Option sale to close-call ²⁵ |
| TSLA 02/03/2023 CALL \$205.00 / CUSIP: / Symbol: 02/03/23 | 5.000 | 134.98 | Various | 615.02 | ... | -480.04 | Total of 3 transactions |
| 02/03/23 | 1.000 | 26.98 | 02/03/23 | 131.00 | 104.02 W | 0.00 | Option sale to close-call ²⁵ |
| Security total: | | 161.96 | | 746.02 | 104.02 W | -480.04 | |
| TSLA 05/26/2023 CALL \$195.00 / CUSIP: / Symbol: 05/26/23 | 9.000 | 152.96 | Various | 223.01 | ... | -70.05 | Total of 3 transactions |
| 05/26/23 | 1.000 | 16.99 | 05/26/23 | 24.00 | 7.01 W | 0.00 | Option sale to close-call ²⁵ |
| Security total: | | 169.95 | | 247.01 | 7.01 W | -70.05 | |
| TSLA 07/14/2023 CALL \$280.00 / CUSIP: / Symbol: 07/12/23 | 1.000 | 149.98 | 07/12/23 | 256.00 | ... | -106.02 | Option sale to close-call ²⁵ |
| TSLA 07/21/2023 CALL \$302.50 / CUSIP: / Symbol: 07/19/23 | 2.000 | 1,479.96 | Various | 720.00 | ... | 759.96 | Total of 2 transactions |
| TSLA 07/21/2023 CALL \$347.50 / CUSIP: / Symbol: 07/21/23 | 1.000 | 0.00 | 07/19/23 | 106.00 | ... | -106.00 | Option expiration- long call |
| TSLA 09/22/2023 PUT \$245.00 / CUSIP: / Symbol: 09/22/23 | 10.000 | 129.97 | 09/22/23 | 370.00 | ... | -240.03 | Option sale to close-put ²⁵ |
| TSLA 10/20/2023 CALL \$265.00 / CUSIP: / Symbol: 10/16/23 | 1.000 | 299.98 | 10/13/23 | 380.00 | 80.02 W | 0.00 | Option sale to close-call ²⁵ |
| 10/18/23 | 1.000 | 222.98 | 10/17/23 | 420.02 | ... | -197.04 | Option sale to close-call ²⁵ |
| Security total: | | 522.96 | | 800.02 | 80.02 W | -197.04 | |
| TSLA 10/27/2023 CALL \$300.00 / CUSIP: / Symbol: 10/06/23 | 1.000 | 172.98 | 10/06/23 | 173.00 | ... | -0.02 | Option sale to close-call ²⁵ |

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Account 401684022

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|--|----------|---|-------------------|-------------------------|--|---|--|
| TSLA 11/03/2023 CALL \$207.50 / CUSIP: / Symbol: | | | | | | | |
| 11/01/23 | 1.000 | 130.95 | 10/30/23 | 232.03 | ... | -101.08 | Option sale to close-call ²⁵ |
| TSLA 11/10/2023 CALL \$210.00 / CUSIP: / Symbol: | | | | | | | |
| 11/10/23 | 1.000 | 161.95 | 11/09/23 | 202.03 | ... | -40.08 | Option sale to close-call ²⁵ |
| TSLA 11/10/2023 CALL \$225.00 / CUSIP: / Symbol: | | | | | | | |
| 11/08/23 | 1.000 | 227.95 | 11/07/23 | 170.03 | ... | 57.92 | Option sale to close-call ²⁵ |
| TSLA 11/24/2023 CALL \$250.00 / CUSIP: / Symbol: | | | | | | | |
| 11/24/23 | 2.000 | 1.92 | Various | 173.06 | ... | -171.14 | Total of 2 transactions |
| TSLA 12/08/2023 CALL \$245.00 / CUSIP: / Symbol: | | | | | | | |
| 12/05/23 | 1.000 | 403.95 | 12/04/23 | 204.03 | ... | 199.92 | Option sale to close-call ²⁵ |
| 12/08/23 | 1.000 | 69.95 | 12/07/23 | 108.03 | ... | -38.08 | Option sale to close-call ²⁵ |
| Security total: | | 473.90 | | 312.06 | ... | 161.84 | |
| TSLA 12/08/2023 CALL \$247.50 / CUSIP: / Symbol: | | | | | | | |
| 12/08/23 | 1.000 | 33.95 | 12/06/23 | 223.03 | ... | -189.08 | Option sale to close-call ²⁵ |
| TSLA 12/08/2023 CALL \$250.00 / CUSIP: / Symbol: | | | | | | | |
| 12/04/23 | 2.000 | 227.93 | Various | 309.06 | ... | -81.13 | Total of 2 transactions |
| 12/04/23 | 1.000 | 113.96 | 11/30/23 | 299.03 | 185.07 W | 0.00 | Option sale to close-call ²⁵ |
| 12/06/23 | 1.000 | 119.95 | 12/05/23 | 457.10 | ... | -337.15 | Option sale to close-call ²⁵ |
| Security total: | | 461.84 | | 1,065.19 | 185.07 W | -418.28 | |
| TSLA 12/15/2023 CALL \$242.50 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 2.000 | 889.92 | Various | 408.06 | ... | 481.86 | Total of 2 transactions |
| TSLA 12/15/2023 CALL \$247.50 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 1.000 | 599.95 | 12/14/23 | 276.03 | ... | 323.92 | Option sale to close-call ²⁵ |
| TSLA 12/15/2023 CALL \$250.00 / CUSIP: / Symbol: | | | | | | | |
| 12/12/23 | 2.000 | 119.92 | Various | 578.06 | 458.14 W | 0.00 | Total of 2 transactions |
| 12/14/23 | 5.000 | 2,499.82 | Various | 828.29 | ... | 1,671.53 | Total of 4 transactions |
| Security total: | | 2,619.74 | | 1,406.35 | 458.14 W | 1,671.53 | |

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Account 401684022

Proceeds from Broker and Barter Exchange Transactions

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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|--|----------|---|-------------------|-------------------------|--|---|--|
| TSLA 12/15/2023 CALL \$255.00 / CUSIP: / Symbol: 12/15/23 | 1.000 | 40.95 | 12/14/23 | 174.03 | ... | -133.08 | Option sale to close-call ²⁵ |
| TSLA 12/22/2023 CALL \$252.50 / CUSIP: / Symbol: 12/22/23 | 2.000 | 731.90 | Various | 456.06 | ... | 275.84 | Total of 2 transactions |
| TSLA 12/22/2023 CALL \$257.50 / CUSIP: / Symbol: 12/20/23 | 1.000 | 434.95 | 12/19/23 | 360.03 | ... | 74.92 | Option sale to close-call ²⁵ |
| TSLA 12/22/2023 CALL \$260.00 / CUSIP: / Symbol: 12/19/23 | 1.000 | 228.95 | 12/15/23 | 365.03 | 136.08 W | 0.00 | Option sale to close-call ²⁵ |
| 12/22/23 | 1.000 | 2.95 | 12/20/23 | 476.11 | ... | -473.16 | Option sale to close-call ²⁵ |
| Security total: | | 231.90 | | 841.14 | 136.08 W | -473.16 | |
| TSLA 12/29/2023 CALL \$260.00 / CUSIP: / Symbol: 12/27/23 | 1.000 | 469.95 | 12/26/23 | 305.03 | ... | 164.92 | Option sale to close-call ²⁵ |
| 12/29/23 | 1.000 | 15.95 | 12/28/23 | 240.03 | ... | -224.08 | Option sale to close-call ²⁵ |
| Security total: | | 485.90 | | 545.06 | ... | -59.16 | |
| TSLA 12/29/2023 CALL \$265.00 / CUSIP: / Symbol: 12/28/23 | 3.000 | 497.89 | Various | 625.09 | ... | -127.20 | Total of 3 transactions |
| U 12/01/2023 CALL \$32.00 / CUSIP: / Symbol: 11/30/23 | 2.000 | 9.92 | 11/29/23 | 74.06 | ... | -64.14 | Option sale to close-call ²⁵ |
| U 12/29/2023 CALL \$39.00 / CUSIP: / Symbol: 12/26/23 | 3.000 | 719.87 | Various | 257.09 | ... | 462.78 | Total of 3 transactions |
| UBER 12/08/2023 CALL \$60.00 / CUSIP: / Symbol: 12/05/23 | 1.000 | 20.95 | 12/04/23 | 67.03 | ... | -46.08 | Option sale to close-call ²⁵ |
| UBER 12/15/2023 CALL \$64.00 / CUSIP: / Symbol: 12/12/23 | 3.000 | 83.87 | Various | 153.09 | ... | -69.22 | Total of 2 transactions |
| UPST 01/19/2024 CALL \$75.00 / CUSIP: / Symbol: 12/19/23 | 5.000 | 457.81 | Various | 382.27 | ... | 75.54 | Total of 3 transactions |
| 12/19/23 | 2.000 | 191.94 | Various | 204.06 | 12.12 W | 0.00 | Total of 2 transactions |
| Security total: | | 649.75 | | 586.33 | 12.12 W | 75.54 | |

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|---|----------|---|-------------------|-------------------------|--|---|---|
| UPST 02/03/2023 CALL \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 02/03/23 | 2.000 | 9.96 | Various | 140.00 | ... | -130.04 | Total of 2 transactions |
| UPST 02/10/2023 CALL \$23.00 / CUSIP: / Symbol: | | | | | | | |
| 02/02/23 | 3.000 | 899.96 | 02/01/23 | 189.00 | ... | 710.96 | Option sale to close-call ²⁵ |
| UPST 02/10/2023 CALL \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 02/10/23 | 5.000 | 0.00 | 02/02/23 | 445.00 | ... | -445.00 | Option expiration- long call |
| UPST 02/17/2023 CALL \$20.00 / CUSIP: / Symbol: | | | | | | | |
| 02/15/23 | 1.000 | 158.98 | 02/14/23 | 48.00 | ... | 110.98 | Option sale to close-call ²⁵ |
| 02/17/23 | 1.000 | 6.98 | 02/14/23 | 48.00 | ... | -41.02 | Option sale to close-call ²⁵ |
| Security total: | | 165.96 | | 96.00 | ... | 69.96 | |
| UPST 02/17/2023 CALL \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 02/16/23 | 5.000 | 14.97 | Various | 175.05 | ... | -160.08 | Total of 4 transactions |
| 02/16/23 | 5.000 | 14.95 | Various | 110.00 | 95.05 W | 0.00 | Total of 3 transactions |
| Security total: | | 29.92 | | 285.05 | 95.05 W | -160.08 | |
| UPST 02/17/2023 CALL \$30.00 / CUSIP: / Symbol: | | | | | | | |
| 02/08/23 | 10.000 | 419.97 | Various | 520.00 | ... | -100.03 | Total of 2 transactions |
| UPST 02/17/2023 CALL \$37.50 / CUSIP: / Symbol: | | | | | | | |
| 02/17/23 | 4.000 | 0.00 | Various | 340.00 | ... | -340.00 | Total of 3 transactions |
| UPST 02/24/2023 CALL \$25.00 / CUSIP: / Symbol: | | | | | | | |
| 02/15/23 | 10.000 | 279.97 | 02/14/23 | 360.00 | 8.00 W | -72.03 | Option sale to close-call ²⁵ |
| 02/21/23 | 1.000 | 2.98 | 02/15/23 | 38.00 | ... | -35.02 | Option sale to close-call ²⁵ |
| Security total: | | 282.95 | | 398.00 | 8.00 W | -107.05 | |
| UPST 12/08/2023 CALL \$35.50 / CUSIP: / Symbol: | | | | | | | |
| 12/08/23 | 1.000 | 46.95 | 12/07/23 | 71.03 | ... | -24.08 | Option sale to close-call ²⁵ |
| UPST 12/15/2023 CALL \$37.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 1.000 | 899.95 | 12/13/23 | 76.03 | ... | 823.92 | Option sale to close-call ²⁵ |
| UPST 12/15/2023 CALL \$45.00 / CUSIP: / Symbol: | | | | | | | |
| 12/05/23 | 5.000 | 59.83 | Various | 180.15 | ... | -120.32 | Total of 2 transactions |

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2023 1099-B* OMB No. 1545-0715

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|---|----------|---|-------------------|-------------------------|--|---|---|
| UPST 12/15/2023 CALL \$50.00 / CUSIP: / Symbol: | | | | | | | |
| 12/15/23 | 1.000 | 7.95 | 12/14/23 | 131.03 | ... | -123.08 | Option sale to close-call ²⁵ |
| UPST 12/22/2023 CALL \$46.00 / CUSIP: / Symbol: | | | | | | | |
| 12/22/23 | 3.000 | 65.89 | Various | 210.09 | ... | -144.20 | Total of 3 transactions |
| UPST 12/22/2023 CALL \$49.00 / CUSIP: / Symbol: | | | | | | | |
| 12/22/23 | 1.000 | 0.95 | 12/20/23 | 164.03 | ... | -163.08 | Option sale to close-call ²⁵ |
| UPST 12/22/2023 CALL \$50.00 / CUSIP: / Symbol: | | | | | | | |
| 12/21/23 | 1.000 | 14.95 | 12/15/23 | 166.03 | ... | -151.08 | Option sale to close-call ²⁵ |
| UPST 12/22/2023 CALL \$55.00 / CUSIP: / Symbol: | | | | | | | |
| 12/20/23 | 4.000 | 123.86 | Various | 284.12 | ... | -160.26 | Total of 2 transactions |
| UPST 12/29/2023 CALL \$48.00 / CUSIP: / Symbol: | | | | | | | |
| 12/29/23 | 7.000 | 6.76 | Various | 639.71 | ... | -632.95 | Total of 7 transactions |
| 12/29/23 | 7.000 | 6.71 | Various | 869.51 | 862.80 W | 0.00 | Total of 7 transactions |
| Security total: | | 13.47 | | 1,509.22 | 862.80 W | -632.95 | |
| UPST 12/29/2023 CALL \$50.00 / CUSIP: / Symbol: | | | | | | | |
| 12/19/23 | 1.000 | 242.95 | 12/18/23 | 222.03 | ... | 20.92 | Option sale to close-call ²⁵ |
| 12/19/23 | 1.000 | 209.95 | 12/18/23 | 222.03 | 12.08 W | 0.00 | Option sale to close-call ²⁵ |
| 12/20/23 | 1.000 | 113.95 | 12/19/23 | 246.11 | 132.16 W | 0.00 | Option sale to close-call ²⁵ |
| 12/28/23 | 2.000 | 37.90 | Various | 286.38 | ... | -248.48 | Total of 2 transactions |
| 12/28/23 | 2.000 | 37.90 | Various | 236.22 | 198.32 W | 0.00 | Total of 2 transactions |
| Security total: | | 642.65 | | 1,212.77 | 342.56 W | -227.56 | |
| WBA 12/22/2023 CALL \$26.50 / CUSIP: / Symbol: | | | | | | | |
| 12/22/23 | 3.000 | 38.87 | Various | 59.22 | ... | -20.35 | Total of 3 transactions |
| 12/22/23 | 7.000 | 90.76 | Various | 119.21 | 8.13 W | -20.32 | Total of 2 transactions |
| Security total: | | 129.63 | | 178.43 | 8.13 W | -40.67 | |
| WBA 12/29/2023 CALL \$26.50 / CUSIP: / Symbol: | | | | | | | |
| 12/22/23 | 10.000 | 489.63 | Various | 280.30 | ... | 209.33 | Total of 3 transactions |

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|---|------------------------|---|-------------------|-------------------------|--|---|---|
| WBD 11/17/2023 CALL \$10.00 / CUSIP: / Symbol: | | | | | | | |
| 11/10/23 | 5.000 | 69.83 | 11/09/23 | 70.15 | ... | -0.32 | Option sale to close-call ²⁵ |
| WMT 12/22/2023 CALL \$155.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 5.000 | 274.83 | 12/12/23 | 220.15 | ... | 54.68 | Option sale to close-call ²⁵ |
| XPEV 05/26/2023 PUT \$8.50 / CUSIP: / Symbol: | | | | | | | |
| 05/25/23 | 5.000 | 254.98 | Various | 105.00 | ... | 149.98 | Total of 2 transactions |
| Z 12/15/2023 CALL \$48.00 / CUSIP: / Symbol: | | | | | | | |
| 12/13/23 | 3.000 | 254.89 | 12/12/23 | 135.09 | ... | 119.80 | Option sale to close-call ²⁵ |
| Z 12/15/2023 CALL \$50.00 / CUSIP: / Symbol: | | | | | | | |
| 12/14/23 | 2.000 | 879.92 | 12/13/23 | 50.06 | ... | 829.86 | Option sale to close-call ²⁵ |
| ZM 02/10/2023 PUT \$82.00 / CUSIP: / Symbol: | | | | | | | |
| 02/08/23 | 2.000 | 411.96 | Various | 320.00 | ... | 91.96 | Total of 2 transactions |
| ZM 10/27/2023 PUT \$59.00 / CUSIP: / Symbol: | | | | | | | |
| 10/26/23 | 10.000 | 229.66 | Various | 300.30 | ... | -70.64 | Total of 2 transactions |
| ZM 12/01/2023 CALL \$68.00 / CUSIP: / Symbol: | | | | | | | |
| 11/29/23 | 1.000 | 114.95 | 11/28/23 | 50.03 | ... | 64.92 | Option sale to close-call ²⁵ |
| ZM 12/08/2023 PUT \$66.00 / CUSIP: / Symbol: | | | | | | | |
| 12/05/23 | 1.000 | 49.95 | 12/04/23 | 85.03 | ... | -35.08 | Option sale to close-put ²⁵ |
| ZS 12/01/2023 CALL \$195.00 / CUSIP: / Symbol: | | | | | | | |
| 11/29/23 | 1.000 | 799.95 | 11/28/23 | 197.03 | ... | 602.92 | Option sale to close-call ²⁵ |
| AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C302 / Symbol: | | | | | | | |
| 12/20/23 | 1,000.000 | 6,679.79 | 12/19/23 | 6,795.00 | 23.04 W | -92.17 | Sale ²⁵ |
| 12/22/23 | 200.000 | 1,226.04 | 12/21/23 | 1,285.02 | ... | -58.98 | Sale ²⁵ |
| | Security total: | 7,905.83 | | 8,080.02 | 23.04 W | -151.15 | |
| ADIAL PHARMACEUTICALS, INC COM MON STOCK / CUSIP: 00688A205 / Symbol: | | | | | | | |
| 12/21/23 | 100.000 | 166.99 | Various | 205.00 | ... | -38.01 | Total of 2 transactions |

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Account 401684022

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

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|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol: | | | | | | | |
| 12/15/23 | 2.000 | 1,172.59 | 12/14/23 | 1,191.96 | ... | -19.37 | Sale ²⁵ |
| ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol: | | | | | | | |
| 11/21/23 | 0.001 | 0.12 | 07/11/23 | 0.11 | ... | 0.01 | Sale ²⁵ |
| 12/06/23 | 18.000 | 2,159.98 | Various | 2,128.68 | ... | 31.30 | Total of 2 transactions |
| | Security total: | 2,160.10 | | 2,128.79 | ... | 31.31 | |
| AFFIRM HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 00827B106 / Symbol: | | | | | | | |
| 11/09/23 | 100.000 | 2,499.97 | 11/08/23 | 2,498.00 | ... | 1.97 | Sale ²⁵ |
| ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTS EIGHT ORDINARY SHARES / CUSIP: 01609W102 / Symbol: | | | | | | | |
| 12/18/23 | 30.000 | 2,208.29 | 12/14/23 | 2,225.40 | ... | -17.11 | Sale ²⁵ |
| AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: | | | | | | | |
| 04/28/23 | 7.000 | 737.93 | 04/27/23 | 822.22 | ... | -84.29 | Sale ²⁵ |
| 10/27/23 | 2.700 | 347.05 | Various | 333.37 | ... | 13.68 | Total of 2 transactions |
| | Security total: | 1,084.98 | | 1,155.59 | ... | -70.61 | |
| ANSYS, INC. COMMON STOCK / CUSIP: 03662Q105 / Symbol: | | | | | | | |
| 12/26/23 | 10.000 | 3,583.06 | 12/22/23 | 3,611.50 | ... | -28.44 | Sale ²⁵ |
| AQUA METALS, INC. COMMON STOCK / CUSIP: 03837J101 / Symbol: | | | | | | | |
| 12/14/23 | 100.000 | 91.99 | 12/13/23 | 92.63 | ... | -0.64 | Sale ²⁵ |
| ARM HOLDINGS PLC AMERICAN DEPOSITARY SHARES / CUSIP: 042068205 / Symbol: | | | | | | | |
| 11/10/23 | 0.100 | 5.20 | 09/14/23 | 6.02 | ... | -0.82 | Sale ²⁵ |
| ASSURE HOLDINGS CORP. COMMON STOCK / CUSIP: 04625J303 / Symbol: | | | | | | | |
| 12/01/23 | 1,000.000 | 339.85 | 11/30/23 | 300.00 | ... | 39.85 | Sale ²⁵ |
| 12/04/23 | 1.000 | 0.33 | 12/01/23 | 0.35 | ... | -0.02 | Sale ²⁵ |
| | Security total: | 340.18 | | 300.35 | ... | 39.83 | |
| BED BATH & BEYOND INC COMMON STOCK / CUSIP: 075896100 / Symbol: | | | | | | | |
| 02/08/23 | 1.000 | 2.58 | 02/07/23 | 3.12 | ... | -0.54 | Sale ²⁵ |
| BEYOND AIR, INC. COMMON STOCK / CUSIP: 08862L103 / Symbol: | | | | | | | |
| 12/20/23 | 400.000 | 795.93 | Various | 768.00 | ... | 27.93 | Total of 2 transactions |

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02/12/2024

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|---|------------------------|---|-------------------|-------------------------|--|---|--|
| BROADCOM INC. COMMON STOCK / CUSIP: 11135F101 / Symbol: | | | | | | | |
| 12/08/23 | 2.000 | 1,828.08 | Various | 1,861.85 | ... | -33.77 | Total of 2 transactions |
| C3.AI, INC. / CUSIP: 12468P104 / Symbol: | | | | | | | |
| 12/07/23 | 30.000 | 782.69 | Various | 882.17 | 99.48 W | 0.00 | Total of 3 transactions |
| 12/22/23 | 51.000 | 1,487.39 | Various | 1,642.06 | ... | -154.67 | Total of 5 transactions |
| | Security total: | 2,270.08 | | 2,524.23 | 99.48 W | -154.67 | |
| CARVANA CO. / CUSIP: 146869102 / Symbol: | | | | | | | |
| 12/22/23 | 30.000 | 1,731.63 | 12/21/23 | 1,728.00 | ... | 3.63 | Sale ²⁵ |
| CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol: | | | | | | | |
| 12/22/23 | 500.000 | 1,260.06 | 12/21/23 | 1,285.00 | ... | -24.94 | Sale ²⁵ |
| CHEWY, INC. / CUSIP: 16679L109 / Symbol: | | | | | | | |
| 12/07/23 | 50.000 | 846.49 | 12/06/23 | 965.00 | ... | -118.51 | Sale ²⁵ |
| CONNECT BIOPHARMA HOLDINGS LIMITED AMERICAN DEPOSITARY SHARE S / CUSIP: 207523101 / Symbol: | | | | | | | |
| 12/12/23 | 1.000 | 0.82 | 12/11/23 | 1.35 | ... | -0.53 | Sale ²⁵ |
| COSTCO WHOLESALE CORPORATION COMMON STOCK / CUSIP: 22160K105 / Symbol: | | | | | | | |
| 12/15/23 | 2.000 | 1,321.22 | 12/14/23 | 1,266.86 | ... | 54.36 | Sale ²⁵ |
| CREATIVE MEDICAL TECHNOLOGY HOLDINGS, INC. COMMON STOCK / CUSIP: 22529Y408 / Symbol: | | | | | | | |
| 12/21/23 | 100.000 | 443.99 | 12/20/23 | 539.00 | ... | -95.01 | Sale ²⁵ |
| THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol: | | | | | | | |
| 11/09/23 | 100.000 | 8,999.91 | 11/08/23 | 8,446.77 | ... | 553.14 | Sale ²⁵ |
| DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol: | | | | | | | |
| 12/08/23 | 30.000 | 1,388.98 | Various | 1,421.06 | ... | -32.08 | Total of 3 transactions |
| META PLATFORMS, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: | | | | | | | |
| 02/02/23 | 3.000 | 544.93 | Various | 479.66 | ... | 65.27 | Total of 3 transactions |
| FARADAY FUTURE INTELLIGENT ELECTRIC INC. COMMON STOCK / CUSIP: 307359505 / Symbol: | | | | | | | |
| 12/11/23 | 10,000.000 | 2,855.61 | Various | 2,882.00 | ... | -26.39 | Total of 9 transactions |

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|--|------------------------|---|-------------------|-------------------------|--|---|--------------------------|
| FEDEX CORPORATION / CUSIP: 31428X106 / Symbol: | | | | | | | |
| 12/20/23 | 8.000 | 1,978.97 | Various | 2,254.40 | ... | -275.43 | Total of 3 transactions |
| FISKER INC. / CUSIP: 33813J106 / Symbol: | | | | | | | |
| 12/11/23 | 1,000.000 | 1,599.93 | Various | 1,515.00 | ... | 84.93 | Total of 6 transactions |
| FIVE9, INC. COMMON STOCK / CUSIP: 338307101 / Symbol: | | | | | | | |
| 12/05/23 | 10.000 | 854.99 | 12/04/23 | 891.93 | ... | -36.94 | Sale ²⁵ |
| GRI BIO, INC. COMMON STOCK / CUSIP: 3622AW106 / Symbol: | | | | | | | |
| 12/04/23 | 1,000.000 | 642.84 | Various | 755.71 | ... | -112.87 | Total of 2 transactions |
| GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: | | | | | | | |
| 12/01/23 | 200.000 | 3,019.98 | 11/30/23 | 2,949.52 | ... | 70.46 | Sale ²⁵ |
| 12/05/23 | 50.000 | 824.99 | 12/03/23 | 775.00 | ... | 49.99 | Sale ²⁵ |
| 12/05/23 | 100.000 | 1,649.97 | 12/04/23 | 1,717.90 | 67.93 W | 0.00 | Sale ²⁵ |
| 12/08/23 | 20.000 | 314.99 | 12/06/23 | 307.69 | ... | 7.30 | Sale ²⁵ |
| 12/08/23 | 40.000 | 629.99 | Various | 658.77 | 28.78 W | 0.00 | Total of 2 transactions |
| 12/22/23 | 100.000 | 1,712.00 | Various | 1,793.95 | ... | -81.95 | Total of 4 transactions |
| | Security total: | 8,151.92 | | 8,202.83 | 96.71 W | 45.80 | |
| GITLAB INC. CLASS A COMMON STO CK / CUSIP: 37637K108 / Symbol: | | | | | | | |
| 12/05/23 | 30.000 | 1,716.58 | Various | 1,615.39 | ... | 101.19 | Total of 2 transactions |
| GREENBROOK TMS INC. COMMON SHA RES / CUSIP: 393704309 / Symbol: | | | | | | | |
| 12/06/23 | 2,000.000 | 673.79 | Various | 640.00 | ... | 33.79 | Total of 7 transactions |
| HAWAIIAN ELECTRIC INDUSTRIES, INC. / CUSIP: 419870100 / Symbol: | | | | | | | |
| 11/21/23 | 0.200 | 2.63 | Various | 3.96 | ... | -1.33 | Total of 2 transactions |
| IMMIX BIOPHARMA, INC. COMMON S TOCK / CUSIP: 45258H106 / Symbol: | | | | | | | |
| 11/22/23 | 500.000 | 2,119.91 | 11/21/23 | 2,255.00 | ... | -135.09 | Sale ²⁵ |
| IGC PHARMA, INC. / CUSIP: 45408X308 / Symbol: | | | | | | | |
| 12/20/23 | 1,080.000 | 333.99 | Various | 402.76 | ... | -68.77 | Total of 10 transactions |
| 12/20/23 | 920.000 | 292.52 | Various | 329.84 | 37.32 W | 0.00 | Total of 4 transactions |
| | Security total: | 626.51 | | 732.60 | 37.32 W | -68.77 | |

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|--|------------------------|---|-------------------|-------------------------|--|---|--|
| LENNAR CORPORATION CLASS A / CUSIP: 526057104 / Symbol: | | | | | | | |
| 12/15/23 | 1.000 | 151.10 | 12/14/23 | 155.74 | ... | -4.64 | Sale ²⁵ |
| LULULEMON ATHLETICA INC. COMMO N STOCK / CUSIP: 550021109 / Symbol: | | | | | | | |
| 12/08/23 | 2.000 | 906.17 | 12/07/23 | 928.02 | ... | -21.85 | Sale ²⁵ |
| LYFT, INC. CLASS A COMMON STOCK / CUSIP: 55087P104 / Symbol: | | | | | | | |
| 12/04/23 | 100.000 | 1,301.83 | 12/01/23 | 1,329.98 | ... | -28.15 | Sale ²⁵ |
| MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: | | | | | | | |
| 11/21/23 | 20.000 | 7,484.74 | 11/20/23 | 7,438.00 | ... | 46.74 | Sale ²⁵ |
| MICROSTRATEGY INCORPORATED COM MON STOCK CLASS A / CUSIP: 594972408 / Symbol: | | | | | | | |
| 12/05/23 | 2.000 | 1,154.33 | Various | 1,107.76 | ... | 46.57 | Total of 2 transactions |
| MONGODB, INC. CLASS A COMMON S TOCK / CUSIP: 60937P106 / Symbol: | | | | | | | |
| 12/06/23 | 8.000 | 3,291.17 | Various | 3,447.09 | ... | -155.92 | Total of 4 transactions |
| MULLEN AUTOMOTIVE, INC. COMMON STOCK / CUSIP: 62526P406 / Symbol: | | | | | | | |
| 12/21/23 | 53.500 | 415.72 | Various | 470.06 | ... | -54.34 | Total of 4 transactions |
| 12/21/23 | 46.500 | 361.34 | Various | 395.25 | 15.31 W | -18.60 | Total of 2 transactions |
| | Security total: | 777.06 | | 865.31 | 15.31 W | -72.94 | |
| GAXOS.AI INC. COMMON STOCK / CUSIP: 62911P201 / Symbol: | | | | | | | |
| 02/17/23 | 99.000 | 407.87 | 02/15/23 | 417.78 | ... | -9.91 | Sale ²⁵ |
| 03/07/23 | 1.000 | 1.89 | 02/15/23 | 4.22 | ... | -2.33 | Sale ²⁵ |
| | Security total: | 409.76 | | 422.00 | ... | -12.24 | |
| NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: | | | | | | | |
| 12/06/23 | 100.000 | 766.00 | Various | 732.00 | ... | 34.00 | Total of 2 transactions |
| 12/19/23 | 200.000 | 1,724.49 | 12/18/23 | 1,744.00 | 19.51 W | 0.00 | Sale ²⁵ |
| 12/22/23 | 200.000 | 1,710.95 | 12/21/23 | 1,635.51 | ... | 75.44 | Sale ²⁵ |
| | Security total: | 4,201.44 | | 4,111.51 | 19.51 W | 109.44 | |
| NETEASE, INC. AMERICAN DEPOSIT ARY SHARES / CUSIP: 64110W102 / Symbol: | | | | | | | |
| 12/26/23 | 20.000 | 1,845.68 | 12/22/23 | 1,734.98 | ... | 110.70 | Sale ²⁵ |

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|--|------------------------|---|-------------------|-------------------------|--|---|--|
| NOVO INTEGRATED SCIENCES, INC. COMMON STOCK / CUSIP: 67011T300 / Symbol: | | | | | | | |
| 12/06/23 | 1,000.000 | 1,290.83 | Various | 1,418.54 | ... | -127.71 | Total of 6 transactions |
| OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol: | | | | | | | |
| 12/26/23 | 40.000 | 2,455.24 | Various | 2,424.78 | ... | 30.46 | Total of 2 transactions |
| ORACLE CORP / CUSIP: 68389X105 / Symbol: | | | | | | | |
| 12/12/23 | 40.000 | 4,165.98 | Various | 4,602.74 | ... | -436.76 | Total of 2 transactions |
| PALISADE BIO, INC. COMMON STOC K / CUSIP: 696389204 / Symbol: | | | | | | | |
| 02/01/23 | 100.000 | 262.99 | 01/31/23 | 336.48 | ... | -73.49 | Sale ²⁵ |
| PHIO PHARMACEUTICALS CORP. COM MON STOCK / CUSIP: 71880W402 / Symbol: | | | | | | | |
| 12/06/23 | 800.000 | 944.08 | Various | 1,243.99 | ... | -299.91 | Total of 5 transactions |
| 12/06/23 | 200.000 | 236.01 | Various | 296.00 | 59.99 W | 0.00 | Total of 3 transactions |
| | Security total: | 1,180.09 | | 1,539.99 | 59.99 W | -299.91 | |
| PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: | | | | | | | |
| 11/10/23 | 101.000 | 380.76 | Various | 599.41 | ... | -218.65 | Total of 2 transactions |
| RH / CUSIP: 74967X103 / Symbol: | | | | | | | |
| 12/08/23 | 3.000 | 771.89 | 12/07/23 | 846.00 | ... | -74.11 | Sale ²⁵ |
| RESHAPE LIFESCIENCES, INC. COM MON STOCK / CUSIP: 76090R200 / Symbol: | | | | | | | |
| 12/07/23 | 1,000.000 | 274.85 | Various | 319.08 | 44.23 W | 0.00 | Total of 5 transactions |
| 12/13/23 | 4,000.000 | 1,599.40 | Various | 1,420.80 | ... | 178.60 | Total of 4 transactions |
| 12/13/23 | 1,000.000 | 399.85 | Various | 419.23 | 9.68 W | -9.70 | Total of 5 transactions |
| 12/14/23 | 500.000 | 183.48 | Various | 202.35 | ... | -18.87 | Total of 7 transactions |
| | Security total: | 2,457.58 | | 2,361.46 | 53.91 W | 150.03 | |
| RIOT PLATFORMS, INC. COMMON ST OCK / CUSIP: 767292105 / Symbol: | | | | | | | |
| 12/05/23 | 130.000 | 1,947.45 | Various | 1,998.90 | 51.45 W | 0.00 | Total of 2 transactions |
| 12/20/23 | 106.000 | 1,831.68 | Various | 1,813.40 | ... | 18.28 | Total of 4 transactions |
| 12/20/23 | 87.000 | 1,503.36 | Various | 1,515.83 | 12.47 W | 0.00 | Total of 2 transactions |
| 12/26/23 | 200.000 | 3,448.96 | Various | 3,651.65 | 202.69 W | 0.00 | Total of 3 transactions |
| | Security total: | 8,731.45 | | 8,979.78 | 266.61 W | 18.28 | |

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|---|-----------|---|-------------------|-------------------------|--|---|-------------------------|
| ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol: | | | | | | | |
| 12/06/23 | 100.000 | 1,072.98 | 12/04/23 | 965.00 | ... | 107.98 | Sale ²⁵ |
| SALESFORCE, INC. / CUSIP: 79466L302 / Symbol: | | | | | | | |
| 11/30/23 | 10.000 | 2,510.97 | 11/29/23 | 2,305.35 | ... | 205.62 | Sale ²⁵ |
| SEMTECH CORPORATION COMMON STOCK / CUSIP: 816850101 / Symbol: | | | | | | | |
| 12/07/23 | 40.000 | 772.99 | Various | 672.00 | ... | 100.99 | Total of 3 transactions |
| SHIFT4 PAYMENTS, INC. / CUSIP: 82452J109 / Symbol: | | | | | | | |
| 12/15/23 | 10.000 | 697.59 | 12/14/23 | 755.36 | ... | -57.77 | Sale ²⁵ |
| SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol: | | | | | | | |
| 11/28/23 | 50.000 | 3,650.22 | Various | 3,574.50 | ... | 75.72 | Total of 3 transactions |
| SNOWFLAKE INC. / CUSIP: 833445109 / Symbol: | | | | | | | |
| 11/30/23 | 10.000 | 1,878.87 | Various | 1,910.00 | ... | -31.13 | Total of 2 transactions |
| SOFI TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 83406F102 / Symbol: | | | | | | | |
| 12/22/23 | 200.000 | 1,950.81 | 12/21/23 | 1,910.00 | ... | 40.81 | Sale ²⁵ |
| SONOMA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 83558L204 / Symbol: | | | | | | | |
| 12/05/23 | 1,000.000 | 259.95 | 12/04/23 | 240.00 | ... | 19.95 | Sale ²⁵ |
| SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: | | | | | | | |
| 12/07/23 | 200.000 | 2,813.96 | 12/05/23 | 2,683.86 | ... | 130.10 | Sale ²⁵ |
| TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: | | | | | | | |
| 07/12/23 | 3.000 | 825.88 | 07/12/23 | 827.91 | ... | -2.03 | Sale ²⁵ |
| THE TRADE DESK, INC. CLASS A COMMON STOCK / CUSIP: 88339J105 / Symbol: | | | | | | | |
| 11/10/23 | 11.000 | 641.84 | Various | 844.88 | ... | -203.04 | Total of 2 transactions |
| TREVENA, INC. COMMON STOCK / CUSIP: 89532E208 / Symbol: | | | | | | | |
| 05/08/23 | 200.000 | 417.97 | 05/08/23 | 470.00 | ... | -52.03 | Sale ²⁵ |
| UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol: | | | | | | | |
| 12/04/23 | 20.000 | 1,192.99 | 12/01/23 | 1,197.80 | ... | -4.81 | Sale ²⁵ |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 401684022

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | 7- Loss not allowed (X) also not reported (Z) | Gain or loss(-) & Additional information |
|--|------------------------|---|-------------------|-------------------------|--|---|--|
| UIPATH, INC. / CUSIP: 90364P105 / Symbol: | | | | | | | |
| 11/30/23 | 150.000 | 2,965.46 | Various | 3,043.05 | 61.13 W | -16.46 | Total of 5 transactions |
| 12/01/23 | 100.000 | 2,258.00 | Various | 2,008.59 | ... | 249.41 | Total of 4 transactions |
| | Security total: | 5,223.46 | | 5,051.64 | 61.13 W | 232.95 | |
| ULTA BEAUTY, INC. COMMON STOCK / CUSIP: 90384S303 / Symbol: | | | | | | | |
| 12/01/23 | 1.000 | 474.20 | 11/30/23 | 455.00 | ... | 19.20 | Sale ²⁵ |
| UNITY SOFTWARE INC. / CUSIP: 91332U101 / Symbol: | | | | | | | |
| 11/10/23 | 11.000 | 238.26 | Various | 277.49 | ... | -39.23 | Total of 2 transactions |
| UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol: | | | | | | | |
| 12/22/23 | 50.000 | 2,260.43 | 12/21/23 | 2,228.00 | ... | 32.43 | Sale ²⁵ |
| VOLCON, INC. COMMON STOCK / CUSIP: 92864V202 / Symbol: | | | | | | | |
| 12/21/23 | 10,000.000 | 713.73 | Various | 805.00 | ... | -91.27 | Total of 20 transactions |
| WHEELER REAL ESTATE INVESTMENT TRUST, INC. COMMON STOCK / CUSIP: 963025887 / Symbol: | | | | | | | |
| 11/28/23 | 1,000.000 | 349.86 | Various | 243.86 | ... | 106.00 | Total of 6 transactions |
| ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: | | | | | | | |
| 11/21/23 | 38.000 | 2,431.98 | Various | 2,653.52 | 221.54 W | 0.00 | Total of 3 transactions |
| 12/21/23 | 40.000 | 2,821.87 | Various | 3,131.54 | ... | -309.67 | Total of 6 transactions |
| | Security total: | 5,253.85 | | 5,785.06 | 221.54 W | -309.67 | |
| ZYVERSA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 98987D201 / Symbol: | | | | | | | |
| 12/08/23 | 600.000 | 625.04 | Various | 800.75 | ... | -175.71 | Total of 6 transactions |
| NEWEGG COMMERCE, INC. COMMON SHARES / CUSIP: G6483G100 / Symbol: | | | | | | | |
| 11/29/23 | 100.000 | 133.99 | 11/28/23 | 110.94 | ... | 23.05 | Sale ²⁵ |
| STARBOX GROUP HOLDINGS LTD. OR DINARY SHARES / CUSIP: G8437S115 / Symbol: | | | | | | | |
| 12/20/23 | 600.000 | 182.29 | Various | 263.59 | ... | -81.30 | Total of 7 transactions |
| 12/20/23 | 400.000 | 123.31 | Various | 162.46 | 39.15 W | 0.00 | Total of 5 transactions |
| | Security total: | 305.60 | | 426.05 | 39.15 W | -81.30 | |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 401684022

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

(continued)

02/12/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--|------------------------|---|-------------------|-------------------------|--|---|-------------------------|
| ZAPP ELECTRIC VEHICLES GROUP L IMITED ORDINARY SHARES / CUSIP: G9889X107 / Symbol: | | | | | | | |
| 12/15/23 | 891.000 | 208.14 | Various | 284.39 | ... | -76.25 | Total of 6 transactions |
| 12/15/23 | 109.000 | 25.60 | Various | 33.78 | 8.18 W | 0.00 | Total of 2 transactions |
| | Security total: | 233.74 | | 318.17 | 8.18 W | -76.25 | |
| ELASTIC N.V. / CUSIP: N14506104 / Symbol: | | | | | | | |
| 12/01/23 | 20.000 | 1,898.72 | 11/30/23 | 1,601.69 | ... | 297.03 | Sale ²⁵ |
| NEXT.E.GO N.V. ORDINARY SHARES / CUSIP: N63018118 / Symbol: | | | | | | | |
| 12/04/23 | 1,000.000 | 602.64 | Various | 657.17 | ... | -54.53 | Total of 3 transactions |
| GENIUS GROUP LIMITED / CUSIP: Y3005A109 / Symbol: | | | | | | | |
| 12/21/23 | 1,000.000 | 855.54 | 12/20/23 | 846.80 | ... | 8.74 | Sale ²⁵ |
| Totals : | | 328,689.04 | | 312,983.87 | 11,344.96 W | 27,050.13 | |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 401684022

Detail for Dividends and Distributions

2023

02/12/2024

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, Section 897 dividends and capital gains, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. The IRS has indicated that boxes 2e and 2f are not applicable to recipients that are U.S. individuals. You should consult your tax advisor for further information.

| Security description | CUSIP and/or symbol | State | Date | Amount | Transaction type | Notes |
|------------------------------------|---------------------|-------|----------|-------------|--|-------|
| HAWAIIAN ELECTRIC INDUSTRIES, INC. | 419870100 | | 09/08/23 | 0.07 | Qualified dividend | |
| | | | | 0.07 | Total Dividends & distributions | |

Robinhood Markets Inc. as agent for
Robinhood Securities LLC

Account 401684022

Fees and Expenses

2023

02/12/2024

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

| Description | CUSIP and/or symbol | Date | Amount | Transaction type | Notes |
|-----------------------|---------------------|----------|-----------------------------------|----------------------------|-------|
| Margin charge | | 11/27/23 | -5.34 | Margin interest paid | |
| | | 12/26/23 | -3.33 | Margin interest paid | |
| | | | -8.67 | Total Margin interest paid | |
| Gold Subscription fee | GLDSUBFEE | 01/03/23 | -5.00 | Subscription expense | |
| | | 01/30/23 | -5.00 | Subscription expense | |
| | | 03/01/23 | -5.00 | Subscription expense | |
| | | 03/31/23 | -5.00 | Subscription expense | |
| | | 05/01/23 | -5.00 | Subscription expense | |
| | | 05/30/23 | -5.00 | Subscription expense | |
| | | 06/29/23 | -5.00 | Subscription expense | |
| | | 07/31/23 | -5.00 | Subscription expense | |
| | | 08/28/23 | -5.00 | Subscription expense | |
| | | 09/27/23 | -5.00 | Subscription expense | |
| | | 10/27/23 | -5.00 | Subscription expense | |
| | | 11/27/23 | -5.00 | Subscription expense | |
| | | 12/26/23 | -5.00 | Subscription expense | |
| | | -65.00 | Total Subscription expense | | |
| | | -8.67 | Total Margin interest paid | | |
| | | -65.00 | Total Subscription expense | | |

Robinhood Markets Inc. as agent for
Robinhood Securities LLC
2023

Non-Reportable Actions

Account 401684022

02/12/2024

| Security Description | CUSIP and/or symbol | Date | Quantity | Amount | Transaction type | Notes |
|------------------------------|------------------------|----------|----------|--------|------------------|-------|
| ARVL 01/19/2024 CALL \$5.00 | ARVL 01/19/24 C 5.000 | 04/14/23 | -1.00 | 0.00 | Merger | |
| ARVL1 01/19/2024 CALL \$5.00 | ARVL1 01/19/24 C 5.000 | 04/14/23 | 1.00 | 0.00 | Merger | |

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Instructions for Recipient

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 12. See the Instructions for Form 6251.

Lines 14-16. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

| | | | |
|--|---|-----------------------------------|-------------|
| Robinhood Markets Inc. as agent for Robinhood Crypto LLC 85 Willow Rd Menlo Park, CA 94025 Customer Service: 650-940-2700 PAYER'S TIN: 46-4364776 | Tax Information Account 401684022C | Statement Date: 02/12/2024 | 2023 |
| | Srinivasa reddy Akiti 513 S Dodson Rd Apt S4 Rogers, AR 72758 RECIPIENT'S TIN: XXX-XX-3427 | | |

11 - [] FATCA filing requirement (see instructions)

Summary Information

13 - [] FATCA filing requirement (see instructions)

| DIVIDENDS AND DISTRIBUTIONS | 2023 1099-DIV* | OMB No. 1545-0110 | MISCELLANEOUS INFORMATION | 2023 1099-MISC* | OMB No. 1545-0115 |
|--|-----------------------|-------------------|---|------------------------|-------------------|
| 1a- Total ordinary dividends (includes lines 1b, 5, 2e) | 0.00 | | 2- Royalties | 0.00 | |
| 1b- Qualified dividends | 0.00 | | 3- Other income | 0.00 | |
| 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) | 0.00 | | 4- Federal income tax withheld | 0.00 | |
| 2b- Unrecaptured Section 1250 gain | 0.00 | | 8- Substitute payments in lieu of dividends or interest | 0.00 | |
| 2c- Section 1202 gain | 0.00 | | | | |
| 2d- Collectibles (28%) gain | 0.00 | | SECTION 1256 CONTRACTS | 2023 1099-B* | OMB No. 1545-0715 |
| 2e- Section 897 ordinary dividends | 0.00 | | 8- Profit or (loss) realized in 2023 on closed contracts | 0.00 | |
| 2f- Section 897 capital gain | 0.00 | | 9- Unrealized profit or (loss) on open contracts-12/31/2022 | 0.00 | |
| 3- Nondividend distributions | 0.00 | | 10- Unrealized profit or (loss) on open contracts-12/31/2023 | 0.00 | |
| 4- Federal income tax withheld | 0.00 | | 11- Aggregate profit or (loss) on contracts | 0.00 | |
| 5- Section 199A dividends | 0.00 | | | | |
| 6- Investment expenses | 0.00 | | <i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i> | | |
| 8- Foreign country or US possession: 7- Foreign tax paid: | 0.00 | | * This is important tax information and is being furnished to the Internal Revenue Service. | | |
| 9- Cash liquidation distributions | 0.00 | | If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. | | |
| 10- Noncash liquidation distributions | 0.00 | | | | |
| 12- Exempt-interest dividends (includes line 13) | 0.00 | | | | |
| 13- Specified private activity bond interest dividends (AMT) | 0.00 | | | | |

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

| Term | Form 8949 type | Proceeds | Cost basis | Market discount | Wash sale loss disallowed | Net gain or loss(-) |
|--------------------|--|-----------------|-----------------|-----------------|---------------------------|---------------------|
| Short | A (basis reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Short | B (basis not reported to the IRS) | 7,210.37 | 7,350.25 | 0.00 | 0.00 | -139.88 |
| Short | C (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Short-term | 7,210.37 | 7,350.25 | 0.00 | 0.00 | -139.88 |
| Long | D (basis reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Long | F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Long-term | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Undetermined | B or E (basis not reported to the IRS) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Undetermined | C or F (Form 1099-B not received) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Total Undetermined-term | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| | Grand total | 7,210.37 | 7,350.25 | 0.00 | 0.00 | -139.88 |
| Withholding | | Amount | | | | |
| | Federal income tax withheld | 0.00 | | | | |

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Robinhood Markets Inc. as agent for
Robinhood Crypto LLC

Summary Information

Account 401684022C

2023

(continued)

02/12/2024

INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

| | |
|---|-------------|
| 1- Interest income (not included in line 3) | 0.00 |
| 2- Early withdrawal penalty | 0.00 |
| 3- Interest on US Savings Bonds & Treasury obligations | 0.00 |
| 4- Federal income tax withheld | 0.00 |
| 5- Investment expenses | 0.00 |
| 7- Foreign country or US possession: 6- Foreign tax paid: | 0.00 |
| 8- Tax-exempt interest (includes line 9) | 0.00 |
| 9- Specified private activity bond interest (AMT) | 0.00 |
| 10- Market discount (covered lots) | 0.00 |
| 11- Bond premium (covered lots) | 0.00 |
| 12- Bond premium on Treasury obligations (covered lots) | 0.00 |
| 13- Bond premium on tax-exempt bonds (categorized below) | 0.00 |
| <i>Tax-exempt obligations (covered lots)</i> | 0.00 |
| <i>Tax-exempt private activity obligations (AMT, covered lots)</i> | 0.00 |
| 14- Tax-exempt and tax credit bond CUSIP number FATCA filing requirement [] | |

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

| | |
|---|------|
| Taxable accrued interest paid | 0.00 |
| Taxable accrued Treasury interest paid | 0.00 |
| Tax-exempt accrued interest paid | 0.00 |
| Tax-exempt accrued interest paid (AMT) | 0.00 |
| Taxable accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid | 0.00 |
| Tax-exempt accrued nonqualified interest paid (AMT) | 0.00 |
| Nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest | 0.00 |
| Tax-exempt nonqualified interest (AMT) | 0.00 |
| Interest shortfall on contingent payment debt | 0.00 |
| Bond premium- Non Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Treasury obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (noncovered lots) | 0.00 |
| Bond premium- Tax-exempt obligations (AMT, noncovered lots) | 0.00 |
| Market discount (noncovered lots) | 0.00 |

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

| | |
|--------------------------|------|
| 1099-DIV total withheld | 0.00 |
| 1099-INT total withheld | 0.00 |
| 1099-OID total withheld | 0.00 |
| 1099-MISC total withheld | 0.00 |
| 1099-B total withheld | 0.00 |

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

| | |
|--|------|
| Original issue discount for the year | 0.00 |
| Acquisition premium (covered lots) | 0.00 |
| Acquisition premium (noncovered lots) | 0.00 |
| Original issue discount on Treasury obligations | 0.00 |
| Acquisition premium, Treasury obligations (covered lots) | 0.00 |
| Acquisition premium, Treasury obligations (noncovered lots) | 0.00 |
| Tax-exempt OID | 0.00 |
| Tax-exempt OID (lots not reported) | 0.00 |
| Acquisition premium (covered) | 0.00 |
| Acquisition premium (lots not reported) | 0.00 |
| Tax-exempt OID on private activity bonds | 0.00 |
| Tax-exempt OID on private activity bonds (lots not reported) | 0.00 |
| Acquisition premium (AMT, covered) | 0.00 |
| Acquisition premium (AMT, lots not reported) | 0.00 |
| Market discount (all lots) | 0.00 |
| Early withdrawal penalty | 0.00 |
| Investment expenses | 0.00 |

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

| | |
|---|------|
| Other Receipts & Reconciliations- Partnership distributions | 0.00 |
| Other Receipts & Reconciliations- Foreign tax paid- partnership | 0.00 |
| Other Receipts & Reconciliations- Return of principal | 0.00 |
| Other Receipts & Reconciliations- Deferred income payment | 0.00 |
| Other Receipts & Reconciliations- Deemed premium | 0.00 |
| Other Receipts & Reconciliations- Income accrual- UIT | 0.00 |
| Other Receipts & Reconciliations- Basis adjustments | 0.00 |
| Other Receipts & Reconciliations- Foreign tax pd beyond treaty | 0.00 |
| Fees & Expenses- Margin interest | 0.00 |
| Fees & Expenses- Dividends paid on short position | 0.00 |
| Fees & Expenses- Interest paid on short position | 0.00 |
| Fees & Expenses- Non reportable distribution expense | 0.00 |
| Fees & Expenses- Other expenses | 0.00 |
| Fees & Expenses- Severance tax | 0.00 |
| Fees & Expenses- Organizational expense | 0.00 |
| Fees & Expenses- Miscellaneous fees | 0.00 |
| Fees & Expenses- Tax-exempt investment expense | 0.00 |
| Foreign Exchange Gains & Losses- Foreign currency gain/loss | 0.00 |

Robinhood Markets Inc. as agent for
Robinhood Crypto LLC

Account 401684022C

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

02/12/2024

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 1d- Proceeds & 6- Reported (G)ross or (N)et | Date acquired | Cost or other basis | Accrued mkt disc (D) & Wash sale loss disallowed (W) | Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z) | Additional information |
|--------------------------------------|------------------------|---|---------------|---------------------|--|---|------------------------|
| Dogecoin / CUSIP: DOGEUSD / Symbol: | | | | | | | |
| 12/04/23 | 5,826.780 | 500.70 | 12/03/23 | 499.82 | ... | 0.88 | Sale ²⁵ |
| Ethereum / CUSIP: ETHUSD / Symbol: | | | | | | | |
| 12/26/23 | 1.015219 | 2,265.33 | 12/23/23 | 2,349.75 | ... | -84.42 | Sale ²⁵ |
| 12/30/23 | 1.730847 | 3,956.78 | 12/30/23 | 4,000.68 | ... | -43.90 | Sale ²⁵ |
| | Security total: | 6,222.11 | | 6,350.43 | ... | -128.32 | |
| Shiba Inu / CUSIP: SHIBUSD / Symbol: | | | | | | | |
| 12/04/23 | 54,054,054.000 | 487.56 | 12/03/23 | 500.00 | ... | -12.44 | Sale ²⁵ |
| Totals : | | 7,210.37 | | 7,350.25 | | -139.88 | |

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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