

**Apex Clearing**

One Dallas Center  
350 North St Paul, Ste 1300  
Dallas, TX 75201

**IMPORTANT TAX DOCUMENTS ENCLOSED**

IN ACCOUNT WITH  
**WEBULL FINANCIAL LLC**  
**888-828-0618**

ABHINAY KOLUGURI  
770 FAIRWAY DR, APT 1723  
COPPELL, TX 75019-6791

**IMPORTANT YEAR END MESSAGES**

This document includes your Consolidated Form 1099 that we are required to provide to you and contains information that we are providing to the Internal Revenue Service and additional information that may be helpful to you for filing your tax return. You may wish to seek the advice of a tax professional when preparing your return.

Please verify your personal information for accuracy and contact us to correct any discrepancies. If you own Mutual Funds, Real Estate Trusts (REITs), REMIC Securities or certain fixed investment trusts (WHFITs), income distributions may be reclassified by the issuer after the original 1099 is sent. If you hold these securities or another security that is subject to a reclassification event, you may receive a corrected Form 1099.

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<b>Apex Clearing</b> One Dallas Center 350 North St Paul, Ste 1300 Dallas, TX 75201 Customer Service: 214-765-1009 Payer's Federal ID No: 13-2967453 IN ACCOUNT WITH <b>WEBULL FINANCIAL LLC</b> <b>888-828-0618</b>	<b>Account 5MV31869</b>	<b>Form 1099 Composite</b>	<b>2023</b>
	ABHINAY KOLUGURI 770 FAIRWAY DR, APT 1723 COPPELL, TX 75019-6791  RECIPIENT'S ID No: XXX-XX-2334	Statement Date: 2024-03-07 <input checked="" type="checkbox"/> Corrected	

FATCA filing Requirement 

## Tax Reporting Statement

Dividends and Distributions	2023 Form1099DIV	OMB No. 1545-0110
1a- Total Ordinary Dividends (includes amount shown on 1b)	0.00	
1b- Qualified Dividends	0.00	
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d)	0.00	
2b- Unrecaptured Section 1250 Gain	0.00	
2c- Section 1202 Gain	0.00	
2d- Collectibles (28%) Gain	0.00	
2e- Section 897 ordinary dividends	0.00	
2f- Section 897 capital gain	0.00	
3- Nondividend Distributions	0.00	
<b>4- Federal Income Tax Withheld</b>	<b>0.00</b>	
5- Section 199A Dividends	0.00	
6- Investment Expenses	0.00	
7- Foreign Tax Paid	0.00	
8- Foreign Country or U.S. Possessions		
9- Cash Liquidation Distribution	0.00	
10- Noncash Liquidation Distributions	0.00	
12- Exempt-Interest Dividends	0.00	
13- Specified Private Activity Bond Interest Dividends	0.00	

Interest Income	2023 Form1099INT	OMB No. 1545-0112
1- Interest Income	0.00	
2- Early Withdrawal Penalty	0.00	
3- Interest on US Savings Bonds & Treasury Obligations	0.00	
<b>4- Federal Income Tax Withheld</b>	<b>0.00</b>	
5- Investment Expenses	0.00	
6- Foreign Tax Paid	0.00	
7- Foreign Country or U.S. Possession		
8- Tax-Exempt Interest (includes box 9)	0.00	
9- Specified Private Activity Bond Interest	0.00	
10- Market Discount	0.00	
11- Bond Premium	0.00	
12- Bond Premium on Treasury Obligations	0.00	
13- Bond Premium on Tax-Exempt bonds	0.00	
14- Tax-Exempt and Tax Credit Bond CUSIP no.		

Miscellaneous Information	2023 Form1099MISC	OMB No. 1545-0115
2- Royalties	0.00	
3- Other Income	0.00	
<b>4- Federal Income Tax Withheld</b>	<b>0.00</b>	
8- Substitute Payments in Lieu of Dividends or Interest	0.00	

REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS	2023 Form1099B	OMB No. 1545-0715
8- Profit or (loss) realized in 2023 on closed contracts	0.00	
9- Unrealized profit or (loss) on open contracts - 12/31/2022	0.00	
10- Unrealized profit or (loss) on open contracts - 12/31/2023	0.00	
11- Aggregate profit or (loss) on contracts	0.00	
<i>As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on futures and section 1256 options transactions are also provided in other sections of this document.</i>		

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Dividends may be reclassified for tax purposes after the original Form 1099 is sent in certain cases where information from issuers is received late. This may require us to provide you an amended tax form.

C - Corrected

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# Tax Summary

2023

5MV31869

2024-03-07

## Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details sections to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Total Market Discount	Wash Sale Loss Disallowed	Total Net Gain or Loss(-)
Short-term transactions for covered tax lots	1,795,787.51	1,847,070.56	0.00	45,138.57	-6,144.48
Short-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
<b>Total Short-term</b>	<b>1,795,787.51</b>	<b>1,847,070.56</b>	<b>0.00</b>	<b>45,138.57</b>	<b>-6,144.48</b>
Long-term transactions for covered tax lots	0.00	0.00	0.00	0.00	0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

### Original Issue Discount

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount on U.S. Treasury Obligations	0.00
Other Periodic Interest	0.00
Market Discount - Covered Positions	0.00
Acquisition Premium - Covered Positions	0.00
Bond Premium - Covered Positions	0.00
Tax-Exempt OID - Covered Positions	0.00
Tax Exempt OID - Specified Private Activity Bonds - Covered Positions	0.00
Investment Expenses	0.00

Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the Form1099-OID section of this document.

### FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate):	<b>0.00</b>
1099-DIV Total:	<b>0.00</b>
1099-INT Total:	<b>0.00</b>
1099-MISC Total:	<b>0.00</b>
1099-OID Total:	<b>0.00</b>

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

2024-03-07

OMB No. 1545-0715

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B - Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purpose. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis if set forth below is provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes", cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as "Box 2 - Ordinary." In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as "Box 3 - Collectibles Box Checked." Loss disallowed for certain transactions involving change in control or capital structure is noted in the Additional Notes column as "Box 7 - Loss Disallowed Box Checked." Disposition of a QOF investment is noted in the Additional Notes column as "Box 3 - QOF."

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement [ ]

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT AMD 05/26/23	125	ADVANCED MICRO DEVICES INC   CUSIP: *8BRSWY6   Symbol: AMD---230526P00125000					
2023-05-26	4.0	291.78	2023-05-26	280.20	0.00	11.58	
CALL COIN 02/03/23	90	COINBASE GLOBAL INC   CUSIP: *8BRYQN8   Symbol: COIN--230203C00090000					
2023-02-02	36.0	3,990.01	2023-02-02	3,915.20	153.44 D	228.25	
CALL COIN 02/03/23	95	COINBASE GLOBAL INC   CUSIP: *8BRYQQ1   Symbol: COIN--230203C00095000					
2023-02-02	52.0	4,130.19	2023-02-02	4,168.08	135.52 D	97.63	

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## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL COIN 02/03/23	100	COINBASE GLOBAL INC   CUSIP: *8BRYQQ3   Symbol: COIN--230203C00100000					
2023-02-02	65.0	2,860.54	2023-02-02	3,333.83	245.63 D	-227.66	
PUT SPY 02/01/23	392	STANDARD & POORS DEPOSITORY   CUSIP: *8BVKYGO   Symbol: SPY---230201P00392000					
2023-01-25	2.0	551.89	2023-01-25	548.08	0.00	3.81	
PUT SPY 02/01/23	400	STANDARD & POORS DEPOSITORY   CUSIP: *8BVLBJ3   Symbol: SPY---230201P00400000					
2023-01-26	2.0	439.89	2023-01-26	438.08	0.00	1.81	
PUT SPY 02/01/23	403	STANDARD & POORS DEPOSITORY   CUSIP: *8BVLBN9   Symbol: SPY---230201P00403000					
2023-01-31	2.0	285.90	2023-01-31	282.08	0.00	3.82	
PUT SPY 02/01/23	405	STANDARD & POORS DEPOSITORY   CUSIP: *8BVLBS5   Symbol: SPY---230201P00405000					
2023-02-01	20.0	1,478.93	2023-02-01	1,380.98	0.00	97.95	
PUT SPY 02/01/23	406	STANDARD & POORS DEPOSITORY   CUSIP: *8BVLBT4   Symbol: SPY---230201P00406000					
2023-02-01	35.0	3,598.10	2023-02-01	4,503.29	481.56 D	-423.63	
PUT SPY 02/01/23	407	STANDARD & POORS DEPOSITORY   CUSIP: *8BVLBV3   Symbol: SPY---230201P00407000					
2023-02-01	20.0	3,318.89	2023-02-01	2,980.98	0.00	337.91	
CALL META 01/13/23	132	META PLATFORMS INC CL A   CUSIP: *8CSJZN5   Symbol: META--230113C00132000					
2023-01-09	3.0	605.86	2023-01-09	612.11	0.00	-6.25	
CALL META 01/13/23	133	META PLATFORMS INC CL A   CUSIP: *8CSJZN9   Symbol: META--230113C00133000					
2023-01-09	13.0	2,258.39	2023-01-09	2,260.48	0.00	-2.09	
CALL META 01/13/23	134	META PLATFORMS INC CL A   CUSIP: *8CSJZS2   Symbol: META--230113C00134000					
2023-01-09	4.0	527.82	2023-01-09	520.16	0.00	7.66	
PUT META 01/27/23	138	META PLATFORMS INC CL A   CUSIP: *8CSKDK3   Symbol: META--230127P00138000					
2023-01-23	3.0	512.86	2023-01-23	495.11	0.00	17.75	
CALL META 01/27/23	142	META PLATFORMS INC CL A   CUSIP: *8CSKDX1   Symbol: META--230127C00142000					
2023-01-25	3.0	401.87	2023-01-25	384.11	0.00	17.76	
PUT META 01/27/23	142	META PLATFORMS INC CL A   CUSIP: *8CSKFB3   Symbol: META--230127P00142000					
2023-01-26	8.0	351.66	2023-01-26	315.31	0.00	36.35	

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Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL META 01/27/23	143 META PLATFORMS INC CL A	CUSIP: *8CSKFB5   Symbol: META--230127C00143000					
2023-01-25	4.0	371.83	2023-01-25	364.16	0.00	7.67	
CALL NVDA 01/27/23	202.50 NVIDIA CORPORATION	CUSIP: *8G79346   Symbol: NVDA--230127C00202500					
2023-01-24	33.0	2,827.56	2023-01-24	3,580.48	752.92 D	-0.00	
2023-01-26	39.0	3,461.30	2023-01-26	3,981.62	371.42 D	-148.90	
<b>Security Totals:</b>		<b>6,288.86</b>		<b>7,562.10</b>		<b>-148.90</b>	
PUT NVDA 02/03/23	202.50 NVIDIA CORPORATION	CUSIP: *8G79352   Symbol: NVDA--230203P00202500					
2023-02-01	15.0	3,374.14	2023-02-01	3,555.74	0.00	-181.60	
CALL COIN 02/03/23	61 COINBASE GLOBAL INC	CUSIP: *8GCXNX8   Symbol: COIN--230203C00061000					
2023-01-30	12.0	1,283.48	2023-01-30	1,288.77	12.32 D	7.03	
PUT COIN 02/03/23	61 COINBASE GLOBAL INC	CUSIP: *8GCXNY7   Symbol: COIN--230203P00061000					
2023-02-01	48.0	4,861.40	2023-02-01	4,740.93	1.56 D	122.03	
CALL COIN 02/03/23	62 COINBASE GLOBAL INC	CUSIP: *8GCXNY9   Symbol: COIN--230203C00062000					
2023-01-30	25.0	2,279.91	2023-01-30	2,251.96	0.00	27.95	
PUT COIN 02/03/23	62 COINBASE GLOBAL INC	CUSIP: *8GCXNZ1   Symbol: COIN--230203P00062000					
2023-02-01	35.0	4,133.09	2023-02-01	4,006.72	0.00	126.37	
CALL COIN 02/03/23	63 COINBASE GLOBAL INC	CUSIP: *8GCXPZ9   Symbol: COIN--230203C00063000					
2023-01-30	5.0	799.78	2023-01-30	760.19	0.00	39.59	
PUT COIN 02/03/23	63 COINBASE GLOBAL INC	CUSIP: *8GCXRL0   Symbol: COIN--230203P00063000					
2023-02-01	6.0	863.68	2023-02-01	810.29	0.00	53.39	
CALL COIN 02/03/23	64 COINBASE GLOBAL INC	CUSIP: *8GCXRL8   Symbol: COIN--230203C00064000					
2023-01-30	4.0	551.82	2023-01-30	528.15	0.00	23.67	
CALL COIN 02/10/23	61 COINBASE GLOBAL INC	CUSIP: *8GCXRM4   Symbol: COIN--230210C00061000					
2023-02-10	6.0	425.69	2023-02-10	422.30	0.00	3.39	

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## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>1a - Description of property   CUSIP   Symbol</b>							
PUT COIN 02/10/23	61 COINBASE GLOBAL INC   CUSIP: *8GCXRM6   Symbol: COIN--230210P00061000						
2023-02-07	10.0	789.47	2023-02-07	760.49	0.00	28.98	
2023-02-10	4.0	575.77	2023-02-10	559.20	0.00	16.57	
<b>Security Totals:</b>		<b>1,365.24</b>		<b>1,319.69</b>		<b>45.55</b>	
CALL COIN 02/10/23	63 COINBASE GLOBAL INC   CUSIP: *8GCXSD4   Symbol: COIN--230210C00063000						
2023-02-09	7.0	885.58	2023-02-09	848.35	0.00	37.23	
PUT COIN 02/10/23	63 COINBASE GLOBAL INC   CUSIP: *8GCXSD6   Symbol: COIN--230210P00063000						
2023-02-07	10.0	819.47	2023-02-07	790.49	0.00	28.98	
2023-02-09	11.0	1,069.39	2023-02-09	1,051.55	0.00	17.84	
<b>Security Totals:</b>		<b>1,888.86</b>		<b>1,842.04</b>		<b>46.82</b>	
CALL COIN 02/10/23	64 COINBASE GLOBAL INC   CUSIP: *8GCXSD9   Symbol: COIN--230210C00064000						
2023-02-09	17.0	1,680.02	2023-02-09	1,664.31	29.46 D	45.17	
PUT COIN 02/10/23	64 COINBASE GLOBAL INC   CUSIP: *8GCXSF0   Symbol: COIN--230210P00064000						
2023-02-07	10.0	869.47	2023-02-07	830.50	0.00	38.97	
2023-02-09	7.0	790.62	2023-02-09	853.35	0.00	-62.73	
<b>Security Totals:</b>		<b>1,660.09</b>		<b>1,683.85</b>		<b>-23.76</b>	
PUT COIN 03/03/23	62 COINBASE GLOBAL INC   CUSIP: *8GCXZX7   Symbol: COIN--230303P00062000						
2023-02-28	13.0	1,416.31	2023-02-28	1,349.64	0.00	66.67	
CALL DIS 02/10/23	111 WALT DISNEY CO   CUSIP: *8GJBTFO   Symbol: DIS---230210C00111000						
2023-02-09	29.0	2,189.44	2023-02-09	2,297.04	122.61 D	15.01	
PUT NVDA 10/06/23	452.50 NVIDIA CORPORATION   CUSIP: *8GLWXR8   Symbol: NVDA--231006P00452500						
2023-10-06	4.0	307.82	2023-10-06	401.16	0.00	-93.34	
CALL GOOG 01/20/23	97 ALPHABET INC CLASS C ADJ 20:1 STOCK SPLIT   CUSIP: *8GMTWY9   Symbol: GOOG--230120C00097000						
2023-01-20	2.0	187.89	2023-01-20	178.08	0.00	9.81	
CALL GOOG 01/20/23	97.50 ALPHABET INC CLASS C ADJ 20:1 STOCK SPLIT   CUSIP: *8GMTWZ1   Symbol: GOOG--230120C00097500						
2023-01-20	10.0	705.54	2023-01-20	691.63	16.25 D	30.16	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL GOOG 01/20/23	98	ALPHABET INC CLASS C ADJ 20:1 STOCK SPLIT   CUSIP: *8GMTWZ3   Symbol: GOOG--230120C00098000					
2023-01-20	9.0	530.58	2023-01-20	535.11	18.78 D	14.25	
PUT GOOG 01/20/23	98	ALPHABET INC CLASS C ADJ 20:1 STOCK SPLIT   CUSIP: *8GMTWZ4   Symbol: GOOG--230120P00098000					
2023-01-20	7.0	377.70	2023-01-20	356.27	0.00	21.43	
PUT GOOG 01/20/23	99	ALPHABET INC CLASS C ADJ 20:1 STOCK SPLIT   CUSIP: *8GMTWZ6   Symbol: GOOG--230120P00099000					
2023-01-20	10.0	1,047.57	2023-01-20	1,074.69	53.32 D	26.20	
PUT SPY 01/20/23	387	STANDARD & POORS DEPOSITORY   CUSIP: *8GQPSG7   Symbol: SPY---230120P00387000					
2023-01-19	1.0	54.94	2023-01-19	51.04	0.00	3.90	
PUT SPY 01/20/23	388	STANDARD & POORS DEPOSITORY   CUSIP: *8GQPSH2   Symbol: SPY---230120P00388000					
2023-01-11	1.0	269.94	2023-01-11	267.04	0.00	2.90	
CALL SPY 01/20/23	391	STANDARD & POORS DEPOSITORY   CUSIP: *8GQPSL9   Symbol: SPY---230120C00391000					
2023-01-19	4.0	363.83	2023-01-19	356.15	0.00	7.68	
PUT SPY 01/20/23	392	STANDARD & POORS DEPOSITORY   CUSIP: *8GQPTL6   Symbol: SPY---230120P00392000					
2023-01-13	3.0	545.86	2023-01-13	540.11	0.00	5.75	
PUT SPY 01/20/23	393	STANDARD & POORS DEPOSITORY   CUSIP: *8GQPTL8   Symbol: SPY---230120P00393000					
2023-01-20	4.0	303.80	2023-01-20	292.15	0.00	11.65	
CALL SPY 01/20/23	394	STANDARD & POORS DEPOSITORY   CUSIP: *8GQPTL9   Symbol: SPY---230120C00394000					
2023-01-18	2.0	259.90	2023-01-18	256.08	0.00	3.82	
PUT SPY 01/20/23	394	STANDARD & POORS DEPOSITORY   CUSIP: *8GQPTM0   Symbol: SPY---230120P00394000					
2023-01-20	3.0	302.87	2023-01-20	294.11	0.00	8.76	
CALL SMCI 11/03/23	257.50	SUPER MICRO COMPUTER INC   CUSIP: *8KCNB7   Symbol: SMCI--231103C00257500					
2023-11-03	3.0	359.86	2023-11-03	335.12	0.00	24.74	
CALL RCL 02/10/23	73	ROYAL CARIBBEAN GROUP   CUSIP: *8KJHZR5   Symbol: RCL---230210C00073000					
2023-02-07	16.0	1,910.11	2023-02-07	1,713.80	0.00	196.31	
CALL RCL 02/10/23	74	ROYAL CARIBBEAN GROUP   CUSIP: *8KJHZX7   Symbol: RCL---230210C00074000					
2023-02-07	25.0	2,288.64	2023-02-07	2,074.56	27.32 D	241.40	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 02/02/23	392	STANDARD & POORS DEPOSITORY   CUSIP: *8KLZJJ8   Symbol: SPY---230202P00392000					
2023-01-30	1.0	88.94	2023-01-30	95.04	0.00	-6.10	
CALL SPY 02/02/23	399	STANDARD & POORS DEPOSITORY   CUSIP: *8KLZJQ1   Symbol: SPY---230202C00399000					
2023-01-25	2.0	645.88	2023-01-25	638.08	0.00	7.80	
PUT SPY 02/02/23	410	STANDARD & POORS DEPOSITORY   CUSIP: *8KLZKY9   Symbol: SPY---230202P00410000					
2023-02-01	5.0	359.73	2023-02-01	335.25	0.00	24.48	
PUT TSLA 01/27/23	139	TESLA INC   CUSIP: *8KNMYQ2   Symbol: TSLA--230127P00139000					
2023-01-25	1.0	434.94	2023-01-25	435.04	0.00	-0.10	
CALL TSLA 01/27/23	148	TESLA INC   CUSIP: *8KNNBS4   Symbol: #8KNNBS4					
2023-01-25	2.0	591.89	2023-01-25	590.08	0.00	1.81	
PUT TSLA 01/27/23	149	TESLA INC   CUSIP: *8KNNBT0   Symbol: TSLA--230127P00149000					
2023-01-26	6.0	389.73	2023-01-26	366.23	0.00	23.50	
CALL NFLX 12/15/23	470	NETFLIX INC   CUSIP: *8KQZTH4   Symbol: NFLX--231215C00470000					
2023-12-15	5.0	514.78	2023-12-15	531.20	0.00	-16.42	
PUT NVDA 10/06/23	445	NVIDIA CORPORATION   CUSIP: *8KRFFW0   Symbol: NVDA--231006P00445000					
2023-10-06	4.0	435.82	2023-10-06	431.16	0.00	4.66	
PUT NVDA 10/06/23	450	NVIDIA CORPORATION   CUSIP: *8KRFFZ1   Symbol: NVDA--231006P00450000					
2023-10-06	4.0	327.82	2023-10-06	366.16	0.00	-38.34	
CALL GS 01/20/23	340	GOLDMAN SACHS GROUP INC   CUSIP: *8KSKNS4   Symbol: GS----230120C00340000					
2023-01-20	1.0	174.94	2023-01-20	139.04	0.00	35.90	
PUT GS 01/20/23	340	GOLDMAN SACHS GROUP INC   CUSIP: *8KSKNS5   Symbol: GS----230120P00340000					
2023-01-20	2.0	189.90	2023-01-20	172.08	0.00	17.82	
PUT AMZN 02/17/23	96	AMAZON.COM INC   CUSIP: *8KSMGL5   Symbol: AMZN--230217P00096000					
2023-02-13	3.0	347.83	2023-02-13	345.15	0.00	2.68	
CALL AMZN 02/17/23	99	AMAZON.COM INC   CUSIP: *8KSMGM1   Symbol: AMZN--230217C00099000					
2023-02-14	20.0	2,478.90	2023-02-14	2,400.98	0.00	77.92	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AMZN 02/17/23	101	AMAZON.COM INC   CUSIP: *8KSMGM3   Symbol: AMZN--230217C00101000					
2023-02-14	135.0	11,727.77	2023-02-14	14,110.73	984.11 D	-1,398.85	
CALL AMZN 02/17/23	102	AMAZON.COM INC   CUSIP: *8KSMGM5   Symbol: AMZN--230217C00102000					
2023-02-14	55.0	3,022.10	2023-02-14	3,997.70	0.00	-975.60	
CALL AMZN 02/17/23	103	AMAZON.COM INC   CUSIP: *8KSMGM7   Symbol: AMZN--230217C00103000					
2023-02-14	40.0	1,557.90	2023-02-14	1,921.96	0.00	-364.06	
PUT AMD 05/19/23	99	ADVANCED MICRO DEVICES INC   CUSIP: *8KTHXQ7   Symbol: AMD---230519P00099000					
2023-05-16	1.0	87.93	2023-05-16	86.05	0.00	1.88	
PUT COIN 02/17/23	52	COINBASE GLOBAL INC   CUSIP: *8KTLGN9   Symbol: COIN--230217P00052000					
2023-02-14	25.0	2,033.66	2023-02-14	1,967.25	11.02 D	77.43	
PUT COIN 02/17/23	53	COINBASE GLOBAL INC   CUSIP: *8KTLGP8   Symbol: COIN--230217P00053000					
2023-02-14	45.0	4,057.58	2023-02-14	4,113.24	101.03 D	45.37	
PUT COIN 02/17/23	56	COINBASE GLOBAL INC   CUSIP: *8KTLJZ6   Symbol: COIN--230217P00056000					
2023-02-14	5.0	629.72	2023-02-14	615.25	0.00	14.47	
CALL GME 02/10/23	24.50	GAMESTOP CORPORATION   CUSIP: *8KVSSD5   Symbol: GME---230210C00024500					
2023-02-06	5.0	404.73	2023-02-06	370.25	0.00	34.48	
PUT META 02/17/23	170	META PLATFORMS INC CL A   CUSIP: *8KWNJJ1   Symbol: META--230217P00170000					
2023-02-13	7.0	1,091.61	2023-02-13	1,011.35	0.00	80.26	
PUT NFLX 12/01/23	467.50	NETFLIX INC   CUSIP: *8KWWNN8   Symbol: NFLX--231201P00467500					
2023-12-01	5.0	648.73	2023-12-01	545.20	0.00	103.53	
CALL META 02/03/23	167.50	META PLATFORMS INC CL A   CUSIP: *8KYNHW4   Symbol: META--230203C00167500					
2023-01-30	20.0	2,919.11	2023-01-30	2,954.77	0.00	-35.66	
PUT META 02/03/23	177.50	META PLATFORMS INC CL A   CUSIP: *8KYNMX8   Symbol: META--230203P00177500					
2023-02-02	36.0	2,396.07	2023-02-02	2,644.61	92.83 D	-155.71	
PUT META 02/03/23	182.50	META PLATFORMS INC CL A   CUSIP: *8KYNNO0   Symbol: META--230203P00182500					
2023-02-02	23.0	2,679.70	2023-02-02	2,619.46	9.32 D	69.56	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT MSFT 02/17/23 262.50 MICROSOFT CORP   CUSIP: *8KYVSH9   Symbol: MSFT--230217P00262500							
2023-02-13	7.0	1,079.57	2023-02-13	1,140.70	33.35 D	-27.78	
CALL MARA 01/20/23 7 MARATHON DIGITAL HOLDINGS INC   CUSIP: *8KYVZC7   Symbol: MARA--230120C00007000							
2023-01-18	6.0	287.75	2023-01-18	276.23	0.00	11.52	
PUT NFLX 02/03/23 367.50 NETFLIX INC   CUSIP: *8KZCNG7   Symbol: NFLX--230203P00367500							
2023-02-03	9.0	769.48	2023-02-03	725.45	0.00	44.03	
CALL NFLX 02/03/23 372.50 NETFLIX INC   CUSIP: *8KZCNH6   Symbol: NFLX--230203C00372500							
2023-02-03	7.0	705.61	2023-02-03	758.67	54.32 D	1.26	
PUT NFLX 02/03/23 372.50 NETFLIX INC   CUSIP: *8KZCNQ1   Symbol: NFLX--230203P00372500							
2023-02-03	14.0	1,498.21	2023-02-03	1,679.47	102.77 D	-78.49	
CALL NFLX 02/03/23 377.50 NETFLIX INC   CUSIP: *8KZCNQ8   Symbol: NFLX--230203C00377500							
2023-02-03	8.0	1,159.53	2023-02-03	1,270.84	116.44 D	5.13	
PUT NFLX 02/03/23 377.50 NETFLIX INC   CUSIP: *8KZCNX7   Symbol: NFLX--230203P00377500							
2023-02-03	1.0	206.93	2023-02-03	192.05	0.00	14.88	
CALL NFLX 02/10/23 352.50 NETFLIX INC   CUSIP: *8KZCSY7   Symbol: NFLX--230210C00352500							
2023-02-10	3.0	359.83	2023-02-10	345.15	0.00	14.68	
PUT NFLX 02/10/23 352.50 NETFLIX INC   CUSIP: *8KZCSY9   Symbol: NFLX--230210P00352500							
2023-02-08	2.0	191.88	2023-02-08	186.10	0.00	5.78	
PUT NFLX 02/10/23 362.50 NETFLIX INC   CUSIP: *8KZCTW7   Symbol: NFLX--230210P00362500							
2023-02-09	3.0	287.83	2023-02-09	282.15	0.00	5.68	
PUT NVDA 02/17/23 197.50 NVIDIA CORPORATION   CUSIP: *8KZLNR8   Symbol: NVDA--230217P00197500							
2023-02-13	6.0	929.65	2023-02-13	900.30	0.00	29.35	
CALL SI 02/03/23 23.50 SILVERGATE CAPITAL CORP CL A   CUSIP: *8LDBBM6   Symbol: SI----230203C00023500							
2023-02-02	4.0	699.77	2023-02-02	720.20	0.00	-20.43	
PUT SPY 02/10/23 411 STANDARD & POORS DEPOSITORY   CUSIP: *8LBDXC2   Symbol: SPY---230210P00411000							
2023-02-07	7.0	740.61	2023-02-07	712.47	4.12 D	32.26	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 01/27/23	172.50	TESLA INC   CUSIP: *8LBGHG3   Symbol: TSLA--230127C00172500					
2023-01-27	1.0	299.94	2023-01-27	279.04	0.00	20.90	
PUT TSLA 01/27/23	172.50	TESLA INC   CUSIP: *8LBGHG8   Symbol: TSLA--230127P00172500					
2023-01-27	27.0	3,337.78	2023-01-27	3,220.79	68.75 D	185.74	
PUT TSLA 02/03/23	152.50	TESLA INC   CUSIP: *8LBGJD4   Symbol: TSLA--230203P00152500					
2023-01-30	9.0	809.62	2023-01-30	823.34	28.32 D	14.60	
2023-01-31	10.0	1,039.57	2023-01-31	1,040.70	0.00	-1.13	
<b>Security Totals:</b>		<b>1,849.19</b>		<b>1,864.04</b>		<b>13.47</b>	
PUT TSLA 02/03/23	157.50	TESLA INC   CUSIP: *8LBGJD6   Symbol: TSLA--230203P00157500					
2023-01-31	14.0	2,499.35	2023-01-31	2,409.78	12.25 D	101.82	
PUT TSLA 02/03/23	172.50	TESLA INC   CUSIP: *8LBGJF7   Symbol: TSLA--230203P00172500					
2023-02-01	30.0	4,158.35	2023-02-01	4,032.53	41.05 D	166.87	
PUT W 01/27/23	53	WAYFAIR INC   CUSIP: *8LBKFH6   Symbol: W----230127P00053000					
2023-01-23	5.0	664.78	2023-01-23	595.19	0.00	69.59	
PUT W 01/27/23	54	WAYFAIR INC   CUSIP: *8LBKFJ0   Symbol: W----230127P00054000					
2023-01-23	4.0	655.82	2023-01-23	648.15	0.00	7.67	
PUT AMD 02/03/23	84	ADVANCED MICRO DEVICES INC   CUSIP: *8LBNKC2   Symbol: AMD---230203P00084000					
2023-02-02	6.0	479.68	2023-02-02	450.30	0.00	29.38	
CALL AMD 02/10/23	88	ADVANCED MICRO DEVICES INC   CUSIP: *8LBNKY1   Symbol: AMD---230210C00088000					
2023-02-08	3.0	296.83	2023-02-08	276.15	0.00	20.68	
CALL COIN 02/03/23	105	COINBASE GLOBAL INC   CUSIP: *8LBRNG7   Symbol: COIN--230203C00105000					
2023-02-02	6.0	167.69	2023-02-02	318.29	0.00	-150.60	
CALL COIN 02/10/23	66	COINBASE GLOBAL INC   CUSIP: *8LBRNH2   Symbol: COIN--230210C00066000					
2023-02-09	4.0	407.77	2023-02-09	388.20	0.00	19.57	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT COIN 02/10/23	66 COINBASE GLOBAL INC   CUSIP: *8LBRNH3   Symbol: COIN--230210P00066000						
2023-02-07	30.0	3,733.31	2023-02-07	3,486.48	0.00	246.83	
2023-02-08	3.0	254.83	2023-02-08	246.15	0.00	8.68	
<b>Security Totals:</b>		<b>3,988.14</b>		<b>3,732.63</b>		<b>255.51</b>	
CALL COIN 02/10/23	67 COINBASE GLOBAL INC   CUSIP: *8LBRNH4   Symbol: COIN--230210C00067000						
2023-02-09	13.0	1,690.28	2023-02-09	1,571.64	0.00	118.64	
CALL COIN 02/10/23	68 COINBASE GLOBAL INC   CUSIP: *8LBRNK7   Symbol: COIN--230210C00068000						
2023-02-09	23.0	2,592.74	2023-02-09	2,423.67	15.53 D	184.60	
CALL COIN 02/10/23	69 COINBASE GLOBAL INC   CUSIP: *8LBRNL1   Symbol: COIN--230210C00069000						
2023-02-09	11.0	1,196.39	2023-02-09	1,248.76	10.21 D	-42.16	
CALL COIN 02/17/23	61 COINBASE GLOBAL INC   CUSIP: *8LBRNM1   Symbol: COIN--230217C00061000						
2023-02-14	15.0	1,619.16	2023-02-14	1,515.74	0.00	103.42	
CALL COIN 02/17/23	62 COINBASE GLOBAL INC   CUSIP: *8LBRNM3   Symbol: COIN--230217C00062000						
2023-02-13	2.0	237.87	2023-02-13	234.10	0.00	3.77	
CALL COIN 02/17/23	63 COINBASE GLOBAL INC   CUSIP: *8LBRNM5   Symbol: COIN--230217C00063000						
2023-02-14	2.0	245.88	2023-02-14	242.10	0.00	3.78	
CALL COIN 02/17/23	64 COINBASE GLOBAL INC   CUSIP: *8LBRNM7   Symbol: COIN--230217C00064000						
2023-02-14	35.0	2,728.13	2023-02-14	3,161.72	0.00	-433.59	
CALL COIN 02/17/23	66 COINBASE GLOBAL INC   CUSIP: *8LBRNM9   Symbol: COIN--230217C00066000						
2023-02-14	15.0	1,469.18	2023-02-14	1,455.74	0.00	13.44	
PUT COIN 02/17/23	67 COINBASE GLOBAL INC   CUSIP: *8LBRNN2   Symbol: COIN--230217P00067000						
2023-02-16	8.0	1,031.56	2023-02-16	960.39	0.00	71.17	
PUT COIN 02/17/23	69 COINBASE GLOBAL INC   CUSIP: *8LBRNN8   Symbol: COIN--230217P00069000						
2023-02-16	6.0	827.68	2023-02-16	690.29	0.00	137.39	
CALL NFLX 01/27/23	372.50 NETFLIX INC   CUSIP: *8LCQRX2   Symbol: NFLX--230127C00372500						
2023-01-25	5.0	1,198.75	2023-01-25	1,205.39	24.19 D	17.55	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NFLX 01/27/23	377.50	NETFLIX INC   CUSIP: *8LCQRX4   Symbol: NFLX--230127C00377500					
2023-01-24	2.0	241.89	2023-01-24	232.08	0.00	9.81	
2023-01-25	6.0	691.72	2023-01-25	698.42	12.18 D	5.48	
<b>Security Totals:</b>		<b>933.61</b>		<b>930.50</b>		<b>15.29</b>	
CALL SPY 01/31/23	409	STANDARD & POORS DEPOSITORY   CUSIP: *8LGPWD0   Symbol: SPY---230131C00409000					
2023-01-27	2.0	179.90	2023-01-27	178.08	0.00	1.82	
CALL SPY 02/01/23	409	STANDARD & POORS DEPOSITORY   CUSIP: *8LGPXL9   Symbol: SPY---230201C00409000					
2023-01-27	3.0	641.86	2023-01-27	637.12	0.00	4.74	
PUT SPY 02/01/23	409	STANDARD & POORS DEPOSITORY   CUSIP: *8LGPXM0   Symbol: SPY---230201P00409000					
2023-02-01	15.0	2,099.16	2023-02-01	1,845.75	0.00	253.41	
PUT SPY 02/02/23	408	STANDARD & POORS DEPOSITORY   CUSIP: *8LGPYH5   Symbol: SPY---230202P00408000					
2023-02-01	15.0	1,484.18	2023-02-01	1,410.74	0.00	73.44	
PUT SPY 02/02/23	409	STANDARD & POORS DEPOSITORY   CUSIP: *8LGPYH7   Symbol: SPY---230202P00409000					
2023-02-01	9.0	575.52	2023-02-01	541.45	0.00	34.07	
CALL SPY 02/02/23	413	STANDARD & POORS DEPOSITORY   CUSIP: *8LGPYJ3   Symbol: SPY---230202C00413000					
2023-02-01	16.0	1,353.14	2023-02-01	1,543.39	84.61 D	-105.64	
PUT SPY 02/02/23	414	STANDARD & POORS DEPOSITORY   CUSIP: *8LGPYJ6   Symbol: SPY---230202P00414000					
2023-02-02	3.0	182.83	2023-02-02	165.15	0.00	17.68	
CALL TSLA 01/27/23	152.50	TESLA INC   CUSIP: *8LHRML2   Symbol: TSLA--230127C00152500					
2023-01-24	5.0	1,349.76	2023-01-24	1,295.19	0.00	54.57	
CALL TSLA 01/27/23	157.50	TESLA INC   CUSIP: *8LHRML6   Symbol: TSLA--230127C00157500					
2023-01-24	1.0	193.94	2023-01-24	189.04	0.00	4.90	
2023-01-25	2.0	243.90	2023-01-25	240.08	0.00	3.82	
<b>Security Totals:</b>		<b>437.84</b>		<b>429.12</b>		<b>8.72</b>	
CALL TSLA 01/27/23	162.50	TESLA INC   CUSIP: *8LHRMN4   Symbol: TSLA--230127C00162500					
2023-01-26	2.0	215.90	2023-01-26	212.08	0.00	3.82	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT TSLA 01/27/23	162.50	TESLA INC   CUSIP: *8LHRMQ3   Symbol: TSLA--230127P00162500					
2023-01-27	4.0	535.82	2023-01-27	520.16	0.00	15.66	
PUT TSLA 01/27/23	167.50	TESLA INC   CUSIP: *8LHRMR3   Symbol: TSLA--230127P00167500					
2023-01-27	6.0	448.71	2023-01-27	443.40	8.17 D	13.48	
PUT SNOW 06/16/23	187.50	SNOWFLAKE INC CLASS A   CUSIP: *8LKMNZ2   Symbol: SNOW--230616P00187500					
2023-06-16	3.0	291.81	Various	327.26	30.11 D	-5.34	
CALL SNOW 06/16/23	192.50	SNOWFLAKE INC CLASS A   CUSIP: *8LKMNZ3   Symbol: SNOW--230616C00192500					
2023-06-16	2.0	237.88	2023-06-16	194.10	0.00	43.78	
PUT AAPL 01/06/23	126	APPLE INC   CUSIP: *8LPSPS2   Symbol: AAPL--230106P00126000					
2023-01-04	38.0	4,096.36	2023-01-04	4,837.02	757.09 D	16.43	
2023-01-06	11.0	673.46	2023-01-06	925.93	0.00	-252.47	
<b>Security Totals:</b>		<b>4,769.82</b>		<b>5,762.95</b>		<b>-236.04</b>	
PUT AAPL 01/06/23	127	APPLE INC   CUSIP: *8LPSPS4   Symbol: AAPL--230106P00127000					
2023-01-04	27.0	3,709.79	2023-01-04	3,836.54	166.51 D	39.76	
CALL AAPL 01/06/23	129	APPLE INC   CUSIP: *8LPSPY4   Symbol: AAPL--230106C00129000					
2023-01-03	10.0	1,189.57	2023-01-03	1,140.38	0.00	49.19	
2023-01-04	6.0	623.74	2023-01-04	639.46	12.24 D	-3.48	
<b>Security Totals:</b>		<b>1,813.31</b>		<b>1,779.84</b>		<b>45.71</b>	
PUT AAPL 01/06/23	129	APPLE INC   CUSIP: *8LPSPY6   Symbol: AAPL--230106P00129000					
2023-01-03	3.0	500.84	2023-01-03	486.11	0.00	14.73	
CALL AAPL 01/06/23	130	APPLE INC   CUSIP: *8LPSPY8   Symbol: AAPL--230106C00130000					
2023-01-03	38.0	3,518.36	2023-01-03	4,013.45	0.00	-495.09	
CALL AAPL 01/06/23	131	APPLE INC   CUSIP: *8LPSPZ0   Symbol: AAPL--230106C00131000					
2023-01-03	47.0	3,469.01	2023-01-03	4,037.03	30.24 D	-537.78	
PUT AMD 01/06/23	63	ADVANCED MICRO DEVICES INC   CUSIP: *8LPWGD8   Symbol: AMD---230106P00063000					
2023-01-06	6.0	155.72	2023-01-06	200.40	14.16 D	-30.52	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT AMD 01/06/23	64	ADVANCED MICRO DEVICES INC   CUSIP: *8LPWGF1   Symbol: AMD---230106P00064000					
2023-01-06	6.0	602.71	2023-01-06	579.22	0.00	23.49	
PUT AMZN 01/06/23	84	AMAZON.COM INC   CUSIP: *8LPWKH4   Symbol: AMZN--230106P00084000					
2023-01-03	13.0	1,422.39	2023-01-03	1,390.50	0.00	31.89	
PUT AMZN 01/06/23	85	AMAZON.COM INC   CUSIP: *8LPWKH8   Symbol: AMZN--230106P00085000					
2023-01-03	8.0	827.66	2023-01-03	816.30	0.00	11.36	
CALL AMZN 01/06/23	86	AMAZON.COM INC   CUSIP: *8LPWKJ2   Symbol: AMZN--230106C00086000					
2023-01-04	4.0	459.82	2023-01-04	440.15	0.00	19.67	
CALL AMZN 01/06/23	87	AMAZON.COM INC   CUSIP: *8LPWKM3   Symbol: AMZN--230106C00087000					
2023-01-04	9.0	656.62	2023-01-04	659.66	16.32 D	13.28	
CALL AMZN 01/06/23	88	AMAZON.COM INC   CUSIP: *8LPWKM5   Symbol: AMZN--230106C00088000					
2023-01-03	4.0	323.80	2023-01-03	320.15	0.00	3.65	
CALL COIN 01/06/23	33	COINBASE GLOBAL INC   CUSIP: *8LQBGJ2   Symbol: COIN--230106C00033000					
2023-01-05	7.0	776.66	2023-01-05	713.27	0.00	63.39	
PUT COIN 01/06/23	37	COINBASE GLOBAL INC   CUSIP: *8LQBGL1   Symbol: COIN--230106P00037000					
2023-01-04	4.0	407.83	2023-01-04	404.16	0.00	3.67	
PUT META 01/06/23	120	META PLATFORMS INC CL A   CUSIP: *8LQNHX2   Symbol: META--230106P00120000					
2023-01-03	45.0	4,343.07	2023-01-03	4,307.57	60.83 D	96.33	
PUT META 01/06/23	125	META PLATFORMS INC CL A   CUSIP: *8LQNHX6   Symbol: META--230106P00125000					
2023-01-04	13.0	1,234.41	2023-01-04	1,182.50	3.24 D	55.15	
2023-01-05	30.0	2,878.71	2023-01-05	2,790.37	0.00	88.34	
<b>Security Totals:</b>		<b>4,113.12</b>		<b>3,972.87</b>		<b>143.49</b>	
PUT META 01/06/23	121	META PLATFORMS INC CL A   CUSIP: *8LQNHX9   Symbol: META--230106P00121000					
2023-01-03	20.0	2,359.13	2023-01-03	2,280.76	0.00	78.37	
PUT META 01/06/23	123	META PLATFORMS INC CL A   CUSIP: *8LQNJF6   Symbol: META--230106P00123000					
2023-01-03	6.0	941.72	2023-01-03	927.24	0.00	14.48	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>1a - Description of property   CUSIP   Symbol</b>							
PUT MSFT 01/06/23	225	MICROSOFT CORP   CUSIP: *8LQNWT1   Symbol: MSFT--230106P00225000					
2023-01-04	4.0	495.82	2023-01-04	476.15	0.00	19.67	
PUT NFLX 01/06/23	285	NETFLIX INC   CUSIP: *8LQPGS3   Symbol: NFLX--230106P00285000					
2023-01-04	4.0	363.83	2023-01-04	348.15	0.00	15.68	
PUT NFLX 01/06/23	290	NETFLIX INC   CUSIP: *8LQPGW3   Symbol: NFLX--230106P00290000					
2023-01-04	15.0	1,817.27	2023-01-04	1,840.41	36.83 D	13.69	
PUT NFLX 01/06/23	295	NETFLIX INC   CUSIP: *8LQPGW7   Symbol: NFLX--230106P00295000					
2023-01-04	16.0	1,781.29	2023-01-04	1,803.93	44.32 D	21.68	
PUT NFLX 01/06/23	300	NETFLIX INC   CUSIP: *8LQPGX1   Symbol: NFLX--230106P00300000					
2023-01-04	9.0	1,277.56	2023-01-04	1,374.55	106.48 D	9.49	
2023-01-05	14.0	1,527.35	2023-01-05	1,617.38	38.57 D	-51.46	
<b>Security Totals:</b>		<b>2,804.91</b>		<b>2,991.93</b>		<b>-41.97</b>	
CALL NFLX 01/06/23	305	NETFLIX INC   CUSIP: *8LQPGX2   Symbol: NFLX--230106C00305000					
2023-01-03	3.0	533.86	2023-01-03	486.11	0.00	47.75	
2023-01-06	3.0	496.83	2023-01-06	471.12	0.00	25.71	
<b>Security Totals:</b>		<b>1,030.69</b>		<b>957.23</b>		<b>73.46</b>	
PUT NFLX 01/06/23	305	NETFLIX INC   CUSIP: *8LQPGX3   Symbol: NFLX--230106P00305000					
2023-01-05	8.0	1,243.64	2023-01-05	1,163.31	0.00	80.33	
2023-01-06	5.0	492.72	2023-01-06	466.19	0.00	26.53	
<b>Security Totals:</b>		<b>1,736.36</b>		<b>1,629.50</b>		<b>106.86</b>	
CALL NFLX 01/06/23	310	NETFLIX INC   CUSIP: *8LQPGX4   Symbol: NFLX--230106C00310000					
2023-01-04	2.0	291.89	2023-01-04	298.08	6.19 D	0.00	
2023-01-06	3.0	278.87	2023-01-06	282.30	0.00	-3.43	
<b>Security Totals:</b>		<b>570.76</b>		<b>580.38</b>		<b>-3.43</b>	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>CALL NFLX 01/06/23 315 NETFLIX INC   CUSIP: *8LQPGX6   Symbol: NFLX--230106C00315000</b>							
2023-01-04	8.0	1,147.62	2023-01-04	1,116.56	9.26 D	40.32	
2023-01-05	1.0	165.94	2023-01-05	156.04	0.00	9.90	
<b>Security Totals:</b>		<b>1,313.56</b>		<b>1,272.60</b>		<b>50.22</b>	
<b>CALL NFLX 01/06/23 320 NETFLIX INC   CUSIP: *8LQPGX8   Symbol: NFLX--230106C00320000</b>							
2023-01-04	7.0	780.67	2023-01-04	781.26	24.26 D	23.67	
2023-01-05	10.0	1,159.53	2023-01-05	1,216.44	38.79 D	-18.12	
<b>Security Totals:</b>		<b>1,940.20</b>		<b>1,997.70</b>		<b>5.55</b>	
<b>PUT NVDA 01/06/23 140 NVIDIA CORPORATION   CUSIP: *8LQPWT1   Symbol: NVDA--230106P00140000</b>							
2023-01-04	14.0	1,830.33	2023-01-04	1,900.09	69.76 D	0.00	
2023-01-05	6.0	740.72	2023-01-05	773.60	8.01 D	-24.87	
<b>Security Totals:</b>		<b>2,571.05</b>		<b>2,673.69</b>		<b>-24.87</b>	
<b>PUT NVDA 01/06/23 145 NVIDIA CORPORATION   CUSIP: *8LQPWT9   Symbol: NVDA--230106P00145000</b>							
2023-01-03	2.0	411.90	2023-01-03	420.08	8.18 D	-0.00	
2023-01-06	6.0	515.74	2023-01-06	479.41	0.00	36.33	
<b>Security Totals:</b>		<b>927.64</b>		<b>899.49</b>		<b>36.33</b>	
<b>CALL NVDA 01/06/23 146 NVIDIA CORPORATION   CUSIP: *8LQPWV3   Symbol: NVDA--230106C00146000</b>							
2023-01-03	5.0	944.77	2023-01-03	915.19	0.00	29.58	
<b>PUT NVDA 01/06/23 146 NVIDIA CORPORATION   CUSIP: *8LQPWV5   Symbol: NVDA--230106P00146000</b>							
2023-01-06	3.0	377.87	2023-01-06	360.12	0.00	17.75	
<b>CALL NVDA 01/06/23 147 NVIDIA CORPORATION   CUSIP: *8LQPWV7   Symbol: NVDA--230106C00147000</b>							
2023-01-04	5.0	778.74	2023-01-04	753.19	0.00	25.55	
<b>PUT NVDA 01/06/23 147 NVIDIA CORPORATION   CUSIP: *8LQPWV9   Symbol: NVDA--230106P00147000</b>							
2023-01-06	2.0	283.90	2023-01-06	268.08	0.00	15.82	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NVDA 01/06/23	148	NVIDIA CORPORATION   CUSIP: *8LQPWW1   Symbol: NVDA--230106C00148000					
2023-01-03	20.0	3,195.08	2023-01-03	3,060.76	0.00	134.32	
2023-01-04	6.0	905.70	2023-01-04	873.22	0.00	32.48	
<b>Security Totals:</b>		<b>4,100.78</b>		<b>3,933.98</b>		<b>166.80</b>	
PUT NVDA 01/06/23	148	NVIDIA CORPORATION   CUSIP: *8LQPWW3   Symbol: NVDA--230106P00148000					
2023-01-06	7.0	804.69	2023-01-06	796.28	0.00	8.41	
CALL NVDA 01/06/23	149	NVIDIA CORPORATION   CUSIP: *8LQPWW4   Symbol: NVDA--230106C00149000					
2023-01-03	65.0	8,756.15	2023-01-03	9,768.83	989.54 D	-23.14	
2023-01-04	3.0	548.86	2023-01-04	656.30	0.00	-107.44	
<b>Security Totals:</b>		<b>9,305.01</b>		<b>10,425.13</b>		<b>-130.58</b>	
CALL NVDA 01/06/23	150	NVIDIA CORPORATION   CUSIP: *8LQPWW6   Symbol: NVDA--230106C00150000					
2023-01-03	83.0	10,418.31	2023-01-03	11,149.65	1,074.58 D	343.24	
2023-01-04	9.0	1,385.58	2023-01-04	1,622.46	0.00	-236.88	
<b>Security Totals:</b>		<b>11,803.89</b>		<b>12,772.11</b>		<b>106.36</b>	
CALL NVDA 01/06/23	152.50	NVIDIA CORPORATION   CUSIP: *8LQPWW9   Symbol: NVDA--230106C00152500					
2023-01-03	47.0	4,697.99	2023-01-03	5,297.79	461.65 D	-138.15	
2023-01-04	8.0	651.60	2023-01-04	1,145.96	0.00	-494.36	
<b>Security Totals:</b>		<b>5,349.59</b>		<b>6,443.75</b>		<b>-632.51</b>	
CALL NVDA 01/06/23	155	NVIDIA CORPORATION   CUSIP: *8LQPXB4   Symbol: NVDA--230106C00155000					
2023-01-03	23.0	1,577.00	2023-01-03	2,043.14	188.26 D	-277.88	
CALL NVDA 01/06/23	157.50	NVIDIA CORPORATION   CUSIP: *8LQPXB9   Symbol: NVDA--230106C00157500					
2023-01-03	5.0	404.78	2023-01-03	385.19	0.00	19.59	
CALL SPY 01/06/23	387	STANDARD & POORS DEPOSITORY   CUSIP: *8LQTCB6   Symbol: SPY---230106C00387000					
2023-01-04	4.0	451.82	2023-01-04	466.16	0.00	-14.34	
PUT TSLA 01/06/23	100	TESLA INC   CUSIP: *8LRDTZ2   Symbol: TSLA--230106P00100000					
2023-01-03	6.0	718.71	2023-01-03	716.89	5.67 D	7.49	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>1a - Description of property   CUSIP   Symbol</b>							
PUT TSLA 01/06/23	105	TESLA INC   CUSIP: *8LRDTZ4   Symbol: TSLA--230106P00105000					
2023-01-04	9.0	1,358.59	2023-01-04	1,384.11	27.76 D	2.24	
2023-01-05	3.0	305.84	2023-01-05	294.12	0.00	11.72	
<b>Security Totals:</b>		<b>1,664.43</b>		<b>1,678.23</b>		<b>13.96</b>	
CALL TSLA 01/06/23	115	TESLA INC   CUSIP: *8LRDTZ8   Symbol: TSLA--230106C00115000					
2023-01-03	12.0	1,991.45	2023-01-03	1,933.47	0.00	57.98	
PUT UPST 01/06/23	14	UPSTART HOLDINGS INC   CUSIP: *8LRHFH8   Symbol: UPST--230106P00014000					
2023-01-04	33.0	1,365.58	2023-01-04	1,482.45	114.20 D	-2.67	
PUT UPST 01/06/23	14.50	UPSTART HOLDINGS INC   CUSIP: *8LRHFJ0   Symbol: UPST--230106P00014500					
2023-01-04	24.0	1,569.95	2023-01-04	1,592.78	47.88 D	25.05	
CALL NVDA 01/27/23	197.50	NVIDIA CORPORATION   CUSIP: *8LRWVQ6   Symbol: NVDA--230127C00197500					
2023-01-25	9.0	765.60	2023-01-25	757.34	0.00	8.26	
2023-01-26	4.0	655.82	2023-01-26	640.16	0.00	15.66	
<b>Security Totals:</b>		<b>1,421.42</b>		<b>1,397.50</b>		<b>23.92</b>	
PUT NVDA 01/27/23	197.50	NVIDIA CORPORATION   CUSIP: *8LRWVQ7   Symbol: NVDA--230127P00197500					
2023-01-26	9.0	2,015.59	2023-01-26	1,856.35	0.00	159.24	
2023-01-27	21.0	1,978.05	2023-01-27	1,873.00	0.18 D	105.23	
<b>Security Totals:</b>		<b>3,993.64</b>		<b>3,729.35</b>		<b>264.47</b>	
CALL NVDA 01/27/23	205	NVIDIA CORPORATION   CUSIP: *8LRWVQ8   Symbol: NVDA--230127C00205000					
2023-01-24	30.0	1,975.71	2023-01-24	2,225.25	241.97 D	-7.57	
2023-01-26	22.0	1,382.99	2023-01-26	1,497.71	0.00	-114.72	
<b>Security Totals:</b>		<b>3,358.70</b>		<b>3,722.96</b>		<b>-122.29</b>	
PUT XOM 01/06/23	104	EXXON MOBIL CORP   CUSIP: *8LSLKB3   Symbol: XOM---230106P00104000					
2023-01-03	6.0	407.73	2023-01-03	422.39	6.16 D	-8.50	
PUT XOM 01/06/23	105	EXXON MOBIL CORP   CUSIP: *8LSLKC4   Symbol: XOM---230106P00105000					
2023-01-03	15.0	1,251.30	2023-01-03	1,298.58	36.99 D	-10.29	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL XOM 01/06/23	106	EXXON MOBIL CORP   CUSIP: *8LSLKD1   Symbol: XOM---230106C00106000					
2023-01-03	10.0	1,369.55	2023-01-03	1,300.37	0.00	69.18	
PUT XOM 01/06/23	106	EXXON MOBIL CORP   CUSIP: *8LSLKG6   Symbol: XOM---230106P00106000					
2023-01-03	5.0	579.76	2023-01-03	570.19	0.00	9.57	
CALL XOM 01/06/23	107	EXXON MOBIL CORP   CUSIP: *8LSLKN9   Symbol: XOM---230106C00107000					
2023-01-03	20.0	1,843.14	2023-01-03	1,848.72	37.97 D	32.39	
CALL XOM 01/06/23	108	EXXON MOBIL CORP   CUSIP: *8LSLKQ1   Symbol: XOM---230106C00108000					
2023-01-03	23.0	2,077.00	2023-01-03	1,952.87	0.00	124.13	
PUT XOM 01/06/23	108	EXXON MOBIL CORP   CUSIP: *8LSLKQ3   Symbol: XOM---230106P00108000					
2023-01-05	4.0	267.83	2023-01-05	252.15	0.00	15.68	
CALL XOM 01/06/23	109	EXXON MOBIL CORP   CUSIP: *8LSLLG3   Symbol: XOM---230106C00109000					
2023-01-03	20.0	1,759.15	2023-01-03	1,720.77	0.00	38.38	
PUT XOM 01/06/23	111	EXXON MOBIL CORP   CUSIP: *8LSLTB7   Symbol: XOM---230106P00111000					
2023-01-06	3.0	176.87	2023-01-06	171.12	0.00	5.75	
PUT SPY 02/06/23	392	STANDARD & POORS DEPOSITORY   CUSIP: *8LSMTH1   Symbol: SPY---230206P00392000					
2023-01-30	2.0	345.90	2023-01-30	344.08	0.00	1.82	
PUT SPY 02/06/23	395	STANDARD & POORS DEPOSITORY   CUSIP: *8LSMTH7   Symbol: SPY---230206P00395000					
2023-02-01	27.0	1,213.58	2023-02-01	2,038.33	0.00	-824.75	
PUT SPY 02/07/23	397	STANDARD & POORS DEPOSITORY   CUSIP: *8LSMVL5   Symbol: SPY---230207P00397000					
2023-01-31	2.0	339.90	2023-01-31	342.08	0.00	-2.18	
PUT SPY 02/07/23	408	STANDARD & POORS DEPOSITORY   CUSIP: *8LSMVN8   Symbol: SPY---230207P00408000					
2023-02-02	4.0	367.78	2023-02-02	356.20	0.00	11.58	
2023-02-06	3.0	440.82	2023-02-06	432.15	0.00	8.67	
<b>Security Totals:</b>		<b>808.60</b>		<b>788.35</b>		<b>20.25</b>	
CALL SPY 02/07/23	409	STANDARD & POORS DEPOSITORY   CUSIP: *8LSMVN9   Symbol: SPY---230207C00409000					
2023-02-07	20.0	1,718.93	2023-02-07	1,530.98	0.00	187.95	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 02/07/23	410	STANDARD & POORS DEPOSITORY   CUSIP: *8LSMVP3   Symbol: SPY---230207C00410000					
2023-02-07	24.0	1,696.71	2023-02-07	1,468.18	0.00	228.53	
CALL SPY 02/07/23	420	STANDARD & POORS DEPOSITORY   CUSIP: *8LSMVP7   Symbol: SPY---230207C00420000					
2023-02-01	5.0	344.73	2023-02-01	375.25	0.00	-30.52	
PUT COIN 02/03/23	71	COINBASE GLOBAL INC   CUSIP: *8LSQYG2   Symbol: COIN--230203P00071000					
2023-02-02	1.0	99.93	2023-02-02	85.05	0.00	14.88	
PUT COIN 02/03/23	72	COINBASE GLOBAL INC   CUSIP: *8LSQYG4   Symbol: COIN--230203P00072000					
2023-02-02	7.0	769.60	2023-02-02	714.35	0.00	55.25	
PUT COIN 02/03/23	73	COINBASE GLOBAL INC   CUSIP: *8LSQYG6   Symbol: COIN--230203P00073000					
2023-02-02	6.0	929.67	2023-02-02	870.30	0.00	59.37	
2023-02-03	4.0	459.74	2023-02-03	426.20	0.00	33.54	
<b>Security Totals:</b>		<b>1,389.41</b>		<b>1,296.50</b>		<b>92.91</b>	
PUT META 02/03/23	187.50	META PLATFORMS INC CL A   CUSIP: *8LSRWC8   Symbol: META--230203P00187500					
2023-02-02	4.0	475.76	2023-02-02	464.20	0.00	11.56	
2023-02-03	13.0	1,306.28	2023-02-03	1,134.65	0.00	171.63	
<b>Security Totals:</b>		<b>1,782.04</b>		<b>1,598.85</b>		<b>183.19</b>	
CALL META 02/03/23	192.50	META PLATFORMS INC CL A   CUSIP: *8LSRWC9   Symbol: META--230203C00192500					
2023-02-02	12.0	1,565.34	2023-02-02	1,494.59	0.00	70.75	
2023-02-03	3.0	356.82	2023-02-03	345.15	0.00	11.67	
<b>Security Totals:</b>		<b>1,922.16</b>		<b>1,839.74</b>		<b>82.42</b>	
PUT META 02/03/23	192.50	META PLATFORMS INC CL A   CUSIP: *8LSRWD0   Symbol: META--230203P00192500					
2023-02-03	5.0	736.68	2023-02-03	718.25	0.00	18.43	
CALL NVDA 02/03/23	207.50	NVIDIA CORPORATION   CUSIP: *8LSSCT0   Symbol: NVDA--230203C00207500					
2023-01-31	4.0	443.82	2023-01-31	437.15	0.00	6.67	
2023-02-01	4.0	579.77	2023-02-01	564.20	0.00	15.57	
<b>Security Totals:</b>		<b>1,023.59</b>		<b>1,001.35</b>		<b>22.24</b>	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NVDA 02/03/23	207.50	NVIDIA CORPORATION   CUSIP: *8LSSCT1   Symbol: NVDA--230203P00207500					
2023-02-02	16.0	1,257.14	2023-02-02	1,257.32	15.52 D	15.34	
CALL NVDA 02/03/23	212.50	NVIDIA CORPORATION   CUSIP: *8LSSCT2   Symbol: NVDA--230203C00212500					
2023-01-30	18.0	2,135.14	2023-01-30	2,076.68	6.25 D	64.71	
2023-02-01	3.0	308.82	2023-02-01	306.40	0.00	2.42	
<b>Security Totals:</b>		<b>2,443.96</b>		<b>2,383.08</b>		<b>67.13</b>	
PUT NVDA 02/03/23	212.50	NVIDIA CORPORATION   CUSIP: *8LSSCT4   Symbol: NVDA--230203P00212500					
2023-02-02	9.0	1,521.46	2023-02-02	1,468.45	0.00	53.01	
CALL NVDA 02/03/23	217.50	NVIDIA CORPORATION   CUSIP: *8LSSCT5   Symbol: NVDA--230203C00217500					
2023-02-01	5.0	609.69	2023-02-01	585.25	0.00	24.44	
CALL NVDA 02/03/23	222.50	NVIDIA CORPORATION   CUSIP: *8LSSCT7   Symbol: NVDA--230203C00222500					
2023-02-02	10.0	739.47	2023-02-02	700.49	0.00	38.98	
PUT SPY 02/07/23	412	STANDARD & POORS DEPOSITORY   CUSIP: *8LSTTR3   Symbol: SPY---230207P00412000					
2023-02-07	5.0	294.73	2023-02-07	275.25	0.00	19.48	
PUT SPY 02/07/23	414	STANDARD & POORS DEPOSITORY   CUSIP: *8LSTTR6   Symbol: SPY---230207P00414000					
2023-02-07	5.0	624.72	2023-02-07	700.25	0.00	-75.53	
PUT SPY 02/08/23	407	STANDARD & POORS DEPOSITORY   CUSIP: *8LSTVR2   Symbol: SPY---230208P00407000					
2023-02-07	10.0	479.46	2023-02-07	560.50	0.00	-81.04	
PUT SPY 02/08/23	408	STANDARD & POORS DEPOSITORY   CUSIP: *8LSTVR4   Symbol: SPY---230208P00408000					
2023-02-07	17.0	1,083.10	2023-02-07	1,087.36	25.52 D	21.26	
PUT TSLA 01/27/23	177.50	TESLA INC   CUSIP: *8LSTZZ9   Symbol: TSLA--230127P00177500					
2023-01-27	3.0	662.86	2023-01-27	624.11	0.00	38.75	
PUT TSLA 02/03/23	177.50	TESLA INC   CUSIP: *8LSVBB3   Symbol: TSLA--230203P00177500					
2023-02-02	22.0	2,132.82	2023-02-02	2,105.08	0.00	27.74	
CALL TSLA 02/03/23	182.50	TESLA INC   CUSIP: *8LSVBB4   Symbol: TSLA--230203C00182500					
2023-02-01	3.0	419.83	2023-02-01	402.15	0.00	17.68	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT TSLA 02/03/23	182.50	TESLA INC   CUSIP: *8LSVBB5   Symbol: TSLA--230203P00182500					
2023-02-02	3.0	479.82	2023-02-02	477.15	0.00	2.67	
CALL TSLA 02/03/23	187.50	TESLA INC   CUSIP: *8LSVBB6   Symbol: TSLA--230203C00187500					
2023-01-30	8.0	2,612.55	2023-01-30	2,604.72	64.40 D	72.23	
PUT TSLA 02/03/23	187.50	TESLA INC   CUSIP: *8LSVBB7   Symbol: TSLA--230203P00187500					
2023-02-02	10.0	1,455.42	2023-02-02	1,507.93	56.40 D	3.89	
2023-02-03	5.0	654.72	2023-02-03	604.22	0.00	50.50	
<b>Security Totals:</b>		<b>2,110.14</b>		<b>2,112.15</b>		<b>54.39</b>	
PUT COIN 03/10/23	57	COINBASE GLOBAL INC   CUSIP: *8LTCFD3   Symbol: COIN--230310P00057000					
2023-03-08	2.0	55.87	2023-03-08	64.10	0.00	-8.23	
CALL COIN 03/10/23	58	COINBASE GLOBAL INC   CUSIP: *8LTCFD4   Symbol: COIN--230310C00058000					
2023-03-10	4.0	35.78	2023-03-10	67.20	0.00	-31.42	
CALL DIS 02/10/23	114	WALT DISNEY CO   CUSIP: *8LTDNC2   Symbol: DIS--230210C00114000					
2023-02-09	2.0	231.88	2023-02-09	220.10	0.00	11.78	
PUT BABA 01/06/23	100	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8LTTXC8   Symbol: BABA--230106P00100000					
2023-01-04	13.0	1,379.35	2023-01-04	1,353.87	0.37 D	25.85	
2023-01-05	14.0	1,207.36	2023-01-05	1,193.81	0.28 D	13.83	
<b>Security Totals:</b>		<b>2,586.71</b>		<b>2,547.68</b>		<b>39.68</b>	
CALL BABA 01/06/23	105	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8LTTXJ4   Symbol: BABA--230106C00105000					
2023-01-04	4.0	659.82	2023-01-04	640.15	0.00	19.67	
CALL SPY 01/03/23	380	STANDARD & POORS DEPOSITORY   CUSIP: *8LWQBW8   Symbol: SPY---230103C00380000					
2023-01-03	5.0	589.78	2023-01-03	600.19	0.00	-10.41	
PUT SPY 01/03/23	380	STANDARD & POORS DEPOSITORY   CUSIP: *8LWQBY4   Symbol: SPY---230103P00380000					
2023-01-03	4.0	435.83	2023-01-03	420.15	0.00	15.68	
CALL SPY 01/03/23	381	STANDARD & POORS DEPOSITORY   CUSIP: *8LWQBY8   Symbol: SPY---230103C00381000					
2023-01-03	12.0	1,313.46	2023-01-03	1,186.45	0.00	127.01	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT MSFT 02/10/23	267.50	MICROSOFT CORP   CUSIP: *8LXQLZ0   Symbol: MSFT--230210P00267500					
2023-02-08	6.0	503.68	2023-02-08	495.30	0.00	8.38	
CALL MSFT 02/10/23	272.50	MICROSOFT CORP   CUSIP: *8LXQNK4   Symbol: MSFT--230210C00272500					
2023-02-08	2.0	305.88	2023-02-08	294.10	0.00	11.78	
2023-02-09	6.0	581.68	2023-02-09	546.30	0.00	35.38	
<b>Security Totals:</b>		<b>887.56</b>		<b>840.40</b>		<b>47.16</b>	
CALL SPY 01/04/23	382	STANDARD & POORS DEPOSITORY   CUSIP: *8LYHLK9   Symbol: SPY---230104C00382000					
2023-01-04	2.0	287.90	2023-01-04	274.08	0.00	13.82	
CALL SPY 01/04/23	384	STANDARD & POORS DEPOSITORY   CUSIP: *8LYHLM1   Symbol: SPY---230104C00384000					
2023-01-04	5.0	669.78	2023-01-04	645.19	0.00	24.59	
PUT SPY 01/04/23	384	STANDARD & POORS DEPOSITORY   CUSIP: *8LYHLP9   Symbol: SPY---230104P00384000					
2023-01-04	9.0	1,079.61	2023-01-04	1,146.34	0.00	-66.73	
PUT NVDA 02/10/23	202.50	NVIDIA CORPORATION   CUSIP: *8LYLVN8   Symbol: NVDA--230210P00202500					
2023-02-06	6.0	1,238.62	2023-02-06	1,158.30	0.00	80.32	
2023-02-07	10.0	839.47	2023-02-07	840.49	0.00	-1.02	
<b>Security Totals:</b>		<b>2,078.09</b>		<b>1,998.79</b>		<b>79.30</b>	
PUT NVDA 02/10/23	207.50	NVIDIA CORPORATION   CUSIP: *8LYLVP3   Symbol: NVDA--230210P00207500					
2023-02-07	95.0	10,852.81	2023-02-07	10,472.67	0.00	380.14	
CALL NVDA 02/10/23	212.50	NVIDIA CORPORATION   CUSIP: *8LYLVP9   Symbol: NVDA--230210C00212500					
2023-02-10	3.0	233.83	2023-02-10	231.15	0.00	2.68	
CALL NVDA 02/10/23	217.50	NVIDIA CORPORATION   CUSIP: *8LYLVQ5   Symbol: NVDA--230210C00217500					
2023-02-10	3.0	476.82	2023-02-10	450.15	0.00	26.67	
PUT NVDA 02/10/23	217.50	NVIDIA CORPORATION   CUSIP: *8LYLVR7   Symbol: NVDA--230210P00217500					
2023-02-08	2.0	263.88	2023-02-08	256.10	0.00	7.78	
2023-02-09	14.0	1,241.20	2023-02-09	1,191.70	0.00	49.50	
<b>Security Totals:</b>		<b>1,505.08</b>		<b>1,447.80</b>		<b>57.28</b>	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL MRNA 01/20/23	210	MODERNA INC   CUSIP: *8NBBDY3   Symbol: MRNA--230120C00210000					
2023-01-18	8.0	1,192.64	2023-01-18	1,315.55	90.25 D	-32.66	
PUT AAPL 02/03/23	146	APPLE INC   CUSIP: *8NCYDD0   Symbol: AAPL--230203P00146000					
2023-02-02	6.0	809.68	2023-02-02	792.29	0.00	17.39	
PUT AMD 02/03/23	77	ADVANCED MICRO DEVICES INC   CUSIP: *8NDVTR0   Symbol: AMD---230203P00077000					
2023-02-01	4.0	391.78	2023-02-01	368.20	0.00	23.58	
PUT AMD 02/03/23	78	ADVANCED MICRO DEVICES INC   CUSIP: *8NDVTS4   Symbol: AMD---230203P00078000					
2023-02-01	4.0	455.77	2023-02-01	400.20	0.00	55.57	
PUT BABA 01/20/23	115	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8NFRXJ9   Symbol: BABA--230120P00115000					
2023-01-19	12.0	1,302.48	2023-01-19	1,513.25	164.80 D	-45.97	
PUT BA 01/27/23	202.50	BOEING CO   CUSIP: *8NGTKY7   Symbol: BA----230127P00202500					
2023-01-25	16.0	1,444.28	2023-01-25	2,454.11	758.50 D	-251.33	
PUT BA 01/27/23	207.50	BOEING CO   CUSIP: *8NGTLB3   Symbol: BA----230127P00207500					
2023-01-25	5.0	949.77	2023-01-25	895.19	0.00	54.58	
PUT META 01/06/23	124	META PLATFORMS INC CL A   CUSIP: *8NHCKM9   Symbol: META--230106P00124000					
2023-01-04	4.0	299.83	2023-01-04	288.15	0.00	11.68	
2023-01-05	4.0	363.82	2023-01-05	352.16	0.00	11.66	
<b>Security Totals:</b>		<b>663.65</b>		<b>640.31</b>		<b>23.34</b>	
CALL META 01/06/23	130	META PLATFORMS INC CL A   CUSIP: *8NHCKN0   Symbol: META--230106C00130000					
2023-01-04	7.0	830.66	2023-01-04	862.27	0.00	-31.61	
CALL BILL 02/03/23	98	BILL.COM HOLDINGS INC   CUSIP: *8NHKHX4   Symbol: BILL--230203C00098000					
2023-02-03	4.0	399.77	2023-02-03	394.20	0.00	5.57	
CALL TSLA 01/20/23	135	TESLA INC ADJ 3:1 STOCK SPLIT   CUSIP: *8NKFTG7   Symbol: TSLA--230120C00135000					
2023-01-17	3.0	380.87	2023-01-17	372.11	0.00	8.76	
PUT TSLA 06/16/23	250	TESLA INC ADJ 3:1 STOCK SPLIT   CUSIP: *8NKJPV2   Symbol: TSLA--230616P00250000					
2023-06-14	1.0	285.93	2023-06-13	244.05	0.00	41.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>Security Totals:</b>							
PUT NVDA 01/20/23	157.50	NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT   CUSIP: *8NKVWZ6   Symbol: NVDA--230120P00157500					
2023-01-13	6.0	587.74	2023-01-13	579.22	0.00	8.52	
PUT NVDA 01/20/23	165	NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT   CUSIP: *8NKVZP7   Symbol: NVDA--230120P00165000					
2023-01-17	8.0	999.64	2023-01-17	948.31	0.00	51.33	
2023-01-19	23.0	1,575.02	2023-01-19	1,744.67	155.80 D	-13.85	
<b>Security Totals:</b>		<b>2,574.66</b>		<b>2,692.98</b>		<b>37.48</b>	
CALL NVDA 01/20/23	170	NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT   CUSIP: *8NKVZP8   Symbol: NVDA--230120C00170000					
2023-01-19	24.0	4,126.91	2023-01-19	4,108.92	0.00	17.99	
PUT NVDA 01/20/23	170	NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT   CUSIP: *8NKWBD4   Symbol: NVDA--230120P00170000					
2023-01-18	4.0	419.83	2023-01-18	424.15	4.32 D	0.00	
2023-01-19	5.0	1,044.77	2023-01-19	1,019.51	0.00	25.26	
<b>Security Totals:</b>		<b>1,464.60</b>		<b>1,443.66</b>		<b>25.26</b>	
CALL NVDA 01/20/23	175	NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT   CUSIP: *8NKWBD6   Symbol: NVDA--230120C00175000					
2023-01-19	20.0	979.15	2023-01-19	980.77	0.00	-1.62	
PUT NVDA 01/20/23	175	NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT   CUSIP: *8NKWBY4   Symbol: NVDA--230120P00175000					
2023-01-20	13.0	1,526.40	2023-01-20	1,491.83	20.33 D	54.90	
CALL NVDA 01/20/23	180	NVIDIA CORPORATION ADJ 4:1 STOCK SPLIT   CUSIP: *8NKWBY5   Symbol: NVDA--230120C00180000					
2023-01-18	27.0	2,490.82	2023-01-18	2,319.03	0.00	171.79	
PUT MSFT 02/17/23	260	MICROSOFT CORP   CUSIP: *8NLDDP6   Symbol: MSFT--230217P00260000					
2023-02-13	4.0	435.75	2023-02-13	456.20	0.00	-20.45	
PUT MSFT 02/17/23	270	MICROSOFT CORP   CUSIP: *8NLDDQ3   Symbol: MSFT--230217P00270000					
2023-02-14	15.0	2,699.15	2023-02-14	2,490.74	0.00	208.41	
CALL SPY 02/08/23	414	STANDARD & POORS DEPOSITORY   CUSIP: *8NLNSR5   Symbol: SPY---230208C00414000					
2023-02-08	4.0	227.78	2023-02-08	220.20	0.00	7.58	
PUT SPY 02/08/23	414	STANDARD & POORS DEPOSITORY   CUSIP: *8NLNVP4   Symbol: SPY---230208P00414000					
2023-02-07	4.0	355.76	2023-02-07	330.20	0.00	25.56	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 01/06/23 381 STANDARD & POORS DEPOSITORY   CUSIP: *8NMGBF1   Symbol: SPY---230106P00381000							
2023-01-04	3.0	593.86	2023-01-04	587.12	0.00	6.74	
CALL TSLA 02/03/23 192.50 TESLA INC   CUSIP: *8NNNMG8   Symbol: TSLA--230203C00192500							
2023-01-30	8.0	1,191.64	2023-01-30	1,148.30	0.00	43.34	
2023-02-02	25.0	3,103.63	2023-02-02	2,846.24	0.00	257.39	
<b>Security Totals:</b>		<b>4,295.27</b>		<b>3,994.54</b>		<b>300.73</b>	
PUT TSLA 02/03/23 192.50 TESLA INC   CUSIP: *8NNNMN1   Symbol: TSLA--230203P00192500							
2023-02-03	8.0	1,041.56	2023-02-03	1,114.40	0.00	-72.84	
CALL TSLA 02/03/23 197.50 TESLA INC   CUSIP: *8NNNMP1   Symbol: TSLA--230203C00197500							
2023-01-30	4.0	851.82	2023-01-30	824.15	0.00	27.67	
2023-02-02	39.0	3,610.89	2023-02-02	3,462.56	18.62 D	166.95	
<b>Security Totals:</b>		<b>4,462.71</b>		<b>4,286.71</b>		<b>194.62</b>	
PUT TSLA 02/10/23 172.50 TESLA INC   CUSIP: *8NNNRK2   Symbol: TSLA--230210P00172500							
2023-02-06	21.0	2,233.85	2023-02-06	2,370.97	78.93 D	-58.19	
PUT TSLA 02/10/23 177.50 TESLA INC   CUSIP: *8NNNTL1   Symbol: TSLA--230210P00177500							
2023-02-06	6.0	1,031.67	2023-02-06	1,038.30	12.31 D	5.68	
2023-02-07	15.0	1,234.19	2023-02-07	1,274.87	32.82 D	-7.86	
<b>Security Totals:</b>		<b>2,265.86</b>		<b>2,313.17</b>		<b>-2.18</b>	
PUT TSLA 02/10/23 187.50 TESLA INC   CUSIP: *8NNNVB1   Symbol: TSLA--230210P00187500							
2023-02-08	13.0	1,442.29	2023-02-08	1,517.65	0.00	-75.36	
PUT TSLA 02/10/23 197.50 TESLA INC   CUSIP: *8NNNVR3   Symbol: TSLA--230210P00197500							
2023-02-10	4.0	639.77	2023-02-10	672.20	0.00	-32.43	
PUT TSLA 02/17/23 177.50 TESLA INC   CUSIP: *8NNNXT9   Symbol: TSLA--230217P00177500							
2023-02-14	20.0	1,618.92	2023-02-14	1,707.99	0.00	-89.07	
PUT TSLA 02/17/23 182.50 TESLA INC   CUSIP: *8NNNXV6   Symbol: TSLA--230217P00182500							
2023-02-14	40.0	3,677.85	2023-02-14	3,641.96	0.00	35.89	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT TSLA 02/17/23	187.50	TESLA INC   CUSIP: *8NNNXW6   Symbol: TSLA--230217P00187500					
2023-02-14	25.0	3,298.63	2023-02-14	3,226.23	0.00	72.40	
PUT TSLA 02/17/23	192.50	TESLA INC   CUSIP: *8NNNYB7   Symbol: TSLA--230217P00192500					
2023-02-14	10.0	1,219.42	2023-02-14	1,210.49	0.00	8.93	
PUT TSLA 02/17/23	197.50	TESLA INC   CUSIP: *8NNNYF4   Symbol: TSLA--230217P00197500					
2023-02-14	2.0	337.86	2023-02-14	332.10	0.00	5.76	
PUT FDX 02/03/23	195	FEDEX CORP   CUSIP: *8NQVRX3   Symbol: FDX---230203P00195000					
2023-02-01	4.0	635.76	2023-02-01	536.20	0.00	99.56	
PUT FDX 02/03/23	197.50	FEDEX CORP   CUSIP: *8NQVRX5   Symbol: FDX---230203P00197500					
2023-02-01	2.0	446.86	2023-02-01	384.10	0.00	62.76	
PUT NFLX 03/17/23	300	NETFLIX INC   CUSIP: *8NXTJL4   Symbol: NFLX--230317P00300000					
2023-03-17	1.0	45.93	2023-03-16	48.05	0.00	-2.12	
PUT TSLA 02/17/23	180	TESLA INC   CUSIP: *8NZCND9   Symbol: TSLA--230217P00180000					
2023-02-14	55.0	3,272.07	2023-02-14	3,227.70	0.00	44.37	
PUT TSLA 02/17/23	185	TESLA INC   CUSIP: *8NZCNQ8   Symbol: TSLA--230217P00185000					
2023-02-14	65.0	6,941.46	2023-02-14	6,733.20	0.00	208.26	
CALL TSLA 02/17/23	215	TESLA INC   CUSIP: *8NZCQD7   Symbol: TSLA--230217C00215000					
2023-02-14	180.0	19,980.24	2023-02-14	19,287.98	204.13 D	896.39	
PUT LCID 02/03/23	10.50	LUCID GROUP INC   CUSIP: *8NZGYC9   Symbol: LCID--230203P00010500					
2023-01-27	14.0	1,043.35	2023-01-27	1,254.74	96.21 D	-115.18	
PUT LCID 02/03/23	11	LUCID GROUP INC   CUSIP: *8NZGYD1   Symbol: LCID--230203P00011000					
2023-01-27	5.0	534.78	2023-01-27	515.19	0.00	19.59	
CALL AAPL 01/13/23	130	APPLE INC   CUSIP: *8PBBYC3   Symbol: AAPL--230113C00130000					
2023-01-10	5.0	734.78	2023-01-10	730.19	0.00	4.59	
PUT AAPL 01/13/23	130	APPLE INC   CUSIP: *8PBBYC4   Symbol: AAPL--230113P00130000					
2023-01-11	5.0	569.78	2023-01-11	565.20	0.00	4.58	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>CALL AAPL 01/13/23 132 APPLE INC   CUSIP: *8PBBYG3   Symbol: AAPL--230113C00132000</b>							
2023-01-09	3.0	491.86	2023-01-09	495.11	3.25 D	0.00	
2023-01-10	6.0	599.74	2023-01-10	606.47	0.00	-6.73	
<b>Security Totals:</b>		<b>1,091.60</b>		<b>1,101.58</b>		<b>-6.73</b>	
<b>PUT AAPL 01/13/23 132 APPLE INC   CUSIP: *8PBBYG5   Symbol: AAPL--230113P00132000</b>							
2023-01-12	59.0	2,205.52	2023-01-12	2,580.68	296.43 D	-78.73	
<b>CALL AAPL 01/13/23 133 APPLE INC   CUSIP: *8PBBYG7   Symbol: AAPL--230113C00133000</b>							
2023-01-09	6.0	804.71	2023-01-09	786.23	0.00	18.48	
<b>PUT AAPL 01/13/23 133 APPLE INC   CUSIP: *8PBBYG9   Symbol: AAPL--230113P00133000</b>							
2023-01-12	35.0	2,494.52	2023-01-12	2,589.21	56.88 D	-37.81	
<b>CALL AAPL 01/13/23 134 APPLE INC   CUSIP: *8PBBYH4   Symbol: AAPL--230113C00134000</b>							
2023-01-12	15.0	764.37	2023-01-12	718.57	0.00	45.80	
<b>PUT AAPL 01/13/23 134 APPLE INC   CUSIP: *8PBBYH6   Symbol: AAPL--230113P00134000</b>							
2023-01-12	10.0	999.57	2023-01-12	980.38	0.00	19.19	
<b>PUT AMD 01/13/23 65 ADVANCED MICRO DEVICES INC   CUSIP: *8PBFMV2   Symbol: AMD---230113P00065000</b>							
2023-01-09	33.0	3,051.53	2023-01-09	3,292.91	136.66 D	-104.72	
<b>PUT AMD 01/13/23 66 ADVANCED MICRO DEVICES INC   CUSIP: *8PBFMV4   Symbol: AMD---230113P00066000</b>							
2023-01-09	22.0	2,793.01	2023-01-09	2,872.84	0.00	-79.83	
<b>PUT AMZN 01/13/23 81 AMAZON.COM INC   CUSIP: *8PBG CZ3   Symbol: AMZN--230113P00081000</b>							
2023-01-06	4.0	383.83	2023-01-06	380.15	0.00	3.68	
<b>PUT AMZN 01/13/23 82 AMAZON.COM INC   CUSIP: *8PBGDB1   Symbol: AMZN--230113P00082000</b>							
2023-01-06	4.0	531.82	2023-01-06	524.15	0.00	7.67	
<b>PUT AMZN 01/13/23 87 AMAZON.COM INC   CUSIP: *8PBGDD7   Symbol: AMZN--230113P00087000</b>							
2023-01-09	9.0	989.61	2023-01-09	990.57	6.24 D	5.28	
<b>PUT AMZN 01/13/23 88 AMAZON.COM INC   CUSIP: *8PBGJ5   Symbol: AMZN--230113P00088000</b>							
2023-01-09	7.0	1,018.67	2023-01-09	1,050.51	12.25 D	-19.59	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL AMZN 01/13/23	89	AMAZON.COM INC   CUSIP: *8PBGFJ7   Symbol: AMZN--230113C00089000					
2023-01-09	3.0	503.84	2023-01-09	498.11	0.00	5.73	
CALL AMZN 01/13/23	90	AMAZON.COM INC   CUSIP: *8PBGFK0   Symbol: AMZN--230113C00090000					
2023-01-09	12.0	1,564.46	2023-01-09	1,588.20	16.75 D	-6.99	
PUT AMZN 01/13/23	91	AMAZON.COM INC   CUSIP: *8PBGFK5   Symbol: AMZN--230113P00091000					
2023-01-11	3.0	377.87	2023-01-11	369.11	0.00	8.76	
CALL AMZN 01/13/23	94	AMAZON.COM INC   CUSIP: *8PBGFV4   Symbol: AMZN--230113C00094000					
2023-01-11	4.0	523.82	2023-01-11	524.15	0.00	-0.33	
CALL AMZN 01/13/23	95	AMAZON.COM INC   CUSIP: *8PBGFV6   Symbol: AMZN--230113C00095000					
2023-01-11	3.0	284.85	2023-01-11	273.11	0.00	11.74	
PUT AMZN 01/13/23	96	AMAZON.COM INC   CUSIP: *8PBGFW1   Symbol: AMZN--230113P00096000					
2023-01-13	3.0	224.85	2023-01-13	216.11	0.00	8.74	
PUT BABA 01/06/23	99	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8PBK BK1   Symbol: BABA--230106P00099000					
2023-01-04	24.0	2,276.92	2023-01-04	2,220.91	0.00	56.01	
PUT COIN 01/13/23	39	COINBASE GLOBAL INC   CUSIP: *8PBPDH7   Symbol: COIN--230113P00039000					
2023-01-10	10.0	1,333.56	2023-01-10	1,332.65	9.25 D	10.16	
CALL COIN 01/13/23	41	COINBASE GLOBAL INC   CUSIP: *8PBPDJ1   Symbol: COIN--230113C00041000					
2023-01-10	5.0	664.74	2023-01-10	920.19	0.00	-255.45	
PUT COIN 01/13/23	41	COINBASE GLOBAL INC   CUSIP: *8PBPDJ3   Symbol: COIN--230113P00041000					
2023-01-10	5.0	1,039.76	2023-01-10	900.19	0.00	139.57	
2023-01-11	3.0	452.86	2023-01-11	435.11	0.00	17.75	
<b>Security Totals:</b>		<b>1,492.62</b>		<b>1,335.30</b>		<b>157.32</b>	
CALL COIN 01/13/23	42	COINBASE GLOBAL INC   CUSIP: *8PBPDJ4   Symbol: COIN--230113C00042000					
2023-01-10	4.0	467.82	2023-01-10	444.16	0.00	23.66	
CALL COIN 01/13/23	45	COINBASE GLOBAL INC   CUSIP: *8PBPDK1   Symbol: COIN--230113C00045000					
2023-01-12	5.0	744.77	2023-01-12	745.20	0.00	-0.43	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT COIN 01/13/23	45 COINBASE GLOBAL INC   CUSIP: *8BPBPK2   Symbol: COIN--230113P00045000						
2023-01-12	9.0	791.56	2023-01-12	786.58	6.24 D	11.22	
CALL COIN 01/13/23	46 COINBASE GLOBAL INC   CUSIP: *8BPBPK3   Symbol: COIN--230113C00046000						
2023-01-12	10.0	969.57	2023-01-12	935.38	0.00	34.19	
CALL COIN 01/13/23	49 COINBASE GLOBAL INC   CUSIP: *8BPBPK9   Symbol: COIN--230113C00049000						
2023-01-13	25.0	1,848.94	2023-01-13	2,020.96	0.00	-172.02	
PUT COIN 01/13/23	49 COINBASE GLOBAL INC   CUSIP: *8BPBPK0   Symbol: COIN--230113P00049000						
2023-01-13	10.0	649.57	2023-01-13	600.38	0.00	49.19	
PUT FUTU 01/13/23	49 FUTU HOLDINGS LTD ADS   CUSIP: *8PBTPN8   Symbol: FUTU--230113P00049000						
2023-01-11	3.0	383.87	2023-01-11	372.11	0.00	11.76	
PUT GOOGL 01/13/23	86 ALPHABET INC CLASS A   CUSIP: *8PBWCW9   Symbol: GOOGL-230113P00086000						
2023-01-06	4.0	479.80	2023-01-06	472.16	0.00	7.64	
PUT GOOGL 01/13/23	87 ALPHABET INC CLASS A   CUSIP: *8PBWCX4   Symbol: GOOGL-230113P00087000						
2023-01-06	4.0	651.82	2023-01-06	640.16	0.00	11.66	
CALL GOOGL 01/13/23	90 ALPHABET INC CLASS A   CUSIP: *8PBWDB0   Symbol: GOOGL-230113C00090000						
2023-01-09	4.0	411.83	2023-01-09	412.15	0.00	-0.32	
CALL GOOGL 01/13/23	91 ALPHABET INC CLASS A   CUSIP: *8PBWDB9   Symbol: GOOGL-230113C00091000						
2023-01-09	4.0	335.83	2023-01-09	336.15	0.00	-0.32	
PUT MSFT 02/03/23	245 MICROSOFT CORP   CUSIP: *8PCCFZ7   Symbol: MSFT--230203P00245000						
2023-02-01	30.0	1,888.41	2023-02-01	2,251.48	0.00	-363.07	
PUT MSFT 02/03/23	250 MICROSOFT CORP   CUSIP: *8PCCGB2   Symbol: MSFT--230203P00250000						
2023-02-01	10.0	1,729.45	2023-02-01	1,660.49	0.00	68.96	
PUT NFLX 02/03/23	345 NETFLIX INC   CUSIP: *8PCCXP8   Symbol: NFLX--230203P00345000						
2023-02-01	4.0	611.77	2023-02-01	576.20	0.00	35.57	
PUT NFLX 02/03/23	355 NETFLIX INC   CUSIP: *8PCCXQ6   Symbol: NFLX--230203P00355000						
2023-02-02	11.0	1,398.37	2023-02-02	1,526.40	115.85 D	-12.18	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
PUT NFLX 02/03/23	365	NETFLIX INC   CUSIP: *8PCCXR2   Symbol: NFLX--230203P00365000					
2023-02-03	2.0	157.88	2023-02-03	162.10	0.00	-4.22	
CALL NFLX 02/03/23	370	NETFLIX INC   CUSIP: *8PCCXR4   Symbol: NFLX--230203C00370000					
2023-02-03	6.0	1,139.65	2023-02-03	1,050.30	0.00	89.35	
PUT NFLX 02/03/23	370	NETFLIX INC   CUSIP: *8PCCXR5   Symbol: NFLX--230203P00370000					
2023-02-03	20.0	1,265.91	2023-02-03	1,417.00	0.00	-151.09	
PUT NVDA 02/03/23	180	NVIDIA CORPORATION   CUSIP: *8PCDZG9   Symbol: NVDA--230203P00180000					
2023-01-31	8.0	835.64	2023-01-31	805.30	0.00	30.34	
PUT NVDA 02/03/23	182.50	NVIDIA CORPORATION   CUSIP: *8PCDZH1   Symbol: NVDA--230203P00182500					
2023-01-31	25.0	3,517.85	2023-01-31	3,628.82	87.86 D	-23.11	
PUT NVDA 02/03/23	185	NVIDIA CORPORATION   CUSIP: *8PCDZH5   Symbol: NVDA--230203P00185000					
2023-01-31	5.0	779.76	2023-01-31	760.20	0.00	19.56	
PUT NVDA 02/03/23	187.50	NVIDIA CORPORATION   CUSIP: *8PCDZH8   Symbol: NVDA--230203P00187500					
2023-01-30	3.0	761.86	2023-01-30	756.12	0.00	5.74	
2023-02-01	17.0	1,602.06	2023-02-01	1,650.90	40.05 D	-8.79	
<b>Security Totals:</b>		<b>2,363.92</b>		<b>2,407.02</b>		<b>-3.05</b>	
PUT NVDA 02/03/23	190	NVIDIA CORPORATION   CUSIP: *8PCDZJ1   Symbol: NVDA--230203P00190000					
2023-02-01	136.0	6,478.73	2023-02-01	8,502.52	88.82 D	-1,934.97	
PUT NVDA 02/03/23	195	NVIDIA CORPORATION   CUSIP: *8PCDZJ9   Symbol: NVDA--230203P00195000					
2023-02-01	85.0	6,030.48	2023-02-01	7,270.18	0.00	-1,239.70	
PUT NVDA 02/03/23	200	NVIDIA CORPORATION   CUSIP: *8PCDZL6   Symbol: NVDA--230203P00200000					
2023-02-01	10.0	1,379.44	2023-02-01	1,320.49	0.00	58.95	
PUT META 01/06/23	126	META PLATFORMS INC CL A   CUSIP: *8PCJKB3   Symbol: META--230106P00126000					
2023-01-04	11.0	1,390.51	2023-01-04	1,377.68	22.84 D	35.67	
2023-01-05	13.0	1,532.41	2023-01-05	1,526.74	14.66 D	20.33	
<b>Security Totals:</b>		<b>2,922.92</b>		<b>2,904.42</b>		<b>56.00</b>	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>PUT META 01/06/23 127 META PLATFORMS INC CL A   CUSIP: *8PCJKB7   Symbol: META--230106P00127000</b>							
2023-01-04	8.0	1,247.64	2023-01-04	1,220.31	4.16 D	31.49	
2023-01-05	2.0	229.89	2023-01-05	230.24	0.00	-0.35	
<b>Security Totals:</b>		<b>1,477.53</b>		<b>1,450.55</b>		<b>31.14</b>	
<b>PUT MSFT 01/13/23 225 MICROSOFT CORP   CUSIP: *8PCKJM4   Symbol: MSFT--230113P00225000</b>							
2023-01-09	10.0	1,704.54	2023-01-09	1,684.39	0.00	20.15	
<b>CALL MSFT 01/13/23 235 MICROSOFT CORP   CUSIP: *8PCKJQ2   Symbol: MSFT--230113C00235000</b>							
2023-01-09	12.0	1,817.42	2023-01-09	1,806.71	9.25 D	19.96	
<b>PUT NFLX 01/13/23 295 NETFLIX INC   CUSIP: *8PCLGB4   Symbol: NFLX--230113P00295000</b>							
2023-01-06	3.0	509.83	2023-01-06	546.11	36.28 D	-0.00	
2023-01-09	8.0	844.65	2023-01-09	994.12	84.53 D	-64.94	
<b>Security Totals:</b>		<b>1,354.48</b>		<b>1,540.23</b>		<b>-64.94</b>	
<b>PUT NFLX 01/13/23 300 NETFLIX INC   CUSIP: *8PCLGF9   Symbol: NFLX--230113P00300000</b>							
2023-01-09	13.0	2,177.39	2023-01-09	2,535.20	238.70 D	-119.11	
<b>PUT NFLX 01/13/23 305 NETFLIX INC   CUSIP: *8PCLGG5   Symbol: NFLX--230113P00305000</b>							
2023-01-09	12.0	2,273.45	2023-01-09	2,276.72	24.76 D	21.49	
2023-01-10	11.0	1,642.47	2023-01-10	1,532.67	0.75 D	110.55	
<b>Security Totals:</b>		<b>3,915.92</b>		<b>3,809.39</b>		<b>132.04</b>	
<b>PUT NFLX 01/13/23 310 NETFLIX INC   CUSIP: *8PCLGG9   Symbol: NFLX--230113P00310000</b>							
2023-01-10	8.0	1,595.63	2023-01-10	1,584.46	36.58 D	47.75	
2023-01-11	12.0	1,364.48	2023-01-11	1,454.60	86.72 D	-3.40	
<b>Security Totals:</b>		<b>2,960.11</b>		<b>3,039.06</b>		<b>44.35</b>	
<b>PUT NFLX 01/13/23 315 NETFLIX INC   CUSIP: *8PCLGH3   Symbol: NFLX--230113P00315000</b>							
2023-01-11	10.0	1,719.53	2023-01-11	1,698.47	2.09 D	23.15	
<b>PUT NFLX 01/13/23 320 NETFLIX INC   CUSIP: *8PCLGH6   Symbol: NFLX--230113P00320000</b>							
2023-01-12	18.0	2,183.21	2023-01-12	2,384.99	123.32 D	-78.46	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NFLX 01/13/23	325	NETFLIX INC   CUSIP: *8PCLGM1   Symbol: NFLX--230113P00325000					
2023-01-12	10.0	1,305.52	2023-01-12	1,350.68	48.28 D	3.12	
CALL NFLX 01/13/23	335	NETFLIX INC   CUSIP: *8PCLGN2   Symbol: NFLX--230113C00335000					
2023-01-12	21.0	2,182.04	2023-01-12	2,731.34	392.54 D	-156.76	
PUT NFLX 01/13/23	335	NETFLIX INC   CUSIP: *8PCLGN3   Symbol: NFLX--230113P00335000					
2023-01-13	1.0	246.94	2023-01-13	232.04	0.00	14.90	
CALL NFLX 01/13/23	340	NETFLIX INC   CUSIP: *8PCLGN4   Symbol: NFLX--230113C00340000					
2023-01-11	5.0	710.74	2023-01-11	713.36	4.17 D	1.55	
PUT NVDA 01/13/23	140	NVIDIA CORPORATION   CUSIP: *8PCNHF2   Symbol: NVDA--230113P00140000					
2023-01-06	16.0	3,407.22	2023-01-06	3,446.27	23.67 D	-15.38	
PUT NVDA 01/13/23	145	NVIDIA CORPORATION   CUSIP: *8PCNHG1   Symbol: NVDA--230113P00145000					
2023-01-09	10.0	1,029.56	2023-01-09	1,220.38	0.00	-190.82	
PUT NVDA 01/13/23	146	NVIDIA CORPORATION   CUSIP: *8PCNHG5   Symbol: NVDA--230113P00146000					
2023-01-09	16.0	1,903.29	2023-01-09	2,217.62	0.00	-314.33	
PUT NVDA 01/13/23	147	NVIDIA CORPORATION   CUSIP: *8PCNHG8   Symbol: NVDA--230113P00147000					
2023-01-09	61.0	8,536.26	2023-01-09	8,963.64	606.32 D	178.94	
2023-01-10	10.0	1,119.57	2023-01-10	1,373.39	0.00	-253.82	
<b>Security Totals:</b>		<b>9,655.83</b>		<b>10,337.03</b>		<b>-74.88</b>	
PUT NVDA 01/13/23	148	NVIDIA CORPORATION   CUSIP: *8PCNHH0   Symbol: NVDA--230113P00148000					
2023-01-09	10.0	1,599.55	2023-01-09	1,600.38	0.83 D	-0.00	
2023-01-10	22.0	2,642.05	2023-01-10	2,821.87	199.92 D	20.10	
2023-01-11	6.0	545.74	2023-01-11	611.94	0.00	-66.20	
<b>Security Totals:</b>		<b>4,787.34</b>		<b>5,034.19</b>		<b>-46.10</b>	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>PUT NVDA 01/13/23 149 NVIDIA CORPORATION   CUSIP: *8PCNHH2   Symbol: NVDA--230113P00149000</b>							
2023-01-09	31.0	4,308.56	2023-01-09	4,160.45	0.28 D	148.39	
2023-01-10	25.0	3,101.91	2023-01-10	3,189.18	76.23 D	-11.04	
<b>Security Totals:</b>		<b>7,410.47</b>		<b>7,349.63</b>		<b>137.35</b>	
<b>PUT NVDA 01/13/23 150 NVIDIA CORPORATION   CUSIP: *8PCNHH4   Symbol: NVDA--230113P00150000</b>							
2023-01-09	6.0	812.74	2023-01-09	810.46	3.24 D	5.52	
2023-01-10	11.0	1,565.51	2023-01-10	1,581.68	60.74 D	44.57	
2023-01-11	12.0	1,316.41	2023-01-11	1,429.00	93.05 D	-19.54	
<b>Security Totals:</b>		<b>3,694.66</b>		<b>3,821.14</b>		<b>30.55</b>	
<b>PUT NVDA 01/13/23 152.50 NVIDIA CORPORATION   CUSIP: *8PCNHH6   Symbol: NVDA--230113P00152500</b>							
2023-01-09	3.0	539.86	2023-01-09	528.12	0.00	11.74	
2023-01-11	10.0	1,365.53	2023-01-11	1,347.38	0.00	18.15	
2023-01-12	13.0	1,393.43	2023-01-12	1,336.82	4.33 D	60.94	
<b>Security Totals:</b>		<b>3,298.82</b>		<b>3,212.32</b>		<b>90.83</b>	
<b>PUT NVDA 01/13/23 155 NVIDIA CORPORATION   CUSIP: *8PCNHH8   Symbol: NVDA--230113P00155000</b>							
2023-01-12	3.0	578.86	2023-01-12	561.12	0.00	17.74	
<b>CALL NVDA 01/13/23 157.50 NVIDIA CORPORATION   CUSIP: *8PCNHH9   Symbol: NVDA--230113C00157500</b>							
2023-01-06	5.0	753.76	2023-01-06	747.38	4.18 D	10.56	
2023-01-12	4.0	747.82	2023-01-12	692.15	0.00	55.67	
<b>Security Totals:</b>		<b>1,501.58</b>		<b>1,439.53</b>		<b>66.23</b>	
<b>PUT NVDA 01/13/23 157.50 NVIDIA CORPORATION   CUSIP: *8PCNHJ0   Symbol: NVDA--230113P00157500</b>							
2023-01-12	7.0	895.68	2023-01-12	861.27	0.00	34.41	
<b>CALL NVDA 01/13/23 160 NVIDIA CORPORATION   CUSIP: *8PCNHJ2   Symbol: NVDA--230113C00160000</b>							
2023-01-06	6.0	632.71	2023-01-06	615.24	0.00	17.47	
2023-01-12	20.0	2,269.11	2023-01-12	2,145.76	0.00	123.35	
<b>Security Totals:</b>		<b>2,901.82</b>		<b>2,761.00</b>		<b>140.82</b>	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NVDA 01/13/23	162.50	NVDA CORPORATION   CUSIP: *8PCNHJ4   Symbol: NVDA--230113C00162500					
2023-01-10	4.0	787.82	2023-01-10	772.15	0.00	15.67	
PUT NVDA 01/13/23	162.50	NVDA CORPORATION   CUSIP: *8PCNHJ5   Symbol: NVDA--230113P00162500					
2023-01-12	10.0	1,072.51	2023-01-12	1,034.38	0.00	38.13	
CALL NVDA 01/13/23	165	NVDA CORPORATION   CUSIP: *8PCNHJ6   Symbol: NVDA--230113C00165000					
2023-01-10	14.0	1,991.34	2023-01-10	2,073.36	95.68 D	13.66	
2023-01-11	11.0	1,403.49	2023-01-11	1,429.82	29.53 D	3.20	
<b>Security Totals:</b>		<b>3,394.83</b>		<b>3,503.18</b>		<b>16.86</b>	
CALL NVDA 01/13/23	167.50	NVDA CORPORATION   CUSIP: *8PCNHJ9   Symbol: NVDA--230113C00167500					
2023-01-09	21.0	2,882.04	2023-01-09	2,846.30	13.50 D	49.24	
2023-01-10	11.0	1,033.48	2023-01-10	1,028.42	24.51 D	29.57	
2023-01-11	6.0	503.74	2023-01-11	516.73	0.00	-12.99	
<b>Security Totals:</b>		<b>4,419.26</b>		<b>4,391.45</b>		<b>65.82</b>	
PUT NVDA 01/13/23	167.50	NVDA CORPORATION   CUSIP: *8PCNHK0   Symbol: NVDA--230113P00167500					
2023-01-13	2.0	291.90	2023-01-13	286.08	0.00	5.82	
CALL NVDA 01/13/23	170	NVDA CORPORATION   CUSIP: *8PCNHK2   Symbol: NVDA--230113C00170000					
2023-01-09	3.0	311.87	2023-01-09	306.12	0.00	5.75	
PUT QCOM 01/13/23	112	QUALCOMM INC   CUSIP: *8PCRQV0   Symbol: QCOM--230113P00112000					
2023-01-09	3.0	506.86	2023-01-09	453.11	0.00	53.75	
PUT QCOM 01/13/23	113	QUALCOMM INC   CUSIP: *8PCRQW6   Symbol: QCOM--230113P00113000					
2023-01-09	3.0	629.86	2023-01-09	576.11	0.00	53.75	
CALL SI 02/03/23	24	SILVERGATE CAPITAL CORP CL A   CUSIP: *8PCYBR8   Symbol: SI----230203C00024000					
2023-02-02	9.0	1,329.46	2023-02-02	1,835.89	175.44 D	-330.99	
PUT SPY 02/03/23	396	STANDARD & POORS DEPOSITORY   CUSIP: *8PDL5V5   Symbol: SPY---230203P00396000					
2023-01-30	2.0	383.90	2023-01-30	378.08	0.00	5.82	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 02/03/23	397	STANDARD & POORS DEPOSITORY   CUSIP: *8PDLSV9   Symbol: SPY---230203P00397000					
2023-01-31	10.0	1,325.53	2023-01-31	1,307.38	0.00	18.15	
PUT SPY 02/03/23	397.50	STANDARD & POORS DEPOSITORY   CUSIP: *8PDLW2   Symbol: SPY---230203P00397500					
2023-01-31	2.0	307.90	2023-01-31	302.08	0.00	5.82	
PUT SPY 02/03/23	405	STANDARD & POORS DEPOSITORY   CUSIP: *8PDLX0   Symbol: SPY---230203P00405000					
2023-02-01	18.0	1,356.02	2023-02-01	1,534.63	70.74 D	-107.87	
CALL SPY 02/07/23	419	STANDARD & POORS DEPOSITORY   CUSIP: *8PDZNF9   Symbol: SPY---230207C00419000					
2023-02-01	27.0	2,374.55	2023-02-01	2,597.33	0.00	-222.78	
CALL SPY 02/08/23	411	STANDARD & POORS DEPOSITORY   CUSIP: *8PDZXM0   Symbol: SPY---230208C00411000					
2023-01-27	1.0	341.94	2023-01-27	341.04	0.00	0.90	
PUT SPY 02/08/23	411	STANDARD & POORS DEPOSITORY   CUSIP: *8PDZXM1   Symbol: SPY---230208P00411000					
2023-02-07	17.0	1,527.09	2023-02-07	1,775.65	142.82 D	-105.74	
PUT SPY 02/08/23	413	STANDARD & POORS DEPOSITORY   CUSIP: *8PDZXM3   Symbol: SPY---230208P00413000					
2023-02-02	6.0	1,451.66	2023-02-02	1,533.30	0.00	-81.64	
PUT SPY 02/08/23	415	STANDARD & POORS DEPOSITORY   CUSIP: *8PDZXM5   Symbol: SPY---230208P00415000					
2023-02-07	4.0	475.76	2023-02-07	438.20	0.00	37.56	
CALL SPY 02/09/23	418	STANDARD & POORS DEPOSITORY   CUSIP: *8PFBK7   Symbol: SPY---230209C00418000					
2023-02-07	7.0	716.61	2023-02-07	708.35	0.00	8.26	
PUT SPY 01/13/23	392.50	STANDARD & POORS DEPOSITORY   CUSIP: *8PFGDF7   Symbol: SPY---230113P00392500					
2023-01-11	1.0	303.94	2023-01-11	304.04	0.00	-0.10	
PUT TSLA 01/13/23	120	TESLA INC   CUSIP: *8PFLDK0   Symbol: TSLA--230113P00120000					
2023-01-12	5.0	519.78	2023-01-12	490.19	0.00	29.59	
CALL TSLA 01/13/23	130	TESLA INC   CUSIP: *8PFLDK3   Symbol: TSLA--230113C00130000					
2023-01-11	3.0	332.85	2023-01-11	327.11	0.00	5.74	
CALL UPST 01/13/23	15	UPSTART HOLDINGS INC   CUSIP: *8PFMKB8   Symbol: UPST--230113C00015000					
2023-01-11	3.0	275.87	2023-01-11	273.11	0.00	2.76	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL UPST 01/13/23	15.50	UPSTART HOLDINGS INC   CUSIP: *8PFMKC4   Symbol: UPST--230113C00015500					
2023-01-11	3.0	194.87	2023-01-11	189.11	0.00	5.76	
PUT UPST 01/13/23	15.50	UPSTART HOLDINGS INC   CUSIP: *8PFMKC8   Symbol: UPST--230113P00015500					
2023-01-11	7.0	484.67	2023-01-11	465.26	0.00	19.41	
PUT UPST 01/13/23	16	UPSTART HOLDINGS INC   CUSIP: *8PFMKD7   Symbol: UPST--230113P00016000					
2023-01-11	11.0	1,067.51	2023-01-11	1,037.76	4.34 D	34.09	
PUT UPST 01/13/23	17	UPSTART HOLDINGS INC   CUSIP: *8PFMKJ5   Symbol: UPST--230113P00017000					
2023-01-12	5.0	169.79	2023-01-12	165.19	0.00	4.60	
PUT UPST 01/13/23	17.50	UPSTART HOLDINGS INC   CUSIP: *8PFMKJ9   Symbol: UPST--230113P00017500					
2023-01-12	5.0	304.79	2023-01-12	305.19	0.00	-0.40	
PUT UPST 01/13/23	18	UPSTART HOLDINGS INC   CUSIP: *8PFMKK2   Symbol: UPST--230113P00018000					
2023-01-12	4.0	379.83	2023-01-12	376.16	0.00	3.67	
PUT XOM 01/13/23	107	EXXON MOBIL CORP   CUSIP: *8PFQDH9   Symbol: XOM---230113P00107000					
2023-01-09	19.0	1,712.16	2023-01-09	1,754.23	47.58 D	5.51	
2023-01-10	4.0	299.83	2023-01-10	307.20	0.00	-7.37	
<b>Security Totals:</b>		<b>2,011.99</b>		<b>2,061.43</b>		<b>-1.86</b>	
PUT XOM 01/13/23	108	EXXON MOBIL CORP   CUSIP: *8PFQDJ7   Symbol: XOM---230113P00108000					
2023-01-09	26.0	2,500.80	2023-01-09	2,600.25	109.02 D	9.57	
2023-01-10	9.0	949.59	2023-01-10	980.07	7.98 D	-22.50	
<b>Security Totals:</b>		<b>3,450.39</b>		<b>3,580.32</b>		<b>-12.93</b>	
CALL XOM 01/13/23	109	EXXON MOBIL CORP   CUSIP: *8PFQDK3   Symbol: XOM---230113C00109000					
2023-01-09	11.0	1,346.51	2023-01-09	1,320.41	0.00	26.10	
2023-01-10	3.0	332.87	2023-01-10	318.12	0.00	14.75	
<b>Security Totals:</b>		<b>1,679.38</b>		<b>1,638.53</b>		<b>40.85</b>	

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Apex Clearing

# Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

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(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

### 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>PUT XOM 01/13/23 109 EXXON MOBIL CORP   CUSIP: *8PFQDK5   Symbol: XOM---230113P00109000</b>							
2023-01-06	9.0	836.62	2023-01-06	834.35	8.33 D	10.60	
2023-01-09	28.0	2,151.80	2023-01-09	2,300.38	130.98 D	-17.60	
<b>Security Totals:</b>		<b>2,988.42</b>		<b>3,134.73</b>		<b>-7.00</b>	
<b>CALL XOM 01/13/23 110 EXXON MOBIL CORP   CUSIP: *8PFQDK6   Symbol: XOM---230113C00110000</b>							
2023-01-09	38.0	4,054.27	2023-01-09	4,274.57	258.28 D	37.98	
2023-01-10	4.0	287.83	2023-01-10	308.32	20.49 D	-0.00	
2023-01-11	16.0	1,862.30	2023-01-11	1,951.76	84.66 D	-4.80	
<b>Security Totals:</b>		<b>6,204.40</b>		<b>6,534.65</b>		<b>33.18</b>	
<b>PUT XOM 01/13/23 110 EXXON MOBIL CORP   CUSIP: *8PFQDK7   Symbol: XOM---230113P00110000</b>							
2023-01-06	7.0	882.63	2023-01-06	889.55	17.46 D	10.54	
2023-01-09	35.0	3,996.45	2023-01-09	4,021.59	69.06 D	43.92	
<b>Security Totals:</b>		<b>4,879.08</b>		<b>4,911.14</b>		<b>54.46</b>	
<b>CALL XOM 01/13/23 111 EXXON MOBIL CORP   CUSIP: *8PFQDL1   Symbol: XOM---230113C00111000</b>							
2023-01-09	55.0	4,681.47	2023-01-09	4,771.50	154.69 D	64.66	
2023-01-10	5.0	329.79	2023-01-10	369.45	39.66 D	0.00	
2023-01-11	33.0	2,341.60	2023-01-11	2,521.93	182.02 D	1.69	
<b>Security Totals:</b>		<b>7,352.86</b>		<b>7,662.88</b>		<b>66.35</b>	
<b>CALL XOM 01/13/23 112 EXXON MOBIL CORP   CUSIP: *8PFQDP6   Symbol: XOM---230113C00112000</b>							
2023-01-09	14.0	1,074.35	2023-01-09	1,057.53	0.40 D	17.22	
2023-01-11	13.0	501.45	2023-01-11	467.70	0.80 D	34.55	
<b>Security Totals:</b>		<b>1,575.80</b>		<b>1,525.23</b>		<b>51.77</b>	
<b>CALL XOM 01/13/23 113 EXXON MOBIL CORP   CUSIP: *8PFQDR5   Symbol: XOM---230113C00113000</b>							
2023-01-09	11.0	549.53	2023-01-09	546.42	0.00	3.11	
<b>CALL XOM 01/13/23 114 EXXON MOBIL CORP   CUSIP: *8PFQDS0   Symbol: XOM---230113C00114000</b>							
2023-01-12	3.0	167.87	2023-01-12	165.11	0.00	2.76	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT XOM 01/13/23	114	EXXON MOBIL CORP   CUSIP: *8PFQDS6   Symbol: XOM---230113P00114000					
2023-01-13	3.0	266.87	2023-01-13	267.11	0.00	-0.24	
CALL TSLA 02/03/23	202.50	TESLA INC   CUSIP: *8PFRMX6   Symbol: TSLA--230203C00202500					
2023-02-02	10.0	669.46	2023-02-02	640.50	0.00	28.96	
CALL TSLA 02/03/23	207.50	TESLA INC   CUSIP: *8PFRMY2   Symbol: TSLA--230203C00207500					
2023-02-02	8.0	663.57	2023-02-02	636.40	0.00	27.17	
CALL SPY 02/13/23	420	STANDARD & POORS DEPOSITORY   CUSIP: *8PFZSF5   Symbol: SPY---230213C00420000					
2023-02-07	3.0	374.83	2023-02-07	369.15	0.00	5.68	
PUT SPY 02/14/23	402	STANDARD & POORS DEPOSITORY   CUSIP: *8PFZTW5   Symbol: SPY---230214P00402000					
2023-02-07	11.0	1,484.39	2023-02-07	1,619.55	0.00	-135.16	
PUT SPY 02/14/23	404	STANDARD & POORS DEPOSITORY   CUSIP: *8PFZVC3   Symbol: SPY---230214P00404000					
2023-02-09	4.0	1,211.74	2023-02-09	1,152.20	0.00	59.54	
2023-02-10	2.0	611.87	2023-02-10	602.10	0.00	9.77	
<b>Security Totals:</b>		<b>1,823.61</b>		<b>1,754.30</b>		<b>69.31</b>	
CALL SPY 02/14/23	410	STANDARD & POORS DEPOSITORY   CUSIP: *8PFZVF2   Symbol: SPY---230214C00410000					
2023-02-14	5.0	499.72	2023-02-14	465.25	0.00	34.47	
CALL SPY 02/14/23	412	STANDARD & POORS DEPOSITORY   CUSIP: *8PFZVG0   Symbol: SPY---230214C00412000					
2023-02-09	2.0	497.87	2023-02-09	488.10	0.00	9.77	
PUT AAPL 01/20/23	135	APPLE INC   CUSIP: *8PGLXS6   Symbol: AAPL--230120P00135000					
2023-01-17	11.0	925.50	2023-01-17	950.67	24.26 D	-0.91	
CALL NVDA 09/01/23	492.50	NVIDIA CORPORATION   CUSIP: *8PHFPZ7   Symbol: NVDA--230901C00492500					
2023-09-01	3.0	419.83	2023-09-01	366.15	0.00	53.68	
CALL NVDA 09/15/23	442.50	NVIDIA CORPORATION   CUSIP: *8PHHYT6   Symbol: NVDA--230915C00442500					
2023-09-15	12.0	844.34	2023-09-15	927.12	63.52 D	-19.26	
PUT AMD 08/25/23	103	ADVANCED MICRO DEVICES INC   CUSIP: *8PKZMP6   Symbol: AMD---230825P00103000					
2023-08-25	4.0	275.75	2023-08-25	212.20	0.00	63.55	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NFLX 05/19/23	347.50	NETFLIX INC   CUSIP: *8PLVNC4   Symbol: NFLX--230519P00347500					
2023-05-18	1.0	57.93	2023-05-18	86.05	0.00	-28.12	
CALL SPY 02/13/23	419	STANDARD & POORS DEPOSITORY   CUSIP: *8PNXHT1   Symbol: SPY---230213C00419000					
2023-02-07	3.0	521.82	2023-02-07	513.15	0.00	8.67	
PUT TSLA 02/03/23	155	TESLA INC   CUSIP: *8PNYPJ5   Symbol: TSLA--230203P00155000					
2023-01-30	6.0	683.73	2023-01-30	669.22	3.24 D	17.75	
2023-01-31	16.0	2,303.29	2023-01-31	2,369.84	0.00	-66.55	
<b>Security Totals:</b>		<b>2,987.02</b>		<b>3,039.06</b>		<b>-48.80</b>	
PUT TSLA 02/03/23	170	TESLA INC   CUSIP: *8PNYXC9   Symbol: TSLA--230203P00170000					
2023-02-01	20.0	1,873.92	2023-02-01	2,086.49	65.51 D	-147.06	
PUT TSLA 02/03/23	180	TESLA INC   CUSIP: *8PNYXD3   Symbol: TSLA--230203P00180000					
2023-02-02	15.0	1,865.18	2023-02-02	1,840.16	36.42 D	61.44	
CALL TSLA 02/03/23	185	TESLA INC   CUSIP: *8PNYXG0   Symbol: TSLA--230203C00185000					
2023-02-01	5.0	529.72	2023-02-01	517.25	0.00	12.47	
PUT TSLA 02/03/23	185	TESLA INC   CUSIP: *8PNYXG1   Symbol: TSLA--230203P00185000					
2023-02-02	12.0	1,623.32	2023-02-02	1,557.02	4.42 D	70.72	
PUT TSLA 02/03/23	190	TESLA INC   CUSIP: *8PNYXG3   Symbol: TSLA--230203P00190000					
2023-02-03	17.0	1,969.06	2023-02-03	1,943.85	0.00	25.21	
CALL TSLA 02/03/23	195	TESLA INC   CUSIP: *8PNYXG4   Symbol: TSLA--230203C00195000					
2023-01-30	14.0	1,770.37	2023-01-30	1,900.12	135.24 D	5.49	
2023-02-02	33.0	2,937.20	2023-02-02	2,964.30	0.00	-27.10	
<b>Security Totals:</b>		<b>4,707.57</b>		<b>4,864.42</b>		<b>-21.61</b>	
CALL SPY 02/14/23	413	STANDARD & POORS DEPOSITORY   CUSIP: *8PNYXH9   Symbol: SPY---230214C00413000					
2023-02-14	20.0	1,218.94	2023-02-14	1,280.98	0.00	-62.04	
CALL SPY 02/14/23	414	STANDARD & POORS DEPOSITORY   CUSIP: *8PNYXS9   Symbol: SPY---230214C00414000					
2023-02-14	25.0	923.68	2023-02-14	1,341.23	0.00	-417.55	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 02/14/23	415	STANDARD & POORS DEPOSITORY   CUSIP: *8PNYZF2   Symbol: SPY---230214C00415000					
2023-02-14	90.0	1,975.32	2023-02-14	3,194.41	0.00	-1,219.09	
CALL TSLA 02/03/23	200	TESLA INC   CUSIP: *8PNZMT4   Symbol: TSLA--230203C00200000					
2023-02-02	19.0	1,608.90	2023-02-02	1,595.37	36.43 D	49.96	
CALL SPY 02/15/23	411	STANDARD & POORS DEPOSITORY   CUSIP: *8PPHQD7   Symbol: SPY---230215C00411000					
2023-02-09	2.0	587.87	2023-02-09	578.10	0.00	9.77	
PUT SPY 02/15/23	412	STANDARD & POORS DEPOSITORY   CUSIP: *8PPHXW0   Symbol: SPY---230215P00412000					
2023-02-07	4.0	1,171.76	2023-02-07	1,157.20	0.00	14.56	
PUT META 10/13/23	315	META PLATFORMS INC CL A   CUSIP: *8PRCRC6   Symbol: META--231013P00315000					
2023-10-13	2.0	279.90	2023-10-13	220.08	0.00	59.82	
CALL ROKU 09/08/23	93	ROKU INC   CUSIP: *8PRVYQ8   Symbol: ROKU--230908C00093000					
2023-09-06	2.0	435.88	2023-09-06	346.10	0.00	89.78	
PUT META 01/20/23	135	META PLATFORMS INC CL A   CUSIP: *8PSNCV5   Symbol: META--230120P00135000					
2023-01-17	4.0	631.81	2023-01-17	624.15	0.00	7.66	
PUT META 03/17/23	180	META PLATFORMS INC CL A   CUSIP: *8PSNGY5   Symbol: META--230317P00180000					
2023-03-15	1.0	41.93	2023-03-14	33.05	0.00	8.88	
PUT AMD 12/08/23	124	ADVANCED MICRO DEVICES INC   CUSIP: *8PSXHT0   Symbol: AMD---231208P00124000					
2023-12-07	7.0	727.70	2023-12-07	776.28	0.00	-48.58	
CALL ROKU 12/22/23	92	ROKU INC   CUSIP: *8PVPKK0   Symbol: ROKU--231222C00092000					
2023-12-22	9.0	683.62	2023-12-22	822.36	0.00	-138.74	
CALL UPST 12/22/23	45	UPSTART HOLDINGS INC   CUSIP: *8PVSQV5   Symbol: UPST--231222C00045000					
2023-12-22	8.0	391.66	2023-12-22	468.32	0.00	-76.66	
PUT AMD 01/13/23	60	ADVANCED MICRO DEVICES INC   CUSIP: *8PVZMB3   Symbol: AMD---230113P00060000					
2023-01-06	9.0	653.60	2023-01-06	714.57	27.24 D	-33.73	
PUT NVDA 12/08/23	472.50	NVIDIA CORPORATION   CUSIP: *8PWCHX3   Symbol: NVDA--231208P00472500					
2023-12-08	6.0	773.73	2023-12-08	696.24	0.00	77.49	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT BABA 01/13/23	105	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8PWGYM4   Symbol: BABA--230113P00105000					
2023-01-09	10.0	1,259.52	2023-01-09	1,220.38	0.00	39.14	
PUT BABA 01/13/23	110	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8PWGYM7   Symbol: BABA--230113P00110000					
2023-01-10	10.0	1,041.57	2023-01-10	1,040.61	9.24 D	10.20	
CALL BABA 01/13/23	115	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8PWGZQ5   Symbol: BABA--230113C00115000					
2023-01-09	9.0	1,688.50	2023-01-09	1,623.34	0.00	65.16	
PUT COIN 02/03/23	74	COINBASE GLOBAL INC   CUSIP: *8PXFZ5   Symbol: COIN--230203P00074000					
2023-02-02	12.0	1,617.31	2023-02-02	1,499.59	0.00	117.72	
2023-02-03	23.0	1,752.76	2023-02-03	2,387.97	356.83 D	-278.38	
<b>Security Totals:</b>		<b>3,370.07</b>		<b>3,887.56</b>		<b>-160.66</b>	
PUT COIN 02/03/23	76	COINBASE GLOBAL INC   CUSIP: *8PXFQG2   Symbol: COIN--230203P00076000					
2023-02-02	19.0	2,415.92	2023-02-02	2,311.39	12.44 D	116.97	
PUT COIN 02/03/23	77	COINBASE GLOBAL INC   CUSIP: *8PXFQG4   Symbol: COIN--230203P00077000					
2023-02-02	8.0	1,150.55	2023-02-02	1,080.40	0.00	70.15	
2023-02-03	3.0	389.83	2023-02-03	363.15	0.00	26.68	
<b>Security Totals:</b>		<b>1,540.38</b>		<b>1,443.55</b>		<b>96.83</b>	
PUT COIN 02/03/23	78	COINBASE GLOBAL INC   CUSIP: *8PXFQG6   Symbol: COIN--230203P00078000					
2023-02-02	8.0	1,510.50	2023-02-02	1,560.62	37.22 D	-12.90	
CALL COIN 02/10/23	76	COINBASE GLOBAL INC   CUSIP: *8PXF8M8   Symbol: COIN--230210C00076000					
2023-02-07	35.0	4,503.01	2023-02-07	4,472.73	90.26 D	120.54	
2023-02-08	3.0	350.83	2023-02-08	348.40	0.00	2.43	
<b>Security Totals:</b>		<b>4,853.84</b>		<b>4,821.13</b>		<b>122.97</b>	
CALL COIN 02/10/23	77	COINBASE GLOBAL INC   CUSIP: *8PXF8N0   Symbol: COIN--230210C00077000					
2023-02-07	8.0	1,227.54	2023-02-07	1,200.40	0.00	27.14	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>CALL COIN 02/10/23 78 COINBASE GLOBAL INC   CUSIP: *8PXFRN2   Symbol: COIN--230210C00078000</b>							
2023-02-06	2.0	429.88	2023-02-06	422.10	0.00	7.78	
2023-02-07	22.0	1,918.79	2023-02-07	2,269.91	144.82 D	-206.30	
<b>Security Totals:</b>		<b>2,348.67</b>		<b>2,692.01</b>		<b>-198.52</b>	
<b>CALL COIN 02/10/23 79 COINBASE GLOBAL INC   CUSIP: *8PXFRN4   Symbol: COIN--230210C00079000</b>							
2023-02-07	31.0	3,748.31	2023-02-07	3,670.52	0.00	77.79	
<b>PUT XOM 02/03/23 110 EXXON MOBIL CORP   CUSIP: *8PXLLK4   Symbol: XOM---230203P00110000</b>							
2023-01-31	13.0	909.41	2023-01-31	860.49	0.00	48.92	
<b>PUT XOM 02/03/23 111 EXXON MOBIL CORP   CUSIP: *8PXLLK7   Symbol: XOM---230203P00111000</b>							
2023-01-31	25.0	1,901.92	2023-01-31	1,790.55	3.58 D	114.95	
<b>CALL XOM 02/03/23 112 EXXON MOBIL CORP   CUSIP: *8PXLLK8   Symbol: XOM---230203C00112000</b>							
2023-02-02	24.0	2,686.69	2023-02-02	2,658.19	0.00	28.50	
<b>PUT XOM 02/03/23 112 EXXON MOBIL CORP   CUSIP: *8PXLLK9   Symbol: XOM---230203P00112000</b>							
2023-01-31	43.0	2,669.14	2023-01-31	2,916.64	305.96 D	58.46	
2023-02-01	21.0	1,287.86	2023-02-01	1,519.86	115.87 D	-116.13	
<b>Security Totals:</b>		<b>3,957.00</b>		<b>4,436.50</b>		<b>-57.67</b>	
<b>CALL XOM 02/03/23 113 EXXON MOBIL CORP   CUSIP: *8PXLLL0   Symbol: XOM---230203C00113000</b>							
2023-02-02	49.0	3,648.38	2023-02-02	3,561.94	10.52 D	96.96	
<b>PUT XOM 02/03/23 113 EXXON MOBIL CORP   CUSIP: *8PXLLL2   Symbol: XOM---230203P00113000</b>							
2023-01-31	12.0	877.44	2023-01-31	1,212.80	304.18 D	-31.18	
2023-02-01	7.0	616.61	2023-02-01	770.19	0.00	-153.58	
<b>Security Totals:</b>		<b>1,494.05</b>		<b>1,982.99</b>		<b>-184.76</b>	
<b>CALL XOM 02/03/23 114 EXXON MOBIL CORP   CUSIP: *8PXLLL9   Symbol: XOM---230203C00114000</b>							
2023-02-01	15.0	1,759.14	2023-02-01	1,743.27	17.43 D	33.30	
2023-02-02	41.0	1,677.86	2023-02-02	1,704.12	68.21 D	41.95	
<b>Security Totals:</b>		<b>3,437.00</b>		<b>3,447.39</b>		<b>75.25</b>	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT XOM 02/03/23 114 EXXON MOBIL CORP   CUSIP: *8PXLLM0   Symbol: XOM---230203P00114000							
2023-01-31	8.0	845.64	2023-01-31	835.46	14.16 D	24.34	
CALL XOM 02/03/23 115 EXXON MOBIL CORP   CUSIP: *8PXLLT3   Symbol: XOM---230203C00115000							
2023-01-30	1.0	180.94	2023-01-30	179.04	0.00	1.90	
2023-02-01	61.0	4,929.64	2023-02-01	6,423.82	1,195.79 D	-298.39	
<b>Security Totals:</b>		<b>5,110.58</b>		<b>6,602.86</b>		<b>-296.49</b>	
PUT XOM 02/03/23 115 EXXON MOBIL CORP   CUSIP: *8PXLLT4   Symbol: XOM---230203P00115000							
2023-01-31	7.0	931.64	2023-01-31	896.27	0.00	35.37	
CALL XOM 02/03/23 116 EXXON MOBIL CORP   CUSIP: *8PXLLV1   Symbol: XOM---230203C00116000							
2023-02-01	50.0	2,783.29	2023-02-01	3,301.14	272.65 D	-245.20	
CALL META 02/03/23 197.50 META PLATFORMS INC CL A   CUSIP: *8PZDPF7   Symbol: META--230203C00197500							
2023-02-02	40.0	3,608.81	2023-02-02	3,772.99	0.00	-164.18	
CALL META 02/10/23 182.50 META PLATFORMS INC CL A   CUSIP: *8PZDPG7   Symbol: META--230210C00182500							
2023-02-09	19.0	1,917.97	2023-02-09	1,838.95	0.00	79.02	
CALL META 02/10/23 187.50 META PLATFORMS INC CL A   CUSIP: *8PZDPG9   Symbol: META--230210C00187500							
2023-02-09	15.0	1,250.17	2023-02-09	1,253.18	0.43 D	-2.58	
CALL META 02/10/23 197.50 META PLATFORMS INC CL A   CUSIP: *8PZDPH3   Symbol: META--230210C00197500							
2023-02-07	47.0	5,127.46	2023-02-07	5,424.12	253.80 D	-42.86	
PUT META 02/17/23 167.50 META PLATFORMS INC CL A   CUSIP: *8PZDPW0   Symbol: META--230217P00167500							
2023-02-13	9.0	922.48	2023-02-13	905.77	12.32 D	29.03	
PUT META 02/17/23 172.50 META PLATFORMS INC CL A   CUSIP: *8PZFLR4   Symbol: META--230217P00172500							
2023-02-13	3.0	464.82	2023-02-13	453.15	0.00	11.67	
CALL MSFT 02/10/23 277.50 MICROSOFT CORP   CUSIP: *8PZMFN9   Symbol: MSFT--230210C00277500							
2023-02-08	3.0	377.81	2023-02-08	336.15	0.00	41.66	
CALL NVDA 02/10/23 222.50 NVIDIA CORPORATION   CUSIP: *8PZPYD5   Symbol: NVDA--230210C00222500							
2023-02-10	2.0	125.88	2023-02-10	122.10	0.00	3.78	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NVDA 02/10/23 222.50 NVIDIA CORPORATION   CUSIP: *8PZPYD6   Symbol: NVDA--230210P00222500							
2023-02-09	9.0	1,145.47	2023-02-09	1,110.78	6.33 D	41.02	
CALL NVDA 02/10/23 227.50 NVIDIA CORPORATION   CUSIP: *8PZPYD7   Symbol: NVDA--230210C00227500							
2023-02-06	2.0	265.88	2023-02-06	260.10	0.00	5.78	
2023-02-07	13.0	2,089.27	2023-02-07	1,978.64	0.00	110.63	
2023-02-09	20.0	2,150.90	2023-02-09	2,150.00	0.00	0.90	
<b>Security Totals:</b>		<b>4,506.05</b>		<b>4,388.74</b>		<b>117.31</b>	
CALL NVDA 02/10/23 232.50 NVIDIA CORPORATION   CUSIP: *8PZPYD9   Symbol: NVDA--230210C00232500							
2023-02-07	1.0	105.93	2023-02-07	106.05	0.12 D	0.00	
2023-02-08	12.0	1,475.30	2023-02-08	1,536.27	64.32 D	3.35	
2023-02-09	28.0	2,714.49	2023-02-09	2,786.16	0.00	-71.67	
<b>Security Totals:</b>		<b>4,295.72</b>		<b>4,428.48</b>		<b>-68.32</b>	
PUT NVDA 02/17/23 202.50 NVIDIA CORPORATION   CUSIP: *8PZPYS6   Symbol: NVDA--230217P00202500							
2023-02-13	2.0	291.87	2023-02-13	290.10	0.00	1.77	
2023-02-14	18.0	1,062.03	2023-02-14	1,354.19	30.31 D	-261.85	
<b>Security Totals:</b>		<b>1,353.90</b>		<b>1,644.29</b>		<b>-260.08</b>	
PUT NVDA 02/17/23 207.50 NVIDIA CORPORATION   CUSIP: *8PZPYS8   Symbol: NVDA--230217P00207500							
2023-02-13	4.0	987.75	2023-02-13	986.20	12.22 D	13.77	
2023-02-14	22.0	2,770.80	2023-02-14	3,122.31	0.00	-351.51	
<b>Security Totals:</b>		<b>3,758.55</b>		<b>4,108.51</b>		<b>-337.74</b>	
PUT NVDA 02/17/23 212.50 NVIDIA CORPORATION   CUSIP: *8PZPYT0   Symbol: NVDA--230217P00212500							
2023-02-14	40.0	4,536.80	2023-02-14	5,358.54	316.57 D	-505.17	
PUT NVDA 02/17/23 217.50 NVIDIA CORPORATION   CUSIP: *8PZPYT2   Symbol: NVDA--230217P00217500							
2023-02-14	7.0	998.59	2023-02-14	974.35	0.00	24.24	
CALL META 01/13/23 135 META PLATFORMS INC CL A   CUSIP: *8PZVQM9   Symbol: META--230113C00135000							
2023-01-09	6.0	710.74	2023-01-09	690.23	0.00	20.51	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 01/13/23	376	STANDARD & POORS DEPOSITORY   CUSIP: *8PZZNT6   Symbol: SPY---230113P00376000					
2023-01-06	2.0	519.88	2023-01-06	500.08	0.00	19.80	
CALL BABA 02/17/23	105	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SBBVJ2   Symbol: BABA--230217C00105000					
2023-02-14	4.0	379.78	2023-02-14	368.20	0.00	11.58	
PUT AAPL 01/13/23	128	APPLE INC   CUSIP: *8SBDML7   Symbol: AAPL--230113P00128000					
2023-01-10	4.0	447.82	2023-01-10	436.15	0.00	11.67	
PUT AAPL 01/27/23	137	APPLE INC   CUSIP: *8SBDMR5   Symbol: AAPL--230127P00137000					
2023-01-23	5.0	539.78	2023-01-23	515.19	0.00	24.59	
PUT AAPL 01/27/23	143	APPLE INC   CUSIP: *8SBDMY5   Symbol: AAPL--230127P00143000					
2023-01-26	3.0	254.87	2023-01-26	243.11	0.00	11.76	
PUT AMD 01/13/23	61	ADVANCED MICRO DEVICES INC   CUSIP: *8SBFJR6   Symbol: AMD---230113P00061000					
2023-01-06	12.0	1,056.47	2023-01-06	1,047.88	5.41 D	14.00	
PUT AMD 01/13/23	64	ADVANCED MICRO DEVICES INC   CUSIP: *8SBFJS2   Symbol: AMD---230113P00064000					
2023-01-09	5.0	339.75	2023-01-09	355.19	0.00	-15.44	
PUT AMD 01/27/23	74	ADVANCED MICRO DEVICES INC   CUSIP: *8SBFKF0   Symbol: AMD---230127P00074000					
2023-01-24	4.0	427.80	2023-01-24	412.15	0.00	15.65	
PUT AMZN 01/27/23	92	AMAZON.COM INC   CUSIP: *8SBFNK2   Symbol: AMZN--230127P00092000					
2023-01-25	27.0	1,618.86	2023-01-25	1,633.50	51.48 D	36.84	
PUT AMZN 01/27/23	93	AMAZON.COM INC   CUSIP: *8SBFNK4   Symbol: AMZN--230127P00093000					
2023-01-25	24.0	2,033.96	2023-01-25	2,129.07	113.15 D	18.04	
PUT AMZN 01/27/23	94	AMAZON.COM INC   CUSIP: *8SBFNK6   Symbol: AMZN--230127P00094000					
2023-01-25	7.0	697.68	2023-01-25	671.26	0.00	26.42	
PUT AMZN 01/27/23	95	AMAZON.COM INC   CUSIP: *8SBFNK8   Symbol: AMZN--230127P00095000					
2023-01-25	3.0	191.87	2023-01-25	192.12	0.00	-0.25	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>1a - Description of property   CUSIP   Symbol</b>							
PUT AMZN 01/27/23	96	AMAZON.COM INC   CUSIP: *8SBFNL1   Symbol: AMZN--230127P00096000					
2023-01-25	4.0	399.83	2023-01-25	392.15	0.00	7.68	
2023-01-26	26.0	1,030.91	2023-01-26	1,025.31	4.32 D	9.92	
<b>Security Totals:</b>		<b>1,430.74</b>		<b>1,417.46</b>		<b>17.60</b>	
PUT AMZN 01/27/23	97	AMAZON.COM INC   CUSIP: *8SBFNL3   Symbol: AMZN--230127P00097000					
2023-01-26	18.0	1,309.21	2023-01-26	1,378.27	44.58 D	-24.48	
CALL AMZN 01/27/23	98	AMAZON.COM INC   CUSIP: *8SBFNL4   Symbol: AMZN--230127C00098000					
2023-01-26	15.0	1,172.35	2023-01-26	1,120.89	4.32 D	55.78	
CALL AMZN 01/27/23	101	AMAZON.COM INC   CUSIP: *8SBFNM1   Symbol: AMZN--230127C00101000					
2023-01-27	12.0	1,111.48	2023-01-27	1,115.77	28.32 D	24.03	
PUT AMZN 01/27/23	101	AMAZON.COM INC   CUSIP: *8SBFNM2   Symbol: AMZN--230127P00101000					
2023-01-27	2.0	173.89	2023-01-27	176.08	0.00	-2.19	
PUT BABA 01/06/23	101	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SBGHP0   Symbol: BABA--230106P00101000					
2023-01-04	25.0	3,426.79	2023-01-04	3,391.22	49.88 D	85.45	
2023-01-05	14.0	1,351.39	2023-01-05	1,326.09	3.94 D	29.24	
<b>Security Totals:</b>		<b>4,778.18</b>		<b>4,717.31</b>		<b>114.69</b>	
PUT BA 01/27/23	200	BOEING CO   CUSIP: *8SBGKY7   Symbol: BA----230127P00200000					
2023-01-25	11.0	813.53	2023-01-25	946.41	0.00	-132.88	
PUT BA 01/27/23	205	BOEING CO   CUSIP: *8SBGKY9   Symbol: BA----230127P00205000					
2023-01-25	19.0	3,083.13	2023-01-25	2,866.06	8.34 D	225.41	
CALL COIN 01/27/23	51	COINBASE GLOBAL INC   CUSIP: *8SBQFM9   Symbol: COIN--230127C00051000					
2023-01-25	3.0	341.87	2023-01-25	333.11	0.00	8.76	
CALL GOOG 01/27/23	99	ALPHABET INC CLASS C   CUSIP: *8SBVSP3   Symbol: GOOG--230127C00099000					
2023-01-20	4.0	443.82	2023-01-20	428.15	0.00	15.67	
CALL GOOG 01/27/23	100	ALPHABET INC CLASS C   CUSIP: *8SBVSP5   Symbol: GOOG--230127C00100000					
2023-01-20	6.0	476.74	2023-01-20	496.39	8.16 D	-11.49	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT LCID 01/27/23	11 LUCID GROUP INC   CUSIP: *8SBZWN2   Symbol: LCID--230127P00011000						
2023-01-27	11.0	318.54	2023-01-27	341.42	0.00	-22.88	
PUT LCID 01/27/23	11.50 LUCID GROUP INC   CUSIP: *8SBZWN5   Symbol: LCID--230127P00011500						
2023-01-27	5.0	234.78	2023-01-27	210.19	0.00	24.59	
CALL META 01/06/23	128 META PLATFORMS INC CL A   CUSIP: *8SCBQL4   Symbol: META--230106C00128000						
2023-01-05	4.0	415.83	2023-01-05	412.15	0.00	3.68	
PUT MSFT 01/27/23	230 MICROSOFT CORP   CUSIP: *8SCCCY3   Symbol: MSFT--230127P00230000						
2023-01-25	9.0	644.55	2023-01-25	612.34	0.00	32.21	
PUT MSFT 01/27/23	235 MICROSOFT CORP   CUSIP: *8SCCCY7   Symbol: MSFT--230127P00235000						
2023-01-25	23.0	1,809.00	2023-01-25	1,741.87	0.00	67.13	
PUT MSFT 01/27/23	240 MICROSOFT CORP   CUSIP: *8SCCCY9   Symbol: MSFT--230127P00240000						
2023-01-25	10.0	1,421.52	2023-01-25	1,513.06	82.68 D	-8.86	
CALL MSFT 01/27/23	245 MICROSOFT CORP   CUSIP: *8SCCCZ0   Symbol: MSFT--230127C00245000						
2023-01-25	3.0	350.84	2023-01-25	342.11	0.00	8.73	
PUT MSFT 01/27/23	245 MICROSOFT CORP   CUSIP: *8SCCDB4   Symbol: MSFT--230127P00245000						
2023-01-26	8.0	646.61	2023-01-26	618.30	0.00	28.31	
CALL MSFT 01/27/23	255 MICROSOFT CORP   CUSIP: *8SCCDC5   Symbol: MSFT--230127C00255000						
2023-01-24	2.0	285.89	2023-01-24	284.08	0.00	1.81	
PUT NFLX 01/27/23	330 NETFLIX INC   CUSIP: *8SCCNQ9   Symbol: NFLX--230127P00330000						
2023-01-23	4.0	459.82	2023-01-23	432.15	0.00	27.67	
PUT NFLX 01/27/23	335 NETFLIX INC   CUSIP: *8SCCNX9   Symbol: NFLX--230127P00335000						
2023-01-23	6.0	818.70	2023-01-23	795.22	0.00	23.48	
PUT NFLX 01/27/23	340 NETFLIX INC   CUSIP: *8SCCNY1   Symbol: NFLX--230127P00340000						
2023-01-23	14.0	1,965.38	2023-01-23	1,920.78	24.25 D	68.85	
PUT NFLX 01/27/23	345 NETFLIX INC   CUSIP: *8SCCN4   Symbol: NFLX--230127P00345000						
2023-01-24	2.0	351.90	2023-01-24	308.08	0.00	43.82	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>1a - Description of property   CUSIP   Symbol</b>							
PUT NFLX 01/27/23	355	NETFLIX INC   CUSIP: *8SCCNY8   Symbol: NFLX--230127P00355000					
2023-01-25	3.0	368.87	2023-01-25	378.11	0.00	-9.24	
PUT NFLX 01/27/23	360	NETFLIX INC   CUSIP: *8SCCNZ0   Symbol: NFLX--230127P00360000					
2023-01-25	4.0	715.82	2023-01-25	682.16	0.00	33.66	
2023-01-26	7.0	892.69	2023-01-26	874.44	4.17 D	22.42	
<b>Security Totals:</b>		<b>1,608.51</b>		<b>1,556.60</b>		<b>56.08</b>	
CALL NFLX 01/27/23	370	NETFLIX INC   CUSIP: *8SCCNZ4   Symbol: NFLX--230127C00370000					
2023-01-23	1.0	228.94	2023-01-23	222.04	0.00	6.90	
CALL NFLX 01/27/23	375	NETFLIX INC   CUSIP: *8SCCNZ6   Symbol: NFLX--230127C00375000					
2023-01-23	3.0	449.83	2023-01-23	423.12	0.00	26.71	
2023-01-24	2.0	335.90	2023-01-24	342.08	6.18 D	-0.00	
2023-01-25	2.0	345.90	2023-01-25	344.26	0.00	1.64	
<b>Security Totals:</b>		<b>1,131.63</b>		<b>1,109.46</b>		<b>28.35</b>	
CALL NVDA 01/06/23	141	NVIDIA CORPORATION   CUSIP: *8SCCYD2   Symbol: NVDA--230106C00141000					
2023-01-06	4.0	567.82	2023-01-06	512.15	0.00	55.67	
PUT NVDA 01/06/23	141	NVIDIA CORPORATION   CUSIP: *8SCCYD3   Symbol: NVDA--230106P00141000					
2023-01-04	26.0	2,739.86	2023-01-04	2,893.53	104.68 D	-48.99	
2023-01-05	6.0	803.71	2023-01-05	862.57	0.00	-58.86	
2023-01-06	2.0	251.90	2023-01-06	262.24	0.00	-10.34	
<b>Security Totals:</b>		<b>3,795.47</b>		<b>4,018.34</b>		<b>-118.19</b>	
CALL NVDA 01/06/23	142	NVIDIA CORPORATION   CUSIP: *8SCCYD4   Symbol: NVDA--230106C00142000					
2023-01-06	17.0	2,019.24	2023-01-06	1,881.66	0.00	137.58	
PUT NVDA 01/06/23	142	NVIDIA CORPORATION   CUSIP: *8SCCYD5   Symbol: NVDA--230106P00142000					
2023-01-04	26.0	3,284.86	2023-01-04	3,819.84	569.58 D	34.60	
2023-01-05	3.0	407.84	2023-01-05	469.11	0.00	-61.27	
2023-01-06	2.0	225.90	2023-01-06	265.83	0.00	-39.93	
<b>Security Totals:</b>		<b>3,918.60</b>		<b>4,554.78</b>		<b>-66.60</b>	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NVDA 01/06/23	143	NVIDIA CORPORATION   CUSIP: *8SCCYD6   Symbol: NVDA--230106C00143000					
2023-01-06	18.0	1,935.20	2023-01-06	1,860.86	14.17 D	88.51	
PUT NVDA 01/06/23	143	NVIDIA CORPORATION   CUSIP: *8SCCYD7   Symbol: NVDA--230106P00143000					
2023-01-03	4.0	603.79	2023-01-03	610.34	16.18 D	9.63	
2023-01-04	59.0	8,751.37	2023-01-04	9,557.62	735.20 D	-71.05	
2023-01-05	3.0	515.86	2023-01-05	554.93	0.00	-39.07	
<b>Security Totals:</b>		<b>9,871.02</b>		<b>10,722.89</b>		<b>-100.49</b>	
CALL NVDA 01/06/23	144	NVIDIA CORPORATION   CUSIP: *8SCCYF1   Symbol: NVDA--230106C00144000					
2023-01-06	12.0	727.48	2023-01-06	1,065.80	144.34 D	-193.98	
PUT NVDA 01/06/23	144	NVIDIA CORPORATION   CUSIP: *8SCCYF3   Symbol: NVDA--230106P00144000					
2023-01-04	10.0	1,713.52	2023-01-04	1,731.14	19.53 D	1.91	
2023-01-06	6.0	585.72	2023-01-06	573.67	6.67 D	18.72	
<b>Security Totals:</b>		<b>2,299.24</b>		<b>2,304.81</b>		<b>20.63</b>	
PUT NVDA 01/13/23	142	NVIDIA CORPORATION   CUSIP: *8SCCYF8   Symbol: NVDA--230113P00142000					
2023-01-06	3.0	539.86	2023-01-06	537.11	0.00	2.75	
PUT NVDA 01/27/23	162.50	NVIDIA CORPORATION   CUSIP: *8SCCYM5   Symbol: NVDA--230127P00162500					
2023-01-20	5.0	509.78	2023-01-20	495.19	0.00	14.59	
PUT NVDA 01/27/23	167.50	NVIDIA CORPORATION   CUSIP: *8SCCYN0   Symbol: NVDA--230127P00167500					
2023-01-20	3.0	464.83	2023-01-20	462.12	0.00	2.71	
PUT NVDA 01/27/23	170	NVIDIA CORPORATION   CUSIP: *8SCCYN4   Symbol: NVDA--230127P00170000					
2023-01-20	3.0	536.86	2023-01-20	528.11	0.00	8.75	
PUT NVDA 01/27/23	172.50	NVIDIA CORPORATION   CUSIP: *8SCCYN8   Symbol: NVDA--230127P00172500					
2023-01-23	73.0	5,620.83	2023-01-23	6,681.98	923.22 D	-137.93	
PUT NVDA 01/27/23	175	NVIDIA CORPORATION   CUSIP: *8SCCYP2   Symbol: NVDA--230127P00175000					
2023-01-23	51.0	5,851.80	2023-01-23	6,461.38	481.43 D	-128.15	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NVDA 01/27/23	177.50	NVIDIA CORPORATION   CUSIP: *8SCCYP9   Symbol: NVDA--230127P00177500					
2023-01-23	31.0	4,507.59	2023-01-23	4,422.50	4.33 D	89.42	
PUT NVDA 01/27/23	180	NVIDIA CORPORATION   CUSIP: *8SCCYQ3   Symbol: NVDA--230127P00180000					
2023-01-23	6.0	746.73	2023-01-23	723.23	0.00	23.50	
2023-01-24	30.0	2,248.74	2023-01-24	2,101.15	0.00	147.59	
2023-01-25	10.0	844.52	2023-01-25	815.38	0.00	29.14	
<b>Security Totals:</b>		<b>3,839.99</b>		<b>3,639.76</b>		<b>200.23</b>	
PUT NVDA 01/27/23	182.50	NVIDIA CORPORATION   CUSIP: *8SCCYQ7   Symbol: NVDA--230127P00182500					
2023-01-23	10.0	1,302.54	2023-01-23	1,335.05	32.51 D	0.00	
2023-01-24	40.0	4,132.21	2023-01-24	4,385.03	153.65 D	-99.17	
<b>Security Totals:</b>		<b>5,434.75</b>		<b>5,720.08</b>		<b>-99.17</b>	
PUT NVDA 01/27/23	185	NVIDIA CORPORATION   CUSIP: *8SCCYT5   Symbol: NVDA--230127P00185000					
2023-01-23	3.0	551.84	2023-01-23	543.12	0.00	8.72	
2023-01-24	16.0	2,389.30	2023-01-24	2,362.84	9.24 D	35.70	
<b>Security Totals:</b>		<b>2,941.14</b>		<b>2,905.96</b>		<b>44.42</b>	
CALL NVDA 01/27/23	187.50	NVIDIA CORPORATION   CUSIP: *8SCCYT7   Symbol: NVDA--230127C00187500					
2023-01-20	4.0	455.82	2023-01-20	452.15	0.00	3.67	
PUT NVDA 01/27/23	187.50	NVIDIA CORPORATION   CUSIP: *8SCCYT9   Symbol: NVDA--230127P00187500					
2023-01-24	6.0	1,121.73	2023-01-24	1,104.23	0.00	17.50	
2023-01-26	4.0	255.82	2023-01-26	244.15	0.00	11.67	
<b>Security Totals:</b>		<b>1,377.55</b>		<b>1,348.38</b>		<b>29.17</b>	
PUT NVDA 01/27/23	190	NVIDIA CORPORATION   CUSIP: *8SCCYV1   Symbol: NVDA--230127P00190000					
2023-01-26	15.0	1,414.35	2023-01-26	1,387.98	15.41 D	41.78	
CALL NVDA 01/27/23	192.50	NVIDIA CORPORATION   CUSIP: *8SCCYV5   Symbol: NVDA--230127C00192500					
2023-01-25	25.0	3,781.90	2023-01-25	3,923.72	155.75 D	13.93	

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Apex Clearing

# Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

### 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NVDA 01/27/23	192.50	NVIDIA CORPORATION   CUSIP: *8SCCYW0   Symbol: NVDA--230127P00192500					
2023-01-26	23.0	2,304.99	2023-01-26	2,110.87	0.00	194.12	
CALL NVDA 01/27/23	195	NVIDIA CORPORATION   CUSIP: *8SCCYW1   Symbol: NVDA--230127C00195000					
2023-01-25	37.0	3,744.38	2023-01-25	3,877.46	193.06 D	59.98	
PUT NVDA 01/27/23	195	NVIDIA CORPORATION   CUSIP: *8SCCZC9   Symbol: NVDA--230127P00195000					
2023-01-26	15.0	1,964.34	2023-01-26	1,755.57	0.00	208.77	
2023-01-27	11.0	831.51	2023-01-27	801.43	0.00	30.08	
<b>Security Totals:</b>		<b>2,795.85</b>		<b>2,557.00</b>		<b>238.85</b>	
PUT TSLA 02/10/23	202.50	TESLA INC   CUSIP: *8SCFKK6   Symbol: TSLA--230210P00202500					
2023-02-09	11.0	1,399.34	2023-02-09	1,344.78	4.23 D	58.79	
CALL TSLA 02/10/23	207.50	TESLA INC   CUSIP: *8SCFKK7   Symbol: #8SCFKK7					
2023-02-07	10.0	1,159.46	2023-02-07	1,100.49	0.00	58.97	
2023-02-10	4.0	451.76	2023-02-10	432.20	0.00	19.56	
<b>Security Totals:</b>		<b>1,611.22</b>		<b>1,532.69</b>		<b>78.53</b>	
PUT TSLA 02/10/23	207.50	TESLA INC   CUSIP: *8SCFRD6   Symbol: TSLA--230210P00207500					
2023-02-09	3.0	653.82	2023-02-09	648.15	0.00	5.67	
CALL TSLA 02/10/23	212.50	TESLA INC   CUSIP: *8SCFRD7   Symbol: TSLA--230210C00212500					
2023-02-07	15.0	1,439.18	2023-02-07	1,380.74	0.00	58.44	
2023-02-09	14.0	2,015.21	2023-02-09	1,951.13	20.43 D	84.51	
<b>Security Totals:</b>		<b>3,454.39</b>		<b>3,331.87</b>		<b>142.95</b>	
CALL TSLA 02/10/23	217.50	TESLA INC   CUSIP: *8SCFWJ1   Symbol: TSLA--230210C00217500					
2023-02-09	4.0	871.77	2023-02-09	900.20	0.00	-28.43	
CALL TSLA 02/10/23	222.50	TESLA INC   CUSIP: *8SCFXW8   Symbol: TSLA--230210C00222500					
2023-02-09	4.0	489.75	2023-02-09	486.20	0.00	3.55	
PUT SPY 01/27/23	392.50	STANDARD & POORS DEPOSITORY   CUSIP: *8SCGSG7   Symbol: SPY---230127P00392500					
2023-01-23	2.0	207.90	2023-01-23	202.08	0.00	5.82	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
PUT SPY 01/27/23	397.50	STANDARD & POORS DEPOSITORY   CUSIP: *8SCGSL0   Symbol: SPY---230127P00397500					
2023-01-24	4.0	563.80	2023-01-24	608.16	0.00	-44.36	
CALL SPY 01/27/23	402.50	STANDARD & POORS DEPOSITORY   CUSIP: *8SCGSQ3   Symbol: SPY---230127C00402500					
2023-01-24	2.0	309.90	2023-01-24	302.08	0.00	7.82	
CALL SPY 01/27/23	403	STANDARD & POORS DEPOSITORY   CUSIP: *8SCGSS5   Symbol: SPY---230127C00403000					
2023-01-23	3.0	599.83	2023-01-23	588.11	0.00	11.72	
PUT TSLA 01/27/23	130	TESLA INC   CUSIP: *8SCN JL1   Symbol: TSLA--230127P00130000					
2023-01-24	3.0	539.86	2023-01-24	525.11	0.00	14.75	
PUT TSLA 01/27/23	155	TESLA INC   CUSIP: *8SCN JN7   Symbol: TSLA--230127P00155000					
2023-01-26	2.0	313.90	2023-01-26	306.08	0.00	7.82	
PUT TSLA 01/27/23	160	TESLA INC   CUSIP: *8SCN JP1   Symbol: TSLA--230127P00160000					
2023-01-27	2.0	137.90	2023-01-27	134.08	0.00	3.82	
PUT TSLA 01/27/23	170	TESLA INC   CUSIP: *8SCN JP6   Symbol: TSLA--230127P00170000					
2023-01-27	28.0	3,198.75	2023-01-27	3,129.40	75.30 D	144.65	
PUT TSLA 01/27/23	175	TESLA INC   CUSIP: *8SCN JP8   Symbol: TSLA--230127P00175000					
2023-01-27	15.0	2,176.32	2023-01-27	2,095.15	59.58 D	140.75	
PUT BABA 01/06/23	102	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SCPTV3   Symbol: BABA--230106P00102000					
2023-01-04	3.0	512.86	2023-01-04	495.11	0.00	17.75	
2023-01-05	25.0	2,068.89	2023-01-05	2,178.88	85.93 D	-24.06	
<b>Security Totals:</b>		<b>2,581.75</b>		<b>2,673.99</b>		<b>-6.31</b>	
PUT BABA 01/06/23	103	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SCPTW0   Symbol: BABA--230106P00103000					
2023-01-05	8.0	833.64	2023-01-05	923.47	34.16 D	-55.67	
CALL BABA 01/06/23	104	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SCPTW1   Symbol: BABA--230106C00104000					
2023-01-05	3.0	320.87	2023-01-05	294.11	0.00	26.76	
PUT BABA 01/06/23	104	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SCPTW2   Symbol: BABA--230106P00104000					
2023-01-05	5.0	684.78	2023-01-05	660.19	0.00	24.59	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL BABA 01/06/23	106	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SCPTW3   Symbol: BABA--230106C00106000	2023-01-04	985.45	16.19 D	-23.61	
2023-01-04	7.0	945.65	2023-01-04	985.45			
CALL COIN 01/13/23	38.50	COINBASE GLOBAL INC   CUSIP: *8SCQYX1   Symbol: COIN--230113C00038500	2023-01-09	1,218.27	0.00	20.41	
2023-01-09	7.0	1,238.68	2023-01-09	1,218.27			
PUT COIN 01/13/23	38.50	COINBASE GLOBAL INC   CUSIP: *8SCQYX2   Symbol: COIN--230113P00038500	2023-01-10	784.28	0.00	18.41	
2023-01-10	7.0	802.69	2023-01-10	784.28			
PUT COIN 01/13/23	39.50	COINBASE GLOBAL INC   CUSIP: *8SCQYX5   Symbol: COIN--230113P00039500	2023-01-10	1,175.53	51.27 D	-23.60	
2023-01-10	7.0	1,100.66	2023-01-10	1,175.53			
PUT XOM 01/27/23	111	EXXON MOBIL CORP   CUSIP: *8SCVXX1   Symbol: XOM---230127P00111000	2023-01-20	2,078.91	0.00	-87.94	
2023-01-20	24.0	1,990.97	2023-01-20	2,078.91			
PUT XOM 01/27/23	112	EXXON MOBIL CORP   CUSIP: *8SCVXX3   Symbol: XOM---230127P00112000	2023-01-20	1,140.38	0.00	29.19	
2023-01-20	10.0	1,169.57	2023-01-20	1,140.38			
CALL XOM 01/27/23	114	EXXON MOBIL CORP   CUSIP: *8SCVXX6   Symbol: XOM---230127C00114000	2023-01-26	750.23	0.00	-48.49	
2023-01-26	6.0	701.74	2023-01-26	750.23			
CALL XOM 01/27/23	115	EXXON MOBIL CORP   CUSIP: *8SCVXX8   Symbol: XOM---230127C00115000	2023-01-26	2,957.22	474.93 D	-341.74	
2023-01-26	34.0	2,140.55	2023-01-26	2,957.22			
CALL XOM 01/27/23	116	EXXON MOBIL CORP   CUSIP: *8SCVXY0   Symbol: XOM---230127C00116000	2023-01-26	1,649.94	0.00	-71.06	
2023-01-26	51.0	1,578.88	2023-01-26	1,649.94			
PUT XOM 01/27/23	116	EXXON MOBIL CORP   CUSIP: *8SCVXY1   Symbol: XOM---230127P00116000	2023-01-26	244.15	0.00	7.68	
2023-01-26	4.0	251.83	2023-01-26	244.15			
CALL AVGO 01/27/23	610	BROADCOM INC   CUSIP: *8SCXSK6   Symbol: AVGO--230127C00610000	2023-01-26	220.08	0.00	9.82	
2023-01-26	2.0	229.90	2023-01-26	220.08			
CALL BABA 01/27/23	120	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SCXWG2   Symbol: BABA--230127C00120000	2023-01-25	340.16	0.00	11.67	
2023-01-25	4.0	351.83	2023-01-25	340.16			
PUT COIN 01/27/23	55	COINBASE GLOBAL INC   CUSIP: *8SCYTN3   Symbol: COIN--230127P00055000	2023-01-27	1,731.81	0.00	-52.70	
2023-01-27	21.0	1,679.11	2023-01-27	1,731.81			

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>1a - Description of property   CUSIP   Symbol</b>							
PUT META 01/27/23	140	META PLATFORMS INC CL A   CUSIP: *8SDBFK9   Symbol: META--230127P00140000					
2023-01-25	5.0	489.77	2023-01-25	455.19	0.00	34.58	
CALL NVDA 01/27/23	200	NVIDIA CORPORATION   CUSIP: *8SDBPY1   Symbol: NVDA--230127C00200000					
2023-01-24	32.0	4,123.58	2023-01-24	4,379.87	286.87 D	30.58	
2023-01-26	18.0	2,429.17	2023-01-26	2,557.96	83.03 D	-45.76	
<b>Security Totals:</b>		<b>6,552.75</b>		<b>6,937.83</b>		<b>-15.18</b>	
PUT NVDA 01/27/23	200	NVIDIA CORPORATION   CUSIP: *8SDBPY2   Symbol: NVDA--230127P00200000					
2023-01-27	4.0	499.82	2023-01-27	512.16	0.00	-12.34	
PUT NVDA 03/17/23	255	NVIDIA CORPORATION   CUSIP: *8SDBXK1   Symbol: NVDA--230317P00255000					
2023-03-17	2.0	49.88	2023-03-17	52.10	0.00	-2.22	
CALL NVDA 03/17/23	265	NVIDIA CORPORATION   CUSIP: *8SDBXK4   Symbol: NVDA--230317C00265000					
2023-03-17	1.0	65.93	2023-03-17	47.05	0.00	18.88	
CALL MSFT 01/13/23	232.50	MICROSOFT CORP   CUSIP: *8SDFSM5   Symbol: MSFT--230113C00232500					
2023-01-09	6.0	1,496.70	2023-01-09	1,455.22	0.00	41.48	
PUT MSFT 01/13/23	232.50	MICROSOFT CORP   CUSIP: *8SDFSM6   Symbol: MSFT--230113P00232500					
2023-01-11	5.0	979.77	2023-01-11	965.19	0.00	14.58	
CALL MSFT 01/13/23	237.50	MICROSOFT CORP   CUSIP: *8SDFSM9   Symbol: MSFT--230113C00237500					
2023-01-09	3.0	311.87	2023-01-09	309.11	0.00	2.76	
PUT MSFT 01/13/23	237.50	MICROSOFT CORP   CUSIP: *8SDFSR8   Symbol: MSFT--230113P00237500					
2023-01-13	3.0	164.85	2023-01-13	165.11	0.00	-0.26	
PUT NFLX 01/13/23	292.50	NETFLIX INC   CUSIP: *8SDFXB8   Symbol: NFLX--230113P00292500					
2023-01-06	4.0	561.79	2023-01-06	562.16	0.00	-0.37	
PUT NFLX 01/13/23	297.50	NETFLIX INC   CUSIP: *8SDFXC0   Symbol: NFLX--230113P00297500					
2023-01-09	18.0	2,162.16	2023-01-09	2,170.22	21.52 D	13.46	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>PUT NFLX 01/13/23 302.50 NETFLIX INC   CUSIP: *8SDFXC2   Symbol: NFLX--230113P00302500</b>							
2023-01-09	17.0	2,846.17	2023-01-09	3,012.23	178.46 D	12.40	
2023-01-10	3.0	383.85	2023-01-10	396.99	0.00	-13.14	
<b>Security Totals:</b>		<b>3,230.02</b>		<b>3,409.22</b>		<b>-0.74</b>	
<b>PUT NFLX 01/13/23 307.50 NETFLIX INC   CUSIP: *8SDFXC4   Symbol: NFLX--230113P00307500</b>							
2023-01-09	1.0	213.94	2023-01-09	204.04	0.00	9.90	
2023-01-10	7.0	1,034.68	2023-01-10	1,022.45	6.17 D	18.40	
<b>Security Totals:</b>		<b>1,248.62</b>		<b>1,226.49</b>		<b>28.30</b>	
<b>PUT NFLX 01/13/23 312.50 NETFLIX INC   CUSIP: *8SDFXC6   Symbol: NFLX--230113P00312500</b>							
2023-01-11	15.0	2,271.28	2023-01-11	2,393.39	107.79 D	-14.32	
<b>PUT NFLX 01/13/23 317.50 NETFLIX INC   CUSIP: *8SDFXC8   Symbol: NFLX--230113P00317500</b>							
2023-01-11	8.0	1,603.60	2023-01-11	1,636.66	48.36 D	15.30	
2023-01-12	10.0	889.56	2023-01-12	874.37	0.00	15.19	
<b>Security Totals:</b>		<b>2,493.16</b>		<b>2,511.03</b>		<b>30.49</b>	
<b>PUT NVDA 01/06/23 136 NVIDIA CORPORATION   CUSIP: *8SDGBY8   Symbol: NVDA--230106P00136000</b>							
2023-01-03	20.0	2,617.10	2023-01-03	2,550.76	0.00	66.34	
<b>PUT NVDA 01/06/23 137 NVIDIA CORPORATION   CUSIP: *8SDGBZ0   Symbol: NVDA--230106P00137000</b>							
2023-01-03	27.0	3,850.80	2023-01-03	3,856.84	50.81 D	44.77	
<b>PUT NVDA 01/06/23 138 NVIDIA CORPORATION   CUSIP: *8SDGBZ2   Symbol: NVDA--230106P00138000</b>							
2023-01-03	10.0	1,909.53	2023-01-03	1,900.38	0.00	9.15	
<b>PUT NVDA 01/06/23 139 NVIDIA CORPORATION   CUSIP: *8SDGBZ4   Symbol: NVDA--230106P00139000</b>							
2023-01-03	10.0	2,023.55	2023-01-03	1,950.38	0.00	73.17	
2023-01-04	6.0	563.73	2023-01-04	582.22	0.00	-18.49	
<b>Security Totals:</b>		<b>2,587.28</b>		<b>2,532.60</b>		<b>54.68</b>	
<b>PUT NVDA 01/13/23 139 NVIDIA CORPORATION   CUSIP: *8SDGCB2   Symbol: NVDA--230113P00139000</b>							
2023-01-06	3.0	692.86	2023-01-06	702.11	0.00	-9.25	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 01/09/23	375	STANDARD & POORS DEPOSITORY   CUSIP: *8SDHWQ2   Symbol: SPY---230109P00375000					
2023-01-05	1.0	142.94	2023-01-05	139.04	0.00	3.90	
PUT SPY 01/09/23	379	STANDARD & POORS DEPOSITORY   CUSIP: *8SDHWV8   Symbol: SPY---230109P00379000					
2023-01-04	3.0	602.86	2023-01-04	594.11	0.00	8.75	
PUT SPY 01/09/23	382	STANDARD & POORS DEPOSITORY   CUSIP: *8SDHWW7   Symbol: SPY---230109P00382000					
2023-01-06	2.0	225.89	2023-01-06	216.08	0.00	9.81	
PUT SPY 01/10/23	373	STANDARD & POORS DEPOSITORY   CUSIP: *8SDHXW2   Symbol: SPY---230110P00373000					
2023-01-03	2.0	301.90	2023-01-03	298.08	0.00	3.82	
PUT SPY 01/10/23	375	STANDARD & POORS DEPOSITORY   CUSIP: *8SDHXW9   Symbol: SPY---230110P00375000					
2023-01-03	2.0	425.90	2023-01-03	422.08	0.00	3.82	
2023-01-05	1.0	183.94	2023-01-05	181.04	0.00	2.90	
<b>Security Totals:</b>		<b>609.84</b>		<b>603.12</b>		<b>6.72</b>	
PUT SPY 01/10/23	376	STANDARD & POORS DEPOSITORY   CUSIP: *8SDHXZ9   Symbol: SPY---230110P00376000					
2023-01-03	2.0	453.89	2023-01-03	450.08	0.00	3.81	
PUT SPY 01/10/23	377	STANDARD & POORS DEPOSITORY   CUSIP: *8SDHYF6   Symbol: SPY---230110P00377000					
2023-01-04	7.0	1,245.65	2023-01-04	1,231.26	0.00	14.39	
PUT SPY 01/10/23	378	STANDARD & POORS DEPOSITORY   CUSIP: *8SDHYH1   Symbol: SPY---230110P00378000					
2023-01-04	6.0	1,031.67	2023-01-04	1,011.49	0.27 D	20.45	
PUT SPY 01/10/23	387	STANDARD & POORS DEPOSITORY   CUSIP: *8SDHY8   Symbol: SPY---230110P00387000					
2023-01-10	6.0	517.73	2023-01-10	514.40	6.16 D	9.49	
CALL SPY 01/10/23	388	STANDARD & POORS DEPOSITORY   CUSIP: *8SDHY9   Symbol: SPY---230110C00388000					
2023-01-03	3.0	455.86	2023-01-03	447.11	0.00	8.75	
PUT SPY 01/10/23	388	STANDARD & POORS DEPOSITORY   CUSIP: *8SDHYZ0   Symbol: SPY---230110P00388000					
2023-01-10	5.0	509.78	2023-01-10	490.19	0.00	19.59	
PUT SPY 01/10/23	389	STANDARD & POORS DEPOSITORY   CUSIP: *8SDHYZ2   Symbol: SPY---230110P00389000					
2023-01-10	4.0	611.82	2023-01-10	568.15	0.00	43.67	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 02/03/23	416	STANDARD & POORS DEPOSITORY   CUSIP: *8SDJBD0   Symbol: SPY---230203C00416000					
2023-02-01	29.0	3,014.44	2023-02-01	3,139.43	0.00	-124.99	
PUT TSLA 01/06/23	98	TESLA INC   CUSIP: *8SDJSL0   Symbol: TSLA--230106P00098000					
2023-01-03	7.0	866.69	2023-01-03	859.26	0.00	7.43	
PUT TSLA 01/06/23	99	TESLA INC   CUSIP: *8SDJSL2   Symbol: TSLA--230106P00099000					
2023-01-03	18.0	2,016.20	2023-01-03	2,088.08	45.41 D	-26.47	
PUT TSLA 01/06/23	101	TESLA INC   CUSIP: *8SDJSL4   Symbol: TSLA--230106P00101000					
2023-01-03	13.0	1,874.42	2023-01-03	1,955.73	21.25 D	-60.06	
PUT TSLA 01/06/23	103	TESLA INC   CUSIP: *8SDJSL8   Symbol: TSLA--230106P00103000					
2023-01-04	6.0	698.74	2023-01-04	669.22	0.00	29.52	
PUT TSLA 01/06/23	104	TESLA INC   CUSIP: *8SDJSM0   Symbol: TSLA--230106P00104000					
2023-01-03	5.0	1,104.77	2023-01-03	1,060.19	0.00	44.58	
2023-01-04	3.0	410.87	2023-01-04	402.11	0.00	8.76	
<b>Security Totals:</b>		<b>1,515.64</b>		<b>1,462.30</b>		<b>53.34</b>	
PUT TSLA 01/06/23	106	TESLA INC   CUSIP: *8SDJSM2   Symbol: TSLA--230106P00106000					
2023-01-06	5.0	664.78	2023-01-06	635.19	0.00	29.59	
PUT TSLA 01/06/23	107	TESLA INC   CUSIP: *8SDJSM4   Symbol: TSLA--230106P00107000					
2023-01-04	10.0	1,179.56	2023-01-04	1,220.38	16.33 D	-24.49	
2023-01-06	4.0	695.82	2023-01-06	660.48	0.00	35.34	
<b>Security Totals:</b>		<b>1,875.38</b>		<b>1,880.86</b>		<b>10.85</b>	
PUT TSLA 01/13/23	111	TESLA INC   CUSIP: *8SDJSZ0   Symbol: TSLA--230113P00111000					
2023-01-10	2.0	275.90	2023-01-10	270.08	0.00	5.82	
PUT TSLA 01/13/23	113	TESLA INC   CUSIP: *8SDJTB9   Symbol: TSLA--230113P00113000					
2023-01-09	8.0	1,385.64	2023-01-09	1,368.30	0.00	17.34	
PUT TSLA 01/13/23	114	TESLA INC   CUSIP: *8SDJTC1   Symbol: TSLA--230113P00114000					
2023-01-09	15.0	2,714.32	2023-01-09	2,700.56	0.00	13.76	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>PUT TSLA 01/13/23 116 TESLA INC   CUSIP: *8SDJTC3   Symbol: TSLA--230113P00116000</b>							
2023-01-09	3.0	545.86	2023-01-09	543.11	0.00	2.75	
2023-01-13	2.0	271.90	2023-01-13	244.08	0.00	27.82	
<b>Security Totals:</b>		<b>817.76</b>		<b>787.19</b>		<b>30.57</b>	
<b>PUT TSLA 01/13/23 117 TESLA INC   CUSIP: *8SDJTC5   Symbol: TSLA--230113P00117000</b>							
2023-01-13	2.0	375.90	2023-01-13	298.08	0.00	77.82	
<b>PUT TSLA 01/13/23 118 TESLA INC   CUSIP: *8SDJTD0   Symbol: TSLA--230113P00118000</b>							
2023-01-11	4.0	407.83	2023-01-11	384.15	0.00	23.68	
2023-01-12	17.0	1,152.27	2023-01-12	1,237.13	48.49 D	-36.37	
<b>Security Totals:</b>		<b>1,560.10</b>		<b>1,621.28</b>		<b>-12.69</b>	
<b>PUT TSLA 01/13/23 119 TESLA INC   CUSIP: *8SDJTF3   Symbol: TSLA--230113P00119000</b>							
2023-01-11	4.0	495.81	2023-01-11	476.15	0.00	19.66	
2023-01-12	18.0	1,355.23	2023-01-12	1,429.18	62.81 D	-11.14	
2023-01-13	2.0	264.89	2023-01-13	266.40	0.00	-1.51	
<b>Security Totals:</b>		<b>2,115.93</b>		<b>2,171.73</b>		<b>7.01</b>	
<b>PUT TSLA 01/13/23 121 TESLA INC   CUSIP: *8SDJTF7   Symbol: TSLA--230113P00121000</b>							
2023-01-11	3.0	521.86	2023-01-11	543.11	21.25 D	0.00	
2023-01-12	9.0	823.61	2023-01-12	882.16	37.57 D	-20.98	
<b>Security Totals:</b>		<b>1,345.47</b>		<b>1,425.27</b>		<b>-20.98</b>	
<b>PUT TSLA 01/13/23 122 TESLA INC   CUSIP: *8SDJTF9   Symbol: TSLA--230113P00122000</b>							
2023-01-12	3.0	365.85	2023-01-12	360.11	0.00	5.74	
<b>CALL TSLA 01/13/23 123 TESLA INC   CUSIP: *8SDJTG0   Symbol: TSLA--230113C00123000</b>							
2023-01-10	4.0	527.81	2023-01-10	512.15	0.00	15.66	
<b>CALL TSLA 01/13/23 124 TESLA INC   CUSIP: *8SDJTG2   Symbol: TSLA--230113C00124000</b>							
2023-01-10	9.0	1,178.60	2023-01-10	1,248.65	36.32 D	-33.73	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 01/13/23	126	TESLA INC   CUSIP: *8SDJTG4   Symbol: TSLA--230113C00126000					
2023-01-10	6.0	821.74	2023-01-10	786.23	0.00	35.51	
CALL TSLA 01/13/23	127	TESLA INC   CUSIP: *8SDJTG6   Symbol: TSLA--230113C00127000					
2023-01-09	7.0	1,513.67	2023-01-09	1,461.27	0.00	52.40	
CALL TSLA 01/13/23	128	TESLA INC   CUSIP: *8SDJTG8   Symbol: TSLA--230113C00128000					
2023-01-11	2.0	205.90	2023-01-11	211.08	0.00	-5.18	
CALL TSLA 01/13/23	131	TESLA INC   CUSIP: *8SDJTH2   Symbol: TSLA--230113C00131000					
2023-01-11	4.0	399.83	2023-01-11	388.15	0.00	11.68	
PUT TSLA 01/20/23	117	TESLA INC   CUSIP: *8SDJVN7   Symbol: TSLA--230120P00117000					
2023-01-13	1.0	282.94	2023-01-13	280.04	0.00	2.90	
PUT TSLA 01/20/23	124	TESLA INC   CUSIP: *8SDJVS3   Symbol: TSLA--230120P00124000					
2023-01-17	4.0	599.82	2023-01-17	560.15	0.00	39.67	
CALL TSLA 01/20/23	138	TESLA INC   CUSIP: *8SDJVT1   Symbol: TSLA--230120C00138000					
2023-01-18	3.0	338.84	2023-01-18	330.11	0.00	8.73	
PUT BABA 01/13/23	104	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SDLLP8   Symbol: BABA--230113P00104000					
2023-01-09	1.0	102.94	2023-01-09	93.04	0.00	9.90	
PUT BABA 01/13/23	106	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SDLLT4   Symbol: BABA--230113P00106000					
2023-01-09	5.0	649.77	2023-01-09	620.19	0.00	29.58	
PUT BABA 01/13/23	107	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SDLLT6   Symbol: BABA--230113P00107000					
2023-01-09	18.0	2,102.20	2023-01-09	2,157.10	38.41 D	-16.49	
PUT BABA 01/13/23	108	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SDLLT8   Symbol: BABA--230113P00108000					
2023-01-09	20.0	2,896.02	2023-01-09	2,899.71	35.95 D	32.26	
PUT BABA 01/13/23	109	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SDLLV0   Symbol: BABA--230113P00109000					
2023-01-09	4.0	695.82	2023-01-09	672.15	0.00	23.67	
PUT BABA 01/13/23	111	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SDLLV2   Symbol: BABA--230113P00111000					
2023-01-10	6.0	824.74	2023-01-10	810.22	0.00	14.52	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL BABA 01/13/23	112	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SDLLV3   Symbol: BABA--230113C00112000					
2023-01-09	10.0	1,979.53	2023-01-09	2,030.39	0.00	-50.86	
PUT BABA 01/13/23	112	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SDLLV4   Symbol: BABA--230113P00112000					
2023-01-10	1.0	169.94	2023-01-10	167.04	0.00	2.90	
CALL BABA 01/13/23	113	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SDLLV5   Symbol: BABA--230113C00113000					
2023-01-09	10.0	1,449.56	2023-01-09	1,390.38	0.00	59.18	
PUT BABA 01/13/23	113	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SDLLW4   Symbol: BABA--230113P00113000					
2023-01-10	1.0	212.94	2023-01-10	211.04	0.00	1.90	
PUT COIN 01/13/23	40.50	COINBASE GLOBAL INC   CUSIP: *8SDMBK4   Symbol: COIN--230113P00040500					
2023-01-10	5.0	944.77	2023-01-10	830.19	0.00	114.58	
2023-01-11	3.0	404.87	2023-01-11	363.12	0.00	41.75	
<b>Security Totals:</b>		<b>1,349.64</b>		<b>1,193.31</b>		<b>156.33</b>	
CALL COIN 01/13/23	41.50	COINBASE GLOBAL INC   CUSIP: *8SDMBK5   Symbol: COIN--230113C00041500					
2023-01-10	9.0	1,025.59	2023-01-10	1,114.34	0.00	-88.75	
CALL COIN 01/13/23	45.50	COINBASE GLOBAL INC   CUSIP: *8SDMBL3   Symbol: COIN--230113C00045500					
2023-01-12	15.0	1,804.32	2023-01-12	1,956.85	121.27 D	-31.26	
PUT COIN 01/13/23	45.50	COINBASE GLOBAL INC   CUSIP: *8SDMBL4   Symbol: COIN--230113P00045500					
2023-01-12	7.0	767.67	2023-01-12	778.50	12.24 D	1.41	
PUT FUTU 01/13/23	46.50	FUTU HOLDINGS LTD ADS   CUSIP: *8SDMYK2   Symbol: FUTU--230113P00046500					
2023-01-10	4.0	619.82	2023-01-10	620.15	0.00	-0.33	
PUT FUTU 01/13/23	48.50	FUTU HOLDINGS LTD ADS   CUSIP: *8SDMYK8   Symbol: FUTU--230113P00048500					
2023-01-11	9.0	980.61	2023-01-11	1,008.33	0.00	-27.72	
PUT NFLX 01/13/23	322.50	NETFLIX INC   CUSIP: *8SDNRK0   Symbol: NFLX--230113P00322500					
2023-01-12	19.0	2,635.15	2023-01-12	2,593.05	40.33 D	82.43	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>CALL NFLX 01/13/23 332.50 NETFLIX INC   CUSIP: *8SDNRL6   Symbol: NFLX--230113C00332500</b>							
2023-01-12	16.0	2,512.28	2023-01-12	2,312.60	0.00	199.68	
2023-01-13	2.0	351.90	2023-01-13	335.08	0.00	16.82	
<b>Security Totals:</b>		<b>2,864.18</b>		<b>2,647.68</b>		<b>216.50</b>	
<b>CALL NFLX 01/13/23 337.50 NETFLIX INC   CUSIP: *8SDNRM0   Symbol: NFLX--230113C00337500</b>							
2023-01-11	6.0	1,190.72	2023-01-11	1,188.47	0.25 D	2.50	
2023-01-12	46.0	3,310.06	2023-01-12	3,459.76	0.00	-149.70	
<b>Security Totals:</b>		<b>4,500.78</b>		<b>4,648.23</b>		<b>-147.20</b>	
<b>CALL SPY 01/12/23 395 STANDARD &amp; POORS DEPOSITORY   CUSIP: *8SFBZK7   Symbol: SPY---230112C00395000</b>							
2023-01-12	3.0	440.86	2023-01-12	379.12	0.00	61.74	
<b>PUT SPY 01/12/23 396 STANDARD &amp; POORS DEPOSITORY   CUSIP: *8SFBZL0   Symbol: SPY---230112P00396000</b>							
2023-01-12	6.0	833.74	2023-01-12	804.23	0.00	29.51	
<b>PUT SPY 01/12/23 398 STANDARD &amp; POORS DEPOSITORY   CUSIP: *8SFBZL4   Symbol: SPY---230112P00398000</b>							
2023-01-12	6.0	407.75	2023-01-12	396.23	0.00	11.52	
<b>CALL COIN 01/13/23 48.50 COINBASE GLOBAL INC   CUSIP: *8SFDLG9   Symbol: COIN--230113C00048500</b>							
2023-01-13	5.0	524.75	2023-01-13	535.19	0.00	-10.44	
<b>CALL COIN 01/13/23 49.50 COINBASE GLOBAL INC   CUSIP: *8SFDLH1   Symbol: COIN--230113C00049500</b>							
2023-01-13	25.0	1,173.96	2023-01-13	1,125.95	0.00	48.01	
<b>PUT COIN 01/13/23 49.50 COINBASE GLOBAL INC   CUSIP: *8SFDLH2   Symbol: COIN--230113P00049500</b>							
2023-01-13	15.0	1,451.32	2023-01-13	1,406.00	5.42 D	50.74	
<b>PUT SPY 01/23/23 386 STANDARD &amp; POORS DEPOSITORY   CUSIP: *8SFGHQ2   Symbol: SPY---230123P00386000</b>							
2023-01-19	6.0	590.74	2023-01-19	612.46	15.24 D	-6.48	
<b>CALL SPY 01/23/23 393 STANDARD &amp; POORS DEPOSITORY   CUSIP: *8SFGHR6   Symbol: SPY---230123C00393000</b>							
2023-01-19	4.0	323.83	2023-01-19	320.15	0.00	3.68	
<b>CALL SPY 01/23/23 396 STANDARD &amp; POORS DEPOSITORY   CUSIP: *8SFGHT0   Symbol: SPY---230123C00396000</b>							
2023-01-20	7.0	811.69	2023-01-20	811.26	0.00	0.43	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 01/23/23	398	STANDARD & POORS DEPOSITORY   CUSIP: *8SFGHV4   Symbol: SPY---230123P00398000					
2023-01-23	6.0	665.74	2023-01-23	647.23	0.00	18.51	
CALL SPY 01/23/23	399	STANDARD & POORS DEPOSITORY   CUSIP: *8SFGHV5   Symbol: SPY---230123C00399000					
2023-01-23	6.0	572.74	2023-01-23	573.22	0.00	-0.48	
PUT SPY 01/23/23	400	STANDARD & POORS DEPOSITORY   CUSIP: *8SFGHW2   Symbol: SPY---230123P00400000					
2023-01-23	3.0	224.87	2023-01-23	213.11	0.00	11.76	
PUT SPY 01/23/23	401	STANDARD & POORS DEPOSITORY   CUSIP: *8SFGHW4   Symbol: SPY---230123P00401000					
2023-01-23	6.0	530.74	2023-01-23	513.22	0.00	17.52	
PUT SPY 01/24/23	392	STANDARD & POORS DEPOSITORY   CUSIP: *8SFGJD3   Symbol: SPY---230124P00392000					
2023-01-17	3.0	368.87	2023-01-17	375.11	0.00	-6.24	
CALL SPY 01/24/23	398	STANDARD & POORS DEPOSITORY   CUSIP: *8SFGJH7   Symbol: SPY---230124C00398000					
2023-01-24	5.0	884.77	2023-01-24	785.19	0.00	99.58	
CALL SPY 01/24/23	400	STANDARD & POORS DEPOSITORY   CUSIP: *8SFGJJ2   Symbol: SPY---230124C00400000					
2023-01-24	1.0	80.94	2023-01-24	78.04	0.00	2.90	
CALL SPY 01/24/23	401	STANDARD & POORS DEPOSITORY   CUSIP: *8SFGJJ4   Symbol: SPY---230124C00401000					
2023-01-23	8.0	787.66	2023-01-23	876.62	68.32 D	-20.64	
PUT COIN 01/20/23	46.50	COINBASE GLOBAL INC   CUSIP: *8SFGYP3   Symbol: COIN--230120P00046500					
2023-01-13	3.0	566.86	2023-01-13	561.11	0.00	5.75	
PUT COIN 01/20/23	47.50	COINBASE GLOBAL INC   CUSIP: *8SFGYQ7   Symbol: COIN--230120P00047500					
2023-01-13	2.0	389.90	2023-01-13	384.08	0.00	5.82	
PUT COIN 01/20/23	48.50	COINBASE GLOBAL INC   CUSIP: *8SFGYR1   Symbol: COIN--230120P00048500					
2023-01-13	7.0	1,570.67	2023-01-13	1,522.26	0.00	48.41	
2023-01-19	10.0	869.58	2023-01-19	830.38	0.00	39.20	
<b>Security Totals:</b>		<b>2,440.25</b>		<b>2,352.64</b>		<b>87.61</b>	
CALL COIN 01/20/23	49.50	COINBASE GLOBAL INC   CUSIP: *8SFGYR4   Symbol: COIN--230120C00049500					
2023-01-19	3.0	368.87	2023-01-19	360.11	0.00	8.76	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
<b>PUT COIN 01/20/23 49.50 COINBASE GLOBAL INC   CUSIP: *8SFGYR5   Symbol: COIN--230120P00049500</b>							
2023-01-17	8.0	933.65	2023-01-17	930.54	10.32 D	13.43	
2023-01-18	3.0	248.87	2023-01-18	244.19	0.00	4.68	
<b>Security Totals:</b>		<b>1,182.52</b>		<b>1,174.73</b>		<b>18.11</b>	
<b>CALL COIN 01/20/23 51 COINBASE GLOBAL INC   CUSIP: *8SFGYR6   Symbol: COIN--230120C00051000</b>							
2023-01-13	5.0	904.76	2023-01-13	865.19	0.00	39.57	
2023-01-19	6.0	587.72	2023-01-19	564.48	6.26 D	29.50	
<b>Security Totals:</b>		<b>1,492.48</b>		<b>1,429.67</b>		<b>69.07</b>	
<b>PUT COIN 01/20/23 52 COINBASE GLOBAL INC   CUSIP: *8SFGYR9   Symbol: COIN--230120P00052000</b>							
2023-01-20	14.0	1,035.41	2023-01-20	991.53	0.00	43.88	
<b>CALL COIN 01/20/23 53 COINBASE GLOBAL INC   CUSIP: *8SFGYS0   Symbol: COIN--230120C00053000</b>							
2023-01-13	20.0	2,699.11	2023-01-13	2,805.76	0.00	-106.65	
<b>CALL COIN 01/20/23 56 COINBASE GLOBAL INC   CUSIP: *8SFGYS5   Symbol: COIN--230120C00056000</b>							
2023-01-18	26.0	2,860.88	2023-01-18	3,024.00	66.99 D	-96.13	
<b>CALL COIN 01/20/23 57 COINBASE GLOBAL INC   CUSIP: *8SFGYS8   Symbol: COIN--230120C00057000</b>							
2023-01-18	30.0	2,832.69	2023-01-18	3,493.17	534.00 D	-126.48	
<b>CALL COIN 01/20/23 58 COINBASE GLOBAL INC   CUSIP: *8SFGYT7   Symbol: COIN--230120C00058000</b>							
2023-01-18	52.0	4,313.74	2023-01-18	4,393.40	214.41 D	134.75	
<b>PUT COIN 01/27/23 48.50 COINBASE GLOBAL INC   CUSIP: *8SFGZD3   Symbol: COIN--230127P00048500</b>							
2023-01-20	3.0	416.84	2023-01-20	426.12	0.00	-9.28	
<b>PUT COIN 01/27/23 49.50 COINBASE GLOBAL INC   CUSIP: *8SFGZD5   Symbol: COIN--230127P00049500</b>							
2023-01-25	3.0	371.87	2023-01-25	369.12	0.00	2.75	
<b>PUT COIN 01/27/23 54 COINBASE GLOBAL INC   CUSIP: *8SFGZD9   Symbol: COIN--230127P00054000</b>							
2023-01-27	10.0	439.58	2023-01-27	417.38	0.00	22.20	
<b>PUT COIN 01/27/23 56 COINBASE GLOBAL INC   CUSIP: *8SFGZF1   Symbol: COIN--230127P00056000</b>							
2023-01-27	8.0	1,087.64	2023-01-27	992.31	0.00	95.33	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL COIN 01/27/23	57 COINBASE GLOBAL INC	CUSIP: *8SFGZF2   Symbol: COIN--230127C00057000					
2023-01-24	4.0	449.81	2023-01-24	447.16	0.00	2.65	
PUT COIN 01/27/23	58 COINBASE GLOBAL INC	CUSIP: *8SFGZF5   Symbol: COIN--230127P00058000					
2023-01-27	6.0	725.74	2023-01-27	672.23	0.00	53.51	
PUT COIN 02/03/23	56 COINBASE GLOBAL INC	CUSIP: *8SFGZK5   Symbol: COIN--230203P00056000					
2023-01-31	3.0	380.85	2023-01-31	381.12	0.00	-0.27	
PUT COIN 02/03/23	58 COINBASE GLOBAL INC	CUSIP: *8SFGZK9   Symbol: COIN--230203P00058000					
2023-02-01	10.0	639.47	2023-02-01	630.49	0.00	8.98	
PUT COIN 02/03/23	59 COINBASE GLOBAL INC	CUSIP: *8SFGZL1   Symbol: COIN--230203P00059000					
2023-02-01	35.0	2,976.11	2023-02-01	3,143.96	157.24 D	-10.61	
PUT COIN 02/03/23	75 COINBASE GLOBAL INC	CUSIP: *8SFGZL3   Symbol: COIN--230203P00075000					
2023-02-02	13.0	1,401.29	2023-02-02	1,332.65	4.41 D	73.05	
2023-02-03	8.0	999.52	2023-02-03	924.81	0.00	74.71	
<b>Security Totals:</b>		<b>2,400.81</b>		<b>2,257.46</b>		<b>147.76</b>	
CALL COIN 02/03/23	85 COINBASE GLOBAL INC	CUSIP: *8SFGZL6   Symbol: COIN--230203C00085000					
2023-02-02	12.0	1,383.30	2023-02-02	1,320.60	0.00	62.70	
PUT COIN 02/10/23	59 COINBASE GLOBAL INC	CUSIP: *8SFGZN6   Symbol: COIN--230210P00059000					
2023-02-09	3.0	197.83	2023-02-09	189.15	0.00	8.68	
CALL COIN 02/10/23	75 COINBASE GLOBAL INC	CUSIP: *8SFGZN7   Symbol: COIN--230210C00075000					
2023-02-07	10.0	1,209.46	2023-02-07	1,140.49	0.00	68.97	
2023-02-08	13.0	1,650.24	2023-02-08	1,729.25	75.60 D	-3.41	
<b>Security Totals:</b>		<b>2,859.70</b>		<b>2,869.74</b>		<b>65.56</b>	
CALL COIN 02/10/23	80 COINBASE GLOBAL INC	CUSIP: *8SFGZN9   Symbol: COIN--230210C00080000					
2023-02-07	10.0	849.47	2023-02-07	800.49	0.00	48.98	
PUT NFLX 01/20/23	342.50 NETFLIX INC	CUSIP: *8SFHVT1   Symbol: NFLX--230120P00342500					
2023-01-20	1.0	227.94	2023-01-20	220.04	0.00	7.90	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL SPY 01/25/23	393	STANDARD & POORS DEPOSITORY   CUSIP: *8SFKKW9   Symbol: SPY---230125C00393000					
2023-01-19	3.0	431.87	2023-01-19	426.11	0.00	5.76	
PUT SPY 01/25/23	395	STANDARD & POORS DEPOSITORY   CUSIP: *8SFKLD2   Symbol: SPY---230125P00395000					
2023-01-25	4.0	371.83	2023-01-25	372.15	0.00	-0.32	
CALL SPY 01/25/23	396	STANDARD & POORS DEPOSITORY   CUSIP: *8SFKLD3   Symbol: SPY---230125C00396000					
2023-01-18	5.0	844.78	2023-01-18	837.19	0.00	7.59	
PUT SPY 01/25/23	397	STANDARD & POORS DEPOSITORY   CUSIP: *8SFKLD7   Symbol: SPY---230125P00397000					
2023-01-24	3.0	122.87	2023-01-24	186.11	0.00	-63.24	
CALL W 01/20/23	48	WAYFAIR INC   CUSIP: *8SFMMK5   Symbol: W----230120C00048000					
2023-01-20	5.0	454.78	2023-01-20	420.19	0.00	34.59	
PUT BIDU 02/10/23	149	BAIDU INC   CUSIP: *8SFPKR7   Symbol: BIDU--230210P00149000					
2023-02-07	2.0	309.88	2023-02-07	288.10	0.00	21.78	
CALL BBY 02/10/23	4	BED BATH & BEYOND INC   CUSIP: *8SFVFT1   Symbol: BBY--230210C00004000					
2023-02-06	4.0	331.76	2023-02-06	324.20	0.00	7.56	
PUT BBY 02/10/23	4	BED BATH & BEYOND INC   CUSIP: *8SFVFT4   Symbol: BBY--230210P00004000					
2023-02-06	8.0	547.55	2023-02-06	520.40	0.00	27.15	
CALL BBY 02/10/23	4.50	BED BATH & BEYOND INC   CUSIP: *8SFVFT5   Symbol: BBY--230210C00004500					
2023-02-06	8.0	531.53	2023-02-06	536.84	4.44 D	-0.87	
PUT BBY 02/10/23	4.50	BED BATH & BEYOND INC   CUSIP: *8SFVFO   Symbol: BBY--230210P00004500					
2023-02-06	23.0	2,169.70	2023-02-06	2,102.57	8.42 D	75.55	
PUT BBY 02/10/23	5	BED BATH & BEYOND INC   CUSIP: *8SFV2   Symbol: BBY--230210P00005000					
2023-02-06	8.0	891.52	2023-02-06	861.40	0.00	30.12	
PUT FUTU 01/13/23	46	FUTU HOLDINGS LTD ADS   CUSIP: *8SFZLG1   Symbol: FUTU--230113P00046000					
2023-01-10	4.0	543.82	2023-01-10	496.16	0.00	47.66	
PUT FUTU 01/13/23	48	FUTU HOLDINGS LTD ADS   CUSIP: *8SFZLG8   Symbol: FUTU--230113P00048000					
2023-01-11	4.0	355.83	2023-01-11	340.16	0.00	15.67	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

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Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT AAPL 02/03/23	155	APPLE INC   CUSIP: *8SFZTG5   Symbol: AAPL--230203P00155000					
2023-02-03	16.0	1,575.11	2023-02-03	1,433.12	0.32 D	142.31	
PUT AAPL 02/03/23	157.50	APPLE INC   CUSIP: *8SFZTQ6   Symbol: AAPL--230203P00157500					
2023-02-03	4.0	591.76	2023-02-03	560.20	0.00	31.56	
PUT COIN 03/24/23	66	COINBASE GLOBAL INC   CUSIP: *8SFZWC8   Symbol: COIN--230324P00066000					
2023-03-24	1.0	80.93	2023-03-24	64.05	0.00	16.88	
CALL AMZN 02/03/23	105	AMAZON.COM INC   CUSIP: *8SGBDP8   Symbol: AMZN--230203C00105000					
2023-01-30	5.0	1,034.76	2023-01-30	1,020.19	0.00	14.57	
PUT AMZN 02/03/23	107	AMAZON.COM INC   CUSIP: *8SGBDT9   Symbol: AMZN--230203P00107000					
2023-02-03	4.0	307.78	2023-02-03	284.20	0.00	23.58	
CALL BABA 02/03/23	110	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SGBML4   Symbol: BABA--230203C00110000					
2023-02-02	20.0	1,909.89	2023-02-02	1,756.99	0.00	152.90	
CALL BABA 02/03/23	115	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SGBML7   Symbol: BABA--230203C00115000					
2023-01-30	10.0	1,509.54	2023-01-30	1,375.38	0.00	134.16	
PUT BABA 02/03/23	115	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8SGBML8   Symbol: BABA--230203P00115000					
2023-01-27	3.0	473.86	2023-01-27	471.12	0.00	2.74	
CALL BYND 02/03/23	20	BEYOND MEAT INC   CUSIP: *8SGBYR6   Symbol: BYND--230203C00020000					
2023-01-27	4.0	455.82	2023-01-27	444.16	0.00	11.66	
PUT COIN 02/03/23	55	COINBASE GLOBAL INC   CUSIP: *8SGCJW3   Symbol: COIN--230203P00055000					
2023-01-31	7.0	780.67	2023-01-31	766.27	0.00	14.40	
CALL COIN 02/03/23	60	COINBASE GLOBAL INC   CUSIP: *8SGCJW4   Symbol: COIN--230203C00060000					
2023-01-30	5.0	599.78	2023-01-30	580.19	0.00	19.59	
PUT COIN 02/03/23	60	COINBASE GLOBAL INC   CUSIP: *8SGCJW6   Symbol: COIN--230203P00060000					
2023-02-01	10.0	1,129.46	2023-02-01	1,040.49	0.00	88.97	
PUT COIN 02/03/23	70	COINBASE GLOBAL INC   CUSIP: *8SGCJX9   Symbol: COIN--230203P00070000					
2023-02-02	10.0	749.47	2023-02-02	690.49	0.00	58.98	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT GOOG 02/03/23	105	ALPHABET INC CLASS C   CUSIP: *8SGDLL9   Symbol: GOOG--230203P00105000	2023-02-03	138.81	123.15	0.00	15.66
PUT GOOG 02/03/23	106	ALPHABET INC CLASS C   CUSIP: *8SGDLM3   Symbol: GOOG--230203P00106000	2023-02-03	497.66	486.30	0.00	11.36
PUT LCID 02/03/23	12.50	LUCID GROUP INC   CUSIP: *8SGFDQ0   Symbol: LCID--230203P00012500	2023-01-27	511.80	636.15	0.00	-124.35
PUT LCID 02/03/23	14	LUCID GROUP INC   CUSIP: *8SGFDQ4   Symbol: LCID--230203P00014000	2023-01-27	657.83	645.11	0.00	12.72
CALL META 02/03/23	165	META PLATFORMS INC CL A   CUSIP: *8SGFQX7   Symbol: META--230203C00165000	2023-01-30	2,641.36	2,805.79	58.26 D	-106.17
CALL META 02/03/23	170	META PLATFORMS INC CL A   CUSIP: *8SGFRB0   Symbol: META--230203C00170000	2023-01-30	459.79	456.15	0.00	3.64
PUT META 02/03/23	180	META PLATFORMS INC CL A   CUSIP: *8SGFRB8   Symbol: META--230203P00180000	2023-02-02	4,423.78	4,707.34	265.36 D	-18.20
PUT META 02/03/23	185	META PLATFORMS INC CL A   CUSIP: *8SGFRC0   Symbol: META--230203P00185000	2023-02-02	1,175.48	1,136.45	0.00	39.03
CALL META 02/03/23	190	META PLATFORMS INC CL A   CUSIP: *8SGFRC1   Symbol: META--230203C00190000	2023-02-03	251.88	238.10	0.00	13.78
PUT META 02/03/23	190	META PLATFORMS INC CL A   CUSIP: *8SGFRC2   Symbol: META--230203P00190000	2023-02-02	536.81	516.15	0.00	20.66
			2023-02-03	2,629.71	2,567.62	66.47 D	128.56
<b>Security Totals:</b>		<b>3,166.52</b>		<b>3,083.77</b>			<b>149.22</b>
CALL META 02/03/23	195	META PLATFORMS INC CL A   CUSIP: *8SGFRC4   Symbol: META--230203C00195000	2023-02-02	1,428.22	1,539.23	146.59 D	35.58
			2023-02-03	534.61	693.69	20.33 D	-138.75
<b>Security Totals:</b>		<b>1,962.83</b>		<b>2,232.92</b>			<b>-103.17</b>

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL META 02/03/23	200 META PLATFORMS INC CL A   CUSIP: *8SGFRD2   Symbol: META--230203C00200000						
2023-02-02	35.0	3,789.02	2023-02-02	4,147.88	326.14 D	-32.72	
PUT NFLX 02/03/23	375 NETFLIX INC   CUSIP: *8SGFYV0   Symbol: NFLX--230203P00375000						
2023-02-03	11.0	1,800.34	2023-02-03	1,729.55	0.00	70.79	
CALL NFLX 02/03/23	380 NETFLIX INC   CUSIP: *8SGFYV2   Symbol: NFLX--230203C00380000						
2023-02-03	3.0	233.83	2023-02-03	243.15	0.00	-9.32	
CALL NVDA 02/03/23	205 NVIDIA CORPORATION   CUSIP: *8SGGFF1   Symbol: NVDA--230203C00205000						
2023-01-31	3.0	455.86	2023-01-31	450.11	0.00	5.75	
PUT NVDA 02/03/23	205 NVIDIA CORPORATION   CUSIP: *8SGGFF2   Symbol: NVDA--230203P00205000						
2023-02-02	9.0	917.51	2023-02-02	907.45	0.00	10.06	
CALL NVDA 02/03/23	210 NVIDIA CORPORATION   CUSIP: *8SGGFF3   Symbol: NVDA--230203C00210000						
2023-01-30	9.0	1,436.55	2023-01-30	1,398.33	0.00	38.22	
2023-02-01	5.0	729.72	2023-02-01	726.25	0.00	3.47	
<b>Security Totals:</b>		<b>2,166.27</b>		<b>2,124.58</b>		<b>41.69</b>	
PUT NVDA 02/03/23	210 NVIDIA CORPORATION   CUSIP: *8SGGFF4   Symbol: NVDA--230203P00210000						
2023-02-02	17.0	2,278.05	2023-02-02	2,385.28	68.43 D	-38.80	
PUT TSLA 01/06/23	112 TESLA INC   CUSIP: *8SGJBH1   Symbol: TSLA--230106P00112000						
2023-01-06	4.0	363.81	2023-01-06	353.26	1.10 D	11.65	
CALL TSLA 01/06/23	113 TESLA INC   CUSIP: *8SGJBH2   Symbol: TSLA--230106C00113000						
2023-01-03	4.0	607.82	2023-01-03	596.15	0.00	11.67	
PUT TSLA 01/06/23	113 TESLA INC   CUSIP: *8SGJBH3   Symbol: TSLA--230106P00113000						
2023-01-06	2.0	269.89	2023-01-06	257.08	0.00	12.81	
CALL TSLA 01/06/23	114 TESLA INC   CUSIP: *8SGJBH4   Symbol: TSLA--230106C00114000						
2023-01-03	5.0	789.77	2023-01-03	720.19	0.00	69.58	
CALL TSLA 01/06/23	116 TESLA INC   CUSIP: *8SGJBH8   Symbol: TSLA--230106C00116000						
2023-01-03	14.0	1,853.39	2023-01-03	1,801.94	10.41 D	61.86	

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## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL TSLA 01/06/23	117	TESLA INC   CUSIP: *8SGJBJ4   Symbol: TSLA--230106C00117000					
2023-01-03	14.0	1,595.37	2023-01-03	1,530.54	0.00	64.83	
2023-01-04	6.0	671.71	2023-01-04	645.23	0.00	26.48	
<b>Security Totals:</b>		<b>2,267.08</b>		<b>2,175.77</b>		<b>91.31</b>	
CALL TSLA 01/06/23	119	TESLA INC   CUSIP: *8SGJBM7   Symbol: TSLA--230106C00119000					
2023-01-04	10.0	1,139.56	2023-01-04	1,120.38	0.00	19.18	
CALL TSLA 01/06/23	122	TESLA INC   CUSIP: *8SGJBN5   Symbol: TSLA--230106C00122000					
2023-01-03	4.0	787.79	2023-01-03	742.35	0.19 D	45.63	
CALL TSLA 01/06/23	123	TESLA INC   CUSIP: *8SGJBN7   Symbol: TSLA--230106C00123000					
2023-01-03	2.0	337.90	2023-01-03	318.08	0.00	19.82	
PUT GOOGL 02/10/23	94	ALPHABET INC CLASS A   CUSIP: *8SGMGL5   Symbol: GOOGL-230210P00094000					
2023-02-09	3.0	248.83	2023-02-09	246.15	0.00	2.68	
CALL GOOGL 02/10/23	95	ALPHABET INC CLASS A   CUSIP: *8SGMGL7   Symbol: GOOGL-230210C00095000					
2023-02-09	20.0	2,205.84	2023-02-09	2,222.18	41.18 D	24.84	
CALL GOOGL 02/10/23	96	ALPHABET INC CLASS A   CUSIP: *8SGMGM1   Symbol: GOOGL-230210C00096000					
2023-02-09	20.0	1,486.88	2023-02-09	1,578.88	92.88 D	0.88	
CALL GOOGL 02/10/23	97	ALPHABET INC CLASS A   CUSIP: *8SGMGN5   Symbol: GOOGL-230210C00097000					
2023-02-09	32.0	1,869.27	2023-02-09	1,793.63	11.03 D	86.67	
PUT GOOGL 02/10/23	97	ALPHABET INC CLASS A   CUSIP: *8SGMGN6   Symbol: GOOGL-230210P00097000					
2023-02-08	12.0	919.34	2023-02-08	925.25	12.65 D	6.74	
CALL GOOGL 02/10/23	98	ALPHABET INC CLASS A   CUSIP: *8SGMGN7   Symbol: GOOGL-230210C00098000					
2023-02-09	4.0	327.78	2023-02-09	340.20	0.00	-12.42	
PUT GOOGL 02/10/23	98	ALPHABET INC CLASS A   CUSIP: *8SGMGT4   Symbol: GOOGL-230210P00098000					
2023-02-08	15.0	1,529.15	2023-02-08	1,587.17	44.42 D	-13.60	
CALL LYFT 02/10/23	10	LYFT INC CLASS A   CUSIP: *8SGTCD0   Symbol: LYFT--230210C00010000					
2023-02-10	5.0	384.73	2023-02-10	360.25	0.00	24.48	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL LYFT 02/10/23	10.50	LYFT INC CLASS A   CUSIP: *8SGTCD4   Symbol: LYFT--230210C00010500					
2023-02-10	14.0	629.26	2023-02-10	718.70	0.00	-89.44	
CALL META 02/17/23	187.50	META PLATFORMS INC CL A   CUSIP: *8SGTXZ5   Symbol: META--230217C00187500					
2023-02-13	6.0	713.66	2023-02-13	690.30	0.00	23.36	
CALL MSFT 02/10/23	265	MICROSOFT CORP   CUSIP: *8SGWGM0   Symbol: MSFT--230210C00265000					
2023-02-09	6.0	773.68	2023-02-09	753.30	0.00	20.38	
CALL MSFT 02/10/23	270	MICROSOFT CORP   CUSIP: *8SGWGM2   Symbol: MSFT--230210C00270000					
2023-02-09	2.0	367.88	2023-02-09	344.10	0.00	23.78	
PUT MSFT 02/10/23	270	MICROSOFT CORP   CUSIP: *8SGWGM3   Symbol: MSFT--230210P00270000					
2023-02-08	5.0	714.69	2023-02-08	671.25	0.00	43.44	
CALL MSFT 02/10/23	275	MICROSOFT CORP   CUSIP: *8SGWGM4   Symbol: MSFT--230210C00275000					
2023-02-08	10.0	1,473.36	2023-02-08	1,488.83	28.33 D	12.86	
CALL COIN 02/03/23	81	COINBASE GLOBAL INC   CUSIP: *8SHMBD5   Symbol: COIN--230203C00081000					
2023-02-03	4.0	591.77	2023-02-03	594.20	0.00	-2.43	
CALL COIN 02/03/23	82	COINBASE GLOBAL INC   CUSIP: *8SHMBD7   Symbol: COIN--230203C00082000					
2023-02-03	2.0	261.87	2023-02-03	266.10	0.00	-4.23	
CALL COIN 03/24/23	93	COINBASE GLOBAL INC   CUSIP: *8SHMDZ1   Symbol: COIN--230324C00093000					
2023-03-20	1.0	54.93	2023-03-20	54.05	0.00	0.88	
PUT SPY 01/11/23	377	STANDARD & POORS DEPOSITORY   CUSIP: *8SHPDQ9   Symbol: SPY---230111P00377000					
2023-01-04	3.0	512.86	2023-01-04	510.12	0.00	2.74	
PUT SPY 01/11/23	378	STANDARD & POORS DEPOSITORY   CUSIP: *8SHPDR1   Symbol: SPY---230111P00378000					
2023-01-06	4.0	407.83	2023-01-06	418.16	0.00	-10.33	
CALL SPY 01/11/23	388	STANDARD & POORS DEPOSITORY   CUSIP: *8SHPDV8   Symbol: SPY---230111C00388000					
2023-01-04	11.0	1,924.50	2023-01-04	2,010.42	0.00	-85.92	
PUT TSLA 02/10/23	170	TESLA INC   CUSIP: *8SHQQS8   Symbol: TSLA--230210P00170000					
2023-02-06	3.0	302.83	2023-02-06	294.15	0.00	8.68	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NVDA 06/16/23	430	NVIDIA CORPORATION   CUSIP: *8SHSHM0   Symbol: NVDA--230616P00430000					
2023-06-16	2.0	255.88	2023-06-16	252.10	0.00	3.78	
PUT XOM 02/10/23	113	EXXON MOBIL CORP   CUSIP: *8SHVYN0   Symbol: XOM---230210P00113000					
2023-02-07	4.0	323.78	2023-02-07	324.20	0.00	-0.42	
PUT ZM 02/10/23	77	ZOOM VIDEO COMMUNICATIONS INC   CUSIP: *8SHWRC4   Symbol: ZM----230210P00077000					
2023-02-07	59.0	1,707.93	2023-02-07	2,217.90	0.00	-509.97	
CALL NVDA 02/24/23	232.50	NVIDIA CORPORATION   CUSIP: *8SHYPR3   Symbol: NVDA--230224C00232500					
2023-02-24	9.0	1,204.50	2023-02-24	1,147.45	0.00	57.05	
PUT NVDA 03/10/23	222.50	NVIDIA CORPORATION   CUSIP: *8SHYPX4   Symbol: NVDA--230310P00222500					
2023-03-10	2.0	41.87	2023-03-10	40.10	0.00	1.77	
CALL TSLA 02/24/23	217.50	TESLA INC   CUSIP: *8SJGCD3   Symbol: TSLA--230224C00217500					
2023-02-21	7.0	993.61	2023-02-21	924.34	0.00	69.27	
CALL NVDA 04/14/23	277.50	NVIDIA CORPORATION   CUSIP: *8SJXQK9   Symbol: NVDA--230414C00277500					
2023-04-12	1.0	76.93	2023-04-12	80.05	0.00	-3.12	
PUT NVDA 05/05/23	282.50	NVIDIA CORPORATION   CUSIP: *8SJXRB3   Symbol: NVDA--230505P00282500					
2023-05-05	1.0	61.93	2023-05-05	55.05	0.00	6.88	
CALL XOM 01/20/23	110	EXXON MOBIL CORP   CUSIP: *8SLQVM0   Symbol: XOM---230120C00110000					
2023-01-18	2.0	249.90	2023-01-18	244.08	0.00	5.82	
2023-01-19	3.0	212.85	2023-01-19	210.11	0.00	2.74	
<b>Security Totals:</b>		<b>462.75</b>		<b>454.19</b>		<b>8.56</b>	
PUT XOM 01/20/23	110	EXXON MOBIL CORP   CUSIP: *8SLQVM1   Symbol: XOM---230120P00110000					
2023-01-18	10.0	409.59	2023-01-18	380.38	0.00	29.21	
2023-01-19	100.0	3,935.83	2023-01-19	5,111.05	516.21 D	-659.01	
<b>Security Totals:</b>		<b>4,345.42</b>		<b>5,491.43</b>		<b>-629.80</b>	
PUT NVDA 12/15/23	490	NVIDIA CORPORATION   CUSIP: *8SLXLX8   Symbol: NVDA--231215P00490000					
2023-12-15	13.0	1,396.44	2023-12-15	1,353.69	14.17 D	56.92	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT AMD 05/26/23	124	ADVANCED MICRO DEVICES INC   CUSIP: *8SMQFF7   Symbol: AMD---230526P00124000	2023-05-26	142.10	0.00	9.78	
2023-05-26	2.0	151.88	2023-05-26	142.10	0.00	9.78	
PUT NVDA 05/26/23	380	NVIDIA CORPORATION   CUSIP: *8SNFPV0   Symbol: NVDA--230526P00380000	2023-05-26	278.15	0.00	-62.32	
2023-05-26	3.0	215.83	2023-05-26	278.15	0.00	-62.32	
PUT FDX 06/23/23	210	FEDEX CORP   CUSIP: *8SNRFY4   Symbol: FDX---230623P00210000	2023-06-20	140.05	0.00	-140.05	
2023-06-23	1.0	0.00	2023-06-20	140.05	0.00	-140.05	
CALL FDX 06/23/23	250	FEDEX CORP   CUSIP: *8SNRFZ9   Symbol: FDX---230623C00250000	2023-06-20	206.05	0.00	-206.05	
2023-06-23	1.0	0.00	2023-06-20	206.05	0.00	-206.05	
CALL NFLX 06/09/23	422.50	NETFLIX INC   CUSIP: *8SPFRS1   Symbol: NFLX--230609C00422500	2023-06-09	257.10	0.00	-65.23	
2023-06-09	2.0	191.87	2023-06-09	257.10	0.00	-65.23	
CALL COIN 01/20/23	55	COINBASE GLOBAL INC   CUSIP: *8SRVBZ7   Symbol: COIN--230120C00055000	2023-01-18	1,004.35	0.00	12.24	
2023-01-18	9.0	1,016.59	2023-01-18	1,004.35	0.00	12.24	
CALL COIN 01/20/23	50	COINBASE GLOBAL INC   CUSIP: *8SRXWP8   Symbol: COIN--230120C00050000	2023-01-19	831.41	12.18 D	30.49	
2023-01-19	6.0	849.72	2023-01-19	831.41	12.18 D	30.49	
PUT COIN 01/20/23	50	COINBASE GLOBAL INC   CUSIP: *8SRXWP9   Symbol: COIN--230120P00050000	2023-01-17	396.12	0.00	8.75	
2023-01-17	3.0	404.87	2023-01-17	396.12	0.00	8.75	
2023-01-18	9.0	827.62	2023-01-18	823.34	0.00	4.28	
<b>Security Totals:</b>		<b>1,232.49</b>		<b>1,219.46</b>		<b>13.03</b>	
PUT UAL 01/20/23	49	UNITED AIRLINES HOLDINGS INC   CUSIP: *8SSGWH8   Symbol: UAL---230120P00049000	2023-01-18	294.34	4.18 D	3.64	
2023-01-18	4.0	293.80	2023-01-18	294.34	4.18 D	3.64	
PUT META 10/27/23	295	META PLATFORMS INC CL A   CUSIP: *8SSVHM2   Symbol: META--231027P00295000	2023-10-27	164.08	0.00	64.81	
2023-10-27	2.0	228.89	2023-10-27	164.08	0.00	64.81	
CALL TSLA 09/15/23	272.50	TESLA INC   CUSIP: *8STPSB2   Symbol: TSLA--230915C00272500	2023-09-15	406.25	0.00	28.48	
2023-09-15	5.0	434.73	2023-09-15	406.25	0.00	28.48	
CALL TSLA 09/22/23	247.50	TESLA INC   CUSIP: *8STPSD0   Symbol: TSLA--230922C00247500	2023-09-22	987.45	0.00	-75.95	
2023-09-22	9.0	911.50	2023-09-22	987.45	0.00	-75.95	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT TSLA 09/22/23	247.50	TESLA INC   CUSIP: *8STPSD1   Symbol: TSLA--230922P00247500					
2023-09-22	4.0	387.78	2023-09-22	495.20	0.00	-107.42	
PUT AMZN 01/20/23	92.50	AMAZON.COM INC ADJ 20:1 STOCK SPLIT   CUSIP: *8STZWM2   Symbol: AMZN--230120P00092500					
2023-01-13	10.0	809.58	2023-01-13	790.38	0.00	19.20	
CALL AMZN 01/20/23	99	AMAZON.COM INC ADJ 20:1 STOCK SPLIT   CUSIP: *8STZWN7   Symbol: AMZN--230120C00099000					
2023-01-17	8.0	855.63	2023-01-17	828.31	0.00	27.32	
CALL AMZN 01/20/23	100	AMAZON.COM INC ADJ 20:1 STOCK SPLIT   CUSIP: *8STZWN9   Symbol: AMZN--230120C00100000					
2023-01-17	4.0	311.83	2023-01-17	300.15	0.00	11.68	
PUT NVDA 02/17/23	195	NVIDIA CORPORATION   CUSIP: *8SWQBM0   Symbol: NVDA--230217P00195000					
2023-02-13	6.0	656.65	2023-02-13	666.62	12.32 D	2.35	
PUT NVDA 02/17/23	205	NVIDIA CORPORATION   CUSIP: *8SWQBM4   Symbol: NVDA--230217P00205000					
2023-02-13	9.0	1,818.46	2023-02-13	1,792.66	14.65 D	40.45	
2023-02-14	107.0	5,149.32	2023-02-14	10,264.20	3,224.49 D	-1,890.39	
<b>Security Totals:</b>		<b>6,967.78</b>		<b>12,056.86</b>		<b>-1,849.94</b>	
PUT NVDA 02/17/23	210	NVIDIA CORPORATION   CUSIP: *8SWQBM6   Symbol: NVDA--230217P00210000					
2023-02-14	60.0	5,076.80	2023-02-14	6,772.95	0.00	-1,696.15	
PUT NVDA 02/17/23	215	NVIDIA CORPORATION   CUSIP: *8SWQBM8   Symbol: NVDA--230217P00215000					
2023-02-14	88.0	11,994.13	2023-02-14	13,423.91	975.57 D	-454.21	
CALL NVDA 02/17/23	225	NVIDIA CORPORATION   CUSIP: *8SWQBN1   Symbol: NVDA--230217C00225000					
2023-02-13	10.0	2,109.44	2023-02-13	2,133.50	0.00	-24.06	
CALL NVDA 02/17/23	230	NVIDIA CORPORATION   CUSIP: *8SWQBN3   Symbol: NVDA--230217C00230000					
2023-02-13	11.0	1,403.37	2023-02-13	1,423.98	33.43 D	12.82	
CALL NVDA 02/17/23	240	NVIDIA CORPORATION   CUSIP: *8SWQBN7   Symbol: NVDA--230217C00240000					
2023-02-14	3.0	311.83	2023-02-14	309.15	0.00	2.68	
CALL AMD 12/08/23	128	ADVANCED MICRO DEVICES INC   CUSIP: *8SWRXP1   Symbol: AMD---231208C00128000					
2023-12-08	3.0	398.84	2023-12-08	324.12	0.00	74.72	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT META 11/10/23	325	META PLATFORMS INC CL A   CUSIP: *8SWWVB7   Symbol: META--231110P00325000	2023-11-10	550.40	0.00	-80.83	
2023-11-10	10.0	469.57	2023-11-10	550.40	0.00	-80.83	
CALL TSLA 11/10/23	210	TESLA INC   CUSIP: *8SXRCJ6   Symbol: TSLA--231110C00210000	2023-11-10	546.28	0.00	27.40	
2023-11-10	7.0	573.68	2023-11-10	546.28	0.00	27.40	
PUT TSLA 11/10/23	210	TESLA INC   CUSIP: *8SXRCJ7   Symbol: TSLA--231110P00210000	2023-11-10	436.32	0.00	59.34	
2023-11-10	8.0	495.66	2023-11-10	436.32	0.00	59.34	
PUT COIN 11/24/23	114	COINBASE GLOBAL INC   CUSIP: *8SYGVL3   Symbol: COIN--231124P00114000	2023-11-24	304.20	0.00	30.58	
2023-11-24	5.0	334.78	2023-11-24	304.20	0.00	30.58	
PUT COIN 02/17/23	55	COINBASE GLOBAL INC   CUSIP: *8UBJLP4   Symbol: COIN--230217P00055000	2023-02-14	1,173.02	20.42 D	6.74	
2023-02-14	12.0	1,159.34	2023-02-14	1,173.02	20.42 D	6.74	
PUT AAPL 01/20/23	132	APPLE INC   CUSIP: *8UBNFQ2   Symbol: AAPL--230120P00132000	2023-01-13	207.11	0.00	6.74	
2023-01-13	3.0	213.85	2023-01-13	207.11	0.00	6.74	
PUT AAPL 01/20/23	133	APPLE INC   CUSIP: *8UBNFQ4   Symbol: AAPL--230120P00133000	2023-01-13	609.22	0.00	-3.49	
2023-01-13	6.0	605.73	2023-01-13	609.22	0.00	-3.49	
PUT AAPL 01/20/23	134	APPLE INC   CUSIP: *8UBNFQ6   Symbol: AAPL--230120P00134000	2023-01-17	500.30	0.00	-4.65	
2023-01-17	8.0	495.65	2023-01-17	500.30	0.00	-4.65	
PUT AAPL 01/20/23	136	APPLE INC   CUSIP: *8UBNFQ8   Symbol: AAPL--230120P00136000	2023-01-17	1,034.34	0.00	30.26	
2023-01-17	9.0	1,064.60	2023-01-17	1,034.34	0.00	30.26	
CALL AAPL 01/20/23	137	APPLE INC   CUSIP: *8UBNFQ9   Symbol: AAPL--230120C00137000	2023-01-17	420.15	0.00	7.68	
2023-01-17	4.0	427.83	2023-01-17	420.15	0.00	7.68	
CALL AAPL 01/20/23	138	APPLE INC   CUSIP: *8UBNFR1   Symbol: AAPL--230120C00138000	2023-01-17	453.22	0.00	14.52	
2023-01-17	6.0	467.74	2023-01-17	453.22	0.00	14.52	
CALL AAPL 01/20/23	139	APPLE INC   CUSIP: *8UBNFR3   Symbol: AAPL--230120C00139000	2023-01-17	390.27	0.00	8.43	
2023-01-17	7.0	398.70	2023-01-17	390.27	0.00	8.43	
PUT CAT 01/06/23	237.50	CATERPILLAR INC   CUSIP: *8UBPDV2   Symbol: CAT---230106P00237500	2023-01-04	460.19	0.00	4.58	
2023-01-04	5.0	464.77	2023-01-04	460.19	0.00	4.58	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL GS 01/20/23	337.50	GOLDMAN SACHS GROUP INC   CUSIP: *8UBQRG3   Symbol: GS----		230120C00337500			
2023-01-20	1.0	339.94	2023-01-20	282.04	0.00	57.90	
PUT GS 01/20/23	342.50	GOLDMAN SACHS GROUP INC   CUSIP: *8UBQRG6   Symbol: GS----		230120P00342500			
2023-01-20	4.0	661.79	2023-01-20	640.16	0.00	21.63	
CALL META 01/06/23	129	META PLATFORMS INC CL A   CUSIP: *8UBRSV5   Symbol: META--		230106C00129000			
2023-01-04	9.0	1,253.56	2023-01-04	1,260.61	24.26 D	17.21	
PUT META 01/20/23	132	META PLATFORMS INC CL A   CUSIP: *8UBRTB8   Symbol: META--		230120P00132000			
2023-01-19	18.0	1,413.22	2023-01-19	1,514.24	77.56 D	-23.46	
PUT META 01/20/23	133	META PLATFORMS INC CL A   CUSIP: *8UBRTC0   Symbol: META--		230120P00133000			
2023-01-17	33.0	2,905.57	2023-01-17	2,992.25	166.87 D	80.19	
2023-01-19	13.0	1,431.40	2023-01-19	1,578.36	0.00	-146.96	
<b>Security Totals:</b>		<b>4,336.97</b>		<b>4,570.61</b>		<b>-66.77</b>	
PUT META 01/20/23	134	META PLATFORMS INC CL A   CUSIP: *8UBRTC2   Symbol: META--		230120P00134000			
2023-01-17	12.0	1,427.47	2023-01-17	1,566.96	137.16 D	-2.33	
2023-01-19	4.0	603.79	2023-01-19	606.82	0.00	-3.03	
<b>Security Totals:</b>		<b>2,031.26</b>		<b>2,173.78</b>		<b>-5.36</b>	
PUT META 01/20/23	136	META PLATFORMS INC CL A   CUSIP: *8UBRTC4   Symbol: META--		230120P00136000			
2023-01-19	5.0	449.78	2023-01-19	435.19	0.00	14.59	
2023-01-20	14.0	886.41	2023-01-20	947.77	27.24 D	-34.12	
<b>Security Totals:</b>		<b>1,336.19</b>		<b>1,382.96</b>		<b>-19.53</b>	
CALL META 01/20/23	137	META PLATFORMS INC CL A   CUSIP: *8UBRTC5   Symbol: META--		230120C00137000			
2023-01-17	3.0	332.84	2023-01-17	315.11	0.00	17.73	
2023-01-19	3.0	341.87	2023-01-19	330.12	0.00	11.75	
<b>Security Totals:</b>		<b>674.71</b>		<b>645.23</b>		<b>29.48</b>	
PUT META 01/20/23	137	META PLATFORMS INC CL A   CUSIP: *8UBRTC6   Symbol: META--		230120P00137000			
2023-01-20	12.0	1,277.48	2023-01-20	1,167.44	0.00	110.04	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL META 01/20/23	138	META PLATFORMS INC CL A   CUSIP: *8UBRTC7   Symbol: META--230120C00138000	2023-01-19	861.79	20.33 D	-7.98	
2023-01-19	12.0	833.48	2023-01-19	861.79	20.33 D	-7.98	
PUT META 01/20/23	138	META PLATFORMS INC CL A   CUSIP: *8UBRTC8   Symbol: META--230120P00138000	2023-01-20	146.08	0.00	13.82	
2023-01-20	2.0	159.90	2023-01-20	146.08	0.00	13.82	
PUT META 01/20/23	139	META PLATFORMS INC CL A   CUSIP: *8UBRTD0   Symbol: META--230120P00139000	2023-01-20	242.08	0.00	33.82	
2023-01-20	2.0	275.90	2023-01-20	242.08	0.00	33.82	
CALL MRNA 01/20/23	212.50	MODERNA INC   CUSIP: *8UBRXG3   Symbol: MRNA--230120C00212500	2023-01-18	1,312.69	36.24 D	103.03	
2023-01-18	12.0	1,379.48	2023-01-18	1,312.69	36.24 D	103.03	
PUT MSFT 01/06/23	222.50	MICROSOFT CORP   CUSIP: *8UBRXY8   Symbol: MSFT--230106P00222500	2023-01-06	382.16	0.00	23.64	
2023-01-06	4.0	405.80	2023-01-06	382.16	0.00	23.64	
PUT NFLX 01/06/23	272.50	NETFLIX INC   CUSIP: *8UBSCQ4   Symbol: NFLX--230106P00272500	2023-01-03	584.31	0.00	39.33	
2023-01-03	8.0	623.64	2023-01-03	584.31	0.00	39.33	
PUT NFLX 01/06/23	282.50	NETFLIX INC   CUSIP: *8UBSCQ8   Symbol: NFLX--230106P00282500	2023-01-03	1,011.23	0.00	26.44	
2023-01-03	6.0	1,037.67	2023-01-03	1,011.23	0.00	26.44	
PUT NFLX 01/06/23	287.50	NETFLIX INC   CUSIP: *8UBSCR0   Symbol: NFLX--230106P00287500	2023-01-04	1,496.67	51.25 D	-45.91	
2023-01-04	11.0	1,399.51	2023-01-04	1,496.67	51.25 D	-45.91	
PUT NFLX 01/06/23	292.50	NETFLIX INC   CUSIP: *8UBSCR2   Symbol: NFLX--230106P00292500	2023-01-04	1,057.42	20.16 D	-65.59	
2023-01-04	7.0	971.67	2023-01-04	1,057.42	20.16 D	-65.59	
PUT NFLX 01/06/23	297.50	NETFLIX INC   CUSIP: *8UBSCR4   Symbol: NFLX--230106P00297500	2023-01-04	2,703.91	91.04 D	7.99	
2023-01-04	23.0	2,620.86	2023-01-04	2,703.91	91.04 D	7.99	
PUT NFLX 01/06/23	302.50	NETFLIX INC   CUSIP: *8UBSCR6   Symbol: NFLX--230106P00302500	2023-01-04	576.12	0.00	23.74	
2023-01-04	3.0	599.86	2023-01-04	576.12	0.00	23.74	
2023-01-05	17.0	2,100.25	2023-01-05	2,167.10	94.46 D	27.61	
<b>Security Totals:</b>		<b>2,700.11</b>		<b>2,743.22</b>		<b>51.35</b>	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>CALL NFLX 01/06/23 307.50 NETFLIX INC   CUSIP: *8UBSCR7   Symbol: NFLX--230106C00307500</b>							
2023-01-03	11.0	1,720.50	2023-01-03	1,668.61	8.17 D	60.06	
2023-01-04	3.0	581.86	2023-01-04	564.11	0.00	17.75	
2023-01-06	3.0	317.87	2023-01-06	285.12	0.00	32.75	
<b>Security Totals:</b>		<b>2,620.23</b>		<b>2,517.84</b>		<b>110.56</b>	
<b>PUT NFLX 01/06/23 307.50 NETFLIX INC   CUSIP: *8UBSCR8   Symbol: NFLX--230106P00307500</b>							
2023-01-05	2.0	385.90	2023-01-05	376.08	0.00	9.82	
<b>CALL NFLX 01/06/23 312.50 NETFLIX INC   CUSIP: *8UBSCR9   Symbol: NFLX--230106C00312500</b>							
2023-01-04	2.0	211.90	2023-01-04	206.08	0.00	5.82	
<b>CALL NFLX 01/06/23 317.50 NETFLIX INC   CUSIP: *8UBSCS1   Symbol: NFLX--230106C00317500</b>							
2023-01-04	17.0	2,173.21	2023-01-04	2,266.97	112.38 D	18.62	
2023-01-05	4.0	625.79	2023-01-05	609.35	2.14 D	18.58	
<b>Security Totals:</b>		<b>2,799.00</b>		<b>2,876.32</b>		<b>37.20</b>	
<b>CALL NVDA 01/20/23 167.50 NVIDIA CORPORATION   CUSIP: *8UBSHH1   Symbol: NVDA--230120C00167500</b>							
2023-01-20	1.0	233.94	2023-01-20	226.04	0.00	7.90	
<b>PUT NVDA 01/20/23 167.50 NVIDIA CORPORATION   CUSIP: *8UBSHH2   Symbol: NVDA--230120P00167500</b>							
2023-01-17	18.0	3,257.19	2023-01-17	3,072.68	44.33 D	228.84	
2023-01-18	5.0	289.79	2023-01-18	329.52	40.65 D	0.92	
2023-01-19	10.0	1,395.52	2023-01-19	1,434.02	12.99 D	-25.51	
<b>Security Totals:</b>		<b>4,942.50</b>		<b>4,836.22</b>		<b>204.25</b>	
<b>CALL NVDA 01/20/23 172.50 NVIDIA CORPORATION   CUSIP: *8UBSHH3   Symbol: NVDA--230120C00172500</b>							
2023-01-19	49.0	4,603.90	2023-01-19	4,574.90	0.00	29.00	
<b>PUT NVDA 01/20/23 172.50 NVIDIA CORPORATION   CUSIP: *8UBSHH4   Symbol: NVDA--230120P00172500</b>							
2023-01-20	56.0	5,709.59	2023-01-20	5,435.13	0.00	274.46	
<b>CALL NVDA 01/20/23 177.50 NVIDIA CORPORATION   CUSIP: *8UBSHH5   Symbol: NVDA--230120C00177500</b>							
2023-01-18	8.0	1,075.64	2023-01-18	1,004.30	0.00	71.34	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NVDA 01/20/23	177.50	NVIDIA CORPORATION   CUSIP: *8UBSHH6   Symbol: NVDA--230120P00177500					
2023-01-20	9.0	1,769.59	2023-01-20	1,707.33	0.00	62.26	
CALL NVDA 01/20/23	182.50	NVIDIA CORPORATION   CUSIP: *8UBSHH7   Symbol: NVDA--230120C00182500					
2023-01-18	4.0	385.82	2023-01-18	316.15	0.00	69.67	
PUT XOM 01/20/23	109	EXXON MOBIL CORP   CUSIP: *8UBVMT6   Symbol: XOM---230120P00109000					
2023-01-19	35.0	628.52	2023-01-19	806.36	0.00	-177.84	
CALL XOM 01/20/23	111	EXXON MOBIL CORP   CUSIP: *8UBVMT7   Symbol: XOM---230120C00111000					
2023-01-18	12.0	1,370.48	2023-01-18	1,329.44	0.00	41.04	
PUT XOM 01/20/23	111	EXXON MOBIL CORP   CUSIP: *8UBVMT8   Symbol: XOM---230120P00111000					
2023-01-18	15.0	1,111.36	2023-01-18	1,085.56	9.24 D	35.04	
2023-01-19	153.0	10,903.49	2023-01-19	14,850.96	3,036.90 D	-910.57	
<b>Security Totals:</b>		<b>12,014.85</b>		<b>15,936.52</b>		<b>-875.53</b>	
CALL XOM 01/20/23	112	EXXON MOBIL CORP   CUSIP: *8UBVMT9   Symbol: XOM---230120C00112000					
2023-01-18	39.0	3,652.29	2023-01-18	3,707.12	99.65 D	44.82	
PUT XOM 01/20/23	112	EXXON MOBIL CORP   CUSIP: *8UBVMV0   Symbol: XOM---230120P00112000					
2023-01-13	5.0	464.78	2023-01-13	460.19	0.00	4.59	
2023-01-18	18.0	2,167.20	2023-01-18	2,256.73	107.05 D	17.52	
2023-01-19	40.0	3,867.26	2023-01-19	4,482.41	615.15 D	0.00	
2023-01-20	52.0	756.86	2023-01-20	1,300.94	60.70 D	-483.38	
<b>Security Totals:</b>		<b>7,256.10</b>		<b>8,500.27</b>		<b>-461.27</b>	
CALL XOM 01/20/23	113	EXXON MOBIL CORP   CUSIP: *8UBVMV1   Symbol: XOM---230120C00113000					
2023-01-18	25.0	1,323.93	2023-01-18	1,311.87	13.92 D	25.98	
PUT XOM 01/20/23	113	EXXON MOBIL CORP   CUSIP: *8UBVMV2   Symbol: XOM---230120P00113000					
2023-01-19	4.0	503.82	2023-01-19	504.15	0.33 D	0.00	
2023-01-20	37.0	1,973.44	2023-01-20	2,592.06	225.31 D	-393.31	
<b>Security Totals:</b>		<b>2,477.26</b>		<b>3,096.21</b>		<b>-393.31</b>	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT XOM 01/20/23	114	EXXON MOBIL CORP   CUSIP: *8UBVMV4   Symbol: XOM---230120P00114000					
2023-01-20	10.0	1,269.57	2023-01-20	1,205.38	0.00	64.19	
CALL MSFT 01/27/23	257.50	MICROSOFT CORP   CUSIP: *8UCBNR2   Symbol: MSFT--230127C00257500					
2023-01-24	3.0	308.87	2023-01-24	303.11	0.00	5.76	
PUT QQQ 01/26/23	271	INVESCO QQQ TRUST SERIES 1   CUSIP: *8UCCKG1   Symbol: QQQ---230126P00271000					
2023-01-19	3.0	437.86	2023-01-19	432.12	0.00	5.74	
CALL BABA 01/13/23	114	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCCPX6   Symbol: BABA--230113C00114000					
2023-01-09	26.0	3,910.83	2023-01-09	3,824.80	21.80 D	107.83	
CALL BABA 01/13/23	116	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCCPX8   Symbol: BABA--230113C00116000					
2023-01-09	3.0	482.83	2023-01-09	450.12	0.00	32.71	
2023-01-10	3.0	620.86	2023-01-10	588.12	0.00	32.74	
<b>Security Totals:</b>		<b>1,103.69</b>		<b>1,038.24</b>		<b>65.45</b>	
PUT BABA 01/13/23	116	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCCPX9   Symbol: BABA--230113P00116000					
2023-01-13	4.0	235.83	2023-01-13	222.16	0.00	13.67	
PUT BABA 01/13/23	117	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCCPY1   Symbol: BABA--230113P00117000					
2023-01-13	4.0	403.83	2023-01-13	342.16	0.00	61.67	
PUT SPY 01/17/23	379	STANDARD & POORS DEPOSITORY   CUSIP: *8UCDFB0   Symbol: SPY---230117P00379000					
2023-01-10	8.0	1,295.64	2023-01-10	1,352.31	0.00	-56.67	
PUT SPY 01/17/23	391	STANDARD & POORS DEPOSITORY   CUSIP: *8UCDFD4   Symbol: SPY---230117P00391000					
2023-01-11	2.0	597.89	2023-01-11	596.08	0.00	1.81	
PUT ROKU 02/03/23	57	ROKU INC   CUSIP: *8UCDJC3   Symbol: ROKU--230203P00057000					
2023-01-31	2.0	333.90	2023-01-31	332.08	0.00	1.82	
PUT SNOW 03/03/23	139	SNOWFLAKE INC CLASS A   CUSIP: *8UCDRM5   Symbol: SNOW--230303P00139000					
2023-03-03	64.0	2,812.67	2023-03-03	4,763.14	0.00	-1,950.47	
PUT SPY 01/26/23	395	STANDARD & POORS DEPOSITORY   CUSIP: *8UCDXQ9   Symbol: SPY---230126P00395000					
2023-01-23	10.0	839.56	2023-01-23	992.69	68.32 D	-84.81	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 01/26/23	396	STANDARD & POORS DEPOSITORY   CUSIP: *8UCDXR1   Symbol: SPY---230126P00396000					
2023-01-24	5.0	409.79	2023-01-24	389.19	0.00	20.60	
PUT SPY 01/26/23	397	STANDARD & POORS DEPOSITORY   CUSIP: *8UCDXR3   Symbol: SPY---230126P00397000					
2023-01-23	4.0	495.82	2023-01-23	512.15	0.00	-16.33	
CALL SPY 01/26/23	398	STANDARD & POORS DEPOSITORY   CUSIP: *8UCDXR4   Symbol: SPY---230126C00398000					
2023-01-20	2.0	355.90	2023-01-20	352.08	0.00	3.82	
CALL SPY 01/26/23	401	STANDARD & POORS DEPOSITORY   CUSIP: *8UCDXS0   Symbol: SPY---230126C00401000					
2023-01-26	10.0	1,479.56	2023-01-26	1,400.38	0.00	79.18	
PUT SPY 03/03/23	400	STANDARD & POORS DEPOSITORY   CUSIP: *8UCDYD3   Symbol: SPY---230303P00400000					
2023-03-03	27.0	2,509.59	2023-03-03	2,881.32	0.00	-371.73	
PUT TSLA 01/27/23	131	TESLA INC   CUSIP: *8UCFLY0   Symbol: TSLA--230127P00131000					
2023-01-24	2.0	351.90	2023-01-24	342.08	0.00	9.82	
PUT ZM 02/10/23	82	ZOOM VIDEO COMMUNICATIONS INC   CUSIP: *8UCGLV5   Symbol: ZM----230210P00082000					
2023-02-07	15.0	1,814.17	2023-02-07	1,620.74	0.00	193.43	
PUT BBY 02/10/23	5.50	BED BATH & BEYOND INC   CUSIP: *8UCGWS5   Symbol: BBY--230210P00005500					
2023-02-06	4.0	571.77	2023-02-06	520.20	0.00	51.57	
CALL BBY 02/10/23	7	BED BATH & BEYOND INC   CUSIP: *8UCGWS6   Symbol: BBY--230210C00007000					
2023-02-06	4.0	363.77	2023-02-06	360.20	0.00	3.57	
CALL BBY 02/10/23	7.50	BED BATH & BEYOND INC   CUSIP: *8UCGWS8   Symbol: BBY--230210C00007500					
2023-02-06	13.0	1,052.30	2023-02-06	1,138.65	0.00	-86.35	
CALL SPY 01/25/23	399	STANDARD & POORS DEPOSITORY   CUSIP: *8UCKNR6   Symbol: SPY---230125C00399000					
2023-01-18	6.0	1,011.72	2023-01-18	1,010.39	8.17 D	9.50	
PUT SPY 01/25/23	399	STANDARD & POORS DEPOSITORY   CUSIP: *8UCKNR7   Symbol: SPY---230125P00399000					
2023-01-24	3.0	236.87	2023-01-24	288.11	0.00	-51.24	
PUT AMZN 02/10/23	96	AMAZON.COM INC   CUSIP: *8UCLKJ1   Symbol: AMZN--230210P00096000					
2023-02-07	4.0	315.78	2023-02-07	308.20	0.00	7.58	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - DateSold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT BABA 01/20/23 112 ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRJ3   Symbol: BABA--230120P00112000							
2023-01-18	9.0	657.62	2023-01-18	694.67	24.32 D	-12.73	
PUT BABA 01/20/23 113 ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRJ5   Symbol: BABA--230120P00113000							
2023-01-18	5.0	534.78	2023-01-18	540.19	0.00	-5.41	
PUT BABA 01/20/23 114 ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRJ7   Symbol: BABA--230120P00114000							
2023-01-19	8.0	595.66	2023-01-19	648.62	36.32 D	-16.64	
CALL BABA 01/20/23 116 ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRJ8   Symbol: BABA--230120C00116000							
2023-01-18	7.0	762.69	2023-01-18	768.26	0.00	-5.57	
PUT BABA 01/20/23 116 ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRJ9   Symbol: BABA--230120P00116000							
2023-01-19	9.0	1,340.60	2023-01-19	1,251.35	0.00	89.25	
CALL BABA 01/20/23 117 ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRK0   Symbol: BABA--230120C00117000							
2023-01-18	20.0	1,657.14	2023-01-18	1,723.45	71.68 D	5.37	
CALL BABA 01/20/23 118 ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRK2   Symbol: BABA--230120C00118000							
2023-01-18	49.0	3,008.93	2023-01-18	3,040.86	0.00	-31.93	
CALL BABA 01/27/23 116 ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRN6   Symbol: BABA--230127C00116000							
2023-01-27	3.0	338.85	2023-01-27	351.11	0.00	-12.26	
PUT BABA 01/27/23 116 ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRN7   Symbol: BABA--230127P00116000							
2023-01-23	4.0	404.81	2023-01-23	388.15	0.00	16.66	
CALL BABA 01/27/23 117 ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRN8   Symbol: BABA--230127C00117000							
2023-01-27	19.0	1,070.18	2023-01-27	1,357.62	140.89 D	-146.55	
PUT BABA 01/27/23 117 ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRN9   Symbol: BABA--230127P00117000							
2023-01-23	9.0	1,142.61	2023-01-23	1,131.58	12.24 D	23.27	
2023-01-25	4.0	371.83	2023-01-25	360.15	0.00	11.68	
<b>Security Totals:</b>		<b>1,514.44</b>		<b>1,491.73</b>		<b>34.95</b>	
PUT BABA 01/27/23 118 ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRP1   Symbol: BABA--230127P00118000							
2023-01-27	17.0	933.24	2023-01-27	1,354.72	195.08 D	-226.40	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

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(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT BABA 01/27/23	119	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRP3   Symbol: BABA--230127P00119000	2023-01-27	1,732.83	66.33 D	-101.09	
2023-01-27	13.0	1,565.41	2023-01-27	1,732.83	66.33 D	-101.09	
PUT BABA 02/03/23	107	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRR3   Symbol: BABA--230203P00107000	2023-01-30	216.08	0.00	13.82	
2023-01-30	2.0	229.90	2023-01-30	216.08	0.00	13.82	
PUT BABA 02/03/23	108	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRR5   Symbol: BABA--230203P00108000	2023-01-31	552.24	0.00	41.50	
2023-01-31	6.0	593.74	2023-01-31	552.24	0.00	41.50	
CALL BABA 02/03/23	109	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRR6   Symbol: BABA--230203C00109000	2023-02-02	448.20	0.00	59.57	
2023-02-02	4.0	507.77	2023-02-02	448.20	0.00	59.57	
PUT BABA 02/03/23	109	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRR7   Symbol: BABA--230203P00109000	2023-01-31	1,369.66	18.24 D	41.09	
2023-01-31	11.0	1,392.51	2023-01-31	1,369.66	18.24 D	41.09	
CALL BABA 02/03/23	116	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRS6   Symbol: BABA--230203C00116000	2023-01-30	1,526.53	0.00	82.87	
2023-01-30	14.0	1,609.40	2023-01-30	1,526.53	0.00	82.87	
PUT BABA 02/10/23	104	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRV9   Symbol: BABA--230210P00104000	2023-02-06	453.15	0.00	2.67	
2023-02-06	3.0	455.82	2023-02-06	453.15	0.00	2.67	
CALL BABA 02/10/23	106	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRW0   Symbol: BABA--230210C00106000	2023-02-08	424.20	0.00	47.57	
2023-02-08	4.0	471.77	2023-02-08	424.20	0.00	47.57	
CALL BABA 02/10/23	108	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRW4   Symbol: BABA--230210C00108000	2023-02-06	399.15	0.00	11.68	
2023-02-06	3.0	410.83	2023-02-06	399.15	0.00	11.68	
CALL BABA 02/10/23	109	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRW6   Symbol: BABA--230210C00109000	2023-02-06	654.30	0.00	17.38	
2023-02-06	6.0	671.68	2023-02-06	654.30	0.00	17.38	
2023-02-07	5.0	594.72	2023-02-07	545.25	0.00	49.47	
<b>Security Totals:</b>		<b>1,266.40</b>		<b>1,199.55</b>		<b>66.85</b>	
CALL BABA 02/10/23	111	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCLRW8   Symbol: BABA--230210C00111000	2023-02-09	1,041.26	80.51 D	-86.55	
2023-02-09	15.0	874.20	2023-02-09	1,041.26	80.51 D	-86.55	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL BABA 02/10/23	110	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UCNMH0   Symbol: BABA--230210C00110000					
2023-02-06	10.0	879.46	2023-02-06	870.50	9.31 D	18.27	
2023-02-09	17.0	1,394.09	2023-02-09	1,480.18	90.03 D	3.94	
<b>Security Totals:</b>		<b>2,273.55</b>		<b>2,350.68</b>		<b>22.21</b>	
PUT BIDU 02/10/23	150	BAIDU INC   CUSIP: *8UCNMN5   Symbol: BIDU--230210P00150000					
2023-02-07	2.0	355.88	2023-02-07	354.10	0.00	1.78	
CALL DIS 02/10/23	110	WALT DISNEY CO   CUSIP: *8UCNRJ4   Symbol: DIS--230210C00110000					
2023-02-09	17.0	2,041.06	2023-02-09	2,045.59	61.75 D	57.22	
PUT FUTU 01/06/23	45.50	FUTU HOLDINGS LTD ADS   CUSIP: *8UCNTG7   Symbol: FUTU--230106P00045500					
2023-01-05	9.0	1,223.61	2023-01-05	1,066.34	0.00	157.27	
CALL GOOGL 02/10/23	100	ALPHABET INC CLASS A   CUSIP: *8UCNTR8   Symbol: GOOGL-230210C00100000					
2023-02-08	13.0	1,424.26	2023-02-08	1,359.65	0.00	64.61	
PUT SPY 01/12/23	374	STANDARD & POORS DEPOSITORY   CUSIP: *8UCPKD5   Symbol: SPY---230112P00374000					
2023-01-05	2.0	615.88	2023-01-05	625.18	8.10 D	-1.20	
PUT SPY 01/12/23	376	STANDARD & POORS DEPOSITORY   CUSIP: *8UCPKD9   Symbol: SPY---230112P00376000					
2023-01-04	5.0	1,259.74	2023-01-04	1,245.19	0.00	14.55	
PUT SPY 01/12/23	385	STANDARD & POORS DEPOSITORY   CUSIP: *8UCPKG7   Symbol: SPY---230112P00385000					
2023-01-09	2.0	577.89	2023-01-09	572.08	0.00	5.81	
CALL SPY 01/12/23	389	STANDARD & POORS DEPOSITORY   CUSIP: *8UCPKH4   Symbol: SPY---230112C00389000					
2023-01-04	2.0	563.89	2023-01-04	560.08	0.00	3.81	
PUT ZM 02/10/23	80	ZOOM VIDEO COMMUNICATIONS INC   CUSIP: *8UCPNL3   Symbol: ZM---230210P00080000					
2023-02-07	25.0	2,658.59	2023-02-07	2,456.23	0.00	202.36	
CALL GOOGL 02/10/23	99	ALPHABET INC CLASS A   CUSIP: *8UCQHW5   Symbol: GOOGL-230210C00099000					
2023-02-09	22.0	1,171.82	2023-02-09	1,319.80	86.72 D	-61.26	
PUT NFLX 02/10/23	355	NETFLIX INC   CUSIP: *8UCSCQ0   Symbol: NFLX--230210P00355000					
2023-02-08	2.0	281.88	2023-02-08	286.10	0.00	-4.22	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NFLX 02/10/23	365	NETFLIX INC   CUSIP: *8UCSCQ2   Symbol: NFLX--230210P00365000					
2023-02-09	4.0	555.76	2023-02-09	514.20	0.00	41.56	
CALL NFLX 02/10/23	375	NETFLIX INC   CUSIP: *8UCSCQ3   Symbol: NFLX--230210C00375000					
2023-02-08	4.0	461.76	2023-02-08	488.42	22.22 D	-4.44	
CALL PYPL 02/03/23	82	PAYPAL HOLDINGS INC   CUSIP: *8UCSVW1   Symbol: PYPL--230203C00082000					
2023-01-31	9.0	1,377.60	2023-01-31	1,381.67	20.33 D	16.26	
CALL PYPL 02/03/23	83	PAYPAL HOLDINGS INC   CUSIP: *8UCSVW3   Symbol: PYPL--230203C00083000					
2023-01-31	4.0	431.83	2023-01-31	440.15	0.00	-8.32	
PUT SPY 01/17/23	396	STANDARD & POORS DEPOSITORY   CUSIP: *8UCTQX8   Symbol: SPY---230117P00396000					
2023-01-13	2.0	119.90	2023-01-13	116.08	0.00	3.82	
CALL SPY 01/18/23	396	STANDARD & POORS DEPOSITORY   CUSIP: *8UCTQZ7   Symbol: SPY---230118C00396000					
2023-01-18	11.0	898.53	2023-01-18	851.41	0.00	47.12	
CALL SPY 01/18/23	398	STANDARD & POORS DEPOSITORY   CUSIP: *8UCTQZ9   Symbol: SPY---230118C00398000					
2023-01-18	6.0	443.74	2023-01-18	402.23	0.00	41.51	
PUT TSLA 02/10/23	175	TESLA INC   CUSIP: *8UCVGL6   Symbol: TSLA--230210P00175000					
2023-02-06	7.0	930.61	2023-02-06	985.35	0.00	-54.74	
PUT ZM 02/10/23	78	ZOOM VIDEO COMMUNICATIONS INC   CUSIP: *8UCWKT8   Symbol: ZM----230210P00078000					
2023-02-07	49.0	2,013.40	2023-02-07	3,330.52	61.12 D	-1,256.00	
PUT ZM 02/10/23	79	ZOOM VIDEO COMMUNICATIONS INC   CUSIP: *8UCWKV0   Symbol: ZM----230210P00079000					
2023-02-07	5.0	334.73	2023-02-07	555.25	0.00	-220.52	
CALL AMD 02/03/23	82	ADVANCED MICRO DEVICES INC   CUSIP: *8UCWZC8   Symbol: AMD---230203C00082000					
2023-02-01	3.0	305.83	2023-02-01	297.15	0.00	8.68	
CALL LCID 02/03/23	13.50	LUCID GROUP INC   CUSIP: *8UCXYK8   Symbol: LCID--230203C00013500					
2023-01-27	1.0	161.94	2023-01-27	167.04	0.00	-5.10	
PUT MSFT 02/03/23	247.50	MICROSOFT CORP   CUSIP: *8UCYCY6   Symbol: MSFT--230203P00247500					
2023-02-01	15.0	1,619.18	2023-02-01	1,950.73	0.00	-331.55	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT MSFT 02/03/23	262.50	MICROSOFT CORP   CUSIP: *8UCYCZ3   Symbol: MSFT--230203P00262500					
2023-02-03	3.0	245.83	2023-02-03	228.15	0.00	17.68	
PUT NFLX 01/27/23	342.50	NETFLIX INC   CUSIP: *8UCYFH9   Symbol: NFLX--230127P00342500					
2023-01-24	2.0	275.90	2023-01-24	240.08	0.00	35.82	
PUT NFLX 01/27/23	357.50	NETFLIX INC   CUSIP: *8UCYFJ5   Symbol: NFLX--230127P00357500					
2023-01-25	3.0	453.84	2023-01-25	451.12	0.00	2.72	
2023-01-26	10.0	789.58	2023-01-26	756.54	8.16 D	41.20	
<b>Security Totals:</b>		<b>1,243.42</b>		<b>1,207.66</b>		<b>43.92</b>	
PUT NFLX 01/27/23	362.50	NETFLIX INC   CUSIP: *8UCYFJ7   Symbol: NFLX--230127P00362500					
2023-01-26	9.0	1,722.58	2023-01-26	1,876.86	147.69 D	-6.59	
2023-01-27	1.0	91.94	2023-01-27	104.22	0.00	-12.28	
<b>Security Totals:</b>		<b>1,814.52</b>		<b>1,981.08</b>		<b>-18.87</b>	
PUT NFLX 02/03/23	337.50	NETFLIX INC   CUSIP: *8UCYFL3   Symbol: NFLX--230203P00337500					
2023-02-01	5.0	324.73	2023-02-01	330.25	0.00	-5.52	
PUT NFLX 02/03/23	352.50	NETFLIX INC   CUSIP: *8UCYFL9   Symbol: NFLX--230203P00352500					
2023-02-02	22.0	1,863.82	2023-02-02	2,005.02	112.93 D	-28.27	
PUT NVDA 02/03/23	197.50	NVIDIA CORPORATION   CUSIP: *8UCYGH5   Symbol: NVDA--230203P00197500					
2023-02-01	15.0	2,294.16	2023-02-01	2,115.74	0.00	178.42	
PUT RIVN 02/03/23	19.50	RIVIAN AUTOMOTIVE INC CLASS A   CUSIP: *8UCYLZ7   Symbol: RIVN--230203P00019500					
2023-01-27	5.0	329.79	2023-01-27	320.19	0.00	9.60	
PUT SPY 01/30/23	390	STANDARD & POORS DEPOSITORY   CUSIP: *8UCYQP5   Symbol: SPY---230130P00390000					
2023-01-23	3.0	323.87	2023-01-23	315.11	0.00	8.76	
PUT SPY 01/30/23	395	STANDARD & POORS DEPOSITORY   CUSIP: *8UCYQQ5   Symbol: SPY---230130P00395000					
2023-01-24	5.0	669.78	2023-01-24	639.19	0.00	30.59	
CALL SPY 01/30/23	404	STANDARD & POORS DEPOSITORY   CUSIP: *8UCYQS2   Symbol: SPY---230130C00404000					
2023-01-23	3.0	497.86	2023-01-23	474.11	0.00	23.75	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT SPY 01/31/23 391 STANDARD & POORS DEPOSITORY   CUSIP: *8UCYQW7   Symbol: SPY---230131P00391000							
2023-01-24	2.0	135.90	2023-01-24	158.08	0.00	-22.18	
CALL TSLA 01/20/23 133 TESLA INC   CUSIP: *8UCYTJ2   Symbol: TSLA--230120C00133000							
2023-01-17	3.0	539.86	2023-01-17	528.11	0.00	11.75	
PUT UAL 01/20/23 48.50 UNITED AIRLINES HOLDINGS INC   CUSIP: *8UCYTX9   Symbol: UAL---230120P00048500							
2023-01-18	3.0	146.87	2023-01-18	141.11	0.00	5.76	
CALL W 01/20/23 47.50 WAYFAIR INC   CUSIP: *8UCYYT8   Symbol: W-----230120C00047500							
2023-01-20	5.0	599.77	2023-01-20	550.19	0.00	49.58	
PUT AAPL 02/10/23 149 APPLE INC   CUSIP: *8UDDBM7   Symbol: AAPL--230210P00149000							
2023-02-06	3.0	320.83	2023-02-06	315.15	0.00	5.68	
CALL AMZN 02/10/23 101 AMAZON.COM INC   CUSIP: *8UDDGR0   Symbol: AMZN--230210C00101000							
2023-02-07	9.0	993.49	2023-02-07	952.45	0.00	41.04	
CALL AMZN 02/10/23 102 AMAZON.COM INC   CUSIP: *8UDDGR2   Symbol: AMZN--230210C00102000							
2023-02-07	14.0	1,321.24	2023-02-07	1,275.70	0.00	45.54	
CALL COIN 02/10/23 60 COINBASE GLOBAL INC   CUSIP: *8UDDZL8   Symbol: COIN--230210C00060000							
2023-02-10	3.0	275.83	2023-02-10	240.15	0.00	35.68	
PUT COIN 02/10/23 60 COINBASE GLOBAL INC   CUSIP: *8UDDZL9   Symbol: COIN--230210P00060000							
2023-02-09	10.0	871.39	2023-02-09	854.84	0.34 D	16.89	
2023-02-10	3.0	299.83	2023-02-10	270.15	0.00	29.68	
<b>Security Totals:</b>		<b>1,171.22</b>		<b>1,124.99</b>		<b>46.57</b>	
CALL COIN 02/10/23 65 COINBASE GLOBAL INC   CUSIP: *8UDDZM0   Symbol: COIN--230210C00065000							
2023-02-09	14.0	1,239.22	2023-02-09	1,284.12	31.42 D	-13.48	
PUT COIN 02/10/23 65 COINBASE GLOBAL INC   CUSIP: *8UDDZM1   Symbol: COIN--230210P00065000							
2023-02-07	25.0	2,793.63	2023-02-07	2,711.23	16.82 D	99.22	
2023-02-08	8.0	527.57	2023-02-08	545.22	0.00	-17.65	
<b>Security Totals:</b>		<b>3,321.20</b>		<b>3,256.45</b>		<b>81.57</b>	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL COIN 02/10/23	70 COINBASE GLOBAL INC   CUSIP: *8UDDZM2   Symbol: COIN--230210C00070000						
2023-02-09	21.0	2,033.87	2023-02-09	1,941.04	0.00	92.83	
CALL DIS 02/10/23	115 WALT DISNEY CO   CUSIP: *8UDFGZ8   Symbol: DIS---230210C00115000						
2023-02-09	2.0	165.88	2023-02-09	162.10	0.00	3.78	
CALL GOOGL 02/10/23	101 ALPHABET INC CLASS A   CUSIP: *8UDFWF2   Symbol: GOOGL-230210C00101000						
2023-02-08	19.0	1,648.90	2023-02-08	1,597.38	16.43 D	67.95	
CALL GOOGL 02/10/23	102 ALPHABET INC CLASS A   CUSIP: *8UDFWF4   Symbol: GOOGL-230210C00102000						
2023-02-08	4.0	379.76	2023-02-08	368.20	0.00	11.56	
CALL GOOGL 02/10/23	103 ALPHABET INC CLASS A   CUSIP: *8UDFWF6   Symbol: GOOGL-230210C00103000						
2023-02-08	8.0	775.57	2023-02-08	736.40	0.00	39.17	
CALL META 02/10/23	180 META PLATFORMS INC CL A   CUSIP: *8UDGRX4   Symbol: META--230210C00180000						
2023-02-09	6.0	935.67	2023-02-09	894.30	0.00	41.37	
CALL META 02/10/23	185 META PLATFORMS INC CL A   CUSIP: *8UDGRX6   Symbol: META--230210C00185000						
2023-02-09	12.0	1,427.33	2023-02-09	1,359.59	0.00	67.74	
PUT META 02/10/23	185 META PLATFORMS INC CL A   CUSIP: *8UDGRX7   Symbol: META--230210P00185000						
2023-02-07	3.0	515.82	2023-02-07	504.15	0.00	11.67	
CALL META 02/10/23	190 META PLATFORMS INC CL A   CUSIP: *8UDGRX8   Symbol: META--230210C00190000						
2023-02-09	4.0	235.78	2023-02-09	216.20	0.00	19.58	
CALL META 02/10/23	195 META PLATFORMS INC CL A   CUSIP: *8UDGRY0   Symbol: META--230210C00195000						
2023-02-06	3.0	506.80	2023-02-06	495.15	0.00	11.65	
2023-02-07	23.0	4,184.71	2023-02-07	4,144.14	0.00	40.57	
<b>Security Totals:</b>		<b>4,691.51</b>		<b>4,639.29</b>		<b>52.22</b>	
CALL META 02/10/23	200 META PLATFORMS INC CL A   CUSIP: *8UDGRY2   Symbol: META--230210C00200000						
2023-02-07	64.0	5,241.53	2023-02-07	5,667.89	285.72 D	-140.64	
PUT NVDA 02/10/23	200 NVIDIA CORPORATION   CUSIP: *8UDHCV9   Symbol: NVDA--230210P00200000						
2023-02-06	3.0	479.80	2023-02-06	453.15	0.00	26.65	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

Table with columns: 1c - Date Sold or Disposed, Quantity, 1d - Proceeds, 1b - Date Acquired, 1e - Cost or Other Basis, 1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D), Gain or Loss (-), Additional Notes. Includes rows for PUT and CALL transactions for NVIDIA CORPORATION and Security Totals.

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL NVDA 02/24/23	230	NVIDIA CORPORATION   CUSIP: *8UDHDF2   Symbol: NVDA--230224C00230000	2023-02-24	1,372.40	0.00	107.17	
2023-02-24	8.0	1,479.57	2023-02-24	1,372.40	0.00	107.17	
CALL RCL 02/10/23	75	ROYAL CARIBBEAN GROUP   CUSIP: *8UDHLZ8   Symbol: RCL---230210C00075000	2023-02-07	1,461.62	80.73 D	-92.87	
2023-02-07	18.0	1,288.02	2023-02-07	1,461.62	80.73 D	-92.87	
CALL SI 02/03/23	14.50	SILVERGATE CAPITAL CORP CL A   CUSIP: *8UDHQL0   Symbol: SI---230203C00014500	2023-01-31	160.08	0.00	9.81	
2023-01-31	2.0	169.89	2023-01-31	160.08	0.00	9.81	
PUT SPY 01/17/23	397	STANDARD & POORS DEPOSITORY   CUSIP: *8UDHVG9   Symbol: SPY---230117P00397000	2023-01-13	164.08	0.00	5.82	
2023-01-13	2.0	169.90	2023-01-13	164.08	0.00	5.82	
PUT SPY 01/17/23	399	STANDARD & POORS DEPOSITORY   CUSIP: *8UDVH1   Symbol: SPY---230117P00399000	2023-01-17	375.19	0.00	29.60	
2023-01-17	5.0	404.79	2023-01-17	375.19	0.00	29.60	
CALL SPY 01/18/23	395	STANDARD & POORS DEPOSITORY   CUSIP: *8UDHVV4   Symbol: SPY---230118C00395000	2023-01-18	146.08	0.00	11.82	
2023-01-18	2.0	157.90	2023-01-18	146.08	0.00	11.82	
PUT SPY 01/18/23	395	STANDARD & POORS DEPOSITORY   CUSIP: *8UDHVV5   Symbol: SPY---230118P00395000	2023-01-17	189.11	0.00	8.74	
2023-01-17	3.0	197.85	2023-01-17	189.11	0.00	8.74	
CALL SPY 01/18/23	397	STANDARD & POORS DEPOSITORY   CUSIP: *8UDHVV6   Symbol: SPY---230118C00397000	2023-01-18	730.38	0.00	25.11	
2023-01-18	10.0	755.49	2023-01-18	730.38	0.00	25.11	
PUT SPY 01/18/23	397	STANDARD & POORS DEPOSITORY   CUSIP: *8UDHVV7   Symbol: SPY---230118P00397000	2023-01-13	302.08	0.00	-2.18	
2023-01-13	2.0	299.90	2023-01-13	302.08	0.00	-2.18	
PUT SPY 01/19/23	389	STANDARD & POORS DEPOSITORY   CUSIP: *8UDHWM9   Symbol: SPY---230119P00389000	2023-01-19	410.19	0.00	19.60	
2023-01-19	5.0	429.79	2023-01-19	410.19	0.00	19.60	
PUT SPY 01/19/23	390	STANDARD & POORS DEPOSITORY   CUSIP: *8UDHWN1   Symbol: SPY---230119P00390000	2023-01-19	114.08	0.00	11.82	
2023-01-19	2.0	125.90	2023-01-19	114.08	0.00	11.82	
PUT TSLA 02/10/23	180	TESLA INC   CUSIP: *8UDJGP3   Symbol: TSLA--230210P00180000	2023-02-07	1,446.58	0.00	40.75	
2023-02-07	12.0	1,487.33	2023-02-07	1,446.58	0.00	40.75	
PUT TSLA 02/10/23	185	TESLA INC   CUSIP: *8UDJGP5   Symbol: TSLA--230210P00185000	2023-02-08	494.35	0.00	-11.73	
2023-02-08	7.0	482.62	2023-02-08	494.35	0.00	-11.73	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT TSLA 02/10/23	190 TESLA INC   CUSIP: *8UDJGP7   Symbol: TSLA--230210P00190000						
2023-02-08	12.0	1,811.33	2023-02-08	1,751.60	0.00	59.73	
PUT TSLA 02/10/23	195 TESLA INC   CUSIP: *8UDJGP9   Symbol: TSLA--230210P00195000						
2023-02-10	9.0	932.49	2023-02-10	975.45	0.00	-42.96	
CALL TSLA 02/10/23	200 TESLA INC   CUSIP: *8UDJGQ0   Symbol: TSLA--230210C00200000						
2023-02-10	3.0	425.83	2023-02-10	375.15	0.00	50.68	
PUT TSLA 02/10/23	200 TESLA INC   CUSIP: *8UDJGQ1   Symbol: TSLA--230210P00200000						
2023-02-09	4.0	395.78	2023-02-09	392.20	0.00	3.58	
PUT TSLA 02/10/23	205 TESLA INC   CUSIP: *8UDJGQ3   Symbol: TSLA--230210P00205000						
2023-02-09	5.0	792.70	2023-02-09	765.25	0.00	27.45	
CALL TSLA 02/10/23	210 TESLA INC   CUSIP: *8UDJGQ4   Symbol: TSLA--230210C00210000						
2023-02-06	2.0	453.86	2023-02-06	448.10	0.00	5.76	
2023-02-07	35.0	3,128.11	2023-02-07	3,522.76	161.03 D	-233.62	
<b>Security Totals:</b>		<b>3,581.97</b>		<b>3,970.86</b>		<b>-227.86</b>	
CALL TSLA 02/10/23	215 TESLA INC   CUSIP: *8UDJGQ6   Symbol: TSLA--230210C00215000						
2023-02-06	3.0	467.82	2023-02-06	453.15	0.00	14.67	
2023-02-09	9.0	1,116.50	2023-02-09	1,042.45	0.00	74.05	
<b>Security Totals:</b>		<b>1,584.32</b>		<b>1,495.60</b>		<b>88.72</b>	
CALL TSLA 02/10/23	220 TESLA INC   CUSIP: *8UDJGQ8   Symbol: TSLA--230210C00220000						
2023-02-09	4.0	599.77	2023-02-09	646.20	0.00	-46.43	
PUT COIN 03/31/23	67 COINBASE GLOBAL INC   CUSIP: *8UDKJV9   Symbol: COIN--230331P00067000						
2023-03-31	2.0	85.87	2023-03-31	82.10	0.00	3.77	
PUT NVDA 03/31/23	270 NVIDIA CORPORATION   CUSIP: *8UDPMC6   Symbol: NVDA--230331P00270000						
2023-03-31	2.0	77.88	2023-03-31	68.10	0.00	9.78	
PUT TSLA 03/31/23	202.50 TESLA INC   CUSIP: *8UDQZK6   Symbol: TSLA--230331P00202500						
2023-03-31	1.0	67.93	2023-03-31	62.05	0.00	5.88	

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Apex Clearing

Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
CALL BABA 02/17/23	104	ALIBABA GROUP HOLDING LIMITED   CUSIP: *8UDSZK8   Symbol: BABA--230217C00104000					
2023-02-14	4.0	507.77	2023-02-14	516.20	0.00	-8.43	
CALL NVDA 02/17/23	232.50	NVIDIA CORPORATION   CUSIP: *8UDVHP8   Symbol: NVDA--230217C00232500					
2023-02-13	15.0	1,424.18	2023-02-13	1,358.74	0.00	65.44	
CALL TSLA 02/17/23	217.50	TESLA INC   CUSIP: *8UDVWT8   Symbol: TSLA--230217C00217500					
2023-02-14	20.0	2,588.91	2023-02-14	2,520.98	0.00	67.93	
PUT MSFT 01/27/23	227.50	MICROSOFT CORP   CUSIP: *8UDYHB7   Symbol: MSFT--230127P00227500					
2023-01-24	2.0	249.90	2023-01-24	248.08	0.00	1.82	
2023-01-25	7.0	639.69	2023-01-25	615.27	0.00	24.42	
<b>Security Totals:</b>		<b>889.59</b>		<b>863.35</b>		<b>26.24</b>	
PUT MSFT 01/27/23	232.50	MICROSOFT CORP   CUSIP: *8UDYHB9   Symbol: MSFT--230127P00232500					
2023-01-24	4.0	939.81	2023-01-24	962.16	22.35 D	-0.00	
2023-01-25	17.0	1,883.25	2023-01-25	1,864.54	40.51 D	59.22	
<b>Security Totals:</b>		<b>2,823.06</b>		<b>2,826.70</b>		<b>59.22</b>	
PUT MSFT 01/27/23	237.50	MICROSOFT CORP   CUSIP: *8UDYHC1   Symbol: MSFT--230127P00237500					
2023-01-25	18.0	1,683.16	2023-01-25	1,820.69	139.02 D	1.49	
CALL MSFT 01/27/23	242.50	MICROSOFT CORP   CUSIP: *8UDYHC2   Symbol: MSFT--230127C00242500					
2023-01-25	2.0	231.90	2023-01-25	226.08	0.00	5.82	
PUT MSFT 01/27/23	242.50	MICROSOFT CORP   CUSIP: *8UDYHC3   Symbol: MSFT--230127P00242500					
2023-01-26	5.0	398.77	2023-01-26	394.38	2.18 D	6.57	
CALL MSFT 01/27/23	247.50	MICROSOFT CORP   CUSIP: *8UDYHC4   Symbol: MSFT--230127C00247500					
2023-01-25	11.0	667.43	2023-01-25	705.22	38.81 D	1.02	
PUT MSFT 01/27/23	247.50	MICROSOFT CORP   CUSIP: *8UDYHC5   Symbol: MSFT--230127P00247500					
2023-01-26	4.0	603.82	2023-01-26	608.16	0.00	-4.34	
CALL MSFT 01/27/23	252.50	MICROSOFT CORP   CUSIP: *8UDYHC6   Symbol: MSFT--230127C00252500					
2023-01-24	4.0	773.80	2023-01-24	790.34	12.18 D	-4.36	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
PUT NFLX 01/27/23	332.50	NETFLIX INC   CUSIP: *8UDYJP7   Symbol: NFLX--230127P00332500					
2023-01-23	6.0	674.72	2023-01-23	642.22	0.00	32.50	
PUT NFLX 01/27/23	337.50	NETFLIX INC   CUSIP: *8UDYJP9   Symbol: NFLX--230127P00337500					
2023-01-23	14.0	1,581.35	2023-01-23	1,569.79	21.25 D	32.81	
PUT W 01/27/23	55	WAYFAIR INC   CUSIP: *8UDZMH2   Symbol: W----230127P00055000					
2023-01-23	3.0	608.86	2023-01-23	570.11	0.00	38.75	
PUT SPY 04/13/23	412	STANDARD & POORS DEPOSITORY   CUSIP: *8UFRQY0   Symbol: SPY---230413P00412000					
2023-04-13	5.0	84.71	2023-04-13	100.25	0.00	-15.54	
PUT NVDA 05/19/23	312.50	NVIDIA CORPORATION   CUSIP: *8UGKSC0   Symbol: NVDA--230519P00312500					
2023-05-19	1.0	155.93	2023-05-19	125.05	0.00	30.88	
PUT NFLX 06/09/23	420	NETFLIX INC   CUSIP: *8UGPWW3   Symbol: NFLX--230609P00420000					
2023-06-09	2.0	249.88	2023-06-09	224.10	0.00	25.78	
CALL CVNA 06/09/23	25	CARVANA COMPANY   CUSIP: *8UJQDK1   Symbol: CVNA--230609C00025000					
2023-06-09	2.0	207.87	2023-06-09	164.10	0.00	43.77	
PUT NVDA 08/25/23	452.50	NVIDIA CORPORATION   CUSIP: *8UJXRF8   Symbol: NVDA--230825P00452500					
2023-08-25	2.0	217.88	2023-08-25	174.10	0.00	43.78	
CALL NVDA 08/25/23	462.50	NVIDIA CORPORATION   CUSIP: *8UJXRG1   Symbol: NVDA--230825C00462500					
2023-08-25	2.0	249.88	2023-08-25	210.10	0.00	39.78	
CALL TSLA 09/01/23	242.50	TESLA INC   CUSIP: *8UKGPF6   Symbol: TSLA--230901C00242500					
2023-09-01	3.0	368.83	2023-09-01	350.15	0.00	18.68	
CALL NVDA 11/24/23	490	NVIDIA CORPORATION   CUSIP: *8UKZXL1   Symbol: NVDA--231124C00490000					
2023-11-22	1.0	309.94	2023-11-22	255.04	0.00	54.90	
PUT META 10/27/23	297.50	META PLATFORMS INC CL A   CUSIP: *8ULNSK7   Symbol: META--231027P00297500					
2023-10-27	6.0	480.72	2023-10-27	346.24	0.00	134.48	
CALL UPST 12/22/23	44.50	UPSTART HOLDINGS INC   CUSIP: *8UMNHG8   Symbol: UPST--231222C00044500					
2023-12-22	7.0	398.70	2023-12-22	421.28	0.00	-22.58	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
PUT NFLX 01/20/23 305 NETFLIX INC   CUSIP: *8W79463   Symbol: NFLX--230120P00305000							
2023-01-18	1.0	579.93	2023-01-18	570.04	0.00	9.89	
***AKANDA CORP COM   CUSIP: 00971M106   Symbol: #A058465							
2023-01-26	4665.0	1,374.94	2023-01-26	1,371.22	6.51 D	10.23	
2023-01-27	3110.0	1,457.56	2023-01-27	1,427.14	0.00	30.42	
<b>Security Totals:</b>		<b>2,832.50</b>		<b>2,798.36</b>		<b>40.65</b>	
AKERNA CORP COMMON STOCK   CUSIP: 00973W300   Symbol: #A059841							
2023-01-12	400.0	727.92	2023-01-12	712.00	0.00	15.92	
ATERIAN INC COMMON STOCK   CUSIP: 02156U101   Symbol: ATER							
2023-01-17	800.0	1,119.85	2023-01-17	1,103.96	0.00	15.89	
AMESITE INC COMMON STOCK   CUSIP: 031094105   Symbol: #A054846							
2023-02-14	8000.0	3,851.55	2023-02-14	3,826.80	0.00	24.75	
APEXIGEN INC COMMON STOCK   CUSIP: 03759B102   Symbol: #A064409							
2023-01-09	900.0	1,713.87	2023-01-09	1,714.08	4.08 D	3.87	
APPLE INC   CUSIP: 037833100   Symbol: AAPL							
2023-02-02	22.0	3,195.40	2023-02-02	3,196.01	0.11 D	-0.50	
2023-02-06	22.0	3,195.40	2023-02-02	3,200.29	1.59 D	-3.30	
<b>Security Totals:</b>		<b>6,390.80</b>		<b>6,396.30</b>		<b>-3.80</b>	
APPLIED UV INC COMMON STOCK   CUSIP: 03828V105   Symbol: #A054722							
2023-01-27	255.0	466.59	2023-01-27	459.00	0.00	7.59	
***ARGO BLOCKCHAIN PLC AMERICAN DEPOSITARY SHARES   CUSIP: 040126104   Symbol: ARBK							
2023-02-06	255.0	678.24	2023-02-06	668.10	0.00	10.14	
ASCENT SOLAR TECHNOLOGIES INC COMMON STOCK   CUSIP: 043635606   Symbol: #A062874							
2023-02-07	480.0	590.31	2023-02-07	585.60	0.00	4.71	
ATLIS MOTOR VEHICLES INC CLASS A COMMON STOCK   CUSIP: 049506108   Symbol: #A059536							
2023-01-11	150.0	1,370.43	2023-01-11	1,358.02	0.52 D	12.93	

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Apex Clearing

**Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\***

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)

**Box 5:** Box Not Checked (Covered Security)

**Box 6:** Gross (unless indicated as Net in Additional Notes Column)

**Box 12:** Basis Reported to the IRS

**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>AVENUE THERAPEUTICS INC COMMON STOCK   CUSIP: 05360L304   Symbol: ATXI</b>							
2023-01-17	1480.0	3,438.10	2023-01-17	3,409.36	7.71 D	36.45	
<b>AVROBIO INC COMMON STOCK   CUSIP: 05455M100   Symbol: AVRO</b>							
2023-02-08	655.0	1,032.62	2023-02-08	1,009.10	0.00	23.52	
<b>BED BATH &amp; BEYOND INC COMMON STOCK   CUSIP: 075896100   Symbol: #B126811</b>							
2023-01-05	400.0	677.92	2023-01-05	668.00	0.00	9.92	
2023-01-09	1400.0	2,359.73	Various	2,350.64	0.00	9.09	
2023-01-26	1210.0	3,313.19	Various	3,317.73	57.01 D	52.47	
2023-01-30	1010.0	2,650.47	2023-01-26	2,641.88	39.19 D	47.78	
2023-01-31	100.0	238.98	2023-01-27	251.43	12.45 D	-0.00	
2023-02-06	855.0	3,432.10	2023-02-06	3,550.02	119.69 D	1.77	
2023-02-07	400.0	1,243.91	2023-02-07	1,293.67	0.00	-49.76	
2023-02-08	655.0	2,601.28	2023-02-06	2,602.86	0.00	-1.58	
<b>Security Totals:</b>		<b>16,517.58</b>		<b>16,676.23</b>		<b>69.69</b>	
<b>BIGBEAR AI INC COMMON STOCK   CUSIP: 08975B109   Symbol: BBAI</b>							
2023-01-30	2724.0	10,304.42	2023-01-30	10,357.84	96.24 D	42.82	
<b>BIOAFFINITY TECHNOLOGIES INC COMMON STOCK   CUSIP: 09076W109   Symbol: BIAF</b>							
2023-01-24	500.0	1,581.01	2023-01-24	1,561.00	0.00	20.01	
<b>BLUE APRON HOLDINGS INC CLASS A COMMON STOCK   CUSIP: 09523Q200   Symbol: #B033816</b>							
2023-01-12	2000.0	2,407.65	2023-01-12	2,392.18	12.18 D	27.65	
<b>BLUE STAR FOODS CORP COMMON STOCK   CUSIP: 09606H101   Symbol: #B069479</b>							
2023-01-30	1755.0	1,046.05	2023-01-30	1,042.07	0.00	3.98	
<b>BRAINSTORM CELL THERAPEUTICS INC COMMON STOCK   CUSIP: 10501E201   Symbol: BCLI</b>							
2023-02-13	355.0	948.22	2023-02-09	949.63	0.00	-1.41	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
BRIGHT GREEN CORPORATION COMMON STOCK   CUSIP: 10920G100   Symbol: BGXX							
2023-02-01	2880.0	4,165.96	2023-02-01	4,122.60	19.40 D	62.76	
2023-02-03	400.0	548.40	2023-02-03	548.00	0.00	0.40	
<b>Security Totals:</b>		<b>4,714.36</b>		<b>4,670.60</b>		<b>63.16</b>	
BUZZFEED INC CLASS A COMMON STOCK   CUSIP: 12430A102   Symbol: BZFD							
2023-01-26	5345.0	11,305.56	2023-01-26	11,231.09	39.55 D	114.02	
2023-01-27	2165.0	7,266.40	2023-01-27	7,480.86	157.43 D	-57.03	
<b>Security Totals:</b>		<b>18,571.96</b>		<b>18,711.95</b>		<b>56.99</b>	
CARDIO DIAGNOSTICS HOLDINGS INC COMMON STOCK   CUSIP: 14159C103   Symbol: CDIO							
2023-02-06	855.0	2,053.65	2023-02-06	2,003.10	0.00	50.55	
CARVANA CO CLASS A COMMON STOCK   CUSIP: 146869102   Symbol: CVNA							
2023-01-30	200.0	2,082.35	2023-01-30	2,087.41	7.41 D	2.35	
2023-02-06	104.0	1,371.53	2023-02-02	1,364.56	0.00	6.97	
<b>Security Totals:</b>		<b>3,453.88</b>		<b>3,451.97</b>		<b>9.32</b>	
***CELYAD ONCOLOGY SA AMERICAN DEPOSITARY SHARES   CUSIP: 151205200   Symbol: #C049185							
2023-01-18	380.0	1,058.12	2023-01-18	1,048.80	0.00	9.32	
CIPHER MINING INC COMMON STOCK   CUSIP: 17253J106   Symbol: CIFR							
2023-02-02	400.0	919.95	2023-02-02	912.00	0.00	7.95	
CLOUDFLARE INC CLASS A COMMON STOCK PAR VALUE \$0.001 PER SHARE   CUSIP: 18915M107   Symbol: NET							
2023-02-09	40.0	2,624.91	2023-02-09	2,658.93	18.78 D	-15.24	
COMSOVEREIGN HOLDING CORP COMMON STOCK   CUSIP: 205650203   Symbol: #C090047							
2023-01-26	33545.0	3,534.34	2023-01-26	3,538.29	17.16 D	13.21	
COMSOVEREIGN HLDG CORP COMMON STOCK   CUSIP: 205650401   Symbol: COMS							
2023-02-13	40.0	215.98	2023-02-13	214.80	0.00	1.18	
CONTEXTLOGIC INC CLASS A COMMON STOCK   CUSIP: 21077C107   Symbol: #C089851							
2023-02-13	2598.0	2,475.03	2023-02-13	2,437.37	0.00	37.66	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

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(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
COSMOS HEALTH INC COMMON STOCK   CUSIP: 221413305   Symbol: COSM							
2023-01-20	965.0	4,499.34	2023-01-20	4,486.46	5.66 D	18.54	
CUENTAS INC COMMON STOCK   CUSIP: 229794201   Symbol: #C090837							
2023-01-19	10410.0	7,963.34	2023-01-19	7,858.04	0.00	105.30	
CYTOMX THERAPEUTICS INC COM   CUSIP: 23284F105   Symbol: CTMX							
2023-01-05	678.0	1,934.59	2023-01-05	1,908.84	0.00	25.75	
2023-01-09	1330.0	3,722.52	2023-01-05	3,803.34	44.69 D	-36.13	
<b>Security Totals:</b>		<b>5,657.11</b>		<b>5,712.18</b>		<b>-10.38</b>	
EDIBLE GARDEN AG INCORPORATED COMMON STOCK   CUSIP: 28059P204   Symbol: EDBL							
2023-01-31	50.0	498.47	2023-01-31	493.00	0.00	5.47	
ELYS BMG GROUP INC COMMON STOCK   CUSIP: 290734102   Symbol: ELYS							
2023-02-03	1200.0	2,093.55	2023-02-03	2,136.59	52.59 D	9.55	C
ESPORTS ENTERTAINMENT GROUP INC COMMON STOCK   CUSIP: 29667K306   Symbol: #E029872							
2023-01-24	8000.0	1,448.80	2023-01-24	1,417.52	0.00	31.28	
2023-01-25	5555.0	1,167.12	2023-01-25	1,163.64	2.11 D	5.59	
2023-01-31	4110.0	529.18	2023-01-31	513.56	0.00	15.62	
<b>Security Totals:</b>		<b>3,145.10</b>		<b>3,094.72</b>		<b>52.49</b>	
EXXON MOBIL CORP   CUSIP: 30231G102   Symbol: XOM							
2023-01-30	5.0	571.42	2023-01-30	571.66	0.00	-0.24	
FAZE HOLDINGS INC COMMON STOCK   CUSIP: 31423J102   Symbol: FAZE							
2023-01-31	1500.0	1,394.74	2023-01-31	1,388.10	0.00	6.64	
2023-02-02	1300.0	1,390.87	2023-02-02	1,397.92	3.02 D	-4.03	
<b>Security Totals:</b>		<b>2,785.61</b>		<b>2,786.02</b>		<b>2.61</b>	
GAUCHO GROUP HOLDINGS INC COMMON STOCK   CUSIP: 36809R305   Symbol: #G033318							
2023-02-02	410.0	1,436.15	2023-02-02	1,399.00	0.00	37.15	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

**SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS**

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS**1a - Description of property | CUSIP | Symbol**

<b>1c - Date Sold or Disposed</b>	<b>Quantity</b>	<b>1d - Proceeds</b>	<b>1b - Date Acquired</b>	<b>1e - Cost or Other Basis</b>	<b>1f - Accrued Market Discount (M) &amp; 1g - Wash Sale Loss Disallowed (D)</b>	<b>Gain or Loss (-)</b>	<b>Additional Notes</b>
<b>GERON CORP   CUSIP: 374163103   Symbol: GERN</b>							
2023-01-04	255.0	901.36	2023-01-04	897.60	0.00	3.76	
<b>GROM SOCIAL ENTERPRISES INC COMMON STOCK   CUSIP: 39878L407   Symbol: #G033506</b>							
2023-01-23	410.0	1,014.60	2023-01-23	1,002.38	0.00	12.22	
2023-01-24	4123.0	13,264.02	2023-01-24	13,256.80	56.04 D	63.26	
2023-01-26	255.0	775.14	2023-01-24	770.10	0.00	5.04	
2023-01-27	255.0	578.79	2023-01-25	578.82	0.00	-0.03	
<b>Security Totals:</b>		<b>15,632.55</b>		<b>15,608.10</b>		<b>80.49</b>	
<b>HTG MOLECULAR DIAGNOSTICS INC COMMON STOCK   CUSIP: 40434H302   Symbol: HTGMQ</b>							
2023-02-03	400.0	2,355.88	2023-02-01	2,344.00	0.00	11.88	
<b>HELBIZ INC CLASS A COMMON STOCK   CUSIP: 42309B204   Symbol: #H090839</b>							
2023-01-20	166.0	43.30	2023-01-20	42.05	0.00	1.25	
2023-01-23	7700.0	3,342.29	2023-01-23	3,337.43	25.66 D	30.52	
2023-01-24	30830.0	11,773.19	2023-01-24	11,865.41	133.95 D	41.73	
<b>Security Totals:</b>		<b>15,158.78</b>		<b>15,244.89</b>		<b>73.50</b>	
<b>HYPERFINE INC CLASS A COMMON STOCK   CUSIP: 44916K106   Symbol: HYPR</b>							
2023-02-14	1000.0	1,539.81	2023-02-14	1,529.90	0.00	9.91	
<b>***IM CANNABIS CORP COM PAR   CUSIP: 44969Q307   Symbol: IMCC</b>							
2023-02-09	400.0	575.96	2023-02-09	595.00	0.00	-19.04	
<b>IBIO INC COMMON STOCK   CUSIP: 451033609   Symbol: #H098247</b>							
2023-02-06	350.0	370.98	2023-02-06	360.50	0.00	10.48	
<b>***INMED PHARMACEUTICALS INC COM NO PAR   CUSIP: 457637601   Symbol: INM</b>							
2023-01-03	50.0	120.98	2023-01-03	120.00	0.00	0.98	
<b>IRONNET INC COMMON STOCK   CUSIP: 46323Q105   Symbol: #H090966</b>							
2023-01-24	496.0	259.92	2023-01-24	254.15	0.00	5.77	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
JASPER THERAPEUTICS INC COMMON STOCK   CUSIP: 471871103   Symbol: #J049388							
2023-01-03	500.0	1,348.89	2023-01-03	1,303.02	11.02 D	56.89	
LASER PHOTONICS CORPORATION COMMON STOCK   CUSIP: 51807Q100   Symbol: LASE							
2023-01-11	400.0	1,349.92	2023-01-11	1,337.00	0.00	12.92	
LUCIRA HEALTH INC   CUSIP: 54948U105   Symbol: #L022116							
2023-01-03	6610.0	3,578.44	2023-01-03	3,506.30	3.87 D	76.01	
2023-01-06	400.0	207.97	2023-01-06	207.15	0.00	0.82	
<b>Security Totals:</b>		<b>3,786.41</b>		<b>3,713.45</b>		<b>76.83</b>	
LYFT INC CLASS A COMMON STOCK   CUSIP: 55087P104   Symbol: LYFT							
2023-02-09	120.0	1,377.60	2023-02-09	1,376.40	0.00	1.20	
MARATHON DIGITAL HLDGS INC COMMON STOCK   CUSIP: 565788106   Symbol: MARA							
2023-01-05	410.0	1,689.10	2023-01-05	1,685.92	0.00	3.18	
2023-01-09	510.0	2,164.94	2023-01-05	2,162.40	0.00	2.54	
2023-01-12	200.0	1,381.93	2023-01-12	1,374.00	0.00	7.93	
<b>Security Totals:</b>		<b>5,235.97</b>		<b>5,222.32</b>		<b>13.65</b>	
GREENWAVE TECHNOLOGY SOLUTIONS INC COMMON STOCK   CUSIP: 57630J304   Symbol: GWAV							
2023-02-06	888.0	1,191.58	2023-02-06	1,219.21	4.41 D	-23.22	
MOBILE GLOBAL ESPORTS INC COMMON STOCK   CUSIP: 607371101   Symbol: MGAM							
2023-01-31	5685.0	12,188.44	2023-01-31	12,426.68	166.09 D	-72.15	
MOMENTUS INC CLASS A COMMON STOCK   CUSIP: 60879E101   Symbol: #M069690							
2023-01-12	1400.0	1,939.74	2023-01-12	2,025.09	42.16 D	-43.19	
NEOVOLTA INC COMMON STOCK   CUSIP: 640655106   Symbol: NEOV							
2023-01-25	2953.0	9,373.33	2023-01-25	9,474.68	129.08 D	27.73	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
NETFLIX INC COM   CUSIP: 64110L106   Symbol: NFLX							
2023-01-19	50.0	16,461.86	2023-01-19	16,524.32	107.39 D	44.93	
2023-01-23	27.0	9,130.92	Various	9,169.34	12.88 D	-25.54	
<b>Security Totals:</b>		<b>25,592.78</b>		<b>25,693.66</b>		<b>19.39</b>	
OCULAR THERAPEUTIX INC COM   CUSIP: 67576A100   Symbol: OCUL							
2023-02-13	720.0	4,084.98	2023-02-13	4,065.58	0.00	19.40	
180 LIFE SCIENCES CORP COMMON STOCK   CUSIP: 68236V203   Symbol: #L027480							
2023-01-03	400.0	1,980.21	2023-01-03	2,124.28	151.57 D	7.50	
2023-01-25	300.0	1,407.36	2023-01-25	1,577.62	29.05 D	-141.21	
<b>Security Totals:</b>		<b>3,387.57</b>		<b>3,701.90</b>		<b>-133.71</b>	
ONTRAK INC COMMON STOCK   CUSIP: 683373104   Symbol: #P053603							
2023-01-24	1000.0	1,029.92	2023-01-24	1,039.80	0.00	-9.88	
PALANTIR TECHNOLOGIES INC CLASS A COMMON STOCK   CUSIP: 69608A108   Symbol: PLTR							
2023-02-13	80.0	700.36	2023-02-13	687.60	0.00	12.76	
2023-02-15	80.0	705.16	2023-02-13	697.60	0.00	7.56	
<b>Security Totals:</b>		<b>1,405.52</b>		<b>1,385.20</b>		<b>20.32</b>	
PANBELA THERAPEUTICS INC COMMON STOCK   CUSIP: 69833W206   Symbol: #P060555							
2023-01-30	300.0	554.94	2023-01-30	543.00	0.00	11.94	
PARTY CITY HOLDCO INC COMMON STOCK   CUSIP: 702149105   Symbol: #P041116							
2023-01-10	1555.0	1,028.22	2023-01-10	1,015.57	0.00	12.65	
PINTEREST INC CLASS A COMMON STOCK   CUSIP: 72352L106   Symbol: PINS							
2023-02-06	21.0	519.72	2023-02-06	518.56	0.00	1.16	
2023-02-08	40.0	1,018.36	2023-02-06	1,010.80	0.00	7.56	
<b>Security Totals:</b>		<b>1,538.08</b>		<b>1,529.36</b>		<b>8.72</b>	
PRESIDIO PROPERTY TRUST INC CLASS A COMMON STOCK   CUSIP: 74102L303   Symbol: SQFT							
2023-02-10	200.0	263.96	2023-02-10	283.02	9.02 D	-10.04	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
BIORA THERAPEUTICS INC COMMON STOCK   CUSIP: 74319F305   Symbol: BIOR							
2023-01-10	200.0	1,072.43	2023-01-10	1,047.50	0.00	24.93	
RENOVORX INC COMMON STOCK   CUSIP: 75989R107   Symbol: RNXT							
2023-01-19	155.0	607.56	2023-01-17	599.85	0.00	7.71	
RESHAPE LIFESCIENCES INC COMMON STOCK   CUSIP: 76090R200   Symbol: RSL5							
2023-02-08	50.0	358.98	2023-02-08	356.00	0.00	2.98	
SALARIUS PHARMACEUTICALS INC COMMON STOCK   CUSIP: 79400X305   Symbol: SLRX							
2023-01-19	855.0	3,339.32	2023-01-19	3,423.30	10.30 D	-73.68	
***SECOO HOLDING LIMITED ADS   CUSIP: 81367P200   Symbol: SECO							
2023-02-06	900.0	3,564.90	2023-02-06	3,559.98	18.98 D	23.90	
SELECTQUOTE INC COMMON STOCK   CUSIP: 816307300   Symbol: SLQT							
2023-02-09	255.0	540.54	2023-02-09	530.40	0.00	10.14	
SHUTTLE PHARMACEUTICALS HOLDINGS INC COMMON STOCK   CUSIP: 825693203   Symbol: SHPH							
2023-01-12	500.0	1,044.90	2023-01-12	1,088.52	26.02 D	-17.60	
2023-01-17	155.0	375.07	2023-01-12	365.80	0.00	9.27	
<b>Security Totals:</b>		<b>1,419.97</b>		<b>1,454.32</b>		<b>-8.33</b>	
SIDUS SPACE INC CLASS A COMMON STOCK   CUSIP: 826165102   Symbol: #S086837							
2023-02-03	3110.0	1,670.11	2023-02-03	1,641.15	0.00	28.96	
SILO PHARMA INC COMMON STOCK   CUSIP: 82711P201   Symbol: SILO							
2023-02-02	400.0	1,455.90	2023-02-02	1,440.00	0.00	15.90	
SILVERGATE CAPITAL CORPORATION CLASS A COMMON STOCK   CUSIP: 82837P408   Symbol: SICP							
2023-02-02	80.0	1,189.54	2023-02-02	1,181.65	0.00	7.89	
2023-02-06	40.0	645.57	2023-02-02	641.20	0.00	4.37	
<b>Security Totals:</b>		<b>1,835.11</b>		<b>1,822.85</b>		<b>12.26</b>	
SMILEDIRECTCLUB INC CLASS A COMMON STOCK   CUSIP: 83192H106   Symbol: SDCCQ							
2023-01-13	1000.0	817.73	2023-01-13	809.80	0.00	7.93	

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Apex Clearing

# Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

### 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
SONOMA PHARMACEUTICALS INC COMMON STOCK   CUSIP: 83558L204   Symbol: SNOA							
2023-01-25	2915.0	6,547.63	2023-01-25	6,639.11	98.11 D	6.63	
SOUNDHOUND AI INC CLASS A COMMON STOCK   CUSIP: 836100107   Symbol: SOUN							
2023-02-03	1465.0	4,023.46	2023-02-03	4,057.25	50.94 D	17.15	
2023-02-06	2578.0	10,405.20	2023-02-06	10,621.54	274.67 D	58.33	
2023-02-08	1800.0	8,401.57	2023-02-08	8,459.67	67.00 D	8.90	
<b>Security Totals:</b>		<b>22,830.23</b>		<b>23,138.46</b>		<b>84.38</b>	
SPRUCE BIOSCIENCES INC COMMON STOCK   CUSIP: 85209E109   Symbol: SPRB							
2023-01-05	400.0	967.98	2023-01-05	958.00	0.00	9.98	
2023-01-06	510.0	1,468.88	2023-01-06	1,448.20	0.00	20.68	
<b>Security Totals:</b>		<b>2,436.86</b>		<b>2,406.20</b>		<b>30.66</b>	
WISA TECHNOLOGIES INC COMMON STOCK   CUSIP: 86633R203   Symbol: #S082344							
2023-01-19	15000.0	1,736.43	2023-01-19	1,698.00	0.00	38.43	
2023-01-24	12000.0	2,443.80	2023-01-24	2,395.55	0.00	48.25	
<b>Security Totals:</b>		<b>4,180.23</b>		<b>4,093.55</b>		<b>86.68</b>	
SUMO LOGIC INC COMMON STOCK   CUSIP: 86646P103   Symbol: #S083337							
2023-01-23	165.0	1,610.19	2023-01-23	1,603.92	0.82 D	7.09	
2023-01-25	158.0	1,598.67	2023-01-23	1,586.32	0.00	12.35	
<b>Security Totals:</b>		<b>3,208.86</b>		<b>3,190.24</b>		<b>19.44</b>	
TAKUNG ART CO LTD COM   CUSIP: 87407Q207   Symbol: #T020779							
2023-02-08	1280.0	1,330.97	2023-02-08	1,403.20	28.09 D	-44.14	
2023-02-10	255.0	382.45	2023-02-10	410.59	0.00	-28.14	
<b>Security Totals:</b>		<b>1,713.42</b>		<b>1,813.79</b>		<b>-72.28</b>	
***TC BIOPHARM HOLDINGS PLC AMERICAN DEPOSITARY SHARES   CUSIP: 87807D202   Symbol: #T040898							
2023-01-13	100.0	640.46	2023-01-13	624.50	0.00	15.96	
2023-01-26	155.0	883.45	2023-01-26	900.55	0.00	-17.10	
<b>Security Totals:</b>		<b>1,523.91</b>		<b>1,525.05</b>		<b>-1.14</b>	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

## 1a - Description of property | CUSIP | Symbol

1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
TEMPO AUTOMATION HOLDINGS INC   CUSIP: 88024M108   Symbol: TMPOQ							
2023-01-17	410.0	715.86	2023-01-17	714.44	0.04 D	1.46	
TRAVELZOO COMMON STOCK   CUSIP: 89421Q205   Symbol: TZOO							
2023-01-06	400.0	2,111.89	2023-01-04	2,092.00	0.00	19.89	
VALLON PHARMACEUTICALS INC COMMON STOCK   CUSIP: 92023M101   Symbol: #V013142							
2023-02-10	22575.0	13,311.68	2023-02-10	13,796.94	542.40 D	57.14	
2023-02-13	1000.0	888.72	2023-02-13	910.16	0.00	-21.44	
<b>Security Totals:</b>		<b>14,200.40</b>		<b>14,707.10</b>		<b>35.70</b>	
VIRGIN GALACTIC HLDGS INC COMMON STOCK   CUSIP: 92766K106   Symbol: SPCE							
2023-01-12	150.0	758.96	2023-01-12	758.00	0.00	0.96	
2023-01-17	455.0	2,483.47	2023-01-12	2,472.35	0.00	11.12	
<b>Security Totals:</b>		<b>3,242.43</b>		<b>3,230.35</b>		<b>12.08</b>	
VYANT BIO INC COMMON STOCK   CUSIP: 92942V208   Symbol: #V014932							
2023-01-04	700.0	1,042.87	2023-01-04	1,036.00	0.00	6.87	
WETRADE GROUP INC ORDINARY SHARES   CUSIP: 961884103   Symbol: #W013599							
2023-02-08	13865.0	9,088.97	2023-02-08	9,390.01	206.19 D	-94.85	
***ARQIT QUANTUM INC ORDINARY SHARES   CUSIP: G0567U101   Symbol: ARQQ							
2023-02-06	1200.0	4,489.74	2023-02-06	4,512.26	28.26 D	5.74	
***G MEDICAL INNOVATIONS HOLDINGS LTD ORDINARY SHARES   CUSIP: G39462125   Symbol: #G033399							
2023-01-18	100.0	410.98	2023-01-13	406.00	0.00	4.98	
2023-01-30	255.0	1,338.98	2023-01-30	1,330.40	0.00	8.58	
<b>Security Totals:</b>		<b>1,749.96</b>		<b>1,736.40</b>		<b>13.56</b>	
***GOLDEN SUN HEALTH TECHNOLOGY GROUP LIMITED CLASS A ORDINARY SHARES   CUSIP: G4013A107   Symbol: GSUN							
2023-01-06	1000.0	2,178.79	2023-01-06	2,172.04	8.12 D	14.87	
2023-02-01	3873.0	9,647.66	2023-02-01	9,795.63	179.38 D	31.41	
<b>Security Totals:</b>		<b>11,826.45</b>		<b>11,967.67</b>		<b>46.28</b>	

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Apex Clearing

## Proceeds from Broker and Barter Exchange Transactions 2023 Form 1099-B\*

5MV31869

(continued)

2024-03-07

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

**Box 2:** Type of Gain or Loss - Short-term (unless indicated as Ordinary in Additional Notes Column)**Box 5:** Box Not Checked (Covered Security)**Box 6:** Gross (unless indicated as Net in Additional Notes Column)**Box 12:** Basis Reported to the IRS

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1c - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1e - Cost or Other Basis	1f - Accrued Market Discount (M) & 1g - Wash Sale Loss Disallowed (D)	Gain or Loss (-)	Additional Notes
***GUARDFORCE AI CO LIMITED ORDINARY SHARES   CUSIP: G4236L112   Symbol: #G030976							
2023-02-03	3865.0	1,146.58	2023-02-03	1,161.27	13.44 D	-1.25	
2023-02-08	1210.0	572.01	2023-02-08	575.41	0.00	-3.40	
<b>Security Totals:</b>		<b>1,718.59</b>		<b>1,736.68</b>		<b>-4.65</b>	
***KALERA PUBLIC LIMITED COMPANY ORDINARY SHARES   CUSIP: G52251124   Symbol: KALRQ							
2023-02-03	223.0	2,198.54	2023-02-03	2,308.47	63.29 D	-46.64	
***LICHEN CHINA LIMITED CLASS A ORDINARY SHARES   CUSIP: G5479G108   Symbol: LICN							
2023-02-13	300.0	1,444.41	2023-02-13	1,466.27	1.02 D	-20.84	
***LYTUS TECHNOLOGIES HOLDINGS PTV LTD COMMON SHARES   CUSIP: G5851A109   Symbol: #L026863							
2023-01-20	900.0	1,581.86	2023-01-20	1,573.00	0.00	8.86	
***MMTEC INC COMMON SHARES   CUSIP: G6181K114   Symbol: MTC							
2023-02-13	1000.0	909.72	2023-02-13	901.60	0.00	8.12	
***NEWEGG COMMERCE INC COMMON SHARES   CUSIP: G6483G100   Symbol: NEGG							
2023-01-13	5220.0	12,570.89	2023-01-13	12,866.28	328.51 D	33.12	
***GENIUS GROUP LIMITED ORDINARY SHARES   CUSIP: Y3005A109   Symbol: GNS							
2023-01-19	5731.0	10,513.35	2023-01-19	10,381.07	11.02 D	143.30	
2023-01-20	400.0	1,534.90	2023-01-20	1,533.61	0.64 D	1.93	
2023-01-23	870.0	4,472.60	2023-01-23	4,419.29	0.00	53.31	
2023-01-24	1000.0	4,601.72	2023-01-24	4,584.96	0.00	16.76	
<b>Security Totals:</b>		<b>21,122.57</b>		<b>20,918.93</b>		<b>215.30</b>	
***OCEANPAL INC COMMON STOCK   CUSIP: Y6430L160   Symbol: #P060457							
2023-02-07	400.0	605.02	2023-02-07	592.00	0.00	13.02	
***TOP SHIPS INC COMMON STOCK   CUSIP: Y8897Y198   Symbol: #T040581							
2023-02-13	1863.0	3,541.76	2023-02-13	3,511.31	0.00	30.45	
<b>Totals:</b>		<b>1,795,787.51</b>		<b>1,847,070.56</b>		<b>-6,144.48</b>	

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Nominees.** If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) (with a Form 1096) to the IRS for each of the other owners to show their share of the income, and you must furnish the respective Copy B Form(s) to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2023 General Instructions for Certain Information Returns.

**FreeFile.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

**Future developments.** For the latest information about developments related to forms and instructions, such as legislation enacted after they were published, go to [www.irs.gov/forms-instructions](http://www.irs.gov/forms-instructions).

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Box 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Box 1b.** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Box 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

**Box 2b.** Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Box 2c.** Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Box 2d.** Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Box 2e.** Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Box 2f.** Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI. Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Box 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Box 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 5.** Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Box 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

**Box 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

**Box 8.** This box should be left blank if a RIC reported the foreign tax shown in box 7.

**Boxes 9 and 10.** Show cash and noncash liquidation distributions.

**Box 11.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

**Box 12.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

**Box 13.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

**Boxes 14-16.** State income tax withheld reporting boxes.

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Box 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Box 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

**Box 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Box 5.** Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

**Box 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Box 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Box 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Box 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Box 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Box 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Box 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Box 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Box 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Boxes 15-17.** State tax withheld reporting boxes.

**1099-B Instructions to Recipients**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

**Box 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Box 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

**Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "Net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

**Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**

**Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2023.

**Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2022.

**Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2023. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2023 in 2024.

**Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2023 Form 6781.

**Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Boxes 14–16.** Show state(s)/local income tax information.

### 1099-MISC Instructions to Recipients

**Amounts shown may be subject to self-employment (SE) tax.** Individuals should see the Instructions for Schedule SE (Form 1040). Corporations, fiduciaries, or partnerships must report the amounts on the appropriate line of their tax returns.

**Form 1099-MISC incorrect?** If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your information correctly.

**Box 1.** Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.

**Box 2.** Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Box 3.** Generally, report this amount on the "Other income" line of Schedule 1 (Form 1040) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Box 4.** Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Box 5.** Shows the amount paid to you as a fishing boat crew member by the operator, who considers you to be self-employed. Self-employed individuals must report this amount on Schedule C (Form 1040). See Pub. 334.

**Box 6.** For individuals, report on Schedule C (Form 1040).

**Box 7.** If checked, consumer products totaling \$5,000 or more were sold to you for resale, on a buy-sell, a deposit-commission, or other basis. Generally, report any income from your sale of these products on Schedule C (Form 1040).

**Box 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040).

**Box 9.** Report this amount on Schedule F (Form 1040).

**Box 10.** Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.

**Box 11.** Shows the amount of cash you received for the sale of fish if you are in the trade or business of catching fish.

**Box 12.** May show current year deferrals as a nonemployee under a nonqualified deferred compensation (NQDC) plan that is subject to the requirements of section 409A plus any earnings on current and prior year deferrals.

**Box 13.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

**Box 14.** Shows your total compensation of excess golden parachute payments subject to a 20% excise tax. See your tax return instructions for where to report.

**Box 15.** Shows income as a nonemployee under an NQDC plan that does not meet the requirements of section 409A. Any amount included in box 12 that is currently taxable is also included in this box. Report this amount as income on your tax return. This income is also subject to a substantial additional tax to be reported on Form 1040, 1040-SR, or 1040-NR. See the instructions for your tax return.

**Boxes 16–18.** Show state or local income tax withheld from the payments.

### 1099-OID Instructions to Recipients

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, you generally must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

**Box 1.** Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.

**Box 2.** Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Forms 1040 and 1040-SR.

**Box 3.** Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 5.** For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you.

For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Box 6.** For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR). If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and is issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

**Box 7.** Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

**Box 8.** Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this box is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

**Box 9.** Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. Note: This amount is not deductible.

**Box 10.** For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this box is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

**Box 11.** For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

**Boxes 12-14.** State income tax withheld reporting.