

Securian Life Insurance Company Minnesota Life Insurance Company 400 Robert Street North St. Paul, Minnesota 55101-2098		1 Gross distribution \$ 2,688.42	2a Taxable amount \$ 2,688.42	OMB No. 1545-0119 2023 Form 1099-R	Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc. Copy B Report this income on your federal return. If this form shows federal withholding in Box 4, attach this copy to your return. This information is being furnished to the Internal Revenue Service.
		2b Taxable amount not determined <input type="checkbox"/>	Total distribution <input type="checkbox"/>		
		3 Capital gain (included in box 2a) \$ 0.00		4 Federal income tax withheld \$ 268.84	
PAYER TAX ID NUMBER 41-0417830	RECIPIENT TAX ID NUMBER XXX-XX-3163	5 Employee contributions/Designated Roth contributions or insurance premiums \$ 0.00		7 Distribution code(s) 8	
BUSINESS UNIT CODE PS1 064328		IRA/SEP/SIMPLE <input type="checkbox"/>	9a Your percentage of total distribution 100 %		
RECIPIENT'S name, street address, city, state, and ZIP code GUNJUTE, SATISH KUMAR 10101 BREN ROAD EAST UNIT 419 HOPKINS MN 55343		9b Total employee contributions \$ 0.00		10 Amount allocable to IRR within 5 years \$ 0.00	
		11 1st year of desig. Roth contrib.	14 State income tax withheld \$ 0.00		
		13 Date of payment	15 State/Payer's state number MN	State EIN 8125281	

DOHERTY CONSULTING, INC RETIREMENT SAVINGS PLAN

Securian Life Insurance Company Minnesota Life Insurance Company 400 Robert Street North St. Paul, Minnesota 55101-2098		1 Gross distribution \$ 2,688.42	2a Taxable amount \$ 2,688.42	OMB No. 1545-0119 2023 Form 1099-R	Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc. Copy 2 File this copy with your state tax return when required.
		2b Taxable amount not determined <input type="checkbox"/>	Total distribution <input type="checkbox"/>		
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		2b Taxable amount not determined <input type="checkbox"/>	Total distribution <input type="checkbox"/>		
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If you have questions on the above information, please call 1-800-233-2881, hold for assist
 The amount in box 1 represents 2023 retirement account distribution(s).

Distributions from Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, Etc.

Instructions for Recipient

Generally, distributions from pensions, annuities, profit-sharing and retirement plans, (including section 457 state and local government plans), IRAs, insurance contracts, etc., are reported to recipients on Form 1099-R.

Qualified Plans and section 403(b) plans - If your annuity starting date is after 1997, you must use the simplified method to figure your taxable amount if your payer did not show the taxable amount in box 2a. See the instructions for your tax return.

IRAs. For distributions from a traditional individual retirement arrangement (IRA), simplified employee pension (SEP), or savings incentive match plan for employees (SIMPLE), generally the payer isn't required to compute the taxable amount. See the instructions for your tax return to determine the taxable amount. If you're at least age 72, you must take minimum distributions from your IRA (other than a Roth IRA). If you don't, you're subject to a 50% excise tax on the amount that should've been distributed. See **Pub. 590-A** and **Pub. 590-B** for more information on IRAs.

Loans Treated as Distributions - If you borrow money from a qualified plan, 403(b) plan, or governmental section 457(b) plan, you may have to treat the loan as a distribution and include all or part of the amount borrowed in your income. There are exceptions to this rule. If your loan is taxable, Code L will be shown in box 7. See **Pub. 575**, Pension and Annuity Income.

Roth IRAs - For distributions from a Roth IRA, generally the payer is not required to compute the taxable amount. You must compute any taxable amount on Form 8606. An amount shown in box 2a may be taxable earnings on an excess contribution.

Account Number - May show an account or other unique number the payer assigned to distinguish your account.

Recipient's Identification Number - For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

Box 1 - Shows the total amount you received this year. The amount may have been a direct rollover, a transfer or conversion to a Roth IRA, a recharacterized IRA contribution; or you may have received it as periodic payments, nonperiodic payments, or a total distribution. Report the amount on Form 1040, 1040-SR or 1040NR on the line for "IRA distributions" or "Pensions, and annuities" (or the line for "Taxable Amount"), and on Form 8606, as applicable. However, if this is a lump-sum distribution, see **Form 4972**. If you have not reached minimum retirement age, report your disability payments on the line for "Wages, salaries, tips, etc." on your tax return. Also report on that line permissible withdrawals from eligible automatic contribution arrangements and corrective distributions of excess deferrals, excess contributions, or excess aggregate contributions except if the distribution is of designated Roth contributions or your after-tax contributions or if you are self-employed.

If a life insurance, annuity, or endowment contract was transferred tax free to another trustee or contract issuer, an amount will be shown in this box and Code 6 will be shown in Box 7. You need not report this on your tax return. If code C is shown in box 7, the amount shown in box 1 is a receipt of reportable death benefits that is taxable in part.

Box 2a - This part of the distribution is generally taxable. If there is no entry in this box, the payer may not have all the facts needed to figure the taxable amount. In that case, the first box in Box 2b should be checked. You may want to get one of the free publications from the IRS to help you figure the taxable amount: **Pub. 525**, Taxable and Nontaxable Income, **Pub. 560**, **Pub. 571**, Tax-Sheltered Annuity Plans, **Pub. 575**, **Pub. 590-A**, **Pub. 590-B**, **Pub. 721**, Tax Guide to U.S. Civil Service Retirement Benefits, or **Pub. 939**, General Rule for Pensions and Annuities. For an IRA distribution, see **IRAs and Roth IRAs** above. For a direct rollover, other than from a qualified plan to a Roth IRA, zero should be shown, and you must enter zero (-0-) on the "Taxable Amount" line of your tax return. If you roll over a distribution (other than a distribution from a designated Roth account) from a qualified plan (including a governmental section 457(b) plan or section 403(b) plan) to a Roth IRA, you must include on the "taxable amount" line of your tax return the amount shown in this box plus the amount in box 6, if any.

If this is a total distribution from a qualified plan, and you were born before January 2, 1936 (or you are the beneficiary of someone born before January 2, 1936), you may be eligible for the 10-year tax option. See the Instructions for Form 4972 for more information.

If you are an eligible retired public safety officer who elected to exclude from income distributions from your eligible plan used to purchase certain insurance premiums, the amount shown in box 2a has not been reduced by the exclusion amount. See the instructions for Form 1040 or 1040NR for more information.

Box 2b - If the first box is checked, the payer was unable to determine the taxable amount, and Box 2a should be blank, except for an IRA. It is your responsibility to determine the taxable amount. If the second checkbox is checked, the distribution was a total distribution that closed out your account.

Box 3 - If you received a lump-sum distribution from a qualified plan, and you were born before January 2, 1936 (or you are the beneficiary of someone born before January 2, 1936), you may be able to elect to treat this amount as a capital gain on Form 4972 (not on Schedule D (Form 1040)). See the Instructions for Form 4972. For a charitable gift annuity, report as a long term capital gain as explained in the instructions for Form 8949.

Box 4 - Shows Federal Income tax withheld. **Include this on your income tax return as tax withheld, and if Box 4 shows an amount (other than zero), attach Copy B to your return.** Generally, if you will receive payments next year that are not eligible rollover distributions, you can change your withholding or elect not to have income tax withheld by giving the payer Form W-4P.

Box 5 - Generally, this shows the employee's investment in the contract (after tax contributions), if any, recovered tax-free this year; the portion that is your basis in a designated Roth account; the part of premiums paid on commercial annuities or insurance contracts recovered tax-free; the nontaxable part of a charitable gift annuity; or the investment in a life insurance contract reportable under 6050Y. This box does not show any IRA contributions. If the amount shown is your basis in a designated Roth account, the year you first made contributions to that account may be entered in box 11.

Box 6 - If you received a lump-sum distribution from a qualified plan that includes securities of the employer's company, the net unrealized appreciation (NUA) (any increase in value of such securities while in the trust) is taxed only when you sell the securities unless you choose to include it in your gross income this year. See **Pub. 575** and Form 4972. If you roll over the distribution to a designated Roth account in the same plan or Roth IRA, see the instructions for Box 2a. If the distribution was a direct rollover, the NUA is included in Box 2a. If you did not receive a lump-sum distribution, the amount shown is the NUA attributable to employee contributions, which is not taxed until you sell the securities.

Box 7 - The following codes identify the distribution you received. For more information on these distributions, see the instructions for your tax return. Also, certain distributions may be subject to an additional 10% tax. See the instructions for Form 5329.

- 1 - Early distribution, no known exception (in most cases, under age 59½).
- 2 - Early distribution, exception applies (under age 59½).
- 3 - Disability
- 4 - Death
- 5 - Prohibited transaction
- 6 - Section 1035 exchange (a tax-free exchange of life insurance, annuity, qualified long-term care insurance, or endowment contracts).
- 7 - Normal distribution
- 8 - Excess contributions plus earnings/excess deferrals (and/or earnings) taxable in 2023.
- 9 - Cost of current life insurance protection.

A - May be eligible for 10-year tax option (see Form 4972).

B - Designated Roth account distribution.

Note: If Code B is in box 7 and an amount is reported in box 10, see the instructions of Form 5329.

C - Reportable Death Benefits Under Section 6050Y

D - Annuity payments from nonqualified annuities that may be subject to tax under section 1411.

E - Distributions under Employee Plans Compliance Resolution System (EPCRS).

F - Charitable gift annuity.

G - Direct rollover of a distribution to a qualified plan, a section 403(b) plan, a governmental section 457(b) plan, or an IRA.

H - Direct rollover of a designated Roth account distribution to a Roth IRA.

J - Early distribution from a Roth IRA, no known exception (in most cases, under age 59½).

L - Loans treated as distributions.

M - Qualified plan loan offset.

N - Recharacterized IRA contribution made for 2023 and recharacterized in 2023.

P - Excess contributions plus earnings/excess deferrals taxable in 2022.

Q - Qualified distribution from a Roth IRA.

R - Recharacterized IRA contribution made for 2022 and recharacterized in 2023.

S - Early distribution from a SIMPLE IRA in first 2 years, no known exception under age 59½).

T - Roth IRA distribution, exception applies.

If the IRA/SEP/SIMPLE box is checked, you have received a Traditional IRA, SEP, or SIMPLE distribution.

Box 9a - If a total distribution was made to more than one person, the percentage you received is shown.

Box 9b - For a life annuity from a qualified plan or from a 403(b) plan (with after-tax contributions), an amount may be shown for the employee's total investment in the contract. It is used to compute the taxable part of the distribution. See **Pub. 575**.

Box 10 - If an amount is reported in this box, see the instructions for Form 5329 and **Pub. 575**.

Box 11 - The 1st year you made a contribution to the designated Roth account reported on this form is shown in this box.

Box 13 - Shows the date of payment for reportable death benefits under section 6050Y.

Boxes 14-19 - If state or local income tax was withheld from the distribution, boxes 16 and 19 may show the part of the distribution subject to state and/or local tax.