Robinhood Markets Inc 85 Willow Road Menlo Park, CA 94025 650-940-2700



Revanthkumarreddy Kothapu 1945 Bridgecrest Xing Saint Charles, MO 63303 Master Account Number for Import: 995901279 **Document ID for Import:** 635G3563MDK

Enclosed is your 2023 Consolidated Tax Statement. 1099 Tax Forms for the following accounts are included in this package:

995901279 - Robinhood Securities LLC 995901279C - Robinhood Crypto LLC

New for 2023 Tax Year - 1099 tax forms for Robinhood Markets Inc. will be displayed using an aggregated format based on key tax lot criteria. We have done this to reduce the size of your overall tax package, as well as to help make your tax documents easier to use. This new format still provides you with all the information you need to file your taxes.

Robinhood Retirements Accounts - If you had any reportable distributions or contributions within a Robinhood Retirement account, your 2023 tax forms 1099-R and/or 5498 will be delivered separately. Retirement accounts will be reported under Robinhood Securities LLC and will have their own unique Doc IDs for import to tax software providers such as TurboTax or H&R Block.

Filing Your Taxes - If filing through tax service providers, such as TurboTax or H&R Block, please utilize the Master Account Number and Document ID listed above. The Document ID is necessary in order to directly import your 1099 data into the tax software so that you do not need to manually enter each transaction. Please note that tax software providers may have limits on the total number of transactions that can be directly imported using the Document ID.



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Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

85 Willow Road Menlo Park, CA 94025

Customer Service: 650-940-2700

Tax Information Account 995901279

Revanthkumarreddy Kothapu 1945 Bridgecrest Xing Saint Charles, MO 63303

RECIPIENT'S TIN: XXX-XX-9828

PAYER'S TIN: 46-4364776 Summary Information 11 - [1 FATCA filing requirement (see instructions)

13 - [] FATCA filing requirement (see instructions) **2023 1099-MISC*** OMB No. 1545-0115

11 -[] FATCA filing requirement (see instructions)		Summary information		13-[]
DIVIDENDS AND DISTRIBUTIONS	2023 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2023
1a- Total ordinary dividends (includes lines 1b, 5	5, 2e)	0.00	2- Royalties	
1b- Qualified dividends	•	0.00	3- Other income	
2a- Total capital gain distributions (includes lines	s 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld	
2b- Unrecaptured Section 1250 gain		0.00	8- Substitute payments in lieu of dividends or in	nterest
2c- Section 1202 gain		0.00	, ,	
2d- Collectibles (28%) gain		0.00	SECTION 1256 CONTRACTS	2023
2e- Section 897 ordinary dividends		0.00		-11-
2f- Section 897 capital gain		0.00	8- Profit or (loss) realized in 2023 on closed cor	
3- Nondividend distributions		0.00	9- Unrealized profit or (loss) on open contracts-	
4- Federal income tax withheld		0.00	10- Unrealized profit or (loss) on open contracts-	-12/31/2
5- Section 199A dividends		0.00	 Aggregate profit or (loss) on contracts 	
6- Investment expenses		0.00	If applicable, proceeds from sale transactions	sannea
9 , 1	oreign tax paid:	0.00	detailed in subsequent sections of this docun	, ,
9- Cash liquidation distributions		0.00	* This is important tax information and is being furnish	
10- Noncash liquidation distributions		0.00	If you are required to file a return, a negligence penal	
12- Exempt-interest dividends (includes line 13)		0.00	you if this income is taxable and the IRS determines the	
13- Specified private activity bond interest divide	enas (AIVI I)	0.00	,	

ΓS 2023 1099-B* OMB No. 1545-0715 3 on closed contracts 0.00 pen contracts-12/31/2022 0.00 pen contracts-12/31/2023 0.00 ontracts 0.00

Statement Date: 02/02/2024

nle transactions appear summarized below and are s of this document.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	82,049.61	78,428.48	0.00	1,829.22	5,450.35
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	82,049.61	78,428.48	0.00	1,829.22	5,450.35
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	82,049.61	78,428.48	0.00	1,829.22	5,450.35
Withholding		Amount				
Federal income	tax withheld	0.00				

being furnished to the Internal Revenue Service. ligence penalty or other sanction may be imposed on determines that it has not been reported.

Account 995901279

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Robinhood Markets Inc. as agent for **Robinhood Securities LLC**

Summary Information

(continued) 02/02/2024

INTEREST INCOME

2023

2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid Tax-exempt accrued interest paid (AMT)	0.00 0.00 0.00 0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	60.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for Robinhood Securities LLC

Proceeds from Broker and Barter Exchange Transactions

02/02/2024

Account 995901279

2023 1099-B* OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT	HOLDINGS, IN C. / CUSIP: 0	00165C104 / Symbol:					
07/21/23	1,290.491	10,966.54	Various	6,000.00		4,966.54	Total of 7 transactions
ADDENTAX GROUP CO	RP. COMMON ST OCK / CU	SIP: 00653L202 / Symb	ool:				
05/12/23	5,277.000	4,866.11	Various	4,072.97		793.14	Total of 5 transactions
AMAZON.COM, INC. CO	MMON STOCK / CUSIP: 023	3135106 / Symbol:					
05/11/23	94.570	10,708.95	Various	11,672.80		-963.85	Total of 3 transactions
05/18/23	95.104	11,211.68	Various	9,676.20	***	1,535.48	Total of 5 transactions
	Security total:	21,920.63		21,349.00		571.63	
INVO BIOSCIENCE, INC	COMMON S TOCK / CUSIF	P: 44984F401 / Symbol:					
08/09/23	2,015.000	4,373.44	Various	3,842.27		531.17	Total of 10 transactions Sale ²⁵
08/09/23 08/17/23	2,051.000 128.000	3,794.01 175.34	08/09/23 Various	3,978.94 291.88	184.93 W 	0.00 -116.54	Total of 2 transactions

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Securities LLC

Proceeds from Broker and Barter Exchange Transactions

Account 995901279

2023 1099-B* OMB No. 1545-0715

(continued)

02/02/2024

$\textbf{SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]} \ (\textit{Lines 2 \& 5}) \\$

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of prope	erty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INVO BIOSCIENCE, INC	COMMON S TOCK / CUSIF	P: 44984F401 / Symbol:	(cont'd)				
08/17/23 09/01/23	2,061.000 1,712.000	2,825.28 2,777.15	Various Various	4,189.74 3,925.98	1,225.13 W	-139.33 -1,148.83	Total of 6 transactions Total of 24 transactions
LLICID CPOLID INC CC	Security total: DMMON STOCK / CUSIP: 549	13,945.22		16,228.81	1,410.06 W	-873.53	
•		•					
07/10/23	897.574	7,027.91	Various	6,249.00		778.91	Total of 4 transactions
08/09/23	757.945	5,503.59	Various	5,050.00		453.59	Total of 4 transactions
08/09/23	917.732	6,663.83	Various	7,029.00	365.17 W	0.00	Total of 2 transactions
12/14/23	49.386	254.84	08/10/23	336.24		-81.40	Sale ²⁵
12/14/23	917.732	4,735.60	Various	6,613.46	53.99 W	-1,823.87	Total of 3 transactions
	Security total:	24,185.77		25,277.70	419.16 W	-672.77	
LYFT, INC. CLASS A CC	MMON STOC K / CUSIP: 55	087P104 / Symbol:					
06/01/23	629.723	5,736.64	Various	5,000.00		736.64	Total of 4 transactions
MINK THERAPEUTICS,	INC. COMMON STOCK / CU	SIP: 603693102 / Symb	ool:				
05/26/23	223.299	428.70	Various	500.00		-71.30	Total of 3 transactions
Totals :		82,049.61		78,428.48	1,829.22 W	5,450.35	

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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R	obinhood Markets Inc. as agent for		Account	995901279
R	obinhood Securities LLC	Fees and Expenses		
20	023		02/02/202	24

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Gold Subscription fee	GLDSUBFEE	01/17/23	-5.00	Subscription expense	
		02/13/23	-5.00	Subscription expense	
		03/15/23	-5.00	Subscription expense	
		04/14/23	-5.00	Subscription expense	
		05/15/23	-5.00	Subscription expense	
		06/13/23	-5.00	Subscription expense	
		07/13/23	-5.00	Subscription expense	
		08/14/23	-5.00	Subscription expense	
		09/11/23	-5.00	Subscription expense	
		10/11/23	-5.00	Subscription expense	
		11/10/23	-5.00	Subscription expense	
		12/11/23	-5.00	Subscription expense	
		-	-60.00	Total Subscription expense	
			-60.00	Total Subscription expense	

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Robinhood Markets Inc. as agent for Robinhood Securities LLC	Non-Reportable Actions	Account 995901279
2023		02/02/2024

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AMC ENTERTAINMENT HOLDINGS, IN C.	00165C302	08/28/23	19.34	0.00	Stock dividend	
MINK THERAPEUTICS, INC. COMMON STOCK	603693102	04/28/23	0.01	0.00	Stock spinoff	
TAKUNG ART CO., LTD.	87407Q207	09/18/23	-184.95	0.00	Exchange	
NFT LIMITED	G6363T107	09/18/23	184.95	0.00	Exchange	

Robinhood Markets Inc. as agent for Robinhood Securities LLC

2023 End Notes for Account 995901279

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked. box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 10 may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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Statement Date: 02/02/2024

Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

85 Willow Rd

Menlo Park, CA 94025

Customer Service: 650-940-2700

Tax Information Account 995901279C

Revanthkumarreddy Kothapu 1945 Bridgecrest Xing Saint Charles, MO 63303

RECIPIENT'S TIN: XXX-XX-9828

PAYER'S TIN: 46-4364776

DIVIDENDS AND DISTRIBUTIONS 2023 1099-DIV* OMB No. 1545-0110 1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00 1b- Qualified dividends 0.00 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00 2b- Unrecaptured Section 1250 gain 0.00 2c- Section 1202 gain 0.00 2c- Section 1202 gain 0.00 2d- Collectibles (28%) gain 0.00 2f- Section 897 ordinary dividends 0.00 3- Nondividend distributions 0.00 3- Nondividend distributions 0.00 3- Nondividend distributions 0.00 4- Federal income tax withheld 0.00 4- Federal income tax withheld 0.00 4- Federal income tax withheld 0.00 6- Investment expenses 0.00 6- Investment expenses 0.00 8- Foreign country or US possession: 7- Foreign tax paid: 0.00 9- Cash liquidation distributions 0.00 1- Nearest liquidation distributions 0.00 1- Cash liquidation distributions	11 - [] FATCA filing requirement (see instructions)	Summar	y Information	13 - [] FATCA filing requirem	ent (see instructions)
1b- Qualified dividends 2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 2b- Unrecaptured Section 1250 gain 2c- Section 1202 gain 2c- Collectibles (28%) gain 2e- Section 897 ordinary dividends 2f- Section 897 capital gain 3- Other income tax withheld 3- Output of dividends or interest 3- Output of dividends 3- Output of div	DIVIDENDS AND DISTRIBUTIONS 2023 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION	2023 1099-MISC*	OMB No. 1545-0115
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 2b- Unrecaptured Section 1250 gain 2c- Section 1202 gain 2d- Collectibles (28%) gain 2e- Section 897 ordinary dividends 2f- Section 897 capital gain 3 Nondividend distributions 4- Federal income tax withheld 5- Profit or (loss) realized in 2023 on closed contracts 9- Unrealized profit or (loss) on open contracts-12/31/2022 0.00 4- Federal income tax withheld 0.00 10- Unrealized profit or (loss) on open contracts-12/31/2023 10- Unrealized profit or (loss) on contracts 11- Aggregate profit or (loss) on contracts 12- Section 897 capital gain 13- Profit or (loss) on open contracts-12/31/2022 14- Federal income tax withheld 15- Profit or (loss) on open contracts-12/31/2023 16- Unrealized profit or (loss) on contracts 17- Foreign tax paid: 18- Profit or (loss) on open contracts-12/31/2023 19- Unrealized profit or (loss) on open contracts-12/31/2023 11- Aggregate profit or (loss) on contracts 11- Aggregate profit or (loss) on contracts 12- Variable profit or (loss) on contracts 13- Variable profit or (loss) on contracts 14- Federal income tax withheld 15- Profit or (loss) on closed contracts 16- Unrealized profit or (loss) on open contracts-12/31/2023 17- Aggregate profit or (loss) on contracts 18- Profit or (loss) on open contracts-12/31/2023 18- Profit or (loss) on open contracts-12/31/2023 19- Unrealized profit or (loss) on open contracts-12/31/2023 19- Unrealized profit or (loss) on open contracts-12/31/2023 11- Aggregate profit or (loss) on contracts 11- Aggregate profit or (loss) on contracts of this document.	1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties		0.00
2b- Unrecaptured Section 1250 gain 2c- Section 1202 gain 2d- Collectibles (28%) gain 2e- Section 897 ordinary dividends 2f- Section 897 capital gain 3. Nondividend distributions 4- Federal income tax withheld 5- Section 199A dividends 6- Investment expenses 8- Substitute payments in lieu of dividends or interest 9.00 8- Substitute payments in lieu of dividends or interest 9.00 8- Section 1256 CONTRACTS 9- Unrealized profit or (loss) realized in 2023 on closed contracts 9- Unrealized profit or (loss) on open contracts-12/31/2022 9- Unrealized profit or (loss) on open contracts-12/31/2023 10- Unrealized profit or (loss) on open contracts-12/31/2023 11- Aggregate profit or (loss) on contracts 12- Section 199A dividends 13- Section 199A dividends 14- Aggregate profit or (loss) on contracts 15- Section 199A dividends 16- Investment expenses 17- Foreign tax paid: 18- Substitute payments in lieu of dividends or interest 9- Unrealized CONTRACTS 18- Profit or (loss) realized in 2023 on closed contracts 10- Unrealized profit or (loss) on open contracts-12/31/2022 16- Unrealized profit or (loss) on open contracts-12/31/2023 18- Profit or (loss) on open contracts-12/31/2022 19- Unrealized profit or (loss) on open contracts-12/31/2023 19- Unrealized pr	1b- Qualified dividends	0.00	3- Other income		0.00
2c- Section 1202 gain 2d- Collectibles (28%) gain 2e- Section 897 ordinary dividends 2f- Section 897 capital gain 3. Nondividend distributions 4- Federal income tax withheld 5- Section 199A dividends 6- Investment expenses 8- Foreign country or US possession: 9- Cash liquidation distributions 7- Foreign tax paid: 0.00 2section 1202 gain 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax withheld		0.00
2d- Collectibles (28%) gain 2e- Section 897 ordinary dividends 2f- Section 897 capital gain 3- Nondividend distributions 4- Federal income tax withheld 5- Section 199A dividends 6- Investment expenses 8- Foreign country or US possession: 8- Profit or (loss) realized in 2023 on closed contracts 9- Unrealized profit or (loss) on open contracts-12/31/2022 0.00 10- Unrealized profit or (loss) on open contracts-12/31/2023 0.00 11- Aggregate profit or (loss) on contracts 0.00 11- Aggregate profit or (loss) on contracts 0.00 11- Aggregate profit or (loss) on contracts 0.00 15- Section 199A dividends 16- Investment expenses 17- Foreign tax paid: 18- Profit or (loss) realized in 2023 on closed contracts 0.00 10- Unrealized profit or (loss) on open contracts-12/31/2022 0.00 11- Aggregate profit or (loss) on contracts 0.00 11- Aggregate profit or (loss) on contracts 0.00 15- Aggregate profit or (loss) on contracts 0.00 16- Investment expenses 0.00 17- Foreign tax paid: 0.00 18- Foreign country or US possession: 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends or in	nterest	0.00
2e- Section 897 ordinary dividends 2f- Section 897 capital gain 3- Nondividend distributions 4- Federal income tax withheld 5- Section 199A dividends 6- Investment expenses 8- Foreign country or US possession: 9- Cash liquidation distributions 0.00 2f- Section 897 capital gain 0.00 0.00 3- Nondividend distributions 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.	2c- Section 1202 gain	0.00	, ,		
2e- Section 897 ordinary dividends 2f- Section 897 capital gain 3 - Nondividend distributions 4 - Federal income tax withheld 5 - Section 199A dividends 6 - Investment expenses 8 - Profit or (loss) realized in 2023 on closed contracts 9 - Unrealized profit or (loss) on open contracts-12/31/2022 9 - Unrealized profit or (loss) on open contracts-12/31/2023 9 - Unrealized profit or (loss) on open co	2d- Collectibles (28%) gain	0.00	SECTION 1256 CONTRACTS	2023 1099-B*	OMB No. 1545-0715
3- Nondividend distributions 4- Federal income tax withheld 5- Section 199A dividends 6- Investment expenses 8- Foreign country or US possession: 9- Unrealized profit or (loss) on open contracts-12/31/2022 10- Unrealized profit or (loss) on open contracts-12/31/2023 11- Aggregate profit or (loss) on contracts 11- Aggregate profit or (loss) on contracts 11- Aggregate profit or (loss) on open contracts-12/31/2023 11- Aggregate profit or (loss) on open contracts-12/31/2022 11- Aggregate profit or (loss) on open contracts-12/31/2022 11- Aggregate profit or (loss) on open contracts-12/31/2023 11- Aggregate profit or (loss) on open contracts-12/31/2022 11- Aggregate profit or (loss) on open contracts-12/31/2022 11- Aggregate profit or (loss) on open contracts-12/31/2023 11- Aggregate profit or (loss) on o	2e- Section 897 ordinary dividends	0.00			
4- Federal income tax withheld 5- Section 199A dividends 6- Investment expenses 8- Foreign country or US possession: 9- Cash liquidation distributions 7- Foreign tax paid: 9- Cash liquidation distributions 9- Cash liquidation distributions 9- This is important to x information and is height furnished to the Internal Poynous Service	2f- Section 897 capital gain	0.00			
5- Section 199A dividends 0.00 11- Aggregate profit or (loss) on contracts 0.00 6- Investment expenses 0.00 8- Foreign country or US possession: 7- Foreign tax paid: 0.00 detailed in subsequent sections of this document. 9- Cash liquidation distributions 0.00 ** This is important to x information and is being furnished to the Internal Poyonus Service	3- Nondividend distributions	0.00			0.00
6- Investment expenses 8- Foreign country or US possession: 9- Cash liquidation distributions 7- Foreign tax paid: 0.00 detailed in subsequent sections of this document. **This is important to x information and is being furnished to the Internal Poyonus Service.	4- Federal income tax withheld	0.00	 Unrealized profit or (loss) on open contracts- 	·12/31/2023	0.00
8- Foreign country or US possession: 9- Cash liquidation distributions 7- Foreign tax paid: 0.00 detailed in subsequent sections of this document. This is important to x information and is being furnished to the Internal Poyonus Service.	5- Section 199A dividends	0.00	11- Aggregate profit or (loss) on contracts		0.00
9- Cash liquidation distributions 4- Foreign tax paid: 5- Foreign tax paid: 6- Foreign tax paid: 6- Foreign tax paid: 6- Foreign tax paid: 7- Foreign tax paid: 6- Cash liquidation distributions 6- Cash liquidation distributions 7- Foreign tax paid: 7- Foreign tax paid: 8- Cash liquidation distributions 8- This is important tax information and is being furnished to the Internal Poyenus Services	6- Investment expenses	0.00	Manufachia anno de formada transca Com		
9- Cash liquidation distributions 0.00 * This is important to important to important on a liquidation and is being furnished to the Internal Poyonus Service.	8- Foreign country or US possession: 7- Foreign tax paid:	0.00			w and are
		0.00	,		
	10- Noncash liquidation distributions	0.00			
12- Exempt-interest dividends (includes line 13) If you are required to file a return, a negligence penalty or other sanction may be imposed on			If you are required to file a return, a negligence penal	ty or other sanction ma	y be imposed on
13- Specified private activity bond interest dividends (AMT) you if this income is taxable and the IRS determines that it has not been reported.	,		you if this income is taxable and the IRS determines the	at it has not been report	ed.

13- Specified private activity bond interest dividends (AMT) SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	B (basis not reported to the IRS)	15,016.98	13,955.70	0.00	0.00	1,061.28
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	15,016.98	13,955.70	0.00	0.00	1,061.28
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	9,587.55	25,382.61	0.00	0.00	-15,795.06
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	9,587.55	25,382.61	0.00	0.00	-15,795.06
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	24,604.53	39,338.31	0.00	0.00	-14,733.78
Withholding		Amount				
Federal income	tax withheld	0.00				

Account 995901279C

Robinhood Markets Inc. as agent for **Robinhood Crypto LLC**

Summary Information

(continued) 02/02/2024

INTEREST INCOME

2023

2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Interest income (not included in line 3) Early withdrawal penalty	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	
FATCA filling requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid (AMT) Taxable accrued interest paid (AMT) Taxable accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid (AMT) Nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest (AMT) Interest shortfall on contingent payment debt Bond premium- Non Treasury obligations (noncovered lots) Bond premium- Treasury obligations (noncovered lots) Bond premium- Tax-exempt obligations (noncovered lots)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0
,	

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year Acquisition premium (covered lots) Acquisition premium (noncovered lots)	0.00 0.00 0.00
Original issue discount on Treasury obligations Acquisition premium, Treasury obligations (covered lots)	0.00 0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

Proceeds from Broker and Barter Exchange Transactions

02/02/2024

Account 995901279C

2023 1099-B* OMB No. 1545-0715

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of prop	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
Ethereum Classic / CUS	SIP: ETCUSD / Symbol:						
08/14/23	140.000	2,455.25	Various	4,440.62		-1,985.37	Total of 2 transactions
11/10/23	204.412199	4,156.99	Various	3,608.43		548.56	Total of 5 transactions
12/27/23	375.32615	8,404.74	Various	5,906.65	···	2,498.09	Total of 4 transactions
	Security total:	15,016.98		13,955.70		1,061.28	
Totals :	•	15,016.98		13,955.70		1,061.28	

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Markets Inc. as agent for Robinhood Crypto LLC

Proceeds from Broker and Barter Exchange Transactions

Account 995901279C

2023 1099-B* OMB No. 1545-0715

(continued)

02/02/2024

LONG TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/0	CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	
Bitcoin SV / CUSIP: BSVUSD	/ Symbol:						
01/26/23	144.700	5,610.01	Various	21,163.19		-15,553.18	Total of 11 transactions
Ethereum Classic / CUSIP: E	TCUSD / Symbol:						
11/10/23	195.587801	3,977.54	Various	4,219.42	•••	-241.88	Total of 5 transactions
Totals :		9,587.55		25,382.61		-15,795.06	

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

Instructions for Recipient

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked. box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 10 may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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