

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SF22452
Account Name: RAHUL JAIN
Taxpayer Identification Number:***-**-9063

Account Executive No: DPA

CORRECTED: 03/13/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

CONSOLIDATED 2018 FORMS 1099 AND DETAILS

RAHUL JAIN
12261 LEXINGTON PARK DR 208
TAMPA, FL 33626 2728

YEAR-END MESSAGES

THIS DOCUMENT INCLUDES YOUR CONSOLIDATED FORM 1099 THAT WE ARE REQUIRED TO PROVIDE TO YOU AND THE INTERNAL REVENUE SERVICE. WE SUGGEST THAT YOU SEEK THE ADVICE OF A TAX PROFESSIONAL WHEN PREPARING YOUR RETURN.

PLEASE VERIFY YOUR PERSONAL INFORMATION FOR ACCURACY AND CONTACT YOUR BROKER-DEALER OR REGISTERED ADVISOR TO CORRECT ANY DISCREPANCIES. IF YOU OWN MUTUAL FUNDS, REAL ESTATE TRUSTS (REITS), REMIC SECURITIES OR WHFIT'S, INCOME DISTRIBUTIONS MAY BE RECLASSIFIED BY THE ISSUER AFTER THE ORIGINAL 1099 IS SENT. IF YOU HOLD THESE SECURITIES YOU MAY RECEIVE A CORRECTED FORM 1099. IN ADDITION TO THE 1099 INFORMATION, SUPPLEMENTAL DETAILS ARE PROVIDED TO HELP YOU PREPARE YOUR RETURN. ONLY INFORMATION REPORTED ON FORM 1099 IS SENT TO THE IRS.

Your Account did not receive the following Forms:

1099-INT
1099-OID

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Account Executive No: DPA

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IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

RECIPIENT'S Name, Street Address, City, State, and Zip Code

RAHUL JAIN
12261 LEXINGTON PARK DR 208
TAMPA, FL 33626 2728

Payer's Federal Identification Number: 13-2967453

Payer's Name, Street, City, State, Zip Code:

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Telephone Number: 214-765-1009

Copy B for recipient 2nd TIN Notice
 FATCA Filing Requirement

2018 CONSOLIDATED FORMS 1099

<i>FORM 1099-DIV</i> <i>IRS Box</i>	DIVIDENDS AND DISTRIBUTIONS	<i>OMB NO. 1545-0110</i> Amount
1a.	Total ordinary dividends (includes Boxes 1b, 5, 6)	\$277.92
1b.	Qualified dividends	\$241.40
2a.	Total capital gain distributions (includes Boxes 2b, 2c, 2d)	\$2.32
2b.	Unrecaptured section 1250 gain	\$0.05
2c.	Section 1202 gain	\$0.00
2d.	Collectibles (28%) gain	\$0.00
3.	Nondividend distributions	\$5.37
4.	Federal income tax withheld	\$0.00
5.	Section 199A dividends	\$18.76
6.	Investment expenses	\$0.00
7.	Foreign tax paid	\$0.00
8.	Foreign country or U.S. possession	-
9.	Cash liquidation distributions	\$0.00
10.	Noncash liquidation distributions	\$0.00
11.	Exempt-interest dividends (includes Box 12)	\$0.00
12.	Specified private activity bond interest dividends (AMT)	\$0.00

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return,
a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

Form 1099-DIV (OMB NO. 1545-0110)

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS. **FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938. **Account number.** May show an account or other unique number the payer assigned to distinguish your account. **Box 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040. Also, report it on Schedule B (1040), if required. **Box 1b.** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Form 1040 instructions for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 but treat it as a plan distribution, not as investment income, for any other purpose. **Box 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See *How To Report* in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 rather than Schedule D. See the Form 1040 instructions. **Box 2b.** Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Instructions for Schedule D (Form 1040). **Box 2c.** Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions. **Box 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the Instructions for Schedule D (Form 1040). **Box 3.** Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550. **Box 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld. **Box 5.** Shows dividends eligible for the 20% qualified business income deduction under section 199A. See the Instructions for Form 1040. **Box 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a. **Box 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions. **Box 8.** This box should be left blank if a RIC reported the foreign tax shown in box 7. **Boxes 9 and 10.** Shows cash and non-cash liquidation distributions. **Box 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See *Box 4* above. **Box 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 11. See the Instructions for Form 6251. **Boxes 13-15.** State income tax withheld reporting boxes. **Nominees.** If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2018 General Instructions for Certain Information Returns. **Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Form 1099-INT (OMB NO. 1545-0112)

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did not notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you. **Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS. **FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938. **Account number.** May show an account or other unique number the payer assigned to distinguish your account. **Box 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2018 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium. **Box 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction. **Box 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium. **Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld. **Box 5.** Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. **Box 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions. **Box 7.** Shows the country or U.S. possession to which the foreign tax was paid. **Box 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See box 4. See the instructions above for a tax-exempt covered security acquired at a premium. **Box 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium. **Box 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1278(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income. **Box 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Box 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4). **Box 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii). **Box 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Boxes 15-17.** State tax withheld reporting boxes. **Nominees.** If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. **Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

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CORRECTED: 03/13/2019

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FORM 1099-B TOTALS SUMMARY

REALIZED GAIN / LOSS SUMMARY

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

SHORT-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART I	PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box A (basis reported to IRS)	\$32,357.46	\$31,079.63	\$0.00	\$270.05	\$1,547.88
Box A - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to IRS)	\$47.40	\$86.94	\$0.00	\$0.10	(\$39.44)
Box B - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Short-Term	\$32,404.86	\$31,166.57	\$0.00	\$270.15	\$1,508.44
LONG-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART II					
Box D (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box D - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Long-Term	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
UNKNOWN TERM - CODE (X) REPORT ON FORM 8949 PART I OR PART II					
Box B or Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B or Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Unknown Term	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

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 CORRECTED: 03/13/2019

IN ACCOUNT WITH
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(650) 940-2700

RECIPIENT'S Name, Street Address, City, State, and Zip Code

RAHUL JAIN
 12261 LEXINGTON PARK DR 208
 TAMPA, FL 33626 2728

Payer's Federal Identification Number: 13-2967453

Payer's Name, Street, City, State, Zip Code:

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 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201
Telephone Number: 214-765-1009

Copy B for recipient 2nd TIN Notice
 FATCA Filing Requirement

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: **Taxpayers are ultimately responsible for the accuracy of their tax returns.**

Covered Short-Term Gains or Losses on Gross Proceeds

Report on **Form 8949, Part I** with **Box A** checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ADVANCED MICRO DEVICES INC COM CUSIP: 007903107	10.00000	12/04/2017	06/27/2018	\$151.79	\$100.60	\$0.00	\$0.00	\$51.19	
	8.00000	03/26/2018	06/27/2018	\$121.43	\$82.15	\$0.00	\$0.00	\$39.28	
	2.00000	03/28/2018	06/27/2018	\$30.36	\$19.86	\$0.00	\$0.00	\$10.50	
Subtotals	20.00000			\$303.58	\$202.61	\$0.00	\$0.00	\$100.97	
ALPHABET INC CLASS A COMMON STOCK CUSIP: 02079K305	1.00000	02/16/2018	06/25/2018	\$1,130.83	\$1,100.49	\$0.00	\$0.00	\$30.34	
APPLIED MATERIALS INC CUSIP: 038222105	4.00000	01/08/2018	02/15/2018	\$210.66	\$220.00	\$0.00	\$9.34	\$0.00	
	1.00000	02/01/2018	03/02/2018	\$56.28	\$55.92	\$0.00	\$0.00	\$0.36	
	1.00000	02/06/2018	03/02/2018	\$56.27	\$50.97	\$0.00	\$0.00	\$5.30	
	2.00000	02/08/2018	03/02/2018	\$112.55	\$98.27	\$0.00	\$0.00	\$14.28	
	9.00000	05/30/2018	08/08/2018	\$449.35	\$465.02	\$0.00	\$1.74	(\$13.93)	
	1.00000	06/08/2018	08/08/2018	\$49.93	\$50.93	\$0.00	\$0.00	(\$1.00)	

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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
Subtotals	18.00000			\$935.04	\$941.11	\$0.00	\$11.08	\$5.01	
BANK OF AMERICA CORP CUSIP: 060505104	4.00000	10/23/2017	02/09/2018	\$118.29	\$109.24	\$0.00	\$0.00	\$9.05	
	2.00000	01/03/2018	02/09/2018	\$59.14	\$59.80	\$0.00	\$0.66	\$0.00	
	1.00000	03/01/2018	08/15/2018	\$30.35	\$32.20	\$0.00	\$0.00	(\$1.85)	
	2.00000	03/01/2018	08/15/2018	\$60.70	\$65.05	\$0.00	\$0.00	(\$4.35)	
	2.00000	03/23/2018	08/15/2018	\$60.70	\$61.28	\$0.00	\$0.00	(\$0.58)	
	2.00000	03/29/2018	08/15/2018	\$60.70	\$59.18	\$0.00	\$0.00	\$1.52	
	3.00000	05/02/2018	08/15/2018	\$91.05	\$89.94	\$0.00	\$0.00	\$1.11	
	5.00000	05/03/2018	08/15/2018	\$151.75	\$145.40	\$0.00	\$0.00	\$6.35	
Subtotals	21.00000			\$632.68	\$622.09	\$0.00	\$0.66	\$11.25	
BOEING CO CUSIP: 097023105	1.00000	02/20/2018	04/26/2018	\$342.13	\$357.45	\$0.00	\$0.00	(\$15.32)	
	1.00000	03/02/2018	07/27/2018	\$361.02	\$337.50	\$0.00	\$0.00	\$23.52	
	1.00000	03/15/2018	09/18/2018	\$363.59	\$328.47	\$0.00	\$0.00	\$35.12	
	1.00000	07/05/2018	09/18/2018	\$363.59	\$332.75	\$0.00	\$0.00	\$30.84	
Subtotals	4.00000			\$1,430.33	\$1,356.17	\$0.00	\$0.00	\$74.16	
BOX INC CL A CUSIP: 10316T104	3.00000	03/07/2018	06/27/2018	\$73.97	\$60.33	\$0.00	\$0.00	\$13.64	
	2.00000	03/07/2018	06/27/2018	\$49.32	\$40.10	\$0.00	\$0.00	\$9.22	
	5.00000	06/14/2018	06/27/2018	\$123.29	\$132.25	\$0.00	\$0.00	(\$8.96)	
	5.00000	08/16/2018	09/18/2018	\$120.03	\$127.20	\$0.00	\$7.17	\$0.00	
	5.00000	10/12/2018	11/07/2018	\$96.70	\$102.27	\$0.00	\$0.00	(\$5.57)	
	1.00000	10/12/2018	11/07/2018	\$19.34	\$19.02	\$0.00	\$0.00	\$0.32	
	4.00000	10/12/2018	11/07/2018	\$77.35	\$76.72	\$0.00	\$0.00	\$0.63	
Subtotals	25.00000			\$560.00	\$557.89	\$0.00	\$7.17	\$9.28	
CAPITALA FINANCE CORP CUSIP: 14054R106	15.00000	03/14/2018	09/24/2018	\$132.14	\$121.30	\$0.00	\$0.00	\$10.84	
	5.00000	05/02/2018	09/24/2018	\$44.05	\$39.55	\$0.00	\$0.00	\$4.50	
	10.00000	07/27/2018	09/24/2018	\$88.09	\$85.49	\$0.00	\$0.00	\$2.60	
Subtotals	30.00000			\$264.28	\$246.34	\$0.00	\$0.00	\$17.94	

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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CATERPILLAR INC CUSIP: 149123101	3.00000	01/10/2018	02/26/2018	\$488.64	\$497.70	\$0.00	\$9.06	\$0.00	
	1.00000	01/29/2018	03/09/2018	\$157.22	\$165.62	\$0.00	\$8.40	\$0.00	
	1.00000	01/30/2018	03/09/2018	\$157.22	\$164.59	\$0.00	\$7.37	\$0.00	
	1.00000	02/08/2018	03/09/2018	\$157.22	\$152.33	\$0.00	\$0.00	\$4.89	
	1.00000	03/02/2018	03/16/2018	\$156.40	\$156.08	\$0.00	\$0.00	\$0.32	
	1.00000	03/02/2018	03/16/2018	\$156.40	\$155.05	\$0.00	\$0.00	\$1.35	
Subtotals	8.00000			\$1,273.10	\$1,291.37	\$0.00	\$24.83	\$6.56	
COMCAST CORP CL A CUSIP: 20030N101	10.00000	06/06/2018	10/01/2018	\$351.99	\$313.69	\$0.00	\$0.00	\$38.30	
	10.00000	06/18/2018	10/01/2018	\$351.99	\$331.60	\$0.00	\$0.00	\$20.39	
Subtotals	20.00000			\$703.98	\$645.29	\$0.00	\$0.00	\$58.69	
CONATUS PHARMACEUTICALS INC COM CUSIP: 20600T108	50.00000	09/18/2018	09/28/2018	\$255.99	\$242.00	\$0.00	\$0.00	\$13.99	
COSTCO WHOLESALE CORP-NEW CUSIP: 22160K105	1.00000	03/09/2018	03/23/2018	\$183.65	\$186.67	\$0.00	\$0.00	(\$3.02)	
CYPRESS SEMICONDUCTOR CORP CUSIP: 232806109	10.00000	02/12/2018	06/27/2018	\$162.19	\$157.70	\$0.00	\$0.00	\$4.49	
	3.00000	05/03/2018	08/10/2018	\$50.88	\$44.94	\$0.00	\$0.00	\$5.94	
	2.00000	05/30/2018	08/10/2018	\$33.92	\$33.28	\$0.00	\$0.00	\$0.64	
	5.00000	06/14/2018	08/10/2018	\$84.79	\$89.10	\$0.00	\$0.00	(\$4.31)	
Subtotals	20.00000			\$331.78	\$325.02	\$0.00	\$0.00	\$6.76	
DXC TECHNOLOGY COMPANY COMMON STOCK CUSIP: 23355L106	3.00000	03/01/2018	03/23/2018	\$311.71	\$305.91	\$0.00	\$0.00	\$5.80	
	3.00000	06/08/2018	07/05/2018	\$249.35	\$256.80	\$0.00	\$0.00	(\$7.45)	
	2.00000	06/25/2018	07/05/2018	\$166.24	\$157.96	\$0.00	\$0.00	\$8.28	
Subtotals	8.00000			\$727.30	\$720.67	\$0.00	\$0.00	\$6.63	

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SF22452
 Account Name: RAHUL JAIN
 Taxpayer Identification Number: ***-**-9063

Account Executive No: DPA

CORRECTED: 03/13/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
DEERE & CO CUSIP: 244199105	3.00000	01/12/2018	02/15/2018	\$489.30	\$505.35	\$0.00	\$16.05	\$0.00	
	3.00000	02/12/2018	02/20/2018	\$495.93	\$488.46	\$0.00	\$0.00	\$7.47	
Subtotals	6.00000			\$985.23	\$993.81	\$0.00	\$16.05	\$7.47	
DOCUSIGN INC COMMON STOCK CUSIP: 256163106	10.00000	10/19/2018	11/02/2018	\$431.12	\$425.00	\$0.00	\$0.00	\$6.12	
DROPBOX INC CLASS A COMMON STOCK CUSIP: 26210C104	1.00000	03/28/2018	06/07/2018	\$29.99	\$30.13	\$0.00	\$0.14	\$0.00	
	1.00000	03/28/2018	06/21/2018	\$36.52	\$30.13	\$0.00	\$0.00	\$6.39	
	9.00000	03/29/2018	06/21/2018	\$328.66	\$303.03	\$0.00	\$0.00	\$25.63	
	4.00000	03/29/2018	06/21/2018	\$144.03	\$134.68	\$0.00	\$0.00	\$9.35	
	1.00000	06/01/2018	06/21/2018	\$36.01	\$29.79	\$0.00	\$0.00	\$6.22	
	1.00000	06/01/2018	06/21/2018	\$36.01	\$29.65	\$0.00	\$0.00	\$6.36	
	1.00000	06/07/2018	06/21/2018	\$36.01	\$30.79	\$0.00	\$0.00	\$5.22	
	3.00000	06/18/2018	06/21/2018	\$108.02	\$128.58	\$0.00	\$20.56	\$0.00	
Subtotals	21.00000			\$755.25	\$716.78	\$0.00	\$20.70	\$59.17	
FEDEX CORP CUSIP: 31428X106	2.00000	02/15/2018	03/02/2018	\$480.97	\$479.56	\$0.00	\$0.00	\$1.41	
GENERAL MOTORS COMPANY CUSIP: 37045V100	5.00000	02/15/2018	06/13/2018	\$221.18	\$207.55	\$0.00	\$0.00	\$13.63	
	3.00000	03/09/2018	06/15/2018	\$128.42	\$113.22	\$0.00	\$0.00	\$15.20	
	2.00000	03/22/2018	06/15/2018	\$85.62	\$74.18	\$0.00	\$0.00	\$11.44	
Subtotals	10.00000			\$435.22	\$394.95	\$0.00	\$0.00	\$40.27	
GILEAD SCIENCES INC CUSIP: 375558103	3.00000	03/05/2018	07/11/2018	\$227.75	\$232.80	\$0.00	\$0.00	(\$5.05)	
	1.00000	05/02/2018	07/11/2018	\$75.91	\$67.22	\$0.00	\$0.00	\$8.69	
	1.00000	05/02/2018	07/11/2018	\$75.92	\$67.22	\$0.00	\$0.00	\$8.70	
Subtotals	5.00000			\$379.58	\$367.24	\$0.00	\$0.00	\$12.34	

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 DALLAS, TX 75201

Account No: 5SF22452
 Account Name: RAHUL JAIN
 Taxpayer Identification Number: ***-**-9063

Account Executive No: DPA

CORRECTED: 03/13/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

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GLADSTONE CAPITAL CORP CUSIP: 376535100	15.00000	03/14/2018	07/27/2018	\$139.33	\$133.64	\$0.00	\$0.00	\$5.69	
HOME DEPOT INC CUSIP: 437076102	1.00000	02/12/2018	06/18/2018	\$200.04	\$185.06	\$0.00	\$0.00	\$14.98	
	1.00000	02/12/2018	06/25/2018	\$196.36	\$185.06	\$0.00	\$0.00	\$11.30	
	1.00000	03/15/2018	06/25/2018	\$196.36	\$178.15	\$0.00	\$0.00	\$18.21	
Subtotals	3.00000			\$592.76	\$548.27	\$0.00	\$0.00	\$44.49	
INTEL CORP CUSIP: 458140100	5.00000	02/12/2018	06/18/2018	\$264.03	\$225.10	\$0.00	\$0.00	\$38.93	
	5.00000	07/27/2018	08/13/2018	\$244.18	\$238.35	\$0.00	\$0.00	\$5.83	
Subtotals	10.00000			\$508.21	\$463.45	\$0.00	\$0.00	\$44.76	
***JD.COM INC SPONSORED ADR REPSTG COM CL CUSIP: 47215P106	2.00000	11/14/2017	02/09/2018	\$83.04	\$79.87	\$0.00	\$0.00	\$3.17	
	3.00000	11/14/2017	02/09/2018	\$124.55	\$122.32	\$0.00	\$0.00	\$2.23	
	3.00000	11/27/2017	02/09/2018	\$124.55	\$116.28	\$0.00	\$0.00	\$8.27	
	2.00000	11/27/2017	02/09/2018	\$83.04	\$80.30	\$0.00	\$0.00	\$2.74	
	5.00000	02/15/2018	08/08/2018	\$180.38	\$234.00	\$0.00	\$53.62	\$0.00	
Subtotals	15.00000			\$595.56	\$632.77	\$0.00	\$53.62	\$16.41	
LOWES COMPANIES INC CUSIP: 548661107	4.00000	02/28/2018	06/19/2018	\$391.98	\$356.00	\$0.00	\$0.00	\$35.98	
MICRON TECHNOLOGY INC CUSIP: 595112103	8.00000	11/08/2017	02/06/2018	\$349.36	\$348.95	\$0.00	\$0.00	\$0.41	
	2.00000	11/13/2017	02/06/2018	\$87.34	\$90.42	\$0.00	\$3.08	\$0.00	
	5.00000	11/22/2017	02/06/2018	\$218.34	\$247.80	\$0.00	\$29.46	\$0.00	
	2.00000	11/29/2017	02/06/2018	\$87.34	\$88.62	\$0.00	\$1.28	\$0.00	
	3.00000	12/01/2017	02/06/2018	\$131.01	\$124.19	\$0.00	\$0.00	\$6.82	
	1.00000	01/16/2018	02/15/2018	\$43.27	\$44.73	\$0.00	\$1.46	\$0.00	
	1.00000	01/23/2018	02/15/2018	\$43.27	\$45.27	\$0.00	\$2.00	\$0.00	
	3.00000	01/30/2018	02/15/2018	\$129.80	\$143.05	\$0.00	\$13.25	\$0.00	
	2.00000	02/02/2018	02/15/2018	\$86.54	\$95.04	\$0.00	\$8.50	\$0.00	
	2.00000	02/02/2018	02/15/2018	\$86.53	\$84.54	\$0.00	\$0.00	\$1.99	
	1.00000	02/02/2018	02/15/2018	\$43.27	\$41.63	\$0.00	\$0.00	\$1.64	

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OMB NO. 1545-0715

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MICRON TECHNOLOGY INC CUSIP: 595112103	1.00000	02/02/2018	03/15/2018	\$58.57	\$43.12	\$0.00	\$0.00	\$15.45	
	1.00000	02/02/2018	03/15/2018	\$58.57	\$43.66	\$0.00	\$0.00	\$14.91	
	3.00000	02/02/2018	03/15/2018	\$175.70	\$138.23	\$0.00	\$0.00	\$37.47	
	2.00000	02/08/2018	06/19/2018	\$115.64	\$89.70	\$0.00	\$0.00	\$25.94	
	3.00000	02/08/2018	06/19/2018	\$173.46	\$121.80	\$0.00	\$0.00	\$51.66	
	5.00000	06/05/2018	06/19/2018	\$289.09	\$295.70	\$0.00	\$6.61	\$0.00	
Subtotals	45.00000			\$2,177.10	\$2,086.45	\$0.00	\$65.64	\$156.29	
MONGODB INC CLASS A COMMON STOCK CUSIP: 60937P106	4.00000	06/08/2018	06/15/2018	\$236.82	\$209.88	\$0.00	\$0.00	\$26.94	
	1.00000	06/08/2018	06/19/2018	\$57.36	\$52.47	\$0.00	\$0.00	\$4.89	
	3.00000	06/14/2018	06/19/2018	\$172.06	\$176.22	\$0.00	\$4.16	\$0.00	
	2.00000	06/21/2018	09/28/2018	\$160.30	\$114.40	\$0.00	\$0.00	\$45.90	
	3.00000	06/21/2018	09/28/2018	\$240.45	\$175.76	\$0.00	\$0.00	\$64.69	
	5.00000	06/25/2018	09/28/2018	\$400.76	\$269.30	\$0.00	\$0.00	\$131.46	
	10.00000	10/12/2018	10/30/2018	\$760.39	\$643.39	\$0.00	\$0.00	\$117.00	
Subtotals	28.00000			\$2,028.14	\$1,641.42	\$0.00	\$4.16	\$390.88	
NEKTAR THERAPEUTICS CUSIP: 640268108	3.00000	06/07/2018	08/10/2018	\$180.57	\$185.97	\$0.00	\$0.00	(\$5.40)	
	2.00000	06/08/2018	08/10/2018	\$120.38	\$106.03	\$0.00	\$0.00	\$14.35	
	1.00000	08/08/2018	08/10/2018	\$60.19	\$55.00	\$0.00	\$0.00	\$5.19	
Subtotals	6.00000			\$361.14	\$347.00	\$0.00	\$0.00	\$14.14	
NETFLIX COM INC CUSIP: 64110L106	3.00000	12/01/2017	02/09/2018	\$750.69	\$559.77	\$0.00	\$0.00	\$190.92	
	1.00000	12/04/2017	02/09/2018	\$250.23	\$182.00	\$0.00	\$0.00	\$68.23	
Subtotals	4.00000			\$1,000.92	\$741.77	\$0.00	\$0.00	\$259.15	
NVIDIA CORP CUSIP: 67066G104	2.00000	12/04/2017	02/09/2018	\$457.87	\$377.40	\$0.00	\$0.00	\$80.47	
	2.00000	02/12/2018	09/24/2018	\$529.02	\$453.46	\$0.00	\$0.00	\$75.56	
Subtotals	4.00000			\$986.89	\$830.86	\$0.00	\$0.00	\$156.03	

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CORRECTED: 03/13/2019

IN ACCOUNT WITH
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2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

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OKTA INC CLASS A COMMON STOCK CUSIP: 679295105	5.00000	03/08/2018	06/25/2018	\$242.79	\$203.65	\$0.00	\$0.00	\$39.14	
	10.00000	10/19/2018	11/01/2018	\$573.38	\$560.20	\$0.00	\$0.00	\$13.18	
Subtotals	15.00000			\$816.17	\$763.85	\$0.00	\$0.00	\$52.32	
ORACLE CORPORATION CUSIP: 68389X105	4.00000	07/17/2017	06/08/2018	\$192.93	\$201.96	\$0.00	\$0.00	(\$9.03)	
	1.00000	09/21/2017	06/08/2018	\$48.23	\$49.29	\$0.00	\$0.00	(\$1.06)	
	1.00000	09/21/2017	06/08/2018	\$48.24	\$48.03	\$0.00	\$0.00	\$0.21	
	1.00000	10/13/2017	06/08/2018	\$48.23	\$48.65	\$0.00	\$0.00	(\$0.42)	
	3.00000	10/13/2017	06/13/2018	\$145.69	\$145.95	\$0.00	\$0.00	(\$0.26)	
	2.00000	03/23/2018	06/13/2018	\$97.12	\$91.33	\$0.00	\$0.00	\$5.79	
Subtotals	12.00000			\$580.44	\$585.21	\$0.00	\$0.00	(\$4.77)	
ORCHID ISLAND CAPITAL INC CUSIP: 68571X103	20.00000	03/22/2018	09/24/2018	\$149.59	\$143.11	\$0.00	\$0.00	\$6.48	
	5.00000	05/02/2018	09/24/2018	\$37.40	\$35.33	\$0.00	\$0.00	\$2.07	
	5.00000	06/08/2018	09/24/2018	\$37.40	\$36.49	\$0.00	\$0.00	\$0.91	
	10.00000	07/27/2018	09/24/2018	\$74.79	\$80.50	\$0.00	\$0.00	(\$5.71)	
Subtotals	40.00000			\$299.18	\$295.43	\$0.00	\$0.00	\$3.75	
OWENS CORNING CUSIP: 690742101	2.00000	01/30/2018	07/27/2018	\$124.87	\$187.28	\$0.00	\$0.00	(\$62.41)	
	2.00000	02/06/2018	07/27/2018	\$124.87	\$176.00	\$0.00	\$0.00	(\$51.13)	
	1.00000	02/26/2018	07/27/2018	\$62.44	\$85.58	\$0.00	\$0.00	(\$23.14)	
	3.00000	02/26/2018	08/15/2018	\$173.45	\$256.74	\$0.00	\$0.00	(\$83.29)	
	2.00000	02/28/2018	08/15/2018	\$115.63	\$164.50	\$0.00	\$0.00	(\$48.87)	
	2.00000	03/15/2018	08/15/2018	\$115.61	\$162.02	\$0.00	\$0.00	(\$46.41)	
	3.00000	03/20/2018	08/15/2018	\$173.42	\$240.45	\$0.00	\$0.00	(\$67.03)	
	5.00000	04/26/2018	08/15/2018	\$289.19	\$341.00	\$0.00	\$0.00	(\$51.81)	
	5.00000	06/18/2018	08/15/2018	\$289.19	\$330.95	\$0.00	\$0.00	(\$41.76)	
Subtotals	25.00000			\$1,468.67	\$1,944.52	\$0.00	\$0.00	(\$475.85)	

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OMB NO. 1545-0715

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PDL BIOPHARMA INC CUSIP: 69329Y104	80.00000	09/24/2018	10/01/2018	\$207.99	\$200.79	\$0.00	\$0.00	\$7.20	
PETMED EXPRESS INC CUSIP: 716382106	1.00000	12/01/2017	02/23/2018	\$46.61	\$38.92	\$0.00	\$0.00	\$7.69	
	3.00000	01/23/2018	02/23/2018	\$139.82	\$151.92	\$0.00	\$0.00	(\$12.10)	
	1.00000	02/02/2018	02/23/2018	\$46.61	\$44.02	\$0.00	\$0.00	\$2.59	
	1.00000	02/02/2018	02/23/2018	\$46.61	\$44.02	\$0.00	\$0.00	\$2.59	
	1.00000	02/08/2018	02/23/2018	\$46.61	\$43.02	\$0.00	\$0.00	\$3.59	
Subtotals	7.00000			\$326.26	\$321.90	\$0.00	\$0.00	\$4.36	
RANGE RESOURCES CORP CUSIP: 75281A109	10.00000	01/03/2018	10/08/2018	\$184.79	\$182.90	\$0.00	\$0.00	\$1.89	
	1.00000	06/08/2018	10/08/2018	\$18.48	\$16.00	\$0.00	\$0.00	\$2.48	
Subtotals	11.00000			\$203.27	\$198.90	\$0.00	\$0.00	\$4.37	
SALESFORCE.COM INC CUSIP: 79466L302	3.00000	09/26/2017	02/09/2018	\$313.78	\$278.37	\$0.00	\$0.00	\$35.41	
	2.00000	09/26/2017	02/09/2018	\$209.19	\$185.56	\$0.00	\$0.00	\$23.63	
	5.00000	12/04/2017	02/09/2018	\$523.02	\$505.70	\$0.00	\$0.00	\$17.32	
	5.00000	02/14/2018	03/19/2018	\$619.07	\$539.85	\$0.00	\$0.00	\$79.22	
	5.00000	03/20/2018	03/28/2018	\$566.38	\$630.60	\$0.00	\$64.22	\$0.00	
	2.00000	03/20/2018	05/02/2018	\$246.22	\$252.24	\$0.00	\$0.00	(\$6.02)	
Subtotals	22.00000			\$2,477.66	\$2,392.32	\$0.00	\$64.22	\$149.56	
SERVICENOW INC COM CUSIP: 81762P102	2.00000	09/21/2017	02/08/2018	\$287.22	\$229.78	\$0.00	\$0.00	\$57.44	
	2.00000	10/16/2017	02/09/2018	\$283.57	\$243.30	\$0.00	\$0.00	\$40.27	
	1.00000	11/14/2017	02/09/2018	\$141.78	\$124.91	\$0.00	\$0.00	\$16.87	
	2.00000	02/23/2018	03/19/2018	\$335.69	\$318.22	\$0.00	\$0.00	\$17.47	
	2.00000	03/08/2018	03/19/2018	\$335.68	\$350.65	\$0.00	\$0.00	(\$14.97)	
Subtotals	9.00000			\$1,383.94	\$1,266.86	\$0.00	\$0.00	\$117.08	
***SHOPIFY INC CL A CUSIP: 82509L107	1.00000	02/21/2018	03/02/2018	\$137.21	\$136.92	\$0.00	\$0.00	\$0.29	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
 The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return,
 a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SF22452
Account Name: RAHUL JAIN
Taxpayer Identification Number: ***-**-9063

Account Executive No: DPA

CORRECTED: 03/13/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
SKYWORKS SOLUTIONS INC CUSIP: 83088M102	2.00000	02/12/2018	06/18/2018	\$202.06	\$205.90	\$0.00	\$1.92	(\$1.92)	
SOUTHWEST AIRLINES CO CUSIP: 844741108	3.00000	03/16/2018	07/27/2018	\$173.72	\$183.03	\$0.00	\$0.00	(\$9.31)	
	1.00000	03/23/2018	07/27/2018	\$57.91	\$56.99	\$0.00	\$0.00	\$0.92	
	2.00000	06/08/2018	07/27/2018	\$115.81	\$100.86	\$0.00	\$0.00	\$14.95	
Subtotals	6.00000			\$347.44	\$340.88	\$0.00	\$0.00	\$6.56	
SPLUNK INC COM CUSIP: 848637104	3.00000	03/07/2018	11/01/2018	\$305.99	\$318.33	\$0.00	\$0.00	(\$12.34)	
	2.00000	03/28/2018	11/01/2018	\$203.99	\$192.48	\$0.00	\$0.00	\$11.51	
Subtotals	5.00000			\$509.98	\$510.81	\$0.00	\$0.00	(\$0.83)	
***TEVA PHARMACEUTICAL INDUSTRIES LIMITED ADR CUSIP: 881624209	5.00000	02/16/2018	06/25/2018	\$117.58	\$105.00	\$0.00	\$0.00	\$12.58	
TWITTER INC CUSIP: 90184L102	10.00000	07/27/2018	10/31/2018	\$346.88	\$346.09	\$0.00	\$0.00	\$0.79	
	1.00000	10/12/2018	10/31/2018	\$34.69	\$27.99	\$0.00	\$0.00	\$6.70	
Subtotals	11.00000			\$381.57	\$374.08	\$0.00	\$0.00	\$7.49	
VEEVA SYSTEMS INC CL A COM CUSIP: 922475108	1.00000	11/29/2017	02/05/2018	\$58.28	\$58.78	\$0.00	\$0.00	(\$0.50)	
	2.00000	12/05/2017	02/05/2018	\$116.55	\$116.46	\$0.00	\$0.00	\$0.09	
	1.00000	12/06/2017	02/05/2018	\$58.27	\$53.93	\$0.00	\$0.00	\$4.34	
Subtotals	4.00000			\$233.10	\$229.17	\$0.00	\$0.00	\$3.93	
***VIPSHOP HOLDINGS LIMITED SPONSORED ADR CUSIP: 92763W103	5.00000	01/30/2018	02/13/2018	\$88.73	\$84.00	\$0.00	\$0.00	\$4.73	
	1.00000	02/06/2018	02/13/2018	\$17.75	\$15.01	\$0.00	\$0.00	\$2.74	
Subtotals	6.00000			\$106.48	\$99.01	\$0.00	\$0.00	\$7.47	
VMWARE INC CL A CUSIP: 928563402	3.00000	12/01/2017	03/07/2018	\$367.66	\$370.74	\$0.00	\$0.00	(\$3.08)	
	2.00000	12/01/2017	03/08/2018	\$250.68	\$247.16	\$0.00	\$0.00	\$3.52	
	2.00000	12/04/2017	03/19/2018	\$247.81	\$233.02	\$0.00	\$0.00	\$14.79	

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SF22452
 Account Name: RAHUL JAIN
 Taxpayer Identification Number: ***-**-9063
 Account Executive No: DPA
 CORRECTED: 03/13/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with **Box A** checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
VMWARE INC CL A CUSIP: 928563402	3.00000	12/05/2017	03/19/2018	\$371.71	\$344.07	\$0.00	\$0.00	\$27.64	
Subtotals	10.00000			\$1,237.86	\$1,194.99	\$0.00	\$0.00	\$42.87	
***SPOTIFY TECHNOLOGY S A ORDINARY SHARES NOMINAL VALU OF 0.000625 PER SHARE CUSIP: L8681T102	2.00000	05/03/2018	06/19/2018	\$338.66	\$316.40	\$0.00	\$0.00	\$22.26	
170 ITEMS - TOTAL				\$32,357.46	\$31,079.63	\$0.00	\$270.05	\$1,547.88	

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SF22452
 Account Name: RAHUL JAIN
 Taxpayer Identification Number: ***-**-9063
 Account Executive No: DPA
 CORRECTED: 03/13/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Noncovered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box B checked

Box 6: Gross Proceeds

Box 5: Box Checked (Noncovered Security)

Box 3: Basis Not Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds/ Reported to IRS (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
HUGOTON ROYALTY TRUST TEXAS	9.00000	03/14/2018	03/14/2018	\$6.92	\$7.02	\$0.00	\$0.10	\$0.00	
UNIT BEN INT	91.00000	03/14/2018	09/24/2018	\$36.84	\$72.64	\$0.00	\$0.00	(\$35.80)	
CUSIP: 444717102	9.00000	03/14/2018	09/24/2018	\$3.64	\$7.28	\$0.00	\$0.00	(\$3.64)	
Subtotals	109.00000			\$47.40	\$86.94	\$0.00	\$0.10	(\$39.44)	
3 ITEMS - TOTAL				\$47.40	\$86.94	\$0.00	\$0.10	(\$39.44)	

FOOT NOTES

SHORT SALE - Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

END OF 2018 FORM 1099-B

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
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 a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

Form 1099-B (OMB NO. 1545-0715)

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the Instructions for Schedule D.

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 3 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If the Ordinary box in box 2 is checked, an adjustment may be required.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, indicates whether the amount in box 1d was adjusted for option premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

Form 1099-B (OMB NO. 1545-0715)**Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11):**

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2018.

Box 9. Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2017.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2018. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2018 in 2019.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2018 Form 6781.

Box 12. If checked, proceeds are from a transaction involving collectibles.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Boxes 14-16. Shows state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SF22452
Account Name: RAHUL JAIN
Taxpayer Identification Number: ***-**-9063

Account Executive No: DPA

CORRECTED: 03/13/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

RECIPIENT'S Name, Street Address, City, State, and Zip Code

RAHUL JAIN
12261 LEXINGTON PARK DR 208
TAMPA, FL 33626 2728

Payer's Federal Identification Number: 13-2967453

Payer's Name, Street, City, State, Zip Code:

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201
Telephone Number: 214-765-1009

Copy B for recipient

- 2nd TIN Notice
 FATCA Filing Requirement

2018 FORM 1099-MISC: MISCELLANEOUS INCOME

OMB NO. 1545-0115

<i>IRS Box</i>	MISCELLANEOUS INCOME	<i>Amount</i>
1.	Rents	-
2.	Royalties	\$1.49
3.	Other income	-
4.	Federal income tax withheld	-
7.	Nonemployee compensation	-
8.	Substitute payments in lieu of dividends or interest	-
14.	Gross proceeds paid to an attorney	-

END OF 2018 FORM 1099-MISC

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return,
a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

Form 1099-MISC (OMB NO. 1545-0115)

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Amounts shown may be subject to self-employment (SE) tax. If your net income from self-employment is \$400 or more, you must file a return and compute your SE tax on Schedule SE (Form 1040). See Pub. 334 for more information. If no income or social security and Medicare taxes were withheld and you are still receiving these payments, see Form 1040-ES (or Form 1040-ES(NR)). Individuals must report these amounts as explained in the box 7 instructions on this page. Corporations, fiduciaries, or partnerships must report the amounts on the proper line of their tax returns.

Form 1099-MISC incorrect? If this form is incorrect or has been issued in error, contact the payer. If you cannot get this form corrected, attach an explanation to your tax return and report your income correctly.

Box 1. Report rents from real estate on Schedule E (Form 1040). However, report rents on Schedule C (Form 1040) if you provided significant services to the tenant, sold real estate as a business, or rented personal property as a business. See Pub. 527.

Box 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Box 3. Generally, report this amount on the "Other income" line of Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Box 4. Shows backup withholding or withholding on Indian gaming profits. Generally, a payer must backup withhold if you did not furnish your TIN. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Box 5. An amount in this box means the fishing boat operator considers you self-employed. Report this amount on Schedule C (Form 1040). See Pub. 334.

Box 6. For individuals, report on Schedule C (Form 1040).

Box 7. Shows nonemployee compensation. If you are in the trade or business of catching fish, box 7 may show cash you received for the sale of fish. If the amount in this box is SE income, report it on Schedule C or F (Form 1040), and complete Schedule SE (Form 1040). You received this form instead of Form W-2 because the payer did not consider you an employee and did not withhold income tax or social security and Medicare tax. If you believe you are an employee and cannot get the payer to correct this form, report this amount on the line for "Wages, salaries, tips, etc." of Form 1040 (or Form 1040NR). You must also complete Form 8919 and attach it to your return. If you are not an employee but the amount in this box is not SE income (for example, it is income from a sporadic activity or a hobby), report this amount on the "Other income" line of Form 1040 (or Form 1040NR).

Box 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Form 1040 (or Form 1040NR).

Box 14. Shows gross proceeds paid to an attorney in connection with legal services. Report only the taxable part as income on your return.

Boxes 16-18. Shows state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SF22452
Account Name: RAHUL JAIN
Taxpayer Identification Number:***-**-9063

Account Executive No: DPA

CORRECTED: 03/13/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

DETAILS OF 2018 FEES & CHARGES

Date	Description	CUSIP	Transaction Description	Amount	Additional Information
03/30/18	HUGOTON ROYALTY TRUST TEXAS	444717102	FEE FOR ADMIN TRANS	\$0.20	
04/30/18	HUGOTON ROYALTY TRUST TEXAS	444717102	FEE FOR ADMIN TRANS	\$0.40	
05/31/18	HUGOTON ROYALTY TRUST TEXAS	444717102	FEE FOR ADMIN TRANS	\$0.37	
06/29/18	HUGOTON ROYALTY TRUST TEXAS	444717102	FEE FOR ADMIN TRANS	\$0.11	
07/31/18	HUGOTON ROYALTY TRUST TEXAS	444717102	FEE FOR ADMIN TRANS	\$0.08	
08/31/18	HUGOTON ROYALTY TRUST TEXAS	444717102	FEE FOR ADMIN TRANS	\$0.18	
	Subtotals			\$1.34	
	Total Management Fees			\$1.34	

APEX CLEARING
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 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SF22452
 Account Name: RAHUL JAIN
 Taxpayer Identification Number:***-**-9063
 Account Executive No: DPA
 CORRECTED: 03/13/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

DETAILS OF 2018 FORM 1099-DIV

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
03/29/18	CAPITALA FINANCE CORP	14054R106	NONQUALIFIED DIVIDEND	\$1.25	-	-	
04/27/18	CAPITALA FINANCE CORP	14054R106	NONQUALIFIED DIVIDEND	\$1.25	-	-	
05/30/18	CAPITALA FINANCE CORP	14054R106	NONQUALIFIED DIVIDEND	\$1.67	-	-	
06/28/18	CAPITALA FINANCE CORP	14054R106	NONQUALIFIED DIVIDEND	\$1.67	-	-	
07/30/18	CAPITALA FINANCE CORP	14054R106	NONQUALIFIED DIVIDEND	\$1.67	-	-	
08/30/18	CAPITALA FINANCE CORP	14054R106	NONQUALIFIED DIVIDEND	\$2.50	-	-	
09/27/18	CAPITALA FINANCE CORP	14054R106	NONQUALIFIED DIVIDEND	\$2.50	-	-	
04/02/18	GLADSTONE CAPITAL CORP	376535100	NONQUALIFIED DIVIDEND	\$1.05	-	-	
04/30/18	GLADSTONE CAPITAL CORP	376535100	NONQUALIFIED DIVIDEND	\$1.05	-	-	
05/31/18	GLADSTONE CAPITAL CORP	376535100	NONQUALIFIED DIVIDEND	\$1.05	-	-	
06/29/18	GLADSTONE CAPITAL CORP	376535100	NONQUALIFIED DIVIDEND	\$1.05	-	-	
07/31/18	GLADSTONE CAPITAL CORP	376535100	NONQUALIFIED DIVIDEND	\$1.05	-	-	
Total Non-Qualified Dividends (included in Box 1a)				\$17.76			
03/08/18	AMGEN INC	031162100	QUALIFIED DIVIDEND	\$6.60	-	-	
06/08/18	AMGEN INC	031162100	QUALIFIED DIVIDEND	\$13.20	-	-	
09/07/18	AMGEN INC	031162100	QUALIFIED DIVIDEND	\$13.20	-	-	
02/15/18	APPLE INC	037833100	QUALIFIED DIVIDEND	\$6.30	-	-	
05/17/18	APPLE INC	037833100	QUALIFIED DIVIDEND	\$7.30	-	-	
08/16/18	APPLE INC	037833100	QUALIFIED DIVIDEND	\$7.30	-	-	
03/14/18	APPLIED MATERIALS INC	038222105	QUALIFIED DIVIDEND	\$0.40	-	-	
09/13/18	APPLIED MATERIALS INC	038222105	QUALIFIED DIVIDEND	\$1.20	-	-	
06/29/18	BANK OF AMERICA CORP	060505104	QUALIFIED DIVIDEND	\$1.80	-	-	
06/01/18	BOEING CO	097023105	QUALIFIED DIVIDEND	\$3.42	-	-	
09/07/18	BOEING CO	097023105	QUALIFIED DIVIDEND	\$3.42	-	-	
02/20/18	CATERPILLAR INC	149123101	QUALIFIED DIVIDEND	\$2.34	-	-	

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SF22452
 Account Name: RAHUL JAIN
 Taxpayer Identification Number:***-**-9063

Account Executive No: DPA

CORRECTED: 03/13/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 FORM 1099-DIV, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
04/25/18	CISCO SYSTEMS INC	17275R102	QUALIFIED DIVIDEND	\$3.30	-	-	
07/25/18	CISCO SYSTEMS INC	17275R102	QUALIFIED DIVIDEND	\$3.30	-	-	
10/24/18	CISCO SYSTEMS INC	17275R102	QUALIFIED DIVIDEND	\$6.60	-	-	
05/31/18	COGNIZANT TECHNOLOGY SOLUTIONS COR	192446102	QUALIFIED DIVIDEND	\$49.40	-	-	
08/31/18	COGNIZANT TECHNOLOGY SOLUTIONS COR	192446102	QUALIFIED DIVIDEND	\$50.60	-	-	
07/25/18	COMCAST CORP CL A	20030N101	QUALIFIED DIVIDEND	\$3.80	-	-	
04/19/18	CYPRESS SEMICONDUCTOR CORP	232806109	QUALIFIED DIVIDEND	\$1.10	-	-	
07/19/18	CYPRESS SEMICONDUCTOR CORP	232806109	QUALIFIED DIVIDEND	\$2.20	-	-	
03/23/18	GENERAL MOTORS COMPANY	37045V100	QUALIFIED DIVIDEND	\$1.90	-	-	
06/22/18	GENERAL MOTORS COMPANY	37045V100	QUALIFIED DIVIDEND	\$3.80	-	-	
03/29/18	GILEAD SCIENCES INC	375558103	QUALIFIED DIVIDEND	\$1.71	-	-	
06/28/18	GILEAD SCIENCES INC	375558103	QUALIFIED DIVIDEND	\$2.85	-	-	
03/22/18	HOME DEPOT INC	437076102	QUALIFIED DIVIDEND	\$2.06	-	-	
06/14/18	HOME DEPOT INC	437076102	QUALIFIED DIVIDEND	\$3.09	-	-	
06/01/18	INTEL CORP	458140100	QUALIFIED DIVIDEND	\$1.50	-	-	
09/04/18	INTEL CORP	458140100	QUALIFIED DIVIDEND	\$1.50	-	-	
05/09/18	LOWES COMPANIES INC	548661107	QUALIFIED DIVIDEND	\$1.64	-	-	
03/08/18	MICROSOFT CORP	594918104	QUALIFIED DIVIDEND	\$3.36	-	-	
06/14/18	MICROSOFT CORP	594918104	QUALIFIED DIVIDEND	\$4.20	-	-	
09/13/18	MICROSOFT CORP	594918104	QUALIFIED DIVIDEND	\$6.30	-	-	
03/16/18	NVIDIA CORP	67066G104	QUALIFIED DIVIDEND	\$0.30	-	-	
06/15/18	NVIDIA CORP	67066G104	QUALIFIED DIVIDEND	\$0.30	-	-	

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IN ACCOUNT WITH
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(650) 940-2700

DETAILS OF 2018 FORM 1099-DIV, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
09/21/18	NVIDIA CORP	67066G104	QUALIFIED DIVIDEND	\$0.30	-	-	
01/24/18	ORACLE CORPORATION	68389X105	QUALIFIED DIVIDEND	\$1.90	-	-	
05/01/18	ORACLE CORPORATION	68389X105	QUALIFIED DIVIDEND	\$2.28	-	-	
04/03/18	OWENS CORNING	690742101	QUALIFIED DIVIDEND	\$2.10	-	-	
08/02/18	OWENS CORNING	690742101	QUALIFIED DIVIDEND	\$5.25	-	-	
02/16/18	PETMED EXPRESS INC	716382106	QUALIFIED DIVIDEND	\$1.00	-	-	
04/02/18	RANGE RESOURCES CORP	75281A109	QUALIFIED DIVIDEND	\$0.20	-	-	
06/29/18	RANGE RESOURCES CORP	75281A109	QUALIFIED DIVIDEND	\$0.22	-	-	
09/28/18	RANGE RESOURCES CORP	75281A109	QUALIFIED DIVIDEND	\$0.22	-	-	
03/15/18	SKYWORKS SOLUTIONS INC	83088M102	QUALIFIED DIVIDEND	\$1.28	-	-	
06/12/18	SKYWORKS SOLUTIONS INC	83088M102	QUALIFIED DIVIDEND	\$1.92	-	-	
08/28/18	SKYWORKS SOLUTIONS INC	83088M102	QUALIFIED DIVIDEND	\$1.90	-	-	
06/27/18	SOUTHWEST AIRLINES CO	844741108	QUALIFIED DIVIDEND	\$0.64	-	-	
04/13/18	STARWOOD PROPERTY TRUST INC	85571B105	QUALIFIED DIVIDEND	\$0.18	-	-	
07/13/18	STARWOOD PROPERTY TRUST INC	85571B105	QUALIFIED DIVIDEND	\$0.36	-	-	
10/15/18	STARWOOD PROPERTY TRUST INC	85571B105	QUALIFIED DIVIDEND	\$0.36	-	-	
Total Qualified Dividends (Box 1b included in Box 1a)				\$241.40			
04/10/18	ORCHID ISLAND CAPITAL INC	68571X103	SECTION 199A DIVIDEND	\$1.17	-	-	
05/10/18	ORCHID ISLAND CAPITAL INC	68571X103	SECTION 199A DIVIDEND	\$1.17	-	-	
06/08/18	ORCHID ISLAND CAPITAL INC	68571X103	SECTION 199A DIVIDEND	\$1.46	-	-	
07/10/18	ORCHID ISLAND CAPITAL INC	68571X103	SECTION 199A DIVIDEND	\$1.76	-	-	
08/10/18	ORCHID ISLAND CAPITAL INC	68571X103	SECTION 199A DIVIDEND	\$2.34	-	-	
09/10/18	ORCHID ISLAND CAPITAL INC	68571X103	SECTION 199A DIVIDEND	\$2.08	-	-	

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DETAILS OF 2018 FORM 1099-DIV, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
04/13/18	STARWOOD PROPERTY TRUST INC	85571B105	SECTION 199A DIVIDEND	\$1.76	-	-	
07/13/18	STARWOOD PROPERTY TRUST INC	85571B105	SECTION 199A DIVIDEND	\$3.51	-	-	
10/15/18	STARWOOD PROPERTY TRUST INC	85571B105	SECTION 199A DIVIDEND	\$3.51	-	-	
Total Section 199A Dividends (Box 5 included in Box 1a)				\$18.76			
Total Ordinary Dividends (Box 1a)				\$277.92			
04/13/18	STARWOOD PROPERTY TRUST INC	85571B105	LONG TERM GAIN	\$0.45	-	-	
07/13/18	STARWOOD PROPERTY TRUST INC	85571B105	LONG TERM GAIN	\$0.91	-	-	
10/15/18	STARWOOD PROPERTY TRUST INC	85571B105	LONG TERM GAIN	\$0.91	-	-	
Total Capital Gains Distributions (included in Box 2a)				\$2.27			
04/13/18	STARWOOD PROPERTY TRUST INC	85571B105	SECTION 1250 GAIN	\$0.01	-	-	
07/13/18	STARWOOD PROPERTY TRUST INC	85571B105	SECTION 1250 GAIN	\$0.02	-	-	
10/15/18	STARWOOD PROPERTY TRUST INC	85571B105	SECTION 1250 GAIN	\$0.02	-	-	
Total Unrecaptured Sec. 1250 Gains (Box 2b included in Box 2a)				\$0.05			
Total Capital Gains (Box 2a)				\$2.32			
04/10/18	ORCHID ISLAND CAPITAL INC	68571X103	RETURN OF CAPITAL	\$0.63	-	-	
05/10/18	ORCHID ISLAND CAPITAL INC	68571X103	RETURN OF CAPITAL	\$0.63	-	-	
06/08/18	ORCHID ISLAND CAPITAL INC	68571X103	RETURN OF CAPITAL	\$0.79	-	-	
07/10/18	ORCHID ISLAND CAPITAL INC	68571X103	RETURN OF CAPITAL	\$0.94	-	-	
08/10/18	ORCHID ISLAND CAPITAL INC	68571X103	RETURN OF CAPITAL	\$1.26	-	-	
09/10/18	ORCHID ISLAND CAPITAL INC	68571X103	RETURN OF CAPITAL	\$1.12	-	-	
Total Nondividend Distributions (Box 3)				\$5.37			

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DETAILS OF 2018 FORM 1099-MISC

Date	Security Description	CUSIP	Transaction Description	Amount	Federal Tax Withheld	Additional information
03/30/18	HUGOTON ROYALTY TRUST TEXAS	444717102	ROYALTY DISTRIBUTION	\$1.49	-	
Total Royalties (Box 2)				\$1.49		

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2018 INVESTMENT DETAILS

DETAILS OF 2018 INVESTMENT ACTIVITY

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
03/26/18	ADVANCED MICRO DEVICES INC COM	007903107	PURCHASE	8.000	\$10.27	\$82.15	
03/28/18	ADVANCED MICRO DEVICES INC COM	007903107	PURCHASE	2.000	\$9.93	\$19.86	
06/27/18	ADVANCED MICRO DEVICES INC COM	007903107	SELL	20.000	\$15.18	\$303.58	
06/19/18	***ALIBABA GROUP HOLDING LTD SPONSORED	01609W102	PURCHASE	2.000	\$201.33	\$402.66	
08/15/18	***ALIBABA GROUP HOLDING LTD SPONSORED	01609W102	PURCHASE	3.000	\$167.97	\$503.91	
02/16/18	ALPHABET INC CLASS A COMMON STOCK	02079K305	PURCHASE	1.000	\$1,100.49	\$1,100.49	
06/25/18	ALPHABET INC CLASS A COMMON STOCK	02079K305	SELL	1.000	\$1,130.86	\$1,130.83	
02/01/18	AMGEN INC	031162100	PURCHASE	3.000	\$186.36	\$559.08	
02/06/18	AMGEN INC	031162100	PURCHASE	2.000	\$176.30	\$352.61	
03/23/18	AMGEN INC	031162100	PURCHASE	2.000	\$175.16	\$350.32	
03/28/18	AMGEN INC	031162100	PURCHASE	2.000	\$171.57	\$343.14	
05/02/18	AMGEN INC	031162100	PURCHASE	1.000	\$170.05	\$170.05	
01/08/18	APPLE INC	037833100	PURCHASE	1.000	\$174.40	\$174.40	
01/29/18	APPLE INC	037833100	PURCHASE	1.000	\$168.62	\$168.62	
02/05/18	APPLE INC	037833100	PURCHASE	1.000	\$158.93	\$158.93	
02/06/18	APPLE INC	037833100	PURCHASE	2.000	\$162.55	\$325.10	
02/08/18	APPLE INC	037833100	PURCHASE	2.000	\$159.38	\$318.76	
01/08/18	APPLIED MATERIALS INC	038222105	PURCHASE	4.000	\$55.00	\$220.00	
02/01/18	APPLIED MATERIALS INC	038222105	PURCHASE	1.000	\$53.58	\$53.58	
02/06/18	APPLIED MATERIALS INC	038222105	PURCHASE	1.000	\$48.64	\$48.64	
02/08/18	APPLIED MATERIALS INC	038222105	PURCHASE	2.000	\$46.80	\$93.60	
02/15/18	APPLIED MATERIALS INC	038222105	SELL	4.000	\$52.67	\$210.66	
03/02/18	APPLIED MATERIALS INC	038222105	SELL	4.000	\$56.28	\$225.10	
05/30/18	APPLIED MATERIALS INC	038222105	PURCHASE	9.000	\$51.67	\$465.02	
06/08/18	APPLIED MATERIALS INC	038222105	PURCHASE	1.000	\$50.93	\$50.93	
06/25/18	APPLIED MATERIALS INC	038222105	PURCHASE	5.000	\$46.41	\$232.05	
08/08/18	APPLIED MATERIALS INC	038222105	SELL	10.000	\$49.93	\$499.28	
08/15/18	APPLIED MATERIALS INC	038222105	PURCHASE	1.000	\$47.52	\$47.52	
09/28/18	APPLIED MATERIALS INC	038222105	PURCHASE	4.000	\$38.35	\$153.40	
01/03/18	BANK OF AMERICA CORP	060505104	PURCHASE	2.000	\$29.90	\$59.80	
02/09/18	BANK OF AMERICA CORP	060505104	SELL	6.000	\$29.58	\$177.43	
03/01/18	BANK OF AMERICA CORP	060505104	PURCHASE	3.000	\$32.20	\$96.59	
03/23/18	BANK OF AMERICA CORP	060505104	PURCHASE	2.000	\$30.64	\$61.28	
03/29/18	BANK OF AMERICA CORP	060505104	PURCHASE	2.000	\$29.59	\$59.18	

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DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
05/02/18	BANK OF AMERICA CORP	060505104	PURCHASE	3.000	\$29.98	\$89.94	
05/03/18	BANK OF AMERICA CORP	060505104	PURCHASE	5.000	\$29.08	\$145.40	
08/15/18	BANK OF AMERICA CORP	060505104	SELL	15.000	\$30.35	\$455.25	
02/20/18	BOEING CO	097023105	PURCHASE	1.000	\$357.45	\$357.45	
03/02/18	BOEING CO	097023105	PURCHASE	1.000	\$337.50	\$337.50	
03/15/18	BOEING CO	097023105	PURCHASE	1.000	\$328.47	\$328.47	
04/26/18	BOEING CO	097023105	SELL	1.000	\$342.15	\$342.13	
07/05/18	BOEING CO	097023105	PURCHASE	1.000	\$332.75	\$332.75	
07/27/18	BOEING CO	097023105	SELL	1.000	\$361.04	\$361.02	
09/18/18	BOEING CO	097023105	SELL	2.000	\$363.60	\$727.18	
03/07/18	BOX INC CL A	10316T104	PURCHASE	2.000	\$20.05	\$40.10	
03/07/18	BOX INC CL A	10316T104	PURCHASE	3.000	\$20.11	\$60.33	
06/14/18	BOX INC CL A	10316T104	PURCHASE	5.000	\$26.45	\$132.25	
06/27/18	BOX INC CL A	10316T104	SELL	10.000	\$24.66	\$246.58	
08/16/18	BOX INC CL A	10316T104	PURCHASE	5.000	\$25.44	\$127.20	
09/18/18	BOX INC CL A	10316T104	SELL	5.000	\$24.01	\$120.03	
10/12/18	BOX INC CL A	10316T104	PURCHASE	4.000	\$19.18	\$76.72	
10/12/18	BOX INC CL A	10316T104	PURCHASE	6.000	\$19.02	\$114.12	
11/07/18	BOX INC CL A	10316T104	SELL	10.000	\$19.34	\$193.39	
03/14/18	CAPITALA FINANCE CORP	14054R106	PURCHASE	15.000	\$8.09	\$121.30	
05/02/18	CAPITALA FINANCE CORP	14054R106	PURCHASE	5.000	\$7.91	\$39.55	
07/27/18	CAPITALA FINANCE CORP	14054R106	PURCHASE	10.000	\$8.55	\$85.49	
09/24/18	CAPITALA FINANCE CORP	14054R106	SELL	30.000	\$8.81	\$264.28	
01/10/18	CATERPILLAR INC	149123101	PURCHASE	3.000	\$165.90	\$497.70	
01/29/18	CATERPILLAR INC	149123101	PURCHASE	1.000	\$162.60	\$162.60	
01/30/18	CATERPILLAR INC	149123101	PURCHASE	1.000	\$161.57	\$161.57	
02/08/18	CATERPILLAR INC	149123101	PURCHASE	1.000	\$149.31	\$149.31	
02/26/18	CATERPILLAR INC	149123101	SELL	3.000	\$162.89	\$488.64	
03/02/18	CATERPILLAR INC	149123101	PURCHASE	2.000	\$147.68	\$295.36	
03/09/18	CATERPILLAR INC	149123101	SELL	3.000	\$157.23	\$471.66	
03/16/18	CATERPILLAR INC	149123101	SELL	2.000	\$156.41	\$312.80	
03/23/18	CISCO SYSTEMS INC	17275R102	PURCHASE	10.000	\$43.19	\$431.89	
07/05/18	CISCO SYSTEMS INC	17275R102	PURCHASE	2.000	\$42.42	\$84.84	
07/13/18	CISCO SYSTEMS INC	17275R102	PURCHASE	3.000	\$42.01	\$126.03	
07/13/18	CISCO SYSTEMS INC	17275R102	PURCHASE	5.000	\$41.51	\$207.55	
05/22/18	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL	192446102	PURCHASE	2.000	\$75.27	\$150.54	

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DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
06/14/18	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL	192446102	PURCHASE	1.000	\$78.69	\$78.69	
08/13/18	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL	192446102	PURCHASE	3.000	\$75.72	\$227.16	
06/06/18	COMCAST CORP CL A	20030N101	PURCHASE	10.000	\$31.37	\$313.69	
06/18/18	COMCAST CORP CL A	20030N101	PURCHASE	10.000	\$33.16	\$331.60	
10/01/18	COMCAST CORP CL A	20030N101	SELL	20.000	\$35.20	\$703.98	
09/18/18	CONATUS PHARMACEUTICALS INC COM	20600T108	PURCHASE	50.000	\$4.84	\$242.00	
09/28/18	CONATUS PHARMACEUTICALS INC COM	20600T108	SELL	50.000	\$5.12	\$255.99	
03/09/18	COSTCO WHOLESALE CORP-NEW	22160K105	PURCHASE	1.000	\$186.67	\$186.67	
03/23/18	COSTCO WHOLESALE CORP-NEW	22160K105	SELL	1.000	\$183.67	\$183.65	
02/12/18	CYPRESS SEMICONDUCTOR CORP	232806109	PURCHASE	10.000	\$15.77	\$157.70	
05/03/18	CYPRESS SEMICONDUCTOR CORP	232806109	PURCHASE	3.000	\$14.98	\$44.94	
05/30/18	CYPRESS SEMICONDUCTOR CORP	232806109	PURCHASE	2.000	\$16.64	\$33.28	
06/14/18	CYPRESS SEMICONDUCTOR CORP	232806109	PURCHASE	5.000	\$17.82	\$89.10	
06/27/18	CYPRESS SEMICONDUCTOR CORP	232806109	SELL	10.000	\$16.22	\$162.19	
08/10/18	CYPRESS SEMICONDUCTOR CORP	232806109	SELL	10.000	\$16.96	\$169.59	
03/01/18	DXC TECHNOLOGY COMPANY COMMON STOCK	23355L106	PURCHASE	3.000	\$101.97	\$305.91	
03/23/18	DXC TECHNOLOGY COMPANY COMMON STOCK	23355L106	SELL	3.000	\$103.91	\$311.71	
06/08/18	DXC TECHNOLOGY COMPANY COMMON STOCK	23355L106	PURCHASE	3.000	\$85.60	\$256.80	
06/25/18	DXC TECHNOLOGY COMPANY COMMON STOCK	23355L106	PURCHASE	2.000	\$78.98	\$157.96	
07/05/18	DXC TECHNOLOGY COMPANY COMMON STOCK	23355L106	SELL	5.000	\$83.12	\$415.59	
01/12/18	DEERE & CO	244199105	PURCHASE	3.000	\$168.45	\$505.35	
02/12/18	DEERE & CO	244199105	PURCHASE	3.000	\$157.47	\$472.41	
02/15/18	DEERE & CO	244199105	SELL	3.000	\$163.11	\$489.30	
02/20/18	DEERE & CO	244199105	SELL	3.000	\$165.32	\$495.93	
10/19/18	DOCUSIGN INC COMMON STOCK	256163106	PURCHASE	10.000	\$42.50	\$425.00	
11/02/18	DOCUSIGN INC COMMON STOCK	256163106	SELL	10.000	\$43.11	\$431.12	
03/28/18	DROPBOX INC CLASS A COMMON STOCK	26210C104	PURCHASE	2.000	\$30.13	\$60.26	
03/29/18	DROPBOX INC CLASS A COMMON STOCK	26210C104	PURCHASE	13.000	\$33.67	\$437.71	
06/01/18	DROPBOX INC CLASS A COMMON STOCK	26210C104	PURCHASE	2.000	\$29.65	\$59.30	
06/07/18	DROPBOX INC CLASS A COMMON STOCK	26210C104	PURCHASE	1.000	\$30.79	\$30.79	
06/07/18	DROPBOX INC CLASS A COMMON STOCK	26210C104	SELL	1.000	\$30.01	\$29.99	
06/18/18	DROPBOX INC CLASS A COMMON STOCK	26210C104	PURCHASE	3.000	\$42.86	\$128.58	
06/21/18	DROPBOX INC CLASS A COMMON STOCK	26210C104	SELL	10.000	\$36.01	\$360.08	
06/21/18	DROPBOX INC CLASS A COMMON STOCK	26210C104	SELL	10.000	\$36.52	\$365.18	
06/29/18	DROPBOX INC CLASS A COMMON STOCK	26210C104	PURCHASE	4.000	\$32.79	\$131.15	
07/13/18	DROPBOX INC CLASS A COMMON STOCK	26210C104	PURCHASE	1.000	\$31.83	\$31.83	

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SF22452
 Account Name: RAHUL JAIN
 Taxpayer Identification Number:***-**-9063
 Account Executive No: DPA
 CORRECTED: 03/13/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
07/30/18	DROPBOX INC CLASS A COMMON STOCK	26210C104	PURCHASE	1.000	\$28.00	\$28.00	
08/15/18	DROPBOX INC CLASS A COMMON STOCK	26210C104	PURCHASE	4.000	\$28.66	\$114.64	
08/17/18	DROPBOX INC CLASS A COMMON STOCK	26210C104	PURCHASE	2.000	\$27.20	\$54.40	
10/09/18	DROPBOX INC CLASS A COMMON STOCK	26210C104	PURCHASE	3.000	\$23.01	\$69.03	
07/27/18	FACEBOOK INC CL A	30303M102	PURCHASE	2.000	\$174.16	\$348.32	
07/27/18	FACEBOOK INC CL A	30303M102	PURCHASE	3.000	\$177.26	\$531.77	
02/15/18	FEDEX CORP	31428X106	PURCHASE	2.000	\$239.78	\$479.56	
03/02/18	FEDEX CORP	31428X106	SELL	2.000	\$240.50	\$480.97	
02/15/18	GENERAL MOTORS COMPANY	37045V100	PURCHASE	5.000	\$41.51	\$207.55	
03/09/18	GENERAL MOTORS COMPANY	37045V100	PURCHASE	3.000	\$37.74	\$113.22	
03/22/18	GENERAL MOTORS COMPANY	37045V100	PURCHASE	2.000	\$37.09	\$74.18	
06/13/18	GENERAL MOTORS COMPANY	37045V100	SELL	5.000	\$44.24	\$221.18	
06/15/18	GENERAL MOTORS COMPANY	37045V100	SELL	5.000	\$42.81	\$214.04	
03/15/18	GERON CORP	374163103	PURCHASE	25.000	\$3.52	\$87.98	
06/07/18	GERON CORP	374163103	PURCHASE	8.000	\$3.84	\$30.72	
08/01/18	GERON CORP	374163103	PURCHASE	2.000	\$3.44	\$6.88	
09/24/18	GERON CORP	374163103	PURCHASE	15.000	\$6.09	\$91.35	
03/05/18	GILEAD SCIENCES INC	375558103	PURCHASE	3.000	\$77.60	\$232.80	
05/02/18	GILEAD SCIENCES INC	375558103	PURCHASE	1.000	\$67.22	\$67.22	
05/02/18	GILEAD SCIENCES INC	375558103	PURCHASE	1.000	\$67.22	\$67.22	
07/11/18	GILEAD SCIENCES INC	375558103	SELL	5.000	\$75.92	\$379.58	
03/14/18	GLADSTONE CAPITAL CORP	376535100	PURCHASE	15.000	\$8.91	\$133.64	
07/27/18	GLADSTONE CAPITAL CORP	376535100	SELL	15.000	\$9.29	\$139.33	
02/12/18	HOME DEPOT INC	437076102	PURCHASE	2.000	\$185.06	\$370.12	
03/15/18	HOME DEPOT INC	437076102	PURCHASE	1.000	\$178.15	\$178.15	
06/18/18	HOME DEPOT INC	437076102	SELL	1.000	\$200.06	\$200.04	
06/25/18	HOME DEPOT INC	437076102	SELL	2.000	\$196.37	\$392.72	
03/14/18	HUGOTON ROYALTY TRUST TEXAS UNIT BEN IN	444717102	PURCHASE	9.000	\$0.78	\$7.02	
03/14/18	HUGOTON ROYALTY TRUST TEXAS UNIT BEN IN	444717102	PURCHASE	100.000	\$0.80	\$79.82	
03/14/18	HUGOTON ROYALTY TRUST TEXAS UNIT BEN IN	444717102	SELL	9.000	\$0.77	\$6.92	
09/24/18	HUGOTON ROYALTY TRUST TEXAS UNIT BEN IN	444717102	SELL	100.000	\$0.41	\$40.48	
02/12/18	INTEL CORP	458140100	PURCHASE	5.000	\$45.02	\$225.10	
06/18/18	INTEL CORP	458140100	SELL	5.000	\$52.81	\$264.03	
07/27/18	INTEL CORP	458140100	PURCHASE	5.000	\$47.67	\$238.35	
08/13/18	INTEL CORP	458140100	SELL	5.000	\$48.84	\$244.18	
02/09/18	***JD.COM INC SPONSORED ADR REPSTG COM	47215P106	SELL	10.000	\$41.52	\$415.18	

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 Taxpayer Identification Number:***-**-9063

Account Executive No: DPA

CORRECTED: 03/13/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
02/15/18	***JD.COM INC SPONSORED ADR REPSTG COM	47215P106	PURCHASE	5.000	\$46.80	\$234.00	
02/26/18	***JD.COM INC SPONSORED ADR REPSTG COM	47215P106	PURCHASE	3.000	\$48.70	\$146.10	
03/01/18	***JD.COM INC SPONSORED ADR REPSTG COM	47215P106	PURCHASE	2.000	\$46.54	\$93.08	
03/02/18	***JD.COM INC SPONSORED ADR REPSTG COM	47215P106	PURCHASE	2.000	\$42.16	\$84.32	
03/02/18	***JD.COM INC SPONSORED ADR REPSTG COM	47215P106	PURCHASE	3.000	\$42.83	\$128.49	
03/05/18	***JD.COM INC SPONSORED ADR REPSTG COM	47215P106	PURCHASE	5.000	\$42.53	\$212.65	
06/19/18	***JD.COM INC SPONSORED ADR REPSTG COM	47215P106	PURCHASE	5.000	\$41.46	\$207.30	
06/25/18	***JD.COM INC SPONSORED ADR REPSTG COM	47215P106	PURCHASE	5.000	\$39.16	\$195.78	
06/27/18	***JD.COM INC SPONSORED ADR REPSTG COM	47215P106	PURCHASE	5.000	\$38.50	\$192.50	
08/08/18	***JD.COM INC SPONSORED ADR REPSTG COM	47215P106	PURCHASE	10.000	\$36.14	\$361.39	
08/08/18	***JD.COM INC SPONSORED ADR REPSTG COM	47215P106	SELL	5.000	\$36.08	\$180.38	
08/15/18	***JD.COM INC SPONSORED ADR REPSTG COM	47215P106	PURCHASE	10.000	\$31.98	\$319.80	
02/28/18	LOWES COMPANIES INC	548661107	PURCHASE	4.000	\$89.00	\$356.00	
06/19/18	LOWES COMPANIES INC	548661107	SELL	4.000	\$98.00	\$391.98	
01/03/18	MICROSOFT CORP	594918104	PURCHASE	2.000	\$86.11	\$172.22	
01/08/18	MICROSOFT CORP	594918104	PURCHASE	2.000	\$88.20	\$176.40	
03/09/18	MICROSOFT CORP	594918104	PURCHASE	2.000	\$95.82	\$191.64	
06/05/18	MICROSOFT CORP	594918104	PURCHASE	2.000	\$101.95	\$203.90	
06/19/18	MICROSOFT CORP	594918104	PURCHASE	3.000	\$100.06	\$300.18	
01/16/18	MICRON TECHNOLOGY INC	595112103	PURCHASE	1.000	\$43.19	\$43.19	
01/23/18	MICRON TECHNOLOGY INC	595112103	PURCHASE	1.000	\$43.73	\$43.73	
01/30/18	MICRON TECHNOLOGY INC	595112103	PURCHASE	3.000	\$41.79	\$125.37	
02/02/18	MICRON TECHNOLOGY INC	595112103	PURCHASE	5.000	\$41.63	\$208.15	
02/02/18	MICRON TECHNOLOGY INC	595112103	PURCHASE	5.000	\$41.66	\$208.30	
02/06/18	MICRON TECHNOLOGY INC	595112103	SELL	20.000	\$43.67	\$873.39	
02/08/18	MICRON TECHNOLOGY INC	595112103	PURCHASE	5.000	\$40.60	\$203.00	
02/15/18	MICRON TECHNOLOGY INC	595112103	SELL	10.000	\$43.27	\$432.68	
03/15/18	MICRON TECHNOLOGY INC	595112103	SELL	5.000	\$58.57	\$292.84	
06/05/18	MICRON TECHNOLOGY INC	595112103	PURCHASE	5.000	\$59.14	\$295.70	
06/19/18	MICRON TECHNOLOGY INC	595112103	PURCHASE	10.000	\$57.69	\$576.85	
06/19/18	MICRON TECHNOLOGY INC	595112103	SELL	10.000	\$57.82	\$578.19	
06/25/18	MICRON TECHNOLOGY INC	595112103	PURCHASE	5.000	\$53.26	\$266.30	
06/27/18	MICRON TECHNOLOGY INC	595112103	PURCHASE	5.000	\$52.55	\$262.75	
08/08/18	MICRON TECHNOLOGY INC	595112103	PURCHASE	2.000	\$52.87	\$105.74	
08/08/18	MICRON TECHNOLOGY INC	595112103	PURCHASE	3.000	\$52.84	\$158.52	
08/10/18	MICRON TECHNOLOGY INC	595112103	PURCHASE	5.000	\$51.81	\$259.05	

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Account Executive No: DPA

CORRECTED: 03/13/2019

IN ACCOUNT WITH

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DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
08/15/18	MICRON TECHNOLOGY INC	595112103	PURCHASE	5.000	\$47.82	\$239.10	
08/15/18	MICRON TECHNOLOGY INC	595112103	PURCHASE	5.000	\$47.87	\$239.35	
06/08/18	MONGODB INC CLASS A COMMON STOCK	60937P106	PURCHASE	5.000	\$52.47	\$262.35	
06/14/18	MONGODB INC CLASS A COMMON STOCK	60937P106	PURCHASE	3.000	\$58.74	\$176.22	
06/15/18	MONGODB INC CLASS A COMMON STOCK	60937P106	SELL	4.000	\$59.21	\$236.82	
06/19/18	MONGODB INC CLASS A COMMON STOCK	60937P106	SELL	4.000	\$57.36	\$229.42	
06/21/18	MONGODB INC CLASS A COMMON STOCK	60937P106	PURCHASE	5.000	\$57.20	\$286.00	
06/25/18	MONGODB INC CLASS A COMMON STOCK	60937P106	PURCHASE	5.000	\$53.86	\$269.30	
09/28/18	MONGODB INC CLASS A COMMON STOCK	60937P106	SELL	10.000	\$80.15	\$801.51	
10/12/18	MONGODB INC CLASS A COMMON STOCK	60937P106	PURCHASE	10.000	\$64.34	\$643.39	
10/30/18	MONGODB INC CLASS A COMMON STOCK	60937P106	SELL	10.000	\$76.04	\$760.39	
06/07/18	NEKTAR THERAPEUTICS	640268108	PURCHASE	3.000	\$61.99	\$185.97	
06/08/18	NEKTAR THERAPEUTICS	640268108	PURCHASE	2.000	\$53.01	\$106.03	
08/08/18	NEKTAR THERAPEUTICS	640268108	PURCHASE	1.000	\$55.00	\$55.00	
08/10/18	NEKTAR THERAPEUTICS	640268108	SELL	6.000	\$60.19	\$361.14	
02/09/18	NETFLIX COM INC	64110L106	SELL	4.000	\$250.24	\$1,000.92	
09/18/18	NOVAVAX INC	670002104	PURCHASE	100.000	\$1.41	\$141.00	
10/12/18	NOVAVAX INC	670002104	PURCHASE	150.000	\$1.97	\$295.50	
02/09/18	NVIDIA CORP	67066G104	SELL	2.000	\$228.95	\$457.87	
02/12/18	NVIDIA CORP	67066G104	PURCHASE	2.000	\$226.73	\$453.46	
09/24/18	NVIDIA CORP	67066G104	SELL	2.000	\$264.52	\$529.02	
03/08/18	OKTA INC CLASS A COMMON STOCK	679295105	PURCHASE	5.000	\$40.73	\$203.65	
06/25/18	OKTA INC CLASS A COMMON STOCK	679295105	SELL	5.000	\$48.56	\$242.79	
10/19/18	OKTA INC CLASS A COMMON STOCK	679295105	PURCHASE	10.000	\$56.02	\$560.20	
11/01/18	OKTA INC CLASS A COMMON STOCK	679295105	SELL	10.000	\$57.34	\$573.38	
03/23/18	ORACLE CORPORATION	68389X105	PURCHASE	2.000	\$45.66	\$91.33	
06/08/18	ORACLE CORPORATION	68389X105	SELL	7.000	\$48.23	\$337.63	
06/13/18	ORACLE CORPORATION	68389X105	SELL	5.000	\$48.57	\$242.81	
03/22/18	ORCHID ISLAND CAPITAL INC	68571X103	PURCHASE	20.000	\$7.34	\$146.80	
05/02/18	ORCHID ISLAND CAPITAL INC	68571X103	PURCHASE	5.000	\$7.19	\$35.95	
06/08/18	ORCHID ISLAND CAPITAL INC	68571X103	PURCHASE	5.000	\$7.39	\$36.95	
07/27/18	ORCHID ISLAND CAPITAL INC	68571X103	PURCHASE	10.000	\$8.11	\$81.10	
09/24/18	ORCHID ISLAND CAPITAL INC	68571X103	SELL	40.000	\$7.48	\$299.18	
01/30/18	OWENS CORNING	690742101	PURCHASE	2.000	\$93.64	\$187.28	
02/06/18	OWENS CORNING	690742101	PURCHASE	2.000	\$88.00	\$176.00	
02/26/18	OWENS CORNING	690742101	PURCHASE	4.000	\$85.58	\$342.32	

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CORRECTED: 03/13/2019

IN ACCOUNT WITH

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DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
02/28/18	OWENS CORNING	690742101	PURCHASE	2.000	\$82.25	\$164.50	
03/15/18	OWENS CORNING	690742101	PURCHASE	2.000	\$81.01	\$162.02	
03/20/18	OWENS CORNING	690742101	PURCHASE	3.000	\$80.15	\$240.45	
04/26/18	OWENS CORNING	690742101	PURCHASE	5.000	\$68.20	\$341.00	
06/18/18	OWENS CORNING	690742101	PURCHASE	5.000	\$66.19	\$330.95	
07/27/18	OWENS CORNING	690742101	SELL	5.000	\$62.44	\$312.18	
08/15/18	OWENS CORNING	690742101	SELL	5.000	\$57.81	\$289.03	
08/15/18	OWENS CORNING	690742101	SELL	5.000	\$57.82	\$289.08	
08/15/18	OWENS CORNING	690742101	SELL	10.000	\$57.84	\$578.38	
09/24/18	PDL BIOPHARMA INC	69329Y104	PURCHASE	80.000	\$2.51	\$200.79	
10/01/18	PDL BIOPHARMA INC	69329Y104	SELL	80.000	\$2.60	\$207.99	
01/23/18	PETMED EXPRESS INC	716382106	PURCHASE	3.000	\$50.64	\$151.92	
02/02/18	PETMED EXPRESS INC	716382106	PURCHASE	1.000	\$44.02	\$44.02	
02/02/18	PETMED EXPRESS INC	716382106	PURCHASE	1.000	\$44.02	\$44.02	
02/08/18	PETMED EXPRESS INC	716382106	PURCHASE	1.000	\$43.02	\$43.02	
02/23/18	PETMED EXPRESS INC	716382106	SELL	7.000	\$46.61	\$326.26	
01/03/18	RANGE RESOURCES CORP	75281A109	PURCHASE	10.000	\$18.29	\$182.90	
06/08/18	RANGE RESOURCES CORP	75281A109	PURCHASE	1.000	\$16.00	\$16.00	
10/08/18	RANGE RESOURCES CORP	75281A109	SELL	11.000	\$18.48	\$203.27	
02/09/18	SALESFORCE.COM INC	79466L302	SELL	5.000	\$104.60	\$522.97	
02/09/18	SALESFORCE.COM INC	79466L302	SELL	5.000	\$104.61	\$523.02	
02/14/18	SALESFORCE.COM INC	79466L302	PURCHASE	5.000	\$107.97	\$539.85	
03/19/18	SALESFORCE.COM INC	79466L302	SELL	5.000	\$123.82	\$619.07	
03/20/18	SALESFORCE.COM INC	79466L302	PURCHASE	10.000	\$126.12	\$1,261.20	
03/23/18	SALESFORCE.COM INC	79466L302	PURCHASE	3.000	\$116.21	\$348.63	
03/28/18	SALESFORCE.COM INC	79466L302	PURCHASE	4.000	\$112.38	\$449.52	
03/28/18	SALESFORCE.COM INC	79466L302	SELL	5.000	\$113.28	\$566.38	
05/02/18	SALESFORCE.COM INC	79466L302	SELL	2.000	\$123.12	\$246.22	
02/08/18	SERVICENOW INC COM	81762P102	SELL	2.000	\$143.62	\$287.22	
02/09/18	SERVICENOW INC COM	81762P102	SELL	3.000	\$141.79	\$425.35	
02/23/18	SERVICENOW INC COM	81762P102	PURCHASE	2.000	\$159.11	\$318.22	
03/08/18	SERVICENOW INC COM	81762P102	PURCHASE	2.000	\$175.32	\$350.65	
03/19/18	SERVICENOW INC COM	81762P102	SELL	4.000	\$167.85	\$671.37	
05/22/18	SERVICENOW INC COM	81762P102	PURCHASE	2.000	\$168.96	\$337.92	
06/19/18	SERVICENOW INC COM	81762P102	PURCHASE	2.000	\$181.70	\$363.40	
06/25/18	SERVICENOW INC COM	81762P102	PURCHASE	1.000	\$171.49	\$171.49	

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DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
06/25/18	SERVICENOW INC COM	81762P102	PURCHASE	2.000	\$171.60	\$343.20	
07/27/18	SERVICENOW INC COM	81762P102	PURCHASE	1.000	\$180.87	\$180.87	
07/27/18	SERVICENOW INC COM	81762P102	PURCHASE	2.000	\$182.13	\$364.26	
02/21/18	***SHOPIFY INC CL A	82509L107	PURCHASE	1.000	\$136.92	\$136.92	
03/02/18	***SHOPIFY INC CL A	82509L107	SELL	1.000	\$137.23	\$137.21	
02/12/18	SKYWORKS SOLUTIONS INC	83088M102	PURCHASE	4.000	\$102.95	\$411.80	
05/02/18	SKYWORKS SOLUTIONS INC	83088M102	PURCHASE	1.000	\$91.83	\$91.83	
05/02/18	SKYWORKS SOLUTIONS INC	83088M102	PURCHASE	1.000	\$91.88	\$91.88	
06/18/18	SKYWORKS SOLUTIONS INC	83088M102	SELL	2.000	\$101.04	\$202.06	
06/25/18	SKYWORKS SOLUTIONS INC	83088M102	PURCHASE	1.000	\$97.12	\$97.12	
08/10/18	SKYWORKS SOLUTIONS INC	83088M102	PURCHASE	2.000	\$92.97	\$185.94	
08/15/18	SKYWORKS SOLUTIONS INC	83088M102	PURCHASE	3.000	\$91.47	\$274.41	
03/16/18	SOUTHWEST AIRLINES CO	844741108	PURCHASE	3.000	\$61.01	\$183.03	
03/23/18	SOUTHWEST AIRLINES CO	844741108	PURCHASE	1.000	\$56.99	\$56.99	
06/08/18	SOUTHWEST AIRLINES CO	844741108	PURCHASE	2.000	\$50.43	\$100.86	
07/27/18	SOUTHWEST AIRLINES CO	844741108	SELL	6.000	\$57.91	\$347.44	
03/07/18	SPLUNK INC COM	848637104	PURCHASE	3.000	\$106.11	\$318.33	
03/28/18	SPLUNK INC COM	848637104	PURCHASE	2.000	\$96.24	\$192.48	
11/01/18	SPLUNK INC COM	848637104	SELL	5.000	\$102.00	\$509.98	
05/02/18	STARWOOD PROPERTY TRUST INC	85571B105	PURCHASE	5.000	\$20.85	\$104.25	
02/16/18	***TEVA PHARMACEUTICAL INDUSTRIES LIMIT	881624209	PURCHASE	5.000	\$21.00	\$105.00	
06/25/18	***TEVA PHARMACEUTICAL INDUSTRIES LIMIT	881624209	SELL	5.000	\$23.52	\$117.58	
07/27/18	TWITTER INC	90184L102	PURCHASE	10.000	\$34.61	\$346.09	
10/12/18	TWITTER INC	90184L102	PURCHASE	1.000	\$27.99	\$27.99	
10/31/18	TWITTER INC	90184L102	SELL	11.000	\$34.69	\$381.57	
02/05/18	VEEVA SYSTEMS INC CL A COM	922475108	SELL	4.000	\$58.28	\$233.10	
01/30/18	***VIPSHOP HOLDINGS LIMITED SPONSORED A	92763W103	PURCHASE	5.000	\$16.80	\$84.00	
02/06/18	***VIPSHOP HOLDINGS LIMITED SPONSORED A	92763W103	PURCHASE	1.000	\$15.01	\$15.01	
02/13/18	***VIPSHOP HOLDINGS LIMITED SPONSORED A	92763W103	SELL	6.000	\$17.75	\$106.48	
03/07/18	VMWARE INC CL A	928563402	SELL	3.000	\$122.56	\$367.66	
03/08/18	VMWARE INC CL A	928563402	SELL	2.000	\$125.35	\$250.68	
03/19/18	VMWARE INC CL A	928563402	SELL	5.000	\$123.91	\$619.52	
06/21/18	***SMART GLOBAL HOLDINGS INC ORDINARY S	G8232Y101	PURCHASE	10.000	\$40.91	\$409.08	
06/25/18	***SMART GLOBAL HOLDINGS INC ORDINARY S	G8232Y101	PURCHASE	5.000	\$33.07	\$165.34	
06/27/18	***SMART GLOBAL HOLDINGS INC ORDINARY S	G8232Y101	PURCHASE	5.000	\$31.91	\$159.55	
08/10/18	***SMART GLOBAL HOLDINGS INC ORDINARY S	G8232Y101	PURCHASE	3.000	\$31.60	\$94.80	

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY.

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SF22452
Account Name: RAHUL JAIN
Taxpayer Identification Number:***-**-9063

Account Executive No: DPA

CORRECTED: 03/13/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
08/15/18	***SMART GLOBAL HOLDINGS INC ORDINARY S	G8232Y101	PURCHASE	3.000	\$28.85	\$86.55	
08/15/18	***SMART GLOBAL HOLDINGS INC ORDINARY S	G8232Y101	PURCHASE	4.000	\$28.86	\$115.44	
05/03/18	***SPOTIFY TECHNOLOGY S A ORDINARY SHAR	L8681T102	PURCHASE	2.000	\$158.20	\$316.40	
06/19/18	***SPOTIFY TECHNOLOGY S A ORDINARY SHAR	L8681T102	SELL	2.000	\$169.34	\$338.66	

END OF 2018 DETAILS

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5SF22452
Account Name: RAHUL JAIN
Taxpayer Identification Number:***-**-9063

Account Executive No: DPA

CORRECTED: 03/13/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 REMIC / WHFIT INCOME DETAILS

THE SECURITIES LISTED BELOW HAVE SPECIAL REPORTING REQUIREMENTS.

AS A HOLDER OF THE SECURITIES LISTED BELOW, YOU SHOULD NOT FILE YOUR TAX RETURN UNTIL AFTER THE MARCH 15TH MAILING AS YOU MAY BE RECEIVING A CORRECTION.

Cusip No.	Description
444717102	HUGOTON ROYALTY TRUST TEXAS

APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5SF22452
 Account Name: RAHUL JAIN
 Taxpayer Identification Number: ***-**-9063

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

Account Executive No: DPA

CORRECTED: 03/13/2019

NMWHFIT ADDITIONAL WRITTEN STATEMENT

NON-MORTGAGE WIDELY HELD FIXED INVESTMENT TRUSTS (NMWHFIT)

Description	CUSIP
HUGOTON ROYALTY TRUS	444717102

Activity Date *	SEC 1231 Gains ¹	Proceeds ²	Rental Income ¹	Contingent Excess (Shortfall)	Investment Expenses	Administrative Expenses ³	Severance Tax	Depletion	Cost Basis Adj Amount ⁴	Collectibles Factor @ Purchase	Collectibles Factor @ Sale
03/30/2018	0.00	0.00	0.00	0.00	0.00	0.20	0.25	0.000000	1.03	0.000000	0.000000
04/30/2018	0.00	0.00	0.00	0.00	0.00	0.40	0.00	0.000000	-0.40	0.000000	0.000000
05/31/2018	0.00	0.00	0.00	0.00	0.00	0.37	0.00	0.000000	-0.36	0.000000	0.000000
06/29/2018	0.00	0.00	0.00	0.00	0.00	0.11	0.00	0.000000	-0.11	0.000000	0.000000
07/31/2018	0.00	0.00	0.00	0.00	0.00	0.08	0.00	0.000000	-0.08	0.000000	0.000000
08/31/2018	0.00	0.00	0.00	0.00	0.00	0.18	0.00	0.000000	-0.17	0.000000	0.000000
Totals	0.00	0.00	0.00	0.00	0.00	1.34	0.25	0.000000	-0.09	0.000000	0.000000

FOOT NOTES

Income, deduction, and credit, and any other information shown on this statement and associated Forms 1099 must be taken into account in computing the taxable income and credits on your Federal income tax return. Dividend distributions must satisfy the Qualified Dividend holding period requirements of section 1(h)(11)(B)(iii).

* Represents the date at which the Trust recognized the event, such as a Trade, Settlement, Record or Ex Date, for example.

1 Amounts in this category represent income included in Forms 1099 and are provided for Informational Purposes Only.

2 Amounts in this category represent Proceed events that are included in Forms 1099-B and represent sales proceeds attributable to your beneficial owner interests of the Trust with respect to each sale or disposition of an asset by the Trust and may/may not reflect activity represented in your Account.

3 Amounts in this category represent Expenses associated with Trust Proceeds or income exempt from Federal Taxes and are not reported on Forms 1099.

4 Represents a Cost Basis Factor supplied by the Trust on a Per Share Basis. You may need to determine your total Cost Basis adjustment by applying this factor to the number of Trust Units held.

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