

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	Tax Information Account 488467397	Statement Date: 01/18/2018 Document ID: Z5DE PX6 1C9N	2017
	VENU DONTHULA 936 S BLAKE ST TRACY, CA 95391-1368	PAYER'S Federal ID No: 47-0533629	

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2017 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes line 1b) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Investment expenses 0.00</p> <p>7- Foreign country or US possession: 6- Foreign tax paid: 0.00</p> <p>8- Cash liquidation distributions 0.00</p> <p>9- Noncash liquidation distributions 0.00</p> <p>10- Exempt-interest dividends (includes line 11) 0.00</p> <p>11- Specified private activity bond interest dividends (AMT) 0.00</p>	<p style="text-align: center;">MISCELLANEOUS INCOME 2017 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p style="text-align: center;">REGULATED FUTURES CONTRACTS 2017 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2017 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2016 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2017 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	158,914.09	164,815.73	0.00	4,719.48	-1,182.16
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
Total Short-term		158,914.09	164,815.73	0.00	4,719.48	-1,182.16
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
Total Long-term		0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term		0.00	0.00	0.00	0.00	0.00
Grand total		158,914.09	164,815.73	0.00	4,719.48	-1,182.16
Withholding		Amount				
Federal income tax withheld		0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2017 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 488467397

Summary Information

2017

(continued)

01/18/2018

INTEREST INCOME

2017 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for 2017	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	27.35
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 488467397

Proceeds from Broker and Barter Exchange Transactions

2017 1099-B* OMB No. 1545-0715

01/18/2018

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 3)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
ACURA PHARMACEUTICALS INC COM / CUSIP: 00509L802 / Symbol: ACUR							
02/14/17	1,000.000	1,150.07	02/10/17	1,160.00	...	-9.93	Sale
ADAMA TECHNOLOGIES CORP COM / CUSIP: 00547Q300 / Symbol: ADAC							
02/28/17	2,000.000	769.98	02/27/17	960.00	...	-190.02	Sale
ADEPTUS HEALTH INC 1:1 EXC 11/1/17 00ESC5984 / CUSIP: 006855100 / Symbol: ADPTQ							
03/03/17	300.000	848.98	03/02/17	1,117.50	...	-268.52	Sale
ADVAXIS INC COM / CUSIP: 007624208 / Symbol: ADXS							
03/07/17	100.000	938.98	03/06/17	987.31	...	-48.33	Sale
AEVI GENOMIC MEDICINE INC COM / CUSIP: 00835P105 / Symbol: GNMX							
01/06/17	100.000	555.49	01/05/17	556.84	...	-1.35	Sale
03/07/17	60.000	292.25	03/06/17	289.18	...	3.07	Sale
	Security total:	847.74		846.02	...	1.72	
ALPHABET INC COM CL A / CUSIP: 02079K305 / Symbol: GOOGL							
01/26/17	3.000	2,571.07	01/20/17	2,481.48	...	89.59	Sale
AMAZON.COM INC COM / CUSIP: 023135106 / Symbol: AMZN							
01/09/17	7.000	5,563.94	Various	5,497.73	...	66.21	Total of 2 transactions
APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL							
01/04/17	25.000	2,903.82	01/03/17	2,883.75	...	20.07	Sale
01/20/17	20.000	2,400.15	01/17/17	2,398.00	...	2.15	Sale
	Security total:	5,303.97		5,281.75	...	22.22	
APRICUS BIOSCIENCES INC COM / CUSIP: 03832V307 / Symbol: APRI							
02/03/17	100.000	251.99	02/02/17	257.50	...	-5.51	Sale
02/03/17	300.000	763.48	02/02/17	772.50	9.02 W	0.00	Sale
02/21/17	300.000	933.07	02/17/17	905.50	...	27.57	Sale
	Security total:	1,948.54		1,935.50	9.02 W	22.06	
ARRAY BIOPHARMA INC (IPO) COM / CUSIP: 04269X105 / Symbol: ARRY							
01/17/17	200.000	2,123.95	01/13/17	2,173.00	...	-49.05	Sale

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2017 1099-B* OMB No. 1545-0715

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AURINIA PHARMACEUTICALS INC COM / CUSIP: 05156V102 / Symbol: AUPH							
01/10/17	344.000	773.98	Various	827.86	...	-53.88	Sale
03/06/17	245.000	1,282.59	03/03/17	1,187.35	...	95.24	Sale
	Security total:	2,056.57		2,015.21	...	41.36	
BAHAMAS DEVELOPMENT CORP COM / CUSIP: 056736101 / Symbol: BDCI							
02/28/17	1,500.000	614.99	02/27/17	674.85	...	-59.86	Sale
BANK OF AMERICA CORP COM / CUSIP: 060505104 / Symbol: BAC							
01/17/17	182.000	4,156.79	Various	4,172.98	22.23 W	6.04	Sale
01/19/17	150.000	3,374.18	01/18/17	3,379.23	...	-5.05	Sale
	Security total:	7,530.97		7,552.21	22.23 W	0.99	
BARRACUDA NETWORKS INC COM / CUSIP: 068323104 / Symbol: CUDA							
01/10/17	70.000	1,679.96	01/09/17	1,595.30	...	84.66	Sale
BENITEC BIOPHARMA LTD ADR SPONSORED / CUSIP: 082053307 / Symbol: BNTC							
02/09/17	300.000	903.58	02/08/17	925.44	...	-21.86	Sale
BIOSTAR PHARMACEUTICALS INC COM / CUSIP: 090678301 / Symbol: BSPM							
01/13/17	150.000	465.01	01/12/17	497.54	...	-32.53	Sale
BIOCEPT INC COM / CUSIP: 09072V204 / Symbol: BIOC							
02/24/17	600.000	1,235.97	02/23/17	1,247.88	...	-11.91	Sale
CHESAPEAKE ENERGY CORP COM / CUSIP: 165167107 / Symbol: CHK							
01/27/17	135.000	946.33	Various	962.38	...	-16.05	Sale
CIDARA THERAPEUTICS INC COM / CUSIP: 171757107 / Symbol: CDTX							
01/04/17	50.000	540.01	01/03/17	527.93	...	12.08	Sale
02/22/17	300.000	2,114.95	02/21/17	2,277.76	...	-162.81	Sale
	Security total:	2,654.96		2,805.69	...	-150.73	
CINEDIGM CORP COM / CUSIP: 172406209 / Symbol: CIDM							
02/15/17	500.000	999.98	02/15/17	971.00	...	28.98	Sale
DOW CHEMICAL 1:1 EXC 9/1/17 26078J100 / CUSIP: 260543103 / Symbol: DOW							
01/30/17	15.000	906.96	01/26/17	906.00	...	0.96	Sale

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2017 1099-B* OMB No. 1545-0715

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EAGLE BANCORP INC (MD) COM / CUSIP: 268948106 / Symbol: EGBN							
01/20/17	50.000	3,002.43	01/19/17	3,005.01	...	-2.58	Sale
ENTEROMEDICS INC N/C 10/23/17 761123108 / CUSIP: 29365M505 / Symbol: ETRM							
01/10/17	50.000	1,099.98	01/09/17	520.00	...	579.98	Sale
01/13/17	60.000	889.20	01/12/17	945.30	56.10 W	0.00	Sale
01/20/17	100.000	799.98	01/20/17	966.10	166.12 W	0.00	Sale
01/25/17	120.000	875.98	01/25/17	1,098.14	222.16 W	0.00	Sale
01/27/17	45.000	322.42	01/26/17	380.17	57.75 W	0.00	Sale
02/03/17	150.000	1,024.48	02/02/17	1,417.34	392.86 W	0.00	Sale
02/06/17	200.000	1,467.03	02/03/17	1,840.90	378.62 W	4.75	Sale
02/07/17	150.000	1,125.78	02/06/17	1,520.11	394.33 W	0.00	Sale
02/16/17	150.000	1,117.48	02/15/17	1,520.80	374.14 W	-29.18	Sale
03/01/17	140.000	863.71	02/28/17	1,232.03	...	-368.32	Sale
	Security total:	9,586.04		11,440.89	2,042.08 W	187.23	
EVOKE PHARMA INC COM / CUSIP: 30049G104 / Symbol: EVOK							
02/28/17	300.000	961.34	Various	960.12	...	1.22	Total of 2 transactions
EYEGATE PHARMACEUTICALS INC COM / CUSIP: 30233M107 / Symbol: EYEG							
02/24/17	600.000	1,536.03	02/23/17	1,703.04	139.18 W	-27.83	Sale
02/27/17	500.000	1,340.07	02/27/17	1,517.58	...	-177.51	Sale
	Security total:	2,876.10		3,220.62	139.18 W	-205.34	
FACEBOOK INC COM CL A / CUSIP: 30303M102 / Symbol: FB							
02/02/17	25.000	3,293.93	02/01/17	3,274.50	...	19.43	Sale
FIDELITY SOUTHERN CORP COM / CUSIP: 316394105 / Symbol: LION							
01/24/17	40.000	969.38	01/20/17	923.53	...	45.85	Sale
01/25/17	38.000	936.88	01/24/17	939.74	...	-2.86	Sale
	Security total:	1,906.26		1,863.27	...	42.99	

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Account 488467397

Proceeds from Broker and Barter Exchange Transactions

2017 1099-B* OMB No. 1545-0715

(continued)

01/18/2018

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GEVO INC COM / CUSIP: 374396307 / Symbol: GEVO							
01/19/17	150.000	556.49	01/18/17	588.00	31.51 W	0.00	Sale
01/31/17	450.000	1,352.21	Various	1,386.01	33.80 W	0.00	Total of 2 transactions
03/02/17	1,950.000	2,008.85	03/01/17	2,156.18	46.58 W	-100.75	Sale
03/03/17	800.000	799.98	03/02/17	854.50	...	-54.52	Sale
	Security total:	4,717.53		4,984.69	111.89 W	-155.27	
GLOBAL PAYMENTS INC COM / CUSIP: 37940X102 / Symbol: GPN							
01/10/17	15.000	1,192.95	Various	1,195.57	...	-2.62	Total of 2 transactions
GROUPON INC COM / CUSIP: 399473107 / Symbol: GRPN							
01/04/17	150.000	527.23	01/03/17	538.87	...	-11.64	Sale
IMMUNOMEDICS INC COM / CUSIP: 452907108 / Symbol: IMMU							
01/10/17	150.000	500.83	01/09/17	524.26	...	-23.43	Sale
INSPIREMD INC COM / CUSIP: 45779A804 / Symbol: NSPR							
01/10/17	150.000	539.99	01/10/17	481.50	...	58.49	Sale
INVENTURE FOODS INC CSH MRG \$4/SH 12/15/17 / CUSIP: 461212102 / Symbol: SNAK							
02/01/17	200.000	1,206.37	01/31/17	1,242.00	...	-35.63	Sale
INVENTERGY GLOBAL INC COM / CUSIP: 46123X409 / Symbol: INVT							
01/09/17	400.000	295.99	01/09/17	308.00	...	-12.01	Sale
JP MORGAN CHASE & CO COM / CUSIP: 46625H100 / Symbol: JPM							
01/13/17	25.000	2,163.32	01/10/17	2,163.00	...	0.32	Sale
01/26/17	30.000	2,598.40	01/24/17	2,545.35	...	53.05	Sale
	Security total:	4,761.72		4,708.35	...	53.37	
JAGUAR ANIMAL HEALTH INC N/C 8/16/17 47010C201 / CUSIP: 47008L106 / Symbol:							
01/31/17	600.000	466.97	01/31/17	551.94	84.97 W	0.00	Sale
02/23/17	1,500.000	1,500.12	02/22/17	1,704.82	...	-204.70	Sale
	Security total:	1,967.09		2,256.76	84.97 W	-204.70	
MASSROOTS INC COM / CUSIP: 57630J106 / Symbol: MSRT							
02/21/17	1,000.000	1,029.98	02/17/17	1,029.90	...	0.08	Sale

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2017 1099-B* OMB No. 1545-0715

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MEREDITH CORP COM / CUSIP: 589433101 / Symbol: MDP							
01/30/17	25.000	1,531.85	01/26/17	1,514.25	...	17.60	Sale
MICROBOT MEDICAL INC COM / CUSIP: 59503A105 / Symbol: MBOT							
03/01/17	150.000	947.98	02/28/17	997.49	...	-49.51	Sale
MOLECULIN BIOTECH INC COM / CUSIP: 60855D101 / Symbol: MBRX							
02/16/17	700.000	902.98	02/15/17	945.00	...	-42.02	Sale
MOUNTAIN HIGH ACQUISITIONS CO COM / CUSIP: 62405W100 / Symbol: MYHI							
01/27/17	900.000	710.98	01/26/17	774.00	...	-63.02	Sale
MYOS RENS TECHNOLOGY INC COM / CUSIP: 62857P108 / Symbol: MYOS							
01/27/17	250.000	782.56	01/26/17	802.60	...	-20.04	Sale
03/02/17	550.000	2,106.45	03/02/17	1,906.74	...	199.71	Sale
03/03/17	300.000	918.04	03/02/17	1,094.97	100.26 W	-76.67	Sale
03/07/17	170.000	484.69	03/06/17	612.11	...	-127.42	Sale
	Security total:	4,291.74		4,416.42	100.26 W	-24.42	
NAKED BRAND GROUP INC COM / CUSIP: 629839200 / Symbol: NAKD							
02/08/17	600.000	1,991.96	Various	1,746.62	...	245.34	Sale
02/22/17	400.000	923.98	02/21/17	1,040.00	72.51 W	-43.51	Sale
03/09/17	250.000	641.32	03/08/17	737.76	...	-96.44	Sale
	Security total:	3,557.26		3,524.38	72.51 W	105.39	
NAVIDEA BIOPHARMACEUTICALS INC COM / CUSIP: 63937X103 / Symbol: NAVB							
03/08/17	600.000	390.06	03/07/17	503.94	...	-113.88	Sale
NEOVASC INC (CANADA) COM / CUSIP: 64065J106 / Symbol: NVCN							
02/14/17	650.000	897.05	Various	895.50	...	1.55	Sale
NVIDIA CORP COM / CUSIP: 67066G104 / Symbol: NVDA							
01/03/17	53.000	5,546.61	12/30/16	5,841.87	275.34 W	-19.92	Sale
01/06/17	50.000	5,089.89	01/04/17	5,445.99	...	-356.10	Sale
	Security total:	10,636.50		11,287.86	275.34 W	-376.02	
ONCOSEC MEDICAL INC COM / CUSIP: 68234L207 / Symbol: ONCS							
02/23/17	750.000	1,124.98	02/22/17	1,101.30	...	23.68	Sale

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TD Ameritrade Clearing, Inc.

Account 488467397

Proceeds from Broker and Barter Exchange Transactions

2017 1099-B* OMB No. 1545-0715

(continued)

01/18/2018

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 3)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PACIFIC BIOSCIENCES OF CA. INC COM / CUSIP: 69404D108 / Symbol: PACB							
01/03/17	250.000	1,048.81	01/03/17	963.75	...	85.06	Sale
PROSHARES TRUST ULTSH NASD BIOT ETF / CUSIP: 74347B789 / Symbol: BIS							
01/12/17	45.000	1,423.08	01/11/17	1,432.08	...	-9.00	Sale
PULMATRIX INC COM / CUSIP: 74584P103 / Symbol: PULM							
01/27/17	400.000	1,170.09	Various	1,103.50	...	66.59	Sale
02/03/17	300.000	1,316.97	02/01/17	799.50	...	517.47	Sale
02/07/17	300.000	1,389.00	Various	1,477.00	88.00 W	0.00	Sale
02/10/17	300.000	1,426.82	Various	1,668.97	...	-242.15	Total of 2 transactions
	Security total:	5,302.88		5,048.97	88.00 W	341.91	
RPC INC COM / CUSIP: 749660106 / Symbol: RES							
01/18/17	50.000	1,090.98	01/17/17	1,077.50	...	13.48	Sale
REAL GOODS SOLAR INC COM CL A / CUSIP: 75601N500 / Symbol: RGSE							
02/08/17	400.000	879.98	02/07/17	1,127.92	247.94 W	0.00	Sale
02/10/17	450.000	945.70	Various	1,237.76	292.06 W	0.00	Total of 2 transactions
02/17/17	500.000	1,095.03	02/17/17	1,410.46	...	-315.43	Sale
	Security total:	2,920.71		3,776.14	540.00 W	-315.43	
RENNOVA HEALTH INC 1:15 R/S 10/6/2017 759757701 / CUSIP: 759757602 / Symbol:							
03/06/17	150.000	491.24	03/06/17	521.94	...	-30.70	Sale
RETRACTABLE TECHNOLOGIES INC COM / CUSIP: 76129W105 / Symbol: RVP							
01/23/17	600.000	710.14	01/20/17	707.94	...	2.20	Sale
SB FINANCIAL GROUP INC COM / CUSIP: 78408D105 / Symbol: SBFG							
01/23/17	80.000	1,240.05	01/20/17	1,252.00	...	-11.95	Sale
SANCHEZ ENERGY CORP COM / CUSIP: 79970Y105 / Symbol: SN							
01/18/17	63.000	801.34	01/17/17	749.24	...	52.10	Sale
SHOPIFY INC COM CL A / CUSIP: 82509L107 / Symbol: SHOP							
01/11/17	30.000	1,426.37	Various	1,442.70	...	-16.33	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2017 1099-B* OMB No. 1545-0715

(continued)

01/18/2018

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 3)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SKYLINE MEDICAL INC COM / CUSIP: 83084T606 / Symbol: SKLN							
03/10/17	115.000	244.53	03/08/17	250.13	...	-5.60	Sale
SUPERCONDUCTOR TECHN INC COM / CUSIP: 867931503 / Symbol: SCON							
01/05/17	400.000	504.07	01/04/17	530.40	...	-26.33	Sale
TURTLE BEACH CORP COM / CUSIP: 900450107 / Symbol: HEAR							
01/06/17	300.000	445.34	01/06/17	502.50	...	-57.16	Sale
U.S. RARE EARTHS INC COM / CUSIP: 90346C206 / Symbol: UREE							
01/31/17	5,100.000	560.99	01/30/17	969.61	...	-408.62	Sale
01/31/17	4,900.000	540.39	Various	739.90	199.51 W	0.00	Total of 3 transactions
	Security total:	1,101.38		1,709.51	199.51 W	-408.62	
UNITEDHEALTH GROUP INC COM / CUSIP: 91324P102 / Symbol: UNH							
01/18/17	13.000	2,047.79	01/17/17	2,112.24	...	-64.45	Sale
VOXX INTERNATIONAL CORP COM CL A / CUSIP: 91829F104 / Symbol: VOXX							
01/10/17	150.000	742.48	01/09/17	761.22	...	-18.74	Sale
VALEANT PHARMACEUTICALS INTL COM / CUSIP: 91911K102 / Symbol: VRX							
01/23/17	35.000	514.49	01/20/17	523.95	...	-9.46	Sale
VANECK VECTORS GOLD MINERS ETF / CUSIP: 92189F106 / Symbol: GDV							
01/20/17	40.000	918.78	01/19/17	919.40	...	-0.62	Sale
VIEWRAY INC COM / CUSIP: 92672L107 / Symbol: VRAY							
03/06/17	70.000	401.79	03/03/17	403.23	...	-1.44	Sale
WAFERGEN BIOSYSTEMS INC CSH MRG \$7.3471/SH 3/2/17 / CUSIP: 93041P506 / Symbol:							
02/21/17	150.000	1,023.76	02/17/17	1,024.47	...	-0.71	Sale
XG TECHNOLOGY INC COM / CUSIP: 98372A705 / Symbol: XGTI							
01/10/17	150.000	329.99	01/10/17	360.00	...	-30.01	Sale
XOMA CORP COM / CUSIP: 98419J206 / Symbol: XOMA							
01/04/17	150.000	726.81	01/03/17	643.11	...	83.70	Sale

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TD Ameritrade Clearing, Inc.

Account 488467397

Proceeds from Broker and Barter Exchange Transactions

2017 1099-B* OMB No. 1545-0715

(continued)

01/18/2018

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 3)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOSANO PHARMA CORP COM / CUSIP: 98979H103 / Symbol: ZSAN							
02/15/17	500.000	1,371.97	02/14/17	974.95	...	397.02	Sale
02/16/17	480.000	1,535.97	02/15/17	1,383.53	...	152.44	Sale
02/17/17	1,000.000	2,999.93	02/16/17	3,304.95	305.02 W	0.00	Sale
02/23/17	600.000	1,488.03	02/23/17	1,768.43	280.40 W	0.00	Sale
02/24/17	1,250.000	3,241.43	02/24/17	3,488.92	...	-247.49	Sale
	Security total:	10,637.33		10,920.78	585.42 W	301.97	
DELTA TECHNOLOGY HOLDINGS LTD COM / CUSIP: G8477B105 / Symbol: DELT							
01/24/17	350.000	546.03	01/23/17	548.10	2.07 W	0.00	Sale
02/01/17	500.000	695.03	01/31/17	792.02	96.99 W	0.00	Sale
02/15/17	650.000	962.05	02/14/17	1,048.33	...	-86.28	Sale
	Security total:	2,203.11		2,388.45	99.06 W	-86.28	
ALCOBRA LTD 1:7 R/S 11/16/17 M1492T105 / CUSIP: M2239P109 / Symbol: ADHD							
02/16/17	250.000	323.79	02/15/17	330.00	2.11 W	-4.10	Sale
03/06/17	85.000	111.69	03/03/17	118.11	...	-6.42	Sale
	Security total:	435.48		448.11	2.11 W	-10.52	
DRY SHIPS INCORPORATED 1:4 R/S 4/11/17 Y2109Q143 / CUSIP: Y2109Q408 / Symbol:							
02/01/17	450.000	2,218.50	Various	2,242.48	124.00 W	100.02	Sale
02/03/17	250.000	1,065.18	02/02/17	1,133.98	79.84 W	11.04	Sale
02/06/17	700.000	3,394.93	Various	3,097.75	...	297.18	Sale
02/14/17	250.000	1,135.01	02/09/17	1,045.00	...	90.01	Sale
02/22/17	300.000	950.95	02/21/17	1,004.97	54.02 W	0.00	Sale
03/03/17	300.000	413.99	03/03/17	491.99	78.00 W	0.00	Sale
03/09/17	500.000	771.98	03/07/17	767.20	...	4.78	Sale
	Security total:	9,950.54		9,783.37	335.86 W	503.03	
NAVIOS MARITIME PARTNERS COM UNITS REP LTD PTN INT / CUSIP: Y62267102 / Symbol: NMM							
02/15/17	500.000	936.58	02/14/17	994.95	...	-58.37	Sale

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TD Ameritrade Clearing, Inc.

Account 488467397

Proceeds from Broker and Barter Exchange Transactions

2017 1099-B* OMB No. 1545-0715

(continued)

01/18/2018

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 3)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TOP SHIPS INC 1:20 R/S 5/11/17 Y8897Y404 / CUSIP: Y8897Y156 / Symbol:							
02/27/17	1,100.000	2,541.05	02/24/17	2,561.90	12.04 W	-8.81	Sale
03/01/17	635.000	1,333.53	Various	1,417.51	...	-83.98	Sale
	Security total:	3,874.58		3,979.41	12.04 W	-92.79	
Totals :		158,914.09		164,815.73	4,719.48 W	-1,182.16	

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TD Ameritrade Clearing, Inc.

Account 488467397

Fees and Expenses

2017

01/18/2018

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Charged		01/31/17	-21.44	Margin interest paid	
		02/28/17	-3.62	Margin interest paid	
		03/31/17	-2.27	Margin interest paid	
		04/28/17	-0.01	Margin interest paid	
		05/31/17	-0.01	Margin interest paid	
			-27.35	Total Margin interest paid	
			-27.35	Total Margin interest paid	

Common Instructions for Recipient

Recipient's identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2017 General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

Column 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Column 1b. This column may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Column 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Column 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This column does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the Instructions for Schedule D.

Column 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

Instructions for Recipient

Column 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If line 5 is checked, line 1f may be blank.

Column 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If line 5 is checked, line 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If line 5 is checked, line 2 may be blank.

Line 3. If the basis in column 1e has been reported to the IRS, short or long term or ordinary must be indicated on line 2. If line 3 indicates basis is reported to IRS on Form(s) 1099-B and NO adjustment is required, see instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If Ordinary gain or loss is indicated for the transaction, an adjustment may be required.

Line 4. See "Backup Withholding" section.

Line 5. If the securities sold were noncovered securities columns 1b, 1e, 1f, 1g may be blank and line 2 may indicate short, long or undetermined term. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Column 6. If the exercise of a non-compensatory option resulted in a sale of a security, indicates whether the amount in column 1d was adjusted for premium.

Column 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in column 1d. See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, proceeds are from a transaction involving collectibles.

Lines 14-16. State income tax withheld reporting lines.

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