

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 555075324	Statement Date: 02/06/2020 Document ID: 1788 D21 M3B8	2019
	Uma Myla 4740 Fallow Ln Cumming, GA 30040 RECIPIENT'S TIN: XXX-XX-1159		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2019 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 0.84</p> <p>1b- Qualified dividends 0.84</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p style="text-align: center;">MISCELLANEOUS INCOME 2019 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 3.56</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p style="text-align: center;">SECTION 1256 CONTRACTS 2019 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2019 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2018 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	52,501.07	66,529.17	0.00	8,700.03	-5,328.07
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	52,501.07	66,529.17	0.00	8,700.03	-5,328.07
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	52,501.07	66,529.17	0.00	8,700.03	-5,328.07

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2019 may require an amended tax form.

Robinhood Securities LLC

Account 555075324

Summary Information

2019

(continued)

02/06/2020

INTEREST INCOME

2019 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for 2019	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 555075324

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

02/06/2020

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to other corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/16/2019 CALL \$205.00 / CUSIP: / Symbol:							
08/16/19	1.000	102.98	08/15/19	64.00	...	38.98	Option sale to close-call ²⁵
AAPL 08/23/2019 PUT \$192.50 / CUSIP: / Symbol:							
08/15/19	1.000	126.98	08/14/19	98.00	...	28.98	Option sale to close-put ²⁵
AAPL 08/30/2019 PUT \$200.00 / CUSIP: / Symbol:							
08/20/19	1.000	78.98	08/19/19	93.00	...	-14.02	Option sale to close-put ²⁵
AAPL 09/06/2019 PUT \$180.00 / CUSIP: / Symbol:							
09/06/19	1.000	0.00	08/23/19	67.00	...	-67.00	Option expiration- long put
AAPL 10/04/2019 PUT \$215.00 / CUSIP: / Symbol:							
09/26/19	1.000	164.98	09/25/19	123.00	...	41.98	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/11/2019 PUT \$222.50 / CUSIP: / Symbol:							
10/09/19	1.000	64.98	10/08/19	162.00	...	-97.02	Option sale to close-put ²⁵
ABBV 08/09/2019 CALL \$70.00 / CUSIP: / Symbol:							
08/09/19	5.000	0.00	07/26/19	320.00	...	-320.00	Option expiration- long call
AIR 10/18/2019 CALL \$50.00 / CUSIP: / Symbol:							
10/18/19	1.000	0.00	09/25/19	45.00	...	-45.00	Option expiration- long call
AMD 08/16/2019 CALL \$30.50 / CUSIP: / Symbol:							
08/02/19	3.000	203.98	08/02/19	258.00	...	-54.02	Option sale to close-call ²⁵
08/05/19	2.000	79.98	08/02/19	172.00	...	-92.02	Option sale to close-call ²⁵
	Security total:	283.96		430.00	...	-146.04	
AMD 08/16/2019 CALL \$34.50 / CUSIP: / Symbol:							
08/08/19	2.000	133.98	08/08/19	84.00	...	49.98	Option sale to close-call ²⁵
AMD 09/06/2019 CALL \$32.50 / CUSIP: / Symbol:							
08/29/19	1.000	42.98	08/28/19	35.00	...	7.98	Option sale to close-call ²⁵
AMD 09/27/2019 CALL \$29.50 / CUSIP: / Symbol:							
09/25/19	2.000	95.98	09/25/19	68.00	...	27.98	Option sale to close-call ²⁵
ANTM 10/18/2019 PUT \$232.50 / CUSIP: / Symbol:							
10/15/19	1.000	43.98	10/14/19	147.00	...	-103.02	Option sale to close-put ²⁵
ANTM 10/18/2019 PUT \$235.00 / CUSIP: / Symbol:							
10/16/19	1.000	156.98	10/15/19	0.00	...	156.98	Short sale closed- put ²⁵ Option written: 10/14/19
APHA 10/18/2019 PUT \$3.00 / CUSIP: / Symbol:							
10/18/19	4.000	0.00	10/14/19	36.00	...	-36.00	Option expiration- long put

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Robinhood Securities LLC

Account 555075324

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AXP 08/02/2019 CALL \$128.00 / CUSIP: / Symbol:							
3 transactions for 07/23/19. Total proceeds and cost reported to the IRS.							
	5.000	349.98	07/19/19	355.00	...	-5.02	1 of 3 - Option sale to close-call ²⁵
	5.000	349.98	07/19/19	295.00	...	54.98	2 of 3 - Option sale to close-call ²⁵
	5.000	349.98	07/19/19	235.00	...	114.98	3 of 3 - Option sale to close-call ²⁵
07/23/19	15.000	1,049.94	Various	885.00	...	164.94	Total of 3 transactions
AXP 08/02/2019 CALL \$130.00 / CUSIP: / Symbol:							
08/02/19	1.000	0.00	07/23/19	47.00	...	-47.00	Option expiration- long call
BA 09/27/2019 PUT \$367.50 / CUSIP: / Symbol:							
09/24/19	1.000	129.98	09/23/19	100.00	...	29.98	Option sale to close-put ²⁵
BA 10/25/2019 PUT \$310.00 / CUSIP: / Symbol:							
10/25/19	1.000	0.00	10/22/19	139.00	...	-139.00	Option expiration- long put
BABA 10/11/2019 CALL \$170.00 / CUSIP: / Symbol:							
10/09/19	1.000	56.98	10/08/19	76.00	...	-19.02	Option sale to close-call ²⁵
BABA 10/18/2019 CALL \$172.50 / CUSIP: / Symbol:							
2 transactions for 10/09/19. Total proceeds and cost reported to the IRS.							
	1.000	119.99	10/08/19	96.00	...	23.99	1 of 2 - Option sale to close-call ²⁵
	1.000	119.99	10/08/19	96.00	...	23.99	2 of 2 - Option sale to close-call ²⁵
10/09/19	2.000	239.98	Various	192.00	...	47.98	Total of 2 transactions
BAC 08/23/2019 CALL \$27.00 / CUSIP: / Symbol:							
2 transactions for 08/15/19. Total proceeds and cost reported to the IRS.							
	1.000	34.98	08/14/19	32.00	...	2.98	1 of 2 - Option sale to close-call ²⁵
	2.000	69.98	08/14/19	64.00	...	5.98	2 of 2 - Option sale to close-call ²⁵
08/15/19	3.000	104.96	Various	96.00	...	8.96	Total of 2 transactions
BIIB 07/19/2019 CALL \$230.00 / CUSIP: / Symbol:							
07/11/19	1.000	429.98	07/11/19	330.00	...	99.98	Option sale to close-call ²⁵
BIIB 07/19/2019 CALL \$232.50 / CUSIP: / Symbol:							
07/11/19	1.000	299.98	07/11/19	265.00	...	34.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIIB 07/19/2019 PUT \$227.50 / CUSIP: / Symbol:							
07/19/19	1.000	0.00	07/12/19	135.00	...	-135.00	Option expiration- long put
BMO 09/20/2019 PUT \$65.00 / CUSIP: / Symbol:							
09/20/19	1.000	0.00	08/27/19	65.00	...	-65.00	Option expiration- long put
BYND 07/12/2019 CALL \$160.00 / CUSIP: / Symbol:							
07/08/19	1.000	349.98	07/05/19	195.00	...	154.98	Option sale to close-call ²⁵
BYND 07/19/2019 CALL \$165.00 / CUSIP: / Symbol:							
07/10/19	1.000	299.98	07/09/19	200.00	...	99.98	Option sale to close-call ²⁵
BYND 07/26/2019 PUT \$150.00 / CUSIP: / Symbol:							
07/26/19	1.000	0.00	07/12/19	418.00	...	-418.00	Option expiration- long put
BYND 10/18/2019 CALL \$123.00 / CUSIP: / Symbol:							
10/18/19	1.000	146.98	10/18/19	0.00	...	146.98	Short sale closed- call expired Option written: 10/15/19
BYND 10/18/2019 CALL \$124.00 / CUSIP: / Symbol:							
10/18/19	1.000	0.00	10/15/19	114.00	...	-114.00	Option expiration- long call
CCL 10/04/2019 PUT \$44.50 / CUSIP: / Symbol:							
09/26/19	1.000	119.98	09/25/19	49.00	...	70.98	Option sale to close-put ²⁵
CGC 10/18/2019 CALL \$21.00 / CUSIP: / Symbol:							
10/18/19	2.000	27.98	10/18/19	0.00	...	27.98	Short sale closed- call expired Option written: 10/16/19
CGC 10/18/2019 CALL \$21.50 / CUSIP: / Symbol:							
10/18/19	2.000	0.00	10/16/19	20.00	...	-20.00	Option expiration- long call
CGC 10/18/2019 PUT \$19.50 / CUSIP: / Symbol:							
10/14/19	1.000	86.98	10/11/19	62.00	...	24.98	Option sale to close-put ²⁵
CHWY 10/18/2019 CALL \$40.00 / CUSIP: / Symbol:							
07/26/19	1.000	144.98	07/19/19	170.00	...	-25.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COST 09/06/2019 CALL \$297.50 / CUSIP: / Symbol:							
08/30/19	1.000	324.98	08/29/19	340.00	...	-15.02	Option sale to close-call ²⁵
CPRT 10/18/2019 CALL \$80.00 / CUSIP: / Symbol:							
10/09/19	1.000	104.98	10/08/19	155.00	...	-50.02	Option sale to close-call ²⁵
CRM 08/30/2019 CALL \$157.50 / CUSIP: / Symbol:							
08/23/19	1.000	262.98	08/22/19	172.00	...	90.98	Option sale to close-call ²⁵
CRM 08/30/2019 PUT \$149.00 / CUSIP: / Symbol:							
08/23/19	1.000	199.98	08/23/19	103.00	...	96.98	Option sale to close-put ²⁵
CVS 11/15/2019 CALL \$62.50 / CUSIP: / Symbol:							
08/01/19	1.000	115.98	07/12/19	165.00	...	-49.02	Option sale to close-call ²⁵
DAL 10/11/2019 PUT \$53.50 / CUSIP: / Symbol:							
10/09/19	1.000	87.98	10/09/19	89.00	...	-1.02	Option sale to close-put ²⁵
DAL 10/18/2019 CALL \$54.00 / CUSIP: / Symbol:							
<i>2 transactions for 10/18/19. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	10/09/19	135.00	...	-135.00	1 of 2 - Option expiration- long call
	1.000	0.00	10/09/19	135.00	...	-135.00	2 of 2 - Option expiration- long call
10/18/19	2.000	0.00	Various	270.00	...	-270.00	Total of 2 transactions
DIS 08/02/2019 CALL \$142.00 / CUSIP: / Symbol:							
07/25/19	5.000	744.97	07/24/19	685.00	...	59.97	Option sale to close-call ²⁵
DIS 08/02/2019 CALL \$144.00 / CUSIP: / Symbol:							
<i>4 transactions for 07/23/19. Total proceeds and cost reported to the IRS.</i>							
	1.000	105.99	07/22/19	83.00	...	22.99	1 of 4 - Option sale to close-call ²⁵
	1.000	105.99	07/22/19	83.00	...	22.99	2 of 4 - Option sale to close-call ²⁵
	1.000	106.00	07/22/19	83.00	...	23.00	3 of 4 - Option sale to close-call ²⁵
	2.000	211.99	07/22/19	166.00	...	45.99	4 of 4 - Option sale to close-call ²⁵
07/23/19	5.000	529.97	Various	415.00	...	114.97	Total of 4 transactions

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Robinhood Securities LLC

Account 555075324

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 08/02/2019 CALL \$145.00 / CUSIP: / Symbol:							
2 transactions for 07/25/19. Total proceeds and cost reported to the IRS.							
	2.000	147.98	07/23/19	124.00	...	23.98	1 of 2 - Option sale to close-call ²⁵
	3.000	221.98	07/23/19	186.00	...	35.98	2 of 2 - Option sale to close-call ²⁵
07/25/19	5.000	369.96	Various	310.00	...	59.96	Total of 2 transactions
DIS 08/16/2019 CALL \$140.00 / CUSIP: / Symbol:							
4 transactions for 08/08/19. Total proceeds and cost reported to the IRS.							
	1.000	81.99	08/07/19	38.00	...	43.99	1 of 4 - Option sale to close-call ²⁵
	1.000	93.99	08/07/19	41.00	...	52.99	2 of 4 - Option sale to close-call ²⁵
	2.000	163.99	08/07/19	92.00	...	71.99	3 of 4 - Option sale to close-call ²⁵
	2.000	187.99	08/07/19	92.00	...	95.99	4 of 4 - Option sale to close-call ²⁵
08/08/19	6.000	527.96	Various	263.00	...	264.96	Total of 4 transactions
DIS 08/16/2019 CALL \$150.00 / CUSIP: / Symbol:							
08/01/19	2.000	183.98	07/31/19	258.00	...	-74.02	Option sale to close-call ²⁵
DIS 08/23/2019 CALL \$140.00 / CUSIP: / Symbol:							
08/13/19	1.000	92.98	08/12/19	122.00	...	-29.02	Option sale to close-call ²⁵
DIS 08/30/2019 CALL \$138.00 / CUSIP: / Symbol:							
08/15/19	1.000	116.98	08/14/19	113.00	...	3.98	Option sale to close-call ²⁵
DIS 09/06/2019 PUT \$132.00 / CUSIP: / Symbol:							
09/06/19	1.000	0.00	08/28/19	65.00	...	-65.00	Option expiration- long put
DIS 09/27/2019 CALL \$133.00 / CUSIP: / Symbol:							
09/24/19	1.000	129.98	09/23/19	87.00	...	42.98	Option sale to close-call ²⁵
DNKN 09/20/2019 CALL \$85.00 / CUSIP: / Symbol:							
09/20/19	1.000	0.00	09/05/19	67.00	...	-67.00	Option expiration- long call
EDU 10/18/2019 CALL \$120.00 / CUSIP: / Symbol:							
10/18/19	1.000	0.00	10/15/19	50.00	...	-50.00	Option expiration- long call
EL 09/20/2019 CALL \$220.00 / CUSIP: / Symbol:							
08/20/19	2.000	119.98	08/19/19	100.00	...	19.98	Option sale to close-call ²⁵

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Account 555075324

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 08/02/2019 CALL \$220.00 / CUSIP: / Symbol:							
07/23/19	2.000	285.98	07/22/19	214.00	...	71.98	Option sale to close-call ²⁵
FB 09/27/2019 CALL \$180.00 / CUSIP: / Symbol:							
09/27/19	1.000	0.00	09/26/19	105.00	...	-105.00	Option expiration- long call
FDX 09/20/2019 CALL \$185.00 / CUSIP: / Symbol:							
09/17/19	1.000	116.98	09/17/19	47.00	...	69.98	Option sale to close-call ²⁵
FDX 09/20/2019 PUT \$144.00 / CUSIP: / Symbol:							
09/20/19	1.000	0.00	09/18/19	43.00	...	-43.00	Option expiration- long put
FDX 09/20/2019 PUT \$162.50 / CUSIP: / Symbol:							
09/18/19	1.000	1,149.96	09/17/19	115.00	...	1,034.96	Option sale to close-put ²⁵
FDX 09/27/2019 PUT \$145.00 / CUSIP: / Symbol:							
09/23/19	1.000	119.98	09/20/19	69.00	...	50.98	Option sale to close-put ²⁵
FIVE 09/06/2019 PUT \$108.00 / CUSIP: / Symbol:							
09/06/19	1.000	0.00	08/28/19	155.00	...	-155.00	Option expiration- long put
FSLY 10/18/2019 CALL \$24.00 / CUSIP: / Symbol:							
10/07/19	1.000	184.98	10/07/19	190.00	...	-5.02	Option sale to close-call ²⁵
FSLY 10/18/2019 PUT \$24.00 / CUSIP: / Symbol:							
	<i>2 transactions for 10/14/19. Total proceeds and cost reported to the IRS.</i>						
	1.000	139.99	10/07/19	175.00	...	-35.01	1 of 2 - Option sale to close-put ²⁵
	1.000	139.99	10/08/19	100.00	...	39.99	2 of 2 - Option sale to close-put ²⁵
10/14/19	2.000	279.98	Various	275.00	...	4.98	Total of 2 transactions
FUL 10/18/2019 PUT \$40.00 / CUSIP: / Symbol:							
09/27/19	1.000	14.98	09/25/19	22.00	...	-7.02	Option sale to close-put ²⁵
GE 08/30/2019 CALL \$9.00 / CUSIP: / Symbol:							
08/19/19	2.000	43.98	08/16/19	44.00	...	-0.02	Option sale to close-call ²⁵
GLD 08/09/2019 CALL \$135.00 / CUSIP: / Symbol:							
07/24/19	3.000	482.98	07/23/19	528.00	...	-45.02	Option sale to close-call ²⁵

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Account 555075324

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2019 1099-B* OMB No. 1545-0715

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02/06/2020

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GS 09/06/2019 CALL \$210.00 / CUSIP: / Symbol: 08/23/19	1.000	35.98	08/20/19	87.00	...	-51.02	Option sale to close-call ²⁵
GS 10/18/2019 CALL \$212.50 / CUSIP: / Symbol: 10/18/19	1.000	0.00	10/14/19	110.00	...	-110.00	Option expiration- long call
HD 09/06/2019 PUT \$212.50 / CUSIP: / Symbol: 08/23/19	1.000	148.98	08/22/19	109.00	...	39.98	Option sale to close-put ²⁵
IIPR 09/20/2019 CALL \$110.00 / CUSIP: / Symbol: 09/20/19	1.000	0.00	09/03/19	40.00	...	-40.00	Option expiration- long call
IWM 08/02/2019 CALL \$157.00 / CUSIP: / Symbol: 07/30/19	3.000	308.98	07/29/19	261.00	...	47.98	Option sale to close-call ²⁵
JBHT 10/18/2019 CALL \$115.00 / CUSIP: / Symbol: 10/16/19	1.000	139.98	10/15/19	91.00	...	48.98	Option sale to close-call ²⁵
JNJ 10/18/2019 PUT \$128.00 / CUSIP: / Symbol: 10/18/19	1.000	19.98	10/14/19	68.00	...	-48.02	Option sale to close-put ²⁵
JPM 10/18/2019 CALL \$119.00 / CUSIP: / Symbol: 10/15/19	1.000	80.98	10/14/19	47.00	...	33.98	Option sale to close-call ²⁵
JPM 10/25/2019 CALL \$124.00 / CUSIP: / Symbol: 10/15/19	1.000	34.98	10/15/19	42.00	...	-7.02	Option sale to close-call ²⁵
KBH 10/18/2019 CALL \$35.00 / CUSIP: / Symbol: 09/27/19	1.000	23.98	09/25/19	53.00	...	-29.02	Option sale to close-call ²⁵
KO 10/25/2019 CALL \$54.00 / CUSIP: / Symbol: 10/18/19	1.000	93.98	10/17/19	87.00	...	6.98	Option sale to close-call ²⁵
KR 10/18/2019 CALL \$24.00 / CUSIP: / Symbol: 08/05/19	4.000	227.98	07/15/19	208.00	...	19.98	Option sale to close-call ²⁵
KSS 07/12/2019 CALL \$49.50 / CUSIP: / Symbol: 07/08/19	1.000	24.98	07/02/19	25.00	...	-0.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/06/2020

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KSS 10/18/2019 CALL \$50.00 / CUSIP: / Symbol:							
07/08/19	1.000	289.98	07/02/19	225.00	...	64.98	Option sale to close-call ²⁵
07/12/19	1.000	279.98	07/10/19	230.00	...	49.98	Option sale to close-call ²⁵
	Security total:	569.96		455.00	...	114.96	
LEVI 10/18/2019 PUT \$17.00 / CUSIP: / Symbol:							
10/09/19	1.000	21.98	10/08/19	30.00	...	-8.02	Option sale to close-put ²⁵
LGND 07/19/2019 PUT \$110.00 / CUSIP: / Symbol:							
07/19/19	1.000	0.00	07/10/19	45.00	...	-45.00	Option expiration- long put
LGND 08/16/2019 CALL \$115.00 / CUSIP: / Symbol:							
06/27/19	1.000	679.97	06/25/19	540.00	...	139.97	Option sale to close-call ²⁵
LGND 09/20/2019 CALL \$105.00 / CUSIP: / Symbol:							
09/19/19	1.000	114.98	09/12/19	65.00	...	49.98	Option sale to close-call ²⁵
LGND 09/20/2019 CALL \$110.00 / CUSIP: / Symbol:							
08/20/19	1.000	64.98	08/13/19	65.00	0.02 W	0.00	Option sale to close-call ²⁵
09/20/19	1.000	0.00	08/21/19	70.02	...	-70.02	Option expiration- long call
	Security total:	64.98		135.02	0.02 W	-70.02	
M 01/17/2020 CALL \$17.00 / CUSIP: / Symbol:							
09/25/19	1.000	109.98	09/18/19	133.00	...	-23.02	Option sale to close-call ²⁵
MCD 08/09/2019 CALL \$220.00 / CUSIP: / Symbol:							
	<i>11 transactions for 08/01/19. Total proceeds and cost reported to the IRS.</i>						
	4.000	23.99	07/30/19	280.02	...	-256.03	1 of 11 - Option sale to close-call ²⁵
	2.000	11.99	07/30/19	230.03	...	-218.04	2 of 11 - Option sale to close-call ²⁵
	2.000	12.00	07/30/19	230.03	...	-218.03	3 of 11 - Option sale to close-call ²⁵
	3.000	17.99	07/30/19	210.01	...	-192.02	4 of 11 - Option sale to close-call ²⁵
	1.000	6.00	07/30/19	115.03	...	-109.03	5 of 11 - Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MCD 08/09/2019 CALL \$220.00 / CUSIP: / Symbol: (cont'd)							
	5.000	29.99	07/30/19	110.00	...	-80.01	6 of 11 - Option sale to close-call 25
	1.000	6.00	07/30/19	85.01	...	-79.01	7 of 11 - Option sale to close-call 25
	1.000	6.00	07/30/19	85.01	...	-79.01	8 of 11 - Option sale to close-call 25
	1.000	6.00	07/30/19	85.00	...	-79.00	9 of 11 - Option sale to close-call 25
	1.000	5.99	07/30/19	21.00	...	-15.01	10 of 11 - Option sale to close-call 25
	1.000	6.00	07/30/19	21.00	...	-15.00	11 of 11 - Option sale to close-call 25
08/01/19	22.000	131.95	Various	1,472.14	...	-1,340.19	Total of 11 transactions
	12 transactions for 08/01/19. Total proceeds and cost reported to the IRS.						
	1.000	5.98	07/30/19	54.00	48.02 W	0.00	1 of 12 - Option sale to close-call 25
	5.000	29.98	07/30/19	270.00	240.02 W	0.00	2 of 12 - Option sale to close-call 25
	5.000	29.98	07/30/19	270.00	240.02 W	0.00	3 of 12 - Option sale to close-call 25
	4.000	23.98	07/30/19	216.00	192.02 W	0.00	4 of 12 - Option sale to close-call 25
	1.000	5.99	07/30/19	84.02	78.03 W	0.00	5 of 12 - Option sale to close-call 25
	2.000	11.99	07/30/19	168.01	156.02 W	0.00	6 of 12 - Option sale to close-call 25
	2.000	11.99	07/30/19	168.01	156.02 W	0.00	7 of 12 - Option sale to close-call 25
	1.000	6.00	07/30/19	100.03	94.03 W	0.00	8 of 12 - Option sale to close-call 25
	2.000	11.99	07/30/19	200.02	188.03 W	0.00	9 of 12 - Option sale to close-call 25
	2.000	11.99	07/30/19	200.02	188.03 W	0.00	10 of 12 - Option sale to close-call 25

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Account 555075324

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

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MCD 08/09/2019 CALL \$220.00 / CUSIP: / Symbol: (cont'd)							
	1.000	6.00	07/30/19	70.00	64.00 W	0.00	11 of 12 - Option sale to close-call ²⁵
	2.000	11.99	07/30/19	140.01	128.02 W	0.00	12 of 12 - Option sale to close-call ²⁵
08/01/19	28.000	167.86	Various	1,940.12	1,772.26 W	0.00	Total of 12 transactions
	Security total:	299.81		3,412.26	1,772.26 W	-1,340.19	
MCD 08/16/2019 CALL \$220.00 / CUSIP: / Symbol:							
08/01/19	3.000	71.98	07/31/19	96.00	...	-24.02	Option sale to close-call ²⁵
MCD 08/23/2019 CALL \$225.00 / CUSIP: / Symbol:							
5 transactions for 08/23/19. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/13/19	59.00	...	-59.00	1 of 5 - Option expiration- long call
	1.000	0.00	08/13/19	59.00	...	-59.00	2 of 5 - Option expiration- long call
	1.000	0.00	08/13/19	47.00	...	-47.00	3 of 5 - Option expiration- long call
	1.000	0.00	08/13/19	43.00	...	-43.00	4 of 5 - Option expiration- long call
	2.000	0.00	08/14/19	74.00	...	-74.00	5 of 5 - Option expiration- long call
08/23/19	6.000	0.00	Various	282.00	...	-282.00	Total of 5 transactions
MCD 09/06/2019 CALL \$220.00 / CUSIP: / Symbol:							
08/27/19	1.000	89.98	08/23/19	64.00	...	25.98	Option sale to close-call ²⁵
MCD 09/20/2019 CALL \$222.50 / CUSIP: / Symbol:							
09/04/19	1.000	99.98	09/03/19	104.00	...	-4.02	Option sale to close-call ²⁵
MCD 10/18/2019 PUT \$205.00 / CUSIP: / Symbol:							
10/15/19	1.000	53.98	10/11/19	29.00	...	24.98	Option sale to close-put ²⁵
MCD 10/18/2019 PUT \$207.50 / CUSIP: / Symbol:							
10/16/19	1.000	-86.02	10/15/19	0.00	...	-86.02	Short sale closed- put ²⁵ Option written: 10/11/19
MCD 10/25/2019 CALL \$210.00 / CUSIP: / Symbol:							
10/25/19	1.000	0.00	10/21/19	297.00	...	-297.00	Option expiration- long call

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MO 11/15/2019 CALL \$45.00 / CUSIP: / Symbol:							
10/21/19	1.000	111.98	10/18/19	89.00	...	22.98	Option sale to close-call ²⁵
MRVL 09/06/2019 CALL \$25.50 / CUSIP: / Symbol:							
09/06/19	2.000	0.00	08/29/19	62.00	...	-62.00	Option expiration- long call
MSFT 07/26/2019 CALL \$141.00 / CUSIP: / Symbol:							
2 transactions for 07/26/19. Total proceeds and cost reported to the IRS.							
	1.000	41.98	07/19/19	116.01	...	-74.03	1 of 2 - Option sale to close-call ²⁵
	1.000	41.99	07/19/19	67.00	...	-25.01	2 of 2 - Option sale to close-call ²⁵
07/26/19	2.000	83.97	Various	183.01	...	-99.04	Total of 2 transactions
07/26/19	1.000	41.99	07/18/19	93.00	51.01 W	0.00	Option sale to close-call ²⁵
	Security total:	125.96		276.01	51.01 W	-99.04	
MSFT 08/02/2019 CALL \$140.00 / CUSIP: / Symbol:							
07/23/19	3.000	437.98	07/22/19	315.00	...	122.98	Option sale to close-call ²⁵
MSFT 10/25/2019 CALL \$138.00 / CUSIP: / Symbol:							
10/21/19	1.000	256.98	10/21/19	283.00	...	-26.02	Option sale to close-call ²⁵
MU 10/04/2019 CALL \$49.00 / CUSIP: / Symbol:							
10/04/19	1.000	0.00	09/26/19	216.00	...	-216.00	Option expiration- long call
NFLX 07/26/2019 PUT \$295.00 / CUSIP: / Symbol:							
07/19/19	3.000	131.98	07/18/19	252.00	...	-120.02	Option sale to close-put ²⁵
NIO 01/17/2020 PUT \$2.50 / CUSIP: / Symbol:							
09/24/19	1.000	83.98	09/23/19	46.00	...	37.98	Option sale to close-put ²⁵
NKE 09/27/2019 CALL \$91.50 / CUSIP: / Symbol:							
09/24/19	1.000	74.98	09/23/19	71.00	...	3.98	Option sale to close-call ²⁵
NKE 10/04/2019 CALL \$91.50 / CUSIP: / Symbol:							
09/25/19	1.000	199.98	09/24/19	81.00	...	118.98	Option sale to close-call ²⁵
NKE 10/04/2019 PUT \$82.00 / CUSIP: / Symbol:							
10/04/19	1.000	0.00	09/24/19	81.00	...	-81.00	Option expiration- long put

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Robinhood Securities LLC

Account 555075324

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 09/06/2019 PUT \$119.00 / CUSIP: / Symbol:							
08/29/19	1.000	174.98	08/28/19	185.00	...	-10.02	Option sale to close-put ²⁵
PEP 08/09/2019 CALL \$133.00 / CUSIP: / Symbol:							
4 transactions for 07/26/19. Total proceeds and cost reported to the IRS.							
	5.000	319.98	07/23/19	390.00	...	-70.02	1 of 4 - Option sale to close-call ²⁵
	5.000	319.98	07/23/19	370.00	...	-50.02	2 of 4 - Option sale to close-call ²⁵
	5.000	319.99	07/24/19	285.00	...	34.99	3 of 4 - Option sale to close-call ²⁵
	5.000	319.98	07/24/19	155.00	...	164.98	4 of 4 - Option sale to close-call ²⁵
07/26/19	20.000	1,279.93	Various	1,200.00	...	79.93	Total of 4 transactions
07/26/19	5.000	319.98	07/23/19	445.00	125.02 W	0.00	Option sale to close-call ²⁵
07/29/19	5.000	449.98	07/24/19	280.02	...	169.96	Option sale to close-call ²⁵
	Security total:	2,049.89		1,925.02	125.02 W	249.89	
PEP 09/13/2019 PUT \$133.00 / CUSIP: / Symbol:							
2 transactions for 09/10/19. Total proceeds and cost reported to the IRS.							
	1.000	36.99	09/03/19	68.00	...	-31.01	1 of 2 - Option sale to close-put ²⁵
	1.000	36.99	09/03/19	60.00	...	-23.01	2 of 2 - Option sale to close-put ²⁵
09/10/19	2.000	73.98	Various	128.00	...	-54.02	Total of 2 transactions
PG 10/25/2019 CALL \$123.00 / CUSIP: / Symbol:							
10/22/19	1.000	137.98	10/21/19	53.00	...	84.98	Option sale to close-call ²⁵
PNFP 10/18/2019 PUT \$55.00 / CUSIP: / Symbol:							
10/18/19	1.000	0.00	10/15/19	45.00	...	-45.00	Option expiration- long put
PYPL 08/09/2019 CALL \$119.00 / CUSIP: / Symbol:							
4 transactions for 07/25/19. Total proceeds and cost reported to the IRS.							
	1.000	81.00	07/25/19	57.00	...	24.00	1 of 4 - Option sale to close-call ²⁵
	5.000	404.98	07/25/19	365.00	...	39.98	2 of 4 - Option sale to close-call ²⁵
	4.000	323.98	07/25/19	260.00	...	63.98	3 of 4 - Option sale to close-call ²⁵
	5.000	404.98	07/25/19	315.00	...	89.98	4 of 4 - Option sale to close-call ²⁵
07/25/19	15.000	1,214.94	Various	997.00	...	217.94	Total of 4 transactions

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Robinhood Securities LLC

Account 555075324

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PYPL 08/09/2019 CALL \$119.00 / CUSIP: / Symbol: (cont'd)							
11 transactions for 08/01/19. Total proceeds and cost reported to the IRS.							
	1.000	5.00	07/29/19	425.06	...	-420.06	1 of 11 - Option sale to close-call 25
	1.000	5.00	07/29/19	395.07	...	-390.07	2 of 11 - Option sale to close-call 25
	1.000	5.00	07/29/19	287.02	...	-282.02	3 of 11 - Option sale to close-call 25
	1.000	5.00	07/29/19	284.04	...	-279.04	4 of 11 - Option sale to close-call 25
	1.000	5.00	07/29/19	268.05	...	-263.05	5 of 11 - Option sale to close-call 25
	1.000	4.99	07/29/19	268.02	...	-263.03	6 of 11 - Option sale to close-call 25
	1.000	5.00	07/29/19	182.01	...	-177.01	7 of 11 - Option sale to close-call 25
	1.000	4.98	07/29/19	94.00	...	-89.02	8 of 11 - Option sale to close-call 25
	2.000	9.99	07/29/19	36.00	...	-26.01	9 of 11 - Option sale to close-call 25
	1.000	4.99	07/29/19	18.00	...	-13.01	10 of 11 - Option sale to close-call 25
	1.000	5.00	07/29/19	18.00	...	-13.00	11 of 11 - Option sale to close-call 25
08/01/19	12.000	59.95	Various	2,275.27	...	-2,215.32	Total of 11 transactions
30 transactions for 08/01/19. Total proceeds and cost reported to the IRS.							
	1.000	4.98	07/25/19	80.00	75.02 W	0.00	1 of 30 - Option sale to close-call 25
	1.000	4.98	07/25/19	80.00	75.02 W	0.00	2 of 30 - Option sale to close-call 25
	1.000	5.00	07/25/19	155.02	150.02 W	0.00	3 of 30 - Option sale to close-call 25
	1.000	5.00	07/25/19	155.02	150.02 W	0.00	4 of 30 - Option sale to close-call 25

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Robinhood Securities LLC

Account 555075324

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PYPL 08/09/2019 CALL \$119.00 / CUSIP: / Symbol: (cont'd)							
	1.000	5.00	07/25/19	80.00	75.00 W	0.00	5 of 30 - Option sale to close-call 25
	4.000	19.98	07/25/19	308.00	288.02 W	0.00	6 of 30 - Option sale to close-call 25
	1.000	4.98	07/25/19	227.02	222.04 W	0.00	7 of 30 - Option sale to close-call 25
	1.000	4.99	07/25/19	257.02	252.03 W	0.00	8 of 30 - Option sale to close-call 25
	1.000	5.00	07/25/19	182.00	177.00 W	0.00	9 of 30 - Option sale to close-call 25
	1.000	4.99	07/25/19	179.01	174.02 W	0.00	10 of 30 - Option sale to close-call 25
	1.000	4.98	07/25/19	179.01	174.03 W	0.00	11 of 30 - Option sale to close-call 25
	1.000	5.00	07/25/19	179.00	174.00 W	0.00	12 of 30 - Option sale to close-call 25
	1.000	5.00	07/25/19	306.04	301.04 W	0.00	13 of 30 - Option sale to close-call 25
	1.000	5.00	07/25/19	336.03	331.03 W	0.00	14 of 30 - Option sale to close-call 25
	1.000	4.99	07/25/19	261.00	256.01 W	0.00	15 of 30 - Option sale to close-call 25
	1.000	4.99	07/25/19	258.02	253.03 W	0.00	16 of 30 - Option sale to close-call 25
	1.000	5.00	07/25/19	156.00	151.00 W	0.00	17 of 30 - Option sale to close-call 25
	1.000	4.99	07/25/19	242.03	237.04 W	0.00	18 of 30 - Option sale to close-call 25
	1.000	5.00	07/25/19	68.00	63.00 W	0.00	19 of 30 - Option sale to close-call 25
	1.000	4.98	07/25/19	369.04	364.06 W	0.00	20 of 30 - Option sale to close-call 25
	1.000	4.98	07/25/19	399.03	394.05 W	0.00	21 of 30 - Option sale to close-call 25

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Robinhood Securities LLC

Account 555075324

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 08/09/2019 CALL \$119.00 / CUSIP: / Symbol: (cont'd)							
	1.000	4.99	07/25/19	242.00	237.01 W	0.00	22 of 30 - Option sale to close-call ²⁵
	1.000	4.99	07/29/19	382.06	377.07 W	0.00	23 of 30 - Option sale to close-call ²⁵
	1.000	4.99	07/29/19	412.05	407.06 W	0.00	24 of 30 - Option sale to close-call ²⁵
	1.000	4.99	07/29/19	274.01	269.02 W	0.00	25 of 30 - Option sale to close-call ²⁵
	1.000	4.99	07/29/19	271.03	266.04 W	0.00	26 of 30 - Option sale to close-call ²⁵
	1.000	4.99	07/29/19	255.04	250.05 W	0.00	27 of 30 - Option sale to close-call ²⁵
	1.000	4.99	07/29/19	255.01	250.02 W	0.00	28 of 30 - Option sale to close-call ²⁵
	1.000	4.99	07/29/19	169.00	164.01 W	0.00	29 of 30 - Option sale to close-call ²⁵
	1.000	5.00	07/29/19	81.00	76.00 W	0.00	30 of 30 - Option sale to close-call ²⁵
08/01/19	33.000	164.73	Various	6,797.49	6,632.76 W	0.00	Total of 30 transactions
	Security total:	1,439.62		10,069.76	6,632.76 W	-1,997.38	
PYPL 08/16/2019 CALL \$119.00 / CUSIP: / Symbol:							
08/01/19	10.000	129.97	07/29/19	340.00	...	-210.03	Option sale to close-call ²⁵
REGN 07/19/2019 CALL \$335.00 / CUSIP: / Symbol:							
06/20/19	1.000	559.97	05/24/19	740.00	...	-180.03	Option sale to close-call ²⁵
REGN 07/26/2019 CALL \$310.00 / CUSIP: / Symbol:							
07/15/19	1.000	319.98	07/12/19	190.00	...	129.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 555075324

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROKU 08/02/2019 CALL \$110.00 / CUSIP: / Symbol:							
<i>10 transactions for 08/01/19. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.98	07/30/19	84.00	...	-79.02	1 of 10 - Option sale to close-call ²⁵
	1.000	4.98	07/30/19	84.00	...	-79.02	2 of 10 - Option sale to close-call ²⁵
	1.000	4.98	07/30/19	84.00	...	-79.02	3 of 10 - Option sale to close-call ²⁵
	1.000	4.98	07/30/19	84.00	...	-79.02	4 of 10 - Option sale to close-call ²⁵
	1.000	4.98	07/30/19	84.00	...	-79.02	5 of 10 - Option sale to close-call ²⁵
	1.000	4.98	07/30/19	84.00	...	-79.02	6 of 10 - Option sale to close-call ²⁵
	1.000	4.98	07/30/19	84.00	...	-79.02	7 of 10 - Option sale to close-call ²⁵
	1.000	4.98	07/30/19	84.00	...	-79.02	8 of 10 - Option sale to close-call ²⁵
	1.000	4.98	07/30/19	84.00	...	-79.02	9 of 10 - Option sale to close-call ²⁵
	1.000	4.98	07/30/19	84.00	...	-79.02	10 of 10 - Option sale to close-call ²⁵
08/01/19	10.000	49.80	Various	840.00	...	-790.20	Total of 10 transactions
ROKU 08/02/2019 CALL \$115.00 / CUSIP: / Symbol:							
07/26/19	6.000	695.97	07/26/19	516.00	...	179.97	Option sale to close-call ²⁵
SBUX 08/16/2019 PUT \$95.00 / CUSIP: / Symbol:							
08/02/19	1.000	184.98	08/01/19	100.00	...	84.98	Option sale to close-put ²⁵
SHOP 07/26/2019 CALL \$335.00 / CUSIP: / Symbol:							
07/18/19	1.000	699.97	07/18/19	710.00	...	-10.03	Option sale to close-call ²⁵
SLB 11/15/2019 CALL \$40.00 / CUSIP: / Symbol:							
07/11/19	1.000	295.98	06/21/19	244.00	...	51.98	Option sale to close-call ²⁵

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Account 555075324

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SNAP 08/02/2019 CALL \$15.00 / CUSIP: / Symbol:							
07/24/19	1.000	219.98	07/23/19	89.00	...	130.98	Option sale to close-call ²⁵
SPY 07/22/2019 CALL \$298.00 / CUSIP: / Symbol:							
07/18/19	1.000	137.98	07/18/19	97.00	...	40.98	Option sale to close-call ²⁵
SPY 07/22/2019 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 07/22/19. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/19/19	74.00	...	-74.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/19/19	70.00	...	-70.00	2 of 2 - Option expiration- long call
07/22/19	2.000	0.00	Various	144.00	...	-144.00	Total of 2 transactions
SPY 07/26/2019 PUT \$297.50 / CUSIP: / Symbol:							
07/19/19	1.000	133.98	07/19/19	102.00	...	31.98	Option sale to close-put ²⁵
SPY 08/05/2019 PUT \$293.00 / CUSIP: / Symbol:							
2 transactions for 07/22/19. Total proceeds and cost reported to the IRS.							
	2.000	305.98	07/19/19	284.00	...	21.98	1 of 2 - Option sale to close-put ²⁵
	2.000	305.99	07/19/19	284.00	...	21.99	2 of 2 - Option sale to close-put ²⁵
07/22/19	4.000	611.97	Various	568.00	...	43.97	Total of 2 transactions
SPY 08/05/2019 PUT \$297.00 / CUSIP: / Symbol:							
3 transactions for 07/25/19. Total proceeds and cost reported to the IRS.							
	2.000	227.98	07/24/19	250.00	...	-22.02	1 of 3 - Option sale to close-put ²⁵
	2.000	227.98	07/24/19	250.00	...	-22.02	2 of 3 - Option sale to close-put ²⁵
	1.000	113.98	07/24/19	125.00	...	-11.02	3 of 3 - Option sale to close-put ²⁵
07/25/19	5.000	569.94	Various	625.00	...	-55.06	Total of 3 transactions
SPY 08/05/2019 PUT \$301.00 / CUSIP: / Symbol:							
07/30/19	1.000	216.98	07/26/19	152.00	...	64.98	Option sale to close-put ²⁵
SPY 08/07/2019 PUT \$290.00 / CUSIP: / Symbol:							
08/05/19	1.000	366.98	08/02/19	242.00	...	124.98	Option sale to close-put ²⁵
SPY 08/12/2019 PUT \$277.00 / CUSIP: / Symbol:							
08/12/19	5.000	0.00	08/07/19	845.00	...	-845.00	Option expiration- long put

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Account 555075324

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 08/12/2019 PUT \$290.00 / CUSIP: / Symbol:							
2 transactions for 08/02/19. Total proceeds and cost reported to the IRS.							
	1.000	210.99	08/01/19	198.00	...	12.99	1 of 2 - Option sale to close-put ²⁵
	2.000	421.98	08/01/19	350.00	...	71.98	2 of 2 - Option sale to close-put ²⁵
08/02/19	3.000	632.97	Various	548.00	...	84.97	Total of 2 transactions
SPY 08/14/2019 PUT \$275.00 / CUSIP: / Symbol:							
08/07/19	5.000	899.97	08/05/19	860.00	...	39.97	Option sale to close-put ²⁵
3 transactions for 08/14/19. Total proceeds and cost reported to the IRS.							
	2.000	0.00	08/06/19	306.00	...	-306.00	1 of 3 - Option expiration- long put
	2.000	0.00	08/06/19	306.00	...	-306.00	2 of 3 - Option expiration- long put
	1.000	0.00	08/06/19	151.00	...	-151.00	3 of 3 - Option expiration- long put
08/14/19	5.000	0.00	Various	763.00	...	-763.00	Total of 3 transactions
	Security total:	899.97		1,623.00	...	-723.03	
SPY 08/16/2019 PUT \$290.00 / CUSIP: / Symbol:							
08/05/19	1.000	500.97	08/02/19	373.00	...	127.97	Option sale to close-put ²⁵
SPY 08/21/2019 CALL \$292.00 / CUSIP: / Symbol:							
08/19/19	1.000	135.98	08/16/19	59.00	...	76.98	Option sale to close-call ²⁵
SPY 08/26/2019 PUT \$280.00 / CUSIP: / Symbol:							
08/13/19	1.000	89.98	08/12/19	199.00	...	-109.02	Option sale to close-put ²⁵
SPY 08/28/2019 PUT \$275.00 / CUSIP: / Symbol:							
2 transactions for 08/28/19. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/15/19	209.00	...	-209.00	1 of 2 - Option expiration- long put
	1.000	0.00	08/15/19	201.00	...	-201.00	2 of 2 - Option expiration- long put
08/28/19	2.000	0.00	Various	410.00	...	-410.00	Total of 2 transactions
SPY 08/28/2019 PUT \$280.00 / CUSIP: / Symbol:							
08/12/19	1.000	224.98	08/08/19	138.00	...	86.98	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 555075324

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 08/30/2019 PUT \$280.00 / CUSIP: / Symbol:							
2 transactions for 08/23/19. Total proceeds and cost reported to the IRS.							
	1.000	58.98	08/22/19	49.00	...	9.98	1 of 2 - Option sale to close-put ²⁵
	1.000	112.98	08/23/19	38.00	...	74.98	2 of 2 - Option sale to close-put ²⁵
08/23/19	2.000	171.96	Various	87.00	...	84.96	Total of 2 transactions
SPY 09/03/2019 PUT \$280.00 / CUSIP: / Symbol:							
08/28/19	1.000	67.98	08/27/19	79.00	...	-11.02	Option sale to close-put ²⁵
SPY 10/21/2019 CALL \$302.00 / CUSIP: / Symbol:							
10/21/19	1.000	0.00	10/17/19	41.00	...	-41.00	Option expiration- long call
SQ 07/19/2019 CALL \$82.00 / CUSIP: / Symbol:							
07/19/19	1.000	17.98	07/18/19	16.00	...	1.98	Option sale to close-call ²⁵
TGT 08/02/2019 CALL \$87.50 / CUSIP: / Symbol:							
2 transactions for 07/24/19. Total proceeds and cost reported to the IRS.							
	2.000	335.99	07/23/19	178.00	...	157.99	1 of 2 - Option sale to close-call ²⁵
	3.000	503.98	07/23/19	315.00	...	188.98	2 of 2 - Option sale to close-call ²⁵
07/24/19	5.000	839.97	Various	493.00	...	346.97	Total of 2 transactions
TGT 08/09/2019 CALL \$87.50 / CUSIP: / Symbol:							
07/25/19	2.000	467.98	07/24/19	492.00	...	-24.02	Option sale to close-call ²⁵
TGT 08/16/2019 CALL \$82.00 / CUSIP: / Symbol:							
08/05/19	2.000	279.98	08/05/19	272.00	...	7.98	Option sale to close-call ²⁵
TGT 08/16/2019 CALL \$86.50 / CUSIP: / Symbol:							
08/13/19	1.000	83.98	08/08/19	45.00	...	38.98	Option sale to close-call ²⁵
TGT 08/23/2019 CALL \$86.00 / CUSIP: / Symbol:							
08/13/19	1.000	290.98	08/09/19	142.00	...	148.98	Option sale to close-call ²⁵
TGT 08/23/2019 CALL \$88.00 / CUSIP: / Symbol:							
08/15/19	1.000	92.98	08/15/19	100.00	...	-7.02	Option sale to close-call ²⁵
TGT 08/30/2019 CALL \$91.50 / CUSIP: / Symbol:							
08/21/19	1.000	774.97	08/20/19	109.00	...	665.97	Option sale to close-call ²⁵

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TGT 08/30/2019 PUT \$98.00 / CUSIP: / Symbol:							
2 transactions for 08/30/19. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/21/19	68.00	...	-68.00	1 of 2 - Option expiration- long put
	1.000	0.00	08/23/19	29.00	...	-29.00	2 of 2 - Option expiration- long put
08/30/19	2.000	0.00	Various	97.00	...	-97.00	Total of 2 transactions
TGT 10/04/2019 CALL \$108.00 / CUSIP: / Symbol:							
09/27/19	1.000	75.98	09/26/19	70.00	...	5.98	Option sale to close-call ²⁵
TLRY 07/19/2019 CALL \$46.50 / CUSIP: / Symbol:							
07/19/19	1.000	0.98	07/11/19	116.00	...	-115.02	Option sale to close-call ²⁵
TLT 09/06/2019 CALL \$149.00 / CUSIP: / Symbol:							
08/27/19	1.000	95.98	08/26/19	61.00	...	34.98	Option sale to close-call ²⁵
TWTR 08/30/2019 PUT \$40.00 / CUSIP: / Symbol:							
2 transactions for 08/22/19. Total proceeds and cost reported to the IRS.							
	1.000	29.98	08/20/19	28.00	...	1.98	1 of 2 - Option sale to close-put ²⁵
	1.000	31.98	08/20/19	28.00	...	3.98	2 of 2 - Option sale to close-put ²⁵
08/22/19	2.000	61.96	Various	56.00	...	5.96	Total of 2 transactions
TWTR 09/06/2019 CALL \$43.00 / CUSIP: / Symbol:							
08/23/19	1.000	54.98	08/22/19	79.00	...	-24.02	Option sale to close-call ²⁵
TWTR 10/18/2019 CALL \$40.50 / CUSIP: / Symbol:							
10/09/19	1.000	50.98	10/07/19	103.00	...	-52.02	Option sale to close-call ²⁵
UAL 10/18/2019 PUT \$88.00 / CUSIP: / Symbol:							
10/18/19	1.000	0.00	10/15/19	178.00	...	-178.00	Option expiration- long put
ULTA 10/18/2019 CALL \$300.00 / CUSIP: / Symbol:							
10/18/19	1.000	0.00	09/03/19	33.00	...	-33.00	Option expiration- long call
UNP 07/19/2019 CALL \$175.00 / CUSIP: / Symbol:							
07/19/19	1.000	106.98	07/18/19	77.00	...	29.98	Option sale to close-call ²⁵

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V 08/02/2019 CALL \$185.00 / CUSIP: / Symbol:							
2 transactions for 07/19/19. Total proceeds and cost reported to the IRS.							
	1.000	161.98	07/18/19	109.00	...	52.98	1 of 2 - Option sale to close-call ²⁵
	1.000	164.98	07/18/19	109.00	...	55.98	2 of 2 - Option sale to close-call ²⁵
07/19/19	2.000	326.96	Various	218.00	...	108.96	Total of 2 transactions
V 08/09/2019 CALL \$185.00 / CUSIP: / Symbol:							
07/26/19	2.000	237.98	07/25/19	192.00	...	45.98	Option sale to close-call ²⁵
V 08/16/2019 CALL \$177.50 / CUSIP: / Symbol:							
08/06/19	2.000	171.98	08/05/19	154.00	...	17.98	Option sale to close-call ²⁵
WDAY 08/30/2019 CALL \$202.50 / CUSIP: / Symbol:							
08/29/19	1.000	114.98	08/28/19	125.00	...	-10.02	Option sale to close-call ²⁵
WDAY 09/06/2019 CALL \$200.00 / CUSIP: / Symbol:							
09/06/19	1.000	0.00	08/29/19	281.00	...	-281.00	Option expiration- long call
WORK 09/20/2019 PUT \$20.00 / CUSIP: / Symbol:							
2 transactions for 09/20/19. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/03/19	15.00	...	-15.00	1 of 2 - Option expiration- long put
	1.000	0.00	09/03/19	15.00	...	-15.00	2 of 2 - Option expiration- long put
09/20/19	2.000	0.00	Various	30.00	...	-30.00	Total of 2 transactions
WW 09/20/2019 CALL \$35.50 / CUSIP: / Symbol:							
09/16/19	1.000	54.98	09/13/19	45.00	...	9.98	Option sale to close-call ²⁵
WW 09/27/2019 CALL \$37.50 / CUSIP: / Symbol:							
09/27/19	1.000	4.98	09/24/19	40.00	...	-35.02	Option sale to close-call ²⁵
YELP 08/16/2019 CALL \$37.50 / CUSIP: / Symbol:							
08/16/19	1.000	0.00	08/08/19	119.00	...	-119.00	Option expiration- long call

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ZM 08/02/2019 CALL \$101.00 / CUSIP: / Symbol:							
2 transactions for 07/23/19. Total proceeds and cost reported to the IRS.							
	4.000	819.97	07/22/19	760.00	...	59.97	1 of 2 - Option sale to close-call ²⁵
	5.000	1,024.97	07/22/19	875.00	...	149.97	2 of 2 - Option sale to close-call ²⁵
07/23/19	9.000	1,844.94	Various	1,635.00	...	209.94	Total of 2 transactions
ZM 10/25/2019 PUT \$63.00 / CUSIP: / Symbol:							
10/16/19	1.000	99.98	10/15/19	95.00	...	4.98	Option sale to close-put ²⁵
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
2 transactions for 05/15/19. Total proceeds and cost reported to the IRS.							
	2.000	153.99	05/07/19	163.69	9.70 W	0.00	1 of 2 - Sale ²⁵
	1.000	76.99	05/07/19	81.74	4.75 W	0.00	2 of 2 - Sale ²⁵
05/15/19	3.000	230.98	Various	245.43	14.45 W	0.00	Total of 2 transactions
2 transactions for 06/14/19. Total proceeds and cost reported to the IRS.							
	1.000	153.99	06/12/19	143.87	...	10.12	1 of 2 - Sale ²⁵
	2.000	307.99	06/12/19	287.93	...	20.06	2 of 2 - Sale ²⁵
06/14/19	3.000	461.98	Various	431.80	...	30.18	Total of 2 transactions
06/18/19	2.000	335.98	06/18/19	341.44	5.46 W	0.00	Sale ²⁵
3 transactions for 06/27/19. Total proceeds and cost reported to the IRS.							
	1.000	160.07	06/24/19	140.73	...	19.34	1 of 3 - Sale ²⁵
	2.000	320.14	06/24/19	286.92	...	33.22	2 of 3 - Sale ²⁵
	3.000	480.21	06/24/19	424.71	...	55.50	3 of 3 - Sale ²⁵
06/27/19	6.000	960.42	Various	852.36	...	108.06	Total of 3 transactions
	Security total:	1,989.36		1,871.03	19.91 W	138.24	
BIOGEN INC. COMMON STOCK / CUSIP: 09062X103 / Symbol:							
2 transactions for 05/20/19. Total proceeds and cost reported to the IRS.							
	3.000	685.30	04/24/19	676.95	...	8.35	1 of 2 - Sale ²⁵
	2.000	456.86	05/09/19	450.66	...	6.20	2 of 2 - Sale ²⁵
05/20/19	5.000	1,142.16	Various	1,127.61	...	14.55	Total of 2 transactions
05/20/19	2.000	456.86	04/23/19	460.50	3.64 W	0.00	Sale ²⁵
2 transactions for 06/04/19. Total proceeds and cost reported to the IRS.							
	2.000	456.86	05/30/19	448.75	...	8.11	1 of 2 - Sale ²⁵

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BIOGEN INC. COMMON STOCK / CUSIP: 09062X103 / Symbol: (cont'd)							
	3.000	685.30	05/30/19	667.67	...	17.63	2 of 2 - Sale ²⁵
06/04/19	5.000	1,142.16	Various	1,116.42	...	25.74	Total of 2 transactions
	Security total:	2,741.18		2,704.53	3.64 W	40.29	
BJ'S RESTAURANTS, INC. COMMON STOCK / CUSIP: 09180C106 / Symbol:							
2 transactions for 05/13/19. Total proceeds and cost reported to the IRS.							
	6.000	286.48	04/29/19	306.00	...	-19.52	1 of 2 - Sale ²⁵
	1.000	47.75	04/29/19	51.00	...	-3.25	2 of 2 - Sale ²⁵
05/13/19	7.000	334.23	Various	357.00	...	-22.77	Total of 2 transactions
CVS HEALTH CORPORATION / CUSIP: 126650100 / Symbol:							
3 transactions for 06/10/19. Total proceeds and cost reported to the IRS.							
	8.000	441.34	05/01/19	456.00	...	-14.66	1 of 3 - Sale ²⁵
	2.000	110.33	05/01/19	114.00	...	-3.67	2 of 3 - Sale ²⁵
	1.000	55.17	05/03/19	56.50	...	-1.33	3 of 3 - Sale ²⁵
06/10/19	11.000	606.84	Various	626.50	...	-19.66	Total of 3 transactions
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
04/30/19	1.000	3.56	04/11/19	3.56	...	0.00	Sale ²⁵
LIGAND PHARMACEUTICALS INCORPORATED COMMON STOCK / CUSIP: 53220K504 / Symbol:							
05/13/19	2.000	226.51	05/03/19	239.61	13.10 W	0.00	Sale ²⁵
05/30/19	10.000	1,128.12	05/20/19	1,127.24	...	0.88	Sale ²⁵
10 transactions for 05/30/19. Total proceeds and cost reported to the IRS.							
	2.000	225.63	05/15/19	239.77	14.14 W	0.00	1 of 10 - Sale ²⁵
	4.000	451.25	05/15/19	453.33	2.08 W	0.00	2 of 10 - Sale ²⁵
	1.000	112.81	05/16/19	117.16	4.35 W	0.00	3 of 10 - Sale ²⁵
	1.000	112.81	05/20/19	113.05	0.24 W	0.00	4 of 10 - Sale ²⁵
	3.000	338.44	05/20/19	338.99	0.55 W	0.00	5 of 10 - Sale ²⁵
	2.000	219.24	05/30/19	233.71	14.47 W	0.00	6 of 10 - Sale ²⁵
	4.000	438.48	05/30/19	441.21	2.73 W	0.00	7 of 10 - Sale ²⁵
	1.000	109.62	05/30/19	114.13	4.51 W	0.00	8 of 10 - Sale ²⁵
	1.000	109.62	05/30/19	110.02	0.40 W	0.00	9 of 10 - Sale ²⁵

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LIGAND PHARMACEUTICALS INCORPORATED COMMON STOCK / CUSIP: 53220K504 / Symbol: (cont'd)							
05/30/19	2.000	219.25	05/30/19	219.94	0.69 W	0.00	10 of 10 - Sale ²⁵
05/30/19	21.000	2,337.15	Various	2,381.31	44.16 W	0.00	Total of 10 transactions
6 transactions for 05/31/19. Total proceeds and cost reported to the IRS.							
	2.000	219.56	05/30/19	235.09	15.53 W	0.00	1 of 6 - Sale ²⁵
	4.000	439.12	05/30/19	443.96	4.84 W	0.00	2 of 6 - Sale ²⁵
	1.000	109.78	05/30/19	114.82	5.04 W	0.00	3 of 6 - Sale ²⁵
	1.000	109.78	05/30/19	110.49	0.71 W	0.00	4 of 6 - Sale ²⁵
	1.000	109.78	05/30/19	110.70	0.92 W	0.00	5 of 6 - Sale ²⁵
	1.000	109.79	05/30/19	110.66	0.87 W	0.00	6 of 6 - Sale ²⁵
05/31/19	10.000	1,097.81	Various	1,125.72	27.91 W	0.00	Total of 6 transactions
7 transactions for 06/13/19. Total proceeds and cost reported to the IRS.							
	1.000	112.97	06/11/19	109.54	...	3.43	1 of 7 - Sale ²⁵
	1.000	112.97	06/11/19	109.34	...	3.63	2 of 7 - Sale ²⁵
	1.000	112.97	06/11/19	108.96	...	4.01	3 of 7 - Sale ²⁵
	1.000	112.97	06/11/19	108.87	...	4.10	4 of 7 - Sale ²⁵
	4.000	451.87	06/11/19	439.33	...	12.54	5 of 7 - Sale ²⁵
	4.000	451.86	06/11/19	432.00	...	19.86	6 of 7 - Sale ²⁵
	5.000	564.83	06/11/19	532.91	...	31.92	7 of 7 - Sale ²⁵
06/13/19	17.000	1,920.44	Various	1,840.95	...	79.49	Total of 7 transactions
2 transactions for 06/13/19. Total proceeds and cost reported to the IRS.							
	2.000	225.93	06/11/19	232.78	6.85 W	0.00	1 of 2 - Sale ²⁵
	1.000	112.97	06/11/19	113.66	0.69 W	0.00	2 of 2 - Sale ²⁵
06/13/19	3.000	338.90	Various	346.44	7.54 W	0.00	Total of 2 transactions
5 transactions for 06/18/19. Total proceeds and cost reported to the IRS.							
	2.000	226.39	06/14/19	225.45	...	0.94	1 of 5 - Sale ²⁵
	1.000	113.20	06/14/19	109.99	...	3.21	2 of 5 - Sale ²⁵
	7.000	792.38	06/14/19	765.12	...	27.26	3 of 5 - Sale ²⁵
	5.000	565.98	06/14/19	537.51	...	28.47	4 of 5 - Sale ²⁵
	5.000	565.99	06/14/19	537.09	...	28.90	5 of 5 - Sale ²⁵
06/18/19	20.000	2,263.94	Various	2,175.16	...	88.78	Total of 5 transactions
3 transactions for 06/27/19. Total proceeds and cost reported to the IRS.							
	1.000	112.98	06/24/19	111.93	...	1.05	1 of 3 - Sale ²⁵
	4.000	451.98	06/24/19	447.74	...	4.24	2 of 3 - Sale ²⁵

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LIGAND PHARMACEUTICALS INCORPORATED COMMON STOCK / CUSIP: 53220K504 / Symbol: (cont'd)							
	5.000	564.98	06/24/19	552.99	...	11.99	3 of 3 - Sale ²⁵
06/27/19	10.000	1,129.94	Various	1,112.66	...	17.28	Total of 3 transactions
	Security total:	10,442.81		10,349.09	92.71 W	186.43	
MONGODB, INC. CLASS A COMMON STOCK / CUSIP: 60937P106 / Symbol:							
04/23/19	3.000	412.33	04/17/19	401.22	...	11.11	Sale ²⁵
NOVABAY PHARMACEUTICALS, INC. / CUSIP: 66987P201 / Symbol:							
4 transactions for 07/17/19. Total proceeds and cost reported to the IRS.							
	50.000	62.48	06/11/19	170.00	...	-107.52	1 of 4 - Sale ²⁵
	49.000	60.74	06/11/19	166.60	...	-105.86	2 of 4 - Sale ²⁵
	50.000	63.98	06/11/19	118.25	...	-54.27	3 of 4 - Sale ²⁵
	1.000	1.22	06/11/19	3.40	...	-2.18	4 of 4 - Sale ²⁵
07/17/19	150.000	188.42	Various	458.25	...	-269.83	Total of 4 transactions
SEA LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol:							
2 transactions for 06/10/19. Total proceeds and cost reported to the IRS.							
	3.000	92.04	05/22/19	94.44	...	-2.40	1 of 2 - Sale ²⁵
	5.000	153.39	05/30/19	141.60	...	11.79	2 of 2 - Sale ²⁵
06/10/19	8.000	245.43	Various	236.04	...	9.39	Total of 2 transactions
TENNECO INC. CLASS A VOTING COMMON STOCK / CUSIP: 880349105 / Symbol:							
2 transactions for 05/16/19. Total proceeds and cost reported to the IRS.							
	9.000	111.59	05/09/19	113.85	2.26 W	0.00	1 of 2 - Sale ²⁵
	8.000	99.19	05/15/19	102.72	0.44 W	-3.09	2 of 2 - Sale ²⁵
05/16/19	17.000	210.78	Various	216.57	2.70 W	-3.09	Total of 2 transactions
3 transactions for 05/22/19. Total proceeds and cost reported to the IRS.							
	8.000	86.09	05/17/19	100.25	...	-14.16	1 of 3 - Sale ²⁵
	1.000	10.76	05/20/19	12.31	...	-1.55	2 of 3 - Sale ²⁵
	1.000	10.76	05/20/19	12.12	...	-1.36	3 of 3 - Sale ²⁵
05/22/19	10.000	107.61	Various	124.68	...	-17.07	Total of 3 transactions
	Security total:	318.39		341.25	2.70 W	-20.16	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 555075324

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIOHAVEN PHARMACEUTICAL HOLDIN G COMPANY LTD. / CUSIP: G11196105 / Symbol:							
<i>3 transactions for 06/27/19. Total proceeds and cost reported to the IRS.</i>							
	5.000	210.39	06/18/19	218.85	...	-8.46	1 of 3 - Sale ²⁵
	5.000	210.39	06/19/19	208.09	...	2.30	2 of 3 - Sale ²⁵
	5.000	210.39	06/20/19	197.29	...	13.10	3 of 3 - Sale ²⁵
06/27/19	15.000	631.17	Various	624.23	...	6.94	Total of 3 transactions
WAVE LIFE SCIENCES LTD. ORDINA RY SHARES / CUSIP: Y95308105 / Symbol:							
05/03/19	7.000	192.36	04/10/19	239.40	...	-47.04	Sale ²⁵
08/07/19	10.000	201.38	04/10/19	342.00	...	-140.62	Sale ²⁵
08/12/19	10.000	209.48	04/10/19	342.00	...	-132.52	Sale ²⁵
	Security total:	603.22		923.40	...	-320.18	
Totals :		52,501.07		66,529.17	8,700.03 W	-5,328.07	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 555075324

Detail for Dividends and Distributions

2019

02/06/2020

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BJ'S RESTAURANTS, INC. COMMON STOCK	09180C106		05/28/19	0.84	Qualified dividend	
				0.84	Total Dividends & distributions	

Robinhood Securities LLC

Account 555075324

Detail for Miscellaneous Income

2019

02/06/2020

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
GROUPON, INC. COMMON STOCK	399473107	04/11/19	3.56	Other income	
			3.56	Total Miscellaneous income	

25 Tax lot closed on a first in first out basis.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2019 General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040. Also, report it on Schedule B (1040), if required.

Line 1b. Shows the portion of the amount on line 1a that may be eligible for reduced capital gains rates. See the Form 1040 instructions for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See *How To Report* in the Instructions for Schedule D (Form 1040). But, if no amount is shown on lines 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown on line 2a on your Form 1040 rather than Schedule D. See the Form 1040 instructions.

Line 2b. Shows the portion of the amount on line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount on line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-- in the instructions for Schedule D (Form 1040).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is reducible to you as capital gain. See Pub. 550.

Line 4. See "Backup Withholding" section.

Line 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the Instructions for Form 1040.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included on line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Lines 8. This line should be left blank if a RIC reported the foreign tax shown on line 7.

Line 9 and 10. Shows cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See *Line 4* above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included on line 11. See the instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

Column 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Column 1b. The column may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Column 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Column 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This column does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the Instructions for Schedule D.

Column 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If "NONCOVERED" appears on line 5, this column may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

Column 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If "NONCOVERED" appears on line 5, this column may be blank.

Column 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If "NONCOVERED" appears on line 5, this column may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, line 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. See "Backup Withholding" section.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Column 6. If the exercise of a non-compensatory option resulted in a sale of a security, indicates whether the amount in column 1d was adjusted for premium.

Column 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in column 1d.

See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis on line 1e has been reported to the IRS and either the short-term or the long-term gain or loss on line 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If the Ordinary box in box 2 is checked, an adjustment may be required.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. State income tax withheld reporting lines.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.

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