

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 119955847</b>	Statement Date: <b>02/06/2020</b> Document ID: <b>00BW WPO 8500</b>	<b>2019</b>
	Kishor Gannamaneni 9 Josta Ln Sacramento, CA 95835  RECIPIENT'S TIN: XXX-XX-8637		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2019 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      535.00</p> <p>1b- Qualified dividends      535.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p style="text-align: center;"><b>MISCELLANEOUS INCOME</b>      <b>2019 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p style="text-align: center;"><b>SECTION 1256 CONTRACTS</b>      <b>2019 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2019 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2018      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	556,038.36	558,666.12	0.00	4,581.01	1,953.25
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>556,038.36</b>	<b>558,666.12</b>	<b>0.00</b>	<b>4,581.01</b>	<b>1,953.25</b>
Long	D (basis reported to the IRS)	5,125.32	5,951.71	0.00	266.84	-559.55
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>5,125.32</b>	<b>5,951.71</b>	<b>0.00</b>	<b>266.84</b>	<b>-559.55</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>561,163.68</b>	<b>564,617.83</b>	<b>0.00</b>	<b>4,847.85</b>	<b>1,393.70</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2019 may require an amended tax form.

Robinhood Securities LLC

Account 119955847

**Summary Information**

2019

(continued)

02/06/2020

**INTEREST INCOME**

**2019 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for 2019	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	282.63
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2019 1099-B\* OMB No. 1545-0715

02/06/2020

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to other corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABBVIE INC. / CUSIP: 00287Y109 / Symbol:							
6 transactions for 07/02/19. Total proceeds and cost reported to the IRS.							
	4.000	297.03	07/01/19	289.56	...	7.47	1 of 6 - Sale <sup>25</sup>
	8.000	594.07	07/01/19	580.64	...	13.43	2 of 6 - Sale <sup>25</sup>
	24.000	1,782.20	07/01/19	1,744.32	...	37.88	3 of 6 - Sale <sup>25</sup>
	46.000	3,415.88	07/01/19	3,329.94	...	85.94	4 of 6 - Sale <sup>25</sup>
	76.000	5,643.63	07/01/19	5,525.12	...	118.51	5 of 6 - Sale <sup>25</sup>
	142.000	10,544.68	07/01/19	10,306.36	...	238.32	6 of 6 - Sale <sup>25</sup>
07/02/19	300.000	22,277.49	Various	21,775.94	...	501.55	Total of 6 transactions
4 transactions for 09/13/19. Total proceeds and cost reported to the IRS.							
	5.000	357.49	07/16/19	345.75	...	11.74	1 of 4 - Sale <sup>25</sup>
	10.000	714.98	07/16/19	693.00	...	21.98	2 of 4 - Sale <sup>25</sup>
	2.000	143.00	08/01/19	130.68	...	12.32	3 of 4 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABBVIE INC. / CUSIP: 00287Y109 / Symbol: (cont'd)							
	23.000	1,644.46	08/01/19	1,502.82	...	141.64	4 of 4 - Sale <sup>25</sup>
09/13/19	40.000	2,859.93	Various	2,672.25	...	187.68	Total of 4 transactions
	8 transactions for 09/13/19. Total proceeds and cost reported to the IRS.						
	94.000	6,720.85	07/03/19	6,984.20	263.35 W	0.00	1 of 8 - Sale <sup>25</sup>
	56.000	4,003.90	07/03/19	4,160.80	156.90 W	0.00	2 of 8 - Sale <sup>25</sup>
	41.000	2,931.43	07/03/19	3,046.30	114.87 W	0.00	3 of 8 - Sale <sup>25</sup>
	9.000	643.48	07/03/19	668.70	25.22 W	0.00	4 of 8 - Sale <sup>25</sup>
	95.000	6,792.35	07/03/19	7,044.25	251.90 W	0.00	5 of 8 - Sale <sup>25</sup>
	55.000	3,932.41	07/03/19	4,078.25	145.84 W	0.00	6 of 8 - Sale <sup>25</sup>
	25.000	1,787.46	07/03/19	1,850.00	62.54 W	0.00	7 of 8 - Sale <sup>25</sup>
	125.000	8,937.30	07/05/19	9,237.50	300.20 W	0.00	8 of 8 - Sale <sup>25</sup>
09/13/19	500.000	35,749.18	Various	37,070.00	1,320.82 W	0.00	Total of 8 transactions
	5 transactions for 09/17/19. Total proceeds and cost reported to the IRS.						
	125.000	8,887.30	09/13/19	9,187.70	...	-300.40	1 of 5 - Sale <sup>25</sup>
	55.000	3,910.41	09/13/19	4,056.34	...	-145.93	2 of 5 - Sale <sup>25</sup>
	38.000	2,701.74	09/13/19	2,802.56	...	-100.82	3 of 5 - Sale <sup>25</sup>
	25.000	1,777.46	09/13/19	1,840.04	...	-62.58	4 of 5 - Sale <sup>25</sup>
	40.000	2,843.94	09/13/19	2,844.00	...	-0.06	5 of 5 - Sale <sup>25</sup>
09/17/19	283.000	20,120.85	Various	20,730.64	...	-609.79	Total of 5 transactions
	6 transactions for 09/17/19. Total proceeds and cost reported to the IRS.						
	57.000	4,052.60	09/13/19	4,203.84	132.67 W	-18.57	1 of 6 - Sale <sup>25</sup>
	13.000	924.28	09/13/19	960.72	36.44 W	0.00	2 of 6 - Sale <sup>25</sup>
	94.000	6,683.25	09/13/19	6,946.75	263.50 W	0.00	3 of 6 - Sale <sup>25</sup>
	9.000	639.89	09/13/19	665.12	25.23 W	0.00	4 of 6 - Sale <sup>25</sup>
	41.000	2,915.03	09/13/19	3,029.97	114.94 W	0.00	5 of 6 - Sale <sup>25</sup>
	43.000	3,057.23	09/13/19	3,177.78	120.55 W	0.00	6 of 6 - Sale <sup>25</sup>
09/17/19	257.000	18,272.28	Various	18,984.18	693.33 W	-18.57	Total of 6 transactions
	6 transactions for 09/18/19. Total proceeds and cost reported to the IRS.						
	94.000	6,671.03	09/18/19	6,928.10	...	-257.07	1 of 6 - Sale <sup>25</sup>
	50.000	3,548.42	09/18/19	3,677.67	...	-129.25	2 of 6 - Sale <sup>25</sup>
	43.000	3,051.64	09/18/19	3,169.25	...	-117.61	3 of 6 - Sale <sup>25</sup>
	41.000	2,909.70	09/18/19	3,021.84	...	-112.14	4 of 6 - Sale <sup>25</sup>
	13.000	922.59	09/18/19	958.14	...	-35.55	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 119955847

## Proceeds from Broker and Barter Exchange Transactions

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABBVIE INC. / CUSIP: 00287Y109 / Symbol: (cont'd)							
	9.000	638.72	09/18/19	663.33	...	-24.61	6 of 6 - Sale <sup>25</sup>
09/18/19	250.000	17,742.10	Various	18,418.33	...	-676.23	Total of 6 transactions
	<b>Security total:</b>	<b>117,021.83</b>		<b>119,651.34</b>	<b>2,014.15 W</b>	<b>-615.36</b>	
ACELRX PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 00444T100 / Symbol:							
11 transactions for 04/25/19. Total proceeds and cost reported to the IRS.							
	15.000	57.00	10/19/18	55.50	...	1.50	1 of 11 - Sale <sup>25</sup>
	2.000	7.60	11/07/18	7.20	...	0.40	2 of 11 - Sale <sup>25</sup>
	10.000	38.00	11/07/18	36.40	...	1.60	3 of 11 - Sale <sup>25</sup>
	35.000	132.98	11/07/18	127.75	...	5.23	4 of 11 - Sale <sup>25</sup>
	3.000	11.40	11/08/18	10.65	...	0.75	5 of 11 - Sale <sup>25</sup>
	33.000	125.39	11/08/18	117.15	...	8.24	6 of 11 - Sale <sup>25</sup>
	47.000	178.59	11/09/18	151.34	...	27.25	7 of 11 - Sale <sup>25</sup>
	1.000	3.80	11/15/18	2.80	...	1.00	8 of 11 - Sale <sup>25</sup>
	19.000	72.20	11/15/18	53.20	...	19.00	9 of 11 - Sale <sup>25</sup>
	100.000	379.98	11/15/18	280.00	...	99.98	10 of 11 - Sale <sup>25</sup>
	100.000	379.97	12/24/18	213.00	...	166.97	11 of 11 - Sale <sup>25</sup>
04/25/19	365.000	1,386.91	Various	1,054.99	...	331.92	Total of 11 transactions
8 transactions for 04/25/19. Total proceeds and cost reported to the IRS.							
	15.000	57.00	10/15/18	71.85	14.85 W	0.00	1 of 8 - Sale <sup>25</sup>
	25.000	94.99	10/15/18	121.50	26.51 W	0.00	2 of 8 - Sale <sup>25</sup>
	10.000	38.00	10/15/18	43.00	5.00 W	0.00	3 of 8 - Sale <sup>25</sup>
	79.000	300.18	10/15/18	378.41	78.23 W	0.00	4 of 8 - Sale <sup>25</sup>
	6.000	22.80	10/15/18	28.74	5.94 W	0.00	5 of 8 - Sale <sup>25</sup>
	4.000	15.20	10/30/18	15.60	0.40 W	0.00	6 of 8 - Sale <sup>25</sup>
	46.000	174.79	10/31/18	175.72	0.93 W	0.00	7 of 8 - Sale <sup>25</sup>
	50.000	189.99	11/06/18	199.39	9.40 W	0.00	8 of 8 - Sale <sup>25</sup>
04/25/19	235.000	892.95	Various	1,034.21	141.26 W	0.00	Total of 8 transactions
2 transactions for 05/21/19. Total proceeds and cost reported to the IRS.							
	15.000	43.50	05/20/19	43.20	...	0.30	1 of 2 - Sale <sup>25</sup>
	100.000	289.98	05/20/19	288.00	...	1.98	2 of 2 - Sale <sup>25</sup>
05/21/19	115.000	333.48	Various	331.20	...	2.28	Total of 2 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACELRX PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 00444T100 / Symbol: (cont'd)							
8 transactions for 05/21/19. Total proceeds and cost reported to the IRS.							
	6.000	17.40	05/20/19	23.22	5.82 W	0.00	1 of 8 - Sale <sup>25</sup>
	10.000	29.00	05/20/19	33.80	4.80 W	0.00	2 of 8 - Sale <sup>25</sup>
	15.000	43.50	05/20/19	58.05	14.55 W	0.00	3 of 8 - Sale <sup>25</sup>
	25.000	72.49	05/20/19	98.51	26.02 W	0.00	4 of 8 - Sale <sup>25</sup>
	79.000	229.08	05/20/19	305.75	76.67 W	0.00	5 of 8 - Sale <sup>25</sup>
	4.000	11.60	05/20/19	11.92	0.32 W	0.00	6 of 8 - Sale <sup>25</sup>
	46.000	133.39	05/20/19	133.41	0.02 W	0.00	7 of 8 - Sale <sup>25</sup>
	50.000	144.99	05/20/19	153.40	8.41 W	0.00	8 of 8 - Sale <sup>25</sup>
05/21/19	235.000	681.45	Various	818.06	136.61 W	0.00	Total of 8 transactions
16 transactions for 06/06/19. Total proceeds and cost reported to the IRS.							
	125.000	309.98	05/30/19	336.25	...	-26.27	1 of 16 - Sale <sup>25</sup>
	79.000	195.90	06/04/19	285.24	...	-89.34	2 of 16 - Sale <sup>25</sup>
	17.000	42.16	06/04/19	62.57	...	-20.41	3 of 16 - Sale <sup>25</sup>
	15.000	37.19	06/04/19	54.15	...	-16.96	4 of 16 - Sale <sup>25</sup>
	100.000	247.98	06/04/19	264.02	...	-16.04	5 of 16 - Sale <sup>25</sup>
	38.000	94.23	06/04/19	106.72	...	-12.49	6 of 16 - Sale <sup>25</sup>
	8.000	19.83	06/04/19	29.45	...	-9.62	7 of 16 - Sale <sup>25</sup>
	46.000	114.07	06/04/19	121.47	...	-7.40	8 of 16 - Sale <sup>25</sup>
	6.000	14.88	06/04/19	21.66	...	-6.78	9 of 16 - Sale <sup>25</sup>
	10.000	24.80	06/04/19	31.20	...	-6.40	10 of 16 - Sale <sup>25</sup>
	12.000	29.76	06/04/19	33.70	...	-3.94	11 of 16 - Sale <sup>25</sup>
	24.000	59.51	06/04/19	63.36	...	-3.85	12 of 16 - Sale <sup>25</sup>
	15.000	37.20	06/04/19	39.60	...	-2.40	13 of 16 - Sale <sup>25</sup>
	4.000	9.92	06/04/19	10.88	...	-0.96	14 of 16 - Sale <sup>25</sup>
	826.000	2,048.34	06/04/19	1,965.88	...	82.46	15 of 16 - Sale <sup>25</sup>
	1,300.000	3,223.77	06/04/19	3,094.00	...	129.77	16 of 16 - Sale <sup>25</sup>
06/06/19	2,625.000	6,509.52	Various	6,520.15	...	-10.63	Total of 16 transactions
15 transactions for 06/06/19. Total proceeds and cost reported to the IRS.							
	100.000	247.98	05/30/19	269.00	20.81 W	-0.21	1 of 15 - Sale <sup>25</sup>
	6.000	14.88	05/30/19	22.26	7.38 W	0.00	2 of 15 - Sale <sup>25</sup>
	10.000	24.80	05/30/19	32.20	7.40 W	0.00	3 of 15 - Sale <sup>25</sup>
	15.000	37.20	05/30/19	55.65	18.45 W	0.00	4 of 15 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 119955847

## Proceeds from Broker and Barter Exchange Transactions

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACELRX PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 00444T100 / Symbol: (cont'd)							
	25.000	62.00	05/30/19	94.52	32.52 W	0.00	5 of 15 - Sale <sup>25</sup>
	79.000	195.91	05/30/19	293.13	97.22 W	0.00	6 of 15 - Sale <sup>25</sup>
	4.000	9.92	05/30/19	11.28	1.36 W	0.00	7 of 15 - Sale <sup>25</sup>
	46.000	114.07	05/30/19	126.06	11.99 W	0.00	8 of 15 - Sale <sup>25</sup>
	50.000	123.99	05/30/19	145.41	21.42 W	0.00	9 of 15 - Sale <sup>25</sup>
	15.000	37.20	05/30/19	41.10	3.90 W	0.00	10 of 15 - Sale <sup>25</sup>
	100.000	247.98	05/30/19	274.00	26.02 W	0.00	11 of 15 - Sale <sup>25</sup>
	100.000	247.98	05/30/19	274.00	26.02 W	0.00	12 of 15 - Sale <sup>25</sup>
	25.000	62.00	05/30/19	67.00	5.00 W	0.00	13 of 15 - Sale <sup>25</sup>
	200.000	495.96	05/30/19	537.98	42.02 W	0.00	14 of 15 - Sale <sup>25</sup>
	100.000	247.98	05/30/19	269.00	21.02 W	0.00	15 of 15 - Sale <sup>25</sup>
06/06/19	875.000	2,169.85	Various	2,512.59	342.53 W	-0.21	Total of 15 transactions
	93 transactions for 06/24/19. Total proceeds and cost reported to the IRS.						
	100.000	229.98	06/21/19	249.01	...	-19.03	1 of 93 - Sale <sup>25</sup>
	99.000	227.68	06/21/19	246.53	...	-18.85	2 of 93 - Sale <sup>25</sup>
	90.000	206.98	06/21/19	224.11	...	-17.13	3 of 93 - Sale <sup>25</sup>
	66.000	151.79	06/21/19	164.35	...	-12.56	4 of 93 - Sale <sup>25</sup>
	25.000	57.50	06/21/19	62.00	...	-4.50	5 of 93 - Sale <sup>25</sup>
	17.000	39.08	06/21/19	43.18	...	-4.10	6 of 93 - Sale <sup>25</sup>
	9.000	20.70	06/21/19	22.88	...	-2.18	7 of 93 - Sale <sup>25</sup>
	9.000	20.70	06/21/19	22.41	...	-1.71	8 of 93 - Sale <sup>25</sup>
	1.000	2.28	06/21/19	2.54	...	-0.26	9 of 93 - Sale <sup>25</sup>
	1.000	2.28	06/21/19	2.54	...	-0.26	10 of 93 - Sale <sup>25</sup>
	1.000	2.28	06/21/19	2.54	...	-0.26	11 of 93 - Sale <sup>25</sup>
	1.000	2.28	06/21/19	2.54	...	-0.26	12 of 93 - Sale <sup>25</sup>
	1.000	2.28	06/21/19	2.54	...	-0.26	13 of 93 - Sale <sup>25</sup>
	1.000	2.28	06/21/19	2.54	...	-0.26	14 of 93 - Sale <sup>25</sup>
	1.000	2.28	06/21/19	2.54	...	-0.26	15 of 93 - Sale <sup>25</sup>
	1.000	2.28	06/21/19	2.54	...	-0.26	16 of 93 - Sale <sup>25</sup>
	1.000	2.28	06/21/19	2.54	...	-0.26	17 of 93 - Sale <sup>25</sup>
	1.000	2.28	06/21/19	2.54	...	-0.26	18 of 93 - Sale <sup>25</sup>
	1.000	2.28	06/21/19	2.54	...	-0.26	19 of 93 - Sale <sup>25</sup>
	1.000	2.28	06/21/19	2.54	...	-0.26	20 of 93 - Sale <sup>25</sup>
	1.000	2.28	06/21/19	2.54	...	-0.26	21 of 93 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 119955847

## Proceeds from Broker and Barter Exchange Transactions

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACELRX PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 00444T100 / Symbol: (cont'd)							
1.000		2.28	06/21/19	2.54	...	-0.26	22 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	23 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	24 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	25 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	26 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	27 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	28 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	29 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	30 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	31 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	32 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	33 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	34 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	35 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	36 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	37 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	38 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	39 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	40 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	41 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	42 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	43 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	44 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	45 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	46 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	47 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	48 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	49 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	50 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	51 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	52 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	53 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	54 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	55 of 93 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 119955847
2019 1099-B* OMB No. 1545-0715	(continued)	02/06/2020

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACELRX PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 00444T100 / Symbol: (cont'd)							
1.000		2.28	06/21/19	2.54	...	-0.26	56 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	57 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.54	...	-0.26	58 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.50	...	-0.22	59 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	60 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	61 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	62 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	63 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	64 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	65 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	66 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	67 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	68 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	69 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	70 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	71 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	72 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	73 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	74 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	75 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	76 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	77 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	78 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	79 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	80 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	81 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	82 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	83 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	84 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	85 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	86 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	87 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	88 of 93 - Sale <sup>25</sup>
1.000		2.28	06/21/19	2.49	...	-0.21	89 of 93 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 119955847

## Proceeds from Broker and Barter Exchange Transactions

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACELRX PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 00444T100 / Symbol: (cont'd)							
	1.000	2.28	06/21/19	2.49	...	-0.21	90 of 93 - Sale <sup>25</sup>
	1.000	2.28	06/21/19	2.49	...	-0.21	91 of 93 - Sale <sup>25</sup>
	1.000	2.30	06/21/19	2.49	...	-0.19	92 of 93 - Sale <sup>25</sup>
	1.000	2.30	06/21/19	2.49	...	-0.19	93 of 93 - Sale <sup>25</sup>
06/24/19	500.000	1,148.25	Various	1,248.63	...	-100.38	Total of 93 transactions
	9 transactions for 10/10/19. Total proceeds and cost reported to the IRS.						
	2.000	4.14	10/09/19	4.12	...	0.02	1 of 9 - Sale <sup>25</sup>
	6.000	12.48	10/09/19	12.36	...	0.12	2 of 9 - Sale <sup>25</sup>
	11.000	22.88	10/09/19	22.66	...	0.22	3 of 9 - Sale <sup>25</sup>
	45.000	93.58	10/09/19	92.70	...	0.88	4 of 9 - Sale <sup>25</sup>
	75.000	155.98	10/09/19	154.50	...	1.48	5 of 9 - Sale <sup>25</sup>
	100.000	207.98	10/09/19	206.00	...	1.98	6 of 9 - Sale <sup>25</sup>
	100.000	207.98	10/09/19	206.00	...	1.98	7 of 9 - Sale <sup>25</sup>
	278.000	578.18	10/09/19	572.68	...	5.50	8 of 9 - Sale <sup>25</sup>
	383.000	796.57	10/09/19	788.98	...	7.59	9 of 9 - Sale <sup>25</sup>
10/10/19	1,000.000	2,079.77	Various	2,060.00	...	19.77	Total of 9 transactions
	2 transactions for 11/01/19. Total proceeds and cost reported to the IRS.						
	5.000	10.28	10/30/19	10.00	...	0.28	1 of 2 - Sale <sup>25</sup>
	95.000	195.68	10/30/19	190.00	...	5.68	2 of 2 - Sale <sup>25</sup>
11/01/19	100.000	205.96	Various	200.00	...	5.96	Total of 2 transactions
	<b>Security total:</b>	<b>15,408.14</b>		<b>15,779.83</b>	<b>620.40 W</b>	<b>248.71</b>	
ACHIEVE LIFE SCIENCES, INC. CO MMON SHARES / CUSIP: 004468203 / Symbol:							
	3 transactions for 12/18/19. Total proceeds and cost reported to the IRS.						
	100.000	51.99	12/17/19	50.00	...	1.99	1 of 3 - Sale <sup>25</sup>
	150.000	77.98	12/17/19	73.50	...	4.48	2 of 3 - Sale <sup>25</sup>
	250.000	129.97	12/17/19	121.25	...	8.72	3 of 3 - Sale <sup>25</sup>
12/18/19	500.000	259.94	Various	244.75	...	15.19	Total of 3 transactions

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Account 119955847

## Proceeds from Broker and Barter Exchange Transactions

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

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ADAMIS PHARMACEUTICALS CORPORATION COMMON STOCK / CUSIP: 00547W208 / Symbol:							
2 transactions for 01/25/19. Total proceeds and cost reported to the IRS.							
	100.000	306.98	09/04/18	288.55	...	18.43	1 of 2 - Sale <sup>25</sup>
	50.000	153.48	09/11/18	150.00	...	3.48	2 of 2 - Sale <sup>25</sup>
01/25/19	150.000	460.46	Various	438.55	...	21.91	Total of 2 transactions
9 transactions for 01/28/19. Total proceeds and cost reported to the IRS.							
	16.000	51.20	09/11/18	48.00	...	3.20	1 of 9 - Sale <sup>25</sup>
	84.000	268.78	09/11/18	252.00	...	16.78	2 of 9 - Sale <sup>25</sup>
	50.000	159.99	09/18/18	145.00	...	14.99	3 of 9 - Sale <sup>25</sup>
	200.000	639.96	09/18/18	580.00	...	59.96	4 of 9 - Sale <sup>25</sup>
	10.000	32.00	12/20/18	21.50	...	10.50	5 of 9 - Sale <sup>25</sup>
	20.000	64.00	12/20/18	43.00	...	21.00	6 of 9 - Sale <sup>25</sup>
	10.000	32.00	12/24/18	21.90	...	10.10	7 of 9 - Sale <sup>25</sup>
	60.000	191.99	12/26/18	129.60	...	62.39	8 of 9 - Sale <sup>25</sup>
	50.000	159.99	12/28/18	105.00	...	54.99	9 of 9 - Sale <sup>25</sup>
01/28/19	500.000	1,599.91	Various	1,346.00	...	253.91	Total of 9 transactions
03/29/19	2,000.000	4,259.70	03/28/19	4,160.00	...	99.70	Sale <sup>25</sup>
11 transactions for 04/03/19. Total proceeds and cost reported to the IRS.							
	1.000	2.09	04/02/19	2.03	...	0.06	1 of 11 - Sale <sup>25</sup>
	100.000	211.98	04/02/19	203.00	...	8.98	2 of 11 - Sale <sup>25</sup>
	100.000	211.98	04/02/19	203.00	...	8.98	3 of 11 - Sale <sup>25</sup>
	100.000	211.99	04/02/19	203.00	...	8.99	4 of 11 - Sale <sup>25</sup>
	100.000	211.99	04/02/19	203.00	...	8.99	5 of 11 - Sale <sup>25</sup>
	200.000	423.97	04/02/19	406.00	...	17.97	6 of 11 - Sale <sup>25</sup>
	200.000	423.97	04/02/19	406.00	...	17.97	7 of 11 - Sale <sup>25</sup>
	299.000	633.84	04/02/19	606.97	...	26.87	8 of 11 - Sale <sup>25</sup>
	300.000	635.95	04/02/19	609.00	...	26.95	9 of 11 - Sale <sup>25</sup>
	300.000	635.95	04/02/19	609.00	...	26.95	10 of 11 - Sale <sup>25</sup>
	300.000	635.96	04/02/19	609.00	...	26.96	11 of 11 - Sale <sup>25</sup>
04/03/19	2,000.000	4,239.67	Various	4,060.00	...	179.67	Total of 11 transactions
	<b>Security total:</b>	<b>10,559.74</b>		<b>10,004.55</b>	...	<b>555.19</b>	

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Robinhood Securities LLC

Account 119955847

## Proceeds from Broker and Barter Exchange Transactions

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
4 transactions for 06/04/19. Total proceeds and cost reported to the IRS.							
	3.000	85.02	06/03/19	83.04	...	1.98	1 of 4 - Sale <sup>25</sup>
	33.000	935.19	06/04/19	925.98	...	9.21	2 of 4 - Sale <sup>25</sup>
	47.000	1,331.94	06/04/19	1,318.82	...	13.12	3 of 4 - Sale <sup>25</sup>
	100.000	2,833.93	06/04/19	2,806.00	...	27.93	4 of 4 - Sale <sup>25</sup>
06/04/19	183.000	5,186.08	Various	5,133.84	...	52.24	Total of 4 transactions
06/11/19	100.000	3,224.92	06/11/19	3,223.00	...	1.92	Sale <sup>25</sup>
	<b>Security total:</b>	<b>8,411.00</b>		<b>8,356.84</b>	...	<b>54.16</b>	
AKARI THERAPEUTICS PLC ADR / CUSIP: 00972G108 / Symbol:							
3 transactions for 05/28/19. Total proceeds and cost reported to the IRS.							
	50.000	117.48	05/24/19	116.50	...	0.98	1 of 3 - Sale <sup>25</sup>
	100.000	234.98	05/24/19	233.00	...	1.98	2 of 3 - Sale <sup>25</sup>
	100.000	234.98	05/24/19	233.00	...	1.98	3 of 3 - Sale <sup>25</sup>
05/28/19	250.000	587.44	Various	582.50	...	4.94	Total of 3 transactions
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
2 transactions for 09/25/19. Total proceeds and cost reported to the IRS.							
	250.000	6,944.82	09/23/19	6,882.50	...	62.32	1 of 2 - Sale <sup>25</sup>
	250.000	6,944.82	09/24/19	6,700.00	...	244.82	2 of 2 - Sale <sup>25</sup>
09/25/19	500.000	13,889.64	Various	13,582.50	...	307.14	Total of 2 transactions
16 transactions for 09/25/19. Total proceeds and cost reported to the IRS.							
	95.000	2,639.03	09/18/19	2,680.90	41.87 W	0.00	1 of 16 - Sale <sup>25</sup>
	100.000	2,777.93	09/18/19	2,822.00	44.07 W	0.00	2 of 16 - Sale <sup>25</sup>
	5.000	138.90	09/18/19	141.10	2.20 W	0.00	3 of 16 - Sale <sup>25</sup>
	50.000	1,388.97	09/18/19	1,433.04	44.07 W	0.00	4 of 16 - Sale <sup>25</sup>
	45.000	1,250.07	09/19/19	1,289.73	39.66 W	0.00	5 of 16 - Sale <sup>25</sup>
	55.000	1,527.86	09/19/19	1,576.34	48.48 W	0.00	6 of 16 - Sale <sup>25</sup>
	5.000	138.90	09/19/19	143.30	4.40 W	0.00	7 of 16 - Sale <sup>25</sup>
	45.000	1,250.07	09/19/19	1,289.73	39.66 W	0.00	8 of 16 - Sale <sup>25</sup>
	50.000	1,388.96	09/19/19	1,411.00	22.04 W	0.00	9 of 16 - Sale <sup>25</sup>
	50.000	1,388.97	09/19/19	1,411.00	22.03 W	0.00	10 of 16 - Sale <sup>25</sup>
	98.000	2,722.37	09/20/19	2,753.80	31.43 W	0.00	11 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 119955847

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2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	75.000	2,083.45	09/20/19	2,107.50	24.05 W	0.00	12 of 16 - Sale <sup>25</sup>
	1.000	27.78	09/20/19	28.10	0.32 W	0.00	13 of 16 - Sale <sup>25</sup>
	1.000	27.78	09/20/19	28.10	0.32 W	0.00	14 of 16 - Sale <sup>25</sup>
	73.000	2,027.89	09/20/19	2,051.30	23.41 W	0.00	15 of 16 - Sale <sup>25</sup>
	2.000	55.56	09/20/19	56.20	0.64 W	0.00	16 of 16 - Sale <sup>25</sup>
09/25/19	750.000	20,834.49	Various	21,223.14	388.65 W	0.00	Total of 16 transactions
4 transactions for 09/27/19. Total proceeds and cost reported to the IRS.							
	1.000	27.50	09/26/19	27.32	...	0.18	1 of 4 - Sale <sup>25</sup>
	1.000	27.50	09/26/19	27.32	...	0.18	2 of 4 - Sale <sup>25</sup>
	25.000	687.48	09/26/19	683.02	...	4.46	3 of 4 - Sale <sup>25</sup>
	73.000	2,007.45	09/26/19	1,994.41	...	13.04	4 of 4 - Sale <sup>25</sup>
09/27/19	100.000	2,749.93	Various	2,732.07	...	17.86	Total of 4 transactions
12 transactions for 09/27/19. Total proceeds and cost reported to the IRS.							
	50.000	1,374.97	09/25/19	1,424.07	49.10 W	0.00	1 of 12 - Sale <sup>25</sup>
	5.000	137.50	09/25/19	142.41	4.91 W	0.00	2 of 12 - Sale <sup>25</sup>
	45.000	1,237.47	09/25/19	1,281.66	44.19 W	0.00	3 of 12 - Sale <sup>25</sup>
	5.000	137.50	09/25/19	142.40	4.90 W	0.00	4 of 12 - Sale <sup>25</sup>
	45.000	1,237.47	09/25/19	1,281.66	44.19 W	0.00	5 of 12 - Sale <sup>25</sup>
	50.000	1,374.96	09/25/19	1,424.07	49.11 W	0.00	6 of 12 - Sale <sup>25</sup>
	43.000	1,182.46	09/25/19	1,205.75	23.29 W	0.00	7 of 12 - Sale <sup>25</sup>
	7.000	192.49	09/25/19	196.29	3.80 W	0.00	8 of 12 - Sale <sup>25</sup>
	50.000	1,374.96	09/25/19	1,394.03	19.07 W	0.00	9 of 12 - Sale <sup>25</sup>
	63.000	1,732.45	09/25/19	1,748.93	16.48 W	0.00	10 of 12 - Sale <sup>25</sup>
	2.000	55.00	09/25/19	55.52	0.52 W	0.00	11 of 12 - Sale <sup>25</sup>
	35.000	962.47	09/25/19	971.62	9.15 W	0.00	12 of 12 - Sale <sup>25</sup>
09/27/19	400.000	10,999.70	Various	11,268.41	268.71 W	0.00	Total of 12 transactions
6 transactions for 10/01/19. Total proceeds and cost reported to the IRS.							
	2.000	54.80	09/27/19	54.52	...	0.28	1 of 6 - Sale <sup>25</sup>
	5.000	137.00	09/27/19	136.31	...	0.69	2 of 6 - Sale <sup>25</sup>
	8.000	219.19	09/27/19	218.09	...	1.10	3 of 6 - Sale <sup>25</sup>
	50.000	1,369.96	09/27/19	1,366.08	...	3.88	4 of 6 - Sale <sup>25</sup>
	35.000	958.98	09/27/19	954.15	...	4.83	5 of 6 - Sale <sup>25</sup>

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Account 119955847

## Proceeds from Broker and Barter Exchange Transactions

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	50.000	1,369.96	09/27/19	1,350.00	...	19.96	6 of 6 - Sale <sup>25</sup>
10/01/19	150.000	4,109.89	Various	4,079.15	...	30.74	Total of 6 transactions
	12 transactions for 10/01/19. Total proceeds and cost reported to the IRS.						
	50.000	1,369.97	09/27/19	1,419.10	49.13 W	0.00	1 of 12 - Sale <sup>25</sup>
	2.000	54.80	09/27/19	55.44	0.64 W	0.00	2 of 12 - Sale <sup>25</sup>
	48.000	1,315.17	09/27/19	1,330.59	15.42 W	0.00	3 of 12 - Sale <sup>25</sup>
	5.000	137.00	09/27/19	141.91	4.91 W	0.00	4 of 12 - Sale <sup>25</sup>
	42.000	1,150.77	09/27/19	1,192.05	41.28 W	0.00	5 of 12 - Sale <sup>25</sup>
	45.000	1,232.97	09/27/19	1,277.19	44.22 W	0.00	6 of 12 - Sale <sup>25</sup>
	5.000	137.00	09/27/19	141.90	4.90 W	0.00	7 of 12 - Sale <sup>25</sup>
	8.000	219.19	09/27/19	227.06	7.87 W	0.00	8 of 12 - Sale <sup>25</sup>
	45.000	1,232.97	09/27/19	1,277.19	44.22 W	0.00	9 of 12 - Sale <sup>25</sup>
	7.000	191.79	09/27/19	193.22	1.43 W	0.00	10 of 12 - Sale <sup>25</sup>
	43.000	1,178.17	09/27/19	1,186.87	8.70 W	0.00	11 of 12 - Sale <sup>25</sup>
	50.000	1,369.96	09/27/19	1,372.07	2.11 W	0.00	12 of 12 - Sale <sup>25</sup>
10/01/19	350.000	9,589.76	Various	9,814.59	224.83 W	0.00	Total of 12 transactions
	19 transactions for 10/08/19. Total proceeds and cost reported to the IRS.						
	45.000	1,186.17	10/01/19	1,291.65	...	-105.48	1 of 19 - Sale <sup>25</sup>
	42.000	1,107.09	10/01/19	1,209.73	...	-102.64	2 of 19 - Sale <sup>25</sup>
	42.000	1,107.09	10/01/19	1,205.55	...	-98.46	3 of 19 - Sale <sup>25</sup>
	39.000	1,028.01	10/01/19	1,072.55	...	-44.54	4 of 19 - Sale <sup>25</sup>
	43.000	1,133.45	10/01/19	1,169.70	...	-36.25	5 of 19 - Sale <sup>25</sup>
	50.000	1,317.97	10/01/19	1,352.11	...	-34.14	6 of 19 - Sale <sup>25</sup>
	8.000	210.87	10/01/19	230.43	...	-19.56	7 of 19 - Sale <sup>25</sup>
	8.000	210.87	10/01/19	229.64	...	-18.77	8 of 19 - Sale <sup>25</sup>
	5.000	131.80	10/01/19	143.51	...	-11.71	9 of 19 - Sale <sup>25</sup>
	7.000	184.52	10/01/19	190.43	...	-5.91	10 of 19 - Sale <sup>25</sup>
	2.000	52.72	10/01/19	55.00	...	-2.28	11 of 19 - Sale <sup>25</sup>
	45.000	1,186.17	10/02/19	1,244.40	...	-58.23	12 of 19 - Sale <sup>25</sup>
	5.000	131.80	10/02/19	138.25	...	-6.45	13 of 19 - Sale <sup>25</sup>
	9.000	237.23	10/02/19	242.93	...	-5.70	14 of 19 - Sale <sup>25</sup>
	41.000	1,080.73	10/02/19	1,059.85	...	20.88	15 of 19 - Sale <sup>25</sup>
	50.000	1,317.97	10/02/19	1,257.50	...	60.47	16 of 19 - Sale <sup>25</sup>
	150.000	3,953.90	10/02/19	3,850.43	...	103.47	17 of 19 - Sale <sup>25</sup>

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2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	150.000	3,953.90	10/02/19	3,825.00	...	128.90	18 of 19 - Sale <sup>25</sup>
	50.000	1,317.97	10/03/19	1,250.00	...	67.97	19 of 19 - Sale <sup>25</sup>
10/08/19	791.000	20,850.23	Various	21,018.66	...	-168.43	Total of 19 transactions
	8 transactions for 10/08/19. Total proceeds and cost reported to the IRS.						
	50.000	1,317.97	10/01/19	1,408.13	90.16 W	0.00	1 of 8 - Sale <sup>25</sup>
	5.000	131.80	10/01/19	140.81	9.01 W	0.00	2 of 8 - Sale <sup>25</sup>
	5.000	131.80	10/01/19	140.80	9.00 W	0.00	3 of 8 - Sale <sup>25</sup>
	8.000	210.87	10/01/19	225.31	14.44 W	0.00	4 of 8 - Sale <sup>25</sup>
	42.000	1,107.09	10/01/19	1,182.84	75.75 W	0.00	5 of 8 - Sale <sup>25</sup>
	45.000	1,186.17	10/01/19	1,267.32	81.15 W	0.00	6 of 8 - Sale <sup>25</sup>
	45.000	1,186.17	10/01/19	1,267.32	81.15 W	0.00	7 of 8 - Sale <sup>25</sup>
	9.000	237.23	10/01/19	247.51	10.28 W	0.00	8 of 8 - Sale <sup>25</sup>
10/08/19	209.000	5,509.10	Various	5,880.04	370.94 W	0.00	Total of 8 transactions
12/19/19	40.000	1,126.17	12/18/19	1,120.00	...	6.17	Sale <sup>25</sup>
12/31/19	44.000	1,253.97	12/27/19	1,254.00	...	-0.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>90,912.88</b>		<b>91,972.56</b>	<b>1,253.13 W</b>	<b>193.45</b>	
AT HOME GROUP INC. / CUSIP: 04650Y100 / Symbol:							
12/05/19	16.000	88.00	12/04/19	81.44	...	6.56	Sale <sup>25</sup>
	2 transactions for 12/26/19. Total proceeds and cost reported to the IRS.						
	100.000	536.97	12/26/19	533.88	...	3.09	1 of 2 - Sale <sup>25</sup>
	100.000	536.97	12/26/19	533.88	...	3.09	2 of 2 - Sale <sup>25</sup>
12/26/19	200.000	1,073.94	Various	1,067.76	...	6.18	Total of 2 transactions
	<b>Security total:</b>	<b>1,161.94</b>		<b>1,149.20</b>	...	<b>12.74</b>	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
	2 transactions for 06/04/19. Total proceeds and cost reported to the IRS.						
	20.000	544.37	06/03/19	533.20	...	11.17	1 of 2 - Sale <sup>25</sup>
	320.000	8,710.17	06/03/19	8,531.20	...	178.97	2 of 2 - Sale <sup>25</sup>
06/04/19	340.000	9,254.54	Various	9,064.40	...	190.14	Total of 2 transactions
	5 transactions for 06/10/19. Total proceeds and cost reported to the IRS.						
	9.000	250.72	06/07/19	247.50	...	3.22	1 of 5 - Sale <sup>25</sup>
	20.000	557.17	06/07/19	550.00	...	7.17	2 of 5 - Sale <sup>25</sup>

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(continued)

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BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol: (cont'd)							
	21.000	585.03	06/07/19	577.50	...	7.53	3 of 5 - Sale <sup>25</sup>
	200.000	5,571.86	06/07/19	5,500.00	...	71.86	4 of 5 - Sale <sup>25</sup>
	250.000	6,964.83	06/07/19	6,875.00	...	89.83	5 of 5 - Sale <sup>25</sup>
06/10/19	500.000	13,929.61	Various	13,750.00	...	179.61	Total of 5 transactions
	3 transactions for 06/13/19. Total proceeds and cost reported to the IRS.						
	1.000	28.15	06/12/19	27.98	...	0.17	1 of 3 - Sale <sup>25</sup>
	9.000	253.34	06/12/19	251.84	...	1.50	2 of 3 - Sale <sup>25</sup>
	90.000	2,533.44	06/12/19	2,511.00	...	22.44	3 of 3 - Sale <sup>25</sup>
06/13/19	100.000	2,814.93	Various	2,790.82	...	24.11	Total of 3 transactions
	2 transactions for 06/13/19. Total proceeds and cost reported to the IRS.						
	10.000	281.48	06/11/19	282.30	0.82 W	0.00	1 of 2 - Sale <sup>25</sup>
	240.000	6,755.83	06/11/19	6,775.20	19.37 W	0.00	2 of 2 - Sale <sup>25</sup>
06/13/19	250.000	7,037.31	Various	7,057.50	20.19 W	0.00	Total of 2 transactions
06/14/19	500.000	14,009.64	06/13/19	13,970.00	...	39.64	Sale <sup>25</sup>
	3 transactions for 06/14/19. Total proceeds and cost reported to the IRS.						
	100.000	2,801.93	06/13/19	2,813.07	11.14 W	0.00	1 of 3 - Sale <sup>25</sup>
	140.000	3,922.70	06/13/19	3,938.30	15.60 W	0.00	2 of 3 - Sale <sup>25</sup>
	260.000	7,285.02	06/13/19	7,293.00	7.98 W	0.00	3 of 3 - Sale <sup>25</sup>
06/14/19	500.000	14,009.65	Various	14,044.37	34.72 W	0.00	Total of 3 transactions
	10 transactions for 06/20/19. Total proceeds and cost reported to the IRS.						
	10.000	285.59	06/19/19	283.61	...	1.98	1 of 10 - Sale <sup>25</sup>
	21.000	599.75	06/19/19	597.27	...	2.48	2 of 10 - Sale <sup>25</sup>
	119.000	3,398.56	06/19/19	3,384.53	...	14.03	3 of 10 - Sale <sup>25</sup>
	250.000	7,139.85	06/19/19	7,087.67	...	52.18	4 of 10 - Sale <sup>25</sup>
	50.000	1,407.46	06/20/19	1,405.00	...	2.46	5 of 10 - Sale <sup>25</sup>
	100.000	2,814.93	06/20/19	2,810.00	...	4.93	6 of 10 - Sale <sup>25</sup>
	100.000	2,814.93	06/20/19	2,802.00	...	12.93	7 of 10 - Sale <sup>25</sup>
	150.000	4,222.39	06/20/19	4,203.00	...	19.39	8 of 10 - Sale <sup>25</sup>
	100.000	2,814.93	06/20/19	2,786.00	...	28.93	9 of 10 - Sale <sup>25</sup>
	400.000	11,259.72	06/20/19	11,144.00	...	115.72	10 of 10 - Sale <sup>25</sup>
06/20/19	1,300.000	36,758.11	Various	36,503.08	...	255.03	Total of 10 transactions

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Robinhood Securities LLC

Account 119955847

**Proceeds from Broker and Barter Exchange Transactions**

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol: (cont'd)							
2 transactions for 06/20/19. Total proceeds and cost reported to the IRS.							
	100.000	2,855.94	06/19/19	2,876.14	20.20 W	0.00	1 of 2 - Sale <sup>25</sup>
06/20/19	100.000	2,814.93	06/20/19	2,830.20	15.27 W	0.00	2 of 2 - Sale <sup>25</sup>
	200.000	5,670.87	Various	5,706.34	35.47 W	0.00	Total of 2 transactions
4 transactions for 06/26/19. Total proceeds and cost reported to the IRS.							
	100.000	2,799.93	06/24/19	2,812.27	...	-12.34	1 of 4 - Sale <sup>25</sup>
	900.000	25,199.37	06/24/19	25,173.00	...	26.37	2 of 4 - Sale <sup>25</sup>
	100.000	2,799.93	06/25/19	2,750.00	...	49.93	3 of 4 - Sale <sup>25</sup>
	150.000	4,199.89	06/25/19	4,125.00	...	74.89	4 of 4 - Sale <sup>25</sup>
06/26/19	1,250.000	34,999.12	Various	34,860.27	...	138.85	Total of 4 transactions
	<b>Security total:</b>	<b>138,483.78</b>		<b>137,746.78</b>	<b>90.38 W</b>	<b>827.38</b>	
BILIBILI INC. AMERICAN DEPOSIT ARY SHARES / CUSIP: 090040106 / Symbol:							
2 transactions for 06/11/19. Total proceeds and cost reported to the IRS.							
	29.000	430.63	06/11/19	424.85	...	5.78	1 of 2 - Sale <sup>25</sup>
06/11/19	221.000	3,281.75	06/11/19	3,237.65	...	44.10	2 of 2 - Sale <sup>25</sup>
	250.000	3,712.38	Various	3,662.50	...	49.88	Total of 2 transactions
9 transactions for 06/18/19. Total proceeds and cost reported to the IRS.							
	1.000	14.55	06/17/19	14.42	...	0.13	1 of 9 - Sale <sup>25</sup>
	8.000	116.54	06/17/19	115.36	...	1.18	2 of 9 - Sale <sup>25</sup>
	35.000	509.92	06/17/19	504.70	...	5.22	3 of 9 - Sale <sup>25</sup>
	69.000	1,005.29	06/17/19	984.63	...	20.66	4 of 9 - Sale <sup>25</sup>
	150.000	2,185.43	06/17/19	2,163.00	...	22.43	5 of 9 - Sale <sup>25</sup>
	195.000	2,841.06	06/17/19	2,782.65	...	58.41	6 of 9 - Sale <sup>25</sup>
	236.000	3,438.42	06/17/19	3,367.72	...	70.70	7 of 9 - Sale <sup>25</sup>
	500.000	7,284.79	06/17/19	7,175.00	...	109.79	8 of 9 - Sale <sup>25</sup>
	806.000	11,743.08	06/17/19	11,622.52	...	120.56	9 of 9 - Sale <sup>25</sup>
06/18/19	2,000.000	29,139.08	Various	28,730.00	...	409.08	Total of 9 transactions
2 transactions for 07/02/19. Total proceeds and cost reported to the IRS.							
	186.000	3,106.11	07/01/19	3,106.20	...	-0.09	1 of 2 - Sale <sup>25</sup>
	64.000	1,068.77	07/01/19	1,068.80	...	-0.03	2 of 2 - Sale <sup>25</sup>
07/02/19	250.000	4,174.88	Various	4,175.00	...	-0.12	Total of 2 transactions
10/03/19	50.000	704.98	10/01/19	689.75	...	15.23	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 119955847

**Proceeds from Broker and Barter Exchange Transactions**

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BILIBILI INC. AMERICAN DEPOSIT ARY SHARES / CUSIP: 090040106 / Symbol: (cont'd)							
10/03/19	100.000	1,409.95	09/30/19	1,412.00	2.05 W	0.00	Sale <sup>25</sup>
	3 transactions for 10/10/19. Total proceeds and cost reported to the IRS.						
	9.000	125.89	10/04/19	125.19	...	0.70	1 of 3 - Sale <sup>25</sup>
	14.000	195.84	10/04/19	194.75	...	1.09	2 of 3 - Sale <sup>25</sup>
	77.000	1,077.19	10/04/19	1,071.11	...	6.08	3 of 3 - Sale <sup>25</sup>
10/10/19	100.000	1,398.92	Various	1,391.05	...	7.87	Total of 3 transactions
	<b>Security total:</b>	<b>40,540.19</b>		<b>40,060.30</b>	<b>2.05 W</b>	<b>481.94</b>	
BIOCRYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 09058V103 / Symbol:							
	3 transactions for 05/22/19. Total proceeds and cost reported to the IRS.						
	3.000	11.25	05/21/19	10.50	...	0.75	1 of 3 - Sale <sup>25</sup>
	97.000	363.73	05/21/19	339.50	...	24.23	2 of 3 - Sale <sup>25</sup>
	150.000	562.46	05/21/19	522.00	...	40.46	3 of 3 - Sale <sup>25</sup>
05/22/19	250.000	937.44	Various	872.00	...	65.44	Total of 3 transactions
	2 transactions for 05/23/19. Total proceeds and cost reported to the IRS.						
	100.000	354.98	05/22/19	345.00	...	9.98	1 of 2 - Sale <sup>25</sup>
	100.000	354.98	05/22/19	340.00	...	14.98	2 of 2 - Sale <sup>25</sup>
05/23/19	200.000	709.96	Various	685.00	...	24.96	Total of 2 transactions
	3 transactions for 05/23/19. Total proceeds and cost reported to the IRS.						
	49.000	173.94	05/22/19	173.95	0.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	150.000	532.47	05/22/19	532.50	0.03 W	0.00	2 of 3 - Sale <sup>25</sup>
	51.000	181.04	05/22/19	181.05	0.01 W	0.00	3 of 3 - Sale <sup>25</sup>
05/23/19	250.000	887.45	Various	887.50	0.05 W	0.00	Total of 3 transactions
	7 transactions for 05/30/19. Total proceeds and cost reported to the IRS.						
	20.000	64.00	05/28/19	62.81	...	1.19	1 of 7 - Sale <sup>25</sup>
	31.000	99.19	05/28/19	97.34	...	1.85	2 of 7 - Sale <sup>25</sup>
	49.000	156.79	05/28/19	153.87	...	2.92	3 of 7 - Sale <sup>25</sup>
	51.000	163.19	05/28/19	160.15	...	3.04	4 of 7 - Sale <sup>25</sup>
	52.000	166.39	05/28/19	163.29	...	3.10	5 of 7 - Sale <sup>25</sup>
	58.000	185.59	05/28/19	182.13	...	3.46	6 of 7 - Sale <sup>25</sup>
	129.000	412.77	05/28/19	405.06	...	7.71	7 of 7 - Sale <sup>25</sup>
05/30/19	390.000	1,247.92	Various	1,224.65	...	23.27	Total of 7 transactions
	<b>Security total:</b>	<b>3,782.77</b>		<b>3,669.15</b>	<b>0.05 W</b>	<b>113.67</b>	

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Robinhood Securities LLC

Account 119955847

**Proceeds from Broker and Barter Exchange Transactions**

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CYCLERION THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 23255M105 / Symbol:							
<i>6 transactions for 12/16/19. Total proceeds and cost reported to the IRS.</i>							
	21.000	48.30	11/11/19	37.80	...	10.50	1 of 6 - Sale <sup>25</sup>
	29.000	66.70	11/11/19	52.20	...	14.50	2 of 6 - Sale <sup>25</sup>
	100.000	229.98	11/11/19	180.00	...	49.98	3 of 6 - Sale <sup>25</sup>
	100.000	229.98	11/14/19	194.00	...	35.98	4 of 6 - Sale <sup>25</sup>
	100.000	229.99	11/26/19	258.01	...	-28.02	5 of 6 - Sale <sup>25</sup>
	50.000	114.99	11/26/19	94.00	...	20.99	6 of 6 - Sale <sup>25</sup>
12/16/19	400.000	919.94	Various	816.01	...	103.93	Total of 6 transactions
12/16/19	100.000	229.99	10/30/19	300.00	70.01 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,149.93</b>		<b>1,116.01</b>	<b>70.01 W</b>	<b>103.93</b>	

**DELMAR PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 247078306 / Symbol:**

*12 transactions for 05/31/19. Total proceeds and cost reported to the IRS.*

	0.100	0.47	01/24/19	0.41	...	0.06	1 of 12 - Sale <sup>25</sup>
	0.600	2.85	01/24/19	2.46	...	0.39	2 of 12 - Sale <sup>25</sup>
	1.000	4.75	01/24/19	4.10	...	0.65	3 of 12 - Sale <sup>25</sup>
	3.200	15.20	01/24/19	13.12	...	2.08	4 of 12 - Sale <sup>25</sup>
	5.100	24.22	01/24/19	20.91	...	3.31	5 of 12 - Sale <sup>25</sup>
	1.000	4.75	02/20/19	3.39	...	1.36	6 of 12 - Sale <sup>25</sup>
	35.000	166.25	03/27/19	203.00	...	-36.75	7 of 12 - Sale <sup>25</sup>
	25.000	118.75	03/27/19	145.00	...	-26.25	8 of 12 - Sale <sup>25</sup>
	14.000	66.50	03/27/19	81.20	...	-14.70	9 of 12 - Sale <sup>25</sup>
	13.500	64.12	03/27/19	69.35	...	-5.23	10 of 12 - Sale <sup>25</sup>
	41.500	197.11	03/28/19	202.19	...	-5.08	11 of 12 - Sale <sup>25</sup>
	20.000	95.00	03/28/19	97.44	...	-2.44	12 of 12 - Sale <sup>25</sup>
05/31/19	160.000	759.97	Various	842.57	...	-82.60	Total of 12 transactions

**GENOCEA BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 372427401 / Symbol:**

*2 transactions for 06/03/19. Total proceeds and cost reported to the IRS.*

	300.000	2,519.91	06/03/19	3,120.00	...	-600.09	1 of 2 - Sale <sup>25</sup>
	200.000	1,679.94	06/03/19	2,080.00	...	-400.06	2 of 2 - Sale <sup>25</sup>
06/03/19	500.000	4,199.85	Various	5,200.00	...	-1,000.15	Total of 2 transactions

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Account 119955847

## Proceeds from Broker and Barter Exchange Transactions

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

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IZEA WORLDWIDE, INC. COMMON ST OCK / CUSIP: 46604H105 / Symbol:							
04/29/19	83.000	86.31	04/25/19	86.32	...	-0.01	Sale <sup>25</sup>
04/29/19	100.000	103.98	04/25/19	104.00	0.01 W	-0.01	Sale <sup>25</sup>
	2 transactions for 04/30/19. Total proceeds and cost reported to the IRS.						
	17.000	18.17	04/25/19	17.68	...	0.49	1 of 2 - Sale <sup>25</sup>
	50.000	53.45	04/25/19	52.01	...	1.44	2 of 2 - Sale <sup>25</sup>
04/30/19	67.000	71.62	Various	69.69	...	1.93	Total of 2 transactions
	<b>Security total:</b>	<b>261.91</b>		<b>260.01</b>	<b>0.01 W</b>	<b>1.91</b>	
LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol:							
	5 transactions for 01/17/19. Total proceeds and cost reported to the IRS.						
	200.000	459.97	02/06/18	236.00	...	223.97	1 of 5 - Sale <sup>25</sup>
	72.000	165.59	07/19/18	100.73	...	64.86	2 of 5 - Sale <sup>25</sup>
	260.000	597.96	07/19/18	363.74	...	234.22	3 of 5 - Sale <sup>25</sup>
	69.000	158.69	08/20/18	93.84	...	64.85	4 of 5 - Sale <sup>25</sup>
	1.000	2.30	08/21/18	1.45	...	0.85	5 of 5 - Sale <sup>25</sup>
01/17/19	602.000	1,384.51	Various	795.76	...	588.75	Total of 5 transactions
	10 transactions for 03/27/19. Total proceeds and cost reported to the IRS.						
	21.000	46.20	01/17/19	42.74	...	3.46	1 of 10 - Sale <sup>25</sup>
	50.000	109.99	01/17/19	102.00	...	7.99	2 of 10 - Sale <sup>25</sup>
	50.000	109.99	01/17/19	101.75	...	8.24	3 of 10 - Sale <sup>25</sup>
	50.000	109.99	01/17/19	101.75	...	8.24	4 of 10 - Sale <sup>25</sup>
	79.000	173.79	01/17/19	160.77	...	13.02	5 of 10 - Sale <sup>25</sup>
	150.000	329.98	01/18/19	244.50	...	85.48	6 of 10 - Sale <sup>25</sup>
	100.000	219.98	01/28/19	161.00	...	58.98	7 of 10 - Sale <sup>25</sup>
	739.000	1,684.81	03/27/19	1,611.13	...	73.68	8 of 10 - Sale <sup>25</sup>
	901.000	2,063.15	03/27/19	1,982.20	...	80.95	9 of 10 - Sale <sup>25</sup>
	1,162.000	2,649.18	03/27/19	2,533.34	...	115.84	10 of 10 - Sale <sup>25</sup>
03/27/19	3,302.000	7,497.06	Various	7,041.18	...	455.88	Total of 10 transactions
	2 transactions for 03/27/19. Total proceeds and cost reported to the IRS.						
	1,162.000	2,556.22	01/17/19	2,556.40	0.18 W	0.00	1 of 2 - Sale <sup>25</sup>
	739.000	1,625.69	01/17/19	1,625.80	0.11 W	0.00	2 of 2 - Sale <sup>25</sup>
03/27/19	1,901.000	4,181.91	Various	4,182.20	0.29 W	0.00	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2019 1099-B\* OMB No. 1545-0715

(continued)

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LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol: (cont'd)							
<i>2 transactions for 03/28/19. Total proceeds and cost reported to the IRS.</i>							
	50.000	114.98	03/27/19	110.00	...	4.98	1 of 2 - Sale <sup>25</sup>
	950.000	2,184.86	03/27/19	2,090.00	...	94.86	2 of 2 - Sale <sup>25</sup>
03/28/19	1,000.000	2,299.84	Various	2,200.00	...	99.84	Total of 2 transactions
04/05/19	3.000	6.81	04/04/19	6.69	...	0.12	Sale <sup>25</sup>
<i>8 transactions for 04/16/19. Total proceeds and cost reported to the IRS.</i>							
	51.000	88.22	04/15/19	94.03	...	-5.81	1 of 8 - Sale <sup>25</sup>
	10.000	17.30	04/15/19	16.59	...	0.71	2 of 8 - Sale <sup>25</sup>
	25.000	43.25	04/15/19	41.47	...	1.78	3 of 8 - Sale <sup>25</sup>
	115.000	198.93	04/15/19	190.75	...	8.18	4 of 8 - Sale <sup>25</sup>
	4.000	6.92	04/16/19	6.80	...	0.12	5 of 8 - Sale <sup>25</sup>
	20.000	34.60	04/16/19	33.90	...	0.70	6 of 8 - Sale <sup>25</sup>
	26.000	44.97	04/16/19	41.08	...	3.89	7 of 8 - Sale <sup>25</sup>
	44.000	76.11	04/16/19	68.20	...	7.91	8 of 8 - Sale <sup>25</sup>
04/16/19	295.000	510.30	Various	492.82	...	17.48	Total of 8 transactions
<i>3 transactions for 04/16/19. Total proceeds and cost reported to the IRS.</i>							
	75.000	129.74	04/12/19	143.63	13.89 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	34.58	04/15/19	36.88	2.30 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.000	6.90	04/15/19	7.38	0.48 W	0.00	3 of 3 - Sale <sup>25</sup>
04/16/19	99.000	171.22	Various	187.89	16.67 W	0.00	Total of 3 transactions
<i>5 transactions for 12/26/19. Total proceeds and cost reported to the IRS.</i>							
	32.000	11.68	12/11/19	11.52	...	0.16	1 of 5 - Sale <sup>25</sup>
	32.000	11.68	12/11/19	11.52	...	0.16	2 of 5 - Sale <sup>25</sup>
	50.000	18.25	12/11/19	18.00	...	0.25	3 of 5 - Sale <sup>25</sup>
	68.000	24.81	12/11/19	24.48	...	0.33	4 of 5 - Sale <sup>25</sup>
	68.000	24.81	12/11/19	24.48	...	0.33	5 of 5 - Sale <sup>25</sup>
12/26/19	250.000	91.23	Various	90.00	...	1.23	Total of 5 transactions
	<b>Security total:</b>	<b>16,142.88</b>		<b>14,996.54</b>	<b>16.96 W</b>	<b>1,163.30</b>	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
10/02/19	250.000	402.46	09/30/19	390.00	...	12.46	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 119955847

**Proceeds from Broker and Barter Exchange Transactions**

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
<i>7 transactions for 06/28/19. Total proceeds and cost reported to the IRS.</i>							
	100.000	501.97	06/27/19	496.00	...	5.97	1 of 7 - Sale <sup>25</sup>
	100.000	501.97	06/27/19	496.00	...	5.97	2 of 7 - Sale <sup>25</sup>
	134.000	672.64	06/27/19	664.64	...	8.00	3 of 7 - Sale <sup>25</sup>
	154.000	773.04	06/27/19	763.84	...	9.20	4 of 7 - Sale <sup>25</sup>
	180.000	903.56	06/27/19	892.80	...	10.76	5 of 7 - Sale <sup>25</sup>
	332.000	1,666.56	06/27/19	1,646.72	...	19.84	6 of 7 - Sale <sup>25</sup>
	2,000.000	10,039.55	06/27/19	10,000.00	...	39.55	7 of 7 - Sale <sup>25</sup>
06/28/19	3,000.000	15,059.29	Various	14,960.00	...	99.29	Total of 7 transactions
<i>2 transactions for 09/27/19. Total proceeds and cost reported to the IRS.</i>							
	500.000	2,529.89	09/26/19	2,490.00	...	39.89	1 of 2 - Sale <sup>25</sup>
	500.000	2,529.89	09/26/19	2,490.00	...	39.89	2 of 2 - Sale <sup>25</sup>
09/27/19	1,000.000	5,059.78	Various	4,980.00	...	79.78	Total of 2 transactions
<i>2 transactions for 09/27/19. Total proceeds and cost reported to the IRS.</i>							
	900.000	4,553.79	09/27/19	4,567.50	13.71 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	505.98	09/27/19	507.50	1.52 W	0.00	2 of 2 - Sale <sup>25</sup>
09/27/19	1,000.000	5,059.77	Various	5,075.00	15.23 W	0.00	Total of 2 transactions
<i>3 transactions for 10/03/19. Total proceeds and cost reported to the IRS.</i>							
	700.000	3,436.84	10/01/19	3,437.16	0.32 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	490.98	10/01/19	491.02	0.04 W	0.00	2 of 3 - Sale <sup>25</sup>
	200.000	981.95	10/01/19	982.05	0.10 W	0.00	3 of 3 - Sale <sup>25</sup>
10/03/19	1,000.000	4,909.77	Various	4,910.23	0.46 W	0.00	Total of 3 transactions
<i>4 transactions for 10/04/19. Total proceeds and cost reported to the IRS.</i>							
	100.000	486.98	10/04/19	485.04	...	1.94	1 of 4 - Sale <sup>25</sup>
	200.000	973.95	10/04/19	970.10	...	3.85	2 of 4 - Sale <sup>25</sup>
	200.000	973.95	10/04/19	970.09	...	3.86	3 of 4 - Sale <sup>25</sup>
	500.000	2,434.89	10/04/19	2,425.23	...	9.66	4 of 4 - Sale <sup>25</sup>
10/04/19	1,000.000	4,869.77	Various	4,850.46	...	19.31	Total of 4 transactions
<i>2 transactions for 10/15/19. Total proceeds and cost reported to the IRS.</i>							
	500.000	2,504.88	10/14/19	2,490.00	...	14.88	1 of 2 - Sale <sup>25</sup>
	500.000	2,504.88	10/14/19	2,490.00	...	14.88	2 of 2 - Sale <sup>25</sup>
10/15/19	1,000.000	5,009.76	Various	4,980.00	...	29.76	Total of 2 transactions

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Robinhood Securities LLC

Account 119955847

**Proceeds from Broker and Barter Exchange Transactions**

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOKIA CORPORATION / CUSIP: 654902204 / Symbol: (cont'd)							
14 transactions for 10/24/19. Total proceeds and cost reported to the IRS.							
	2.000	7.82	10/24/19	7.70	...	0.12	1 of 14 - Sale <sup>25</sup>
	3.000	11.74	10/24/19	11.55	...	0.19	2 of 14 - Sale <sup>25</sup>
	4.000	15.66	10/24/19	15.40	...	0.26	3 of 14 - Sale <sup>25</sup>
	5.000	19.58	10/24/19	19.25	...	0.33	4 of 14 - Sale <sup>25</sup>
	5.000	19.58	10/24/19	19.25	...	0.33	5 of 14 - Sale <sup>25</sup>
	13.000	50.94	10/24/19	50.05	...	0.89	6 of 14 - Sale <sup>25</sup>
	13.000	50.94	10/24/19	50.05	...	0.89	7 of 14 - Sale <sup>25</sup>
	30.000	117.58	10/24/19	115.50	...	2.08	8 of 14 - Sale <sup>25</sup>
	31.000	121.50	10/24/19	119.35	...	2.15	9 of 14 - Sale <sup>25</sup>
	44.000	172.46	10/24/19	169.40	...	3.06	10 of 14 - Sale <sup>25</sup>
	100.000	391.98	10/24/19	385.00	...	6.98	11 of 14 - Sale <sup>25</sup>
	200.000	783.96	10/24/19	776.00	...	7.96	12 of 14 - Sale <sup>25</sup>
	300.000	1,175.93	10/24/19	1,164.00	...	11.93	13 of 14 - Sale <sup>25</sup>
	250.000	979.94	10/24/19	962.50	...	17.44	14 of 14 - Sale <sup>25</sup>
10/24/19	1,000.000	3,919.61	Various	3,865.00	...	54.61	Total of 14 transactions
7 transactions for 10/31/19. Total proceeds and cost reported to the IRS.							
	250.000	914.95	10/28/19	920.00	...	-5.05	1 of 7 - Sale <sup>25</sup>
	100.000	365.98	10/28/19	369.00	...	-3.02	2 of 7 - Sale <sup>25</sup>
	100.000	365.98	10/28/19	369.00	...	-3.02	3 of 7 - Sale <sup>25</sup>
	50.000	182.99	10/28/19	184.50	...	-1.51	4 of 7 - Sale <sup>25</sup>
	70.000	256.19	10/28/19	252.00	...	4.19	5 of 7 - Sale <sup>25</sup>
	80.000	292.78	10/28/19	288.00	...	4.78	6 of 7 - Sale <sup>25</sup>
	100.000	365.98	10/28/19	360.00	...	5.98	7 of 7 - Sale <sup>25</sup>
10/31/19	750.000	2,744.85	Various	2,742.50	...	2.35	Total of 7 transactions
	<b>Security total:</b>	<b>46,632.60</b>		<b>46,363.19</b>		<b>15.69 W</b>	<b>285.10</b>
PARETEUM CORPORATION COMMON STOCK / CUSIP: 69946T207 / Symbol:							
3 transactions for 06/27/19. Total proceeds and cost reported to the IRS.							
	100.000	205.98	06/26/19	208.00	...	-2.02	1 of 3 - Sale <sup>25</sup>
	5.000	10.30	06/26/19	10.00	...	0.30	2 of 3 - Sale <sup>25</sup>
	145.000	298.67	06/26/19	290.00	...	8.67	3 of 3 - Sale <sup>25</sup>
06/27/19	250.000	514.95	Various	508.00	...	6.95	Total of 3 transactions

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Robinhood Securities LLC

Account 119955847

## Proceeds from Broker and Barter Exchange Transactions

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFIZER INC. / CUSIP: 717081103 / Symbol:							
10/29/19	13.000	496.38	08/02/19	494.13	...	2.25	Sale <sup>25</sup>
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
10/11/19	100.000	3,674.91	09/27/19	3,652.00	...	22.91	Sale <sup>25</sup>
10/15/19	100.000	3,713.91	10/11/19	3,660.00	...	53.91	Sale <sup>25</sup>
<i>7 transactions for 10/17/19. Total proceeds and cost reported to the IRS.</i>							
	1.000	37.05	10/16/19	36.40	...	0.65	1 of 7 - Sale <sup>25</sup>
	5.000	185.25	10/16/19	182.50	...	2.75	2 of 7 - Sale <sup>25</sup>
	20.000	740.98	10/16/19	730.00	...	10.98	3 of 7 - Sale <sup>25</sup>
	25.000	926.23	10/16/19	914.50	...	11.73	4 of 7 - Sale <sup>25</sup>
	49.000	1,815.40	10/16/19	1,783.60	...	31.80	5 of 7 - Sale <sup>25</sup>
	50.000	1,852.45	10/16/19	1,804.00	...	48.45	6 of 7 - Sale <sup>25</sup>
	100.000	3,674.91	10/17/19	3,640.00	...	34.91	7 of 7 - Sale <sup>25</sup>
10/17/19	250.000	9,232.27	Various	9,091.00	...	141.27	Total of 7 transactions
	<b>Security total:</b>	<b>16,621.09</b>		<b>16,403.00</b>	...	<b>218.09</b>	
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
01/09/19	50.000	3,267.44	12/12/18	3,250.00	...	17.44	Sale <sup>25</sup>
<i>2 transactions for 01/10/19. Total proceeds and cost reported to the IRS.</i>							
	2.000	131.99	12/12/18	129.00	...	2.99	1 of 2 - Sale <sup>25</sup>
	3.000	197.99	12/17/18	180.00	...	17.99	2 of 2 - Sale <sup>25</sup>
01/10/19	5.000	329.98	Various	309.00	...	20.98	Total of 2 transactions
05/17/19	30.000	1,977.54	05/17/19	1,950.00	...	27.54	Sale <sup>25</sup>
09/19/19	100.000	5,899.86	09/19/19	5,860.00	...	39.86	Sale <sup>25</sup>
<i>2 transactions for 09/20/19. Total proceeds and cost reported to the IRS.</i>							
	14.000	803.30	09/20/19	801.36	...	1.94	1 of 2 - Sale <sup>25</sup>
	86.000	4,934.57	09/20/19	4,922.64	...	11.93	2 of 2 - Sale <sup>25</sup>
09/20/19	100.000	5,737.87	Various	5,724.00	...	13.87	Total of 2 transactions
<i>5 transactions for 10/24/19. Total proceeds and cost reported to the IRS.</i>							
	1.000	60.00	10/22/19	59.75	...	0.25	1 of 5 - Sale <sup>25</sup>
	24.000	1,439.97	10/22/19	1,434.00	...	5.97	2 of 5 - Sale <sup>25</sup>
	25.000	1,499.96	10/22/19	1,488.75	...	11.21	3 of 5 - Sale <sup>25</sup>
	25.000	1,499.96	10/22/19	1,481.25	...	18.71	4 of 5 - Sale <sup>25</sup>

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2019 1099-B\* OMB No. 1545-0715

(continued)

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SQUARE, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
	25.000	1,499.97	10/22/19	1,468.75	...	31.22	5 of 5 - Sale <sup>25</sup>
10/24/19	100.000	5,999.86	Various	5,932.50	...	67.36	Total of 5 transactions
12/24/19	19.000	1,200.77	12/24/19	1,190.92	...	9.85	Sale <sup>25</sup>
	<b>Security total:</b>	<b>24,413.32</b>		<b>24,216.42</b>	...	<b>196.90</b>	
STEALTH BIOTHERAPEUTICS CORP. ADS / CUSIP: 85789A105 / Symbol:							
5 transactions for 12/27/19. Total proceeds and cost reported to the IRS.							
	28.000	100.80	12/26/19	107.52	...	-6.72	1 of 5 - Sale <sup>25</sup>
	50.000	179.99	12/26/19	184.01	...	-4.02	2 of 5 - Sale <sup>25</sup>
	22.000	79.20	12/26/19	81.84	...	-2.64	3 of 5 - Sale <sup>25</sup>
	28.000	100.80	12/26/19	103.04	...	-2.24	4 of 5 - Sale <sup>25</sup>
	22.000	79.20	12/26/19	80.96	...	-1.76	5 of 5 - Sale <sup>25</sup>
12/27/19	150.000	539.99	Various	557.37	...	-17.38	Total of 5 transactions
2 transactions for 12/27/19. Total proceeds and cost reported to the IRS.							
	100.000	359.99	12/26/19	372.00	3.36 W	-8.65	1 of 2 - Sale <sup>25</sup>
	100.000	359.99	12/26/19	372.00	12.01 W	0.00	2 of 2 - Sale <sup>25</sup>
12/27/19	200.000	719.98	Various	744.00	15.37 W	-8.65	Total of 2 transactions
	<b>Security total:</b>	<b>1,259.97</b>		<b>1,301.37</b>	<b>15.37 W</b>	<b>-26.03</b>	
SUNPOWER CORPORATION COMMON STOCK / CUSIP: 867652406 / Symbol:							
3 transactions for 12/23/19. Total proceeds and cost reported to the IRS.							
	10.000	77.20	12/20/19	75.60	...	1.60	1 of 3 - Sale <sup>25</sup>
	40.000	308.78	12/20/19	302.40	...	6.38	2 of 3 - Sale <sup>25</sup>
	100.000	771.97	12/20/19	756.00	...	15.97	3 of 3 - Sale <sup>25</sup>
12/23/19	150.000	1,157.95	Various	1,134.00	...	23.95	Total of 3 transactions
TARONIS TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 876214107 / Symbol:							
5 transactions for 07/16/19. Total proceeds and cost reported to the IRS.							
	250.000	116.22	04/12/19	222.50	...	-106.28	1 of 5 - Sale <sup>25</sup>
	100.000	46.48	04/12/19	82.00	...	-35.52	2 of 5 - Sale <sup>25</sup>
	100.000	46.49	04/12/19	78.00	...	-31.51	3 of 5 - Sale <sup>25</sup>
	50.000	23.24	04/30/19	32.00	...	-8.76	4 of 5 - Sale <sup>25</sup>
	1.000	0.47	05/15/19	0.45	...	0.02	5 of 5 - Sale <sup>25</sup>
07/16/19	501.000	232.90	Various	414.95	...	-182.05	Total of 5 transactions

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Account 119955847

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2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
3 transactions for 05/20/19. Total proceeds and cost reported to the IRS.							
	2.000	396.98	05/20/19	391.00	...	5.98	1 of 3 - Sale <sup>25</sup>
	3.000	595.47	05/20/19	586.50	...	8.97	2 of 3 - Sale <sup>25</sup>
	5.000	992.46	05/20/19	977.50	...	14.96	3 of 3 - Sale <sup>25</sup>
05/20/19	10.000	1,984.91	Various	1,955.00	...	29.91	Total of 3 transactions
TRACON PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 89237H100 / Symbol:							
7 transactions for 04/02/19. Total proceeds and cost reported to the IRS.							
	3.000	4.51	04/01/19	4.26	...	0.25	1 of 7 - Sale <sup>25</sup>
	3.000	4.53	04/01/19	4.26	...	0.27	2 of 7 - Sale <sup>25</sup>
	21.000	31.70	04/01/19	29.82	...	1.88	3 of 7 - Sale <sup>25</sup>
	40.000	60.39	04/01/19	56.80	...	3.59	4 of 7 - Sale <sup>25</sup>
	97.000	146.45	04/01/19	137.74	...	8.71	5 of 7 - Sale <sup>25</sup>
	100.000	150.98	04/01/19	142.00	...	8.98	6 of 7 - Sale <sup>25</sup>
	100.000	150.99	04/01/19	142.00	...	8.99	7 of 7 - Sale <sup>25</sup>
04/02/19	364.000	549.55	Various	516.88	...	32.67	Total of 7 transactions
6 transactions for 04/18/19. Total proceeds and cost reported to the IRS.							
	68.000	47.92	04/09/19	152.00	...	-104.08	1 of 6 - Sale <sup>25</sup>
	32.000	22.55	04/09/19	47.04	...	-24.49	2 of 6 - Sale <sup>25</sup>
	100.000	70.48	04/10/19	214.18	...	-143.70	3 of 6 - Sale <sup>25</sup>
	100.000	70.48	04/10/19	214.18	...	-143.70	4 of 6 - Sale <sup>25</sup>
	32.000	22.55	04/10/19	68.54	...	-45.99	5 of 6 - Sale <sup>25</sup>
	18.000	12.68	04/10/19	38.56	...	-25.88	6 of 6 - Sale <sup>25</sup>
04/18/19	350.000	246.66	Various	734.50	...	-487.84	Total of 6 transactions
4 transactions for 04/18/19. Total proceeds and cost reported to the IRS.							
	100.000	70.47	04/09/19	147.00	13.78 W	-62.75	1 of 4 - Sale <sup>25</sup>
	100.000	70.47	04/09/19	147.00	76.53 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	70.47	04/09/19	147.00	76.53 W	0.00	3 of 4 - Sale <sup>25</sup>
	100.000	70.47	04/09/19	147.00	76.53 W	0.00	4 of 4 - Sale <sup>25</sup>
04/18/19	400.000	281.88	Various	588.00	243.37 W	-62.75	Total of 4 transactions
	<b>Security total:</b>	<b>1,078.09</b>		<b>1,839.38</b>	<b>243.37 W</b>	<b>-517.92</b>	

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Robinhood Securities LLC

Account 119955847

## Proceeds from Broker and Barter Exchange Transactions

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol:							
3 transactions for 06/17/19. Total proceeds and cost reported to the IRS.							
	50.000	82.49	05/28/19	82.01	...	0.48	1 of 3 - Sale <sup>25</sup>
	150.000	247.48	05/28/19	246.03	...	1.45	2 of 3 - Sale <sup>25</sup>
	56.000	92.39	05/28/19	68.88	...	23.51	3 of 3 - Sale <sup>25</sup>
06/17/19	256.000	422.36	Various	396.92	...	25.44	Total of 3 transactions
2 transactions for 06/17/19. Total proceeds and cost reported to the IRS.							
	94.000	155.08	05/03/19	193.64	38.56 W	0.00	1 of 2 - Sale <sup>25</sup>
	150.000	247.47	05/03/19	309.00	61.53 W	0.00	2 of 2 - Sale <sup>25</sup>
06/17/19	244.000	402.55	Various	502.64	100.09 W	0.00	Total of 2 transactions
11 transactions for 06/20/19. Total proceeds and cost reported to the IRS.							
	28.000	44.84	06/20/19	44.10	...	0.74	1 of 11 - Sale <sup>25</sup>
	29.000	46.44	06/20/19	45.68	...	0.76	2 of 11 - Sale <sup>25</sup>
	56.000	89.69	06/20/19	88.20	...	1.49	3 of 11 - Sale <sup>25</sup>
	72.000	115.31	06/20/19	113.40	...	1.91	4 of 11 - Sale <sup>25</sup>
	100.000	160.15	06/20/19	157.50	...	2.65	5 of 11 - Sale <sup>25</sup>
	100.000	160.15	06/20/19	157.50	...	2.65	6 of 11 - Sale <sup>25</sup>
	100.000	160.15	06/20/19	157.50	...	2.65	7 of 11 - Sale <sup>25</sup>
	100.000	160.15	06/20/19	157.50	...	2.65	8 of 11 - Sale <sup>25</sup>
	100.000	160.16	06/20/19	157.50	...	2.66	9 of 11 - Sale <sup>25</sup>
	100.000	160.16	06/20/19	157.50	...	2.66	10 of 11 - Sale <sup>25</sup>
	171.000	273.87	06/20/19	269.33	...	4.54	11 of 11 - Sale <sup>25</sup>
06/20/19	956.000	1,531.07	Various	1,505.71	...	25.36	Total of 11 transactions
06/20/19	44.000	70.47	06/20/19	87.35	16.88 W	0.00	Sale <sup>25</sup>
07/01/19	956.000	1,443.41	06/28/19	1,393.75	...	49.66	Sale <sup>25</sup>
07/01/19	44.000	66.43	06/28/19	81.03	14.60 W	0.00	Sale <sup>25</sup>
2 transactions for 07/05/19. Total proceeds and cost reported to the IRS.							
	956.000	1,319.14	07/01/19	1,367.08	...	-47.94	1 of 2 - Sale <sup>25</sup>
	44.000	60.71	07/01/19	77.52	...	-16.81	2 of 2 - Sale <sup>25</sup>
07/05/19	1,000.000	1,379.85	Various	1,444.60	...	-64.75	Total of 2 transactions
11/26/19	250.000	329.97	10/29/19	310.00	...	19.97	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,646.11</b>		<b>5,722.00</b>	<b>131.57 W</b>	<b>55.68</b>	

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Robinhood Securities LLC

Account 119955847

**Proceeds from Broker and Barter Exchange Transactions**

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
YANGTZE RIVER PORT & LOGISTICS LTD COMMON STOCK / CUSIP: 98475Y105 / Symbol:							
<i>4 transactions for 04/04/19. Total proceeds and cost reported to the IRS.</i>							
	79.000	134.28	04/04/19	123.24	...	11.04	1 of 4 - Sale <sup>25</sup>
	121.000	205.68	04/04/19	188.76	...	16.92	2 of 4 - Sale <sup>25</sup>
	300.000	509.96	04/04/19	468.00	...	41.96	3 of 4 - Sale <sup>25</sup>
	1,000.000	1,799.85	04/04/19	1,700.00	...	99.85	4 of 4 - Sale <sup>25</sup>
04/04/19	1,500.000	2,649.77	Various	2,480.00	...	169.77	Total of 4 transactions
<i>6 transactions for 04/09/19. Total proceeds and cost reported to the IRS.</i>							
	500.000	749.93	04/05/19	743.30	...	6.63	1 of 6 - Sale <sup>25</sup>
	100.000	149.98	04/05/19	120.00	...	29.98	2 of 6 - Sale <sup>25</sup>
	100.000	149.98	04/05/19	120.00	...	29.98	3 of 6 - Sale <sup>25</sup>
	100.000	149.99	04/05/19	120.00	...	29.99	4 of 6 - Sale <sup>25</sup>
	100.000	149.99	04/05/19	120.00	...	29.99	5 of 6 - Sale <sup>25</sup>
	100.000	149.99	04/05/19	120.00	...	29.99	6 of 6 - Sale <sup>25</sup>
04/09/19	1,000.000	1,499.86	Various	1,343.30	...	156.56	Total of 6 transactions
04/09/19	500.000	749.93	04/04/19	835.00	85.07 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 04/11/19. Total proceeds and cost reported to the IRS.</i>							
	100.000	154.98	04/09/19	165.01	10.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	75.000	116.24	04/09/19	129.01	12.77 W	0.00	2 of 2 - Sale <sup>25</sup>
04/11/19	175.000	271.22	Various	294.02	22.80 W	0.00	Total of 2 transactions
<i>9 transactions for 06/04/19. Total proceeds and cost reported to the IRS.</i>							
	325.000	246.95	04/16/19	503.80	...	-256.85	1 of 9 - Sale <sup>25</sup>
	94.000	71.43	04/16/19	139.15	...	-67.72	2 of 9 - Sale <sup>25</sup>
	75.000	56.99	04/16/19	116.27	...	-59.28	3 of 9 - Sale <sup>25</sup>
	250.000	189.97	04/18/19	279.83	...	-89.86	4 of 9 - Sale <sup>25</sup>
	200.000	151.97	04/18/19	223.86	...	-71.89	5 of 9 - Sale <sup>25</sup>
	6.000	4.56	04/18/19	7.32	...	-2.76	6 of 9 - Sale <sup>25</sup>
	18.000	13.68	04/22/19	18.00	...	-4.32	7 of 9 - Sale <sup>25</sup>
	32.000	24.31	04/30/19	27.20	...	-2.89	8 of 9 - Sale <sup>25</sup>
	30.000	22.80	05/01/19	24.00	...	-1.20	9 of 9 - Sale <sup>25</sup>
06/04/19	1,030.000	782.66	Various	1,339.43	...	-556.77	Total of 9 transactions
	<b>Security total:</b>	<b>5,953.44</b>		<b>6,291.75</b>	<b>107.87 W</b>	<b>-230.44</b>	
<b>Totals :</b>		<b>556,038.36</b>		<b>558,666.12</b>	<b>4,581.01 W</b>	<b>1,953.25</b>	

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Robinhood Securities LLC

Account 119955847

**Proceeds from Broker and Barter Exchange Transactions**

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
DELMAR PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 247078306 / Symbol:							
3 transactions for 05/31/19. Total proceeds and cost reported to the IRS.							
	10.000	47.50	12/29/17	103.99	...	-56.49	1 of 3 - Sale <sup>25</sup>
	125.000	593.72	01/16/18	1,925.00	...	-1,331.28	2 of 3 - Sale <sup>25</sup>
	5.000	23.75	05/04/18	47.40	...	-23.65	3 of 3 - Sale <sup>25</sup>
05/31/19	140.000	664.97	Various	2,076.39	...	-1,411.42	Total of 3 transactions
LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol:							
13 transactions for 01/17/19. Total proceeds and cost reported to the IRS.							
	22.000	50.60	01/16/18	37.40	...	13.20	1 of 13 - Sale <sup>25</sup>
	72.000	165.59	01/16/18	119.52	...	46.07	2 of 13 - Sale <sup>25</sup>
	100.000	229.98	01/16/18	166.00	...	63.98	3 of 13 - Sale <sup>25</sup>
	128.000	294.38	01/16/18	217.60	...	76.78	4 of 13 - Sale <sup>25</sup>
	100.000	229.98	01/16/18	150.00	...	79.98	5 of 13 - Sale <sup>25</sup>
	100.000	229.99	01/16/18	150.00	...	79.99	6 of 13 - Sale <sup>25</sup>
	200.000	459.97	01/16/18	300.00	...	159.97	7 of 13 - Sale <sup>25</sup>
	428.000	984.34	01/16/18	710.48	...	273.86	8 of 13 - Sale <sup>25</sup>
	1.000	2.30	12/24/18	1.88	...	0.42	9 of 13 - Sale <sup>25</sup>
	1.000	2.30	12/26/18	2.12	...	0.18	10 of 13 - Sale <sup>25</sup>
	49.000	112.70	12/26/18	103.89	...	8.81	11 of 13 - Sale <sup>25</sup>
	49.000	112.69	12/26/18	90.12	...	22.57	12 of 13 - Sale <sup>25</sup>
	50.000	114.99	12/26/18	91.51	...	23.48	13 of 13 - Sale <sup>25</sup>
01/17/19	1,300.000	2,989.81	Various	2,140.52	...	849.29	Total of 13 transactions
7 transactions for 01/17/19. Total proceeds and cost reported to the IRS.							
	69.000	158.69	12/29/17	242.82	84.13 W	0.00	1 of 7 - Sale <sup>25</sup>
	30.000	69.00	12/29/17	105.00	36.00 W	0.00	2 of 7 - Sale <sup>25</sup>
	50.000	114.99	01/05/18	146.45	31.46 W	0.00	3 of 7 - Sale <sup>25</sup>
	50.000	114.99	01/05/18	146.00	31.01 W	0.00	4 of 7 - Sale <sup>25</sup>
	50.000	114.99	01/09/18	160.50	45.51 W	0.00	5 of 7 - Sale <sup>25</sup>
	30.000	68.99	12/24/18	73.50	4.51 W	0.00	6 of 7 - Sale <sup>25</sup>
	69.000	158.69	12/24/18	170.38	11.69 W	0.00	7 of 7 - Sale <sup>25</sup>
01/17/19	348.000	800.34	Various	1,044.65	244.31 W	0.00	Total of 7 transactions

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Robinhood Securities LLC

Account 119955847

**Proceeds from Broker and Barter Exchange Transactions**

2019 1099-B\* OMB No. 1545-0715

(continued)

02/06/2020

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol: (cont'd)							
<i>2 transactions for 03/27/19. Total proceeds and cost reported to the IRS.</i>							
	30.000	68.70	03/27/19	67.51	...	1.19	1 of 2 - Sale <sup>25</sup>
	69.000	158.00	03/27/19	156.61	...	1.39	2 of 2 - Sale <sup>25</sup>
03/27/19	99.000	226.70	Various	224.12	...	2.58	Total of 2 transactions
<i>4 transactions for 03/27/19. Total proceeds and cost reported to the IRS.</i>							
	30.000	66.00	01/17/19	70.51	4.51 W	0.00	1 of 4 - Sale <sup>25</sup>
	69.000	151.79	01/17/19	163.49	11.70 W	0.00	2 of 4 - Sale <sup>25</sup>
	30.000	68.40	03/27/19	69.91	1.51 W	0.00	3 of 4 - Sale <sup>25</sup>
	69.000	157.31	03/27/19	162.12	4.81 W	0.00	4 of 4 - Sale <sup>25</sup>
03/27/19	198.000	443.50	Various	466.03	22.53 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>4,460.35</b>		<b>3,875.32</b>	<b>266.84 W</b>	<b>851.87</b>	
<b>Totals :</b>		<b>5,125.32</b>		<b>5,951.71</b>	<b>266.84 W</b>	<b>-559.55</b>	

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Robinhood Securities LLC

Account 119955847

**Proceeds Not Reported to the IRS**

2019

02/06/2020

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
DMPi RIGHTS / CUSIP: 247RGT012 / Symbol:							
11 transactions for 07/05/19							
	0.100	0.00	01/24/19	0.00	...	0.00	Gain or loss <sup>25</sup>
	5.100	0.00	01/24/19	0.00	...	0.00	Gain or loss <sup>25</sup>
	0.600	0.00	01/24/19	0.00	...	0.00	Gain or loss <sup>25</sup>
	1.000	0.00	01/24/19	0.00	...	0.00	Gain or loss <sup>25</sup>
	3.200	0.00	01/24/19	0.00	...	0.00	Gain or loss <sup>25</sup>
	1.000	0.00	02/20/19	0.00	...	0.00	Gain or loss <sup>25</sup>
	14.000	0.00	03/27/19	0.00	...	0.00	Gain or loss <sup>25</sup>
	60.000	0.00	03/27/19	0.00	...	0.00	Gain or loss <sup>25</sup>
	13.500	0.00	03/27/19	0.00	...	0.00	Gain or loss <sup>25</sup>
	41.500	0.00	03/28/19	0.00	...	0.00	Gain or loss <sup>25</sup>
	20.000	0.00	03/28/19	0.00	...	0.00	Gain or loss <sup>25</sup>
07/05/19	160.000	0.00	Various	0.00	...	0.00	Total of 11 transactions
<b>Totals:</b>		<b>0.00</b>		<b>0.00</b>	...	<b>0.00</b>	

Robinhood Securities LLC

Account 119955847

**Proceeds Not Reported to the IRS**

2019

(continued)

02/06/2020

**LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part II, with Box F checked.

**Description of property**

<b>Date sold or disposed</b>	<b>Quantity</b>	<b>Proceeds &amp; Reported (G)ross or (N)et</b>	<b>Date acquired</b>	<b>Cost or other basis</b>	<b>Accrued mkt disc (D) &amp; Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
DMPI RIGHTS / CUSIP: 247RGT012 / Symbol:							
	<i>3 transactions for 07/05/19</i>						
	10.000	0.00	12/29/17	0.00	...	0.00	Gain or loss <sup>25</sup>
	125.000	0.00	01/16/18	0.00	...	0.00	Gain or loss <sup>25</sup>
	5.000	0.00	05/04/18	0.00	...	0.00	Gain or loss <sup>25</sup>
07/05/19	140.000	0.00	Various	0.00	...	0.00	Total of 3 transactions
	<b>Totals:</b>	<b>0.00</b>		<b>0.00</b>	...	<b>0.00</b>	



Robinhood Securities LLC

Account 119955847

**Detail for Dividends and Distributions**

2019

02/06/2020

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
ABBVIE INC.	00287Y109		08/15/19	535.00	Qualified dividend	
				<b>535.00</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 119955847

**Fees and Expenses**

2019

02/06/2020

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/07/19	-10.00	Margin interest paid	
		06/17/19	-1.67	Margin interest paid	
		07/16/19	-5.00	Margin interest paid	
		07/16/19	-32.65	Margin interest paid	
		08/15/19	-5.00	Margin interest paid	
		08/15/19	-86.74	Margin interest paid	
		09/16/19	-5.00	Margin interest paid	
		09/16/19	-91.84	Margin interest paid	
		10/14/19	-5.00	Margin interest paid	
		10/14/19	-37.56	Margin interest paid	
		11/04/19	-2.17	Margin interest paid	
			-282.63	Total Margin interest paid	
			<b>-282.63</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 119955847

**Non-Reportable Actions**

2019

02/06/2020

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
DMPI RIGHTS	247RGT012	05/31/19	300.00	0.00	Stock spinoff	
		07/05/19	-300.00	0.00	Security deemed worthless	

25 Tax lot closed on a first in first out basis.

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### Instructions for Recipient

#### Common Instructions for Recipient

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2019 General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-DIV Instructions for Recipient

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040. Also, report it on Schedule B (1040), if required.

**Line 1b.** Shows the portion of the amount on line 1a that may be eligible for reduced capital gains rates. See the Form 1040 instructions for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See *How To Report* in the Instructions for Schedule D (Form 1040). But, if no amount is shown on lines 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown on line 2a on your Form 1040 rather than Schedule D. See the Form 1040 instructions.

**Line 2b.** Shows the portion of the amount on line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount on line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-- in the instructions for Schedule D (Form 1040).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** See "Backup Withholding" section.

**Line 5.** Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the Instructions for Form 1040.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included on line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

**Lines 8.** This line should be left blank if a RIC reported the foreign tax shown on line 7.

**Line 9 and 10.** Shows cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See *Line 4* above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included on line 11. See the instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable check box on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

**Column 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Column 1b.** the column may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Column 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Column 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This column does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the Instructions for Schedule D.

**Column 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If "NONCOVERED" appears on line 5, this column may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

**Column 1f.** Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If "NONCOVERED" appears on line 5, this column may be blank.

**Column 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If "NONCOVERED" appears on line 5, this column may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, line 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** See "Backup Withholding" section.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Column 6.** If the exercise of a non-compensatory option resulted in a sale of a security, indicates whether the amount in column 1d was adjusted for premium.

**Column 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in column 1d.

See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis on line 1e has been reported to the IRS and either the short-term or the long-term gain or loss on line 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If the Ordinary box in box 2 is checked, an adjustment may be required.

**Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** State income tax withheld reporting lines.

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