

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5RW41644
Account Name: VENU DONTHULA
Taxpayer Identification Number:***-**-6566

Account Executive No: DPA

ORIGINAL: 02/07/2018

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

CONSOLIDATED 2017 FORMS 1099 AND DETAILS

VENU DONTHULA
936 BLAKE ST
TRACY, CA 95391

YEAR-END MESSAGES

THIS DOCUMENT INCLUDES YOUR CONSOLIDATED FORM 1099 THAT WE ARE REQUIRED TO PROVIDE TO YOU AND THE INTERNAL REVENUE SERVICE. WE SUGGEST THAT YOU SEEK THE ADVICE OF A TAX PROFESSIONAL WHEN PREPARING YOUR RETURN.

PLEASE VERIFY YOUR PERSONAL INFORMATION FOR ACCURACY AND CONTACT YOUR BROKER TO CORRECT ANY DISCREPANCIES. IF YOU OWN MUTUAL FUNDS, REAL ESTATE TRUSTS (REITS), REMIC SECURITIES OR WHFIT'S INCOME DISTRIBUTIONS MAY BE RECLASSIFIED BY THE ISSUER AFTER THE ORIGINAL 1099 IS SENT. IF YOU HOLD THESE SECURITIES YOU MAY RECEIVE A CORRECTED FORM 1099.

IN ADDITION TO THE 1099 INFORMATION, SUPPLEMENTAL DETAILS ARE PROVIDED TO HELP YOU PREPARE YOUR RETURN. ONLY INFORMATION REPORTED ON FORM 1099 IS SENT TO THE IRS.

Your Account did not receive the following Forms:

1099-DIV
1099-INT
1099-OID
1099-MISC

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FORM 1099-B TOTALS SUMMARY

REALIZED GAIN / LOSS SUMMARY

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

SHORT-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART I	PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box A (basis reported to IRS)	\$51,839.55	\$53,563.94	\$0.00	\$869.70	(\$1,724.39)
Box A - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Short-Term	\$51,839.55	\$53,563.94	\$0.00	\$869.70	(\$1,724.39)
LONG-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART II					
Box D (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box D - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Long-Term	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
UNKNOWN TERM - CODE (X) REPORT ON FORM 8949 PART I OR PART II					
Box B or Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B or Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Unknown Term	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

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 ORIGINAL: 02/07/2018

RECIPIENT'S Name, Street Address, City, State, and Zip Code

VENU DONTHULA
 936 BLAKE ST
 TRACY, CA 95391

Payer's Federal Identification Number: 13-2967453

Payer's Name, Street, City, State, Zip Code:

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 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201
Telephone Number: 214-765-1009

Copy B for recipient 2nd TIN Notice
 FATCA Filing Requirement

2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: **Taxpayers are ultimately responsible for the accuracy of their tax returns.**

Covered Short-Term Gains or Losses on Gross Proceeds

Report on **Form 8949, Part I** with **Box A** checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
AXT INC CUSIP: 00246W103	11.00000	12/22/2017	12/26/2017	\$95.79	\$97.90	\$0.00	\$0.00	(\$2.11)	
ADVANCED MICRO DEVICES INC COM CUSIP: 007903107	100.00000	05/03/2017	05/04/2017	\$1,013.97	\$1,049.50	\$0.00	\$0.00	(\$35.53)	
ALPHABET INC CLASS A COMMON STOCK CUSIP: 02079K305	3.00000	01/19/2017	01/23/2017	\$2,484.68	\$2,491.83	\$0.00	\$0.00	(\$7.15)	

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2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

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AMAZON.COM INC CUSIP: 023135106	1.00000	12/26/2017	12/28/2017	\$1,184.65	\$1,170.78	\$0.00	\$0.00	\$13.87	
ARQULE INC CUSIP: 04269E107	50.00000	01/04/2017	01/05/2017	\$62.55	\$63.75	\$0.00	\$1.20	(\$1.20)	
	100.00000	01/04/2017	01/05/2017	\$125.11	\$127.50	\$0.00	\$2.39	(\$2.39)	
	100.00000	01/04/2017	01/05/2017	\$125.10	\$127.50	\$0.00	\$2.40	(\$2.40)	
	100.00000	01/04/2017	01/05/2017	\$125.11	\$127.50	\$0.00	\$2.39	(\$2.39)	
	100.00000	01/04/2017	01/05/2017	\$125.10	\$127.50	\$0.00	\$1.20	(\$2.40)	
	50.00000	01/12/2017	01/13/2017	\$74.49	\$75.20	\$0.00	\$0.00	(\$0.71)	
	50.00000	01/12/2017	01/13/2017	\$74.49	\$75.20	\$0.00	\$0.00	(\$0.71)	
	100.00000	01/12/2017	01/13/2017	\$148.98	\$150.39	\$0.00	\$0.00	(\$1.41)	
	100.00000	01/12/2017	01/13/2017	\$148.98	\$150.40	\$0.00	\$0.00	(\$1.42)	
	100.00000	01/12/2017	01/13/2017	\$148.99	\$150.39	\$0.00	\$0.00	(\$1.40)	
Subtotals	850.00000			\$1,158.90	\$1,175.33	\$0.00	\$9.58	(\$16.43)	
ARRAY BIOPHARMA INC CUSIP: 04269X105	100.00000	01/18/2017	01/19/2017	\$1,121.42	\$1,156.00	\$0.00	\$0.00	(\$34.58)	
BAZAARVOICE INC CUSIP: 073271108	22.00000	12/22/2017	12/26/2017	\$119.99	\$119.90	\$0.00	\$0.00	\$0.09	
BIOSTAR PHARMACEUTICALS INC COM CUSIP: 090678301	50.00000	01/12/2017	01/12/2017	\$171.99	\$181.50	\$0.00	\$0.00	(\$9.51)	
	100.00000	01/12/2017	01/12/2017	\$343.97	\$363.00	\$0.00	\$0.00	(\$19.03)	
Subtotals	150.00000			\$515.96	\$544.50	\$0.00	\$0.00	(\$28.54)	
BIOCEPT INC COM NEW CUSIP: 09072V204	51.00000	01/12/2017	01/13/2017	\$97.90	\$112.05	\$0.00	\$0.00	(\$14.15)	
	64.00000	01/12/2017	01/13/2017	\$122.86	\$140.62	\$0.00	\$0.00	(\$17.76)	
	75.00000	01/12/2017	01/13/2017	\$143.98	\$164.78	\$0.00	\$0.00	(\$20.80)	
Subtotals	190.00000			\$364.74	\$417.45	\$0.00	\$0.00	(\$52.71)	

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2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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CEL SCI CORPORATION CUSIP: 150837508	35.00000	01/11/2017	01/12/2017	\$4.97	\$6.28	\$0.00	\$0.00	(\$1.31)	
	1,800.00000	01/11/2017	01/12/2017	\$256.28	\$323.10	\$0.00	\$0.00	(\$66.82)	
	265.00000	01/11/2017	01/12/2017	\$35.36	\$47.57	\$0.00	\$0.00	(\$12.21)	
	400.00000	01/11/2017	01/12/2017	\$53.38	\$71.80	\$0.00	\$0.00	(\$18.42)	
Subtotals	2,500.00000			\$349.99	\$448.75	\$0.00	\$0.00	(\$98.76)	
CEMTREX INC COM CUSIP: 15130G303	63.00000	01/05/2017	01/06/2017	\$497.67	\$514.71	\$0.00	\$0.00	(\$17.04)	
CEMPRA INC CUSIP: 15130J109	10.00000	01/06/2017	01/09/2017	\$36.48	\$34.45	\$0.00	\$0.00	\$2.03	
	40.00000	01/06/2017	01/09/2017	\$145.98	\$137.80	\$0.00	\$0.00	\$8.18	
	100.00000	01/06/2017	01/09/2017	\$364.98	\$344.50	\$0.00	\$0.00	\$20.48	
Subtotals	150.00000			\$547.44	\$516.75	\$0.00	\$0.00	\$30.69	
CROCS INC CUSIP: 227046109	90.00000	01/05/2017	01/06/2017	\$629.98	\$639.89	\$0.00	\$0.00	(\$9.91)	
DIFFUSION PHARMACEUTICALS IN COMMON STOCK CUSIP: 253748206	60.00000	01/10/2017	01/11/2017	\$422.99	\$414.00	\$0.00	\$0.00	\$8.99	
	100.00000	01/13/2017	01/17/2017	\$571.97	\$634.99	\$0.00	\$0.00	(\$63.02)	
Subtotals	160.00000			\$994.96	\$1,048.99	\$0.00	\$0.00	(\$54.03)	
ENTEROMEDICS INC COMMON STOCK CUSIP: 29365M505	25.00000	01/05/2017	01/05/2017	\$113.71	\$106.25	\$0.00	\$0.00	\$7.46	
	75.00000	01/05/2017	01/05/2017	\$341.13	\$318.75	\$0.00	\$0.00	\$22.38	
	4.00000	01/10/2017	01/11/2017	\$84.00	\$110.00	\$0.00	\$26.00	(\$26.00)	
	25.00000	01/10/2017	01/11/2017	\$524.98	\$468.50	\$0.00	\$0.00	\$56.48	
	25.00000	01/10/2017	01/11/2017	\$524.98	\$468.50	\$0.00	\$0.00	\$56.48	
	3.00000	01/12/2017	01/12/2017	\$44.98	\$63.24	\$0.00	\$18.26	(\$18.26)	
	1.00000	01/12/2017	01/12/2017	\$15.00	\$21.08	\$0.00	\$6.08	(\$6.08)	

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ENTEROMEDICS INC COMMON STOCK CUSIP: 29365M505	3.00000	01/12/2017	01/12/2017	\$44.98	\$50.97	\$0.00	\$5.99	(\$5.99)	
	4.00000	01/12/2017	01/12/2017	\$64.26	\$80.62	\$0.00	\$16.36	(\$16.36)	
	20.00000	01/12/2017	01/12/2017	\$299.98	\$339.80	\$0.00	\$39.82	(\$39.82)	
	53.00000	01/12/2017	01/12/2017	\$794.97	\$900.47	\$0.00	\$105.50	(\$105.50)	
	66.00000	01/12/2017	01/12/2017	\$1,062.56	\$901.16	\$0.00	\$0.00	\$161.40	
	1.00000	01/19/2017	01/23/2017	\$8.40	\$12.22	\$0.00	\$3.82	(\$3.82)	
	3.00000	01/19/2017	01/23/2017	\$25.20	\$36.67	\$0.00	\$11.47	(\$11.47)	
	3.00000	01/19/2017	01/23/2017	\$25.20	\$24.40	\$0.00	\$0.00	\$0.80	
	15.00000	01/19/2017	01/23/2017	\$126.00	\$121.91	\$0.00	\$0.00	\$4.09	
	13.00000	01/20/2017	01/23/2017	\$109.20	\$134.56	\$0.00	\$25.36	(\$25.36)	
	27.00000	01/20/2017	01/23/2017	\$226.79	\$225.72	\$0.00	\$0.00	\$1.07	
	5.00000	01/20/2017	01/23/2017	\$42.00	\$55.35	\$0.00	\$13.35	(\$13.35)	
	40.00000	01/20/2017	01/23/2017	\$335.98	\$442.82	\$0.00	\$106.84	(\$106.84)	
	96.00000	01/23/2017	01/23/2017	\$719.96	\$778.56	\$0.00	\$48.83	(\$58.60)	
	1.00000	01/23/2017	01/23/2017	\$7.50	\$11.98	\$0.00	\$4.48	(\$4.48)	
	3.00000	01/23/2017	01/23/2017	\$22.50	\$35.95	\$0.00	\$13.45	(\$13.45)	
	5.00000	01/23/2017	01/23/2017	\$37.50	\$54.15	\$0.00	\$16.65	(\$16.65)	
	13.00000	01/23/2017	01/23/2017	\$97.50	\$131.44	\$0.00	\$33.94	(\$33.94)	
	40.00000	01/23/2017	01/23/2017	\$299.99	\$433.24	\$0.00	\$133.25	(\$133.25)	
	38.00000	01/23/2017	01/23/2017	\$284.99	\$310.08	\$0.00	\$25.09	(\$25.09)	
	1.00000	01/23/2017	01/25/2017	\$6.93	\$12.30	\$0.00	\$0.00	(\$5.37)	
	3.00000	01/23/2017	01/25/2017	\$20.79	\$36.91	\$0.00	\$0.00	(\$16.12)	
	5.00000	01/23/2017	01/25/2017	\$34.65	\$55.75	\$0.00	\$0.00	(\$21.10)	
	13.00000	01/23/2017	01/25/2017	\$90.09	\$135.60	\$0.00	\$0.00	(\$45.51)	
	40.00000	01/23/2017	01/25/2017	\$277.19	\$446.05	\$0.00	\$0.00	(\$168.86)	
	38.00000	01/23/2017	01/25/2017	\$263.33	\$322.25	\$0.00	\$0.00	(\$58.92)	
	80.00000	01/23/2017	01/25/2017	\$554.37	\$674.43	\$0.00	\$0.00	(\$120.06)	
Subtotals	787.00000			\$7,531.59	\$8,321.68	\$0.00	\$654.54	(\$790.09)	

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FACEBOOK INC CL A CUSIP: 30303M102	5.00000	01/17/2017	01/18/2017	\$638.83	\$639.60	\$0.00	\$0.00	(\$0.77)	
	14.00000	01/17/2017	01/18/2017	\$1,788.73	\$1,791.30	\$0.00	\$2.20	(\$2.57)	
	12.00000	01/19/2017	01/20/2017	\$1,530.91	\$1,540.00	\$0.00	\$0.00	(\$9.09)	
	2.00000	12/28/2017	12/29/2017	\$354.34	\$356.94	\$0.00	\$2.60	(\$2.60)	
Subtotals	33.00000			\$4,312.81	\$4,327.84	\$0.00	\$4.80	(\$15.03)	
FUTURE FINTECH GROUP INC COMMON STOCK CUSIP: 36117V105	17.00000	12/26/2017	12/27/2017	\$87.70	\$103.33	\$0.00	\$0.00	(\$15.63)	
GENVEC INC COMMON STOCK CUSIP: 37246C406	80.00000	01/10/2017	01/11/2017	\$622.38	\$666.40	\$0.00	\$44.02	(\$44.02)	
	80.00000	01/13/2017	01/17/2017	\$482.38	\$553.62	\$0.00	\$0.00	(\$71.24)	
	20.00000	01/13/2017	01/17/2017	\$120.59	\$127.40	\$0.00	\$0.00	(\$6.81)	
Subtotals	180.00000			\$1,225.35	\$1,347.42	\$0.00	\$44.02	(\$122.07)	
GEVO INC COMMON STOCK CUSIP: 374396307	20.00000	01/06/2017	01/09/2017	\$81.38	\$82.60	\$0.00	\$1.22	(\$1.22)	
	100.00000	01/06/2017	01/09/2017	\$407.48	\$413.00	\$0.00	\$5.52	(\$5.52)	
	20.00000	01/23/2017	01/23/2017	\$58.00	\$69.62	\$0.00	\$0.00	(\$11.62)	
	100.00000	01/23/2017	01/23/2017	\$289.98	\$347.52	\$0.00	\$0.00	(\$57.54)	
	80.00000	01/23/2017	01/23/2017	\$231.98	\$273.60	\$0.00	\$0.00	(\$41.62)	
Subtotals	320.00000			\$1,068.82	\$1,186.34	\$0.00	\$6.74	(\$117.52)	
***GOL LINHAS AEREAS INTELIGENTES SA ADS EACH REPRESENTING 2 PREFERRED SHS CUSIP: 38045R206	3.00000	12/22/2017	12/26/2017	\$25.90	\$26.10	\$0.00	\$0.00	(\$0.20)	

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5RW41644
 Account Name: VENU DONTULA
 Taxpayer Identification Number: ***-**-6566
 Account Executive No: DPA
 ORIGINAL: 02/07/2018

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
GRAMERCY PPTY TR COM NEW CUSIP: 385002308	20.00000	01/04/2017	01/05/2017	\$551.53	\$565.00	\$0.00	\$0.00	(\$13.47)	
IMMUNOMEDICS INC CUSIP: 452907108	50.00000	01/05/2017	01/06/2017	\$183.99	\$189.00	\$0.00	\$5.01	(\$5.01)	
	100.00000	01/05/2017	01/06/2017	\$367.99	\$377.99	\$0.00	\$10.00	(\$10.00)	
	50.00000	01/12/2017	01/13/2017	\$194.49	\$194.00	\$0.00	\$0.00	\$0.49	
	100.00000	01/12/2017	01/13/2017	\$388.99	\$387.97	\$0.00	\$0.00	\$1.02	
Subtotals	300.00000			\$1,135.46	\$1,148.96	\$0.00	\$15.01	(\$13.50)	
INOTEK PHARMACEUTICALS CORPORATION CUSIP: 45780V102	12.00000	01/09/2017	01/10/2017	\$21.00	\$21.30	\$0.00	\$0.00	(\$0.30)	
	13.00000	01/09/2017	01/10/2017	\$22.75	\$23.08	\$0.00	\$0.00	(\$0.33)	
	25.00000	01/09/2017	01/10/2017	\$43.75	\$44.38	\$0.00	\$0.00	(\$0.63)	
	100.00000	01/09/2017	01/10/2017	\$174.98	\$177.50	\$0.00	\$0.00	(\$2.52)	
	100.00000	01/09/2017	01/10/2017	\$174.98	\$177.50	\$0.00	\$0.00	(\$2.52)	
	100.00000	01/09/2017	01/10/2017	\$174.98	\$177.50	\$0.00	\$0.00	(\$2.52)	
Subtotals	350.00000			\$612.44	\$621.26	\$0.00	\$0.00	(\$8.82)	
INTEL CORP CUSIP: 458140100	30.00000	01/03/2017	01/04/2017	\$1,095.56	\$1,090.35	\$0.00	\$0.00	\$5.21	
INTERPACE DIAGNOSTICS GROUP INC COM NEW CUSIP: 46062X204	64.00000	01/06/2017	01/09/2017	\$394.22	\$424.32	\$0.00	\$0.00	(\$30.10)	
JPMORGAN CHASE & CO CUSIP: 46625H100	6.00000	12/22/2017	12/26/2017	\$639.51	\$649.20	\$0.00	\$9.69	(\$9.69)	

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2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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JOHNSON & JOHNSON CUSIP: 478160104	16.00000	12/30/2016	01/03/2017	\$1,854.83	\$1,849.60	\$0.00	\$0.00	\$5.23	
LIGHTBRIDGE CORPORATION COM CUSIP: 53224K203	100.00000 400.00000	01/12/2017 01/12/2017	01/13/2017 01/13/2017	\$106.98 \$428.06	\$117.00 \$468.00	\$0.00 \$0.00	\$0.00 \$0.00	(\$10.02) (\$39.94)	
Subtotals	500.00000			\$535.04	\$585.00	\$0.00	\$0.00	(\$49.96)	
MERRIMACK PHARMACEUTICALS IN CUSIP: 590328100	50.00000 100.00000 50.00000 100.00000	01/09/2017 01/09/2017 01/13/2017 01/13/2017	01/10/2017 01/10/2017 01/17/2017 01/17/2017	\$177.48 \$354.98 \$157.99 \$315.97	\$196.26 \$392.51 \$183.28 \$366.53	\$0.00 \$0.00 \$0.00 \$0.00	\$18.78 \$37.53 \$0.00 \$0.00	(\$18.78) (\$37.53) (\$25.29) (\$50.56)	
Subtotals	300.00000			\$1,006.42	\$1,138.58	\$0.00	\$56.31	(\$132.16)	
MIRATI THERAPEUTICS INC COM CUSIP: 60468T105	150.00000	01/11/2017	01/12/2017	\$803.21	\$839.25	\$0.00	\$0.00	(\$36.04)	
MYOS RENS TECHNOLOGY INC COM CUSIP: 62857P108	140.00000	01/13/2017	01/17/2017	\$496.96	\$519.13	\$0.00	\$0.00	(\$22.17)	
***NEOVASC INC CUSIP: 64065J106	275.00000	01/04/2017	01/05/2017	\$505.98	\$508.75	\$0.00	\$0.00	(\$2.77)	
NETFLIX COM INC CUSIP: 64110L106	5.00000	01/13/2017	01/17/2017	\$668.21	\$667.73	\$0.00	\$0.00	\$0.48	
NEURALSTEM INC COM NEW CUSIP: 64127R401	60.00000	01/10/2017	01/11/2017	\$339.39	\$332.62	\$0.00	\$0.00	\$6.77	

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2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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NVIDIA CORP CUSIP: 67066G104	10.00000	12/26/2017	12/29/2017	\$1,957.25	\$1,960.30	\$0.00	\$3.05	(\$3.05)	
NXT ID INC COM NEW CUSIP: 67091J206	21.00000	12/22/2017	12/26/2017	\$101.01	\$98.48	\$0.00	\$0.00	\$2.53	
OPGEN INC COM CUSIP: 68373L109	168.00000 332.00000	01/12/2017 01/12/2017	01/12/2017 01/12/2017	\$277.54 \$548.48	\$285.60 \$564.40	\$0.00 \$0.00	\$0.00 \$0.00	(\$8.06) (\$15.92)	
Subtotals	500.00000			\$826.02	\$850.00	\$0.00	\$0.00	(\$23.98)	
PERNIX THERAPEUTICS HOLDINGS INC COM CUSIP: 71426V306	159.00000 50.00000 50.00000 50.00000 3.00000 15.00000 143.00000	01/04/2017 01/06/2017 01/06/2017 01/06/2017 01/06/2017 01/06/2017 01/09/2017	01/05/2017 01/10/2017 01/10/2017 01/10/2017 01/10/2017 01/10/2017 01/10/2017	\$351.36 \$162.48 \$162.98 \$162.98 \$9.75 \$48.75 \$464.71	\$337.08 \$128.50 \$128.50 \$128.50 \$9.81 \$38.55 \$484.77	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00	\$14.28 \$33.98 \$34.48 \$34.48 (\$0.06) \$10.20 (\$20.06)	
Subtotals	470.00000			\$1,363.01	\$1,255.71	\$0.00	\$0.00	\$107.30	
PIERIS PHARMACEUTICALS INC COM CUSIP: 720795103	15.00000	12/26/2017	12/27/2017	\$108.43	\$101.40	\$0.00	\$0.00	\$7.03	
PULMATRIX INC COM CUSIP: 74584P103	1.00000	05/01/2017	05/04/2017	\$2.84	\$2.72	\$0.00	\$0.00	\$0.12	

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2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
RENNOVA HEALTH INC COM CUSIP: 759757107	100.00000	01/17/2017	01/18/2017	\$9.20	\$10.82	\$0.00	\$0.00	(\$1.62)	
	100.00000	01/17/2017	01/18/2017	\$9.20	\$10.82	\$0.00	\$0.00	(\$1.62)	
	100.00000	01/17/2017	01/18/2017	\$9.20	\$10.82	\$0.00	\$0.00	(\$1.62)	
	100.00000	01/17/2017	01/18/2017	\$9.20	\$10.82	\$0.00	\$0.00	(\$1.62)	
	100.00000	01/17/2017	01/18/2017	\$9.20	\$10.82	\$0.00	\$0.00	(\$1.62)	
	100.00000	01/17/2017	01/18/2017	\$9.20	\$10.82	\$0.00	\$0.00	(\$1.62)	
	100.00000	01/17/2017	01/18/2017	\$9.19	\$10.82	\$0.00	\$0.00	(\$1.63)	
	100.00000	01/17/2017	01/18/2017	\$9.20	\$10.82	\$0.00	\$0.00	(\$1.62)	
	100.00000	01/17/2017	01/18/2017	\$9.19	\$10.82	\$0.00	\$0.00	(\$1.63)	
	100.00000	01/17/2017	01/18/2017	\$9.20	\$10.82	\$0.00	\$0.00	(\$1.62)	
	100.00000	01/17/2017	01/18/2017	\$9.19	\$10.82	\$0.00	\$0.00	(\$1.63)	
	100.00000	01/17/2017	01/18/2017	\$9.20	\$10.82	\$0.00	\$0.00	(\$1.62)	
	100.00000	01/17/2017	01/18/2017	\$9.19	\$10.82	\$0.00	\$0.00	(\$1.63)	
	100.00000	01/17/2017	01/18/2017	\$9.20	\$10.82	\$0.00	\$0.00	(\$1.62)	
	900.00000	01/17/2017	01/18/2017	\$82.75	\$97.25	\$0.00	\$0.00	(\$14.50)	
Subtotals	10,000.00000			\$959.25	\$1,052.80	\$0.00	\$12.55	(\$93.55)	
RIGEL PHARMACEUTICALS INC CUSIP: 766559603	32.00000	12/22/2017	12/26/2017	\$110.39	\$111.04	\$0.00	\$0.65	(\$0.65)	
SORL AUTO PARTS INC CUSIP: 78461U101	48.00000	01/05/2017	01/06/2017	\$154.20	\$157.44	\$0.00	\$0.00	(\$3.24)	
	76.00000	01/05/2017	01/06/2017	\$244.15	\$250.80	\$0.00	\$0.00	(\$6.65)	
Subtotals	124.00000			\$398.35	\$408.24	\$0.00	\$0.00	(\$9.89)	
***STARS GROUP INC COM CUSIP: 85570W100	4.00000	12/22/2017	12/26/2017	\$93.02	\$94.20	\$0.00	\$0.00	(\$1.18)	

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Account Executive No: DPA

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2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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STIFEL FINANCIAL CORP CUSIP: 860630102	7.00000	12/22/2017	12/26/2017	\$420.50	\$427.70	\$0.00	\$0.00	(\$7.20)	
SUCAMPO PHARMACEUTICALS INC CL A CUSIP: 864909106	8.00000	12/22/2017	12/27/2017	\$143.78	\$136.00	\$0.00	\$0.00	\$7.78	
	2.00000	12/22/2017	12/27/2017	\$35.95	\$34.00	\$0.00	\$0.00	\$1.95	
	7.00000	12/26/2017	12/27/2017	\$125.81	\$125.93	\$0.00	\$0.00	(\$0.12)	
	2.00000	12/26/2017	12/27/2017	\$35.95	\$35.98	\$0.00	\$0.00	(\$0.03)	
Subtotals	19.00000			\$341.49	\$331.91	\$0.00	\$0.00	\$9.58	
SUPERCONDUCTOR TECHNOLOGIES INC COM CUSIP: 867931503	275.00000	01/09/2017	01/10/2017	\$321.74	\$351.37	\$0.00	\$0.00	(\$29.63)	
SYNERGY PHARMACEUTICALS INC CUSIP: 871639308	70.00000	01/05/2017	01/09/2017	\$456.38	\$459.20	\$0.00	\$0.00	(\$2.82)	
SYNTHETIC BIOLOGICS INC CUSIP: 87164U102	300.00000	01/05/2017	01/06/2017	\$267.01	\$288.75	\$0.00	\$0.00	(\$21.74)	
TANDEM DIABETES CARE INC COM CUSIP: 875372104	30.00000	01/18/2017	01/19/2017	\$75.75	\$85.50	\$0.00	\$0.00	(\$9.75)	
	45.00000	01/18/2017	01/19/2017	\$113.62	\$126.00	\$0.00	\$0.00	(\$12.38)	
	100.00000	01/18/2017	01/19/2017	\$252.48	\$285.00	\$0.00	\$0.00	(\$32.52)	
Subtotals	175.00000			\$441.85	\$496.50	\$0.00	\$0.00	(\$54.65)	
TIPTREE INC CLASS A COMMON STOCK CUSIP: 88822Q103	84.00000	01/11/2017	01/12/2017	\$558.57	\$562.79	\$0.00	\$0.00	(\$4.22)	
TREVENA INC CUSIP: 89532E109	48.00000	01/05/2017	01/06/2017	\$315.34	\$324.60	\$0.00	\$0.00	(\$9.26)	

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2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

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URANIUM RES INC COM PAR \$.001 2016 CUSIP: 916901705	100.00000	01/11/2017	01/12/2017	\$374.98	\$278.97	\$0.00	\$0.00	\$96.01	
	200.00000	01/11/2017	01/12/2017	\$750.96	\$557.94	\$0.00	\$0.00	\$193.02	
Subtotals	300.00000			\$1,125.94	\$836.91	\$0.00	\$0.00	\$289.03	
VANECK VECTORS ETF TR GOLD MINERS ETF CUSIP: 92189F106	52.00000	01/17/2017	01/19/2017	\$1,180.88	\$1,215.76	\$0.00	\$0.00	(\$34.88)	
	35.00000	01/18/2017	01/19/2017	\$794.83	\$820.75	\$0.00	\$0.00	(\$25.92)	
Subtotals	87.00000			\$1,975.71	\$2,036.51	\$0.00	\$0.00	(\$60.80)	
VISA INC CL A COMMON STOCK CUSIP: 92826C839	7.00000	12/27/2017	12/29/2017	\$801.55	\$796.74	\$0.00	\$0.00	\$4.81	
WAL-MART STORES INC CUSIP: 931142103	10.00000	12/28/2017	12/29/2017	\$993.07	\$994.99	\$0.00	\$1.92	(\$1.92)	
WEIGHT WATCHERS INTERNATIONA INC CUSIP: 948626106	50.00000	01/05/2017	01/05/2017	\$626.98	\$616.50	\$0.00	\$0.00	\$10.48	
XG TECHNOLOGY INC COMMON STOCK CUSIP: 98372A705	16.00000	01/10/2017	01/11/2017	\$34.38	\$46.40	\$0.00	\$0.00	(\$12.02)	
XOMA CORPORATION COMMON STOCK CUSIP: 98419J206	10.00000	01/06/2017	01/09/2017	\$51.15	\$49.90	\$0.00	\$0.00	\$1.25	
	90.00000	01/06/2017	01/09/2017	\$460.34	\$447.35	\$0.00	\$0.00	\$12.99	
Subtotals	100.00000			\$511.49	\$497.25	\$0.00	\$0.00	\$14.24	

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2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

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ZIX CORPORATION CUSIP: 98974P100	22.00000	12/22/2017	12/26/2017	\$97.67	\$96.80	\$0.00	\$0.00	\$0.87	
***ARCOS DORADOS HOLDINGS IN CUSIP: G0457F107	10.00000	12/22/2017	12/26/2017	\$99.98	\$101.50	\$0.00	\$0.00	(\$1.52)	
***REWALK ROBOTICS LTD ORDINARY SHARES CUSIP: M8216Q101	13.00000 13.00000 19.00000 20.00000	01/06/2017 01/06/2017 01/06/2017 01/06/2017	01/09/2017 01/09/2017 01/09/2017 01/09/2017	\$35.75 \$35.74 \$52.25 \$54.99	\$43.55 \$43.55 \$63.65 \$67.00	\$0.00 \$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00 \$0.00	(\$7.80) (\$7.81) (\$11.40) (\$12.01)	
Subtotals	65.00000			\$178.73	\$217.75	\$0.00	\$0.00	(\$39.02)	
***DRYSHIPS INC COM PAR \$0.01 NEW CUSIP: Y2109Q309	16.00000 47.00000 20.00000 16.00000 47.00000 10.00000	01/05/2017 01/05/2017 01/05/2017 01/12/2017 01/12/2017 01/12/2017	01/05/2017 01/05/2017 01/05/2017 01/13/2017 01/13/2017 01/13/2017	\$49.44 \$145.22 \$61.79 \$27.19 \$79.89 \$17.00	\$60.90 \$179.09 \$72.80 \$41.38 \$121.76 \$24.21	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00 \$0.00	\$11.46 \$33.87 \$5.51 \$0.00 \$0.00 \$0.00	(\$11.46) (\$33.87) (\$11.01) (\$14.19) (\$41.87) (\$7.21)	
Subtotals	156.00000			\$380.53	\$500.14	\$0.00	\$50.84	(\$119.61)	
201 ITEMS - TOTAL				\$51,839.55	\$53,563.94	\$0.00	\$869.70	(\$1,724.39)	

FOOT NOTES

SHORT SALE - Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

END OF 2017 FORM 1099-B

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5RW41644
Account Name: VENU DONTHULA
Taxpayer Identification Number:***-**-6566

Account Executive No: DPA

ORIGINAL: 02/07/2018

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

END OF 2017 CONSOLIDATED FORMS 1099

Form 1099-B (OMB No. 1545-0715)

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the Instructions for Schedule D.

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, the basis in box 1e has been reported to the IRS and one or more of the check boxes in box 2 must be checked. If box 3 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If the Ordinary check box in box 2 is checked, an adjustment may be required.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, indicates whether the amount in box 1d was adjusted for premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

Box 12. If checked, proceeds are from a transaction involving collectibles.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Boxes 14-16. Shows state(s)/local income tax information.

Form 1099-B (OMB No. 1545-0715)**Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11):**

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2017.

Box 9. Shows any year end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2016.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2017. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts 12/31/2017 in 2018.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2017 Form 6781.

APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5RW41644
 Account Name: VENU DONTULA
 Taxpayer Identification Number:***-**-6566

Account Executive No: DPA

ORIGINAL: 02/07/2018

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2017 INVESTMENT DETAILS

DETAILS OF 2017 INVESTMENT ACTIVITY

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
12/22/2017	AXT INC	00246W103	PURCHASE	11.000	\$8.90	\$97.90	
12/26/2017	AXT INC	00246W103	SELL	11.000	\$8.71	\$95.79	
12/29/2017	ACHILLION PHARMACEUTICALS INC	00448Q201	PURCHASE	150.000	\$2.97	\$445.49	
05/03/2017	ADVANCED MICRO DEVICES INC COM	007903107	PURCHASE	100.000	\$10.50	\$1,049.50	
05/04/2017	ADVANCED MICRO DEVICES INC COM	007903107	SELL	100.000	\$10.14	\$1,013.97	
12/29/2017	ALDER BIOPHARMACEUTICALS INC	014339105	PURCHASE	50.000	\$11.60	\$580.00	
01/19/2017	ALPHABET INC CLASS A COMMON STOCK	02079K305	PURCHASE	3.000	\$830.61	\$2,491.83	
01/23/2017	ALPHABET INC CLASS A COMMON STOCK	02079K305	SELL	3.000	\$828.25	\$2,484.68	
12/26/2017	AMAZON.COM INC	023135106	PURCHASE	1.000	\$1,170.78	\$1,170.78	
12/28/2017	AMAZON.COM INC	023135106	SELL	1.000	\$1,184.69	\$1,184.65	
01/04/2017	ARQULE INC	04269E107	PURCHASE	50.000	\$1.28	\$63.75	
01/04/2017	ARQULE INC	04269E107	PURCHASE	100.000	\$1.28	\$127.50	
01/04/2017	ARQULE INC	04269E107	PURCHASE	100.000	\$1.28	\$127.50	
01/04/2017	ARQULE INC	04269E107	PURCHASE	100.000	\$1.28	\$127.50	
01/04/2017	ARQULE INC	04269E107	PURCHASE	100.000	\$1.28	\$127.50	
01/05/2017	ARQULE INC	04269E107	SELL	450.000	\$1.25	\$562.97	
01/12/2017	ARQULE INC	04269E107	PURCHASE	400.000	\$1.48	\$592.00	
01/13/2017	ARQULE INC	04269E107	SELL	400.000	\$1.49	\$595.93	
01/18/2017	ARRAY BIOPHARMA INC	04269X105	PURCHASE	100.000	\$11.56	\$1,156.00	
01/19/2017	ARRAY BIOPHARMA INC	04269X105	SELL	100.000	\$11.21	\$1,121.42	
12/22/2017	BAZAARVOICE INC	073271108	PURCHASE	22.000	\$5.45	\$119.90	
12/26/2017	BAZAARVOICE INC	073271108	SELL	22.000	\$5.46	\$119.99	
01/12/2017	BIOSTAR PHARMACEUTICALS INC COM	090678301	PURCHASE	50.000	\$3.63	\$181.50	
01/12/2017	BIOSTAR PHARMACEUTICALS INC COM	090678301	PURCHASE	100.000	\$3.63	\$363.00	
01/12/2017	BIOSTAR PHARMACEUTICALS INC COM	090678301	SELL	150.000	\$3.44	\$515.96	
01/12/2017	BIOCEPT INC COM NEW	09072V204	PURCHASE	190.000	\$2.20	\$417.45	
01/13/2017	BIOCEPT INC COM NEW	09072V204	SELL	51.000	\$1.92	\$97.90	
01/13/2017	BIOCEPT INC COM NEW	09072V204	SELL	64.000	\$1.92	\$122.86	
01/13/2017	BIOCEPT INC COM NEW	09072V204	SELL	75.000	\$1.92	\$143.98	
01/11/2017	CEL SCI CORPORATION	150837508	PURCHASE	2,500.000	\$0.18	\$448.75	
01/12/2017	CEL SCI CORPORATION	150837508	SELL	35.000	\$0.14	\$4.97	
01/12/2017	CEL SCI CORPORATION	150837508	SELL	265.000	\$0.13	\$35.36	
01/12/2017	CEL SCI CORPORATION	150837508	SELL	400.000	\$0.13	\$53.38	
01/12/2017	CEL SCI CORPORATION	150837508	SELL	1,800.000	\$0.14	\$256.28	

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5RW41644
 Account Name: VENU DONTULA
 Taxpayer Identification Number:***-**-6566

Account Executive No: DPA

ORIGINAL: 02/07/2018

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2017 INVESTMENT DETAILS

DETAILS OF 2017 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
01/05/2017	CEMTREX INC COM	15130G303	PURCHASE	63.000	\$8.17	\$514.71	
01/06/2017	CEMTREX INC COM	15130G303	SELL	63.000	\$7.90	\$497.67	
01/06/2017	CEMPRA INC	15130J109	PURCHASE	150.000	\$3.45	\$516.75	
01/09/2017	CEMPRA INC	15130J109	SELL	10.000	\$3.65	\$36.48	
01/09/2017	CEMPRA INC	15130J109	SELL	40.000	\$3.65	\$145.98	
01/09/2017	CEMPRA INC	15130J109	SELL	100.000	\$3.65	\$364.98	
01/05/2017	CROCS INC	227046109	PURCHASE	90.000	\$7.11	\$639.89	
01/06/2017	CROCS INC	227046109	SELL	90.000	\$7.00	\$629.98	
01/10/2017	DIFFUSION PHARMACEUTICALS INC COMMON ST	253748206	PURCHASE	60.000	\$6.90	\$414.00	
01/11/2017	DIFFUSION PHARMACEUTICALS INC COMMON ST	253748206	SELL	60.000	\$7.05	\$422.99	
01/13/2017	DIFFUSION PHARMACEUTICALS INC COMMON ST	253748206	PURCHASE	100.000	\$6.35	\$634.99	
01/17/2017	DIFFUSION PHARMACEUTICALS INC COMMON ST	253748206	SELL	100.000	\$5.72	\$571.97	
01/05/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	PURCHASE	25.000	\$4.25	\$106.25	
01/05/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	PURCHASE	75.000	\$4.25	\$318.75	
01/05/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	SELL	100.000	\$4.55	\$454.84	
01/10/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	PURCHASE	4.000	\$27.50	\$110.00	
01/10/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	PURCHASE	25.000	\$18.74	\$468.50	
01/10/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	PURCHASE	25.000	\$18.74	\$468.50	
01/11/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	SELL	54.000	\$21.00	\$1,133.96	
01/12/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	PURCHASE	70.000	\$13.65	\$955.78	
01/12/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	PURCHASE	80.000	\$16.99	\$1,359.20	
01/12/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	SELL	3.000	\$15.00	\$44.98	
01/12/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	SELL	4.000	\$15.00	\$59.98	
01/12/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	SELL	4.000	\$16.07	\$64.26	
01/12/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	SELL	20.000	\$15.00	\$299.98	
01/12/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	SELL	53.000	\$15.00	\$794.97	
01/12/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	SELL	66.000	\$16.10	\$1,062.56	
01/19/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	PURCHASE	22.000	\$6.14	\$135.00	
01/20/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	PURCHASE	40.000	\$8.36	\$334.40	
01/20/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	PURCHASE	45.000	\$9.08	\$408.60	
01/23/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	PURCHASE	96.000	\$8.11	\$778.56	
01/23/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	PURCHASE	100.000	\$8.16	\$816.00	
01/23/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	PURCHASE	180.000	\$7.82	\$1,407.60	
01/23/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	SELL	107.000	\$8.40	\$898.77	

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APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5RW41644
Account Name: VENU DONTULA
Taxpayer Identification Number:***-**-6566

Account Executive No: DPA

ORIGINAL: 02/07/2018

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2017 INVESTMENT DETAILS

DETAILS OF 2017 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
01/23/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	SELL	196.000	\$7.50	\$1,469.94	
01/25/2017	ENTEROMEDICS INC COMMON STOCK	29365M505	SELL	180.000	\$6.93	\$1,247.35	
01/17/2017	FACEBOOK INC CL A	30303M102	PURCHASE	5.000	\$127.92	\$639.60	
01/17/2017	FACEBOOK INC CL A	30303M102	PURCHASE	14.000	\$127.95	\$1,791.30	
01/18/2017	FACEBOOK INC CL A	30303M102	SELL	19.000	\$127.77	\$2,427.56	
01/19/2017	FACEBOOK INC CL A	30303M102	PURCHASE	12.000	\$128.15	\$1,537.80	
01/20/2017	FACEBOOK INC CL A	30303M102	SELL	12.000	\$127.58	\$1,530.91	
12/28/2017	FACEBOOK INC CL A	30303M102	PURCHASE	2.000	\$178.47	\$356.94	
12/29/2017	FACEBOOK INC CL A	30303M102	SELL	2.000	\$177.18	\$354.34	
12/29/2017	FIVE PRIME THERAPEUTICS INC COM	33830X104	PURCHASE	22.000	\$22.60	\$497.20	
12/26/2017	FUTURE FINTECH GROUP INC COMMON STOCK	36117V105	PURCHASE	17.000	\$6.08	\$103.33	
12/27/2017	FUTURE FINTECH GROUP INC COMMON STOCK	36117V105	SELL	17.000	\$5.16	\$87.70	
12/29/2017	GAIN CAPITAL HOLDINGS INC	36268W100	PURCHASE	45.000	\$10.33	\$464.85	
01/10/2017	GENVEC INC COMMON STOCK	37246C406	PURCHASE	80.000	\$8.33	\$666.40	
01/11/2017	GENVEC INC COMMON STOCK	37246C406	SELL	80.000	\$7.78	\$622.38	
01/13/2017	GENVEC INC COMMON STOCK	37246C406	PURCHASE	100.000	\$6.37	\$637.00	
01/17/2017	GENVEC INC COMMON STOCK	37246C406	SELL	100.000	\$6.03	\$602.97	
01/06/2017	GEVO INC COMMON STOCK	374396307	PURCHASE	120.000	\$4.13	\$495.60	
01/09/2017	GEVO INC COMMON STOCK	374396307	SELL	20.000	\$4.07	\$81.38	
01/09/2017	GEVO INC COMMON STOCK	374396307	SELL	100.000	\$4.08	\$407.48	
01/23/2017	GEVO INC COMMON STOCK	374396307	PURCHASE	200.000	\$3.42	\$684.00	
01/23/2017	GEVO INC COMMON STOCK	374396307	SELL	200.000	\$2.90	\$579.96	
12/22/2017	***GOL LINHAS AEREAS INTELIGENTES SA AD	38045R206	PURCHASE	3.000	\$8.70	\$26.10	
12/26/2017	***GOL LINHAS AEREAS INTELIGENTES SA AD	38045R206	SELL	3.000	\$8.64	\$25.90	
01/04/2017	GRAMERCY PPTY TR COM NEW	385002308	PURCHASE	20.000	\$28.25	\$565.00	
01/05/2017	GRAMERCY PPTY TR COM NEW	385002308	SELL	20.000	\$27.58	\$551.53	
01/05/2017	IMMUNOMEDICS INC	452907108	PURCHASE	50.000	\$3.78	\$189.00	
01/05/2017	IMMUNOMEDICS INC	452907108	PURCHASE	100.000	\$3.78	\$377.99	
01/06/2017	IMMUNOMEDICS INC	452907108	SELL	150.000	\$3.68	\$551.98	
01/12/2017	IMMUNOMEDICS INC	452907108	PURCHASE	150.000	\$3.78	\$566.96	
01/13/2017	IMMUNOMEDICS INC	452907108	SELL	150.000	\$3.89	\$583.48	
01/09/2017	INOTEK PHARMACEUTICALS CORPORATION	45780V102	PURCHASE	12.000	\$1.78	\$21.30	
01/09/2017	INOTEK PHARMACEUTICALS CORPORATION	45780V102	PURCHASE	13.000	\$1.78	\$23.08	
01/09/2017	INOTEK PHARMACEUTICALS CORPORATION	45780V102	PURCHASE	25.000	\$1.78	\$44.38	

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 DALLAS, TX 75201

Account No: 5RW41644
 Account Name: VENU DONTULA
 Taxpayer Identification Number:***-**-6566

Account Executive No: DPA

ORIGINAL: 02/07/2018

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2017 INVESTMENT DETAILS

DETAILS OF 2017 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
01/09/2017	INOTEK PHARMACEUTICALS CORPORATION	45780V102	PURCHASE	100.000	\$1.78	\$177.50	
01/09/2017	INOTEK PHARMACEUTICALS CORPORATION	45780V102	PURCHASE	100.000	\$1.78	\$177.50	
01/09/2017	INOTEK PHARMACEUTICALS CORPORATION	45780V102	PURCHASE	100.000	\$1.78	\$177.50	
01/10/2017	INOTEK PHARMACEUTICALS CORPORATION	45780V102	SELL	350.000	\$1.75	\$612.44	
01/03/2017	INTEL CORP	458140100	PURCHASE	30.000	\$36.34	\$1,090.35	
01/04/2017	INTEL CORP	458140100	SELL	30.000	\$36.52	\$1,095.56	
01/06/2017	INTERPACE DIAGNOSTICS GROUP INC COM NEW	46062X204	PURCHASE	64.000	\$6.63	\$424.32	
01/09/2017	INTERPACE DIAGNOSTICS GROUP INC COM NEW	46062X204	SELL	64.000	\$6.16	\$394.22	
12/22/2017	JPMORGAN CHASE & CO	46625H100	PURCHASE	6.000	\$108.20	\$649.20	
12/26/2017	JPMORGAN CHASE & CO	46625H100	SELL	6.000	\$106.59	\$639.51	
01/03/2017	JOHNSON & JOHNSON	478160104	SELL	16.000	\$115.93	\$1,854.83	
01/12/2017	LIGHTBRIDGE CORPORATION COM	53224K203	PURCHASE	500.000	\$1.17	\$585.00	
01/13/2017	LIGHTBRIDGE CORPORATION COM	53224K203	SELL	100.000	\$1.07	\$106.98	
01/13/2017	LIGHTBRIDGE CORPORATION COM	53224K203	SELL	400.000	\$1.07	\$428.06	
01/09/2017	MERRIMACK PHARMACEUTICALS INC	590328100	PURCHASE	150.000	\$3.93	\$588.77	
01/10/2017	MERRIMACK PHARMACEUTICALS INC	590328100	SELL	50.000	\$3.55	\$177.48	
01/10/2017	MERRIMACK PHARMACEUTICALS INC	590328100	SELL	100.000	\$3.55	\$354.98	
01/13/2017	MERRIMACK PHARMACEUTICALS INC	590328100	PURCHASE	150.000	\$3.29	\$493.50	
01/17/2017	MERRIMACK PHARMACEUTICALS INC	590328100	SELL	150.000	\$3.16	\$473.96	
01/11/2017	MIRATI THERAPEUTICS INC COM	60468T105	PURCHASE	150.000	\$5.60	\$839.25	
01/12/2017	MIRATI THERAPEUTICS INC COM	60468T105	SELL	150.000	\$5.36	\$803.21	
01/13/2017	MYOS RENS TECHNOLOGY INC COM	62857P108	PURCHASE	140.000	\$3.71	\$519.13	
01/17/2017	MYOS RENS TECHNOLOGY INC COM	62857P108	SELL	140.000	\$3.55	\$496.96	
01/04/2017	***NEOVASC INC	64065J106	PURCHASE	275.000	\$1.85	\$508.75	
01/05/2017	***NEOVASC INC	64065J106	SELL	275.000	\$1.84	\$505.98	
01/13/2017	NETFLIX COM INC	64110L106	PURCHASE	5.000	\$133.55	\$667.73	
01/17/2017	NETFLIX COM INC	64110L106	SELL	5.000	\$133.65	\$668.21	
01/10/2017	NEURALSTEM INC COM NEW	64127R401	PURCHASE	60.000	\$5.54	\$332.62	
01/11/2017	NEURALSTEM INC COM NEW	64127R401	SELL	60.000	\$5.66	\$339.39	
12/26/2017	NVIDIA CORP	67066G104	PURCHASE	10.000	\$196.03	\$1,960.30	
12/29/2017	NVIDIA CORP	67066G104	SELL	10.000	\$195.73	\$1,957.25	
12/22/2017	NXT ID INC COM NEW	67091J206	PURCHASE	21.000	\$4.69	\$98.48	
12/26/2017	NXT ID INC COM NEW	67091J206	SELL	21.000	\$4.81	\$101.01	
01/12/2017	OPGEN INC COM	68373L109	PURCHASE	168.000	\$1.70	\$285.60	

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APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5RW41644
 Account Name: VENU DONTHULA
 Taxpayer Identification Number:***-**-6566

Account Executive No: DPA

ORIGINAL: 02/07/2018

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2017 INVESTMENT DETAILS

DETAILS OF 2017 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
12/29/2017	SORL AUTO PARTS INC	78461U101	PURCHASE	33.000	\$6.93	\$228.66	
12/22/2017	***STARS GROUP INC COM	85570W100	PURCHASE	4.000	\$23.55	\$94.20	
12/26/2017	***STARS GROUP INC COM	85570W100	SELL	4.000	\$23.26	\$93.02	
12/22/2017	STIFEL FINANCIAL CORP	860630102	PURCHASE	7.000	\$61.10	\$427.70	
12/26/2017	STIFEL FINANCIAL CORP	860630102	SELL	7.000	\$60.07	\$420.50	
12/22/2017	SUCAMPO PHARMACEUTICALS INC CL A	864909106	PURCHASE	10.000	\$17.00	\$170.00	
12/26/2017	SUCAMPO PHARMACEUTICALS INC CL A	864909106	PURCHASE	2.000	\$17.99	\$35.98	
12/26/2017	SUCAMPO PHARMACEUTICALS INC CL A	864909106	PURCHASE	7.000	\$17.99	\$125.93	
12/27/2017	SUCAMPO PHARMACEUTICALS INC CL A	864909106	SELL	8.000	\$17.98	\$143.78	
12/27/2017	SUCAMPO PHARMACEUTICALS INC CL A	864909106	SELL	11.000	\$17.98	\$197.71	
01/09/2017	SUPERCONDUCTOR TECHNOLOGIES INC COM	867931503	PURCHASE	275.000	\$1.28	\$351.37	
01/10/2017	SUPERCONDUCTOR TECHNOLOGIES INC COM	867931503	SELL	275.000	\$1.17	\$321.74	
01/05/2017	SYNERGY PHARMACEUTICALS INC	871639308	PURCHASE	70.000	\$6.56	\$459.20	
01/09/2017	SYNERGY PHARMACEUTICALS INC	871639308	SELL	70.000	\$6.52	\$456.38	
12/28/2017	SYNERGY PHARMACEUTICALS INC	871639308	PURCHASE	30.000	\$2.22	\$66.59	
01/05/2017	SYNTHETIC BIOLOGICS INC	87164U102	PURCHASE	300.000	\$0.96	\$288.75	
01/06/2017	SYNTHETIC BIOLOGICS INC	87164U102	SELL	300.000	\$0.89	\$267.01	
01/18/2017	TANDEM DIABETES CARE INC COM	875372104	PURCHASE	30.000	\$2.85	\$85.50	
01/18/2017	TANDEM DIABETES CARE INC COM	875372104	PURCHASE	45.000	\$2.80	\$126.00	
01/18/2017	TANDEM DIABETES CARE INC COM	875372104	PURCHASE	100.000	\$2.85	\$285.00	
01/19/2017	TANDEM DIABETES CARE INC COM	875372104	SELL	175.000	\$2.52	\$441.85	
01/11/2017	TIPTREE INC CLASS A COMMON STOCK	88822Q103	PURCHASE	84.000	\$6.70	\$562.79	
01/12/2017	TIPTREE INC CLASS A COMMON STOCK	88822Q103	SELL	84.000	\$6.65	\$558.57	
01/05/2017	TREVENA INC	89532E109	PURCHASE	48.000	\$6.76	\$324.60	
01/06/2017	TREVENA INC	89532E109	SELL	48.000	\$6.57	\$315.34	
01/11/2017	URANIUM RES INC COM PAR \$.001 2016	916901705	PURCHASE	300.000	\$2.79	\$836.91	
01/12/2017	URANIUM RES INC COM PAR \$.001 2016	916901705	SELL	100.000	\$3.75	\$374.98	
01/12/2017	URANIUM RES INC COM PAR \$.001 2016	916901705	SELL	200.000	\$3.76	\$750.96	
01/17/2017	VANECK VECTORS ETF TR GOLD MINERS ETF	92189F106	PURCHASE	52.000	\$23.38	\$1,215.76	
01/18/2017	VANECK VECTORS ETF TR GOLD MINERS ETF	92189F106	PURCHASE	35.000	\$23.45	\$820.75	
01/19/2017	VANECK VECTORS ETF TR GOLD MINERS ETF	92189F106	SELL	87.000	\$22.71	\$1,975.71	
12/27/2017	VISA INC CL A COMMON STOCK	92826C839	PURCHASE	7.000	\$113.82	\$796.74	
12/29/2017	VISA INC CL A COMMON STOCK	92826C839	SELL	7.000	\$114.51	\$801.55	
12/28/2017	WAL-MART STORES INC	931142103	PURCHASE	10.000	\$99.50	\$994.99	

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 Taxpayer Identification Number:***-**-6566

Account Executive No: DPA

ORIGINAL: 02/07/2018

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2017 INVESTMENT DETAILS

DETAILS OF 2017 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
12/29/2017	WAL-MART STORES INC	931142103	SELL	10.000	\$99.31	\$993.07	
01/05/2017	WEIGHT WATCHERS INTERNATIONAL INC	948626106	PURCHASE	50.000	\$12.33	\$616.50	
01/05/2017	WEIGHT WATCHERS INTERNATIONAL INC	948626106	SELL	50.000	\$12.54	\$626.98	
01/10/2017	XG TECHNOLOGY INC COMMON STOCK	98372A705	PURCHASE	16.000	\$2.90	\$46.40	
01/11/2017	XG TECHNOLOGY INC COMMON STOCK	98372A705	SELL	16.000	\$2.15	\$34.38	
01/06/2017	XOMA CORPORATION COMMON STOCK	98419J206	PURCHASE	10.000	\$4.99	\$49.90	
01/06/2017	XOMA CORPORATION COMMON STOCK	98419J206	PURCHASE	90.000	\$4.97	\$447.35	
01/09/2017	XOMA CORPORATION COMMON STOCK	98419J206	SELL	100.000	\$5.12	\$511.49	
12/22/2017	ZIX CORPORATION	98974P100	PURCHASE	22.000	\$4.40	\$96.80	
12/26/2017	ZIX CORPORATION	98974P100	SELL	22.000	\$4.44	\$97.67	
12/22/2017	***ARCOS DORADOS HOLDINGS INC	G0457F107	PURCHASE	10.000	\$10.15	\$101.50	
12/26/2017	***ARCOS DORADOS HOLDINGS INC	G0457F107	SELL	10.000	\$10.00	\$99.98	
01/06/2017	***REWALK ROBOTICS LTD ORDINARY SHARES	M8216Q101	PURCHASE	13.000	\$3.35	\$43.55	
01/06/2017	***REWALK ROBOTICS LTD ORDINARY SHARES	M8216Q101	PURCHASE	13.000	\$3.35	\$43.55	
01/06/2017	***REWALK ROBOTICS LTD ORDINARY SHARES	M8216Q101	PURCHASE	19.000	\$3.35	\$63.65	
01/06/2017	***REWALK ROBOTICS LTD ORDINARY SHARES	M8216Q101	PURCHASE	20.000	\$3.35	\$67.00	
01/09/2017	***REWALK ROBOTICS LTD ORDINARY SHARES	M8216Q101	SELL	65.000	\$2.75	\$178.73	
01/05/2017	***DRYSHIPS INC COM PAR \$0.01 NEW	Y2109Q309	PURCHASE	83.000	\$3.64	\$302.12	
01/05/2017	***DRYSHIPS INC COM PAR \$0.01 NEW	Y2109Q309	SELL	83.000	\$3.09	\$256.45	
01/12/2017	***DRYSHIPS INC COM PAR \$0.01 NEW	Y2109Q309	PURCHASE	73.000	\$1.87	\$136.51	
01/13/2017	***DRYSHIPS INC COM PAR \$0.01 NEW	Y2109Q309	SELL	73.000	\$1.70	\$124.08	

END OF 2017 DETAILS

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