

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 147237580	Statement Date: 02/07/2019 Document ID: 7030 Y3K 01ZD	2018
	Sugumar Ponnusamy 6263 McNeil Dr 1628 Austin, TX 78729 RECIPIENT'S TIN: XXX-XX-9224		

[] FATCA filing requirement (see instructions)		Summary Information			
DIVIDENDS AND DISTRIBUTIONS	2018 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2018 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	0.00		2- Royalties	0.00	
1b- Qualified dividends	0.00		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2018 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2018 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2017	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2018	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	256,390.76	321,474.21	0.00	31,996.28	-33,087.17
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	256,390.76	321,474.21	0.00	31,996.28	-33,087.17
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	256,390.76	321,474.21	0.00	31,996.28	-33,087.17
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2018 may require an amended tax form.

Robinhood Securities LLC

Account 147237580

Summary Information

2018

(continued)

02/07/2019

INTEREST INCOME

2018 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for 2018	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 147237580

Proceeds from Broker and Barter Exchange Transactions

2018 1099-B* OMB No. 1545-0715

02/07/2019

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to other corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "12- [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 3)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
5 transactions for 12/06/18. Total proceeds and cost reported to the IRS.							
	300.000	1,646.94	12/03/18	2,082.03	435.09 W	0.00	1 of 5 - Sale ²⁵
	100.000	548.98	12/03/18	662.02	113.04 W	0.00	2 of 5 - Sale ²⁵
	100.000	548.98	12/03/18	662.02	113.04 W	0.00	3 of 5 - Sale ²⁵
	500.000	2,744.90	12/03/18	3,040.00	295.10 W	0.00	4 of 5 - Sale ²⁵
	500.000	2,744.90	12/03/18	3,040.00	295.10 W	0.00	5 of 5 - Sale ²⁵
12/06/18	1,500.000	8,234.70	Various	9,486.07	1,251.37 W	0.00	Total of 5 transactions
6 transactions for 12/07/18. Total proceeds and cost reported to the IRS.							
	5.000	30.73	12/06/18	24.65	...	6.08	1 of 6 - Sale ²⁵
	95.000	584.23	12/06/18	468.38	...	115.85	2 of 6 - Sale ²⁵
	100.000	614.98	12/06/18	493.03	...	121.95	3 of 6 - Sale ²⁵
	300.000	1,860.53	12/06/18	1,575.06	...	285.47	4 of 6 - Sale ²⁵
	200.000	1,229.96	12/06/18	924.04	...	305.92	5 of 6 - Sale ²⁵
	300.000	1,844.93	12/06/18	1,386.06	...	458.87	6 of 6 - Sale ²⁵
12/07/18	1,000.000	6,165.36	Various	4,871.22	...	1,294.14	Total of 6 transactions
12/07/18	500.000	3,059.90	12/03/18	3,470.05	410.15 W	0.00	Sale ²⁵
12/26/18	99.000	590.03	12/20/18	518.76	...	71.27	Sale ²⁵

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Robinhood Securities LLC

Account 147237580

Proceeds from Broker and Barter Exchange Transactions

2018 1099-B* OMB No. 1545-0715

(continued)

02/07/2019

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 3)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol: (cont'd)							
Security total:		18,049.99		18,346.10		1,661.52 W	1,365.41
BJS WHOLESALE CLUB HOLDINGS, I NC. COMMON STOCK / CUSIP: 05550J101 / Symbol:							
11/20/18	100.000	2,079.96	11/20/18	1,997.44	...	82.52	Sale ²⁵
11/20/18	100.000	1,983.06	11/20/18	1,996.50	13.44 W	0.00	Sale ²⁵
Security total:		4,063.02		3,993.94	13.44 W	82.52	
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
11/08/18	90.000	3,906.48	11/07/18	3,807.00	...	99.48	Sale ²⁵
<i>2 transactions for 11/08/18. Total proceeds and cost reported to the IRS.</i>							
	15.000	651.08	10/09/18	661.62	10.54 W	0.00	1 of 2 - Sale ²⁵
	135.000	5,859.71	11/07/18	5,870.71	11.00 W	0.00	2 of 2 - Sale ²⁵
11/08/18	150.000	6,510.79	Various	6,532.33	21.54 W	0.00	Total of 2 transactions
<i>6 transactions for 11/13/18. Total proceeds and cost reported to the IRS.</i>							
	10.000	383.81	11/07/18	430.03	46.22 W	0.00	1 of 6 - Sale ²⁵
	56.000	2,149.31	11/09/18	2,254.64	105.33 W	0.00	2 of 6 - Sale ²⁵
	50.000	1,919.03	11/09/18	2,009.00	89.97 W	0.00	3 of 6 - Sale ²⁵
	5.000	191.90	11/09/18	204.41	12.51 W	0.00	4 of 6 - Sale ²⁵
	45.000	1,727.13	11/09/18	1,811.77	84.64 W	0.00	5 of 6 - Sale ²⁵
	34.000	1,304.94	11/09/18	1,368.89	63.95 W	0.00	6 of 6 - Sale ²⁵
11/13/18	200.000	7,676.12	Various	8,078.74	402.62 W	0.00	Total of 6 transactions
<i>6 transactions for 11/14/18. Total proceeds and cost reported to the IRS.</i>							
	9.000	310.68	11/09/18	403.22	92.54 W	0.00	1 of 6 - Sale ²⁵
	5.000	172.60	11/09/18	213.41	40.81 W	0.00	2 of 6 - Sale ²⁵
	34.000	1,173.69	11/09/18	1,430.07	256.38 W	0.00	3 of 6 - Sale ²⁵
	45.000	1,553.41	11/09/18	1,892.74	339.33 W	0.00	4 of 6 - Sale ²⁵
	195.000	6,731.45	11/09/18	7,835.10	1,103.65 W	0.00	5 of 6 - Sale ²⁵
	1.000	34.49	11/09/18	44.80	10.31 W	0.00	6 of 6 - Sale ²⁵
11/14/18	289.000	9,976.32	Various	11,819.34	1,843.02 W	0.00	Total of 6 transactions
<i>4 transactions for 11/27/18. Total proceeds and cost reported to the IRS.</i>							
	1.000	31.34	11/26/18	42.64	11.30 W	0.00	1 of 4 - Sale ²⁵
	9.000	282.05	11/26/18	383.50	101.45 W	0.00	2 of 4 - Sale ²⁵
	5.000	156.70	11/26/18	202.46	45.76 W	0.00	3 of 4 - Sale ²⁵

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Account 147237580

Proceeds from Broker and Barter Exchange Transactions

2018 1099-B* OMB No. 1545-0715

(continued)

02/07/2019

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 3)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol: (cont'd)							
11/27/18	35.000	1,096.88	11/26/18	1,395.45	298.57 W	0.00	4 of 4 - Sale ²⁵
	50.000	1,566.97	Various	2,024.05	457.08 W	0.00	Total of 4 transactions
	2 transactions for 11/28/18. Total proceeds and cost reported to the IRS.						
	10.000	331.99	11/26/18	379.89	...	-47.90	1 of 2 - Sale ²⁵
	50.000	1,659.97	11/26/18	1,706.44	...	-46.47	2 of 2 - Sale ²⁵
11/28/18	60.000	1,991.96	Various	2,086.33	...	-94.37	Total of 2 transactions
	3 transactions for 11/28/18. Total proceeds and cost reported to the IRS.						
	56.000	1,847.97	11/26/18	1,915.78	25.43 W	-42.38	1 of 3 - Sale ²⁵
	34.000	1,121.98	11/26/18	1,355.58	233.60 W	0.00	2 of 3 - Sale ²⁵
	10.000	329.99	11/26/18	398.70	68.71 W	0.00	3 of 3 - Sale ²⁵
11/28/18	100.000	3,299.94	Various	3,670.06	327.74 W	-42.38	Total of 3 transactions
	10 transactions for 11/29/18. Total proceeds and cost reported to the IRS.						
	95.000	3,152.05	11/29/18	3,739.17	...	-587.12	1 of 10 - Sale ²⁵
	85.000	2,820.25	11/29/18	3,345.58	...	-525.33	2 of 10 - Sale ²⁵
	35.000	1,161.28	11/29/18	1,478.07	...	-316.79	3 of 10 - Sale ²⁵
	34.000	1,128.10	11/29/18	1,379.40	...	-251.30	4 of 10 - Sale ²⁵
	9.000	298.61	11/29/18	404.75	...	-106.14	5 of 10 - Sale ²⁵
	10.000	331.79	11/29/18	405.71	...	-73.92	6 of 10 - Sale ²⁵
	5.000	165.90	11/29/18	214.26	...	-48.36	7 of 10 - Sale ²⁵
	21.000	696.77	11/29/18	733.13	...	-36.36	8 of 10 - Sale ²⁵
	5.000	165.88	11/29/18	196.80	...	-30.92	9 of 10 - Sale ²⁵
	1.000	33.18	11/29/18	45.00	...	-11.82	10 of 10 - Sale ²⁵
11/29/18	300.000	9,953.81	Various	11,941.87	...	-1,988.06	Total of 10 transactions
	Security total:	44,882.39		49,959.72	3,052.00 W	-2,025.33	
CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol:							
	10 transactions for 12/11/18. Total proceeds and cost reported to the IRS.						
	32.000	407.98	12/07/18	425.60	17.62 W	0.00	1 of 10 - Sale ²⁵
	240.000	3,059.93	12/07/18	3,192.00	132.07 W	0.00	2 of 10 - Sale ²⁵
	2.000	25.48	12/07/18	26.60	1.12 W	0.00	3 of 10 - Sale ²⁵
	5.000	63.73	12/07/18	66.50	2.77 W	0.00	4 of 10 - Sale ²⁵
	3.000	38.23	12/07/18	39.90	1.67 W	0.00	5 of 10 - Sale ²⁵
	5.000	63.73	12/07/18	66.50	2.77 W	0.00	6 of 10 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2018 1099-B* OMB No. 1545-0715

(continued)

02/07/2019

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol: (cont'd)							
	6.000	76.48	12/07/18	79.80	3.32 W	0.00	7 of 10 - Sale ²⁵
	4.000	50.98	12/07/18	53.20	2.22 W	0.00	8 of 10 - Sale ²⁵
	1.000	12.73	12/07/18	13.30	0.57 W	0.00	9 of 10 - Sale ²⁵
	2.000	25.48	12/07/18	26.60	1.12 W	0.00	10 of 10 - Sale ²⁵
12/11/18	300.000	3,824.75	Various	3,990.00	165.25 W	0.00	Total of 10 transactions
IQIYI, INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 46267X108 / Symbol:							
11/15/18	90.000	1,889.96	11/15/18	3,056.47	...	-1,166.51	Sale ²⁵
	54 transactions for 11/15/18. Total proceeds and cost reported to the IRS.						
	72.000	1,511.97	11/15/18	2,456.74	944.77 W	0.00	1 of 54 - Sale ²⁵
	1.000	21.00	11/15/18	33.15	12.15 W	0.00	2 of 54 - Sale ²⁵
	5.000	105.00	11/15/18	165.76	60.76 W	0.00	3 of 54 - Sale ²⁵
	9.000	189.00	11/15/18	298.36	109.36 W	0.00	4 of 54 - Sale ²⁵
	35.000	734.99	11/15/18	1,144.55	409.56 W	0.00	5 of 54 - Sale ²⁵
	20.000	419.99	11/15/18	657.41	237.42 W	0.00	6 of 54 - Sale ²⁵
	30.000	629.99	11/15/18	966.61	336.62 W	0.00	7 of 54 - Sale ²⁵
	50.000	1,049.98	11/15/18	1,640.03	590.05 W	0.00	8 of 54 - Sale ²⁵
	50.000	1,049.98	11/15/18	1,698.04	648.06 W	0.00	9 of 54 - Sale ²⁵
	60.000	1,259.97	11/15/18	2,061.64	801.67 W	0.00	10 of 54 - Sale ²⁵
	10.000	210.00	11/15/18	384.20	174.20 W	0.00	11 of 54 - Sale ²⁵
	34.000	713.98	11/15/18	1,297.81	583.83 W	0.00	12 of 54 - Sale ²⁵
	18.000	377.99	11/15/18	614.18	236.19 W	0.00	13 of 54 - Sale ²⁵
	10.000	210.00	11/15/18	381.70	171.70 W	0.00	14 of 54 - Sale ²⁵
	6.000	126.00	11/15/18	229.02	103.02 W	0.00	15 of 54 - Sale ²⁵
	34.000	713.99	11/15/18	1,110.48	396.49 W	0.00	16 of 54 - Sale ²⁵
	12.000	251.99	11/15/18	394.33	142.34 W	0.00	17 of 54 - Sale ²⁵
	19.000	398.99	11/15/18	624.36	225.37 W	0.00	18 of 54 - Sale ²⁵
	19.000	398.99	11/15/18	624.36	225.37 W	0.00	19 of 54 - Sale ²⁵
	5.000	104.98	11/15/18	163.31	58.33 W	0.00	20 of 54 - Sale ²⁵
	50.000	1,049.98	11/15/18	1,633.06	583.08 W	0.00	21 of 54 - Sale ²⁵
	10.000	210.00	11/15/18	305.89	95.89 W	0.00	22 of 54 - Sale ²⁵
	30.000	629.99	11/15/18	959.39	329.40 W	0.00	23 of 54 - Sale ²⁵
	10.000	210.00	11/15/18	318.38	108.38 W	0.00	24 of 54 - Sale ²⁵
	20.000	419.99	11/15/18	650.03	230.04 W	0.00	25 of 54 - Sale ²⁵

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Robinhood Securities LLC

Account 147237580

Proceeds from Broker and Barter Exchange Transactions

2018 1099-B* OMB No. 1545-0715

(continued)

02/07/2019

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IQIYI, INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 46267X108 / Symbol: (cont'd)							
	30.000	629.99	11/15/18	955.53	325.54 W	0.00	26 of 54 - Sale ²⁵
	50.000	1,049.98	11/15/18	1,625.06	575.08 W	0.00	27 of 54 - Sale ²⁵
	20.000	419.99	11/15/18	588.83	168.84 W	0.00	28 of 54 - Sale ²⁵
	4.000	84.00	11/15/18	130.76	46.76 W	0.00	29 of 54 - Sale ²⁵
	36.000	755.98	11/15/18	1,176.81	420.83 W	0.00	30 of 54 - Sale ²⁵
	20.000	419.99	11/15/18	639.99	220.00 W	0.00	31 of 54 - Sale ²⁵
	10.000	210.00	11/15/18	330.20	120.20 W	0.00	32 of 54 - Sale ²⁵
	30.000	629.99	11/15/18	995.41	365.42 W	0.00	33 of 54 - Sale ²⁵
	60.000	1,259.97	11/15/18	1,752.61	492.64 W	0.00	34 of 54 - Sale ²⁵
	5.000	105.00	11/15/18	164.25	59.25 W	0.00	35 of 54 - Sale ²⁵
	7.000	147.00	11/15/18	229.96	82.96 W	0.00	36 of 54 - Sale ²⁵
	8.000	167.99	11/15/18	262.80	94.81 W	0.00	37 of 54 - Sale ²⁵
	11.000	230.99	11/15/18	359.27	128.28 W	0.00	38 of 54 - Sale ²⁵
	1.000	21.00	11/15/18	33.95	12.95 W	0.00	39 of 54 - Sale ²⁵
	5.000	105.00	11/15/18	169.76	64.76 W	0.00	40 of 54 - Sale ²⁵
	9.000	189.00	11/15/18	305.56	116.56 W	0.00	41 of 54 - Sale ²⁵
	15.000	314.99	11/15/18	478.36	163.37 W	0.00	42 of 54 - Sale ²⁵
	20.000	419.99	11/15/18	638.81	218.82 W	0.00	43 of 54 - Sale ²⁵
	35.000	734.99	11/15/18	1,172.54	437.55 W	0.00	44 of 54 - Sale ²⁵
	30.000	629.99	11/15/18	962.72	332.73 W	0.00	45 of 54 - Sale ²⁵
	95.000	1,994.96	11/15/18	3,001.12	1,006.16 W	0.00	46 of 54 - Sale ²⁵
	50.000	1,049.98	11/15/18	1,604.53	554.55 W	0.00	47 of 54 - Sale ²⁵
	10.000	210.00	11/15/18	306.11	96.11 W	0.00	48 of 54 - Sale ²⁵
	30.000	629.99	11/15/18	913.47	283.48 W	0.00	49 of 54 - Sale ²⁵
	10.000	209.99	11/15/18	326.61	116.62 W	0.00	50 of 54 - Sale ²⁵
	90.000	1,889.96	11/15/18	3,142.88	1,252.92 W	0.00	51 of 54 - Sale ²⁵
	20.000	419.99	11/15/18	673.40	253.41 W	0.00	52 of 54 - Sale ²⁵
	30.000	629.99	11/15/18	990.60	360.61 W	0.00	53 of 54 - Sale ²⁵
	50.000	1,049.98	11/15/18	1,680.01	630.03 W	0.00	54 of 54 - Sale ²⁵
11/15/18	1,410.000	29,609.41	Various	46,424.70	16,815.29 W	0.00	Total of 54 transactions
	21 transactions for 11/20/18. Total proceeds and cost reported to the IRS.						
	95.000	1,838.21	11/16/18	3,022.06	...	-1,183.85	1 of 21 - Sale ²⁵
	50.000	967.48	11/16/18	1,635.77	...	-668.29	2 of 21 - Sale ²⁵
	50.000	967.48	11/16/18	1,615.55	...	-648.07	3 of 21 - Sale ²⁵

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Robinhood Securities LLC

Account 147237580

Proceeds from Broker and Barter Exchange Transactions

2018 1099-B* OMB No. 1545-0715

(continued)

02/07/2019

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IQIYI, INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 46267X108 / Symbol: (cont'd)							
	40.000	773.98	11/16/18	1,315.26	...	-541.28	4 of 21 - Sale ²⁵
	30.000	580.49	11/16/18	969.33	...	-388.84	5 of 21 - Sale ²⁵
	30.000	580.49	11/16/18	965.81	...	-385.32	6 of 21 - Sale ²⁵
	30.000	580.49	11/16/18	961.95	...	-381.46	7 of 21 - Sale ²⁵
	30.000	580.49	11/16/18	920.08	...	-339.59	8 of 21 - Sale ²⁵
	20.000	386.99	11/16/18	654.32	...	-267.33	9 of 21 - Sale ²⁵
	16.000	309.59	11/16/18	526.46	...	-216.87	10 of 21 - Sale ²⁵
	20.000	386.99	11/16/18	593.12	...	-206.13	11 of 21 - Sale ²⁵
	15.000	290.24	11/16/18	482.41	...	-192.17	12 of 21 - Sale ²⁵
	10.000	193.50	11/16/18	328.82	...	-135.32	13 of 21 - Sale ²⁵
	10.000	193.50	11/16/18	328.76	...	-135.26	14 of 21 - Sale ²⁵
	10.000	193.49	11/16/18	321.11	...	-127.62	15 of 21 - Sale ²⁵
	10.000	193.49	11/16/18	320.52	...	-127.03	16 of 21 - Sale ²⁵
	10.000	193.50	11/16/18	308.31	...	-114.81	17 of 21 - Sale ²⁵
	10.000	193.50	11/16/18	308.03	...	-114.53	18 of 21 - Sale ²⁵
	5.000	96.74	11/16/18	160.81	...	-64.07	19 of 21 - Sale ²⁵
	5.000	96.75	11/16/18	160.56	...	-63.81	20 of 21 - Sale ²⁵
	4.000	77.40	11/16/18	131.61	...	-54.21	21 of 21 - Sale ²⁵
11/20/18	500.000	9,674.79	Various	16,030.65	...	-6,355.86	Total of 21 transactions
26 transactions for 11/26/18. Total proceeds and cost reported to the IRS.							
	72.000	1,467.33	11/19/18	2,399.17	...	-931.84	1 of 26 - Sale ²⁵
	34.000	692.91	11/19/18	1,270.63	...	-577.72	2 of 26 - Sale ²⁵
	35.000	713.28	11/19/18	1,116.56	...	-403.28	3 of 26 - Sale ²⁵
	34.000	692.90	11/19/18	1,083.29	...	-390.39	4 of 26 - Sale ²⁵
	30.000	611.39	11/19/18	971.42	...	-360.03	5 of 26 - Sale ²⁵
	40.000	815.18	11/19/18	1,136.43	...	-321.25	6 of 26 - Sale ²⁵
	18.000	366.83	11/19/18	599.79	...	-232.96	7 of 26 - Sale ²⁵
	20.000	407.59	11/19/18	637.79	...	-230.20	8 of 26 - Sale ²⁵
	19.000	387.21	11/19/18	609.17	...	-221.96	9 of 26 - Sale ²⁵
	19.000	387.21	11/19/18	609.17	...	-221.96	10 of 26 - Sale ²⁵
	20.000	407.59	11/19/18	624.00	...	-216.41	11 of 26 - Sale ²⁵
	10.000	203.80	11/19/18	376.20	...	-172.40	12 of 26 - Sale ²⁵
	10.000	203.80	11/19/18	373.70	...	-169.90	13 of 26 - Sale ²⁵
	20.000	407.59	11/19/18	568.21	...	-160.62	14 of 26 - Sale ²⁵

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Robinhood Securities LLC

Account 147237580

Proceeds from Broker and Barter Exchange Transactions

2018 1099-B* OMB No. 1545-0715

(continued)

02/07/2019

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IQIYI, INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 46267X108 / Symbol: (cont'd)							
	12.000	244.55	11/19/18	384.74	...	-140.19	15 of 26 - Sale ²⁵
	11.000	224.17	11/19/18	350.48	...	-126.31	16 of 26 - Sale ²⁵
	10.000	203.80	11/19/18	322.20	...	-118.40	17 of 26 - Sale ²⁵
	10.000	203.80	11/19/18	320.71	...	-116.91	18 of 26 - Sale ²⁵
	9.000	183.42	11/19/18	291.16	...	-107.74	19 of 26 - Sale ²⁵
	6.000	122.28	11/19/18	224.22	...	-101.94	20 of 26 - Sale ²⁵
	8.000	163.04	11/19/18	256.41	...	-93.37	21 of 26 - Sale ²⁵
	7.000	142.66	11/19/18	224.36	...	-81.70	22 of 26 - Sale ²⁵
	5.000	101.90	11/19/18	161.76	...	-59.86	23 of 26 - Sale ²⁵
	5.000	101.90	11/19/18	160.25	...	-58.35	24 of 26 - Sale ²⁵
	5.000	101.90	11/19/18	159.33	...	-57.43	25 of 26 - Sale ²⁵
	1.000	20.38	11/19/18	32.35	...	-11.97	26 of 26 - Sale ²⁵
11/26/18	470.000	9,578.41	Various	15,263.50	...	-5,685.09	Total of 26 transactions
	5 transactions for 11/30/18. Total proceeds and cost reported to the IRS.						
	60.000	1,207.17	11/30/18	1,935.67	...	-728.50	1 of 5 - Sale ²⁵
	50.000	1,005.98	11/30/18	1,593.06	...	-587.08	2 of 5 - Sale ²⁵
	50.000	1,005.98	11/30/18	1,535.05	...	-529.07	3 of 5 - Sale ²⁵
	30.000	603.59	11/30/18	903.62	...	-300.03	4 of 5 - Sale ²⁵
	10.000	201.20	11/30/18	307.71	...	-106.51	5 of 5 - Sale ²⁵
11/30/18	200.000	4,023.92	Various	6,275.11	...	-2,251.19	Total of 5 transactions
	Security total:	54,776.49		87,050.43	16,815.29 W	-15,458.65	

MOMO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 60879B107 / Symbol:

22 transactions for 11/30/18. Total proceeds and cost reported to the IRS.

10.000	312.49	11/16/18	390.03	77.54 W	0.00	1 of 22 - Sale ²⁵
10.000	312.49	11/16/18	365.51	53.02 W	0.00	2 of 22 - Sale ²⁵
60.000	1,874.97	11/16/18	2,193.08	318.11 W	0.00	3 of 22 - Sale ²⁵
30.000	937.48	11/16/18	1,041.33	103.85 W	0.00	4 of 22 - Sale ²⁵
20.000	624.99	11/16/18	740.22	115.23 W	0.00	5 of 22 - Sale ²⁵
10.000	312.50	11/16/18	345.73	33.23 W	0.00	6 of 22 - Sale ²⁵
50.000	1,562.47	11/16/18	1,735.05	172.58 W	0.00	7 of 22 - Sale ²⁵
110.000	3,437.44	11/16/18	3,650.89	213.45 W	0.00	8 of 22 - Sale ²⁵
10.000	313.99	11/19/18	399.54	85.55 W	0.00	9 of 22 - Sale ²⁵
10.000	313.99	11/19/18	375.02	61.03 W	0.00	10 of 22 - Sale ²⁵

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(continued)

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MOMO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 60879B107 / Symbol: (cont'd)							
	60.000	1,883.97	11/19/18	2,250.11	366.14 W	0.00	11 of 22 - Sale ²⁵
	30.000	941.99	11/19/18	1,069.85	127.86 W	0.00	12 of 22 - Sale ²⁵
	20.000	627.99	11/19/18	759.23	131.24 W	0.00	13 of 22 - Sale ²⁵
	3.000	94.20	11/19/18	106.57	12.37 W	0.00	14 of 22 - Sale ²⁵
	50.000	1,569.97	11/19/18	1,782.58	212.61 W	0.00	15 of 22 - Sale ²⁵
	7.000	219.80	11/19/18	248.66	28.86 W	0.00	16 of 22 - Sale ²⁵
	10.000	313.99	11/19/18	341.40	27.41 W	0.00	17 of 22 - Sale ²⁵
	50.000	1,569.96	11/30/18	1,617.03	47.07 W	0.00	18 of 22 - Sale ²⁵
	50.000	1,569.97	11/30/18	1,617.02	47.05 W	0.00	19 of 22 - Sale ²⁵
	10.000	313.99	11/30/18	389.55	75.56 W	0.00	20 of 22 - Sale ²⁵
	10.000	314.00	11/30/18	365.03	51.03 W	0.00	21 of 22 - Sale ²⁵
	30.000	941.98	11/30/18	1,095.07	153.09 W	0.00	22 of 22 - Sale ²⁵
11/30/18	650.000	20,364.62	Various	22,878.50	2,513.88 W	0.00	Total of 22 transactions
	12 transactions for 12/07/18. Total proceeds and cost reported to the IRS.						
	50.000	1,219.48	12/03/18	1,847.61	...	-628.13	1 of 12 - Sale ²⁵
	50.000	1,219.48	12/03/18	1,682.07	...	-462.59	2 of 12 - Sale ²⁵
	50.000	1,219.48	12/03/18	1,682.05	...	-462.57	3 of 12 - Sale ²⁵
	30.000	731.68	12/03/18	1,164.07	...	-432.39	4 of 12 - Sale ²⁵
	30.000	731.68	12/03/18	1,134.09	...	-402.41	5 of 12 - Sale ²⁵
	30.000	731.69	12/03/18	1,108.86	...	-377.17	6 of 12 - Sale ²⁵
	20.000	487.79	12/03/18	785.24	...	-297.45	7 of 12 - Sale ²⁵
	10.000	243.90	12/03/18	402.56	...	-158.66	8 of 12 - Sale ²⁵
	10.000	243.90	12/03/18	378.03	...	-134.13	9 of 12 - Sale ²⁵
	10.000	243.90	12/03/18	354.41	...	-110.51	10 of 12 - Sale ²⁵
	7.000	170.73	12/03/18	257.76	...	-87.03	11 of 12 - Sale ²⁵
	3.000	73.17	12/03/18	110.47	...	-37.30	12 of 12 - Sale ²⁵
12/07/18	300.000	7,316.88	Various	10,907.22	...	-3,590.34	Total of 12 transactions
	Security total:	27,681.50		33,785.72	2,513.88 W	-3,590.34	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
	4 transactions for 11/15/18. Total proceeds and cost reported to the IRS.						
	10.000	73.60	11/14/18	71.40	...	2.20	1 of 4 - Sale ²⁵
	90.000	662.38	11/14/18	642.60	...	19.78	2 of 4 - Sale ²⁵
	100.000	735.98	11/14/18	714.00	...	21.98	3 of 4 - Sale ²⁵

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
11/15/18	800.000	5,887.82	11/14/18	5,712.00	...	175.82	4 of 4 - Sale ²⁵
	1,000.000	7,359.78	Various	7,140.00	...	219.78	Total of 4 transactions
	5 transactions for 11/19/18. Total proceeds and cost reported to the IRS.						
	4.000	30.81	11/16/18	29.48	...	1.33	1 of 5 - Sale ²⁵
	96.000	739.46	11/16/18	707.52	...	31.94	2 of 5 - Sale ²⁵
	500.000	3,779.94	11/16/18	3,735.00	...	44.94	3 of 5 - Sale ²⁵
	400.000	3,081.06	11/16/18	2,988.00	...	93.06	4 of 5 - Sale ²⁵
	1,100.000	8,326.76	11/16/18	8,217.00	...	109.76	5 of 5 - Sale ²⁵
11/19/18	2,100.000	15,958.03	Various	15,677.00	...	281.03	Total of 5 transactions
	18 transactions for 11/28/18. Total proceeds and cost reported to the IRS.						
	15.000	118.48	11/20/18	117.90	...	0.58	1 of 18 - Sale ²⁵
	5.000	39.73	11/20/18	38.75	...	0.98	2 of 18 - Sale ²⁵
	7.000	55.28	11/20/18	54.25	...	1.03	3 of 18 - Sale ²⁵
	3.000	23.94	11/20/18	22.89	...	1.05	4 of 18 - Sale ²⁵
	9.000	71.10	11/20/18	69.75	...	1.35	5 of 18 - Sale ²⁵
	11.000	87.43	11/20/18	85.25	...	2.18	6 of 18 - Sale ²⁵
	20.000	158.99	11/20/18	155.00	...	3.99	7 of 18 - Sale ²⁵
	30.000	238.49	11/20/18	232.50	...	5.99	8 of 18 - Sale ²⁵
	69.000	545.08	11/20/18	534.75	...	10.33	9 of 18 - Sale ²⁵
	115.000	908.47	11/20/18	891.25	...	17.22	10 of 18 - Sale ²⁵
	50.000	398.99	11/20/18	381.50	...	17.49	11 of 18 - Sale ²⁵
	135.000	1,073.22	11/20/18	1,046.25	...	26.97	12 of 18 - Sale ²⁵
	149.000	1,184.52	11/20/18	1,154.75	...	29.77	13 of 18 - Sale ²⁵
	150.000	1,192.46	11/20/18	1,162.50	...	29.96	14 of 18 - Sale ²⁵
	785.000	6,201.32	11/20/18	6,170.02	...	31.30	15 of 18 - Sale ²⁵
	300.000	2,393.93	11/20/18	2,325.00	...	68.93	16 of 18 - Sale ²⁵
	40.000	319.19	11/21/18	307.60	...	11.59	17 of 18 - Sale ²⁵
	200.000	1,595.95	11/21/18	1,538.00	...	57.95	18 of 18 - Sale ²⁵
11/28/18	2,093.000	16,606.57	Various	16,287.91	...	318.66	Total of 18 transactions
	4 transactions for 12/04/18. Total proceeds and cost reported to the IRS.						
	454.000	3,209.82	11/30/18	3,504.83	...	-295.01	1 of 4 - Sale ²⁵
	236.000	1,668.54	11/30/18	1,821.89	...	-153.35	2 of 4 - Sale ²⁵
	109.000	770.64	11/30/18	911.81	...	-141.17	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 147237580

Proceeds from Broker and Barter Exchange Transactions

2018 1099-B* OMB No. 1545-0715

(continued)

02/07/2019

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 3)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	46.000	325.22	11/30/18	385.03	...	-59.81	4 of 4 - Sale ²⁵
12/04/18	845.000	5,974.22	Various	6,623.56	...	-649.34	Total of 4 transactions
	2 transactions for 12/04/18. Total proceeds and cost reported to the IRS.						
	46.000	325.21	11/30/18	355.12	29.91 W	0.00	1 of 2 - Sale ²⁵
	109.000	770.60	11/30/18	840.94	70.34 W	0.00	2 of 2 - Sale ²⁵
12/04/18	155.000	1,095.81	Various	1,196.06	100.25 W	0.00	Total of 2 transactions
	Security total:	46,994.41		46,924.53	100.25 W	170.13	
ROKU, INC. CLASS A COMMON STOCK / CUSIP: 77543R102 / Symbol:							
11/08/18	299.000	14,154.65	11/07/18	16,963.29	...	-2,808.64	Sale ²⁵
	7 transactions for 11/08/18. Total proceeds and cost reported to the IRS.						
	20.000	946.80	10/18/18	1,186.81	240.01 W	0.00	1 of 7 - Sale ²⁵
	9.000	426.06	10/25/18	525.70	99.64 W	0.00	2 of 7 - Sale ²⁵
	3.000	142.02	10/25/18	175.08	33.06 W	0.00	3 of 7 - Sale ²⁵
	60.000	2,840.40	10/25/18	3,504.64	664.24 W	0.00	4 of 7 - Sale ²⁵
	8.000	378.72	10/25/18	467.29	88.57 W	0.00	5 of 7 - Sale ²⁵
	118.000	5,586.11	11/06/18	6,490.00	222.14 W	-681.75	6 of 7 - Sale ²⁵
	172.000	8,142.47	11/06/18	9,460.00	1,317.53 W	0.00	7 of 7 - Sale ²⁵
11/08/18	390.000	18,462.58	Various	21,809.52	2,665.19 W	-681.75	Total of 7 transactions
11/14/18	1.000	42.84	11/07/18	68.73	25.89 W	0.00	Sale ²⁵
11/20/18	51.000	2,144.08	11/19/18	2,570.79	...	-426.71	Sale ²⁵
	5 transactions for 11/20/18. Total proceeds and cost reported to the IRS.						
	19.000	798.78	11/19/18	1,040.21	241.43 W	0.00	1 of 5 - Sale ²⁵
	3.000	126.12	11/19/18	161.30	35.18 W	0.00	2 of 5 - Sale ²⁵
	8.000	336.33	11/19/18	430.55	94.22 W	0.00	3 of 5 - Sale ²⁵
	9.000	378.37	11/19/18	484.37	106.00 W	0.00	4 of 5 - Sale ²⁵
	60.000	2,522.45	11/19/18	3,229.09	706.64 W	0.00	5 of 5 - Sale ²⁵
11/20/18	99.000	4,162.05	Various	5,345.52	1,183.47 W	0.00	Total of 5 transactions
12/14/18	50.000	1,673.52	12/03/18	2,445.50	...	-771.98	Sale ²⁵
	7 transactions for 12/17/18. Total proceeds and cost reported to the IRS.						
	71.000	2,293.82	12/03/18	3,472.62	...	-1,178.80	1 of 7 - Sale ²⁵
	29.000	936.91	12/03/18	1,418.39	...	-481.48	2 of 7 - Sale ²⁵
	58.000	1,873.82	12/10/18	2,823.25	...	-949.43	3 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 147237580

Proceeds from Broker and Barter Exchange Transactions

2018 1099-B* OMB No. 1545-0715

(continued)

02/07/2019

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 3)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
	19.000	613.84	12/10/18	942.52	...	-328.68	4 of 7 - Sale ²⁵
	9.000	290.76	12/10/18	438.10	...	-147.34	5 of 7 - Sale ²⁵
	3.000	96.92	12/10/18	145.88	...	-48.96	6 of 7 - Sale ²⁵
	1.000	32.31	12/10/18	62.79	...	-30.48	7 of 7 - Sale ²⁵
12/17/18	190.000	6,138.38	Various	9,303.55	...	-3,165.17	Total of 7 transactions
	2 transactions for 12/21/18. Total proceeds and cost reported to the IRS.						
	7.000	196.68	12/10/18	340.74	...	-144.06	1 of 2 - Sale ²⁵
	2.000	56.20	12/10/18	97.35	...	-41.15	2 of 2 - Sale ²⁵
12/21/18	9.000	252.88	Various	438.09	...	-185.21	Total of 2 transactions
12/24/18	1.000	26.62	12/10/18	48.68	...	-22.06	Sale ²⁵
	Security total:	47,057.60		58,993.67	3,874.55 W	-8,061.52	
NFLX 06/21/2019 CALL \$400.00 / CUSIP: / Symbol: NFLX 06/21/19 C 400.000							
	4 transactions for 12/12/18. Total proceeds and cost reported to the IRS.						
	1.000	516.98	12/07/18	450.00	...	66.98	1 of 4 - Option sale to close-call ²⁵
	1.000	519.98	12/07/18	450.00	...	69.98	2 of 4 - Option sale to close-call ²⁵
	2.000	1,033.97	12/07/18	900.00	...	133.97	3 of 4 - Option sale to close-call ²⁵
	6.000	3,113.94	12/07/18	2,700.00	...	413.94	4 of 4 - Option sale to close-call ²⁵
12/12/18	10.000	5,184.87	Various	4,500.00	...	684.87	Total of 4 transactions
NFLX 01/11/2019 PUT \$235.00 / CUSIP: / Symbol: NFLX 01/11/19 P 235.000							
12/27/18	2.000	2,109.96	12/26/18	1,630.00	...	479.96	Option sale to close-put ²⁵
ROKU 12/28/2018 PUT \$32.00 / CUSIP: / Symbol: ROKU 12/28/18 P 32.000							
12/12/18	10.000	649.97	12/12/18	540.00	...	109.97	Option sale to close-put ²⁵
ROKU 01/25/2019 CALL \$40.50 / CUSIP: / Symbol: ROKU 01/25/19 C 40.500							
	2 transactions for 12/21/18. Total proceeds and cost reported to the IRS.						
	1.000	26.98	12/07/18	305.00	278.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	24.98	12/07/18	305.00	280.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/21/18	2.000	51.96	Various	610.00	558.04 W	0.00	Total of 2 transactions
	8 transactions for 12/24/18. Total proceeds and cost reported to the IRS.						
	3.000	53.99	12/11/18	636.00	...	-582.01	1 of 8 - Option sale to close-call ²⁵
	10.000	179.97	12/12/18	1,710.00	...	-1,530.03	2 of 8 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 147237580

Proceeds from Broker and Barter Exchange Transactions

2018 1099-B* OMB No. 1545-0715

(continued)

02/07/2019

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 3)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROKU 01/25/2019 CALL \$40.50 / CUSIP: / Symbol: ROKU 01/25/19 C 40.500 (cont'd)							
	9.000	161.98	12/13/18	990.00	...	-828.02	3 of 8 - Option sale to close-call ²⁵
	10.000	179.97	12/18/18	550.00	...	-370.03	4 of 8 - Option sale to close-call ²⁵
	8.000	159.97	12/20/18	2,584.02	...	-2,424.05	5 of 8 - Option sale to close-call ²⁵
	1.000	20.00	12/20/18	510.02	...	-490.02	6 of 8 - Option sale to close-call ²⁵
	1.000	20.00	12/20/18	508.02	...	-488.02	7 of 8 - Option sale to close-call ²⁵
	6.000	107.99	12/20/18	240.00	...	-132.01	8 of 8 - Option sale to close-call ²⁵
12/24/18	48.000	883.87	Various	7,728.06	...	-6,844.19	Total of 8 transactions
	3 transactions for 12/24/18. Total proceeds and cost reported to the IRS.						
	8.000	143.98	12/07/18	2,440.00	2,296.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	18.00	12/11/18	492.02	474.02 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	18.00	12/11/18	490.02	472.02 W	0.00	3 of 3 - Option sale to close-call ²⁵
12/24/18	10.000	179.98	Various	3,422.04	3,242.06 W	0.00	Total of 3 transactions
	Security total:	1,115.81		11,760.10	3,800.10 W	-6,844.19	
Totals :		256,390.76		321,474.21	31,996.28 W	-33,087.17	

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25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2018 General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

Column 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Column 1b. If "NONCOVERED" appears on line 5, the date is provided for your reference only. This column may show "Various" if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Column 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Column 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This column does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the Instructions for Schedule D.

Column 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If

Instructions for Recipient

"NONCOVERED" appears on line 5, this column may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

Column 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If "NONCOVERED" appears on line 5, this column may be blank.

Column 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If "NONCOVERED" appears on line 5, this column may be blank.

Line 2. Shows type of gain or loss. If the Additional information column includes an "Ordinary" indicator, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If "NONCOVERED" appears on line 5, the type of gain or loss is provided for your reference only. If "UNDETERMINED TERM TRANSACTIONS," then consult your

investment records to determine whether transaction is long-term or short-term.

Line 3. If this section is COVERED, columns 1b, 1e, 1f, and 1g, and line 2 are reported to the IRS. See the instructions for Schedule D as you may be able to report your transaction directly on Schedule D.

Line 4. See "Backup Withholding" section.

Line 5. If the securities sold were noncovered securities columns 1b, 1e, 1f, 1g may be blank and line 2 may indicate short, long or undetermined term. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Column 6. If the exercise of a non-compensatory option resulted in a sale of a security, indicates whether the amount in column 1d was adjusted for premium.

Column 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in column 1d. See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, proceeds are from a transaction involving collectibles.

Lines 14-16. State income tax withheld reporting lines.