

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 760388181	Statement Date: 02/07/2019 Document ID: W112 T7N 236Y	2018
	Narender Reddy Ganta 2444 Twin Oaks Drive Little Elm, TX 75068 RECIPIENT'S TIN: XXX-XX-0062		

[] FATCA filing requirement (see instructions)		Summary Information			
DIVIDENDS AND DISTRIBUTIONS	2018 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2018 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	8.68		2- Royalties	0.00	
1b- Qualified dividends	8.68		3- Other income	6.01	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2018 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2018 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2017	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2018	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00	7- Foreign tax paid:			
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	5,691.20	5,638.81	0.00	60.05	112.44
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	5,691.20	5,638.81	0.00	60.05	112.44
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	5,691.20	5,638.81	0.00	60.05	112.44
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2018 may require an amended tax form.

Robinhood Securities LLC

Account 760388181

Summary Information

2018

(continued)

02/07/2019

INTEREST INCOME**2018 1099-INT**

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for 2018	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 760388181

Proceeds from Broker and Barter Exchange Transactions

2018 1099-B* OMB No. 1545-0715

02/07/2019

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to other corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "12- [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 3)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
11/06/18	2.000	40.62	11/05/18	38.20	...	2.42	Sale ²⁵
ALIBABA GROUP HOLDING LIMITED / CUSIP: 01609W102 / Symbol:							
11/15/18	1.000	154.24	11/08/18	149.90	...	4.34	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
10/22/18	1.000	222.71	10/22/18	219.66	...	3.05	Sale ²⁵
10/24/18	1.000	223.99	10/22/18	219.66	...	4.33	Sale ²⁵
11/20/18	1.000	180.68	11/02/18	210.22	29.54 W	0.00	Sale ²⁵
12/04/18	1.000	179.73	11/02/18	210.22	30.49 W	0.00	Sale ²⁵
	Security total:	807.11		859.76	60.03 W	7.38	
BAXTER INTERNATIONAL INC. / CUSIP: 071813109 / Symbol:							
11/01/18	2.000	125.00	10/31/18	123.52	...	1.48	Sale ²⁵
COSTCO WHOLESALE CORPORATION C OMMON STOCK / CUSIP: 22160K105 / Symbol:							
11/28/18	1.000	229.75	11/20/18	221.45	...	8.30	Sale ²⁵

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Account 760388181

Proceeds from Broker and Barter Exchange Transactions

2018 1099-B* OMB No. 1545-0715

(continued)

02/07/2019

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
2 transactions for 10/31/18. Total proceeds and cost reported to the IRS.							
	1.000	156.15	10/24/18	152.37	...	3.78	1 of 2 - Sale ²⁵
	1.000	156.15	10/29/18	144.58	...	11.57	2 of 2 - Sale ²⁵
10/31/18	2.000	312.30	Various	296.95	...	15.35	Total of 2 transactions
11/02/18	1.000	150.04	11/01/18	150.06	0.02 W	0.00	Sale ²⁵
12/19/18	1.000	138.28	11/08/18	147.36	...	-9.08	Sale ²⁵
	Security total:	600.62		594.37	0.02 W	6.27	
FITBIT, INC. CLASS A COMMON STOCK / CUSIP: 33812L102 / Symbol:							
10/31/18	3.000	13.85	10/30/18	13.23	...	0.62	Sale ²⁵
FORTINET, INC. COMMON STOCK / CUSIP: 34959E109 / Symbol:							
11/08/18	3.000	237.10	11/02/18	228.62	...	8.48	Sale ²⁵
IDEXX LABORATORIES, INC. COMMON STOCK / CUSIP: 45168D104 / Symbol:							
11/02/18	1.000	208.45	11/01/18	200.05	...	8.40	Sale ²⁵
12/03/18	1.000	205.15	11/28/18	201.22	...	3.93	Sale ²⁵
12/07/18	1.000	199.10	12/04/18	197.98	...	1.12	Sale ²⁵
	Security total:	612.70		599.25	...	13.45	
THE KRAFT HEINZ COMPANY COMMON STOCK / CUSIP: 500754106 / Symbol:							
11/05/18	2.000	104.30	11/02/18	101.58	...	2.72	Sale ²⁵
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
2 transactions for 11/01/18. Total proceeds and cost reported to the IRS.							
	2.000	68.55	10/31/18	69.34	...	-0.79	1 of 2 - Sale ²⁵
	1.000	34.27	10/31/18	34.48	...	-0.21	2 of 2 - Sale ²⁵
11/01/18	3.000	102.82	Various	103.82	...	-1.00	Total of 2 transactions
MARRIOTT INTERNATIONAL CLASS A COMMON STOCK / CUSIP: 571903202 / Symbol:							
11/08/18	1.000	115.55	11/06/18	112.67	...	2.88	Sale ²⁵

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Account 760388181

Proceeds from Broker and Barter Exchange Transactions

2018 1099-B* OMB No. 1545-0715

(continued)

02/07/2019

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 3)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MCDONALD'S CORPORATION / CUSIP: 580135101 / Symbol:							
10/23/18	1.000	172.96	10/22/18	167.98	...	4.98	Sale ²⁵
11/20/18	1.000	185.02	10/25/18	173.00	...	12.02	Sale ²⁵
	Security total:	357.98		340.98	...	17.00	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
10/22/18	1.000	110.12	10/22/18	109.32	...	0.80	Sale ²⁵
	2 transactions for 11/07/18. Total proceeds and cost reported to the IRS.						
	1.000	109.95	10/22/18	109.32	...	0.63	1 of 2 - Sale ²⁵
	1.000	109.95	11/01/18	105.98	...	3.97	2 of 2 - Sale ²⁵
11/07/18	2.000	219.90	Various	215.30	...	4.60	Total of 2 transactions
	Security total:	330.02		324.62	...	5.40	
MOHAWK INDUSTRIES, INC. / CUSIP: 608190104 / Symbol:							
11/06/18	1.000	129.00	11/05/18	127.77	...	1.23	Sale ²⁵
MONSTER BEVERAGE CORPORATION / CUSIP: 61174X109 / Symbol:							
11/09/18	1.000	55.60	11/08/18	51.52	...	4.08	Sale ²⁵
NEKTAR THERAPEUTICS COMMON ST OCK / CUSIP: 640268108 / Symbol:							
11/12/18	2.000	73.28	11/06/18	72.01	...	1.27	Sale ²⁵
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
10/31/18	1.000	303.20	10/29/18	302.19	...	1.01	Sale ²⁵
PG&E CORPORATION / CUSIP: 69331C108 / Symbol:							
11/26/18	1.000	24.57	11/14/18	24.51	...	0.06	Sale ²⁵
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
11/14/18	1.000	83.69	10/24/18	83.05	...	0.64	Sale ²⁵
PEPSICO, INC. COMMON STOCK / CUSIP: 713448108 / Symbol:							
10/29/18	1.000	112.76	10/25/18	111.60	...	1.16	Sale ²⁵
11/05/18	1.000	113.00	11/01/18	111.41	...	1.59	Sale ²⁵
	Security total:	225.76		223.01	...	2.75	

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Account 760388181

Proceeds from Broker and Barter Exchange Transactions

2018 1099-B* OMB No. 1545-0715

(continued)

02/07/2019

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 3)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SYSCO CORPORATION / CUSIP: 871829107 / Symbol:							
11/06/18	1.000	64.74	11/05/18	64.48	...	0.26	Sale ²⁵
TENET HEALTHCARE CORPORATION N EW / CUSIP: 88033G407 / Symbol:							
12/03/18	2.000	53.86	11/07/18	53.68	...	0.18	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
10/24/18	1.000	311.98	10/24/18	301.40	...	10.58	Sale ²⁵
VERIZON COMMUNICATIONS / CUSIP: 92343V104 / Symbol:							
2 transactions for 11/06/18. Total proceeds and cost reported to the IRS.							
	1.000	57.13	11/01/18	55.65	...	1.48	1 of 2 - Sale ²⁵
	1.000	57.13	11/05/18	57.19	...	-0.06	2 of 2 - Sale ²⁵
11/06/18	2.000	114.26	Various	112.84	...	1.42	Total of 2 transactions
WAYFAIR INC. / CUSIP: 94419L101 / Symbol:							
11/05/18	1.000	95.10	11/02/18	92.39	...	2.71	Sale ²⁵
WESTERN DIGITAL CORPORATION CO MMON STOCK / CUSIP: 958102105 / Symbol:							
2 transactions for 11/01/18. Total proceeds and cost reported to the IRS.							
	2.000	89.25	10/26/18	85.66	...	3.59	1 of 2 - Sale ²⁵
	1.000	44.62	10/29/18	42.98	...	1.64	2 of 2 - Sale ²⁵
11/01/18	3.000	133.87	Various	128.64	...	5.23	Total of 2 transactions
2 transactions for 11/14/18. Total proceeds and cost reported to the IRS.							
	1.000	44.97	11/09/18	45.41	...	-0.44	1 of 2 - Sale ²⁵
	1.000	44.97	11/12/18	43.32	...	1.65	2 of 2 - Sale ²⁵
11/14/18	2.000	89.94	Various	88.73	...	1.21	Total of 2 transactions
	Security total:	223.81		217.37	...	6.44	
WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
11/12/18	1.000	100.69	11/08/18	100.62	...	0.07	Sale ²⁵
Totals :		5,691.20		5,638.81		60.05 W	112.44

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Detail for Dividends and Distributions

2018

02/07/2019

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. We include, where available, the ex date of the distribution to assist with your determination of whether the taxpayer holding period requirement has been satisfied.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		11/15/18	3.65	Qualified dividend	
BEST BUY COMPANY, INC.	086516101		12/31/18	0.90	Qualified dividend	
LOCKHEED MARTIN CORP.	539830109		12/28/18	2.20	Qualified dividend	
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103		11/30/18	0.01	Qualified dividend	
TARGET CORPORATION	87612E106		12/10/18	1.92	Qualified dividend	
				8.68	Total Dividends & distributions	

Robinhood Securities LLC

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Detail for Miscellaneous Income

2018

02/07/2019

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103	10/22/18	6.01	Other income	
			6.01	Total Miscellaneous income	

25 Tax lot closed on a first in first out basis.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2018 General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040. Also, report it on Schedule B (1040), if required.

Line 1b. Shows the portion of the amount on line 1a that may be eligible for reduced capital gains rates. See the Form 1040 instructions for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See *How To Report* in the Instructions for Schedule D (Form 1040). But, if no amount is shown on lines 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown on line 2a on your Form 1040 rather than Schedule D. See the Form 1040 instructions.

Line 2b. Shows the portion of the amount on line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet--Line 19 in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount on line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet--Line 18 in the instructions for Schedule D (Form 1040).

Line 3. Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550.

Line 4. See "Backup Withholding" section.

Line 5. Shows dividends eligible for the 20% qualified business income deduction under section 199A. See the Instructions for Form 1040.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included on line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Lines 8. This line should be left blank if a RIC reported the foreign tax shown on line 7.

Line 9 and 10. Shows cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See *Line 4* above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included on line 11. See the instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

Column 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Column 1b. If "NONCOVERED" appears on line 5, the date is provided for your reference only. This column may show "Various" if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Column 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Column 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This column does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the Instructions for Schedule D.

Column 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If "NONCOVERED" appears on line 5, this column may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

Column 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If "NONCOVERED" appears on line 5, this column may be blank.

Column 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If "NONCOVERED" appears on line 5, this column may be blank.

Line 2. Shows type of gain or loss. If the Additional information column includes an "Ordinary" indicator, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If "NONCOVERED" appears on line 5, the type of gain or loss is provided for your reference only. If "UNDETERMINED TERM TRANSACTIONS," then consult your

investment records to determine whether transaction is long-term or short-term.

Line 3. If this section is COVERED, columns 1b, 1e, 1f, and 1g, and line 2 are reported to the IRS. See the instructions for Schedule D as you may be able to report your transaction directly on Schedule D.

Line 4. See "Backup Withholding" section.

Line 5. If the securities sold were noncovered securities columns 1b, 1e, 1f, 1g may be blank and line 2 may indicate short, long or undetermined term. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment

plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Column 6. If the exercise of a non-compensatory option resulted in a sale of a security, indicates whether the amount in column 1d was adjusted for premium.

Column 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in column 1d. See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, proceeds are from a transaction involving collectibles.

Lines 14-16. State income tax withheld reporting lines.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.