

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5QR46359
Account Name: VINEET SHARMA
Taxpayer Identification Number: ***-**-7655

Account Executive No: DPA

ORIGINAL: 02/08/2019

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

CONSOLIDATED 2018 FORMS 1099 AND DETAILS

VINEET SHARMA
8623 ALDEBURGH DR
HENRICO, VA 23294 5109

YEAR-END MESSAGES

THIS DOCUMENT INCLUDES YOUR CONSOLIDATED FORM 1099 THAT WE ARE REQUIRED TO PROVIDE TO YOU AND THE INTERNAL REVENUE SERVICE. WE SUGGEST THAT YOU SEEK THE ADVICE OF A TAX PROFESSIONAL WHEN PREPARING YOUR RETURN.

PLEASE VERIFY YOUR PERSONAL INFORMATION FOR ACCURACY AND CONTACT YOUR BROKER-DEALER OR REGISTERED ADVISOR TO CORRECT ANY DISCREPANCIES. IF YOU OWN MUTUAL FUNDS, REAL ESTATE TRUSTS (REITS), REMIC SECURITIES OR WHFIT'S, INCOME DISTRIBUTIONS MAY BE RECLASSIFIED BY THE ISSUER AFTER THE ORIGINAL 1099 IS SENT. IF YOU HOLD THESE SECURITIES YOU MAY RECEIVE A CORRECTED FORM 1099. IN ADDITION TO THE 1099 INFORMATION, SUPPLEMENTAL DETAILS ARE PROVIDED TO HELP YOU PREPARE YOUR RETURN. ONLY INFORMATION REPORTED ON FORM 1099 IS SENT TO THE IRS.

Your Account did not receive the following Forms:

1099-B
1099-OID
1099-MISC

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Account Executive No: DPA
 ORIGINAL: 02/08/2019

RECIPIENT'S Name, Street Address, City, State, and Zip Code

VINEET SHARMA
 8623 ALDEBURGH DR
 HENRICO, VA 23294 5109

Payer's Federal Identification Number: 13-2967453

Payer's Name, Street, City, State, Zip Code:

APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Telephone Number: 214-765-1009

Copy B for recipient 2nd TIN Notice
 FATCA Filing Requirement

2018 CONSOLIDATED FORMS 1099

<i>FORM 1099-DIV</i> <i>IRS Box</i>	DIVIDENDS AND DISTRIBUTIONS	<i>OMB NO. 1545-0110</i> Amount
1a.	Total ordinary dividends (includes Boxes 1b, 5, 6)	\$203.40
1b.	Qualified dividends	\$193.64
2a.	Total capital gain distributions (includes Boxes 2b, 2c, 2d)	\$0.00
2b.	Unrecaptured section 1250 gain	\$0.00
2c.	Section 1202 gain	\$0.00
2d.	Collectibles (28%) gain	\$0.00
3.	Nondividend distributions	\$228.02
4.	Federal income tax withheld	\$0.00
5.	Section 199A dividends	\$9.76
6.	Investment expenses	\$0.00
7.	Foreign tax paid	\$0.00
8.	Foreign country or U.S. possession	-
9.	Cash liquidation distributions	\$0.00
10.	Noncash liquidation distributions	\$0.00
11.	Exempt-interest dividends (includes Box 12)	\$0.00
12.	Specified private activity bond interest dividends (AMT)	\$0.00

<i>FORM 1099-INT</i> <i>IRS Box</i>	INTEREST INCOME	<i>OMB NO. 1545-0112</i> Amount
1.	Interest income (not included in Box 3)	\$53.94
2.	Early withdrawal penalty	\$0.00
3.	Interest on U.S. Savings Bonds & Treasury obligations	\$0.00
4.	Federal income tax withheld	\$0.00
5.	Investment expenses	\$0.00
6.	Foreign tax paid	\$0.00
7.	Foreign country or U.S. possession	-
8.	Tax-exempt interest (includes Box 9)	\$0.00
9.	Specified private activity bond Interest	\$0.00
10.	Market discount	\$0.00
11.	Bond premium	\$0.00
12.	Bond premium on Treasury obligations	\$0.00
13.	Bond premium on tax-exempt bonds	\$0.00
14.	Tax-exempt and tax credit bond CUSIP no.	-

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
 The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return,
 a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

Form 1099-DIV (OMB NO. 1545-0110)

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS. **FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938. **Account number.** May show an account or other unique number the payer assigned to distinguish your account. **Box 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040. Also, report it on Schedule B (1040), if required. **Box 1b.** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Form 1040 instructions for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 but treat it as a plan distribution, not as investment income, for any other purpose. **Box 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See *How To Report* in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 rather than Schedule D. See the Form 1040 instructions. **Box 2b.** Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Instructions for Schedule D (Form 1040). **Box 2c.** Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions. **Box 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the Instructions for Schedule D (Form 1040). **Box 3.** Shows the part of the distribution that is nontaxable because it is a return of your cost (or other basis). You must reduce your cost (or other basis) by this amount for figuring gain or loss when you sell your stock. But if you get back all your cost (or other basis), report future distributions as capital gains. See Pub. 550. **Box 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld. **Box 5.** Shows dividends eligible for the 20% qualified business income deduction under section 199A. See the Instructions for Form 1040. **Box 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a. **Box 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions. **Box 8.** This box should be left blank if a RIC reported the foreign tax shown in box 7. **Boxes 9 and 10.** Shows cash and noncash liquidation distributions. **Box 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See *Box 4* above. **Box 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 11. See the Instructions for Form 6251. **Boxes 13-15.** State income tax withheld reporting boxes. **Nominees.** If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2018 General Instructions for Certain Information Returns. **Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Form 1099-INT (OMB NO. 1545-0112)

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did not notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you. **Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS. **FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938. **Account number.** May show an account or other unique number the payer assigned to distinguish your account. **Box 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2018 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium. **Box 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction. **Box 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium. **Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number (TIN) or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld. **Box 5.** Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. **Box 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040. See your Form 1040 instructions. **Box 7.** Shows the country or U.S. possession to which the foreign tax was paid. **Box 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See box 4. See the instructions above for a tax-exempt covered security acquired at a premium. **Box 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium. **Box 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income. **Box 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Box 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Form 1040 (Schedule B) to determine the net amount of interest includible in income on Form 1040 with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4). **Box 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii). **Box 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Boxes 15-17.** State tax withheld reporting boxes. **Nominees.** If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096 list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. **Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

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ORIGINAL: 02/08/2019

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DETAILS OF 2018 FORM 1099-DIV

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
02/01/18	AT&T INC	00206R102	QUALIFIED DIVIDEND	\$11.00	-	-	
05/01/18	AT&T INC	00206R102	QUALIFIED DIVIDEND	\$11.00	-	-	
08/01/18	AT&T INC	00206R102	QUALIFIED DIVIDEND	\$11.00	-	-	
11/01/18	AT&T INC	00206R102	QUALIFIED DIVIDEND	\$11.00	-	-	
02/15/18	APPLE INC	037833100	QUALIFIED DIVIDEND	\$10.08	-	-	
05/17/18	APPLE INC	037833100	QUALIFIED DIVIDEND	\$11.68	-	-	
08/16/18	APPLE INC	037833100	QUALIFIED DIVIDEND	\$11.68	-	-	
03/21/18	QUALCOMM INC	747525103	QUALIFIED DIVIDEND	\$6.27	-	-	
06/20/18	QUALCOMM INC	747525103	QUALIFIED DIVIDEND	\$6.82	-	-	
09/26/18	QUALCOMM INC	747525103	QUALIFIED DIVIDEND	\$6.82	-	-	
02/28/18	SIRIUS XM HOLDINGS INC COM	82968B103	QUALIFIED DIVIDEND	\$0.01	-	-	
05/31/18	SIRIUS XM HOLDINGS INC COM	82968B103	QUALIFIED DIVIDEND	\$0.01	-	-	
08/31/18	SIRIUS XM HOLDINGS INC COM	82968B103	QUALIFIED DIVIDEND	\$0.01	-	-	
11/30/18	SIRIUS XM HOLDINGS INC COM	82968B103	QUALIFIED DIVIDEND	\$0.01	-	-	
02/23/18	STARBUCKS CORP	855244109	QUALIFIED DIVIDEND	\$7.50	-	-	
05/25/18	STARBUCKS CORP	855244109	QUALIFIED DIVIDEND	\$7.50	-	-	
08/24/18	STARBUCKS CORP	855244109	QUALIFIED DIVIDEND	\$9.00	-	-	
03/12/18	TARGET CORP	87612E106	QUALIFIED DIVIDEND	\$12.40	-	-	
06/11/18	TARGET CORP	87612E106	QUALIFIED DIVIDEND	\$12.40	-	-	
09/10/18	TARGET CORP	87612E106	QUALIFIED DIVIDEND	\$12.80	-	-	
01/02/18	WAL-MART STORES INC	931142103	QUALIFIED DIVIDEND	\$5.10	-	-	
04/02/18	WALMART INC COMMON STOCK	931142103	QUALIFIED DIVIDEND	\$5.20	-	-	
06/04/18	WALMART INC COMMON STOCK	931142103	QUALIFIED DIVIDEND	\$5.20	-	-	
09/04/18	WALMART INC COMMON STOCK	931142103	QUALIFIED DIVIDEND	\$5.20	-	-	
03/23/18	WASTE MANAGEMENT INC DEL	94106L109	QUALIFIED DIVIDEND	\$4.65	-	-	
06/22/18	WASTE MANAGEMENT INC DEL	94106L109	QUALIFIED DIVIDEND	\$4.65	-	-	

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY.

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DETAILS OF 2018 FORM 1099-DIV, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
09/21/18	WASTE MANAGEMENT INC DEL	94106L109	QUALIFIED DIVIDEND	\$4.65	-	-	
Total Qualified Dividends (Box 1b included in Box 1a)				\$193.64			
03/15/18	PENNSYLVANIA REAL ESTATE	709102107	SECTION 199A DIVIDEND	\$3.26	-	-	
06/15/18	PENNSYLVANIA REAL ESTATE	709102107	SECTION 199A DIVIDEND	\$3.25	-	-	
09/17/18	PENNSYLVANIA REAL ESTATE	709102107	SECTION 199A DIVIDEND	\$3.25	-	-	
Total Section 199A Dividends (Box 5 included in Box 1a)				\$9.76			
Total Ordinary Dividends (Box 1a)				\$203.40			
03/29/18	NATIONAL CINEMEDIA INC	635309107	RETURN OF CAPITAL	\$68.34	-	-	
06/01/18	NATIONAL CINEMEDIA INC	635309107	RETURN OF CAPITAL	\$68.34	-	-	
08/31/18	NATIONAL CINEMEDIA INC	635309107	RETURN OF CAPITAL	\$68.34	-	-	
03/15/18	PENNSYLVANIA REAL ESTATE	709102107	RETURN OF CAPITAL	\$7.66	-	-	
06/15/18	PENNSYLVANIA REAL ESTATE	709102107	RETURN OF CAPITAL	\$7.67	-	-	
09/17/18	PENNSYLVANIA REAL ESTATE	709102107	RETURN OF CAPITAL	\$7.67	-	-	
Total Nondividend Distributions (Box 3)				\$228.02			

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DETAILS OF 2018 FORM 1099-INT

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
01/11/18	FULLYPAID LENDING REBATE	8S87337	TAXABLE INTEREST	\$8.90	-	-	
02/08/18	FULLYPAID LENDING REBATE	8S87337	TAXABLE INTEREST	\$9.48	-	-	
03/12/18	FULLYPAID LENDING REBATE	8S87337	TAXABLE INTEREST	\$8.67	-	-	
04/10/18	FULLYPAID LENDING REBATE	8S87337	TAXABLE INTEREST	\$8.42	-	-	
05/11/18	FULLYPAID LENDING REBATE	8S87337	TAXABLE INTEREST	\$4.14	-	-	
06/08/18	FULLYPAID LENDING REBATE	8S87337	TAXABLE INTEREST	\$3.55	-	-	
07/02/18	FULLYPAID LENDING REBATE	8S87337	TAXABLE INTEREST	\$5.62	-	-	
08/06/18	FULLYPAID LENDING REBATE	8S87337	TAXABLE INTEREST	\$1.79	-	-	
09/10/18	FULLYPAID LENDING REBATE	8S87337	TAXABLE INTEREST	\$1.50	-	-	
10/09/18	FULLYPAID LENDING REBATE	8S87337	TAXABLE INTEREST	\$1.56	-	-	
11/05/18	FULLYPAID LENDING REBATE	8S87337	TAXABLE INTEREST	\$0.13	-	-	
11/07/18	FULLYPAID LENDING REBATE	8S87337	TAXABLE INTEREST	\$0.18	-	-	
Total Interest Income Not Included in Box 3 (Box 1)				\$53.94			

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2018 INVESTMENT DETAILS

DETAILS OF 2018 INVESTMENT ACTIVITY

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
09/25/18	***AMARIN CORPORATION PLC SPONSORED ADR	023111206	PURCHASE	25.000	\$14.30	\$357.50	
08/07/18	***CREDIT SUISSE AG NASSAU BRH VELOCITY	22542D332	PURCHASE	5.000	\$31.75	\$158.74	
05/04/18	***CREDIT SUISSE AG NASSAU BRH VELOCITY	22542D357	PURCHASE	100.000	\$6.77	\$677.00	
06/04/18	***CREDIT SUISSE AG NASSAU BRH VELOCITY	22542D357	PURCHASE	100.000	\$4.77	\$477.00	
02/07/18	EL POLLO LOCO HOLDINGS INC COM	268603107	PURCHASE	20.000	\$9.25	\$185.00	
02/09/18	EL POLLO LOCO HOLDINGS INC COM	268603107	PURCHASE	10.000	\$9.15	\$91.50	
06/22/18	IBIO INC COMMON STOCK	451033203	CASH IN LIEU		-	\$0.90	
06/22/18	IBIO INC COMMON STOCK	451033203	CASH IN LIEU		-	\$0.60	
06/25/18	IBIO INC COMMON STOCK	451033203	CASH IN LIEU		-	\$1.50	Cancelling

END OF 2018 DETAILS