

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5UE27275
Account Name: NISHANT NAIK
Taxpayer Identification Number:***-**-7187

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

CONSOLIDATED 2018 FORMS 1099 AND DETAILS

NISHANT NAIK
6253 LOVE DR APT 1331
IRVING, TX 75039 4062

YEAR-END MESSAGES

THIS DOCUMENT INCLUDES YOUR CONSOLIDATED FORM 1099 THAT WE ARE REQUIRED TO PROVIDE TO YOU AND THE INTERNAL REVENUE SERVICE. WE SUGGEST THAT YOU SEEK THE ADVICE OF A TAX PROFESSIONAL WHEN PREPARING YOUR RETURN.

PLEASE VERIFY YOUR PERSONAL INFORMATION FOR ACCURACY AND CONTACT YOUR BROKER-DEALER OR REGISTERED ADVISOR TO CORRECT ANY DISCREPANCIES. IF YOU OWN MUTUAL FUNDS, REAL ESTATE TRUSTS (REITS), REMIC SECURITIES OR WHFIT'S, INCOME DISTRIBUTIONS MAY BE RECLASSIFIED BY THE ISSUER AFTER THE ORIGINAL 1099 IS SENT. IF YOU HOLD THESE SECURITIES YOU MAY RECEIVE A CORRECTED FORM 1099. IN ADDITION TO THE 1099 INFORMATION, SUPPLEMENTAL DETAILS ARE PROVIDED TO HELP YOU PREPARE YOUR RETURN. ONLY INFORMATION REPORTED ON FORM 1099 IS SENT TO THE IRS.

Your Account did not receive the following Forms:

1099-DIV
1099-INT
1099-OID
1099-MISC

TABLE OF CONTENTS

Consolidated 1099 Sections:

Summary Information	Page 3
1099-B Proceeds from Broker and Barter Exchange Transactions	Page 4

Details:

Investment Details	Page 10
--------------------	---------

THIS PAGE IS INTENTIONALLY LEFT BLANK

APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5UE27275
 Account Name: NISHANT NAIK
 Taxpayer Identification Number: ***-**-7187

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

FORM 1099-B TOTALS SUMMARY

REALIZED GAIN / LOSS SUMMARY

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

SHORT-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART I	PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box A (basis reported to IRS)	\$751.23	\$793.86	\$0.00	\$1.81	(\$40.82)
Box A - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Short-Term	\$751.23	\$793.86	\$0.00	\$1.81	(\$40.82)
LONG-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART II					
Box D (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box D - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Long-Term	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
UNKNOWN TERM - CODE (X) REPORT ON FORM 8949 PART I OR PART II					
Box B or Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B or Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Unknown Term	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5UE27275
Account Name: NISHANT NAIK
Taxpayer Identification Number: ***-**-7187

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

RECIPIENT'S Name, Street Address, City, State, and Zip Code

NISHANT NAIK
6253 LOVE DR APT 1331
IRVING, TX 75039 4062

Payer's Federal Identification Number: 13-2967453

Payer's Name, Street, City, State, Zip Code:

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201
Telephone Number: 214-765-1009

Copy B for recipient 2nd TIN Notice
 FATCA Filing Requirement

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: **Taxpayers are ultimately responsible for the accuracy of their tax returns.**

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds **Box 5:** Box Not Checked (Covered Security) **Box 3:** Basis Reported to the IRS **Box 2:** Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
AKERS BIOSCIENCES INC COM CUSIP: 00973E102	8.00000	04/12/2018	04/26/2018	\$4.31	\$4.56	\$0.00	\$0.00	(\$0.25)	
AMEDICA CORPORATION COMMON STOCK CUSIP: 023435407	10.00000	05/08/2018	05/09/2018	\$36.49	\$23.40	\$0.00	\$0.00	\$13.09	
AVALON HLDGS CORP CUSIP: 05343P109	1.00000	08/10/2018	08/10/2018	\$5.51	\$4.60	\$0.00	\$0.00	\$0.91	
	10.00000	08/10/2018	08/10/2018	\$55.08	\$53.10	\$0.00	\$0.00	\$1.98	
Subtotals	11.00000			\$60.59	\$57.70	\$0.00	\$0.00	\$2.89	
AVINGER INC COMMON STOCK CUSIP: 053734208	15.00000	05/23/2018	05/23/2018	\$33.13	\$28.19	\$0.00	\$0.00	\$4.94	
	5.00000	05/23/2018	07/13/2018	\$7.44	\$9.40	\$0.00	\$0.00	(\$1.96)	
Subtotals	20.00000			\$40.57	\$37.59	\$0.00	\$0.00	\$2.98	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5UE27275
Account Name: NISHANT NAIK
Taxpayer Identification Number: ***-**-7187

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
BIOPHARMX CORPORATION COMMON STOCK PAR VALUE \$0.00 PER SHARE CUSIP: 09072X101	20.00000	05/16/2018	05/22/2018	\$4.50	\$3.95	\$0.00	\$0.00	\$0.55	
CAMBER ENERGY INC COMMON STOCK CUSIP: 13200M201	30.00000	06/28/2018	06/28/2018	\$32.71	\$32.40	\$0.00	\$0.00	\$0.31	
CHESAPEAKE ENERGY CORP CUSIP: 165167107	1.00000	02/02/2018	03/21/2018	\$3.10	\$3.31	\$0.00	\$0.00	(\$0.21)	
CHINA AUTO LOGISTICS INC COM CUSIP: 16936J202	5.00000	04/11/2018	05/03/2018	\$16.23	\$16.00	\$0.00	\$0.00	\$0.23	
CHINANET ONLINE HOLDINGS INC COMMON STOCK CUSIP: 16949H201	10.00000	04/11/2018	04/16/2018	\$24.69	\$25.20	\$0.00	\$0.05	(\$0.46)	
***CHINACACHE INTL HLDG LTD SPONSORED ADR CUSIP: 16950M107	1.00000	10/18/2018	10/19/2018	\$1.24	\$1.16	\$0.00	\$0.00	\$0.08	
HELIOS & MATHESON ANALYTICS INC COMMON STOCK CUSIP: 42327L309	0.41667 0.58333	04/16/2018 04/16/2018	07/26/2018 07/26/2018	\$3.35 \$4.70	\$20.65 \$29.40	\$0.00 \$0.00	\$0.00 \$0.00	(\$17.30) (\$24.70)	
Subtotals	1.00000			\$8.05	\$50.05	\$0.00	\$0.00	(\$42.00)	
HYRECAR INC COMMON STOCK CUSIP: 44916T107	10.00000	08/14/2018	08/14/2018	\$36.48	\$35.78	\$0.00	\$0.00	\$0.70	
INSPIREMD INC COMMON STOCK CUSIP: 45779A861	5.00000	05/16/2018	05/29/2018	\$6.13	\$5.90	\$0.00	\$0.00	\$0.23	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return,
a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5UE27275
Account Name: NISHANT NAIK
Taxpayer Identification Number: ***-**-7187

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
INPIXON COMMON STOCK CUSIP: 45790J305	100.00000	07/18/2018	07/18/2018	\$17.67	\$17.99	\$0.00	\$0.00	(\$0.32)	
MAGNEGAS CORPORATION COMMON STOCK CUSIP: 55939L400	50.00000	10/03/2018	10/03/2018	\$25.48	\$26.72	\$0.00	\$0.00	(\$1.24)	
MY SIZE INC COM CUSIP: 62844N109	4.00000 15.00000	04/09/2018 05/10/2018	04/16/2018 09/14/2018	\$5.78 \$20.10	\$4.92 \$19.34	\$0.00 \$0.00	\$0.00 \$0.00	\$0.86 \$0.76	
Subtotals	19.00000			\$25.88	\$24.26	\$0.00	\$0.00	\$1.62	
ONE HORIZON GROUP INC COMMON STOCK CUSIP: 68235H304	10.00000 2.00000 3.00000	04/11/2018 04/11/2018 04/11/2018	04/13/2018 05/09/2018 05/09/2018	\$8.49 \$2.20 \$2.32	\$7.90 \$1.58 \$2.37	\$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00	\$0.59 \$0.62 (\$0.05)	
Subtotals	15.00000			\$13.01	\$11.85	\$0.00	\$0.00	\$1.16	
SENESTECH INC COM CUSIP: 81720R109	1.00000 1.00000 8.00000	05/21/2018 05/21/2018 05/21/2018	05/22/2018 05/22/2018 05/22/2018	\$1.31 \$1.31 \$14.62	\$0.75 \$0.75 \$5.99	\$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00	\$0.56 \$0.56 \$8.63	
Subtotals	10.00000			\$17.24	\$7.49	\$0.00	\$0.00	\$9.75	
***STELLAR BIOTECHNOLOGIES I COM NO PAR CUSIP: 85855A302	15.00000	06/21/2018	06/21/2018	\$45.58	\$40.20	\$0.00	\$0.00	\$5.38	
***THE9 LIMITED AMERICAN DEPOSITORY SHARES CUSIP: 88337K203	5.00000	05/10/2018	10/19/2018	\$18.60	\$9.50	\$0.00	\$0.00	\$9.10	
TINTRI INC COMMON STOCK CUSIP: 88770Q105	1.00000 20.00000 30.00000 30.00000	04/09/2018 05/29/2018 06/29/2018 06/29/2018	09/24/2018 09/24/2018 09/24/2018 09/24/2018	\$0.03 \$0.58 \$0.86 \$0.86	\$2.13 \$12.85 \$8.96 \$9.00	\$0.00 \$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00 \$0.00	(\$2.10) (\$12.27) (\$8.10) (\$8.14)	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5UE27275
Account Name: NISHANT NAIK
Taxpayer Identification Number: ***-**-7187

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds
Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - **Short-Term**

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
Subtotals	81.00000			\$2.33	\$32.94	\$0.00	\$0.00	(\$30.61)	
VTV THERAPEUTICS INC CLASS A COM CUSIP: 918385105	8.00000	04/18/2018	10/03/2018	\$24.54	\$19.83	\$0.00	\$0.00	\$4.71	
	19.00000	10/03/2018	10/03/2018	\$101.63	\$114.00	\$0.00	\$0.00	(\$12.37)	
Subtotals	27.00000			\$126.17	\$133.83	\$0.00	\$0.00	(\$7.66)	
YIELD10 BIOSCIENCE INC COMMON STOCK CUSIP: 98585K201	5.00000	04/10/2018	04/25/2018	\$8.43	\$9.15	\$0.00	\$0.00	(\$0.72)	
ZYNGA INC CLASS A CUSIP: 98986T108	1.00000	03/07/2018	04/17/2018	\$3.58	\$3.64	\$0.00	\$0.00	(\$0.06)	
***AXOVANT SCIENCES LTD COMMON SHARES CUSIP: G0750W104	2.00000	06/07/2018	06/07/2018	\$12.68	\$11.78	\$0.00	\$0.00	\$0.90	
***MALLINCKRODT PLC CUSIP: G5785G107	2.00000	04/09/2018	04/16/2018	\$27.72	\$29.25	\$0.00	\$0.00	(\$1.53)	
***INTEGRATED MEDIA TECHNOLO LIMITED ORDINARY SHARES CUSIP: Q49376108	3.00000	05/02/2018	05/03/2018	\$96.73	\$102.00	\$0.00	\$1.76	(\$3.51)	
	1.00000	05/03/2018	05/03/2018	\$35.04	\$36.26	\$0.00	\$0.00	(\$1.22)	
Subtotals	4.00000			\$131.77	\$138.26	\$0.00	\$1.76	(\$4.73)	
40 ITEMS - TOTAL				\$751.23	\$793.86	\$0.00	\$1.81	(\$40.82)	

FOOT NOTES

SHORT SALE - Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return,
a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5UE27275
Account Name: NISHANT NAIK
Taxpayer Identification Number: ***-**-7187

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

END OF 2018 FORM 1099-B

Form 1099-B (OMB NO. 1545-0715)

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the Instructions for Schedule D.

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 3 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If the Ordinary box in box 2 is checked, an adjustment may be required.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, indicates whether the amount in box 1d was adjusted for option premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

Form 1099-B (OMB NO. 1545-0715)**Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11):**

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2018.

Box 9. Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2017.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2018. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2018 in 2019.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2018 Form 6781.

Box 12. If checked, proceeds are from a transaction involving collectibles.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Boxes 14-16. Shows state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5UE27275
Account Name: NISHANT NAIK
Taxpayer Identification Number:***-**-7187

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

2018 INVESTMENT DETAILS

DETAILS OF 2018 INVESTMENT ACTIVITY

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
04/12/18	AKERS BIOSCIENCES INC COM	00973E102	PURCHASE	8.000	\$0.57	\$4.56	
04/26/18	AKERS BIOSCIENCES INC COM	00973E102	SELL	8.000	\$0.54	\$4.31	
05/08/18	AMEDICA CORPORATION COMMON STOCK	023435407	PURCHASE	10.000	\$2.34	\$23.40	
05/09/18	AMEDICA CORPORATION COMMON STOCK	023435407	SELL	10.000	\$3.65	\$36.49	
04/16/18	ARGOS THERAPEUTICS INC COMMON SHARES	040221202	PURCHASE	2.000	\$1.22	\$2.44	
04/16/18	ARGOS THERAPEUTICS INC COMMON SHARES	040221202	PURCHASE	8.000	\$1.22	\$9.76	
06/07/18	ATHERSYS INC	04744L106	PURCHASE	5.000	\$3.03	\$15.15	
08/10/18	AVALON HLDGS CORP	05343P109	PURCHASE	1.000	\$4.60	\$4.60	
08/10/18	AVALON HLDGS CORP	05343P109	PURCHASE	10.000	\$5.31	\$53.10	
08/10/18	AVALON HLDGS CORP	05343P109	SELL	11.000	\$5.51	\$60.59	
05/23/18	AVINGER INC COMMON STOCK	053734208	PURCHASE	20.000	\$1.88	\$37.59	
05/23/18	AVINGER INC COMMON STOCK	053734208	SELL	15.000	\$2.21	\$33.13	
07/13/18	AVINGER INC COMMON STOCK	053734208	SELL	5.000	\$1.49	\$7.44	
05/16/18	BIOPHARMX CORPORATION COMMON STOCK PAR	09072X101	PURCHASE	20.000	\$0.20	\$3.95	
05/22/18	BIOPHARMX CORPORATION COMMON STOCK PAR	09072X101	SELL	20.000	\$0.23	\$4.50	
06/28/18	CAMBER ENERGY INC COMMON STOCK	13200M201	PURCHASE	30.000	\$1.08	\$32.40	
06/28/18	CAMBER ENERGY INC COMMON STOCK	13200M201	SELL	30.000	\$1.09	\$32.71	
03/21/18	CHESAPEAKE ENERGY CORP	165167107	SELL	1.000	\$3.12	\$3.10	
04/11/18	CHINA AUTO LOGISTICS INC COM	16936J202	PURCHASE	5.000	\$3.20	\$16.00	
05/03/18	CHINA AUTO LOGISTICS INC COM	16936J202	SELL	5.000	\$3.25	\$16.23	
04/11/18	CHINANET ONLINE HOLDINGS INC COMMON STO	16949H201	PURCHASE	10.000	\$2.52	\$25.20	
04/16/18	CHINANET ONLINE HOLDINGS INC COMMON STO	16949H201	SELL	10.000	\$2.47	\$24.69	
04/17/18	CHINANET ONLINE HOLDINGS INC COMMON STO	16949H201	PURCHASE	1.000	\$3.91	\$3.91	
10/18/18	***CHINACACHE INTL HLDG LTD SPONSORED A	16950M107	PURCHASE	1.000	\$1.16	\$1.16	
10/19/18	***CHINACACHE INTL HLDG LTD SPONSORED A	16950M107	SELL	1.000	\$1.26	\$1.24	
04/16/18	HELIOS AND MATHESON ANALYTICS INC	42327L200	PURCHASE	5.000	\$4.13	\$20.65	
04/16/18	HELIOS AND MATHESON ANALYTICS INC	42327L200	PURCHASE	7.000	\$4.20	\$29.40	
07/26/18	HELIOS & MATHESON ANALYTICS INC COMMON	42327L309	SELL	1.000	\$8.07	\$8.05	
08/14/18	HYRECAR INC COMMON STOCK	44916T107	PURCHASE	10.000	\$3.58	\$35.78	
08/14/18	HYRECAR INC COMMON STOCK	44916T107	SELL	10.000	\$3.65	\$36.48	
05/16/18	INSPIREMD INC COMMON STOCK	45779A861	PURCHASE	5.000	\$1.18	\$5.90	
05/29/18	INSPIREMD INC COMMON STOCK	45779A861	SELL	5.000	\$1.23	\$6.13	
07/18/18	INPIXON COMMON STOCK	45790J305	PURCHASE	100.000	\$0.18	\$17.99	
07/18/18	INPIXON COMMON STOCK	45790J305	SELL	100.000	\$0.18	\$17.67	

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY.

APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5UE27275
 Account Name: NISHANT NAIK
 Taxpayer Identification Number: ***-**-7187

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
10/03/18	MAGNEGAS CORPORATION COMMON STOCK	55939L400	PURCHASE	50.000	\$0.53	\$26.72	
10/03/18	MAGNEGAS CORPORATION COMMON STOCK	55939L400	SELL	50.000	\$0.51	\$25.48	
04/09/18	MY SIZE INC COM	62844N109	PURCHASE	4.000	\$1.23	\$4.92	
04/16/18	MY SIZE INC COM	62844N109	SELL	4.000	\$1.45	\$5.78	
05/10/18	MY SIZE INC COM	62844N109	PURCHASE	15.000	\$1.29	\$19.34	
09/14/18	MY SIZE INC COM	62844N109	SELL	15.000	\$1.34	\$20.10	
04/09/18	***NEOVASC INC	64065J106	PURCHASE	75.000	\$0.07	\$5.63	
04/10/18	***NEOVASC INC	64065J106	PURCHASE	100.000	\$0.06	\$6.30	
10/05/18	***NEOVASC INC COM NEW	64065J205	CASH IN LIEU		-	\$2.04	
04/11/18	ONE HORIZON GROUP INC COMMON STOCK	68235H304	PURCHASE	15.000	\$0.79	\$11.85	
04/13/18	ONE HORIZON GROUP INC COMMON STOCK	68235H304	SELL	10.000	\$0.85	\$8.49	
05/09/18	ONE HORIZON GROUP INC COMMON STOCK	68235H304	SELL	2.000	\$1.11	\$2.20	
05/09/18	ONE HORIZON GROUP INC COMMON STOCK	68235H304	SELL	3.000	\$0.78	\$2.32	
04/09/18	SELLAS LIFE SCIENCES GROUP INC COMMON S	81642T100	PURCHASE	2.000	\$6.31	\$12.61	
05/21/18	SENESTECH INC COM	81720R109	PURCHASE	10.000	\$0.75	\$7.49	
05/22/18	SENESTECH INC COM	81720R109	SELL	1.000	\$1.33	\$1.31	
05/22/18	SENESTECH INC COM	81720R109	SELL	1.000	\$1.33	\$1.31	
05/22/18	SENESTECH INC COM	81720R109	SELL	8.000	\$1.83	\$14.62	
06/21/18	***STELLAR BIOTECHNOLOGIES INC COM NO P	85855A302	PURCHASE	15.000	\$2.68	\$40.20	
06/21/18	***STELLAR BIOTECHNOLOGIES INC COM NO P	85855A302	SELL	15.000	\$3.04	\$45.58	
05/10/18	***THE9 LIMITED AMERICAN DEPOSITORY SHA	88337K203	PURCHASE	5.000	\$1.90	\$9.50	
10/19/18	***THE9 LIMITED AMERICAN DEPOSITORY SHA	88337K203	SELL	5.000	\$3.72	\$18.60	
04/09/18	TINTRI INC COMMON STOCK	88770Q105	PURCHASE	1.000	\$2.13	\$2.13	
05/29/18	TINTRI INC COMMON STOCK	88770Q105	PURCHASE	20.000	\$0.64	\$12.85	
06/29/18	TINTRI INC COMMON STOCK	88770Q105	PURCHASE	30.000	\$0.30	\$8.96	
06/29/18	TINTRI INC COMMON STOCK	88770Q105	PURCHASE	30.000	\$0.30	\$9.00	
09/24/18	TINTRI INC COMMON STOCK	88770Q105	SELL	81.000	\$0.03	\$2.33	
04/18/18	VTV THERAPEUTICS INC CLASS A COM	918385105	PURCHASE	8.000	\$2.48	\$19.83	
10/03/18	VTV THERAPEUTICS INC CLASS A COM	918385105	PURCHASE	19.000	\$6.00	\$114.00	
10/03/18	VTV THERAPEUTICS INC CLASS A COM	918385105	SELL	8.000	\$3.07	\$24.54	
10/03/18	VTV THERAPEUTICS INC CLASS A COM	918385105	SELL	19.000	\$5.35	\$101.63	
04/10/18	YIELD10 BIOSCIENCE INC COMMON STOCK	98585K201	PURCHASE	5.000	\$1.83	\$9.15	
04/25/18	YIELD10 BIOSCIENCE INC COMMON STOCK	98585K201	SELL	5.000	\$1.69	\$8.43	
04/17/18	ZYNGA INC CLASS A	98986T108	SELL	1.000	\$3.60	\$3.58	
06/07/18	***AXOVANT SCIENCES LTD COMMON SHARES	G0750W104	PURCHASE	2.000	\$5.89	\$11.78	
06/07/18	***AXOVANT SCIENCES LTD COMMON SHARES	G0750W104	SELL	2.000	\$6.35	\$12.68	

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY.

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5UE27275
Account Name: NISHANT NAIK
Taxpayer Identification Number: ***-**-7187

Account Executive No: DPA

ORIGINAL: 02/01/2019

IN ACCOUNT WITH

ROBINHOOD
(650) 940-2700

DETAILS OF 2018 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
04/09/18	***MALLINCKRODT PLC	G5785G107	PURCHASE	2.000	\$14.63	\$29.25	
04/16/18	***MALLINCKRODT PLC	G5785G107	SELL	2.000	\$13.87	\$27.72	
10/18/18	***YULONG ECO MATERIALS LIMITED ORDINAR	G98847208	PURCHASE	7.000	\$13.74	\$96.19	
05/02/18	***INTEGRATED MEDIA TECHNOLOGY LIMITED	Q49376108	PURCHASE	3.000	\$34.00	\$102.00	
05/03/18	***INTEGRATED MEDIA TECHNOLOGY LIMITED	Q49376108	PURCHASE	1.000	\$34.50	\$34.50	
05/03/18	***INTEGRATED MEDIA TECHNOLOGY LIMITED	Q49376108	SELL	1.000	\$35.06	\$35.04	
05/03/18	***INTEGRATED MEDIA TECHNOLOGY LIMITED	Q49376108	SELL	3.000	\$32.25	\$96.73	
05/09/18	***DIANA CONTAINERSHIPS INC COMMON SHAR	Y2069P507	PURCHASE	5.000	\$2.79	\$13.95	
05/09/18	***DIANA CONTAINERSHIPS INC COMMON SHAR	Y2069P507	PURCHASE	5.000	\$3.15	\$15.75	

END OF 2018 DETAILS