

APEX CLEARING
ONE DALLAS CENTER
350 N. ST PAUL, SUITE 1300
DALLAS, TX 75201

Account No: 5QZ78609
Account Name: PAVAN KUMAR CARIMIREDDY
Taxpayer Identification Number:***-**-4260
Account Executive No: DPA
ORIGINAL: 02/07/2018

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

CONSOLIDATED 2017 FORMS 1099 AND DETAILS

PAVAN KUMAR CARIMIREDDY
8025 OHIO DR 14109
PLANO, TX 75024 2339

YEAR-END MESSAGES

THIS DOCUMENT INCLUDES YOUR CONSOLIDATED FORM 1099 THAT WE ARE REQUIRED TO PROVIDE TO YOU AND THE INTERNAL REVENUE SERVICE. WE SUGGEST THAT YOU SEEK THE ADVICE OF A TAX PROFESSIONAL WHEN PREPARING YOUR RETURN.

PLEASE VERIFY YOUR PERSONAL INFORMATION FOR ACCURACY AND CONTACT YOUR BROKER TO CORRECT ANY DISCREPANCIES. IF YOU OWN MUTUAL FUNDS, REAL ESTATE TRUSTS (REITS), REMIC SECURITIES OR WHFIT'S INCOME DISTRIBUTIONS MAY BE RECLASSIFIED BY THE ISSUER AFTER THE ORIGINAL 1099 IS SENT. IF YOU HOLD THESE SECURITIES YOU MAY RECEIVE A CORRECTED FORM 1099. IN ADDITION TO THE 1099 INFORMATION, SUPPLEMENTAL DETAILS ARE PROVIDED TO HELP YOU PREPARE YOUR RETURN. ONLY INFORMATION REPORTED ON FORM 1099 IS SENT TO THE IRS.

Your Account did not receive the following Forms:

1099-DIV
1099-INT
1099-OID
1099-MISC

TABLE OF CONTENTS

Consolidated 1099 Sections:

Summary Information	Page 3
1099-B Proceeds from Broker and Barter Exchange Transactions	Page 4

Details:

Investment Details	Page 11
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FORM 1099-B TOTALS SUMMARY

REALIZED GAIN / LOSS SUMMARY

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

SHORT-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART I	PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box A (basis reported to IRS)	\$9,365.35	\$10,517.69	\$0.00	\$630.01	(\$1,152.34)
Box A - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Short-Term	\$9,365.35	\$10,517.69	\$0.00	\$630.01	(\$1,152.34)
LONG-TERM GAINS OR (LOSSES) - REPORT ON FORM 8949, PART II					
Box D (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box D - Ordinary - (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Long-Term	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
UNKNOWN TERM - CODE (X) REPORT ON FORM 8949 PART I OR PART II					
Box B or Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B or Box E - Ordinary - (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Unknown Term	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

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RECIPIENT'S Name, Street Address, City, State, and Zip Code
 PAVAN KUMAR CARIMIREDDY
 8025 OHIO DR 14109
 PLANO, TX 75024 2339

Payer's Federal Identification Number: 13-2967453
Payer's Name, Street, City, State, Zip Code:
 APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201
Telephone Number: 214-765-1009

Copy B for recipient 2nd TIN Notice
 FATCA Filing Requirement

2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: **Taxpayers are ultimately responsible for the accuracy of their tax returns.**

Covered Short-Term Gains or Losses on Gross Proceeds
 Report on **Form 8949, Part I** with **Box A** checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
CELLECTAR BIOSCIENCES INC COM PAR \$0.00001 CUSIP: 15117F302	1.00000	01/27/2017	01/30/2017	\$1.58	\$1.62	\$0.00	\$0.00	(\$0.04)	
	100.00000	01/27/2017	01/30/2017	\$157.98	\$158.00	\$0.00	\$0.00	(\$0.02)	
Subtotals	101.00000			\$159.56	\$159.62	\$0.00	\$0.00	(\$0.06)	
***CREDIT SUISSE NASSAU BRH VELOCITY SHS 3X INVERSE NAT GAS ETN LKD S&P GSCI CUSIP: 22542D530	56.00000	12/23/2016	01/03/2017	\$225.67	\$180.88	\$0.00	\$0.00	\$44.79	
	44.00000	12/27/2016	01/03/2017	\$177.31	\$132.44	\$0.00	\$0.00	\$44.87	
	1.00000	12/27/2016	01/03/2017	\$4.03	\$3.00	\$0.00	\$0.00	\$1.03	
	96.00000	01/04/2017	01/04/2017	\$411.83	\$404.15	\$0.00	\$0.00	\$7.68	
	85.00000	01/05/2017	01/06/2017	\$345.08	\$392.70	\$0.00	\$47.62	(\$47.62)	
	85.00000	01/09/2017	01/12/2017	\$297.49	\$463.27	\$0.00	\$165.78	(\$165.78)	
	31.00000	01/09/2017	01/12/2017	\$108.49	\$151.59	\$0.00	\$43.10	(\$43.10)	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
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2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

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Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
***CREDIT SUISSE NASSAU BRH	1.00000	01/10/2017	01/12/2017	\$3.50	\$4.02	\$0.00	\$0.52	(\$0.52)	
VELOCITY SHS 3X INVERSE NAT	85.00000	01/13/2017	01/18/2017	\$319.16	\$481.97	\$0.00	\$162.81	(\$162.81)	
GAS ETN LKD S&P GSCI	19.00000	01/13/2017	01/18/2017	\$71.34	\$97.10	\$0.00	\$16.27	(\$25.76)	
CUSIP: 22542D530	85.00000	01/26/2017	01/27/2017	\$319.58	\$463.71	\$0.00	\$0.00	(\$144.13)	
	12.00000	01/26/2017	01/27/2017	\$45.12	\$58.75	\$0.00	\$0.00	(\$13.63)	
	12.00000	01/26/2017	01/27/2017	\$45.12	\$59.16	\$0.00	\$0.00	(\$14.04)	
	1.00000	01/26/2017	01/27/2017	\$3.76	\$4.06	\$0.00	\$0.00	(\$0.30)	
Subtotals	613.00000			\$2,377.48	\$2,896.80	\$0.00	\$436.10	(\$519.32)	
DEL CATH SYSTEMS INC	100.00000	02/02/2017	02/02/2017	\$30.69	\$32.62	\$0.00	\$1.93	(\$1.93)	
COM	10.00000	02/02/2017	02/02/2017	\$3.07	\$3.26	\$0.00	\$0.19	(\$0.19)	
CUSIP: 24661P500	500.00000	02/02/2017	02/02/2017	\$153.44	\$163.05	\$0.00	\$9.61	(\$9.61)	
	10.00000	02/14/2017	02/15/2017	\$1.67	\$2.26	\$0.00	\$0.00	(\$0.59)	
	100.00000	02/14/2017	02/15/2017	\$16.66	\$22.60	\$0.00	\$0.00	(\$5.94)	
	390.00000	02/14/2017	02/15/2017	\$64.95	\$88.11	\$0.00	\$0.00	(\$23.16)	
	110.00000	02/14/2017	02/15/2017	\$18.32	\$24.85	\$0.00	\$0.00	(\$6.53)	
	45.00000	02/14/2017	02/15/2017	\$7.50	\$9.30	\$0.00	\$0.00	(\$1.80)	
Subtotals	1,265.00000			\$296.30	\$346.05	\$0.00	\$11.73	(\$49.75)	
GLOBALSTAR INC	241.00000	01/04/2017	01/05/2017	\$392.86	\$414.52	\$0.00	\$17.62	(\$21.66)	
CUSIP: 378973408	1.00000	01/06/2017	01/06/2017	\$1.70	\$1.85	\$0.00	\$0.00	(\$0.15)	
	95.00000	01/06/2017	01/06/2017	\$161.50	\$175.96	\$0.00	\$0.00	(\$14.46)	
	100.00000	01/06/2017	01/06/2017	\$170.01	\$185.22	\$0.00	\$0.00	(\$15.21)	
Subtotals	437.00000			\$726.07	\$777.55	\$0.00	\$17.62	(\$51.48)	

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2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

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GROUPON INC COMMON STOCK CUSIP: 399473107	1.00000	10/09/2017	11/01/2017	\$4.90	\$5.10	\$0.00	\$0.00	(\$0.20)	
IMMUNE PHARMACEUTICALS INC CUSIP: 45254C101	32.00000 1,876.00000	01/12/2017 01/12/2017	01/13/2017 01/13/2017	\$6.51 \$381.72	\$6.88 \$402.78	\$0.00 \$0.00	\$0.00 \$0.00	(\$0.37) (\$21.06)	
Subtotals	1,908.00000			\$388.23	\$409.66	\$0.00	\$0.00	(\$21.43)	
INVENTERGY GLOBAL INC COM CUSIP: 46123X409	1.00000 6.00000 187.00000	02/15/2017 02/15/2017 02/15/2017	02/15/2017 02/15/2017 02/15/2017	\$0.56 \$3.36 \$104.86	\$0.55 \$3.38 \$105.21	\$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00	\$0.01 (\$0.02) (\$0.35)	
Subtotals	194.00000			\$108.78	\$109.14	\$0.00	\$0.00	(\$0.36)	
MAST THERAPEUTICS INC COM CUSIP: 576314108	150.00000 3,182.00000 1.00000 800.00000 25.00000	01/06/2017 01/06/2017 01/10/2017 02/17/2017 02/17/2017	01/09/2017 01/09/2017 01/11/2017 02/21/2017 02/21/2017	\$26.98 \$572.39 \$0.12 \$102.61 \$3.21	\$21.73 \$318.20 \$0.16 \$103.92 \$3.25	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00 \$0.00 \$0.00	\$5.25 \$254.19 (\$0.04) (\$1.31) (\$0.04)	
Subtotals	4,158.00000			\$705.31	\$447.26	\$0.00	\$0.00	\$258.05	
MOLECULIN BIOTECH INC COM CUSIP: 60855D101	83.00000	02/15/2017	02/16/2017	\$102.91	\$108.32	\$0.00	\$0.00	(\$5.41)	
***NORTHERN DYNASTY MINERALS LTD CUSIP: 66510M204	56.00000	02/14/2017	02/14/2017	\$134.95	\$125.44	\$0.00	\$0.00	\$9.51	

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PLUG POWER INC CUSIP: 72919P202	96.00000	02/16/2017	02/17/2017	\$107.02	\$109.44	\$0.00	\$0.00	(\$2.42)	
REAL GOODS SOLAR INC CLASS A COMMON STOCK CUSIP: 75601N500	117.00000	01/26/2017	01/26/2017	\$393.29	\$417.69	\$0.00	\$24.40	(\$24.40)	
	85.00000	01/27/2017	01/30/2017	\$482.77	\$272.72	\$0.00	\$0.00	\$210.05	
	20.00000	02/07/2017	02/13/2017	\$39.98	\$64.56	\$0.00	\$24.58	(\$24.58)	
	12.00000	02/07/2017	02/13/2017	\$23.99	\$38.74	\$0.00	\$14.75	(\$14.75)	
	30.00000	02/07/2017	02/13/2017	\$59.99	\$90.60	\$0.00	\$25.51	(\$30.61)	
	12.00000	02/16/2017	02/16/2017	\$23.04	\$36.45	\$0.00	\$0.00	(\$13.41)	
	20.00000	02/16/2017	02/16/2017	\$38.39	\$60.75	\$0.00	\$0.00	(\$22.36)	
	25.00000	02/16/2017	02/16/2017	\$47.99	\$70.73	\$0.00	\$0.00	(\$22.74)	
Subtotals	321.00000			\$1,109.44	\$1,052.24	\$0.00	\$89.24	\$57.20	
RENNOVA HEALTH INC COM CUSIP: 759757107	36.00000	02/02/2017	02/07/2017	\$2.46	\$2.48	\$0.00	\$0.02	(\$0.02)	
	1.00000	02/02/2017	02/07/2017	\$0.07	\$0.07	\$0.00	\$0.00	\$0.00	
	2,699.00000	02/02/2017	02/07/2017	\$185.63	\$185.65	\$0.00	\$0.02	(\$0.02)	
	35.00000	02/13/2017	02/14/2017	\$2.12	\$2.12	\$0.00	\$0.00	\$0.00	
	2,040.00000	02/13/2017	02/14/2017	\$123.63	\$122.63	\$0.00	\$0.00	\$1.00	
	3.00000	02/13/2017	02/14/2017	\$0.18	\$0.18	\$0.00	\$0.00	\$0.00	
Subtotals	4,814.00000			\$314.09	\$313.13	\$0.00	\$0.04	\$0.96	
REX ENERGY CORP CUSIP: 761565100	545.00000	01/23/2017	01/24/2017	\$427.86	\$381.55	\$0.00	\$0.00	\$46.31	
TENAX THERAPEUTICS INC CUSIP: 88032L100	161.00000	01/18/2017	01/19/2017	\$404.13	\$391.15	\$0.00	\$0.00	\$12.98	
	31.00000	01/24/2017	01/25/2017	\$65.09	\$67.59	\$0.00	\$2.50	(\$2.50)	
	69.00000	01/24/2017	01/25/2017	\$144.89	\$147.66	\$0.00	\$2.77	(\$2.77)	
	100.00000	01/24/2017	01/25/2017	\$210.98	\$214.00	\$0.00	\$3.02	(\$3.02)	
	31.00000	01/30/2017	02/02/2017	\$19.10	\$64.19	\$0.00	\$0.00	(\$45.09)	
	69.00000	01/30/2017	02/02/2017	\$42.51	\$139.39	\$0.00	\$0.00	(\$96.88)	

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OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

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TENAX THERAPEUTICS INC CUSIP: 88032L100	69.00000	01/30/2017	02/02/2017	\$42.51	\$140.08	\$0.00	\$0.00	(\$97.57)	
	152.00000	01/30/2017	02/02/2017	\$93.63	\$302.48	\$0.00	\$0.00	(\$208.85)	
	1.00000	01/30/2017	02/02/2017	\$0.62	\$1.99	\$0.00	\$0.00	(\$1.37)	
Subtotals	683.00000			\$1,023.46	\$1,468.53	\$0.00	\$8.29	(\$445.07)	
***DRYSHIPS INC COMMON STOCK CUSIP: Y2109Q143	4.75000	02/21/2017	05/02/2017	\$5.03	\$73.35	\$0.00	\$0.00	(\$68.32)	
	7.00000	02/21/2017	05/02/2017	\$7.42	\$104.17	\$0.00	\$0.00	(\$96.75)	
	0.25000	03/06/2017	05/02/2017	\$0.26	\$1.29	\$0.00	\$0.00	(\$1.03)	
	2.75000	03/08/2017	05/02/2017	\$2.91	\$16.72	\$0.00	\$0.00	(\$13.81)	
	13.75000	03/08/2017	05/02/2017	\$14.57	\$83.60	\$0.00	\$0.00	(\$69.03)	
	0.50000	04/05/2017	05/02/2017	\$0.53	\$2.20	\$0.00	\$0.00	(\$1.67)	
	1.00000	05/01/2017	05/02/2017	\$1.06	\$1.28	\$0.00	\$0.00	(\$0.22)	
Subtotals	30.00000			\$31.78	\$282.61	\$0.00	\$0.00	(\$250.83)	
***DRYSHIPS INC COM PAR \$0.01 NEW CUSIP: Y2109Q309	2.00000	01/09/2017	01/09/2017	\$5.74	\$9.28	\$0.00	\$0.00	(\$3.54)	
	13.00000	01/09/2017	01/09/2017	\$37.31	\$44.73	\$0.00	\$0.00	(\$7.42)	
	20.00000	01/09/2017	01/09/2017	\$57.40	\$92.62	\$0.00	\$0.00	(\$35.22)	
	164.00000	01/09/2017	01/09/2017	\$470.64	\$493.64	\$0.00	\$0.00	(\$23.00)	
Subtotals	199.00000			\$571.09	\$640.27	\$0.00	\$0.00	(\$69.18)	
***DRYSHIPS INC COMMON STOCK CUSIP: Y2109Q408	67.00000	01/23/2017	01/23/2017	\$378.53	\$401.33	\$0.00	\$22.80	(\$22.80)	
	67.00000	01/26/2017	01/26/2017	\$212.38	\$238.54	\$0.00	\$19.52	(\$26.16)	
	56.00000	01/26/2017	01/26/2017	\$177.52	\$180.32	\$0.00	\$0.00	(\$2.80)	
Subtotals	190.00000			\$768.43	\$820.19	\$0.00	\$42.32	(\$51.76)	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
 The above is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return,
 a negligence penalty or other sanction may be imposed on you if the income is taxable and the IRS determines that it has not been reported.

APEX CLEARING
 ONE DALLAS CENTER
 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5QZ78609
 Account Name: PAVAN KUMAR CARIMIREDDY
 Taxpayer Identification Number: ***-**-4260
 Account Executive No: DPA
 ORIGINAL: 02/07/2018

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2017 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with **Box A** checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 3: Basis Reported to the IRS

Box 2: Type of Gain or Loss - Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Security Description CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
***TOP SHIPS INC COMMON STOCK CUSIP: Y8897Y404	6.80556	05/02/2017	05/16/2017	\$6.79	\$30.79	\$0.00	\$24.00	(\$24.00)	
	0.19444	05/02/2017	05/16/2017	\$0.19	\$0.86	\$0.00	\$0.67	(\$0.67)	
Subtotals	7.00000			\$6.98	\$31.65	\$0.00	\$24.67	(\$24.67)	
***TOP SHIPS INC COMMON STOCK CUSIP: Y8897Y503	0.00748	05/31/2017	06/27/2017	\$0.01	\$0.73	\$0.00	\$0.00	(\$0.72)	
	0.26175	05/31/2017	06/27/2017	\$0.19	\$26.22	\$0.00	\$0.00	(\$26.03)	
	0.73077	05/31/2017	06/27/2017	\$0.51	\$6.19	\$0.00	\$0.00	(\$5.68)	
Subtotals	1.00000			\$0.71	\$33.14	\$0.00	\$0.00	(\$32.43)	
85 ITEMS - TOTAL				\$9,365.35	\$10,517.69	\$0.00	\$630.01	(\$1,152.34)	

FOOT NOTES

SHORT SALE - Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

END OF 2017 FORM 1099-B

END OF 2017 CONSOLIDATED FORMS 1099

Form 1099-B (OMB No. 1545-0715)

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number. For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete identification number to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the Instructions for Schedule D.

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the Ordinary box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. Please see the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, the basis in box 1e has been reported to the IRS and one or more of the check boxes in box 2 must be checked. If box 3 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If the Ordinary check box in box 2 is checked, an adjustment may be required.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, indicates whether the amount in box 1d was adjusted for premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

Box 12. If checked, proceeds are from a transaction involving collectibles.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Boxes 14-16. Shows state(s)/local income tax information.

Form 1099-B (OMB No. 1545-0715)**Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11):**

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2017.

Box 9. Shows any year end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2016.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2017. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts 12/31/2017 in 2018.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2017 Form 6781.

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Account No: 5QZ78609
 Account Name: PAVAN KUMAR CARIMIREDDY
 Taxpayer Identification Number:***-**-4260
 Account Executive No: DPA
 ORIGINAL: 02/07/2018

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2017 INVESTMENT DETAILS

DETAILS OF 2017 INVESTMENT ACTIVITY

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
01/27/2017	CELLECTAR BIOSCIENCES INC COM PAR \$0.00	15117F302	PURCHASE	1.000	\$1.62	\$1.62	
01/27/2017	CELLECTAR BIOSCIENCES INC COM PAR \$0.00	15117F302	PURCHASE	100.000	\$1.58	\$158.00	
01/30/2017	CELLECTAR BIOSCIENCES INC COM PAR \$0.00	15117F302	SELL	101.000	\$1.58	\$159.56	
01/03/2017	***CREDIT SUISSE NASSAU BRH VELOCITY SH	22542D530	SELL	101.000	\$4.03	\$407.01	
01/04/2017	***CREDIT SUISSE NASSAU BRH VELOCITY SH	22542D530	PURCHASE	96.000	\$4.21	\$404.15	
01/04/2017	***CREDIT SUISSE NASSAU BRH VELOCITY SH	22542D530	SELL	96.000	\$4.29	\$411.83	
01/05/2017	***CREDIT SUISSE NASSAU BRH VELOCITY SH	22542D530	PURCHASE	85.000	\$4.62	\$392.70	
01/06/2017	***CREDIT SUISSE NASSAU BRH VELOCITY SH	22542D530	SELL	85.000	\$4.06	\$345.08	
01/09/2017	***CREDIT SUISSE NASSAU BRH VELOCITY SH	22542D530	PURCHASE	116.000	\$4.89	\$567.24	
01/10/2017	***CREDIT SUISSE NASSAU BRH VELOCITY SH	22542D530	PURCHASE	1.000	\$4.02	\$4.02	
01/12/2017	***CREDIT SUISSE NASSAU BRH VELOCITY SH	22542D530	SELL	117.000	\$3.50	\$409.48	
01/13/2017	***CREDIT SUISSE NASSAU BRH VELOCITY SH	22542D530	PURCHASE	104.000	\$3.72	\$386.87	
01/18/2017	***CREDIT SUISSE NASSAU BRH VELOCITY SH	22542D530	SELL	104.000	\$3.76	\$390.50	
01/26/2017	***CREDIT SUISSE NASSAU BRH VELOCITY SH	22542D530	PURCHASE	110.000	\$3.54	\$389.40	
01/27/2017	***CREDIT SUISSE NASSAU BRH VELOCITY SH	22542D530	SELL	110.000	\$3.76	\$413.58	
02/02/2017	DELGATH SYSTEMS INC COM	24661P500	PURCHASE	10.000	\$0.33	\$3.26	
02/02/2017	DELGATH SYSTEMS INC COM	24661P500	PURCHASE	100.000	\$0.33	\$32.62	
02/02/2017	DELGATH SYSTEMS INC COM	24661P500	PURCHASE	500.000	\$0.33	\$163.05	
02/02/2017	DELGATH SYSTEMS INC COM	24661P500	SELL	610.000	\$0.31	\$187.20	
02/14/2017	DELGATH SYSTEMS INC COM	24661P500	PURCHASE	155.000	\$0.21	\$32.04	
02/14/2017	DELGATH SYSTEMS INC COM	24661P500	PURCHASE	500.000	\$0.21	\$103.35	
02/15/2017	DELGATH SYSTEMS INC COM	24661P500	SELL	655.000	\$0.17	\$109.10	
01/04/2017	GLOBALSTAR INC	378973408	PURCHASE	241.000	\$1.72	\$414.52	
01/05/2017	GLOBALSTAR INC	378973408	SELL	241.000	\$1.63	\$392.86	
01/06/2017	GLOBALSTAR INC	378973408	PURCHASE	1.000	\$1.76	\$1.76	
01/06/2017	GLOBALSTAR INC	378973408	PURCHASE	95.000	\$1.76	\$167.42	
01/06/2017	GLOBALSTAR INC	378973408	PURCHASE	100.000	\$1.76	\$176.23	
01/06/2017	GLOBALSTAR INC	378973408	SELL	196.000	\$1.70	\$333.21	
11/01/2017	GROUPON INC COMMON STOCK	399473107	SELL	1.000	\$4.92	\$4.90	
01/12/2017	IMMUNE PHARMACEUTICALS INC	45254C101	PURCHASE	32.000	\$0.21	\$6.88	
01/12/2017	IMMUNE PHARMACEUTICALS INC	45254C101	PURCHASE	1,876.000	\$0.21	\$402.78	
01/13/2017	IMMUNE PHARMACEUTICALS INC	45254C101	SELL	1,908.000	\$0.20	\$388.23	
11/01/2017	IMMUNE PHARMACEUTICALS INC COMMON STOCK	45254C200	PURCHASE	7.000	\$0.80	\$5.60	
02/15/2017	INVENTERGY GLOBAL INC COM	46123X409	PURCHASE	1.000	\$0.55	\$0.55	

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 350 N. ST PAUL, SUITE 1300
 DALLAS, TX 75201

Account No: 5QZ78609
 Account Name: PAVAN KUMAR CARIMIREDDY
 Taxpayer Identification Number:***-**-4260
 Account Executive No: DPA
 ORIGINAL: 02/07/2018

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2017 INVESTMENT DETAILS

DETAILS OF 2017 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
02/15/2017	INVENTERGY GLOBAL INC COM	46123X409	PURCHASE	6.000	\$0.56	\$3.38	
02/15/2017	INVENTERGY GLOBAL INC COM	46123X409	PURCHASE	187.000	\$0.56	\$105.21	
02/15/2017	INVENTERGY GLOBAL INC COM	46123X409	SELL	194.000	\$0.56	\$108.78	
01/06/2017	MAST THERAPEUTICS INC COM	576314108	PURCHASE	3,332.000	\$0.10	\$333.20	
01/09/2017	MAST THERAPEUTICS INC COM	576314108	SELL	3,332.000	\$0.18	\$599.37	
01/10/2017	MAST THERAPEUTICS INC COM	576314108	PURCHASE	1.000	\$0.16	\$0.16	
01/11/2017	MAST THERAPEUTICS INC COM	576314108	SELL	1.000	\$0.14	\$0.12	
02/17/2017	MAST THERAPEUTICS INC COM	576314108	PURCHASE	25.000	\$0.13	\$3.25	
02/17/2017	MAST THERAPEUTICS INC COM	576314108	PURCHASE	800.000	\$0.13	\$103.92	
02/21/2017	MAST THERAPEUTICS INC COM	576314108	SELL	825.000	\$0.13	\$105.82	
02/15/2017	MOLECULIN BIOTECH INC COM	60855D101	PURCHASE	83.000	\$1.31	\$108.32	
02/16/2017	MOLECULIN BIOTECH INC COM	60855D101	SELL	83.000	\$1.24	\$102.91	
02/14/2017	***NORTHERN DYNASTY MINERALS LTD	66510M204	PURCHASE	56.000	\$2.24	\$125.44	
02/14/2017	***NORTHERN DYNASTY MINERALS LTD	66510M204	SELL	56.000	\$2.41	\$134.95	
02/16/2017	PLUG POWER INC	72919P202	PURCHASE	96.000	\$1.14	\$109.44	
02/17/2017	PLUG POWER INC	72919P202	SELL	96.000	\$1.12	\$107.02	
01/26/2017	REAL GOODS SOLAR INC CLASS A COMMON STO	75601N500	PURCHASE	117.000	\$3.57	\$417.69	
01/26/2017	REAL GOODS SOLAR INC CLASS A COMMON STO	75601N500	SELL	117.000	\$3.36	\$393.29	
01/27/2017	REAL GOODS SOLAR INC CLASS A COMMON STO	75601N500	PURCHASE	85.000	\$3.00	\$254.99	
01/30/2017	REAL GOODS SOLAR INC CLASS A COMMON STO	75601N500	SELL	85.000	\$5.68	\$482.77	
02/07/2017	REAL GOODS SOLAR INC CLASS A COMMON STO	75601N500	PURCHASE	62.000	\$3.02	\$187.23	
02/13/2017	REAL GOODS SOLAR INC CLASS A COMMON STO	75601N500	SELL	20.000	\$2.00	\$39.98	
02/13/2017	REAL GOODS SOLAR INC CLASS A COMMON STO	75601N500	SELL	42.000	\$2.00	\$83.98	
02/16/2017	REAL GOODS SOLAR INC CLASS A COMMON STO	75601N500	PURCHASE	57.000	\$1.81	\$103.09	
02/16/2017	REAL GOODS SOLAR INC CLASS A COMMON STO	75601N500	SELL	57.000	\$1.92	\$109.42	
02/02/2017	RENNOVA HEALTH INC COM	759757107	PURCHASE	1.000	\$0.07	\$0.07	
02/02/2017	RENNOVA HEALTH INC COM	759757107	PURCHASE	2,735.000	\$0.07	\$188.13	
02/07/2017	RENNOVA HEALTH INC COM	759757107	SELL	36.000	\$0.07	\$2.46	
02/07/2017	RENNOVA HEALTH INC COM	759757107	SELL	2,700.000	\$0.07	\$185.70	
02/13/2017	RENNOVA HEALTH INC COM	759757107	PURCHASE	3.000	\$0.06	\$0.18	
02/13/2017	RENNOVA HEALTH INC COM	759757107	PURCHASE	2,075.000	\$0.06	\$124.71	
02/14/2017	RENNOVA HEALTH INC COM	759757107	SELL	2,078.000	\$0.06	\$125.93	
01/23/2017	REX ENERGY CORP	761565100	PURCHASE	545.000	\$0.70	\$381.55	
01/24/2017	REX ENERGY CORP	761565100	SELL	545.000	\$0.79	\$427.86	

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Account No: 5QZ78609
 Account Name: PAVAN KUMAR CARIMIREDDY
 Taxpayer Identification Number: ***-**-4260
 Account Executive No: DPA
 ORIGINAL: 02/07/2018

IN ACCOUNT WITH
ROBINHOOD
(650) 940-2700

2017 INVESTMENT DETAILS

DETAILS OF 2017 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
01/18/2017	TENAX THERAPEUTICS INC	88032L100	PURCHASE	161.000	\$2.43	\$391.15	
01/19/2017	TENAX THERAPEUTICS INC	88032L100	SELL	161.000	\$2.51	\$404.13	
01/24/2017	TENAX THERAPEUTICS INC	88032L100	PURCHASE	31.000	\$2.15	\$66.65	
01/24/2017	TENAX THERAPEUTICS INC	88032L100	PURCHASE	169.000	\$2.14	\$361.66	
01/25/2017	TENAX THERAPEUTICS INC	88032L100	SELL	100.000	\$2.10	\$209.98	
01/25/2017	TENAX THERAPEUTICS INC	88032L100	SELL	100.000	\$2.11	\$210.98	
01/30/2017	TENAX THERAPEUTICS INC	88032L100	PURCHASE	1.000	\$1.99	\$1.99	
01/30/2017	TENAX THERAPEUTICS INC	88032L100	PURCHASE	321.000	\$1.99	\$638.79	
02/02/2017	TENAX THERAPEUTICS INC	88032L100	SELL	322.000	\$0.62	\$198.37	
04/25/2017	***DRYSHIPS INC COMMON STOCK	Y2109Q143	CASH IN LIEU		-	\$1.31	
05/01/2017	***DRYSHIPS INC COMMON STOCK	Y2109Q143	PURCHASE	1.000	\$1.28	\$1.28	
05/02/2017	***DRYSHIPS INC COMMON STOCK	Y2109Q143	SELL	30.000	\$1.06	\$31.78	
01/09/2017	***DRYSHIPS INC COM PAR \$0.01 NEW	Y2109Q309	PURCHASE	199.000	\$3.01	\$598.99	
01/09/2017	***DRYSHIPS INC COM PAR \$0.01 NEW	Y2109Q309	SELL	199.000	\$2.87	\$571.09	
01/23/2017	***DRYSHIPS INC COMMON STOCK	Y2109Q408	PURCHASE	67.000	\$5.99	\$401.33	
01/23/2017	***DRYSHIPS INC COMMON STOCK	Y2109Q408	SELL	67.000	\$5.65	\$378.53	
01/26/2017	***DRYSHIPS INC COMMON STOCK	Y2109Q408	PURCHASE	123.000	\$3.22	\$396.06	
01/26/2017	***DRYSHIPS INC COMMON STOCK	Y2109Q408	SELL	123.000	\$3.17	\$389.90	
02/21/2017	***DRYSHIPS INC COMMON STOCK	Y2109Q408	PURCHASE	19.000	\$3.47	\$65.93	
02/21/2017	***DRYSHIPS INC COMMON STOCK	Y2109Q408	PURCHASE	31.000	\$3.33	\$103.23	
03/06/2017	***DRYSHIPS INC COMMON STOCK	Y2109Q408	PURCHASE	1.000	\$1.29	\$1.29	
03/08/2017	***DRYSHIPS INC COMMON STOCK	Y2109Q408	PURCHASE	11.000	\$1.52	\$16.72	
03/08/2017	***DRYSHIPS INC COMMON STOCK	Y2109Q408	PURCHASE	55.000	\$1.52	\$83.60	
04/05/2017	***DRYSHIPS INC COMMON STOCK	Y2109Q408	PURCHASE	2.000	\$1.10	\$2.20	
05/02/2017	***TOP SHIPS INC SHS NEW 2016	Y8897Y156	PURCHASE	4.000	\$0.22	\$0.86	
05/02/2017	***TOP SHIPS INC SHS NEW 2016	Y8897Y156	PURCHASE	140.000	\$0.22	\$30.79	
05/16/2017	***TOP SHIPS INC COMMON STOCK	Y8897Y404	SELL	7.000	\$1.00	\$6.98	
05/24/2017	***TOP SHIPS INC COMMON STOCK	Y8897Y404	CASH IN LIEU		-	\$0.17	
05/31/2017	***TOP SHIPS INC COMMON STOCK	Y8897Y404	PURCHASE	26.000	\$0.33	\$8.47	
06/27/2017	***TOP SHIPS INC COMMON STOCK	Y8897Y503	SELL	1.000	\$0.73	\$0.71	
07/25/2017	***TOP SHIPS INC COMMON STOCK	Y8897Y503	CASH IN LIEU		-	\$0.31	

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Taxpayer Identification Number: ***-**-4260
Account Executive No: DPA
ORIGINAL: 02/07/2018

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END OF 2017 DETAILS